



1 **Title**

2 Two Scientific Communities Striving for a Common Cause: innovations in carbon cycle science

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18 **Abstract**

19 Where does the carbon released by burning fossil fuels go? Currently, ocean and land systems  
20 remove about half of the CO<sub>2</sub> emitted by human activities; the remainder stays in the  
21 atmosphere. These removal processes are sensitive to feedbacks in the energy, carbon, and water  
22 cycles that will change in the future. Observing how much carbon is taken up on land through  
23 photosynthesis is complicated because carbon is simultaneously respired by plants, animals, and  
24 microbes. Global observations from satellites and air samples suggest that natural ecosystems  
25 take up about as much CO<sub>2</sub> as they emit. To match the data, our land models generate imaginary  
26 Earths where carbon uptake and respiration are roughly balanced, but the absolute quantities of  
27 carbon being exchanged vary widely. Getting the magnitude of the flux is essential to make sure  
28 our models are capturing the right pattern for the right reasons.

29 Combining two cutting edge tools, carbonyl sulfide (OCS) and solar-induced fluorescence (SIF),  
30 will help develop an independent answer of how much carbon is being taken up by global  
31 ecosystems. Photosynthesis requires CO<sub>2</sub>, light, and water. OCS provides a spatially and  
32 temporally-integrated picture of the ‘front door’ of photosynthesis, proportional to CO<sub>2</sub> uptake  
33 and water loss through plant stomata. SIF provides a high-resolution snapshot of the ‘side door’,  
34 scaling with the light captured by leaves. These two independent pieces of information help us  
35 understand plant water and carbon exchange. A coordinated effort to generate SIF and OCS data  
36 through satellite, airborne, and ground observations will improve our process-based models to  
37 predict how these cycles will change in the future.

38

## 39 **Introduction**

40 Photosynthesis is the largest flux of the global carbon cycle, and yet the amount of carbon being  
41 fixed by plants is highly uncertain. At scales larger than a single leaf, measuring CO<sub>2</sub> uptake is  
42 complicated by the release of CO<sub>2</sub> via respiration at the same time and place. We can observe  
43 the net effect of photosynthesis and respiration by measuring CO<sub>2</sub> alone, via satellites like  
44 NASA's OCS-2 and OCO-3 or the long record from the NOAA Cooperative Air Sampling  
45 Network. Two approaches have emerged capable of isolating the carbon uptake from  
46 photosynthesis at large spatial scales: measurements of atmospheric carbonyl sulfide (OCS) and  
47 solar-induced fluorescence (SIF). The strength of both SIF and OCS is the ability to scale  
48 measurements up to vast regions. However, perhaps because these methods rely on different  
49 parts of the photosynthetic machinery, the communities developing these techniques have had  
50 limited overlap.

51 Low daytime concentrations of atmospheric OCS indicate that nearby plants are consuming CO<sub>2</sub>.  
52 The first step for plants to remove CO<sub>2</sub> from the atmosphere is the physical movement of the gas  
53 through stomata, tiny openings on leaves, usually at the cost of losing water. Plants open and  
54 close their stomata to regulate carbon and water exchange. While we have a good understanding  
55 of the chemistry behind photosynthesis, we still have a limited understanding of the mechanisms  
56 behind this stomatal functioning. OCS has a similar structure to CO<sub>2</sub> and interacts with the same  
57 enzymes, independent of light conditions. Most OCS is made in the oceans or emitted from  
58 certain industries like rayon manufacturing. Most OCS is consumed in plant leaves after  
59 diffusing through stomata. Observing the lowered concentrations of OCS over vegetated areas  
60 tells us how wide the 'front door' of photosynthesis is open (Whelan et al. 2018).

61 When leaves absorb light, a small fraction is reemitted at a longer wavelength through  
62 fluorescence. SIF is a measure of new photons emitted from the excited state of chlorophyll-A, a  
63 chief player in photosynthesis, after absorption of solar light, thereby providing insight into the  
64 light reactions of photosynthesis. Some SIF photons are produced in parts of the spectrum where  
65 solar light is absent. Using high-resolution spectrometers, the SIF photons can be distinguished  
66 from reflected sunlight. In practice, the magnitude of SIF is proportional to the amount of light  
67 intercepted by light-dependent machinery, or the ‘side door’ of photosynthesis. Measuring the  
68 amount of light re-emitted by leaves gives us an idea of how much light is getting through the  
69 door and ultimately used to power photosynthesis (Porcar-Castell et al. 2014).

70 Both SIF and OCS tools together cover spatial and temporal domains that elude other measures  
71 of photosynthesis. We can already quantify carbon uptake instantaneously on the individual leaf  
72 scale with small leaf chambers attached to water and CO<sub>2</sub> gas analyzers. With eddy covariance  
73 flux towers (Baldocchi et al., 2019), we can estimate photosynthesis on the half hourly and 1 km<sup>2</sup>  
74 scales by observing the net CO<sub>2</sub> exchange and subtracting out modeled respiration from  
75 observations at nighttime or periods when photosynthesis is small or absent. SIF data from  
76 satellites expands our purview to instant snapshots of multiple km<sup>2</sup>, as often as the satellite can  
77 sample. On the ground and from aircraft, SIF spectrometers can give us canopy level estimates  
78 that relate directly to the leaf biochemistry, rather than involving the uncertainty of respiration  
79 estimates. Where SIF data are sparse because of thick clouds or limited satellite overpasses, OCS  
80 observations can represent the integrated signal of carbon uptake over a much larger landscape.  
81 Leveraging the power of both a light-based and a gas-based tracer fills in important gaps in our  
82 knowledge of how much carbon our terrestrial ecosystems can pull out of the air.

### 83 **Separate Uncertainties**

84 The uncertainties of SIF and OCS measurements are eclipsed by our remaining process-level  
85 questions about photosynthesis and respiration on the continental to global scales. As with any  
86 observational approach, there are systematic uncertainties in either. Luckily, OCS and SIF are  
87 used to estimate the same parameters while being affected by separate sources of uncertainty.  
88 By using both OCS and SIF to constrain our estimates of carbon fluxes, we will reduce our total  
89 uncertainties.

90 Most photons intercepted by chlorophyll go to either photochemistry (for food) or  
91 nonphotochemical quenching (for protection), with only 1-2% re-emitted as SIF. Subtle  
92 variations in this efficiency, termed fluorescence yield, contain detailed information about leaf-  
93 level biochemistry (Weis and Berry 1987). Reducing the signal further, some of those newly  
94 emitted photons are intercepted by other leaves in the canopy. This can actually be turned to our  
95 advantage: the variations in SIF measured by canopy scanning spectrometers give us information  
96 about plant canopy structure, providing additional information about whole-plant productivity  
97 that appears to be mostly independent from concerns of fluorescence yield or light absorption  
98 (Zeng et al. 2019). SIF holds the promise of not only providing a new boon of information about  
99 leaf-level biochemistry, but also an entirely new way to study canopy structure and within-  
100 canopy light absorption

101 Remotely sensing SIF still has challenges; however, we can take solace in the fact that none of  
102 the satellite missions from which SIF is currently derived were specifically designed for  
103 dedicated SIF measurements. Rather, satellite-based SIF observations ~~was~~ were enabled in a  
104 fortuitous manner as SIF emissions share a similar spectral range to that needed for cloud and

105 trace gas detection. For SIF, this has led to issues such as low signal-to-noise and coarse satellite  
106 pixels, which have complicated scientific interpretation. Fortunately, new technologies and  
107 observing strategies are likely to overcome many of these challenges.

108 Since OCS is an atmospheric tracer, a different set of issues introduce error into its measurement.  
109 OCS is present in the atmosphere at a level of a million times less than CO<sub>2</sub> and signal-to-noise  
110 detection is challenging. The uncertainty of atmospheric transport modeling makes it difficult to  
111 attribute changes in atmospheric signal to changes in surface uptake. Fortunately, we can  
112 measure OCS and CO<sub>2</sub> at the same geographic point to remove some uncertainty of atmospheric  
113 transport, which affects both gases equally, and help interpret the observations.

114 Many OCS-specific problems incidentally produce useful data. Though not as significant as  
115 plant uptake, soils can produce or consume OCS, governed principally by soil temperature and  
116 moisture content. The uptake of OCS is light-independent and the ratio at which OCS is taken  
117 up relative to CO<sub>2</sub> changes with light levels: at low light, plants can still take up OCS while  
118 photosynthesis starts shutting down. This means that OCS draw down is controlled by stomatal  
119 conductance regardless of light. Nighttime stomatal conductance is an important parameter for  
120 studying the water cycle. OCS observations can give us more information about how much  
121 water escapes out of plant stomata during the dark night.

## 122 **Data Serendipity**

123 Now is the right time for getting into measurements of SIF and OCS, thanks to recent technical  
124 innovations. It is notable how much new information we have already extracted with SIF and  
125 OCS with the little data collected. Both SIF and OCS global, long term datasets were generated

126 by instruments that were designed to measure other phenomena. OCS concentrations were  
127 included in the NOAA Global Flask Network data on a detector originally configured to quantify  
128 other low-concentration atmospheric gases. New commercially available detectors are targeted  
129 specifically at OCS, addressing some of the measurement problems that plagued the pioneers of  
130 these observations. SIF observations require a high spectral resolution spectrometer to  
131 distinguish “additive” fluoresced photons from “reflected” photons in reflected sunlight, and  
132 high spatial resolution footprints to distinguish land types. Thankfully, several existing and  
133 planned satellites collect such data, but the small signal of SIF is difficult to extract from the  
134 noise.

135 The fluorescence of leaves has been known since the 1870s, but fluorescence observable as  
136 distinct from sunlight wasn’t demonstrated until 1990. Spectrometers are now available to make  
137 this measurement remotely in the air and on the ground; however, some manufacturers do not  
138 prioritize consistency between instruments. The observation of SIF requires careful calibration of  
139 a spectrometer: most calibrations will lead to a reported concentration of photons that correlates  
140 to carbon uptake, but inter-comparison of absolute measurements is important.

141 Currently, quantum cascade lasers can be configured to measure OCS concentrations frequently  
142 enough for ecosystem flux measurements. OCS is present in the atmosphere at a concentration  
143 around half a part-per-billion. Before 2010, OCS had to be measured via a complicated pre-  
144 concentration step before injection into a gas chromatograph with an appropriately sensitive  
145 detector. Early studies suffered from high labor cost and method-process mismatches. These  
146 initial studies of leaf and soil OCS exchange fueled the desire to try and extract OCS signals out  
147 of noisy satellite spectroscopic data.

148 New satellite observations of SIF and OCS provide a more comprehensive look into the regions  
149 of the world, such as the tropics, where feedbacks between climate, carbon, radiation, clouds,  
150 and water are moderated by photosynthesis. The satellite-based SIF measurements, when paired  
151 with other satellite measurements of carbon cycle tracers such as CO<sub>2</sub> and CO, have transformed  
152 our understanding of how climate perturbations such as ENSO affect the tropical carbon cycle.  
153 The satellite OCS data, when paired with aircraft measurements, provide direct evidence for a  
154 substantive tropical oceanic source; updating the OCS budgets is an important step towards using  
155 these data to quantify seasonal photosynthesis variability. Current OCS and SIF satellite data  
156 over tropical regions is relatively sparse and likely to remain so, underscoring the importance of  
157 combining space-based methods with airborne and tower-based measurements to reduce  
158 fundamental uncertainties in the processes controlling the carbon cycle.

### 159 **Challenges Remain, but the Future Looks Bright**

160 The scientific community would benefit from space-based sensors specifically designed to  
161 measure OCS and SIF, coordinated with ground measurements. SIF has had a head start, and  
162 two recent articles in *Science* demonstrate how satellites such as GOSAT and OCO-2 are being  
163 used to address remaining challenges. Sun et al., (2017) used the power of OCO-2 SIF to  
164 distinguish GPP across land uses and coordinated airborne measurements to validate satellites  
165 and capture within pixel variability. Liu et al., (2017) leveraged GOSAT and OCO-2 SIF and  
166 CO<sub>2</sub> to break down the tropical carbon cycle into a discrete set of ecosystem processes, which  
167 interact with carbon and climate in unique, and previously unknown, ways.

168 Additionally, a new satellite was just launched and two others are planned for SIF measurements.  
169 The TROPOMI satellite has already collected nearly two years of data continuously in time



170 (daily) and space (7x3.5 km<sup>2</sup>) combining the strengths of approaches used for previous satellite  
171 missions (Köhler et al. 2018). The OCO-3 sensor has been measuring SIF on ISS with other  
172 ecosystem tracers (biomass from GEDI, evapotranspiration from ECOSTRESS) since June 2019.  
173 GeoCarb is targeted for launch in 2022 and will be the first geostationary satellite to measure  
174 SIF.

175 OCS measurements have been retrieved from satellite spectrometers that were already launched,  
176 but no OCS-specific space-based sensors are planned for the future. Several satellite products  
177 report OCS measurements in the upper troposphere and stratosphere: NASA's TES (Kuai et al.  
178 2014), ESA's MIPAS (Glatthor et al. 2017) and IASI (Vincent and Dudhia 2017) and the  
179 Canadian Space Agency's recently improved ACE-FTS (Kloss et al. 2019). This latter product  
180 can also be used to estimate ratios of OCS isotopologues (Yousefi et al. 2019). For ecosystem  
181 science applications, OCS boundary layer measurements are needed to supplement satellite  
182 observations, particularly over land. A targeted satellite approach could make OCS estimates  
183 nearer to the Earth's surface possible and open up a wider field of questions that OCS data can  
184 answer.

185 Combining both SIF- and OCS-based tools is a very powerful method of measuring global plant  
186 activity. This article was conceived at the OCS, CO<sub>2</sub>, and SIF study funded by the W.M. Keck  
187 Institute for Space Studies in 2017. At the time, we did not have enough data analyzed to  
188 harmonize the two approaches and compare estimates of photosynthesis on large scales. This  
189 will be the goal of an upcoming workshop in 2021. With a suite of other more established  
190 tracers, like heavy water, we can get a better picture of how much carbon is flowing into  
191 ecosystems and how much water is escaping back into the atmosphere. When we have a more

192 accurate map of ecosystem function, we can explore and improve our existing process-based  
193 ecosystem models. We need to understand how the Earth is breathing now to know how resilient  
194 it will be to future change.

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## 198 **Further Reading**

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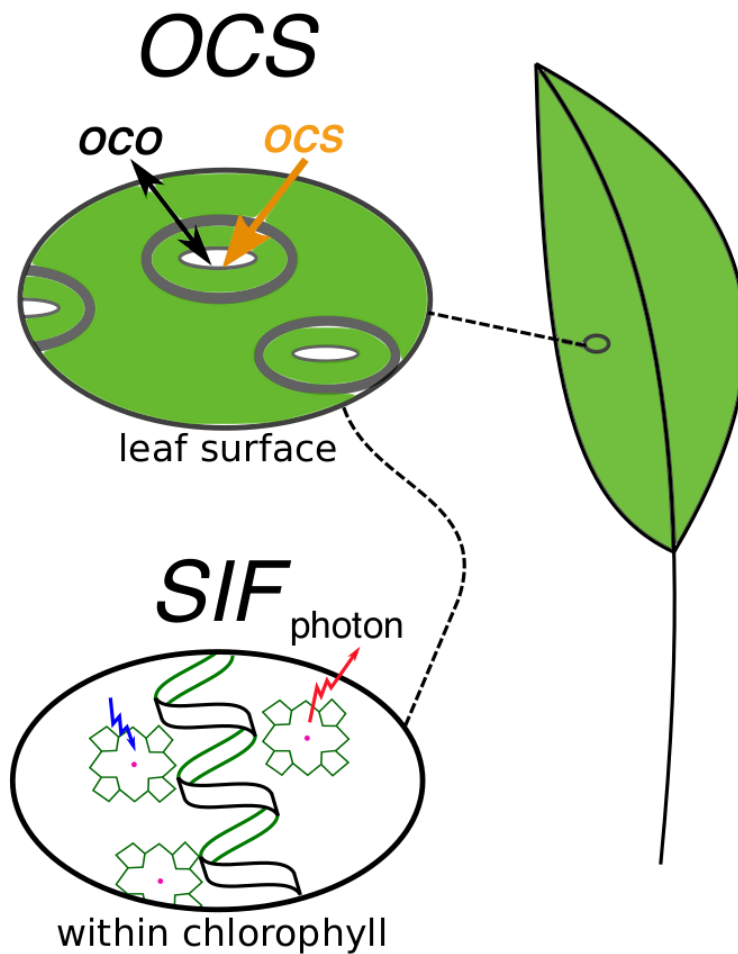
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236 **Figure 1 (Diagram).** OCS is a gas present everywhere in the troposphere at around 0.5 parts-  
 237 per-billion. OCS is destroyed in plant leaves by the same enzymes as CO<sub>2</sub> and in proportion to  
 238 how wide the stomata or “front door” of photosynthesis is open. SIF are new photons produced  
 239 when leaves receive more light than can be used. Some of these photons have wavelengths the  
 240 sun does not make and can be distinguished from reflected sunlight.



241

242 **Figure 2 (Photo).** Troy Magney and Katja Grossmann maintain a SIF-enabled spectrometer on a  
243 tall tower used to measure CO<sub>2</sub> at Niwot Ridge, Colorado. Surface trace gas exchange  
244 measurements using a combination of techniques allow us to compare traditional to cutting edge  
245 datasets and benchmark new observations from satellites. Photo courtesy of Christian  
246 Frankenberg.

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