



Article Expendable Graphite as an Efficient Flame-Retardant for Novel Partial Bio-Based Rigid Polyurethane Foams

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Abstract: The rigid polyurethane foam (PU) is a versatile material, used especially for construction and household applications. The current situation demands a facile, cost-efficient, and greener approach for developing the polyurethanes from bio-derived materials. In this study, we present a novel bio-polyol synthesized using carvone, an extract from caraway, spearmint, or dill seeds via facile thiol-ene reaction. Our one-step reaction uses a UV irradiation to allow the room temperature conversion of the carvone to a high purity bio-polyol, as confirmed from the standard analytical characterizations. The hydroxyl number of 365 mg KOH/g close to its theoretical limit confirms the high conversion yield of the polyol for rigid PU synthesis. To overcome the flammability issues in PU, expandable graphite (EG) powder was used as an additive flame-retardant during the synthesis step. The resulting foams with EG maintained the uniform closed cell structure (>95%) with a high compression strength of 175 kPa. The addition of EG in PU results in the formation of a protective char layer during the flammability test and reduces the weight loss from 40.70% to 3.55% and burning time from 87 to 11 s. Our results confirm that the carvone-based polyol can be a novel alternative to the petroleum polyols for an industrial-scale application.

Keywords: flammability; flame-retardant polyurethane foams; bio-polyol; expandable graphite

1. Introduction

Polyurethane (PU) foams are largely produced in the industry due to their versatile applications in construction, automobiles, footwears, and coatings [1,2]. Polyurethane foams can be synthesized by the reaction between an oligomeric polyol and a di- or poly-isocyanate in the presence of blowing agent [3,4]. Increasing global concerns regarding petroleum resources have raised the interest for sustainable synthesis of PU foams using bio-derived alternates. Our group has presented several alternative routes for synthesizing bio-derived PU foams to promote sustainability and reduce the carbon footprints [2,5–9]. Unsaturated double bonds available in vegetable oils from soybean, orange, castor, sunflower, and cardanol can be readily converted into polyols by reacting with a thiol-containing polyfunctional compound. Such a compound can introduce functional groups, such as the hydroxyl group via thiol-ene reaction resulting in polyol with reactive hydroxyl groups [10,11]. Similarly, carvone is one of the widely available bio-oils extracted from caraway (*Carum carvi*), spearmint (*Mentha spicata*), and dill, and can be used as a raw material for the synthesis of polyol [12]. Use of carvone for polyol synthesis has not been previously explored. Hence, it would be advantageous to study the properties of carvone-based polyol for the synthesis of PU foams.

All the PU foams obtained either via petrochemicals or renewable resources are made up of hydrocarbon compounds and possess a highly porous structure [9,13]. A small fire accident to such a structure can, therefore, result in a major fire. Especially when the rigid PU foams are used as thermal insulators in buildings, such accidents can cause serious casualties and property damage [13]. For this reason, several efforts have been made to obtain flame-retardant (FR) PU foams using a blended FR compound [2,9]. They can be of several types such as halogenated or non-halogenated, additive or reactive, and solid phase or gas phase FR compounds [14]. Non-halogenated additive flame-retardants are widely used since they can be added directly in predetermined quantity to impart flame-retardant properties in foams. A few examples of additive flame-retardants are expandable graphite (EG), melamine, dimethyl phosphate, ammonium phosphate, and aluminum trihydroxide [15-20]. Specifically, EG is a low-cost non-halogenated additive flame-retardant and is widely studied in the literature for various applications [21–23]. Its flame retardancy occurs due to the formation of a protective char layer on the surface, inhibiting fire growth. It is also used as a filler within the foams to reduce the overall cost of the foams [18,24]. However, extensive quantities can result in a systematic decrease in mechanical properties of the foams. Hence, the convention is to obtain high flame retardancy with a low possible concentration of flame-retardant without deteriorating the properties of PU foam.

In this study, we have synthesized a novel carvone-based polyol using a facile thiol-ene reaction. The polyol was characterized by Fourier transformed infrared (FT-IR), gel permeation chromatography (GPC), hydroxyl number, and viscosity. The PU foams characterizations made were apparent density, closed-cell content, compressive strength, scanning electron microscopy, and flammability test.

2. Experimental

2.1. Materials

Carvone, expandable graphite (EG), and photoinitiator, 2-hydroxy-2-methyl propiophenone, were obtained from Sigma-Aldrich, St. Louis, MO, USA. 2-mercaptoethanol (2-ME) was obtained from Acros Organic, Pittsburgh, PA, USA. Both catalysts NIAX A-1 and DABCO T-12 were obtained at Air Products, Allentown, PA, USA. Tegostab B-8404, used as a surfactant, was acquired from Evonik, Parsippany, NJ, USA. Methylene diisocyanate (MDI) and Jeffol 522, which is a commercial polyol, were kindly donated from Huntsman, the Woodlands, TX, USA. DI water was used as a blowing agent obtained from the local Walmart (Pittsburg, KS, USA).

2.2. Synthesis of Carvone-Based Polyol

For the synthesis of carvone-based polyol, one mol of carvone was reacted with 2 mol of 2mercaptoethanol in the presence of 2-hydroxy-2-methyl propiophenone as a photoinitiator. The reaction was exposed to UV light (365 nm) under continuous stirring for 8 h. Figure 1 shows the thiolene reaction for the synthesis of polyol.



Figure 1. The chemical reaction for the synthesis of carvone-based polyol.

2.3. Polyol Characterizations

The characterizations of synthesized carvone-based polyol were based on standards from ISO (International Organization for Standardization) and ASTM (American Society for Testing and Materials). By using the Hanus method, the iodine number for carvone was determined. The hydroxyl number of the polyol was obtained according to the ASTM E1899-16 Method by reacting the polyol with *p*-toluenesulfonyl isocyanate (TSI), followed by potentiometric titration (888 Titrando, Tiamo Software system by Metrohm, AG, Switzerland). The viscosity of carvone and the polyol was measured using a TA Instruments rheometer from New Castle, Delaware, at room temperature by increasing the shear stress from 0.1 to 100 Pa. A cone plate with a 2° angle and 12.5 mm radius was used. The FT-IR spectra were obtained using a PerkinElmer Spectrum two from Waltham, Maryland. Gel Permeation Chromatography (GPC) was performed into the polyol and starting materials using a Waters system from Milford, Massachusetts. The system consists of a column with the dimensions of 300 × 7.8 mm with different pores from 50 and 102 to 104 Å. The standard eluent used was tetrahydrofuran, injecting 20 μ L for 50 min per sample at 30 °C.

2.4. Synthesis of Flame-Retardant Rigid Polyurethane

FR-PU foams were synthesized by using different concentrations of EG, shown in Table 1. Firstly, the polyol and EG were manually mixed in a 500 mL plastic cup and then vigorously stirred (3000 rpm) for a few minutes to form a homogenous mixture. All the components but MDI were added and stirred for 30 s. Next, 33.39 g of MDI was added and vigorously stirred to obtain the PU foam. The samples were designated as EG 0, EG 0.5, EG 1.5, EG 3, EG 5, EG 8, and EG 10. The wt.% of the biocomponent (biopolyol) in EG 0, EG 0.5, EG 1.5, EG 3, EG 5, EG 8, and EG 10 was 18.3, 18.1, 17.8, 17.3, 16.7, 15.9, and 15.4, respectively. A foam (Jeffol) containing only Jeffol 522 was also prepared for comparison.

Compound	Jeffol	EG 0	EG 0.5	EG 1.5	EG 3	EG 5	EG 8	EG 10
C-2-ME-Polyol	0	10	10	10	10	10	10	10
Jeffol 522	20	10	10	10	10	10	10	10
A-1	0.14	0.14	0.14	0.14	0.14	0.14	0.14	0.14
Water	0.8	0.8	0.8	0.8	0.8	0.8	0.8	0.8
T-12	0.04	0.04	0.04	0.04	0.04	0.04	0.04	0.04
B8404	0.4	0.4	0.4	0.4	0.4	0.4	0.4	0.4
MDI	37.14	33.39	33.39	33.39	33.39	33.39	33.39	33.39
EG	0	0	0.5	1.5	3	5	8	10
Wt.% of EG in the foam	0	0	0.91	2.67	5.19	8.37	12.75	15.44

Table 1. The formulation in grams of foam's components.

2.5. Characterizations of Polyurethane Foams

The foams were cut in a cylinder of 45×30 (mm) of diameter and height, respectively. These cylinders were used to measure apparent density and closed-cell content. Gravimetric density was obtained according to ASTM 1622-14. A Humi Pyc Volumetric and relative humidity (RH) Analyzer instrument was used to acquire the closed-cell content. The compressive strength of the PU foams was obtained by cutting them into $50 \times 50 \times 25$ (mm³) length, width, and height, respectively. The strain at 10% and yield at break were determined by using an Instron tensile instrument (Raleigh, NS, USA) operated by the Blue Hill system, adopting the ISO 844:2016. The compressive strength applied was at a strain rate of 3 cm/min, from the top of the sample. Thermogravimetric Analysis (TGA) was performed using a Q500 Discovery (New Castle, DE, USA) to analyze the foam's thermal decomposition. TGA was performed in nitrogen and air starting from 20 to 700 °C, at a 10 °C increase per min. Fire retardancy was determined by adopting the ASTM D 4986-18 standard test, which consisted of applying a direct flame into the sample with dimensions of $150 \times 50 \times 12.5$ (mm³) height, length, and thickness respectively, in a horizontal position for 10 s. After that, the time taken for the sample to quench the fire by itself along with the weight loss percentage was recorded. Cubicles of

0.5 cm³ dimensions were cut and used to obtain images for the Scanning Electron Microscopy (SEM) (Phenom, Netherlands). A Magnetron Sputtering along with a monitor from Kurt J. Lesker Company (Jefferson Hills, PA, USA) was used to perform a gold sputtering on the surface of the samples to coat them with a thin conductive layer of gold (Au). The system was flushed several times with Ar gas. A vacuum of 50 mBar was applied, and the samples were put under gold plasma for 1 min.

3. Results and Discussion

The GPC chromatogram for carvone, 2-ME, and C-2-ME-Polyol are ascribed in Figure 2. The reduced retention time for C-2-ME-Polyol (36 min) as compared to carvone (42 min) suggests an increase in the molecular weight of polyol. No extra peaks were observed in GPC curves for unreacted compounds, which confirms that the reaction was complete. The carvone structure consists of two double bonds that have a difference in reactivity due to steric hindrance within the structure. Hence, the peak at 38 min could be linked to the external double bond of carvone reacted with 2-ME because of less steric hindrance, while the peak at 36 min could correspond to the occurrence of reaction on both the double bonds, as reported by others [25,26]. Further, the reaction proceeding for polyol synthesis can also be correlated to an increase in viscosity of C-2-ME-Polyol (2.702 Pa.s) compared to that of carvone (0.006173 Pa.s.). The viscosity of a commercial polyol (Jeffol 522) was 26.59 Pa.s. Jeffol 522 is a sucrose-based polyol with a hydroxyl number of 520 mg KOH/g and a hydroxyl functionality of 5. Although the viscosity value for polyol showed an obvious increase as compared to carvone, it was low in terms of materials processing and mixing, and therefore allowed for easy processing of PU foams [9]. The hydroxyl number for the synthesized polyol was 365 mg KOH/g, while the theoretical value was 367 mg KOH/g, which suggested a high conversion of essential oil into polyol structure. Since the observed hydroxyl number of the synthesized polyol matches well with the theoretical value calculated using a reaction of one mol of carvone with two moles of 2-mercaptoethanol, the possibilities of reacting with only one double bond could be minimum. It is likely to form oligomers of the polyol. Figure 3 shows the possible reaction of the formation of minor products. Similar behavior was reported in thiol-ene chemistry by other researchers also [25,26].



Figure 2. Gel Permeation Chromatogram for carvone, 2-mercaptoethanol (2-ME), and carvone-based polyol.



Figure 3. The chemical reaction for the synthesis of carvone-based polyol (**A**) along with expected byproducts (**B**).

The reaction proceedings for the thiol-ene reaction between carvone and 2-ME was analyzed using FT-IR spectra, shown in Figure 4. For carvone, a peak near 900 cm⁻¹ correlates to an axial stretch for C=C [27]. While, for 2-ME, a peak near 2568 cm⁻¹ represents an axial stretch for S-H bond and 3500 cm⁻¹ for C–O–H bond [28]. After the thiol-ene reaction, the S–H peak near 2568 cm⁻¹ and C=C peak near 900 cm⁻¹ disappeared by forming C-S bond near 600–700 cm⁻¹, with a simultaneous appearance of C-O-H axial stretch at 3500 cm⁻¹ for C-2-ME-Polyol [5,25,29]. Similar behavior was reported by Feng et al. for the synthesis of soybean oil and 2-ME-based polyol via thiol-ene reaction [28].

The effect of EG concentration on an apparent density of PU foams is summarized in Figure 5. All the foams showed the apparent density in the range of 30–45 kg/m³. The density of polyurethane foam prepared using commercial polyol (Jeffol 522) was 43 kg/m³. As the amount of the EG exceeded 8.37 wt.% in the polyurethane foams, the density values for PU foams increased. This could be due to the presence of acidic groups on EG's surface that milds the effect of catalyst and slows down the rise time of the foams [21,30]. This caused a slight increase in the apparent density of the foams. The addition of a higher amount of catalyst could increase the rise time and maintain the density of foams [5]. However, all the densities were following industrial standards and can be used for rigid foam applications [26]. Hence, no further modifications were made to the concentration of the catalyst. The rise time for the foams EG 0, EG 0.5, EG 1.5, EG 3.0, EG 5.0, EG 8.0, and EG 10.0 was about 14, 14, 12, 12, 11, 9, and 8 s, respectively. The rise time of the foam prepared using Jeffol 522 was about 13 s. The closed-cell content of the prepared foams was measured using the ASTM D2856 method. Figure 6 shows the closed-cell content of all the foams. The closed-cell content of all the foams was observed in the range of 90%–95%. The closed-cell content of polyurethane foam prepared using Jeffol 522 was 93%. The FT-IR analysis of the prepared polyurethane foams was also performed (Figure 7). The peak around 3308 cm⁻¹ is associated with the amide (-NH) group, while the peak around 1699 cm⁻¹ is due to the carbonyl urethane group (-C=O). The peaks around 1598, 1515, and 1225 cm⁻¹ are indicative of -C-N, -C=C, and -C-O-C, respectively.



Figure 4. Fourier Transformed Infrared spectra for carvone, 2-mercaptoethanol, and carvone-based polyol.



Figure 5. Density of polyurethane foams with carvone-based polyol with an increasing amount of EG.



Figure 6. Closed-cell content of polyurethane foams with carvone-based polyol with an increasing amount of EG.



Figure 7. Fourier Transformed Infrared spectra for polyurethane foams.

The SEM images for all the synthesized PU foams with uniform cellular structure can be summarized from Figure 8. Increasing the concentration of EG from 0 (C-EG-0) to 15.44 wt.% (C-EG-10) decreases an average cell size from 160 to 120 micron. These results are in accordance with the density values. As the amount of EG exceeds 8.37 wt.% in the foam, the acid content within the reaction mixture of PU foam increases, which slows down the rise time of the foam and raises the apparent density of the foams. Unlike previous reports for PU foams containing EG, we observed a highly closed-cell structure > 95% for our EG containing PU foams, which could be due to compatibility of EG with carvone-based polyol structure [31,32]. A high and nearly constant value for closed-cell content with low variance in density can present rigid PU foams with good thermal insulation properties [2,33].



Figure 8. Scanning Electron Microscopy (SEM) of carvone-based PU with an increasing amount of EG.

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A compression test was performed to analyze the effect of an increasing amount of EG on the mechanical properties of PU foams (Figure 9). EG is being reported as a cost-effective FR with the drawbacks of harder processing and a decrease of mechanical strength [24,34]. The ultimate compressive strength value was found to be 210 kPa and 209 kPa for neat PU foam prepared using synthesized biopolyol and Jeffol 522, respectively. As we increased the content of EG, the compression strength of PU foams slightly decreased. The 10 EG-PU foam showed a yield at the break of 175 kPa. Although EG acts as an effective FR when used in the form of flakes, its higher concentration in PU foams may cause defects into segments of the foam, hence it deteriorates some of its mechanical properties, causing the compressive strength to vary [21,24,35,36,37,38]. Even though there was a decrease in mechanical properties with increasing concentration of PU foams, all the EG-PU foams showed higher compression strength than foams originated from castor, cardanol, and corn oils that were 150, 130, and 75 kPa, respectively [5,39].



Figure 9. Compressive strength for carvone-based PU with an increasing amount of EG.

The flame-retardant properties of EG containing PU foams were analyzed using a horizontal burning test. In this study, the foams were held in the horizontal position and subjected to a fire for 10 s. The flame time and weight loss after the self-extinction of fire were recorded (Figures 10 and 11). The neat PU showed the longest flame time of 98 s and the highest weight loss of 46%. By adding 15.44 wt.% of EG (10 EG), the lowest flame time of 11 s and weight loss of 3.55% were achieved. A systematic reduction in the burnt area of samples with increasing EG content is summarized in Figure 12. However, with a further increase in EG concentration (>12.75 wt.%), there were no major changes observed in flame-retardant properties of rigid PU foams. The foam prepared using Jeffol 522 displayed the burning time of 95 s with a weight loss of 44%. The observed flame time was comparable to previously reported PU foams. For example, Ranaweera et al. prepared limonenebased FR-PU foams using DMMP as a flame-retardant [40]. The self-extinguish time for the best limonene-based FR-PU foam was reported to be 19 s. Similarly, Ramanujam et al. reported a functionalized corn-based FR-PU foam using DMMP and presented remarkable fire-retardant properties by reducing the weight loss from 38 wt.% from the neat PU to 5.5 wt.% by addition of 1.94 wt.% of PU. Unlike EG, DMMP can release toxic fumes containing PH₃ and it is almost five times more expensive than EG, which significantly increases the cost during the large-scale production. In contrast, EG also acts as a filler and can be used to reduce the overall cost of PU foams.



Figure 10. Burning time for the carvone-based PU foams with an increasing amount of EG.



Figure 11. Weight loss for the PU foams with an increasing amount of EG after burning.



Figure 12. Photographs of the PU taken prior to and after the horizontal burning test.

The thermal analysis of FR-PU foams was performed using thermogravimetric analysis (TGA) and derivative thermogravimetric analysis (DTGA) in both a nitrogen and air atmosphere. Figure 13 shows the thermal properties of all the foams studied under a nitrogen atmosphere. The weight loss curve in TGA and DTGA shows two-step thermal decomposition behavior. At 250 °C, the hydrocarbon chains in PU foam start to decompose and EG activates and starts to expand, forming a protective char layer on the foam surface [21]. The first maximum decomposition occurs at 285 °C (Figure 13b). It can be noted that with an increasing amount of EG, there was a systematic decrease in the weight loss of the foams. This means that protective char formation increases with EG concentration and stabilizes the surface of the foam. The EG pyrolysis comprises of SO₂, CO₂, and water that gets entrapped while escaping near the edges of the graphitic structure, leading to the irreversible expansion of the graphene structure [21]. The expansion then creates a graphitic char layer on the surface of the foam that prevents the heat transfer through the solid phase and stops the fire [21,24,35]. This type of phenomenon is observed by the expansion of foam surface during the burning test, which may sometimes form blisters of charred graphene. A second degradation peak near 550 °C corresponds to the disintegration of the PU structure along with char, resulting in weight loss for all the foams [35,41]. As compared to neat PU foams, EG containing foams showed relatively lower weight loss, suggesting increased char stability with EG concentration. The thermal behavior of the prepared foams studied under an air atmosphere is shown in Figure 14. The foams showed similar behavior under nitrogen and air atmosphere; however, the maximum decomposition temperature for the foams was observed to shift towards a lower temperature (270 °C) in air. In addition, at the higher temperature, the foams under air seem to reach maximum decomposition around 600 °C. Some of the important thermal characteristics of the foams in nitrogen and air atmosphere are given in Table 2.



Figure 13. Thermogravimetric analysis (a) and derivative weight loss curves (b) of PU foams in a nitrogen environment.

	N 2	N_2	N_2	Air	Air	Air
Foam	Td5%	Td10%	Tdmax	Td5%	Td10%	Tdmax
0 EG	231.2	250.0	700	231.6	246.3	700
0.5 EG	233.0	251.2	700	226.9	245.5	700
1.5 EG	228.0	249.7	700	233.5	249.7	700
3.0 EG	227.8	246.4	700	224.0	240.9	700
5.0 EG	229.6	245.8	700	225.7	242.2	700
8.0 EG	231.4	247.9	700	225.9	243.1	700

Table 2. Thermal properties of the polyurethane foams.



Figure 14. Thermogravimetric analysis (**a**) and derivative weight loss curves (**b**) of PU foams in an air environment.

4. Conclusions

The bio-based PU foams were successfully synthesized using a bio-oil named carvone and converted into a polyol using a facile and single-step thiol-ene reaction. The synthesized polyol was used as a sustainable alternative to petroleum-based polyol to make polyurethane foams. To enhance the flame retardancy in PU foams, we added different concentrations of EG during the synthesis step. All the synthesized PU foams showed an average apparent density of 45 kg/m³ and a closed-cell content higher than 95%. SEM analysis confirmed that the addition of EG had no adverse effect on the cellular structure of the PU foams. Although an increase in EG content showed a slight decrease in the ultimate compressive strength of the PU foams, the obtained values were comparably higher than commercially available PU foams. Moreover, the horizontal burning test showed an effective drop-in self-quenching fire from 98 to 11 s, and respective weight loss from 40.7% to 2.36%, as the EG concentration increased from 0 to 15.44 wt.%. Both carvone and EG are widely available at relatively low-cost, therefore making them favorable to synthesize low-cost FR-PU foams for an industrial application.

Author Contributions: R.K.G. conceived the project and designed the experiments. F.M.d.S. performed the experiments. J.C. helped in flammability testing. S.B. took the SEM images and revised the manuscript. F.M.d.S wrote the original draft. All authors reviewed the manuscript and provided their inputs. All authors have read and agreed to the published version of the manuscript.

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