Spatial and epidemiological drivers of *Plasmodium falciparum* malaria among adults in the Democratic Republic of the Congo

Molly Deutsch-Feldman ⁽ⁱ⁾, ¹ Nicholas F Brazeau, ¹ Jonathan B Parr, ² Kyaw L Thwai, ¹ Jeremie Muwonga, ³ Melchior Kashamuka, ⁴ Antoinette Tshefu Kitoto, ⁴ Ozkan Aydemir, ⁵ Jeffrey A Bailey, ⁵ Jessie K Edwards, ¹ Robert Verity, ⁶ Michael Emch, ⁷ Emily W Gower, ¹ Jonathan J Juliano, ^{1,2,8} Steven R Meshnick¹

ABSTRACT

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For numbered affiliations see end of article.

Correspondence to Molly Deutsch-Feldman; mollydf1@live.unc.edu

Background Adults are frequently infected with malaria and may serve as a reservoir for further transmission, yet we know relatively little about risk factors for adult infections. In this study, we assessed malaria risk factors among adults using samples from the nationally representative, cross-sectional 2013–2014 Demographic and Health Survey (DHS) conducted in the Democratic Republic of the Congo (DRC). We further explored differences in risk factors by urbanicity.

Methods Plasmodium falciparum infection was determined by PCR. Covariates were drawn from the DHS to model individual, community and environmentallevel risk factors for infection. Additionally, we used deep sequencing data to estimate the community-level proportions of drug-resistant infections and included these estimates as potential risk factors. All identified factors were assessed for differences in associations by urbanicity. Results A total of 16126 adults were included. Overall prevalence of malaria was 30.3% (SE=1.1) by PCR; provincelevel prevalence ranged from 6.7% to 58.3%. Only 17% of individuals lived in households with at least one bed-net for every two people, as recommended by the WHO. Protective factors included increasing within-household bed-net coverage (Prevalence Ratio=0.85, 95% CI=0.76-0.95) and modern housing (PR=0.58, 95% CI=0.49-0.69). Communitylevel protective factors included increased median wealth (PR=0.87, 95% CI=0.83-0.92). Education, wealth, and modern housing showed protective associations in cities but not in rural areas.

Conclusions The DRC continues to suffer from a high burden of malaria; interventions that target high-risk groups and sustained investment in malaria control are sorely needed. Areas of high prevalence should be prioritised for interventions to target the largest reservoirs for further transmission.

INTRODUCTION

Malaria remains an important cause of morbidity and mortality in the Democratic

Key questions

What is already known?

- Malaria transmission is high in the Democratic Republic of the Congo (DRC), though adults are understudied and may serve as a reservoir for further transmission.
- Identifying risk factors helps target interventions to high-risk groups.
- Malaria epidemiology differs between urban and rural areas.

What are the new findings?

- Prevalence of *Plasmodium falciparum* infection among adults remains high, approximately 30%, with high spatial heterogeneity.
- ► Risk factors differed between urban and rural areas.

What do the new findings imply?

- Further investment in malaria control programmes in the DRC is needed.
- Malaria control efforts must be tailored to urban versus rural areas to reach high-risk populations.

Republic of the Congo (DRC), which is home to 12% of all cases globally.¹ Understanding malaria transmission in the DRC is critical for furthering efforts to eliminate malaria in sub-Saharan Africa. In addition to the high disease burden, there is evidence that the DRC acts as a bridge of transmission, connecting parasites from East and West Africa.^{2–4} To combat transmission and reduce disease burden, it is important to determine risk factors for infection. These factors can be used to identify individuals or groups more likely to become infected and, therefore, more likely to benefit from programmatic interventions. Adult infections remain understudied, as most malaria deaths occur among

children.⁵⁶ However, adults are frequently infected, often asymptomatically, and may serve as a reservoir for transmission.⁷⁸ Yet, we know very little about risk factors for infection in adults. In this study, we assessed malaria risk factors among adults using data from over 16000 participants from the nationally representative 2013–2014 Demographic and Health Survey (DHS) conducted in the DRC, the largest and most recent health survey conducted in the country.

A small number of studies performed in the DRC have identified important risk factors for infection.^{4 9 10} These studies showed that increasing age, wealth and individual bed-net use are protective, as are increasing community-level bed-net use, lower average temperature and higher altitude.⁹⁻¹² However, these studies included only children under the age of five,^{9 10} or were small and geographically limited.^{11 12} A study of adults in the DRC conducted using data from the 2007 DHS identified several risk factors, such as younger age, male sex and lower individual and community-level wealth¹³; however, no nationally representative risk factor studies of adults have been conducted since.

In this study, we evaluated current individual, household, community and environmental risk factors for malaria among adults. Additionally, because increasing antimalarial drug resistance is a growing concern in the DRC, we sought to understand the relationship between community-level resistance and infection prevalence.^{14 15} Similarly, the association between increasing antimalarial use and malaria prevalence among adults has not been studied in the DRC. Understanding the role of these factors is critical for determining drivers of malaria infection. The different scales of the factors demonstrate the various levels at which malaria interventions can be targeted.

This study builds on previous work in the DRC by evaluating the role of urbanisation on risk factors for infection. Understanding the effect of urbanisation on malaria transmission is a critical part of intervention planning.¹⁶⁻¹⁸ Unlike other infectious diseases, which thrive in cities due to increased population density, malaria transmission is often lower in urban areas as compared with rural areas.^{19 20} This is due to several factors, including reduced vector populations, lower biting rates and better access to therapeutics.¹⁹ As a result, the effects of malaria control programmes have been shown to differ between cities and rural areas. Multiple studies conducted in Nigeria and Benin found that bed-net ownership and use were higher among rural populations than urban.²¹⁻²³ Past work conducted in Liberia determined that the effects of bed-nets differed between the settings, with increasing community-level bed-net coverage being protective in urban areas but displaying no effect in rural areas.²⁴ Additionally, the adaptation of Anopheles species to urban settings indicates the need to further explore the role of urbanisation on malaria risk.²⁵ We explored this relationship in the DRC by assessing whether the effects of various risk factors for infection differed between individuals in urban vers rural areas.

The findings from this study can be used to identify individuals and communities at higher risk for malaria infection in the DRC. They also shed light on differences in the epidemiology of malaria between urban and rural settings.

METHODS

Study population

The data from this study are drawn from the 2013 to 2014 DHS conducted in the DRC. The DHS Program, run by the United States Agency for International Development in conjunction with local governments, carries out periodic cross-sectional surveys in over 90 countries.²⁶ In the DRC, the DHS was conducted by the coordinated efforts of several governmental ministries. Using a nationally representative randomised cluster sampling method, sampling cluster sites were first selected from a map of enumerated areas across the country with the probability of selection proportional to the size (number of households) of the cluster.²⁷ Next, households were randomly selected for inclusion from within clusters.²⁸ Cluster sizes differed between urban and rural areas with approximately 5-15 more households included in rural areas.²⁸ To account for this nested cluster sampling strategy when analysing the data, each cluster was assigned a sampling weight. DHS survey conductors visited selected households, obtained consent and administered an extensive questionnaire covering a broad range of topics including nutrition, education, health history and infectious diseases status.²⁷ Blood samples were collected on filter paper for HIV testing; a second blood spot was also shipped to The University of North Carolina for PCR testing of malaria.

A total of 18257 adults from 12549 households were included and asked to provide blood samples. This included 9601 women (aged 15–49) and 8656 men (aged 15–59). In addition, 9790 children (aged 6 months to 5 years) were part of the survey. Although they were not included in this analysis, they have been examined previously.^{9 1429}

Risk factor selection

Potential risk factors of Plasmodium falciparum infection were determined by consulting previous studies and based on biological plausibility. We selected individual, household and cluster (community) level risk factors that have demonstrated associations with malaria risk.9 10 13 Data regarding these factors were drawn from the DHS questionnaire. Individual factors included age, biological sex, HIV infection status, education and wealth index. The DHS Program calculates wealth index as a composite variable based on each household's assets and housing materials³⁰. Using principal components analysis (PCA), participants were then grouped into wealth quintiles: poorest, poor, middle, rich and richest.³⁰ We also assessed bed-net use, which was determined by whether the individual reported sleeping under a long-lasting insecticide net (LLIN) the previous night. At the household level, we constructed a 'net ratio' variable by dividing the number of total nets per household by the number of individuals within the household. This was then dichotomised into ratios of <0.5 versus those of 0.5 or higher (ie, at least one net for every two household members). We also created a composite 'housing materials' variable, dichotomised as either traditional or modern, based on data from the roof, wall and floor material variables (further details are available in the online supplementary text).³¹ A recent study from The Gambia demonstrated that metal roofs were protective against malaria infection,³² thus we also assessed the relationship between malaria and metal roofing alone, separate from modern housing. Clusterlevel factors included proportion reporting LLIN use, median wealth index and median education level. We also assessed average annual precipitation and temperature as well as the range in temperature (defined as the difference between the average temperature in January and average temperature in July) and the cluster vegetation index.³³ Data regarding these factors were drawn from the DHS Program, which collects a range of environmental and geological data.³³

PCR diagnosis

The primary outcome for this study was P. falciparum malaria infection as determined by real-time PCR. In this manuscript, malaria is defined as infection with P. falciparum parasites. PCR was performed on the blood spots collected as part of the DHS survey. Whole blood collected by finger prick was spotted onto Whatman filter paper, dried at ambient temperature and initially stored with dessicant at -80°C in Kinshasa until punching (one 6mm punch per subject) and shipment to the University of North Carolina (UNC) at Chapel Hill. DNA was extracted from the blood spots using a Chelex extraction assay and used for PCR testing.³⁴ The PCR assay detects the P. falciparum lactate dehydrogenase gene (pfldh) with a limit of detection of 5–10 parasites/mL.^{35 36} The human beta-tubulin (HumTuBB) gene was used as a positive control, and any samples that failed to amplify HumTuBB were excluded. The duplexed *pfldh* and HumTuBB quantitative PCR assay was performed using reaction conditions, primers and quality control measures for highthroughput PCR exactly as previously described.³⁷ All samples were run in duplicate. Samples that amplified *pfldh* in only a single replicate were considered negative if the cycle threshold value was higher than 38. All laboratory assays were completed at UNC

Genetic analyses

Community-level drug resistance was determined using second-generation sequencing data obtained using molecular inversion probes (MIPs) that target known molecular markers of resistance to antimalarial drugs.¹⁴ MIPs are a technology for obtaining highly multiplexed deep sequencing data that have recently been applied to *Plasmodia* species.¹⁴ Using previously generated sequencing data from 1065 children living in 333 clusters enrolled in the 2013–2014 DRC DHS,^{14 15} we assessed single nucleotide polymorphisms (SNPs) of the *pfdhps*

(A437G, K540E and A581G) and *pfcrt* (K76T) genes known to be associated with sulfadoxine-pyramethamine (SP) and chloroquine resistance, respectively.^{14 38–40} We used these individual-level data to estimate cluster-level prevalence for each SNP for the 489 DHS clusters with geolocation data using the *PrevMap* package in R, which fits a spatial model using a Gaussian process.⁴¹ We fit the model to generate estimates of the underlying allele frequency distribution using maximum likelihood and running 10000 simulations. Further model details are available in the online supplementary text.

Urban/rural classification

Potential misclassification of urbanicity is a concern in large surveys with complex sampling frames.⁴² To minimise such misclassification, we conducted a PCA incorporating variables with a demonstrated relationship to urbanicity.^{33 43 44} These variables were degree of built environment, night-time lights, total population as of 2014, population density as of 2014 and estimated travel time to the nearest city. The DHS collects these data from a variety of remote sensing and modelling databases including WorldPop, the Joint Research Centre and the Climate Research Unit at the University of East Anglia.^{33 45–47} The DHS collects these variables for each cluster; all variables were scaled and log transformed for the analysis. From the PCA, we extracted the values for the first principal component (PC) for each cluster, and generated a new urban/rural variable by dichotomising the PC1 value at the 75% percentile (eg, urbanicity is represented by the upper quartile of PC1). The new measure of urbanicity was used to assess differences in risk factors between urban and rural areas. We compared the classifications generated for this analysis to the urban/rural categorisations from the DHS using Pearson's correlation coefficient.

Modelling and statistical analyses

All analyses were performed using the R statistical platform V.3.5.2.⁴⁸ We assessed the relationship between each risk factor and PCR detectable malaria infection using bivariate log-binomial regression models (ie, not adjusted for other variables) to estimate prevalence ratios. We fit models incorporating sampling weights using the survey package in R, which uses weighted generalised estimating equations to properly account for the multi-level sampling scheme and correlation between individuals in the same sampling cluster.^{49 50} This allowed us to assess individual and cluster-level factors simultaneously. As most households had only one adult member, we did not need to account for within-household correlation. We used bivariate models as the aim was to assess marginal associations, not causal relationships.⁵¹ Each identified risk factor was then further assessed to determine if the association with malaria prevalence differed by urban/rural status by including the urban variable and an interaction term between each factor and the urban variable in the model. In general, variables were included in the model in the

form that the data were collected (ie, categorical variables kept as categorical), though some continuous variables were scaled and proportions were logit transformed. As done previously for MIP genetic data, we generated spatially smoothed prevalence and SE estimates using the *PrevMap* package (online supplementary text).⁴¹

In addition to the risk factor modelling we conducted several subsequent analyses. As the DHS asks multiple questions regarding bed-net use, we conducted a sensitivity analysis to compare various coding methods for net use. Further details are presented in the online supplementary text. We also assessed whether the association between individual bed-net use and malaria risk differed across categories of overall cluster malaria prevalence. For this analysis, we used previously published data from children included in the 2013–2014 DHS to determine community-level prevalence in order to avoid including the outcome data of adult infections from 2013 in determining cluster-level prevalences.⁹²⁹

Patient and public involvement

This research was conducted without patient involvement

RESULTS

Our analysis included 16126 adults from the original 18257 initially selected for inclusion in the DHS. Individuals were sampled from 533 geographically dispersed clusters; GPS data were missing for 44 clusters, resulting in 489 clusters for analysis (figure 1). Characteristics describing the individuals with missing GPS data are available in online supplementary table 2. After final data processing, 16363 individuals had complete PCR and DHS covariate data. Two-hundred and thirty-seven individuals were not considered '*de jure*' (members of the sampled household, rather than visitors who slept in



Figure 1 Sites of 2013-2014 DHS sampling clusters.

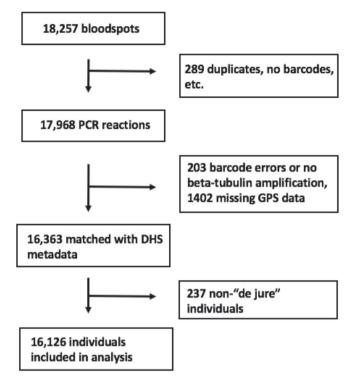


Figure 2 Flowchart of samples included in analysis.

the household the previous night⁵² and, thus, were not included in the analysis), resulting in a final data set of 16126 individuals (figure 2). Overall PCR prevalence of *P. falciparum* infection was 30.3% (SE=1.1). The results of the *PrevMap* analysis demonstrated the high spatial heterogeneity of infection; community prevalence estimates ranged from 0% to 76% (figure 3). The map of the model SEs indicated low variance in the estimates across the DRC (online supplementary figure S1). Prevalence estimates by province ranged from 6.7% in Nord-Kivu to 58.3% in Bas-Uele (online supplementary table 1).

Reported bed-net use was low; though 75% of adults reported owning a LLIN, only 54% reported sleeping under a net the previous night. The average ratio of nets per household member was 0.27, indicating approximately one net per four household members. Only 17% of adults lived in houses with at least one net per two household members; 24% of all households had at least one net for every two people. Baseline characteristics of study participants are presented in table 1.

Risk factor analysis

Several covariates were associated with prevalence of malaria infection (table 2). Individual protective factors included increasing age, female sex, increasing education and increasing wealth index. Protective household factors included living in a house made of modern housing materials and having a metal roof. At the cluster level, increasing median wealth index was protective. Higher use of SP among pregnant women at the cluster level was also found to be associated with lower prevalence of malaria. Drug-resistance mutations were more common in lowprevalence clusters. Increasing average temperature was

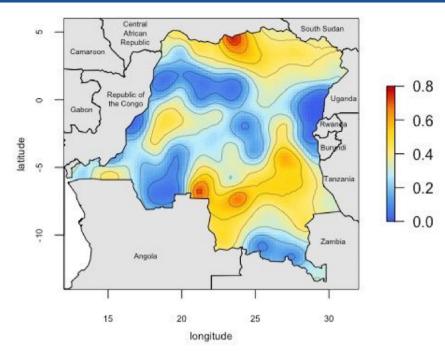


Figure 3 Map of predicted *P. falciparum* PCR prevalence estimates. Smoothed prevalence estimates, incorporating the sampling weights, were generated using the *PrevMap* package in R³⁹. Predicted proportions range from 0-0.76.

associated with increased prevalence of infection as was increasing vegetation. A larger range in average monthly temperature was associated with lower malaria prevalence. Full risk factor modelling results are available in table 2.

We found a protective association of individual LLIN use and no effect of increasing community LLIN use, in contrast to previous findings in the DRC.⁹ At the household level, a net ratio greater than 0.5 (ie, at least one net per two household individuals) was protective, compared with households with a lower ratio. When we stratified individual net use by insecticide type, we observed a protective effect of deltamethrin-treated nets and a signal for protection with alphacypermethrin nets. There was no significant protective effect of permethrin-treated nets, a finding that has been previously reported for children in the DRC.⁹ Restricting LLIN use to only those who had reported using a net treated with deltamethrin or alphacypermethrin, we found a protective effect of increasing community-level net use. The sensitivity analysis of net use showed no differences between measurement options or the coding choice for net use (online supplementary figure 1). Additionally, the sensitivity analysis showed no difference in the association when restricting 'net-use' to only nets under 3 years old. A comparison of individual LLIN net use between adults and children included in the 2013-2014 DHS indicated similar protective effects (online supplementary table 4).

Analysis of associations by Urban/rural status

There were several socioeconomic-related risk factors whose association varied by urban/rural status (figure 4). These included individual-level wealth, with increasing wealth showing a protective effect in urban areas but not rural. A similar trend was observed for increasing individual-level education. Additionally, both modern housing and metal roofs were protective in urban areas but not in rural areas. Cluster-level wealth demonstrated problematically high collinearity with urbanicity and thus could not be modelled. Full numeric results from this analysis are presented in online supplementary table 3.

Overall, urbanicity did not impact the association between LLIN use and malaria prevalence, either at the individual or cluster level. However, when we subset LLIN use to only deltamethrin and alphacypermethrin nets, the only insecticides that demonstrated a protective effect in the initial analyses, we observed that increasing individual and community use of these nets were more protective in rural areas than in urban areas. Similarly, the analysis of LLIN use by cluster-level prevalence found that individual net use was more protective in areas of higher overall malaria prevalence (figure 5).

The correlation between the urban/rural categories generated for this analysis and the original DHS categories was 0.75.

DISCUSSION

In this study, utilising data from the largest and most recent nationally representative health survey conducted in the DRC, we found a high national prevalence of *P. falciparum* malaria (30%) among adults. We also found high spatial heterogeneity. Community-level prevalence estimates reached as high as 76%. Malaria is often considered a paediatric disease as the majority of deaths occur among children.⁵ However, our findings emphasise that the burden of disease among adults is high in the DRC and highlight the importance of adult infections in malaria epidemiology. Addressing adult infections

	PCR positive	PCR negative	Total
Unweighted total number*	5372	10754	16126
Weighted proportion†	30.3%	69.7%	
Individual level†			
Median age (IQR)	26 (19–36)	29 (21–38)	28 (20–38)
Number of females (%)	2360 (47.6)	6202 (54.4)	8562 (52.3)
Number of HIV positive (%)	30 (0.6)	126 (1.1)	156 (0.9)
Education category (%)			
No school	515 (10.4)	1140 (10.0)	1655 (10.1)
Primary school	1618 (32.6)	3346 (29.4)	4964 (30.4)
Secondary school	2662 (53.8)	6079 (53.4)	8741 (53.5)
Higher than secondary	156 (3.2)	826 (7.2)	982 (6.0)
Dwns a bed-net (%)	3615 (72.9)	8589 (75.3)	12204 (74.6)
Slept under bed-net previous night (%)	2462 (49.7)	6422 (56.3)	8884 (54.3)
Vealth category (%)			
Poorest	1059 (21.4)	1840 (16.1)	2899 (17.7)
Poor	1030 (20.8)	2014 (17.7)	3044 (18.6)
Middle	1122 (22.6)	2124 (18.6)	3246 (19.8)
Rich	1041 (21.0)	2249 (19.7)	3290 (20.1)
Richest	704 (14.2)	3178 (27.9)	3882 (23.7)
lousehold level			
Average number of bed-nets per person (SE)	0.25 (0.007)	0.27 (0.008)	0.27 (0.007)
Nodern housing (%)	593 (12.0)	2514 (22.1)	3107 (19.0)
Aetal roofing (%)	1564 (31.6)	5147 (45.1)	6711 (41.0)
Cluster level†			
/ledian age (IQR)	30.0 (28.5–31.3)	29.7 (28.2–31.0)	30.0 (28.6–31.6
Jrban (%)	1329 (26.8)	4297 (37.7)	5626 (34.4)
ledian education (IQR)	2 (1–2)	2 (1–2)	3 (2–3)
/ledian wealth score (IQR)	3 (2–4)	3 (2–5)	3 (2–4)
verage annual centimetres of precipitation (SE)	152.2 (1.4)	149.9 (1.7)	150.6 (1.4)
verage temperature (SE)	24.7 (0.1)	23.7 (0.2)	24.0 (0.2)
/egetation index (SE)‡	3934.5 (52.6)	3660.7 (69.5)	3734.6 (58.7)
% Drug resistance§			
Any pfdhps	92.2 (0.7)	95.1 (0.4)	94.2 (0.5)
pfdhps K540E	23.5 (1.7)	32.9 (2.5)	30.1 (2.1)
pfdhps A581G	1.8 (0.1)	3.9 (0.4)	3.2 (0.3)
pfcrt K76T	56.0 (2.3)	64.8 (1.9)	62.1 (1.8)
% Net ownership (SE)	73.7 (1.3)	75.0 (1.5)	74.6 (1.3)
% Net usage (SE)	52.7 (1.4)	54.6 (1.6)	54.0 (1.4)
% SP use among pregnant women (SE)	25.3 (1.3)	28.0 (1.1)	27.2 (1.1)

*These are unweighted raw numbers and do not represent the sum of the subsequent values in the table as subsequent values incorporate sample weights.

†Proportions and numbers with sampling weights applied.

‡Vegetation index ranges from 0 (least vegetation) to 10000 (most vegetation).33

§Estimates generated using previously published data.^{14 15}

SP, sulfadoxine-pyramethamine.

is critical for eliminating malaria as adults may serve as a reservoir for further transmission of parasites.⁸ The high level of spatial heterogeneity across the country underscores the need to study malaria on a subnational scale and develop targeted strategies for areas of highest prevalence (and thus a larger reservoir). The spatial

Variable	Prevalence Ratio	95% CI	P value*
Individual level			
Age (scaled)	0.86	0.83 to 0.89	<0.001
Female sex	0.83	0.78 to 0.88	<0.001
HIV positive	0.63	0.38 to 1.03	0.067
Education category:			
No school (REF)			
Primary school	1.05	0.92 to 1.18	0.473
Secondary school	0.98	0.85 to 1.13	0.761
Higher than secondary	0.51	0.38 to 0.70	<0.001
Wealth category			
Poorest (REF)			
Poor	0.93	0.83 to 1.04	0.189
Middle	0.95	0.85 to 1.06	0.330
Rich	0.87	0.73 to 1.02	0.088
Richest	0.50	0.40 to 0.61	<0.001
Owns a net	0.92	0.82 to 1.03	0.138
Slept under LLIN	0.83	0.76 to 0.91	<0.001
Net type			
No net (REF)			
Permethrin	0.94	0.78 to 1.12	0.477
Alphacypermethrin	0.68	0.38 to 1.22	0.198
Deltamethrin	0.81	0.73 to 0.91	<0.001
Household level			
Net ratio†	0.85	0.76 to 0.95	0.003
Modern housing	0.58	0.49 to 0.69	<0.001
Metal roofing	0.66	0.58 to 0.75	<0.001
Cluster level			
Urban	0.70	0.59 to 0.83	<0.001
LIN ownership‡	0.97	0.93 to 1.00	0.058
LIN usage‡	0.98	0.92 to 1.04	0.457
LLIN usage (deltamethrin and alphacypermethrin nets only) [‡]	0.95	0.90 to 1.00	0.040
Education	0.91	0.79 to 1.04	0.174
Wealth	0.87	0.83 to 0.92	<0.001
Precipitation (scaled)	1.06	0.97 to 1.17	0.179
Temperature (scaled)	1.32	1.23 to 1.42	<0.001
Temperature range (scaled)	0.91	0.85 to 0.98	<0.001
/egetation index (scaled)	1.18	1.09 to 1.27	<0.001
SP use among pregnant women‡	0.92	0.89 to 0.96	<0.001
Drug resistance prevalence‡			
Pfdhps A437G	0.88	0.85 to 0.91	<0.001
pfdhps K540E	0.95	0.93 to 0.98	<0.001
pfdhps A581G	0.89	0.85 to 0.94	<0.001
pfcrt K76T	0.93	0.91 to 0.96	<0.001

*P value of the test of the null hypothesis that the Prevalence Ratio=1. Values below 0.05 are bolded.

†Ratio ≥0.5 vs <0.5.

‡Logit transformed.

LLIN, long-lasting insecticide net; SP, sulfadoxine-pyrimethamine.

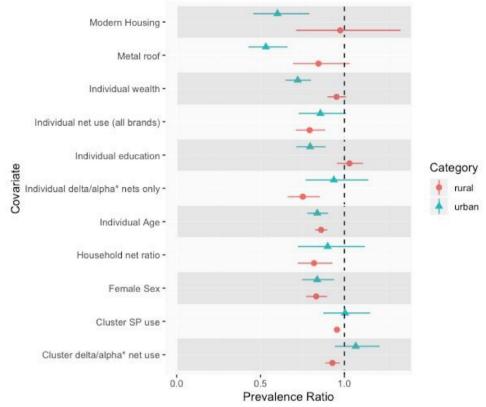


Figure 4 Results of the analysis comparing risk factor effects between urban versus rural areas. Prevalence ratios and confidence intervals by urban status are presented for each risk factor. Urban results are presented with blue triangles, rural results with red circles. Differences in point estimates indicate differences of the prevalence ratio by urbanicity. The associations of several factors, such as modern housing, education, and wealth, demonstrated differences between urban and rural areas. The null value (Prevalence Ratio = 1) is indicated with a vertical dashed line.

distribution of infections among adults matches that of infections among children included in the 2013–2014 DHS, indicating similar underlying spatial processes.⁵³ Similarly, the prevalence map displays a similar pattern to that from adults included in the 2007 DHS.¹³ The areas of high versus low prevalence are likely driven by several factors such as altitude and urbanicity. The mountainous regions of Kivu in the northeast have very little malaria, while the mining districts in southern DRC have higher prevalence.

The national prevalence estimate of 30% among adults is similar to that from the 2007 DHS, suggesting a need for increased investment in malaria control in the DRC and further understanding of drivers of transmission.^{13 54} We observed that the prevalence is unchanged from 2007 despite increases in LLIN coverage and the number of individuals being tested and treated for infection.⁵⁴ Thus, understanding malaria risk factors in all age groups remains critical for the design and implementation of effective interventions. Several risk factors identified in an analysis of the 2007 DHS data were also associated with increased infection prevalence in this study, highlighting their continued importance in the epidemiology of malaria. These include younger age, male sex and lower community-level wealth.¹³ The factors identified in this study, many of which have also been identified in other countries,^{55–57} are particularly

important for identifying infected individuals in the DRC, as many adult infections are asymptomatic and often go undetected.⁸ Thus, targeting malaria interventions towards younger adults, men and poorer communities could maximise their impact.

In this study, we found that individuals living in households with a bed-net ratio greater than 0.5, that is, at least one net for every two household members, had lower prevalence of malaria. This ratio is recommended by the WHO in order to ensure a sufficient number of nets for household members.⁵⁸ Though previous studies have assessed the role of increasing community-level net use, the effect of within household 'net coverage' has not been studied, to our knowledge. Our findings demonstrate the importance of the number of nets within a household and support the WHO recommendation. However, only 24% of households in 2013–2014 DHS had a net ratio of 0.5 or higher. The 2019 WHO World Malaria Report estimated that currently, approximately 50% of households in the DRC have at least one net for every two people.¹ Thus, the DRC is making progress towards achieving the WHO recommendation; however, there is still a lot of work to be done to make sure all households meet the recommendation. It is critical that future bed-net distribution campaigns ensure that enough nets are provided to each household.

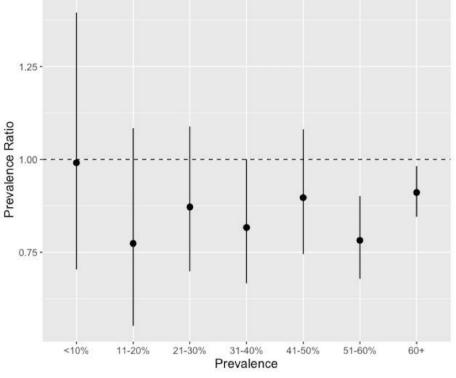


Figure 5 Effect of individual bednet use by cluster-level malaria prevalence. We used previously published data from children included in the 2013-2014 Demographic and Health Survey in order to determine cluster-level prevalence.²⁸ Individual bednet use was more protective in clusters with higher malaria prevalence. The null value (Prevalence Ratio = 1) is indicated with a horizontal dashed line.

In this analysis, we did not observe the overall protective effect of community bed-net use that has been previously demonstrated among children in the DRC, though there was a protective effect of increasing community use of deltamethrin and alphacypermethrin-treated nets.⁹ As mentioned, we did see a protective effect of increasing within household net coverage, which may be a more important factor for reducing infection than community net use. Our findings of greater protection from nets in higher prevalence areas and in rural areas are supported by a recent meta-analysis that found increasing community malaria prevalence associated with a greater protective effect of LLIN use (OR=0.80).⁵⁹ Thus, bed-net distribution campaigns may be more successful in rural or generally higher prevalence areas than in cities.

Poor LLIN efficacy may be due to several factors such as adult sleeping behaviours and changing mosquito biting patterns, and demonstrates that malaria control programmes cannot rely on LLIN use alone. Many adults go to sleep after *Anopheles* mosquitoes begin biting, rendering LLINs less useful.⁶⁰ Additionally, recent studies have demonstrated a shift in *Anopheles* biting hours earlier in the evening as a result of LLIN use.⁶¹ These findings point to the need to consider additional malaria control programmes such as improved community testing for malaria and targeted mass drug administration.^{62–64}

The findings from the genetic analysis of mutations associated with SP and chloroquine resistance indicate that areas of higher prevalence of drug resistance had lower prevalence of malaria infection. While this is a marginal association and does not reflect a causal relationship, this could be due to the amount of antimalarial drug use, leading to lower overall prevalence of malaria but increased resistance through increased selective pressure. Future studies should aim to investigate the causal effect of increasing community-level drug resistance on malaria risk; however, this was not the primary aim of this study. Additionally, cluster-level SNP prevalences were modelled estimates generated using data from children and thus may not be representative of overall communitylevel prevalence.

Modern housing and metal roofing were both associated with lower prevalence of malaria, though the association was more pronounced in urban areas than in rural areas. The effect of metal roofing agrees with findings from a recent study conducted in The Gambia, which found 38% lower mosquito survival and lower malaria prevalence among villages with higher proportions of metal roofs.³² The authors of the study propose that this is due to the higher temperatures of metal roofs during the day, leading to lower mosquito survival. The present findings suggest that housing improvements may help reduce malaria risk, either directly as proposed by the Gambian study, or indirectly through overall improvements in living conditions and socioeconomic status.

This study also highlights differences in the epidemiology of malaria between urban and rural areas, confirming the need to tailor interventions to different

populations in cities versus rural areas. Increasing wealth and education are both highly protective in urban areas but not in rural areas. This may be a result of increased access to prevention and treatment methods in urban areas, as has been observed in other countries. A study from Equatorial Guinea found individuals in rural areas waited longer to seek treatment for malaria infections compared with those in urban areas, and were more likely to be treated at home rather than in a health facility.⁶⁵ These results also agree with findings from previous studies that poor individuals living in urban areas have similar health risks to the general rural population.⁶⁶ Thus, in urban areas, though individuals overall tend to be wealthier, the malaria-positive population is poorer and less educated than those infected in rural areas. Malaria control programmes should take these differences in high-risk populations into account, targeting poorer or less-educated individuals in cities and ensuring that interventions are accessible for these populations. Conversely, interventions in rural areas need to be more widespread and accessible to a more diverse population.

This study has several strengths. First, it uses nationally representative, population-based data from over 16000 individuals, the largest health survey conducted in the DRC. This allows us to make inferences regarding the country as a whole. Other recent malaria risk factor studies have fewer individuals or are conducted in a smaller geographic area.^{11 12} Second, we determined malaria infection using high-throughput real-time PCR, the most sensitive method for diagnosing infection.²⁰ Third, it leverages country-wide drug resistance genotyping data to inform community-level epidemiological analysis. Lastly, this study incorporates multiple types of data including survey, molecular, deep sequencing drug resistance and geospatial data collected at the individual, household, community and environmental level. This allowed us to demonstrate the different scales of malaria risk factors; control programmes should aim to intervene at each of these levels.

The findings from this study are subject to limitations. Several of the covariates included in the study were obtained from self-reports, including LLIN usage. While self-reported data are subject to recall bias, the DHS questionnaire asks multiple questions regarding net use that allowed us to assess bias. The sensitivity analysis comparing these questions indicated no difference in modelling results between the questions, providing confidence that bias from self-reports is minimal. Second, this analysis evaluated marginal associations and thus the findings cannot be interpreted as causal effects. However, the associations are useful for identifying and targeting interventions to higher risk individuals and groups such as younger, less-educated men. Additionally, as the DHS is a cross-sectional survey, we did not assess the effects of seasonality. Finally, we could not directly assess the epidemiology of symptomatic malaria in the DRC as DHS surveys do not sample health facilities.

CONCLUSION

This study evaluated risk factors for *P. falciparum* infection among adults in the DRC using data from the nationally representative, population-based DHS survey. Overall prevalence of infection was high, 30%, and grossly unchanged from the prior 2007 survey. We observed high spatial heterogeneity across the country and identified individual, household and community-level risk factors for malaria such as male sex, traditional housing and decreasing within household net coverage. These findings support the need for sustained investment in malaria control in the DRC and can be used to develop targeted interventions with maximal impact.

Author affiliations

¹Department of Epidemiology, Gillings School of Global Public Health, University of North Carolina at Chapel Hill, Chapel Hill, North Carolina, USA ²Division of Infectious Diseases, Department of Medicine, School of Medicine,

University of North Carolina at Chapel Hill, Chapel Hill, North Carolina, USA ³National AIDS Control Program, Kinshasa, Congo (the Democratic Republic) ⁴School of Public Health, University of Kinshasa Faculty of Medicine, Kinshasa, Congo (the Democratic Republic)

⁵Department of Pathology and Laboratory Medicine, Brown University Warren Alpert Medical School, Providence, Rhode Island, USA

⁶Medical Research Council Centre for Global Infectious Disease Analysis, Department of Infectious Disease Epidemiology, Imperial College London, London, UK

⁷Department of Geography, University of North Carolina at Chapel Hill, Chapel Hill, North Carolina, USA

⁸Curriculum in Genetics and Molecular Biology, University of North Carolina at Chapel Hill, Chapel Hill, North Carolina, USA

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Contributors MDF conducted the analyses and drafted the manuscript. NFB provided assistance with data management and the risk factor analyses. JBP and KLT conducted the PCR assays. JM supervised blood spot processing. MK and ATK oversaw sample management. OA and JAB generated and processed the sequencing data. JKE, RV, ME and EWG provided guidance with the study design and provided analytical assistance. JJJ and SRM conceived of the study and oversaw study set-up. All authors edited the manuscript and approved the final draft.

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Patient and public involvement Patients and/or the public were not involved in the design, or conduct, or reporting or dissemination plans of this research.

Patient consent for publication Not required.

Ethics approval This study was approved by the Internal Review Board at The University of North Carolina, Chapel Hill and at the Kinshasa School of Public Health. Informed consent was obtained from each individual age 18 or older, or from a parent or legal guardian for children and adolescents under age 18.

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Data availability statement Data are available in a public, open access repository and upon request. Data used in this study from the Demographic Health Surveys Program are available upon request at (https://dhsprogram.com/what-we-do/ <u>d</u>

survey/survey-display-421.cfm). Raw sequencing data are publicly available through the NCBI SRA (accession number: PRJNA545347). Molecular data included in this study are available from the corresponding author upon reasonable request.

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ORCID iD

Molly Deutsch-Feldman http://orcid.org/0000-0001-6191-930X

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