



Published in final edited form as:

ACS Nano. 2010 April 27; 4(4): 2217–2227. doi:10.1021/nn901732h.

Morphing low affinity ligands into high avidity nanoparticles by thermally triggered self-assembly of a genetically encoded polymer

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Abstract

Multivalency is the increase in avidity resulting from the simultaneous interaction of multiple ligands with multiple receptors. This phenomenon, seen in antibody-antigen and virus-cell membrane interactions, is useful in designing bioinspired materials for targeted delivery of drugs or imaging agents. While increased avidity offered by multivalent targeting is attractive, it can also promote nonspecific receptor interaction in non-target tissues, reducing the effectiveness of multivalent targeting. Here, we present a thermal targeting strategy - Dynamic Affinity Modulation (DAM) - using Elastin-like polypeptide diblock copolymers (ELP_{BC}s) that self-assemble from a low-affinity to high-avidity state by a tunable thermal “switch”, thereby restricting activity to the desired site of action. We used an *in vitro* cell binding assay to investigate the effect of the thermally triggered self-assembly of these ELP_{BC}s on their receptor-mediated binding and cellular uptake. The data presented herein show that: (1) ligand presentation does not disrupt ELP_{BC} self-assembly; (2) both multivalent ligand presentation and upregulated receptor expression are needed for receptor-mediated interaction; (3) increased size of the hydrophobic segment of the block copolymer promotes multivalent interaction with membrane receptors, potentially due to changes in the nanoscale architecture of the micelle; and (4) nanoscale presentation of the ligand is important, as presentation of the ligand by micron-sized aggregates of an ELP showed a low level of binding/uptake by receptor-positive cells compared to its presentation on the corona of a micelle. These data validate the concept of thermally triggered DAM, and provide rational design parameters for future applications of this technology for targeted drug delivery.

Keywords

Block copolymer; polypeptide; multivalency; self-assembly; ligand-receptor

Targeted drug delivery, first proposed in 1906,¹ is a strategy for preferentially increasing the concentration of a drug at a target site relative to healthy tissue. An important goal in targeted therapy, hence, is to design a drug carrier that has high affinity and selectivity for the site of disease but exhibits low affinity for, and low interaction with, healthy tissue. Although high affinity vehicles can show greater accumulation at the site of disease as compared to normal tissues,² high affinity can also result in reduced specificity³ and increased toxicity⁴ because

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of “off-site” targeting to healthy tissue that also express the same receptor, albeit at lower levels. To circumvent this fundamental paradox, we hypothesized that an ideal targeted delivery system should have a low affinity for its target in healthy tissue but transform into a high affinity construct at the site of disease *via* an extrinsic trigger (e.g., a physical stimulus such as the focused application of heat, light or magnetic fields). We term this approach, in which a molecule morphs from a low affinity to a high affinity state in response to an external stimulus, Dynamic Affinity Modulation (DAM).

Our design of a system that is capable of exhibiting DAM focused on triggered self-assembly. Multivalency, the simultaneous interaction of multiple ligand-receptor pairs, is described by:

$$K^{multi} = (K^{mono})^{\alpha N} \quad (1)$$

where K_{multi} is the effective multivalent affinity (avidity), K_{mono} is the affinity of a single receptor-ligand interaction, α is the degree of cooperativity, and N is the number of ligand-receptor pairs.⁵ Thus, multivalency provides a large increase in avidity proportional to the number of simultaneous ligand-receptor interactions. Although conventional, “static” multivalent targeting is an emerging approach for targeted delivery, it suffers from the same problem of “off-site targeting” as monovalent, high-affinity delivery systems.⁶

We hypothesized that multivalency *via* triggered self-assembly would permit the design of a targeted delivery system exhibiting DAM. In order to design a system that could self-assemble into a multivalent construct in response to an external stimulus (Fig. 1a), we focused our attention on a class of diblock, stimulus-responsive elastin-like polypeptides (ELPs). ELPs are genetically encoded polypeptides comprised of a Val-Pro-Gly-Xaa-Gly repeat (Xaa = any amino acid besides Pro) that exhibit inverse phase transition behavior at a specific transition temperature (T_t); ELPs are soluble in water at $T < T_t$ and become insoluble at $T > T_t$.^{7–9} We chose diblock ELP block copolymers (ELP_{BC}s) to create a system capable of DAM for several reasons. First, we and others have previously shown that ELP_{BC}s consisting of one hydrophilic and one hydrophobic ELP block are temperature triggered amphiphiles; the ELP_{BC} is a hydrophilic unimer that self-assembles into monodisperse spherical micelles with a diameter of ~40 – 60 nm above a critical micelle temperature (CMT) through selective desolvation and collapse of the hydrophobic block.^{10, 11} These micelles are stable with increasing temperature (typically ~8 – 10 °C beyond the CMT) up to a second transition temperature, beyond which the desolvation and collapse of the hydrophobic block leads to aggregation of the ELP_{BC} into polydisperse micron-sized aggregates.⁷ Second, ELP_{BC}s are monodisperse, which provides exquisite control over their self-assembly and, consequently, the size and coordination number of the micelle. This precise control is not readily possible with synthetic polymers.¹⁰ Third, ELP_{BC}s can be easily expressed at high levels in *E. coli* and conveniently purified by inverse transition cycling (ITC),¹² a method that exploits the ELP phase transition to purify them directly from cell lysate without chromatography.

We chose the linear GRGDS peptide as the ligand and the $\alpha_v\beta_3$ integrin as the target receptor to demonstrate proof-of-concept of DAM using ELP_{BC}s as the scaffold to present the RGD peptide ligand (Fig. 1b). GRGDS is a well-known, low affinity ligand ($IC_{50} = 1 \mu M$) for the $\alpha_v\beta_3$ integrin.¹³ The low affinity of this ligand reduces specific binding in monovalent form¹³ but can exhibit higher effective affinity through multivalent presentation.⁵ First, we hypothesized that linear GRGDS is a useful ligand for DAM as the large difference in affinity between its multivalent and monovalent states provides the possibility for selective receptor binding only through multivalent presentation so that this construct would localize in a target tissue that overexpresses the receptor in response to spatially focused mild hyperthermia of that tissue. Second, the $\alpha_v\beta_3$ integrin is overexpressed in angiogenic blood vessels that are

associated with diseases such as cancer,^{14, 15} atherosclerosis,^{16, 17} and Alzheimer's disease,¹⁸ ensuring that this approach may be relevant to a number of diseases. Third, the RGD ligand is somewhat promiscuous as it binds to the $\alpha_{IIb}\beta_3$ integrin on activated platelets and the $\alpha_v\beta_3$ integrin on healthy angiogenic tissue¹⁹ in addition to tumor vasculature. Thus, restricting RGD activity to a target organ or tissue through externally triggered self-assembly would yield a benefit to this particular targeting strategy. Fourth, the $\alpha_v\beta_3$ integrin exhibits clustering during activation,²⁰ which should help promote the proper geometry for multivalent interaction of receptors with RGD ligands presented on an ELP_{BC} micelle. Fifth, the linear, hydrophilic GRGDS peptide is trivial to incorporate on one of the termini of the ELP *via* genetically encoded synthesis¹⁰ without disrupting self-assembly of ELP_{BC}s into micelles.

This study investigates two important issues relevant to the design of a targeting system based on DAM using stimulus-responsive ELP_{BC}s as a self-assembling macromolecule and mild hyperthermia as the trigger. First, the high-avidity state of the ELP_{BC} must be turned "on" in response to an external trigger. Second, the size and architecture of the ELP_{BC} micelle must facilitate multivalent interaction following ligand presentation through self-assembly. The studies presented herein attempt to address these issues by examining, in detail, the effects of both multivalency and nanoscale architecture on the interaction of a set of ELP_{BC}s with a target membrane-based receptor. We chose three self-assembling diblock ELP_{BC}s that vary in the hydrophobic-hydrophilic segment ratio (SR) and used these to: (1) demonstrate thermal self-assembly as a trigger for receptor-mediated binding activity and (2) identify the optimal nanoscale architecture for multivalent interaction of the micelles with the $\alpha_v\beta_3$ integrin.

Results

We chose parent ELP_{BC}s that were available from previous studies,^{10, 21} to examine the size and nanoscale architecture of their micelles on DAM. Each ELP_{BC} (defined hereafter as ELP-Y/Z) is comprised of a hydrophilic block of Y VPGXG repeats (where X = V:A:G in a 1:7:8 ratio) and a hydrophobic block of Z VPGVG repeats. We chose three separate ELP_{BC}s for this study, ELP-96/60, ELP-64/60, and ELP-64/90, that span a SR range of 0.66 to 1.5 (Fig. 1b). We have previously shown that each of these ELP_{BC}s self-assembles into micelles in response to an increase in solution temperature,¹⁰ and this range of SRs allowed us to study the effect of nanoscale architecture on multivalent interaction between the ligand and receptor. Two variants of homopolymeric ELP that comprise of 150 VPGXG (where X = V:A:G in a 5:3:2 ratio, ELP-150) were also synthesized, one of which has a terminal RGD peptide and negative control lacking the RGD peptide, serving as controls to examine the effect of nanoscale presentation.

ELP_{BC}s were modified at the gene level to attach a GRGDS ligand at their N-terminus and a unique cysteine residue on their C-terminus. Each modified ELP_{BC} was expressed from a plasmid-borne synthetic gene in *E. coli* which encoded three contiguous segments: an N-terminal GRGDS peptide ligand, the ELP_{BC} and a short WPC peptide that provides a unique cysteine residue for conjugation of a fluorophore or drug at the C-terminus (Fig. 1b). ELP_{BC}s that contained the C-terminal WPC sequence but not the N-terminal GRGDS ligand served as a negative control for the effect of ligand presentation.

Each ELP was recombinantly synthesized by inserting each parent ELP_{BC} gene into a modified pET25b expression vector (Novagen, Madison, WI) with subsequent overexpression of the ELP_{BC} genes in *E. coli*. Agarose gel electrophoresis and DNA sequencing demonstrated successful cloning of RGD-modified ELP_{BC} and ELP genes lacking the RGD peptide into expression vectors (data not shown). All constructs were expressed from their plasmid-borne genes in *E. coli* at high yields (> 50 mg/L in shaker flask culture) and were purified by inverse transition cycling (ITC).¹² SDS-PAGE showed that the ELPs were monodisperse and were

purified to homogeneity by ITC (Fig. 1d). The purified ELPs were then conjugated to AlexaFluor488 (Invitrogen, Carlsbad, CA) by reaction between the maleimide moiety of the fluorophore and the terminal cysteine of the ELP_{BCS} and ELP-150 with a yield of 60 – 70%. Labeled constructs were used for all subsequent experiments.

We characterized the thermally triggered self-assembly of the ELP_{BCS} by dynamic light scattering (DLS). We monitored the hydrodynamic radius (R_h) and coordination number (Z) of a 10 μ M ELP solution as a function of temperature. Both RGD-ELP_{BC} and the parent ELP_{BC} exhibited two phases as the solution temperature was raised from 20 °C – 42 °C: (1) a soluble unimer phase with a $R_h = 5 - 8$ nm and (2) a nanoparticle with a R_h of ~ 30 nm at higher temperatures (Fig. 2a). The coordination number (Z), defined as the number of unimers comprising one micelle, was determined for each construct and allowed estimation of the ligand density of RGD moieties in the corona which was similar for all RGD-ELP_{BC} constructs of different SRs (Fig. 2b). This ligand density is within the range of densities required for multivalent RGD- $\alpha_v\beta_3$ interaction²² and supports the use of these constructs for multivalent targeting of the $\alpha_v\beta_3$ integrin.

After verifying thermally-triggered self-assembly, we examined the feasibility of DAM using the ELP_{BCS} as the thermosensitive carrier, linear RGD peptide as the ligand, AlexaFluor488 as the surrogate for an imaging agent or drug, and mild hyperthermia as the thermal switch. For DAM to be successful using RGD-ELP_{BCS}, four requirements must be met. First, RGD-ELP_{BC} must exist in an “off” state below its CMT; it must not interact with cellular receptors in its hydrophilic, monovalent state. This would ensure that RGD-ELP_{BC} does not interact with cell receptors outside the target area. Second, self-assembly of ELP_{BC} into micelles must not promote cellular interaction independent of ligand presentation. This is an important consideration, as it would allow the ELP_{BC} to act as a scaffold for multivalent ligand presentation without directly enhancing non-specific binding or uptake by cells. Third, RGD-ELP_{BC} must exist in an “on” state above the CMT; RGD-ELP_{BC} micelles should lead to enhanced interaction with receptor-positive cells compared to receptor-negative cells. Meeting this last requirement would prove the ability for controlled multivalency to act as a trigger for receptor-specific cell interaction. Fourth, the RGD-ELP_{BC} micelle must provide the optimal nanoscale architecture to allow multivalent interactions with cell surface receptors.

To test the four requirements for DAM, we measured cellular binding and uptake of the ligand-functionalized RGD-ELP_{BC} and corresponding ligand-negative ELP_{BC} controls by wild-type K562 human leukemia cells (receptor-negative control) and K562 cells transformed with the $\alpha_v\beta_3$ receptor (K562/ $\alpha_v\beta_3$).²³ Briefly, 10 μ M of either AlexaFluor488-labeled RGD-ELP_{BC} or ELP_{BC} were incubated with K562 or K562/ $\alpha_v\beta_3$ for 1 hour at $T < \text{CMT}$ ($T = 23$ °C) or $T > \text{CMT}$ ($T = 40$ °C). These temperatures were chosen to ensure consistent temperatures for monovalent and multivalent presentation of all constructs. The cells were then analyzed for fluorescence intensity using flow cytometry (Fig. 3). Each panel corresponds to a unique pair of ELP_{BC} and cell line, and the data in each panel are normalized to the flow cytometry distribution for that ELP_{BC} below its CMT (dashed distributions). The relevant data is the increase in fluorescence for the ELP_{BC} above the CMT (distribution in grey) relative to its cell uptake/binding below its CMT. Cell fluorescence, a measure of ELP_{BC} binding and uptake, was then quantified using flow cytometry histograms to determine the potential of ELP_{BC} to exhibit DAM. These results were independently verified with confocal microscopy (Fig. 4).

We first evaluated the effects of monovalent ligand presentation on specific cell interaction by incubating RGD-ELP_{BC} or ELP_{BC} with both cell types below the CMT and analysis by flow cytometry. The distribution of each cell population incubated below the CMT is designated by dashed lines in each panel in Fig. 3. As seen in the fluorescence histograms, there was little difference between the fluorescence intensity of any of the cell populations incubated with

either ELP_{BC} below the CMT. Confocal fluorescence images supported these findings as there was no visible fluorescence in cells incubated with RGD-ELP_{BC} (Fig. 4) or ELP_{BC} (data not shown) below the CMT. These results suggest that the K562 and K562/ $\alpha_v\beta_3$ cell lines exhibit low levels of non-specific binding and uptake of the ELPs under these experimental conditions in contrast to other cell lines that show some, albeit low, levels of interaction with ELP.^{21, 24} These data also indicate that monovalent presentation of RGD by ELP_{BC} is not sufficient to promote cell interaction beyond that of the parent ELP_{BC}, satisfying one criterion for DAM.

We next evaluated the effect of self-assembly on non-specific cellular binding and uptake (i.e., in the absence of the RGD ligand). We incubated ligand-negative control polymers below and above their CMT with K562 and K562/ $\alpha_v\beta_3$ cells, respectively, and analyzed specific cell interaction of the ELPs by flow cytometry (Fig. 3). We quantified these differences by first obtaining a histogram corresponding to unheated cells and defining the region two standard deviations (SD) above the mean fluorescence as a significant increase in fluorescence intensity (AlexaFluor488⁺). This region was identified in each histogram of heated cells incubated with the same concentration of ELP_{BC}. The fraction of heated cells within this region was used as one metric to quantify the effects of self-assembly on cellular interaction (Fig. 5a).

These data reveal that the effect of heat on cell binding and uptake of ELP_{BC}s was similar in either cell line. The flow cytometry data show that the distribution of fluorescence intensities did not significantly change at T > CMT relative to T < CMT in either cell line. Quantitatively, only < 10% of the heated cells showed significantly higher fluorescence intensity than the unheated control. These results clearly show that the cells did not interact more with control ELP at T > CMT compared to the same ELP at T < CMT and thus indicate that the effect of temperature triggered self-assembly on cell interaction was minimal for both cell lines in the absence of the RGD ligand (Fig. 5a). Confocal images of ELP_{BC}s in both cell types visually confirmed the absence of significant fluorescence both below and above the CMT (Fig. 4), corroborating the flow cytometry data. Clearly, micelle formation by itself does not significantly promote cellular binding and uptake, demonstrating that ELP_{BC} can act as an inert scaffold for multivalent presentation of targeting ligands.

Next, we evaluated the effect of presentation of ligand to determine if multivalent presentation of the RGD ligand promotes receptor-mediated binding to. Prior to these experiments, we quantified integrin expression levels in K562 and K562/ $\alpha_v\beta_3$ cell lines by antibody (Ab) staining with a fluorescently-labeled, $\alpha_v\beta_3$ -specific Ab (LM609) and flow cytometry analysis (Sup. Fig. 1). This experiment revealed a bimodal distribution of integrin expression, with only ~60% of K562/ $\alpha_v\beta_3$ ⁺ cells expressing the receptor. Interestingly, there was also low (~15%) $\alpha_v\beta_3$ expression on the receptor-negative K562 cells (Fig. 5a). These findings suggested that if the RGD-ELP_{BC} specifically interacts with the $\alpha_v\beta_3$ integrin, then resulting histograms of the RGD-ELP_{BC}s by receptor-positive K562/ $\alpha_v\beta_3$ cells should mirror those observed for the LM609 antibody. Hence, K562/ $\alpha_v\beta_3$ cells incubated with RGD-ELP_{BC} at T > CMT should also show increased fluorescence with a bimodal distribution. In contrast, reflective of the low level of receptor expression by K562 cells, RGD-ELP_{BC} interaction with the receptor negative K562 cell line should only show a slight (< 15%) increase at T > CMT as compared to T < CMT.

RGD-ELP_{BC}s were next incubated with K562 and K562/ $\alpha_v\beta_3$ cells at T < CMT and T > CMT for one hour. Each cell population was then monitored by flow cytometry to determine the effects of self-assembly and ligand-receptor interaction on cell binding/uptake. The resulting data show two interesting features. First, all RGD-ELP_{BC}s led to a small increase in fluorescence intensity above the CMT as compared to the same ELP below the CMT in the receptor-negative K562 cell line (panels IV–VI, Fig. 3). Quantification of this effect showed that 5 – 15% of cells showed significantly higher fluorescence intensity following heating (Fig.

4a). This result is consistent with the finding that < 20% of the cells in the K562 cell line express the $\alpha_v\beta_3$ integrin and indicates that there is slightly enhanced interaction of RGD-ELP_{BC} in its micellar state ($T > \text{CMT}$) compared to the monovalent state ($T < \text{CMT}$) by K562 ($\alpha_v\beta_3^-$) cells.

Second, the histograms of the receptor-positive K562/ $\alpha_v\beta_3$ cells incubated with RGD-ELP_{BC}s (panels I–III, Fig. 3) were dramatically different based on the ELP_{BC} SR. The fluorescence histogram of micellar RGD-ELP-96/60 by K562/ $\alpha_v\beta_3$ cells was similar to wild-type K562 cells, illustrating that multivalent ligand presentation did not have an effect on cell receptor presentation for this ELP_{BC}. In contrast, the RGD-ELP-64/60 and RGD-ELP-64/90 micelles ($T > \text{CMT}$) both exhibited a bimodal distribution of fluorescence, with ~50% of the K562/ $\alpha_v\beta_3$ cells exhibiting a significant increase in fluorescence intensity relative to the same ELPs in unimer form ($T < \text{CMT}$) (Fig. 3). Confocal fluorescence microscopy of K562/ $\alpha_v\beta_3$ cells incubated with RGD-ELP_{BC}s confirmed these results (Fig. 5b). While K562/ $\alpha_v\beta_3$ cells incubated with RGD-ELP-96/60 did not show significant interaction with ELP, cells incubated with RGD-ELP-64/60 or RGD-ELP-64/90 above their CMT showed a binary distribution with an approximately equal fraction of cells exhibiting high fluorescence and another population that exhibited virtually no fluorescence (Fig. 5b). These results clearly suggest that a threshold of SR is required, above which there is significant receptor-mediated interaction of the RGD-ELP_{BC} with K562/ $\alpha_v\beta_3$ cells.

In addition, there was a noticeable right-shift of the peak within the AlexaFluor488⁺ region of the flow cytometry histograms, representing a significant difference in the per-cell fluorescence intensity of cells targeted by RGD-ELP-64/90 and RGD-ELP-64/60 compared to RGD-ELP-96/60 (Fig. 3). Quantitative analysis of this shift showed a significant increase in the median fluorescence intensity of this peak with increasing SR of the ELP_{BC} (Fig. 4b). The normalized intensity of this peak following incubation with RGD-ELP-64/90 was 5-fold greater at $T > \text{CMT}$ than at $T < \text{CMT}$, while a 2-fold increase in the intensity of this peak was observed for RGD-ELP-64/60 at $T > \text{CMT}$ relative to $T < \text{CMT}$. These results were visually confirmed by confocal fluorescence microscopy (Fig. 5b). K562/ $\alpha_v\beta_3$ cells incubated with RGD-ELP-64/90 at $T > \text{CMT}$ showed greater fluorescence than RGD-ELP-64/60, which, in turn, showed greater fluorescence than RGD-ELP-96/60. These data clearly suggest that an increase in hydrophobic content of the RGD-ELP_{BC}, as defined by their SR, enhances multivalent ligand-receptor interaction following micelle formation.

We further evaluated the importance of nanoscale architecture in controlling the receptor-mediated interaction of the RGD-terminated ELP_{BC}s. To do so, we expressed an RGD-ELP-150, a homopolymer that exhibits inverse phase transition behavior. RGD-ELP-150 has approximately the same MW as the ELP_{BC}s used in this study, but this ELP exhibits completely different temperature-dependent behavior at the same solution concentration as the ELP_{BC}. RGD-ELP-150 is soluble at $T < T_t$ and forms micron-sized aggregates at $T > T_t$ as confirmed by DLS (Sup. Fig. 2). Because RGD-ELP-150 forms micron-sized aggregates rather than nanoscale micelles in the temperature range of interest (20 – 42 °C), it is useful for determining the importance of ligand presentation on a nanoscale scaffold as opposed to a polydisperse aggregate. We incubated RGD-ELP-150 with both K562 and K562/ $\alpha_v\beta_3$ cells at $T > T_t$. The fraction of AlexaFluor488⁺ K562 and K562/ $\alpha_v\beta_3$ cells following incubation at $T > T_t$ was significantly smaller than K562/ $\alpha_v\beta_3$ cells incubated with RGD-ELP-64/90 or RGD-ELP-64/60 (Fig. 5) above its CMT. These results indicate that multivalent presentation by a ordered nanoscale scaffold led to greater cell binding/uptake as compared to presentation of the same ligand by a large polydisperse aggregate, thus highlighting the importance of the nanoscale architecture of ligand presentation for multivalent targeting.

Discussion

The results presented herein demonstrate the feasibility of DAM by temperature-triggered self-assembly of a ligand-functionalized, genetically encoded diblock ELP_{BC}. Our results show that multivalent presentation of the RGD peptide ligand by self-assembled RGD-ELP_{BC} nanoparticles promotes significant binding of the polymer only to cells that overexpress the $\alpha_v\beta_3$ integrin.

In contrast, both receptor-positive and receptor-negative cell lines show low binding of RGD-ELP_{BC} in its low affinity, monovalent state. Both cell lines also show low binding of ligand-negative ELP_{BC} nanoparticles following their temperature-triggered self-assembly. Given that the cellular binding/uptake is only significantly greater for multivalent RGD-ELP_{BC} constructs in receptor-positive cells as compared to all other negative controls, the cause for increased cellular interaction by RGD-ELP_{BC} is likely caused by higher avidity of the multivalent RGD micelle compared to the lower affinity of the monovalent RGD-ELP_{BC}. Our data further indicates that this multivalent presentation of the RGD ligand requires an ordered scaffold such as the ELP_{BC} micelle, as polydisperse, micron-sized aggregates of RGD-ELP-150 did not show enhanced interaction above their T_t . These observations also suggest that that large fluorescence aggregates observed in the images of K562/ $\alpha_v\beta_3$ are a result of integrin clusters in close proximity rather than aggregated ELP.²⁵

An interesting finding of this study was that RGD-ELP_{BC}s with higher hydrophobic content (and hence a larger SR) are more avidly interact with cells that overexpress the $\alpha_v\beta_3$ receptor. This finding is notable as it suggests that subtle differences in the molecular architecture of a nanoscale ligand scaffold can have a large effect on receptor binding. To the best of our knowledge, the effect of this level of architectural control on ligand presentation has not been uncovered in previous studies of receptor-mediated binding by self-assembled polymeric micelles.

Although the origins of this behavior are not clear at this time, we believe that a likely explanation of binding dependence on SR is ligand-receptor accessibility. Although future study is needed to establish a definite mechanism, we believe that RGD-ELP_{BC} micelles with larger hydrophobic cores may have subtle differences in the mobility of the terminal ligand that enable more effective presentation of multiple ligands to membrane-bound $\alpha_v\beta_3$ receptors. This hypothesis is consistent with our previous work showing different patterns of micelle formation that correlated with the SR of the ELP_{BC}¹⁰. We observed a decrease in the apparent stability of the nanoparticles with increased SR of the ELP_{BC} as evidenced by the smaller temperature range over which monodisperse micelles is the predominant phase¹⁰. The decrease in micelle stability, we suggest, may correlate with greater mobility within the corona of the micelle and thus greater simultaneous accessibility to different $\alpha_v\beta_3$ integrins. Additionally, multivalent interaction involving the $\alpha_v\beta_3$ integrin requires receptor clustering following integrin activation²⁰. ELP-64/90, which showed the greatest cellular interaction of the three ELP_{BC}s studied herein, shows a steady increase in size with temperature. This may also facilitate cluster formation due to the greater probability of covering multiple integrins prior to clustering. While additional studies are needed to fully understand the reasons behind these differences in binding, there appears to be a clear effect of micelle architecture on its multivalent interaction with a specific cell surface receptor.

Finally, these biopolymers have other ancillary attributes that make them attractive for the targeted delivery of drugs and imaging agents. They can be readily overexpressed from a synthetic gene with a low-affinity peptide ligand appended at their hydrophilic terminus and unique reactive sites for conjugation of drugs or imaging agents at the hydrophobic end of the polymer, ensuring convenient synthesis. They are readily purified with sufficient yield and

high purity by means of their phase transition behavior. The ELP_{BC}s are also monodisperse and exhibit a precisely defined nanoscale architecture following self-assembly. Finally, the thermally triggered micelle self-assembly of these ELP_{BC}s is retained in serum (Sup. Fig. 4), suggesting that these polymers will retain their targeting properties following systemic *in vivo* administration. To the best of our knowledge, this is the first example of a rationally designed polymer that exhibits dynamic modulation of receptor binding affinity in response to an external trigger.

Although these results are promising for dynamic multivalent targeting, they represent only the first step towards translation of these findings into a nanoscale carrier that will have clinical utility to target specific tissue and organs. The first and outstanding challenge is to re-engineer the RGD-ELP-64/90, which exhibited the largest magnitude of DAM, to exhibit a CMT between 39 – 43 °C under physiological conditions, the current approved temperature range for mild hyperthermia.²⁶ RGD-ELP-64/90 shows self-assembly into nanoparticles in serum with a CMT of 33 °C (Sup. Fig. 3), which is 7 °C lower than the target CMT of 40 °C. We believe, based on our previous experience in designing ELPs, that moving the CMT of this ELP_{BC} into the desired range for mild clinical hyperthermia should be possible by a subtle alteration of the guest residue composition of the hydrophobic block, without compromising its self-assembly or ligand presentation. The second challenge is to select a drug that does not perturb the self-assembly process. In this regard, we believe that a rational strategy is to match the hydrophobicity of the drug with that of the hydrophobic core. Future studies will focus on addressing these challenges to move DAM into a preclinical animal model.

Methods

Nomenclature

ELPs are described by the nomenclature ELP[V_xA_yG_z]_m, where m refers to the number of pentapeptide repeats and x, y, and z refer to the relative fraction of valine, alanine, and glycine in the guest residue position along the length of the protein, respectively. The number in the shorthand ELP description refers to the number of pentapeptide repeats in the particular segment. All block copolymers used in this study have the composition ELP[V₁A₈G₇]/ELP[V₅] and the homopolymer has the composition ELP[V₅A₂G₃]. For example, the di-block copolymer ELP-64/90 consists of two blocks, the first is composed of 64 pentapeptides and the second of 90 pentapeptides. In contrast, the ELP-150 consists of 150 pentapeptides.

ELP Cloning and Expression

The ELP[V₁A₈G₇]/ELP[V₅] block copolymer gene and the ELP[V₅A₂G₃] gene were synthesized using the recursive directional ligation method, described previously⁷. Unmodified pET-25b plasmid was digested with *EcoRI* and *NdeI* (New England Biolabs, Ipswich, MA) and purified using a gel extraction kit (Qiagen, Valencia, CA). Synthetic oligonucleotides encoding the sense and antisense strands of the N-terminal leader and C-terminal trailer peptide sequences in the ELPs (IDT, Coralville, IA) were annealed to form a cassette with *EcoRI*- and *NdeI*-compatible ends. These cassettes were ligated into *EcoRI*/*NdeI* digested pET-25b and transformed into Top10TM competent cells (Invitrogen, La Jolla, CA) to create the modified pET-25bAS2 and pET-25bSV2 expression vectors (Sup. Fig. 4). Following confirmation by restriction digestion, the pET-25bAS2 and pET-25bSV2 vectors were digested with *SfiI* (New England Biolabs, Ipswich, MA) and purified by gel purification, as above. The gene corresponding to ELP-64/90 was ligated into both modified pET-25b vectors and transformed into BLR competent cells (Novagen, Madison, WI). The insertion of the ELP-64/90 and ELP-150 genes into each vector was confirmed by gel electrophoresis of plasmids digested with *XbaI* and *HindIII* (New England Biolabs, Ipswich, MA) followed by DNA sequencing.

ELP Purification

All ELPs used in this study were expressed by a hyperexpression protocol, as follows: BLR *E. coli* containing the ELP plasmid were grown overnight in a shaker incubator in 50 mL TB Dry media (Mo Bio Laboratories, Inc., Carlsbad, CA) and 1 mg/mL ampicillin at 37 °C and 270 rpm. The resulting cultures were centrifuged to collect the cells, and the cell pellet was resuspended and grown overnight in 1 L of TB Dry media with 1 mg/mL ampicillin at 37 °C and 270 rpm. The cells were harvested for the culture and lysed, and the ELP was then purified using the inverse transition cycling (ITC) purification method, as previously described¹². Each ELP was purified from the soluble fraction of cell lysate by 5 rounds of ITC, then resuspended in PBS, and stored at -20 °C until further use.

Fluorophore Conjugation

1 mL of 200 μM ELP (all ELPs in this study) was pelleted by centrifugation at 16000 rcf at 50 °C, a temperature that is above the T_t of the ELP. The resulting pellet was resuspended in 900 μL conjugation buffer (0.1 M NaPO₄, 3 mM tris(2-carboxyethyl) phosphine hydrochloride (Thermo Scientific, Waltham, MA) at room temperature. 1 mg of AlexaFluor488-C5 maleimide (AF488, Invitrogen, Carlsbad, CA) was then dissolved in 100 μL anhydrous dimethyl sulfoxide (Sigma-Aldrich, St. Louis, MO), immediately mixed with ELP/binding buffer solution, and continuously rotated at RT. The reaction was quenched after 2 hours and excess fluorophore was removed by one round of ITC and desalting *via* a PD-10 desalting column (GE Healthcare, Waukesha, WI). The ELP-Alexa488 was concentrated to 1 mL total using the aforementioned ITC method and stored at -20 °C.

Dynamic Light Scattering

100 μL of 10 μM ELP in PBS was filtered using a 0.02 μm syringe filter (GE Healthcare) and 35 μL of the filtered solution were added to each well of a 384-well plate (Corning, Corning, NY). Small drops of mineral oil were added to the top of each well to prevent evaporation. The wells in the plate were analyzed using a thermally-controlled dynamic light scattering Wyatt Plate Reader (Wyatt Technology, Santa Barbara, CA). Ten acquisitions were obtained for each well in 1 °C increments from 20 – 45 °C. The resulting data was fit using a Rayleigh sphere model and either a regularization or cumulant algorithm based on the sum-of-squares value. Populations comprising less than 2% of the total mass were excluded from the analysis. This data was used to directly determine the average hydrodynamic ratio (R_h) and molecular weight (MW) of the particles in solution. The number of unimers per micelle, coordination number, was estimated by:

$$Z = \frac{MW_{micelle}}{MW_{unimer}} \quad (2)$$

and ligand density was estimated by:

$$\rho_{ligand} = \frac{Z}{4\pi R_h} \quad (3)$$

The critical micelle temperature (CMT) for each construct was defined as the first temperature where R_h is significantly greater than the average unimer R_h .

Thermal Characterization in Serum

The phase transition behavior of each ELP was characterized in serum by monitoring the absorbance of a 10 μM ELP in fetal bovine serum (Sigma-Aldrich) at 350 nm as a function of

temperature (1 °C/min) on a UV-vis spectrophotometer equipped with a multicell thermoelectric temperature controller (Cary 300 Bio; Varian, Inc., Cary, NC). For ELP_{BCS}, the CMT was defined as the temperature at which the optical density (OD) first increased from baseline.

Cell Culture

Both wild type human leukemia K562 cells, K562 ($\alpha_v\beta_3^-$), and a stable variant transformed with the gene encoding $\alpha_v\beta_3$ integrin, K562/ $\alpha_v\beta_3$ ($\alpha_v\beta_3^+$), were a generous gift from Dr. S. Blystone at Upstate Medical University²³. Both cell lines were maintained in Iscove's modified Dulbecco's medium (IMDM) (Invitrogen) or RPMI 1640 supplemented with 10% FBS, 1% penicillin-streptomycin, and 2 mM L-glutamine and maintained at 37 °C and 5% CO₂. K562- $\alpha_v\beta_3$ media also contained 500 µg/mL of G418 (Invitrogen). Flasks were started from frozen cell stocks. Cells were split once every 48 hours.

Receptor Expression

500,000 K562 or K562/ $\alpha_v\beta_3$ cells were plated in 6-well plates and allowed to incubate overnight. Cells were visually inspected, rinsed twice, concentrated to 500 µL *via* centrifugation, and added to a 1.5 mL centrifuge tube. 3 µg LM609 anti- $\alpha_v\beta_3$ Ab conjugated to AF488 (Millipore, Billerica, MA) was added to each tube, and cells were incubated at RT for 1 hour. Cells were then rinsed three times and analyzed by flow cytometry (n = 3).

Cell Uptake/Binding

500,000 K562 or K562/ $\alpha_v\beta_3$ cells were plated in 6-well plates and allowed to incubate overnight. Cells were visually inspected, rinsed twice, and resuspended in 500 µL of a 10 µM ELP-AF488 cell suspension (HBSS, 1 mM CaCl₂). Each sample was rotated at either room temperature or 40 °C in normal atmosphere for 1 hour and rinsed in binding buffer 3 times. Cells for flow cytometry analysis were fixed in 4% PFA for 15 minutes (Alfa Aesar, Ward Hill, MA) and stored at 4 °C (n = 3). Cells for confocal analysis were immediately mounted on slides and imaged using confocal microscopy.

Flow Cytometry Analysis

Fixed cell samples were analyzed using a LSRII Flow Cytometer (BD Biosciences, San Jose, CA). All samples of fixed cells were analyzed within 18 hours of fixation. *Viable* cells were gated using the forward scatter and side scatter plots of an unstained control sample. A minimum of 10,000 live cells were analyzed per sample. For Ab characterization, cells with intensity 2 standard deviations (SD) over the median intensity of unlabeled control cells were defined as receptor-positive. For binding/uptake experiments, heated cells with intensity 2 SD over the intensity of unheated cells were defined as ELP-positive. Fold-increase in median fluorescence intensity was obtained by dividing the corrected median fluorescence intensity of the AF+ region of the heated sample by the corrected median fluorescence of the unheated sample with otherwise identical conditions.

Confocal Imaging

5 µL of unfixed cell sample was mixed with a small volume of Fluoromount-G (Electron Microscopy Sciences, Hatfield, PA) and placed on a glass slide. Samples were then mounted and sealed. Slides were then immediately imaged at 5× and 20× using an LSM5 Pascal confocal microscope (Zeiss, Oberkochen, Germany) with 2 channels for differential interference contrast (DIC) and AlexaFluor488. All images were obtained within 2 hours of slide mounting. Confocal images were not used for quantitative analysis.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

Acknowledgments

We thank Jonathan McDaniel for the TOC graphic. This research was supported by the NIH through a grant (R01 EB-007205) to A.C. and by a NSF IGERT fellowship to A.S. (DGE-0221632, PI: Clark).

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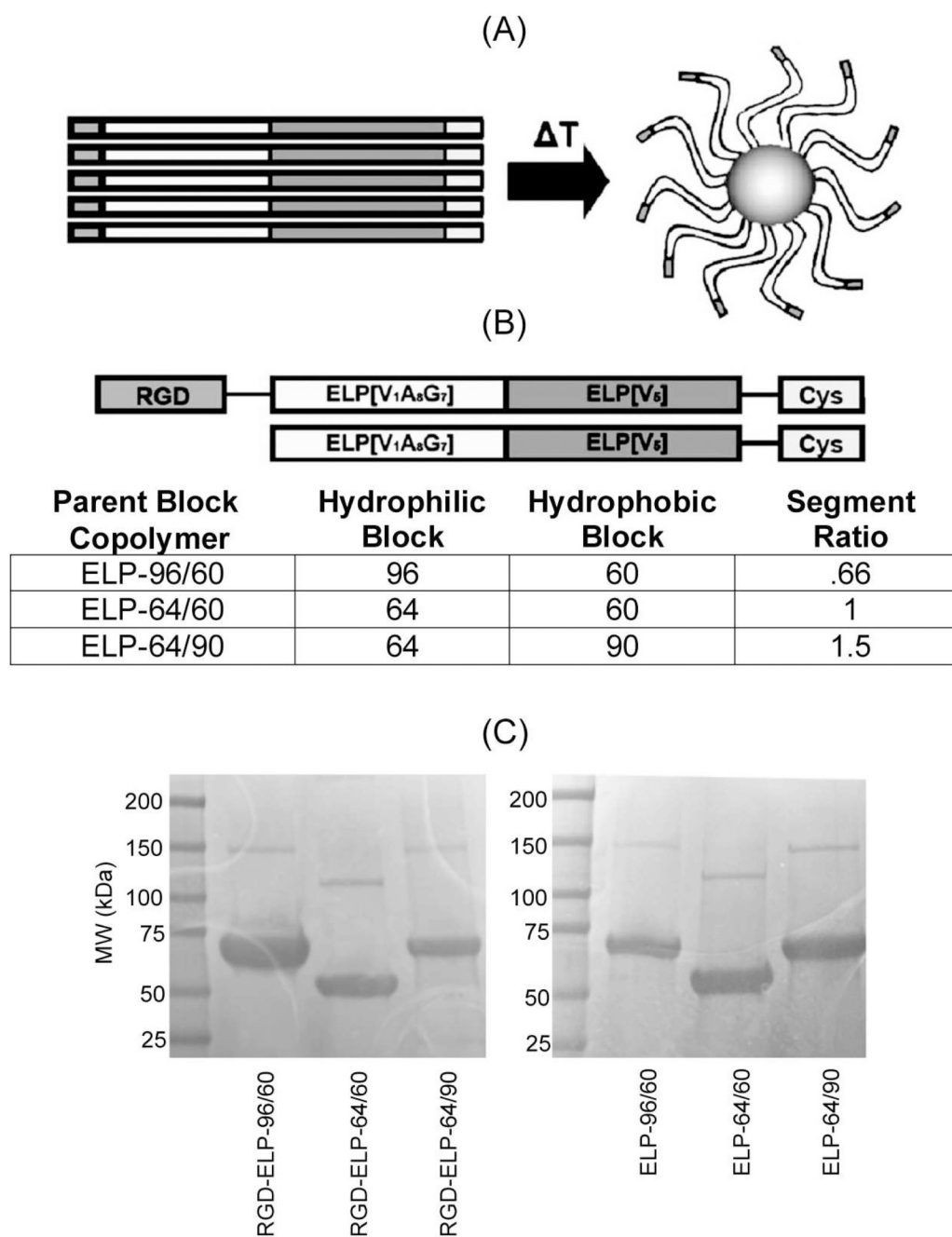
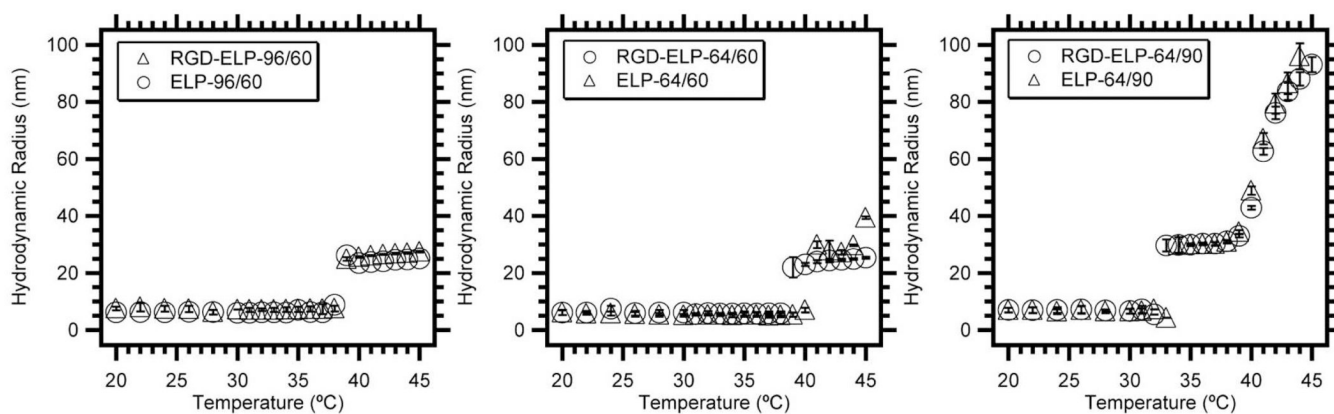


Figure 1.

(a) Schema of DAM *via* temperature triggered self-assembly of an ELP_{BC}. At $T < CMT$, ELP_{BC} exist as soluble unimers and lead to monovalent ligand presentation. At $T > CMT$, the ELP_{BC} unimers self-assemble into micelles following desolvation and collapse of the hydrophobic block. This leads to multivalent ligand presentation in the corona of the micelle. (b) The ELP_{BC}s incorporate an RGD peptide ligand at the hydrophilic terminus and a cysteine residue for conjugation of fluorophores (or drugs) at the hydrophobic terminus. The ligand-negative, control ELP_{BC} does not contain the terminal RGD ligand but includes the C-terminal cysteine. (c) SDS-PAGE of purified RGD-ELP_{BC}s (left) and parent ELP_{BC}s (right) yields a

thick band corresponding to monodisperse purified protein, showing that ELP_{BC} can be purified by ITC.



Construct	CMT (°C)	MW _{unimer} (kDa)	MW _{micelle} (kDa)	Z	R _h (nm)	Termini/nm ²
RGD-ELP-96/60	39	63.8	6292	105	25.0	.0123
ELP-96/60	39	63.2	7381	114	26.7	.0117
RGD-ELP-64/60	39	50.1	5920	99	24.3	.0112
ELP-64/60	41	49.5	8391	140	28.3	.0128
RGD-ELP-64/90	33	63.5	10348	159	30.9	.0122
ELP-64/90	34	62.9	10551	162	31.8	.0118

Figure 2. Dynamic, thermally triggered self-assembly of RGD-ELP_{BCS} and ELP_{BCS}. Hydrodynamic radius (R_h) and molecular weight (MW) of the ELP_{BCS} were measured as a function of temperature by DLS. (a) Both ELP_{BCS} exhibit distinct and stable unimer and micelle regions as a function of solution temperature. The temperature at which the unimer to micelle transition occurs is defined as the CMT (dashed line). (b) Presentation of the RGD peptide ligand on the hydrophilic terminus of the ELP_{BC} altered the self-assembly properties of the ELP-64/90 less than the ELP-96/60 or ELP-64/60 constructs. The resulting terminus density was nearly identical for all ligand and non-ligand constructs.

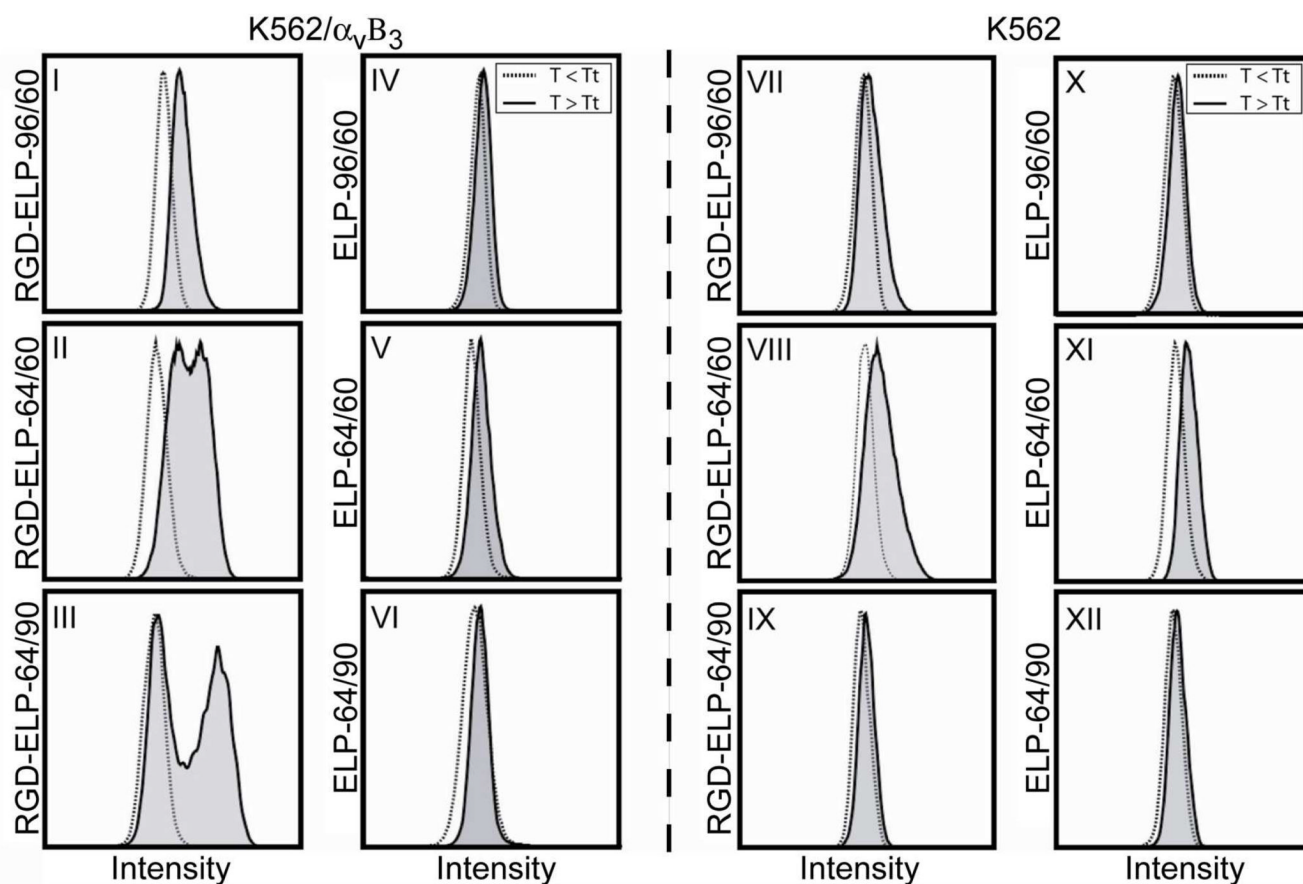


Figure 3.

Flow cytometry analysis of K562 and K562/ $\alpha_v\beta_3$ cells following incubation with $10\ \mu\text{M}$ RGD-ELP-64/90 or ELP-64/90 below (dashed line) and above (solid line) the CMT. There was no significant difference in the histograms of any of the cell populations incubated below the CMT. Neither cell line showed enhanced binding/uptake of the ELP_{BC}s above their CMT, as seen by their similar flow cytometry histograms (panels IV–VI; X–XII), and the RGD-ELP_{BC}s did not show appreciable interaction with K562 cells above the CMT (panels VII–IX). There was a slight increase in binding/uptake of RGD-ELP-96/60 above its CMT by K562/ $\alpha_v\beta_3$ cells, but there was a dramatic increase in the fraction of K562/ $\alpha_v\beta_3$ cells that take up RGD-ELP-64/60 and RGD-ELP-64/90 above their CMT (panels I–III). This of this second peak increasingly shifted right with increasing SR of each ELP_{BC} indicating greater levels of interaction per cell.

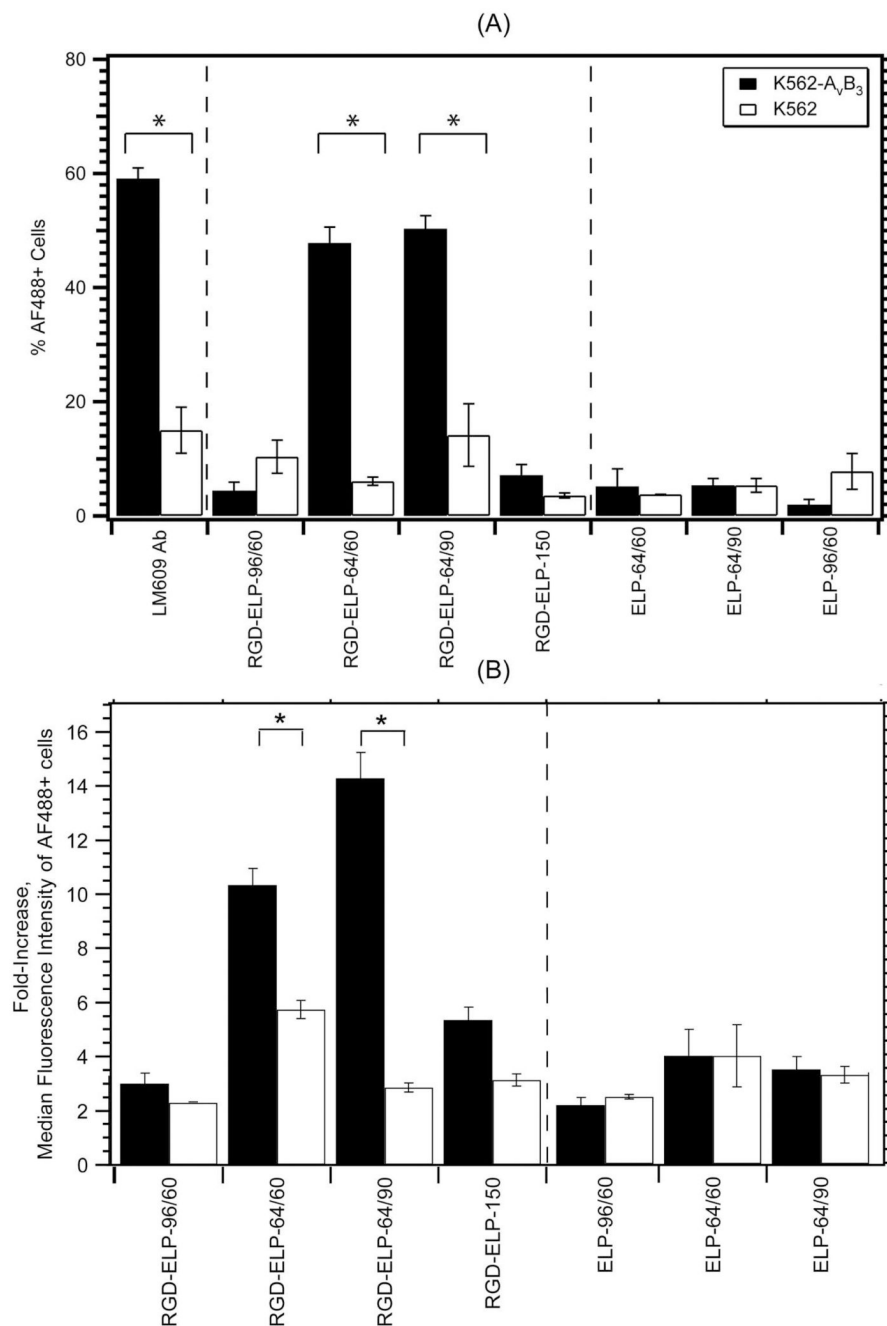


Figure 4.

Analysis of segment ratio (SR) on cellular binding/uptake. (a) Approximately 60% of K562/ $\alpha_v\beta_3$ were positive for the $\alpha_v\beta_3$ receptor as seen by the binding of the LM609 antibody that is specific for the $\alpha_v\beta_3$ integrin. The percentage of AlexaFluor488⁺ cells increased to 50%–60% relative to unheated controls when RGD-ELP-64/90 and RGD-ELP-64/60 were incubated with K562/ $\alpha_v\beta_3$, similar to the % of $\alpha_v\beta_3$ cells. There was no significant increase in % AlexaFluor488⁺ cells with heating for any other combination of construct and cells. (b) The fold-increase in median fluorescence of AlexaFluor488⁺ cells was measured for each cell/construct combination. There was a small increase in fluorescence of both cell lines incubated with the parent ELP_{BCS} and of K562 cells that were incubated with RGD-ELP_{BCS}. The median

fluorescence of $\alpha_v\beta_3$ increased with RGD-ELP-64/60 and RGD-ELP-64/90 above their CMT, while there was a slight increase in binding of RGD-ELP-150 and RGD-ELP-96/60 by $\alpha_v\beta_3$. The median fluorescence also increased with increasing SR of the RGD-ELP_{BCS}.

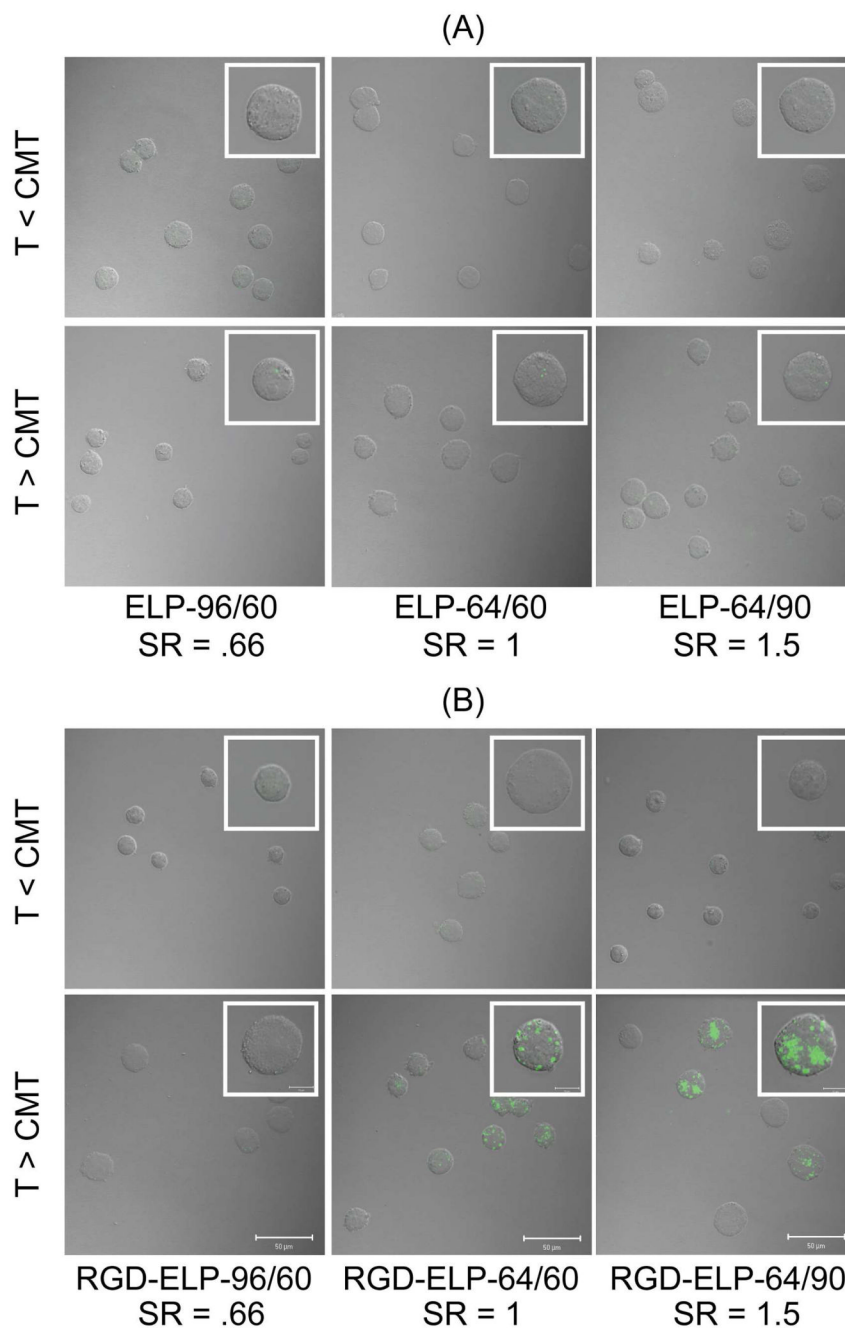


Figure 5.

Confocal fluorescence images of K562/ $\alpha_v\beta_3$ cells following incubation with 10 μM of various ELP_{BC}s (green). (a) There was no visible binding of any of the ELP_{BC} by K562/ $\alpha_v\beta_3$ cells when they were incubated below and above the CMT with the ligand-negative ELP_{BC} controls, demonstrating that micelle formation alone does not promote nonspecific interaction. (b) There was also minimal visible binding/uptake of all three RGD-ELP_{BC} below or above the CMT when incubated with K562 cells, showing that overexpression of the receptor is necessary for enhanced interaction. (c) There was no visible binding/uptake of RGD-ELP-64/60 or RGD-ELP-64/90 below the CMT by K562/ $\alpha_v\beta_3$ cells, but there was significant binding/uptake of RGD-ELP-64/60 or RGD-ELP-64/90 above their CMT. A binary population of highly

fluorescent and non-fluorescent cells in the field of view was observed by fluorescence microscopy, corresponding to the bimodal distribution seen in the flow cytometry histogram in panels II and III in Fig. 3. Size bar = 50 μ M.