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Comments

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Synergistic use of Remote Sensing and Modeling for Estimating Net Primary Productivity in the Red Sea with VGPM, Eppley-VGPM and CbPM models Intercomparison

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Abstract- Primary Productivity (PP) has been recently investigated using remote sensing based models over quite limited geographical areas of the Red Sea. This work sheds light on how phytoplankton and primary production would react to the effects of global warming in the extreme environment of the Red Sea and, hence, illuminates how similar regions may behave in the context of climate variability. Our study focuses on using satellite observations to conduct an intercomparison of three net primary production (NPP) models-the VGPM (Vertically Generalized Production Model), the Eppley-VGPM and the CbPM (Carbonbased Production Model) - produced over the Red Sea domain for the 1998-2018 time period. A detailed investigation is conducted using multilinear regression analysis, multivariate visualization and moving averages correlative analysis to uncover the models' responses to various climate factors. Here we use the models' 8day composite and monthly averages compared with satellitebased variables including chlorophyll-a (Chla), mixed layer depth (MLD) and sea surface temperature (SST). Seasonal anomalies of NPP are analyzed against different climate indices, namely, the North Pacific Gyre Oscillation (NPGO), the Multivariate ENSO Index (MEI), the Pacific Decadal Oscillation (PDO), the North Atlantic Oscillation (NAO) and the Dipole Mode Index (DMI). In our study, only the CbPM showed significant correlations with NPGO, MEI and PDO, with disagreements relative to the other two NPP models. This can be attributed to the models' connection to oceanographic and atmospheric parameters, as well as the

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H. M. El-Askary is with the Schmid College of Science and Technology, Chapman University, Orange, CA 98266 USA, Center of Excellence in Earth Systems Modeling & Observations, Chapman University, Orange, CA 92866 trends in the southern Red Sea, thus calling for a further validation efforts.

Index Terms— Primary production, remote sensing, ocean color, Red Sea, VGPM, Eppley-VGPM, CbPM, climate indices.

I. INTRODUCTION

arine primary productivity is the rate at which Manne primary production (mainly phytoplankton) produce organic compounds in the marine ecosystem. The net primary productivity (NPP) is considered as the main indicator of the biogeochemical cycle since nearly half of the global photosynthetically fixed carbon is derived from ocean phytoplankton [1], [2]. Therefore, accurate estimation of NPP is of great interest in the assessment and studies of fisheries source management, marine ecology systems and climate processes [3]. However, traditional ship-based in situ measurements are limited in their ability to capture the largescale spatial and temporal dynamics of NPP, and are time consuming and expensive [4], [5]. Fortunately, satellite-borne sensors can address these shortcomings through their routine observations of the dynamics of the ocean surface, providing fundamental means for estimating oceanic NPP on large spatiotemporal scales [6]-[9]. Such observations help in

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accurately assessing the PP, which quantifies the amount of fixed carbon from photosynthesis, processes [10]. Many satellite-based NPP models have been proposed in recent years and are categorized by type as i) chlorophyll-based, ii) carbonbased and iii) phytoplankton absorption-based models [11] that will be further discussed in section II.B; or according to their complexities as i) wavelength resolved (WR), ii) depth resolved (DR), iii) wavelength integrated (WI), iv) time integrated (TI) and v) depth integrated (DI) [12]-[22]. These models have undergone extensive validation and accuracy assessment, through campaigns such as the Primary Productivity Algorithm Round Robin (PPARR), resulting in usage and conversion of remotely sensed environmental variables into PP [4], [15], [23]-[26]. Consequently it was found that the NPP data estimated from satellite based methods failed to show the seasonal variabilities and temporal trends in selected tropical regions (e.g., the tropical Pacific) [23], and underestimated the total PP [26]; moreover complex NPP models did not improve NPP estimates relative to simpler models [15].

Compared to other tropical regions, fewer studies exist that estimate PP for the Red Sea, and those that exist cover limited areas [27]. This is partly due to scarcity of oceanographic data because of the few surveys conducted in the waters of the Red Sea, although its marine resources are shared by eight countries (Saudi Arabia, Egypt, Sudan, Eritrea, Yemen, Israel, Jordan and Djibouti, in order of area of territorial waters). The extreme paucity of in situ data highlights the need for better estimates of PP in the Red Sea environment. Previous work from the King Fahd University of Petroleum and Minerals (KFUPM) undertook several multidisciplinary cruises in the Red Sea measuring physical and chemical parameters that could impact the PP in Saudi Arabian waters [27]–[31].

The Red Sea is a narrow, marginal oceanic basin in the northwest Indian Ocean. This basin extends from the Straits of Bab al Mandeb at 12.5°N in the south and branches off to 30°N in the Gulf of Agaba (Eilat) to the northeast and the Gulf of Suez to the northwest. The Red Sea is 2250 km in length and 355 km in maximum width [32], [33]. Its seawater volume is approximately 233,000 km₃, occupying an area of 4.51×10⁵ km2 with a maximum depth of 3040 m and an average depth of 490 m [27]. The Red Sea has the highest salinity of any major tropical oceanic basin due to its lack of river inflow, low precipitation rate (<100 mm/year) [34], [35] and high evaporation rate (>210 cm/year) [36]. Because of its high salinity and temperature, the Red Sea becomes a natural laboratory to examine the responses of phytoplankton and coral reefs to the impacts of climate change [37]-[40]. The Red Sea can be conveniently divided into four major geographic regions [39], [41]. From north to south these are designated the Northern Red Sea (NRS) (28°N to 24°N), the North Central Red Sea (NCRS) (24°N to 20°N), the South Central Red Sea (SCRS) (20°N to 17°N), and the Southern Red Sea (SRS) (17°N to 13°N) (Fig. 1)

The Red Sea is characterized by meridional circulation, which involves the southward flow of dense waters from the northern basin along the basin bottom to the Gulf of Aden (GA), as well as compensatory flow from GA into the Red Sea, which includes the movement of subsurface Gulf of Aden Intermediate Water (GAIW) for part of the year (summerautumn) and surface waters for the rest (winter-spring) [32]. Traditionally, the Red Sea is defined as an oligotrophic water body with surface chlorophyll-a (*Chla*) <2.6 mg/m3 with an increasing north-south gradient [36], [42]. However, recent studies showed the Red Sea's biomass and PP are significantly influenced by eddy activities [43]. These eddies bring nutrient rich subsurface GAIW to the surface, stimulating notable phytoplankton blooms. In addition, the phytoplankton diversity in the Red Sea is quite high with at least 463 identified phytoplankton species [27]. Therefore, the notion of low levels of PP in the oligotrophic waters of the Red Sea needs to be reconsidered.

For example, over six million tons of dust deposit into the Red Sea every year [27]. Summer dust storms are common along both coastlines, carrying phytoplankton-needed nutrients to the oligotrophic waters in the NRS and NCRS. However, frequent dust storms also block satellite observations of large areas of the SCRS and SRS [39], [41], resulting in limited availability of ocean color data for NPP modelling, especially from late boreal spring to early fall. Additionally, large and unevenly distributed dust has an effect on the energy balance of the Red Sea. This asymmetric effect may exert a significant influence on the regional atmospheric and oceanic circulations [44] and may impact the PP.

In this study we evaluated the performance of three different global NPP models in the Red Sea region namely, the Vertically Generalized Production Model (VGPM), the Eppley-VGPM (abbr. as Eppley) and the Carbon-based Productivity Model (CbPM) [13], [22], [45], to understand the PP as well as PP regulating factors and trends. Although having in-situ measurements for quantifying the models' skill is ultimately desired. However, model inter-comparison still allows us to identify either the environmental conditions or the different satellite derived parameters contributing to the models' different results and divergence.

II. BACKGROUND

A. Study region

In this study, we address the NPP estimation over different regions of the Red Sea. For each region, 16 sample points were used to collect different parameters, represented by identical colors (red: NRS, green: NCRS, blue: SCRS, olive: SRS) (Fig. 1). The performance of ocean color NPP models in deeper waters (>250 m) was significantly better, because these models were more challenged in coastal Case-2 waters than open Case-1 waters [20]. The influence of local-scale variability can be minimized by selecting data over the Red Sea and omitting coastal Case-2 waters where suspended inorganic particle loads can be particularly high [13]. Therefore, the samples were selected based on their location and water column depths (> 600 m), assuming these areas represent Case-1 waters.

3

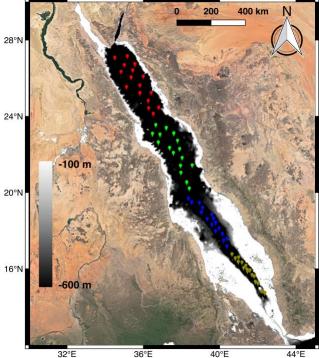


Fig. 1. The samples collected in the Red Sea, represented by colors (red: NRS, green: NCRS, blue: SCRS, olive: SRS), where the water depth > 100 meters.

B. NPP Models

As noted previously, there are three different types of NPP models that will be discussed here: i) chlorophyll-based, ii) carbon-based and iii) phytoplankton absorption-based.

1) Chlorophyll-based Model

The generalized chlorophyll-based NPP model can be written as:

$$NPP = \varphi \cdot chla \cdot E \tag{1}$$

$$\varphi = \phi \cdot a_{ph}^* \tag{2}$$

with NPP and φ denoting PP and chlorophyll-normalized photosynthesis rate, which is in turn represented in equation (2) by the product of chlorophyll-specific absorption coefficients (a_{ph}^*) and the efficiency factor for the energy conversion of each absorbed photon to the production of organic carbon (ϕ); *chla* is the chlorophyll concentration and E is the absorbed photon energy. Since light changes spectrally with depth after penetrating the sea surface, it is notable that these two factors, depth and wavelength, must be accounted for while estimating E. Therefore, a common wavelength resolved (WR) model addressing this issue is implemented in equation (1) and is represented here as:

$$NPP(z) = \int \varphi(z) \cdot chla(z) \cdot E(\lambda, z) \, d\lambda \tag{3}$$
$$E(\lambda, z) = E(\lambda, 0)e^{-K(\lambda) \cdot z} \tag{4}$$

with NPP(z), $\varphi(z)$, chla(z) and $E(\lambda, z)$ representing the PP, chlorophyll-normalized photosynthesis rate, chlorophyll concentration as a function of depth and absorbed photon

energy at water depth z and wavelength λ . $E(\lambda, 0)$ represents the surface spectral light energy with its spectral diffuse attenuation coefficient $K(\lambda)$, which is also calculated from *chl* for Case-1 waters. The photosynthesis of the whole water column (aka NPP) can then be derived from the integration of NPP(z) over depth.

The VGPM [45] is a chlorophyll-based model whose photosynthesis rate is expressed as a function of water depth and photosynthetically active radiation (PAR). The VGPM estimates water column integrated productivity NPP as:

$$NPP = chla \cdot P_{opt}^B \cdot h_{PAR} \cdot f(E) \tag{5}$$

$$f(E) = \frac{c_1 \cdot \text{PAR}}{\text{PAR} + c_2} \cdot z_{eu} = \frac{0.66125 \cdot \text{PAR}}{\text{PAR} + 4.1} \cdot z_{eu}$$
(6)

with the chlorophyll concentration (chla), the maximum daily net PP within a given water column (P_{opt}^B) (in mg carbon fixed per mg chlorophyll per hour), daily hours of light (h_{PAR}) and a volume function f(E) that relies on the empirical parameters $(c_1 = 0.66125, c_2 = 4.1)$ to express the vertical decrease in PAR and the euphotic depth (z_{eu}) (practically defined as the depth where the solar radiation is 1% of its surface value).

The P_{opt}^B is also derived by an empirically parametrized sea surface temperature (SST)-dependent polynomial equation:

$$P_{opt}^{B} = -3.27 \cdot 10^{-8}SST^{7} + 3.4132 \cdot 10^{-6}SST^{6} - 1.348 \cdot 10^{-4}SST^{5} + 2.462 \cdot 10^{-3}SST^{4} - 0.0205SST^{3} + 0.0617SST^{2} + 0.2749SST + 1.2956$$
(7)

The Eppley-VGPM is a modified version of the VGPM, which only replaces the 7th degree polynomial expression of P_{opt}^B with the exponential function presented in [16], [46] given as:

$$P_{opt}^B = 1.54 \cdot 10^{0.0275 \cdot \text{SST} - 0.07} \tag{8}$$

2) Carbon-based Model

Historically, the chlorophyll-based model has been used as the only method to estimate the algal biomass. However, the Chla retrieval does not include the physiological adjustments of phytoplankton to the changing environments. The ratio between Chla and phytoplankton biomass is not stable but changing seasonally, through the variability of intracellular chlorophyll concentration in response to the environmental factors such as light acclimation and nutrient stress (aka. photoacclimation). The estimation of phytoplankton biomass recently became possible because phytoplankton carbon biomass could be estimated from the total particulate backscattering coefficient, owing to their covariance with light scattering properties [47]– [53], as well as stable relationship between phytoplankton carbon biomass (C) and total particulate organic carbon. Moreover, the phytoplankton growth rates are now estimated from Chla to carbon ratios because the particle backscattering coefficient (b_{bp}) , the absorption of phytoplankton pigments, and the absorption of colored dissolved organic carbon can be

obtained by applying spectral matching algorithms simultaneously to satellite data [54]–[56]. After applying the algorithms, the CbPM model is developed [13], [22] in which the NPP is presented as:

$$NPP = C \cdot \mu \cdot f(E) \tag{9}$$

with phytoplankton carbon (C) derived empirically from its relationship to the measured b_{bp} :

$$C = 13000 \cdot (b_{bp} - 0.00035) \tag{10}$$

where the value 13000 (in mgC/m₂) is the scaling factor introduced for the satellite *chla:C* ratios in consistent with the laboratory experiments, and the globally constant value 0.00035 (in m-1) is the particle backscattering coefficient of non-algal particles (b_{bp} NAP) [13]. In practice, when $b_{bp} <$ 0.00035, the *C* is set to 0.13. The phytoplankton growth rate (μ) is calculated based on the *chla: C* ratios:

$$\mu = \mu_{max} \cdot f(N, T) \cdot g(I_g) \tag{11}$$

$$g(I_g) = 1 - e^{-5 \cdot PAR_{ML}} \tag{12}$$

where μ_{max} is the maximum growth rate; f(N,T) refers to the nutrient and temperature stress and $g(I_g)$ describes reductions in growth rate with decreasing light at the mixed layer light level (PAR_{ML}). Furthermore, the f(N,T) is expressed empirically as parametrized chl : C ratios for the satellite observation ($Chla: C_{sat}$) and nutrient replete conditions ($Chla: C_{N,T_{max}}$), which can also be derived from PAR_{ML} [8] as shown in (13) and later modified by introducing $\varepsilon = 0.0003$ as the chla: C ratio when growth rate $\mu = 0$ [22] as given in equation (14):

$$f(N,T) = \frac{Chla:C_{sat}}{Chla:C_{N,T_{max}}} = \frac{Chla:C_{sat}}{0.022 + 0.023 \cdot e^{-3 \cdot PAR_{ML}}}$$
(13)

$$f(N,T) = \frac{Chla:C_{sat} - \varepsilon}{Chla:C_{N,T_{max}} - \varepsilon} = \frac{Chla:C_{sat} - 0.0003}{0.022 + 0.023 \cdot e^{-3 \cdot PAR_{ML}} - 0.0003}$$
(14)

Finally f(E), the volume function, describes the light change through the water column as:

$$f(E) = I_0 \cdot e^{\frac{-k_{490} \cdot \text{MLD}}{2}}$$
(15)

where I_0 is the cloud-corrected PAR just below the water surface, k_{490} is the light attenuation coefficient at 490 nm and MLD is the mixed layer depth.

3) Phytoplankton absorption-based Model

Estimation of chlorophyll concentration in this model is based on the remote sensing reflectance (R_{rs}) just above the surface. R_{rs} can be directly derived based on the ratio between water leaving radiance (L_w) and downwelling irradiance just above the surface (E_{d+}). Moreover, the R_{rs} is usually obtained from the total absorption coefficient (*a*) and the backscattering coefficient (b_b). Two main things to be considered to accurately derive spatially and temporally varying *Chla* from R_{rs} : 1) Remove the influence of detritus/CDOM and particles and 2) Take into account the spatial/temporal variation of a_{ph}^* . However, the change of b_b is relatively weaker than *a* in the water [10], therefore the R_{rs} is largely dependent on *a*, hence, allowing chlorophyll concentration to be retrieved from a_{ph} . Since from (2) a_{ph}^* is the ratio of the phytoplankton absorption coefficient (a_{ph}) and *chla*, combining equations (2) and (3) results in a modified and more generalized model presented as:

$$NPP(z) = \int \phi(z) \cdot a_{ph}(\lambda, z) \cdot E(\lambda, z) \, d\lambda \tag{16}$$

The new model expressed by (16) eliminates the need for a_{ph}^* estimation, used previously in (3), hence avoiding a major source of uncertainty in the NPP calculation. On the other hand, the new model makes significant use of the a_{ph} value that is directly derived from R_{rs} , a methodology that has been well developed and evaluated [57]–[65].

Here we selected three, chlorophyll and carbon based, models and performed inter-comparisons to investigate the seasonal to decadal trends of the NPP in the Red Sea region. The used models are VGPM, Eppley, and CbPM that share the same temporal resolution of 8-day & monthly (averaged from daily products) and spatial resolutions of 1/12° (9 km). To account for the longest possible temporal variability over the Red Sea domain, data derived from SeaWiFS, MODIS and VIIRS sensors, spanning the periods 1998–2002, 2003-2018, and 2012-2018, respectively, were used. All three NPP model estimates are available via the website provided by Oregon State University (OSU: http://www.science.oregonstate.edu/ocean.productivity/). The phytoplankton absorptionbased model is not used here as, to the best of our knowledge, there are no standard NPP products of a_{ph} from satellite oceancolor sensors yet.

III. DATA AND METHODS

A. Model and Satellite Data

Different NPP related parameters are obtained from the European Space Agency's GlobColour merged products using SeaWiFS, MERIS, MODIS Aqua, VIIRS and OLCI-A sensors (http://hermes.acri.fr/index.php?class=archive) to explore relationships using multilinear regression analysis.

These merged products are generated by different averaging techniques namely AV: simple averaging, AVW: weighted averaging, AN: analytical from other L3 products or by the Garver-Siegel-Maritorena (GSM) model method that uses the normalized reflectances at the original sensor wavelengths, without inter-calibration [66]. The performance of these weighting methods depends mainly on the surrounding environmental conditions representing water types, geographical region and glint/aerosol conditions. Hence, different parameters are investigated here for possible NPP interplay including: 1) Angström exponents at 550 nm (over land & water) (A550) from MODIS [67] and MERIS [68] datasets; 2) particulate inorganic carbon (PIC) (mol/m3) generated from the original National Aeronautics and Space Administration (NASA) algorithms (2-band look-up table and 3-band algorithm at high concentrations) [69], [70]; 3) particulate organic carbon (POC) (mol/m₃) generated from the original NASA algorithm (correlation of band ratios) [71]; 4) aerosol optical thicknesses at 550 nm (T550) (over land & water) calculated from A550 [72]; 5) PAR (einstein/m2/day) [73] indicating the photon flux density from 400 to 700 nm for photosynthesis; 6) particulate backscattering coefficient (b_{hn}) (m-1) at 443 nm generated from the GSM merging algorithm [66]; 7) the diffuse attenuation coefficient (m-1) (KD490) of the downwelling irradiance at 490 nm as an indicator of the turbidity of the water column, which is computed from corresponding merged chlorophyll products [74]; 8) MLD (m) provided by Global HYbrid Coordinate Ocean Model (HYCOM) [75] and downloaded from OSU website.

In order to assess the possible role and impact of dust on the NPP over the Red Sea, the monthly Modern-Era Retrospective Analysis for Research and Applications Version 2 (MERRA-2) atmospheric reanalysis data, including Dust Extinction AOT at 550 nm, Wind speed, and Angström Exponent are used. SST and Chla (mg/m3) were obtained from NASA's Ocean Color Web site (https://oceancolor.gsfc.nasa.gov/). The MERRA-2 data extend from January 1980 to present and are produced by NASA based on historical analysis using the Goddard Earth Observing System Model, Version 5 (GEOS-5) with its Atmospheric Data Assimilation System (ADAS), version 5.12.4 [76], [77].

The phytoplankton absorption coefficient $a_{ph}(\lambda)$ averaged at wavelengths λ_s , which determines the amount of radiant energy captured by the phytoplankton community has been suggested to be more related to PP than Chla [78]-[80]. In this study, we spectrally averaged the a_{ph} (APH), over the available wavelengths ranging from 412 nm to 670 nm, derived from the Ocean Color CCI (OC-CCI) dataset (https://www.oceancolour .org/) [81] using the Quasi-Analytical Algorithm (QAA) [61],

and then compared the results with the NPP derived from the three previously mentioned models. Table I lists all the datasets used in this work.

TABLE I Summary of Data in the study								
Name	Temporal Resolution	Spatial Resolution	Data Source					
VGPM Eppley CbPM	8-day Monthly	9 km	OSU					
A550 PIC POC T550 PAR <i>b_{bp}</i> KD490	8-day	4 km	GlobColour					
MLD	8-day	9 km	OSU					
APH412	8-day	4 km	OC-CCI					
Dust AOD AE Windspeed	Monthly	0.5°x0.625°	MERRA-2					
SST Chla	8-day Monthly	4 km	NASA					

B. Comparison with Climate Indices

It has been noted that NPP can be influenced by varying climatic patterns [26], [82], [83] in different geographical areas other than the Red Sea. We analyzed different climate indices for their impact on the NPP products derived from the previously mentioned models. Table II summarizes and describes the used climate parameters including: the North Atlantic Oscillation (NAO), the North Pacific Gyre Oscillation (NPGO), the Multivariate ENSO Index (MEI), the Pacific Decadal Oscillation (PDO) and the Dipole Mode Index (DMI).

To determine the possible impact of these climate indices and their relationship with NPP, we applied correlation analysis to the 12-month moving average of NPP anomalies in the four regions of the Red Sea domain against the different indices, as well as the 12-month moving average, as shown in Table II.

The NPP monthly anomaly is denoted as (a_m) [26]: $a_m = NPP_m - NPP_t$ (17)

SUMMARY OF CLIMATE INDICES						
Abbreviation	Name	Definition	Data Source			
NAO	North Atlantic Oscillation	The NAO measures a climate pattern of North Atlantic Ocean fluctuations by the difference of atmospheric pressure at sea level (SLP) between the Icelandic Low and the Azores High.	http://www.cpc.ncep.noaa.gov/prod ucts/precip/CWlink/pna/nao.shtml			
NPGO	North Pacific Gyre Oscillation	NPGO is a climate pattern that presents as the 2nd dominant mode of Empirical Orthogonal Function of sea surface height variability (2nd EOF SSH) in the Northeast Pacific.	http://www.o3d.org/npgo/			
MEI	Multivariate El Niño/Southern Oscillation (ENSO)	The MEI is the bi-monthly time series of the leading combined EOF of five different variables, namely, SLP, SST, surface wind of combined zonal and meridional components, and outgoing longwave radiation (OLR), over the tropical Pacific basin (30°S-30°N and 100°E-70°W).	http://www.cdc.noaa.gov/people/kl aus.wolter/MEI			
PDO	Pacific Decadal Oscillation	The PDO is the leading EOF of mean SST anomalies during November through March for the Pacific Ocean to the north of 20°N latitude.	http://jisao.washington.edu/pdo			
DMI	Dipole Mode Index	DMI represents the intensity of the Indian Ocean Dipole by anomalous SST gradient between the western equatorial Indian Ocean (50°E - 70°E and 10°S - 10°N) and the south eastern equatorial Indian Ocean (90°E - 110°E and 10°S - 0°N).	https://stateoftheocean.osmc.noaa.g ov/sur/ind/dmi.php			

TABLE II

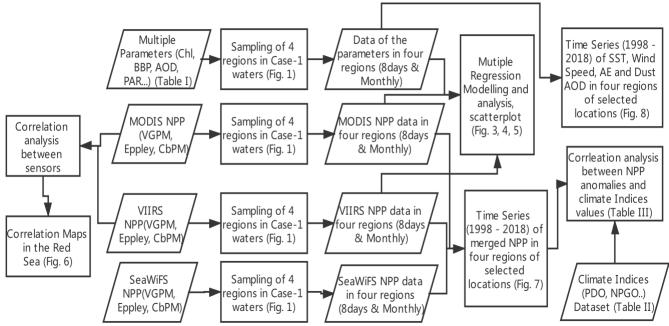


Fig. 2. The flowchart of this study.

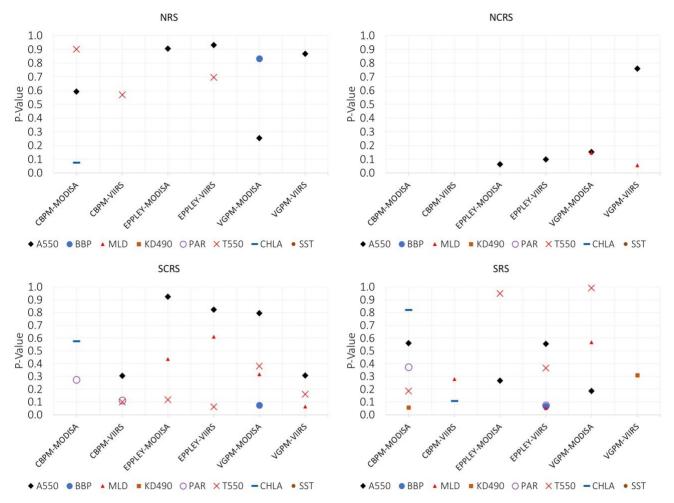


Fig. 3. The P-values of the variables (A550, MLD, T550, PAR, b_{bp} , KD490, SST and *Chla*) and the responding NPP model values, calculated from the multilinear regression model in each subregion (NRS, NCRS, SCRS and SRS) from both MODIS-Aqua (MODISA) and VIIRS sensors.

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with NPP_m representing the NPP data during month *m* and NPP_t the monthly average over the entire time series. The 12month moving average for each a_m was calculated by taking the average value from a_m to a_{m+11} , then the monthly anomalies were scaled by the standard deviation of the values for that month (σ_m) to obtain the Z_{score} :

$$Z_{score} = \frac{a_m}{\sigma_m} = \frac{NPP_m - NPP_t}{\sigma_m}$$
(18)

C. Correlative maps between Chla and NPP products

For finding the relationship between *Chla* and NPP for each model, we developed correlation maps that show the standard correlation using the Pearson correlation coefficient (r) in the range from -1 (anti-correlation) to +1 (perfect correlation), between these two monthly time series x and y, with N elements as:

$$r = \frac{\sum_{i=1}^{N} (x_i - \bar{x})(y_i - \bar{y})}{\sqrt{\sum_{i=1}^{N} (x_i - \bar{x})^2} \sqrt{\sum_{i=1}^{N} (y_i - \bar{y})^2}} = \frac{Cov(x, y)}{\sigma_x \sigma_y}$$
(19)

with *Cov* being the covariance function, \bar{x} and \bar{y} the average and σ_x and σ_y the standard deviations for x and y, respectively. The workflow of this study, with the table and figures presented in the following section is illustrated in the Fig. 2.

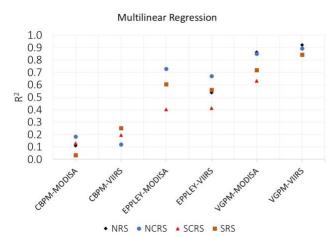
IV. RESULTS

A. Relationship between NPP and multiple parameters

Statistical multilinear regression is applied to investigate the relationships between a set of variables (A550, MLD, T550, PAR, b_{bp} , KD490, SST and *Chla*) and the responding NPP model values in each region for both MODIS-Aqua (MODISA) and VIIRS sensors. These relationships are presented by P-values of each variable in the four subregions of Red Sea (Fig.3).

Figure 3 only shows the statistically insignificant variables P-values bigger than 0.05) for each dataset. The larger (insignificant) P-value suggests that changes in the variables are not associated with changes in the NPP values. Both A550 and T550 are the mostly appeared variables while SST does not appear in any of the subregions. However, there are also some insignificant variables such as Chla and PAR (CbPM NPP), b_{bv} and MLD (Eppley and VGPM NPP), as well as KD490 (CbPM, Eppley and VGPM NPP) particularly in the SCRS and SRS. Additionally, the Fig. 4 presents the adjusted coefficient of determination (R₂) for the multilinear regression model to show the proportion of the variance in the NPP dataset that is predictable from the variables. This figure shows that: 1) both VGPM and Eppley NPP products are notably related to the variables than that of CbPM, where the variables can explain most of the variance in the VGPM-VIIRS dataset ($R_2 > 0.8$) but fail to demonstrate that of CbPM-MODISA dataset ($R_2 < 0.2$); and 2) the NPP values in SCRS are generally less related to the variables compared to other regions of the Red Sea.

According the equations in section III and results from Figs. 3&4, the scatterplots to show the relationships between NPP products and selected variables (b_{bp} , PAR, SST, MLD), including the spectral averaged a_{ph} (APH), are illustrated in



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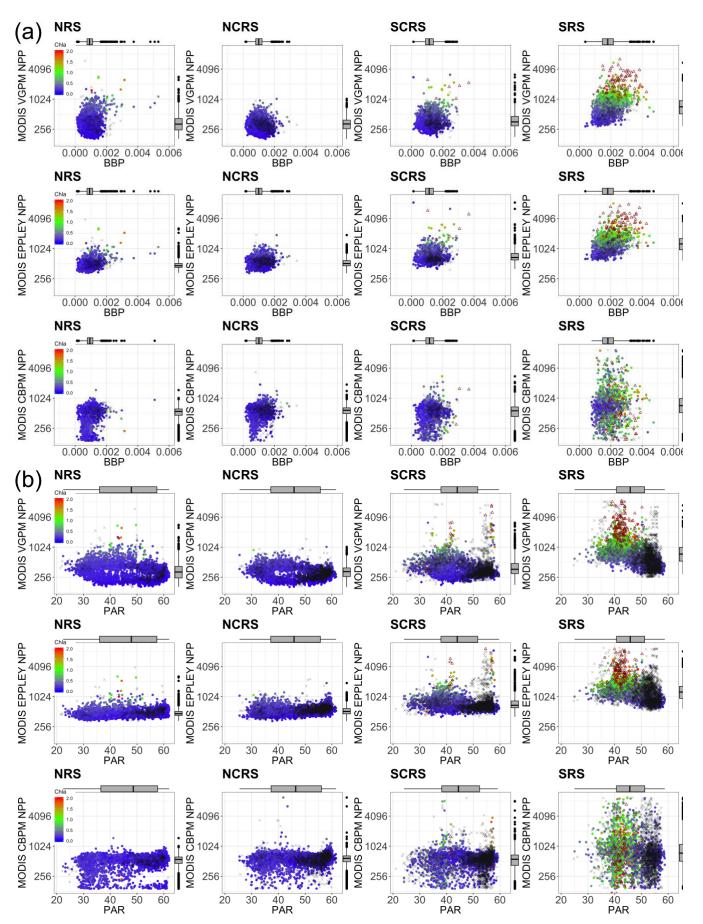
Fig. 4. The relationship between the combination of the values of variables (A550, MLD, T550, PAR, b_{bp} , KD490, SST and *Chla*) and the responding NPP model values, which is represented by the R₂ values of multilinear regression model in each subregion (NRS, NCRS, SCRS and SRS) from both MODIS-Aqua (MODISA) and VIIRS sensors.

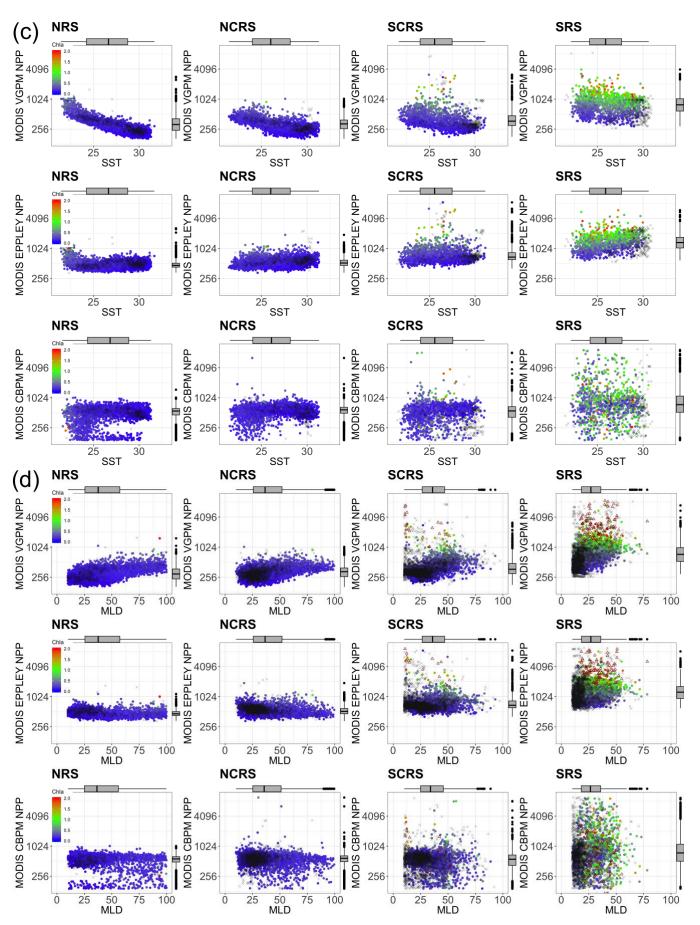
Fig.5. The concentration of *Chla* is set by color levels. The increasing gradient in NPP from north to the south of Red Sea is generally consistent in all the three NPP models. However, the CbPM NPP has a lower median value in the SCRS than in the NCRS and NRS. Unreasonable low values (<100) also appear in the CbPM NPP products. In Fig. 5a, a wider b_{bp} value range (0-0.004 m-1) exists in SRS than the other regions (0-0.002) for both VGPM and Eppley products and the high values ($b_{bp} > 0.003 \text{ m}_{-1}$) correspond to greater *Chla* and NPP values, while such a relationship does not exist in CbPM products. In general, the NRS has greater PAR values than the southern Red Sea (Fig. 5b). In the SCRS and SRS, high VGPM and Eppley NPP values are usually observed at the PAR range 40-45 einstein/m2/day, as well as 55-60 einstein/m2/day but with many missing *Chla* values. Similar to b_{bp} , PAR has no linear relationship with CbPM products in all regions. In Fig. 5c, median values of SST are higher in the NRS than the SRS.

The VGPM product shows an apparent negative relationship with SST in the NRS, NCRS, and SCRS. The Eppley product shows a positive relationship in the SRS due to the settings of equation (7). Certain high NPP values are observed in the SCRS within SST ranging from 25 to 28 degrees, implying the impact of eddy activities. A positive relationship is found between MLD and VGPM NPP, particularly in regions with low Chla values (purple and blue dots in Fig. 5d). However, some high Chla and NPP values co-occur with the lowest MLD (< 20 m) for both VGPM and Eppley products in the SCRS. The median value of MLD in the SRS is much lower (~25 m) than the three other regions (~35 m). There is an increasing gradient of APH median value along NRS ($< 0.01 \text{ m}_{-1}$) to SRS ($> 0.03 \text{ m}_{-1}$) (Fig. 5e). The positive relationship with APH and VGPM and Eppley NPP is also noted, especially in the SCRS and SRS, but not in the CbPM product.

B. Comparison between NPP products between sensors

The Red Sea was observed by both MODIS Aqua and VIIRS sensors during the year 2012 till 2018, which made it plausible





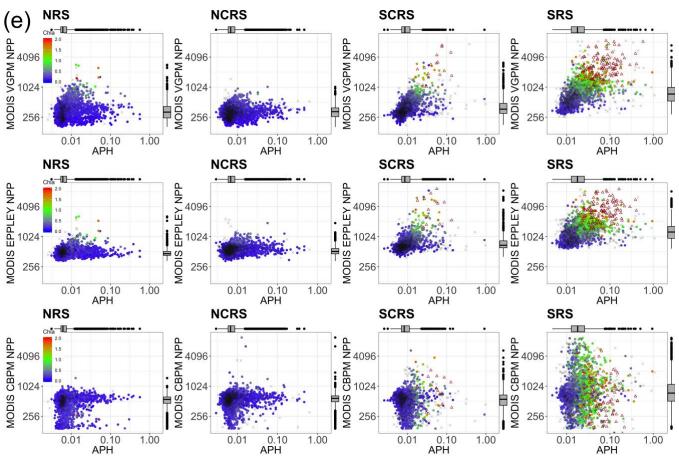


Fig. 5. The scatter plot (with colored *Chla* values) between NPP products (in log2 scale) and a) b_{bp} , b) PAR, c) SST, d) MLD and e) APH (in log10 scale). Grey points refer to missing *Chla* values and red delta values refer to *Chla* > 2 mg/m₃. Boxplots are to show ranges and median value for NPP and variables.

products, including *Chla* and NPP models (CbPM, Eppley and VGPM) during the same period are presented in Fig. 6.

As shown in Fig. 6a, the *Chla* products from both MODIS and VIIRS sensors have strong correlation values (r > 0.6) for most regions of the Red Sea in the periods, with a decreasing gradient from north to south. The highest correlation (r > 0.8) observed in the SCRS are related to strong eddy activities [31], [41]. However, this relationship is not pronounced in the SRS (r < 0.6). The correlation map of CbPM NPP products in Fig. 6b demonstrates a mismatch between MODIS and VIIRS sensors. Only moderate correlation (r~ 0.5) was observed in the NRS, while other regions show low correlation (r < 0.3) or even negative correlation in the SRS. This result goes against the hypothesis that coherency should remain within CbPM products. On the contrary, Eppley products show great consistency between MODIS and VIIRS sensors (r > 0.9) in all

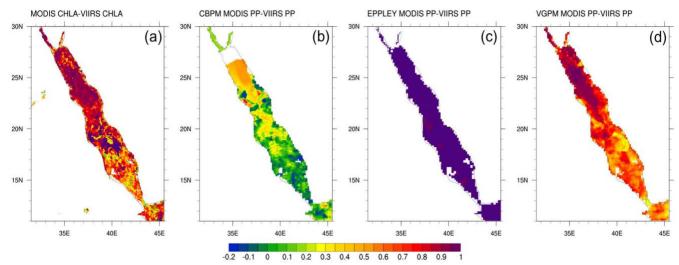


Fig. 6. Correlation map between MODIS and VIIRS products, including 8days Chla, CbPM NPP, Eppley NPP and VGPM NPP.

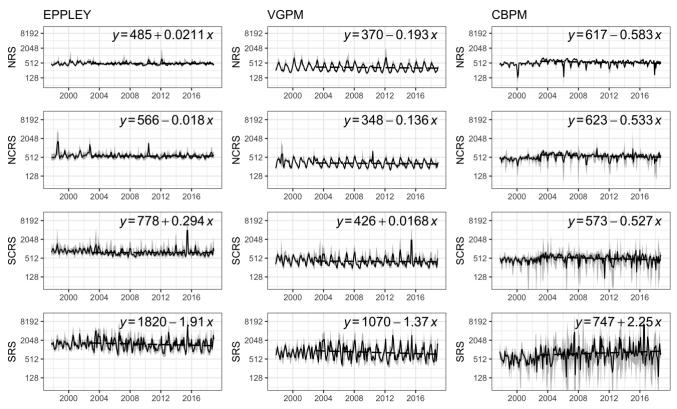


Fig. 7. Time series (values including SeaWiFS during the year 1997-2001 and MODIS: 2002-2018) to show trends for EPPLEY, VGPM and CbPM NPP for each region. The solid lines refer the mean value among the observations, whereas the shaded area shows the maximum and minimum value.

regions (Fig. 6c). The maps presented in the Fig. 6d exhibit a similar gradient pattern as shown in Fig. 6a, indicating the deciding role of *Chla* for VGPM products, yet the eddy-induced strong correlation in SCRS disappears.

The variations of each NPP product are shown in the time series for all the regions from 1997 to 2018 (Fig. 7). This figure suggests that the difference between SeaWiFS and MODIS remotely sensed data were not related to the NPP values. The NPP values before 2002 (SeaWiFS-based) are systematically lower than those after 2002 (MODIS-based), as presented in the CbPM time series. The intercepts of linear equations in the new Fig. 7 show the base levels of NPP for each region as a clear increasing gradient from north to south Red Sea. The southern Red Sea has more volatile NPP values than the north (significant gaps between maximum and minimum values). For VGPM and Eppley, the highest values commonly exist in the SRS (e.g., high values during May-1998 can be due to the strong ENSO event), with some exceptions such as high NPP events in the SCRS during the summer of 2015, which resulted from an eddy-driven phytoplankton event in the SCRS [70]. However, the CbPM derived NPP is more variable than VGPM and Eppley, with many extremely low values in the SCRS and SRS. In general, NPP values are estimated as highest in Eppley and lowest in VGPM, yet CbPM tends to overestimate NPP values in NRS and NCRS, even higher than those in SCRS. CbPM and other two NPP models indicate different trends: CbPM shows a rapid increase of NPP in SRS (2.25 mg C m-2 day-1 month-1) but VGPM and Eppley exhibit a decreasing trend

(1.37 and 1.91 mg C m-2 day-1 month-1, respectively).

C. Environmental Forcing for the NPP trend

The variation of environmental forcing including SST, wind speed, Dust AOD and Angström Exponent (AE) are presented in the Fig. 8. This figure shows that SST has increased for all four regions since 2003. For instance, the NRS has an annual increase of 0.0041 °C per month, meaning a ~0.78 °C increase from 2003 to 2018. In addition, seasonal patterns of wind speed in the NRS, NCRS and SCRS are not as apparent as in the SRS, which has the highest wind speeds in boreal winter and lowest wind speeds in summer (May or June) but having a lower peak usually in July. The wind speed in SRS is negatively correlated (r = -0.47) with dust AOD and positively with AE (r = 0.16). Decreasing trends of windspeed are observed in the NRS and NCRS, whereas increasing trends are found in the SCRS and SRS. Moreover, AOD values show an increasing trend since 2014 for the NRS, SCRS, and SRS, especially during the summer seasons. This may be due to increase in the summer dust events and also the highest temperature ever recorded occurring from 2015 to 2018, which were the top four warmest years in the global temperature record [84], [85]. Unlike wind speed, the AE in all the regions shows strong seasonality, as well as an increasing trend.

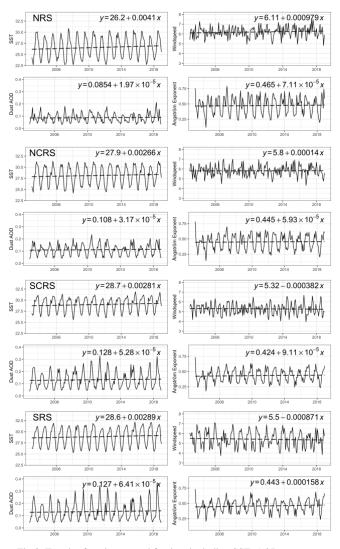


Fig.8. Trends of environmental forcing, including SST, AOD, windspeed and Angström exponent for the subregions of Red Sea.

D. Teleconnections between NPP and climate indices

Correlation analysis between NPP (on average, max, and min) anomalies values (a_m) of CbPM, VGPM and Eppley models and the moving average values of multiple climate indices are shown in Table III. The highlighted values have been validated by the Pearson significance test (P-value < 0.05). Here, positive correlation exists between MEI/PDO indices and CbPM values in the NRS, NCRS, and SCRS. However, such strong connections are not observed in the VGPM and Eppley models. All the NPP products are generally not responsive to the DMI and NAO indices, but seem more reactive to NPGO, while showing opposite relationships: CbPM is negatively correlated to NPGO, yet VGPM and Eppley are positively correlated to NPGO.

V. DISCUSSION AND CONCLUSION

Similar approaches to compare the performance of VGPM, Eppley and CbPM NPP models for regional applications have been reported in recent studies [83], [86]. An assessment of 36 NPP models, including VGPM, Eppley and CbPM, was undertaken to examine their ability to estimate the NPP trend, variability and mean value in the Bermuda Atlantic Time series Study (BATS) and the Hawaii Ocean Time series (HOT) datasets [26]. The study validated the argument that the model skill is not always improved by increased model complexity [23], [25]. Nevertheless, the mean NPP values at both sites were underestimated by most of the 36 models. For HOT, all three models have similar skills. However, at BATS, the CbPM model had the lowest skill among all the models, while VGPM and Eppley achieved much lower biases. It was also found in the Fig. 6 that CbPM model showed the strongest inconsistency between MODIS and VIIRS products. Since VGPM and Eppley only use PAR, SST and Chla as model inputs while CbPM uses additional optical parameters (i.e., b_{bp} and KD490), such increased complexity of CbPM does not improve yet worsen its reliability. The poor performance of CbPM may also be explained by its close relationship to MLD, which was affected by season and latitude [87]. In addition, the NPP is significantly enhanced by the presence of mesoscale eddies [88]. Both the variability of MLD and eddy activities result in interannual changes of nutrients necessary for phytoplankton growth [89].

TABLE III
CORRELATION BETWEEN NPP (AVERAGE, MAX AND MIN) ANOMALIES
VALUES AND THE MOVING AVERAGE VALUES OF MULTIPLE CLIMATE INDICES

ALU	JES AND TH	E MOVING	AVERAGI	- VALUES	S OF MUL	TIPLE CLIN	IATE INDI
	Average		DMI	MEI	NAO	NPGO	PDO
	NRS	VGPM	0.02	-0.10	0.04	0.30	-0.11
		Eppley	0.07	0.13	0.03	0.12	0.19
		CbPM	0.04	0.37	-0.14	-0.42	0.44
	NCRS	VGPM	-0.20	-0.04	-0.08	0.42	-0.13
		Eppley	-0.30	0.09	-0.11	0.23	0.01
		CbPM	0.03	0.40	-0.22	-0.45	0.46
	SCRS	VGPM	-0.20	0.17	0.12	0.38	0.06
		Eppley	-0.26	0.20	0.12	0.35	0.12
		CbPM	-0.05	0.37	-0.12	-0.36	0.35
	SRS	VGPM	-0.17	0.20	-0.01	-0.09	0.11
		Eppley	-0.20	0.23	0.05	-0.08	0.16
		CbPM	0.26	0.24	-0.10	-0.47	0.37
-	Mari		DMI	MEL	NAO	NIDCO	DDO
-	Max	VCDM	DMI	MEI	NAO	NPGO	PDO
	NRS	VGPM	$0.08 \\ 0.06$	-0.20 -0.02	-0.11 -0.14	0.41 0.28	-0.27 -0.04
		Eppley CbPM		-0.02			
	NCRS	VGPM	0.05 -0.29	-0.03	-0.16 -0.24	-0.39 0.41	0.42
	NCK5		-0.29		-0.24	0.41	
		Eppley		0.05			-0.10
	SCRS	CbPM	0.07	0.34	-0.24	-0.46	0.41
	SCKS	VGPM	-0.17 -0.21	0.18 0.18	0.09 0.12	0.15 0.16	$0.08 \\ 0.09$
		Eppley CbPM	-0.21	0.18	-0.12	-0.44	0.09
	SRS	VGPM			-0.19	-0.44	
	3K3		-0.12	0.22			0.17
		Eppley	-0.11	0.26	0.03	-0.29	0.21
_		CbPM	0.30	0.11	-0.06	-0.51	0.21
_	Min		DMI	MEI	NAO	NPGO	PDO
_	NRS	VGPM	-0.11	-0.02	0.13	0.25	0.02
		Eppley	0.03	0.23	0.15	-0.09	0.36
		CbPM	0.00	0.37	-0.15	-0.40	0.44
	NCRS	VGPM	-0.14	0.05	-0.04	0.39	-0.06
		Eppley	-0.11	0.29	-0.01	-0.04	0.22
		CbPM	-0.14	0.38	-0.24	-0.29	0.34
	SCRS	VGPM	-0.25	0.12	0.10	0.41	-0.04
		Eppley	-0.29	0.08	0.08	0.40	-0.05
				0.14	0.04	-0.12	0.13
		CbPM	-0.36	0.14	0.04	-0.12	0.15
	SRS	CbPM VGPM	-0.36 -0.32	0.14 0.11	0.04	0.12	0.02
	SRS						

This result can help to explain the extreme low NPP of CbPM in Fig. 7. These abnormal NPP values may result from the increased MLD-caused substantially lowered f(E) in (15) and altered value of PAR_{ML} in (12) and (13). Besides, the low NPP values may also be calculated from inputs of b_{bp} lower than the particle backscattering coefficient of non-algal particles $(b_{bp}NAP)$ of 0.00035 m-1 in (10), where b_{bp} was modified to 0.00036 m-1. This implies that the b_{bp} NAP in the Red Sea is not constant but occasionally lower than 0.00035 m-1. The CbPM model assumes the b_{bn} NAP as the stable heterotrophic and detrital components of the surface particles that does not covary with Chla and it is constant in both space and time [13]. However, recent studies suggested b_{hn} NAP to be highly dynamic and dependent on the season and biogeochemistry of the area [90]-[93]. Bellacicco et al. [93] estimated the median b_{bp} NAP value of global ocean as 0.00095 m-1, thus highlighting that the difference (of around a factor of 2) by using spatial b_{bp} NAP variable rather than a constant value in the phytoplankton carbon biomass estimation. In their Figure 2.c, the central Red Sea was marked with high percentage of b_{bp} NAP (> 60%) of the total b_{bp} . The estimation of phytoplankton carbon biomass from constant b_{bp} NAP value (0.00035 m-1) is over twice as much as the biomass estimated using spatially resolved b_{bp} NAP (their Figure 3.b). This could be explained that the nutrient limitation results in rapid recycling of low phytoplankton biomass in the surface layer, which supports higher bacterial, small heterotrophic, and detrital biomass [94]. In the later research, the in situ datasets from Biogeochemical-Argo (aka BGC-Argo) floats were used to observe the b_{bp} NAP in global overview [95]. The study revealed two distinct oceanic conditions in terms of Chla "photoacclimation-dominance" and "biomasssignals: dominance". The former is typical of oligotrophic areas (e.g. Red Sea) shows the variability of Chla is uncoupled with biomass but driven by the process of photoacclimation [94], [96], [97]. The latter case is typical of most productive regions with high b_{bp} and *Chla* co-variability. It further confirmed the high surface b_{bp} NAP percentage (>80%) in the less productive areas that picoand nano-phytoplankton dominated which can be rapidly recycled. communities [98], Consequently, the optimization of phytoplankton carbon models (e.g. CbPM) using the spatial-temporal and depth b_{hn} NAP variables is suggested to improve their modeling performance from remote sensing observations [99].

As demonstrated in Table II, VGPM and Eppley NPP at BATS are correlated to the NPGO but not correlated to MEI and PDO. By contrast, the CbPM NPP is negatively correlated to the NPGO but positively correlated to MEI and PDO. At HOT, the ENSO or PDO-related events affect the stratification and nutrient supply to alter the NPP. This may shed some light on the VGPM and Eppley NPP blooms in the NCRS during the strong El Niño 1997-1998 season. This indicates that VGPM and Eppley are more reliable than CbPM for the Red Sea NPP investigation. However, SST as a surface physical field fails to show a clear relationship with depth-integrated NPP comparing to deeper physical fields, while both models substantially rely on the P_{opt}^B estimated with SST function. The ocean color models usually pay little attention to the contribution from the deep-layer related NPP, which explains the underestimation of the NPP in both HOT and BATS. Further research has examined the performance of satellite NPP models in coastal and pelagic regions across the globe, including the Mediterranean Sea and the Arabian Sea adjacent to the Red Sea [25]. Interestingly, this study concluded that the model skill evaluated by the root-mean square difference (RSMD) was lowest in the Mediterranean Sea (0.42 ± 0.06) and intermediate in the Arabian Sea (0.22 ± 0.09). The Eppley model achieved the best estimate (RSMD < 0.15) in the Arabian Sea. However, the different NPP trends between VGPM/Eppley and CbPM in SRS (Fig. 7) casts doubt on the ability of models using satellitederived data to estimate the magnitude and the trends of NPP over multi-decadal or shorter time periods, which was also demonstrated at HOT and BATS [25], [26]. The NPP values estimated and agreed upon by all three models in the SCRS and SRS are exponentially higher than those in the NCRS and NRS. There are several possibilities for such differences: 1) The phytoplankton growth is promoted from the nutrient water obtained from GA exchange or eddies' upwelling near SCRS and SRS [32]; 2) dust deposition in this region could supply nutrients and prompt the phytoplankton growth, yet the presence of high atmospheric content of aerosol particles complicates atmospheric correction and limits the data availability [39]; in addition, the dust particles in the water surface can also alter the inherent optical properties (IOPs) such as b_{bp} ; 3) the sample points collected towards the south of the Red Sea, especially in the SRS, are closer to the coastal areas. This may result in the uncertainty of remotely sensed ocean color data such as Chla and Chla: Csat, because their ocean color signatures may possibly be influenced by different coastal gradients.

It is also important to note that the performance of these three models is primarily dependent on the validity of input variables, derived from ocean color remote sensors (e.g. *Chla*, b_{bp} , SST and PAR), or even model simulations (MLD) (equation (15)). Additionally, it is also challenging to decide the parameters used in the NPP models, which require more regional in situ measurements. For example, the empirical parameters (c_1 and c_2) in (6) were calculated from thousands of field measurements, but not specifically for the Red Sea. It is worth mentioning that the inherent optical properties (absorption and scattering) and apparent optical properties (R_{rs} and KD) of the Red Sea is not well documented. Also the development and validation of regional optical algorithms are lacking for the Red Sea.

Beside carbon-based and chlorophyll-based production models, the phytoplankton absorption-based model or IOPbased production model, recently resulted in more PP studies on a global scale [7], [79], [80], [100]. It was noted that the absorption by phytoplankton pigments was a preferred parameter than pigment biomass for NPP retrievals [101]. This parameter was also regarded as better than SST to represent the photosynthetic rate of VGPM model in the Southern Sea [100]. As a good indicator of phytoplankton growth, KD490 can show short-term phytoplankton blooms and physical processes (anticyclonic and cyclonic eddies) in the Red Sea [102]. The absorption-based models using remotely sensed data could minimize the impacts of pigment packaging, colored dissolved organic matter (CDOM), and non-algal matter, in order to reach both lower bias and higher standard deviation evaluated by in situ datasets in the Arctic Ocean [103].

The rapid increase of SST was observed in all the regions of the Red Sea (Fig. 8), while its impact on NPP should be considered as one of the most crucial factors. As it is illustrated in Fig. 5c, the most apparent disagreement between VGPM and Eppley lies in the response of the photosynthetic rate to the temperature, expressed by P_{opt}^B . The VGPM NPP shows a growth with increasing temperature until reaching a maximum at 20 °C, followed by a decrease at higher temperatures. This mechanism is based on the connection between nutrient limitation and warmer waters in the ocean [14], [45]. The NPP at BATS demonstrated an annual increase of 10.08 mg C m-2 day-1 year-1, with no significant increase of SST during 1988 to 2006, yet the HOT region had a similar increase of 10.23 mg C m-2 day-1 year-1 but with noted SST increase of 0.06 °C year -1. The decreased wind-forcing in the SCRS and SRS may lessen the MLD, which in turn limit the availability of nutrients in the euphotic zone [26]. The frequent dust events covering the water surface also help lower the SST while blocking observations from ocean color satellites. Even though natural variations, such as a swing back to wetter phases of the Pacific Decadal Oscillation (PDO) and the El Niño/Southern Oscillation (ENSO) patterns, may temporarily relieve drought conditions and reduce the frequency of dust storms currently plaguing the Arabian Peninsula, long-term climate models indicate temperatures in the region will continue to rise and the observed drying trend will continue, leading to an overall increase in the number of significant regional dust events [104].

In conclusion, the three global NPP models used for deriving the satellite NPP products were evaluated in the Red Sea region. Models' intercomparison were performed using 8-day composite and monthly averages during the 1998-2018 period using different statistical methodologies. The estimated NPP using VGPM and Eppley significantly correlated well with the environmental and atmospheric variables allowing for accurate estimation of NPP as compared to CbPM, which performed poorly. This poor performance of the CbPM originates from the input variables (i.e., b_{bp}, b_{bp}NAP and KD490)) that are not well parameterized for this region and require further refinement using comprehensive local optical measurements. The models' intercomparison are further performed by the correlation maps presented in the Fig. 6 concerning the coherency between the same NPP products calculated from different sensors.

Findings of this study could help the ocean color community and modelers to make a better choice among different PP models and associated satellite products for the Red Sea region, where chlorophyll concentrations are typically low. Moreover, this work elaborates on our previous findings in [39], [41] of possible dust impact on the marine PP and nutrient's supply affecting NPP.

Since the Red Sea is one of the warmest and saltiest ecosystems, it qualifies to be an ideal natural laboratory to study the physiological responses of phytoplankton community in such harsh conditions. The Red Sea could be a precursor to predict the behavior of the phytoplankton groups to nutrient variations, as well as to manifest the effects of global warming in other regions. Consequently, it requires further data at wider spatial and temporal scales and development of region specific NPP algorithms for future advances.

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machine learning techniques, and data analysis and visualization.



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Thomas C. Piechota received the B.S. in Civil Engineering in 1989 from Northern Arizona University, his M.S. in Civil and Environmental Engineering in 1993 from UCLA, and his Ph.D. in Civil and Environmental Engineering in 1997 from UCLA. Dr. Piechota has been recognized for his efforts in teaching, research and as

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