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# Sleep Apnea is Independently Associated with Peripheral Arterial Disease in the Hispanic Community Health Study/Study of Latinos

Neomi Shah<sup>1</sup>, Matthew Allison<sup>2</sup>, Yanping Teng<sup>3</sup>, Sylvia Wassertheil-Smoller<sup>4</sup>, Daniela Sotres-Alvarez<sup>3</sup>, Alberto R. Ramos<sup>5</sup>, Phyllis C. Zee<sup>6</sup>, Michael H. Criqui<sup>2</sup>, H. Klar Yaggi<sup>7</sup>, Linda C. Gallo<sup>2</sup>, Susan Redline<sup>8</sup>, and Robert C. Kaplan<sup>4</sup>

<sup>1</sup>Department of Medicine, Montefiore Medical Center, Albert Einstein College of Medicine, Bronx NY

<sup>2</sup>Department of Medicine, University of Southern California San Diego, La Jolla, CA

<sup>3</sup>Collaborative Studies Coordinating Center, Department of Biostatistics, Gillings School of Global Public Health, University of North Carolina, Chapel Hill, NC

<sup>4</sup>Department of Epidemiology & Population Health, Albert Einstein College of Medicine, Bronx NY

<sup>5</sup>Department of Medicine, University of Miami, Miami, FL

<sup>6</sup>Department of Neurology, Northwestern University Feinberg School of Medicine, Chicago, IL

<sup>7</sup>Department of Medicine, Yale School of Medicine, New Haven, CT

<sup>8</sup>Department of Medicine, Brigham and Women's Hospital and Beth Israel Deaconess Medical Center, Harvard Medical School, Boston, MA

# Abstract

**Objective**—Sleep apnea (SA) has been linked with various forms of cardiovascular disease, but little is known about its association with peripheral artery disease (PAD) measured using the ankle- brachial index (ABI). This relationship was evaluated in the Hispanic Community Health Study/Study of Latinos (HCHS/SOL).

**Approach and Results**—We studied 8,367 HCHS/SOL participants who were 45 to 74 years of age. Sleep symptoms were examined with the self-reported Sleep Health Questionnaire. SA was assessed using an in-home sleep study. Systolic blood pressure was measured in all extremities to compute the ABI. PAD was defined as ABI < 0.90 in either leg. Multivariable logistic regression was utilized to investigate the association between moderate-to-severe SA, defined as apnea-hypopnea index (AHI) 15, and the presence of PAD. Analyses were adjusted for covariates.

The prevalence of PAD was 4.7% (n=390). The mean AHI was significantly higher among adults with PAD compared to those without (11.1 vs. 8.6 events/hour, p=0.046). After adjusting for

**Corresponding author contact information**: Dr. Neomi Shah, Montefiore Medical Center 3411, Wayne Avenue, Suite 5H, Bronx, NY 10467. nshah@montefiore.org; 718-920-2105.

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covariates, moderate-to-severe SA was associated with a 70% increase in the odds of PAD (odds ratio 1.7, 95% CI: 1.1 - 2.5, p=0.0152). This association was not modified by sex (p=0.8739). However, there was evidence that the association between moderate-to-severe SA and PAD varied by Hispanic/Latino background (p < 0.01). Specifically, the odds were stronger in Mexican (adjusted OR 2.9, 95% CI: 1.3, 6.2) and in Puerto Rican Americans (adjusted OR 2.0, 95% CI: 0.97 - 4.2) than in other backgrounds.

**Conclusions**—Moderate-to-severe SA is associated with higher odds of PAD in Hispanic/ Latino adults.

#### Keywords

Sleep apnea; peripheral arterial disease; ankle-brachial index; subclinical atherosclerosis; US Hispanics and Latinos

### Introduction

We recently reported the prevalence of mild, moderate and severe sleep apnea (SA) in the U.S. Hispanic/Latino population to be 25.8, 9.8, and 3.9%, respectively.<sup>1</sup> SA occurs when the upper airway collapses during sleep resulting in a cycle of hypoxemia, increased respiratory effort, frequent arousals, and increased sympathetic activity. The apnea– hypopnea index (AHI) is calculated as the sum of apneas and hypopneas per hour of sleep. Clinically significant SA is considered to be of at least moderate severity, defined as AHI 15 per hour.

SA is associated with cardiovascular morbidity and mortality and is an independent risk factor for coronary artery disease and stroke.<sup>2–4</sup> Atherosclerosis has been proposed as an intermediate between SA and incident cardiovascular disease events.<sup>5</sup> Numerous studies<sup>5–22</sup> have explored the relationship between SA and measures of atherosclerotic vascular disease in clinical or population-based samples. Such studies have examined the endpoint of carotid artery intima-media thickness and reported a significant positive association between SA and increased carotid artery intima-media thickness.<sup>5,10–13,15–17,21,23,24</sup> Importantly, to our knowledge, no study to date has investigated the association between SA and the anklebrachial index (ABI), an indicator of significant obstructive peripheral atherosclerotic burden in the lower extremities that independently predicts mortality.<sup>25</sup>

To address the limitations of the current literature, we evaluated the association between SA and PAD among participants enrolled in the Hispanic Community Health Study/Study of Latinos (HCHS/SOL). We also assessed the role of sex and Hispanic/Latino groups defined by place of birth or family background as potential effect modifiers of this association.

# **Material and Methods**

Material and methods are available in the online-only Data Supplement.

# Results

Among a total of 16,415 HCHS/SOL participants, those younger than 45 years of age were not assessed for ABI, resulting in exclusion of 6,710 participants. Of the remaining participants, 1,110 (6.76%) had missing or incomplete SA data, 39 (0.24%) had missing ABI data, and 189 (1.15%) had an ABI >1.4 in either or both legs. Therefore, the analytic sample for this study consisted of 8,367 individuals.

The overall prevalence of PAD was 4.7% (n=390). Compared to those without PAD, participants with PAD were older (mean age 61 vs. 56 years, p<0.0001) and more often women (67% vs. 55%; p=0.0001) (Table 1). The mean BMI was not significantly different between the two groups. As expected, the prevalence of comorbidities such as hypertension, CHD and diabetes were higher in the PAD group compared to the non-PAD group. Similarly, 10 and more pack-years of smoking history was more common in the group with PAD (39% vs. 24%).

The mean AHI was significantly higher among adults with PAD compared to those without PAD (11.1 vs. 8.6 events/hour, p=0.046) (Table 1). However, there was no statistically significant difference in self-reported sleep duration, mean baseline oxygen saturation and mean sleep time spent with oxygen less than 90% between the two groups (data not shown).

Table 2 shows the odds ratios (OR) from the multivariable model assessing the relationship between no to mild SA and moderate to severe SA (AHI 15) to PAD. After adjusting for age, sex, BMI, waist hip ratio, hypertension, CHD, diabetes, dyslipidemia, CRP levels, smoking, alcohol use, physical activity, study site, and Hispanic/Latino background, individuals with moderate-to-severe SA were 1.67 (95% CI: 1.10-2.51) times more likely to have PAD. This association was not modified by sex (p=0.8739). However, there was evidence that the association between moderate-to-severe SA and PAD was different by Hispanic/Latino background (p < 0.0001). Specifically, the odds were stronger in Mexican (adjusted OR 2.9, 95% CI: 1.3, 6.2) and in Puerto Rican Americans (adjusted OR 2.02, 95% CI: 0.97 - 4.2) than in other backgrounds listed in Table 1. The statistical power was inadequate to test this in other Hispanic/Latino groups.

Further analyses also assessed the relationship between increasing severity of SA and PAD (Table 3). Here, the reference group consisted of individuals with an AHI < 5. Three other categories reflecting increasing AHI severity were defined as 5-15 events/hour, 15-30 events/hour and 30 events/hour. In an adjusted model, AHI 15–30 (moderate SA) was associated with a 90% increase in the odds of PAD as compared with AHI < 5 (OR 1.90, 95 % CI: 1.15 - 3.14). The OR for AHI 30, which defines severe SA, was not statistically significant but had a wide confidence interval (versus AHI < 5, OR 1.21, 95% CI: 0.62 - 2.38).

We conducted a sensitivity analysis that excluded participants with prevalent CHD, and we found similar results (data not shown). We also assessed the independent relationship of PAD with sleep variables other than AHI. In a multivariate logistic regression model, there was no significant association between T90 and lowest oxygen saturation with PAD after

adjustment for age, sex, study site, Hispanic background, income, physical activity and CRP levels (data not shown).

# Discussion

To our knowledge, this is the first study to assess the independent association between SA and PAD in a large community based cohort. We studied a diverse sample of Hispanics/ Latinos, representing the largest minority population, residing within the United States. We found that the presence of moderate to severe SA was associated with a 67% increase in the odds of PAD, as measured by the ABI. These findings are independent of age, sex, BMI, waist hip ratio, hypertension, CHD, diabetes, dyslipidemia, CRP levels, smoking, alcohol use, physical activity, study site, and Hispanic/Latino background. The magnitude of this association was not modified by sex. Further, the odds were stronger in Mexican and Puerto Rican Americans than in other backgrounds, reflecting either the play of chance or differences in prevalence and severity of these conditions across national backgrounds. No increase in risk of PAD was observed until an AHI of 15 was reached.

SA has been associated with numerous cardiovascular conditions including hypertension<sup>26</sup>, coronary heart disease<sup>4,27</sup>, cardiac arrhythmias<sup>28</sup>, heart failure<sup>29,30</sup>, stroke<sup>31</sup> and sudden death.<sup>32</sup> Plausible mechanisms that may contribute to an overall increased vascular risk in the setting of OSA include dyslipidemia<sup>33</sup>, glucose intolerance<sup>34</sup>, diabetes<sup>35</sup>, sympathetic activation<sup>36</sup>, systemic inflammation<sup>37,38</sup>, endothelial dysfunction<sup>19,39</sup>, oxidative stress<sup>40</sup>, and autonomic dysfunction.<sup>41</sup> Our study contributes to the literature linking SA with subclinical markers of vascular disease risk, which include several prior studies of the coronary and cerebrovascular beds.<sup>6,12,18,21,42</sup> SA is associated with increased carotid artery atherosclerosis.<sup>43,44</sup> Evidence also suggests that increasing severity of SA is independently associated with increased carotid artery plaque burden.<sup>12,17,23,42,45</sup> Similarly, current evidence suggests an independent link between SA and coronary artery atherosclerosis measured both invasively and non-invasively.<sup>46–48</sup> However, until the present study similar evidence for the association between SA and PAD was lacking.

PAD affects approximately 20% of individuals older than 60 years and can cause disabling symptoms due to claudication.<sup>49</sup> PAD is a marker of underlying atherosclerosis severity and prognosis, which shares many risk factors with SA including hypertension, obesity and diabetes.<sup>50–52</sup> A small study of patients with severe PAD who were awaiting surgical intervention reported a prevalence of undiagnosed SA of 85% (n=70/82).<sup>53</sup> This study had several limitations including small sample size, lack of population-based study sample and representation of the entire spectrum of PAD severity. In our study, PAD was assessed with use of the ABI, a non-invasive, reliable, inexpensive and readily available technique that is typically used to diagnose subclinical and clinical PAD. More specifically, we used an abnormal ABI (<0.90) in either leg to indicate peripheral atherosclerosis which, compared to other non- invasive measures of atherosclerosis, typically requires more extensive burden of disease.

The HCHS/SOL assessed SA with an in-home sleep study as opposed to in-lab full night attended polysomnography. This approach may modestly underestimate SA severity and does not allow assessment of sleep stages, duration and fragmentation. Variation in recording times across individuals may have contributed to some misclassification of the AHI in cases when REM sleep was not adequately represented. However, we found no association between recording time and either AHI or ABI (data not shown). Further, our data are cross-sectional and therefore cannot establish a cause-effect relationship between SA and PAD. Adjustment for a full range of potential confounders nonetheless does not exclude the possibility of residual confounding.

We conducted a sensitivity analysis where we excluded prevalent coronary heart disease (CHD) participants and we found similar results (data not shown). SA may therefore be associated with PAD independent of prevalent CHD. Our study was not designed to address the relative atherosclerotic effect of sleep apnea on different vascular beds, i.e. coronary vs. peripheral.

Future work is recommended to understand whether sleep apnea is worse for the peripheral arteries than coronary or carotid arteries.

In analyses of the dose response relationship between SA severity and the odds of PAD (Table 3), we did not find consistently increasing risk with increasing SA severity. Secondary analyses modeling AHI as categorical levels showed that the strongest association between SA and PAD was in the moderate SA group (OR 1.90, 95% CI 1.15 – 3.14). The lack of significant association in the severe SA group may have been due to the sample size limitations, which may be clarified in future studies about dose-response relationships.

Finally, we noted that Hispanic/Latino group appears to modify the relationship between SA and PAD, with stronger effects for Mexicans and Puerto Ricans relative to other backgrounds (listed in Table 1). In our sample, Puerto Ricans had the highest prevalence of obesity (50.2%, S.E. 2.32) when compared to the other Hispanic/Latino backgrounds, which may partly explain the stronger effects, noted in that group. The statistical power to explore the pattern of effect modification however was insufficient for the other backgrounds. We found no evidence that sex modified the relationship between SA and PAD.

This study included a population-based, rather than a referral or clinic based sample, and thus is less influenced by selection biases than other cited research.<sup>54</sup> Our Hispanic/Latinos US study population has long been recognized to have high obesity risk and is now documented to have high prevalence of SA.<sup>55</sup> Prior studies evaluating the association between SA and subclinical peripheral atherosclerosis have not utilized the ABI, which is routinely available in a clinical setting and therefore is relatively accessible and at low cost. Finally, the methods of measurement of SA and PAD were objective and standardized as part of this large multi-center National Institutes of Health-sponsored cohort study.

# Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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# Abbreviations

SA	Sleep apnea	
PAD	Peripheral arterial disease	
ABI	Ankle- brachial index	
HCHS/SOL	Hispanic Community Health Study/Study of Latinos	
AHI	Apnea-hypopnea index	
BMI	Body Mass Index	
CHD	Coronary Heart Disease	
OR	Odds ratio	
CI	Confidence Interval	

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#### Significance

To our knowledge, this is the first study to assess the independent association between SA and PAD in a large community based cohort. We studied a diverse sample of Hispanics/Latinos, representing the largest American minority population, residing within the United States. We found that the presence of moderate to severe SA was associated with a 67% increase in the odds of PAD, as measured by the ABI. These findings are independent of age, sex, BMI, waist hip ratio, hypertension, CHD, diabetes, dyslipidemia, CRP levels, smoking, alcohol use, physical activity, study site, and Hispanic background is evident in both men and women. Furthermore we found that the strength of this association was modified by Hispanic/Latino group. Specifically the odds were stronger in Mexican and Puerto Rican Americans than in other backgrounds. Future studies are needed to address whether PAD improves with SA treatment. Further research may assess whether SA variably influences the atherosclerotic process in different vascular beds.

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#### Table 1

#### Characteristics of the Study Sample Based on PAD Status

Characteristic	PAD absent (N=7977)	PAD present (N=390)	P-value
Age, years	56.0 (0.15)	60.7 (0.68)	< 0.0001
Females, %	54.7 (0.74)	67.4 (3.00)	< 0.0001
BMI, kg per m2	29.8 (0.09)	29.7 (0.46)	0.7800
Waist Circumference, cm	99.6 (0.21)	99.5 (1.01)	0.8597
Mean Waist to Hip Ratio	0.94 (0.00)	0.94 (0.00)	0.9063
Hypertension, %	41.3 (0.99)	63.9 (3.19)	< 0.0001
Prevalent CHD, %	9.44 (0.54)	18.3 (2.82)	0.0058
Diabetes, %	26.5 (0.79)	44.7 (3.40)	< 0.0001
Dyslipidemia, %	44.3 (0.85)	50.1 (3.66)	0.1218
Meet 2008 PA guidelines, %	58.9 (0.91)	51.0 (3.29)	0.0230
Mean log hsCRP Level	0.64 (0.02)	0.94 (0.07)	< 0.0001
Cigarette Pack-Years, %			0.0014
Never Smoking	56.5 (0.90)	41.8 (3.76)	
0–10	18.3 (0.76)	18.1 (2.79)	
10 and plus	24.2 (0.89)	39.2 (3.88)	
Alcohol use, %			.0052
Never	21.4 (0.88)	32.5 (3.76)	
Former	33.6 (0.92)	33.8 (3.47)	
Current	45.0 (0.86)	33.7 (3.28)	
Hispanic/Latino Background, %			
Dominican	9.5 (0.77)	6.9 (1.51)	< 0.0001
Central American	6.5 (0.43)	7.2 (1.48)	
Cuban	24.1 (1.95)	40.0 (4.22)	
Mexican Puerto	34.0 (1.77)	17.9 (3.22)	
Rican	18.1 (1.06)	20.7 (3.12)	
South American	5.43 (0.37)	5.0 (1.19)	
More than one/Other	2.27 (0.36)	2.54 (0.96)	
AHI, events/hour	8.63 (0.23)	11.14 (1.25)	0.0466
Mean Lowest Oxygen Saturation (Minimum SpO2)	85.8 (0.12)	84.1 (0.62)	0.0062
Epworth Sleepiness Scale	5.9 (0.09)	5.7 (0.39)	0.6399

All values are weighted to account for the complex survey design. Standard errors in parenthesis.

PAD: Peripheral arterial disease; BMI: Body mass index; AHI: Apnea hypopnea index; CHD: coronary heart disease; PA: physical activity; hsCRP: highly sensitive C-reactive protein

#### Table 2

Multivariable model assessing the relationship of moderate to severe SA (AHI 15) and other clinical factors with PAD

Characteristic	Odds Ratio	95% Confidence Interval	P-value
Age (per year)	1.03	1.01-1.06	0.0144
Male (vs. female)	0.40	0.28-0.56	< 0.0001
BMI (per unit)	0.93	0.89–0.96	0.0001
Waist hip ratio (per unit)	2.44	0.26-23.05	0.4372
Hypertension	1.58	1.10-2.26	0.0123
CHD	1.50	1.01-2.22	0.0465
Diabetes	1.60	1.05-2.45	0.0287
Dyslipidemia	0.93	0.67-1.29	0.6694
hsCRP (per mg/L)	1.12	1.04–1.19	0.0013
Pack-year of smoking: Less than 10 vs. Never	1.57	1.03-2.39	0.0341
Pack-year of smoking: 10+ vs Never	2.34	1.47–3.72	0.0003
Alcohol: Former vs. Never	1.00	0.59–1.68	0.9969
Alcohol: Current vs. Never	0.89	0.57-1.40	0.6083
Meet 2008 PA guidelines	1.05	0.77-1.43	0.7651
Moderate-to-severe SA (AHI >= 15, vs. AHI<15)	1.67	1.10–2.51	0.0152

Odds ratios are adjusted for all variables listed plus study site and Hispanic/Latino background.

SA: Sleep apnea; AHI: Apnea hypopnea index; PAD: Peripheral arterial disease; BMI: Body mass index; CHD: Coronary heart disease; hsCRP: highly sensitive C-reactive protein; PA: physical activity.

## Table 3

#### Relationship between Severity of SA and PAD

Severity of Sleep Apnea	% PAD (95% CI)	Odds Ratio (95% CI)
AHI < 5 (n=4432)	4.47 (3.57 – 5.60)	Reference level
AHI 5-15 (n=1859)	4.37 (3.29 – 5.77)	0.97 (0.65 – 1.46)
AHI 15-30 (n=686)	7.88 (5.39 – 11.38)	1.90 (1.15 – 3.14)
AHI 30 (n=381)	5.32 (2.99 – 9.29)	1.21 (0.62 – 2.38)

Odds ratio is adjusted for: age, sex, study site, Hispanic/Latino background, education, income, BMI, pack years smoking, hypertension, CHD, diabetes, dyslipidemia, waist hip ratio, physical activity and hsCRP

SA: Sleep apnea; PAD: Peripheral arterial disease; AHI: Apnea hypopnea index; BMI: Body mass index; CHD: coronary heart disease; hsCRP: highly sensitive C-reactive protein