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Optimization of night ventilation performance in office buildings in a cold climate

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Abstract

The rising cooling demand and overheating in the building sector, especially in office buildings, have intensified research interest in recent years. Night ventilation (NV) as a passive energy technology has shown a great potential cooling energy and ameliorate indoor thermal environment. In this paper, a holistic approach involving global sensitivity analysis and evolutionary optimization is developed to exclude insignificant parameters and explore optimal NV performance in terms of energy use and thermal comfort. The proposed approach is based on the simulation research of a three-story office building equipped with daytime air conditioning and NV system in a cold climate region. The NV system is equipped with three levels of specific fan power (SFP), representing cases with natural NV and medium and high SFP mechanical NV, respectively. The results show that the activation threshold temperature is not the key parameter for NV performance. Comparing with the case without NV, the three SFP NV systems under a general scheme save 8.8% to 82.5% total cooling energy consumption (TCEC), but increase the average percentage of dissatisfied during occupied hours (aPPD) from 7.5% to about 15%, which may cause overcooling penalty. The optimization decreases the thermal mass area and the night air change rate setpoint at each hour, while increases the minimum indoor air temperature setpoint compared to the general scheme. All three optimal NV schemes significantly improve the indoor thermal comfort by maintaining the aPPD at 7.5%. The optimal medium and high SFP mechanical NV scheme further save 7.1% and 38.6% TCEC compared to the corresponding general mechanical NV scheme, respectively. With a higher SFP, a greater energy saving potential is contributed through NV optimization process. Even though the optimal natural NV scheme consumes more than twice as much TCEC as the general natural NV scheme, it is still worth optimizing the natural NV since the indoor thermal comfort can be improved and the optimal scheme still saves much cooling energy compared to the base case.

Keywords	Night ventilation performance; Global sensitivity analysis; Evolutionary optimization
Taxonomy	Simulation Tool for Optimization, Building Thermal Comfort, Energy Conservation in Building, Energy System Operation
Manuscript category	Passive and Natural Energy and Environmental systems and technologies
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Data will be made available on request

To:

Professor Jianlei Niu and Professor Mattheos Santamouris

Editor-in-chief

Energy and Buildings

Dear Editors,

We would like to submit the enclosed manuscript entitled “Optimization of night ventilation performance in office buildings” for your consideration for publication in “*Energy and buildings, VSI: Building Cooling*”.

This paper proposes a holistic approach involving global sensitivity analysis and evolutionary optimization is developed to exclude insignificant parameters and explore optimal night ventilation performance in terms of energy use and thermal comfort. The proposed approach is based on the simulation research of a three-story office building equipped with daytime air conditioning and night ventilation system in a city Aarhus, located in a cold climate region. Three types of night ventilation are demonstrated and optimized. The results identify the uninfluential parameter for night ventilation performance and indicate that the optimization of night ventilation is very worth.

We believe that this paper will be of interest to the readership of your journal and the special issue because the quest to make office buildings more energy-efficient and comfortable is currently one of the foremost topics of energy efficiency research. While many researches do not comprehensively consider the complex and non-linear interactions of parameters on the night ventilation performance, the match between the cooling potential of night ventilation and thermal mass activation needs further investigation to save energy use and improve thermal comfort. Optimization of night ventilation performance allows for low building energy consumption and indoor thermal comfort improvement. Any researcher interested in furthering the improvement of the night ventilation performance will find this information very useful.

This manuscript has not been published or presented elsewhere in part or in entirety and is not under consideration by another journal. We have read and understood your journal’s policies, and we believe that neither the manuscript nor the study violates any of these. There are no conflicts of interest to declare. Please advise if the paper is accepted to the journal and any revision or modification needed!

Thanks and Best Regards!

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Dear Editor and Reviewers,

We have revised our manuscript based on your comments. Please find attached the updated version as well as a detailed list of our responses to the comments. We are grateful to you and the valuable suggestions of the reviewers to our paper. As you will see when examining our revision, the reviewer's comments and recommendations have been given consideration and thoroughly addressed in our revised paper. The line, page, equation, and reference numbers from the response part refer to the revised manuscript. We also corrected some of the formation, expression and typing problems. We hope that this manuscript is now acceptable for publication in *Energy and Buildings*. If you have any additional comments and/or concerns, please do not hesitate to contact me directly.

Yours sincerely,

Rui Guo

Reviewer 1:

I appreciate your making clarifications and improvements per recommendations. Thanks for providing a reference for SRRC and explaining it in the detailed response. They were certainly helpful and there is no need to include all explanations in the main text of course, but I think that readers may still benefit from a brief descriptive definition of SRRC in the main text. Without a definition, it is like a black box--with which readers only know that 'the high value means X, a positive sign means Y, a negative sign means Z...' without knowing what it actually is. A simple parenthetical definition would be appreciated right before the supplementary explanations (before lines 213-215).

Below is a couple of examples that might help:

- "The coefficient of determination is the proportion of the variance in the dependent variable that is predictable from the independent variable(s)."
- "The pressure coefficient is a dimensionless number which describes the relative pressures throughout a flow field in fluid dynamics."

They define what those coefficients are. This is fairly minor change, but will greatly help readers demystify the analysis process, as the term is used as a key analysis method in some sections. Thanks for the opportunity to review your great work!

Response: Thanks a lot for your great review and recommendations! We added the following sentence before the explanations of SRRC:

“The SRRC is calculated by performing regression analysis on rank-transformed data (i.e. input parameters and output variables) rather than the raw data.”

Reviewer 2:

The authors have satisfactorily justified their modelling assumptions by replying to all my comments. However, I believe that some few final clarifications should be added in the manuscript before publication, namely:

1) Response to question 1:

After the sentence added in the manuscript at line 474, the authors should also acknowledge that even applying the optimised ventilation controls (windows opening availability etc), the actual possibility to reach the optimised ACH with natural NV also depends on the architectural design and the location of the building that are excluded in this optimisation analysis (i.e. if the room is single sided or not and if the building is located in urban canyons, where wind speed and pressure is significantly modified by urban geometry).

Response: We appreciated a lot for your detailed advice! We added the following sentence in Section 4:

“It should be noticed that even optimizing the control parameters of a real natural NV may still not fulfill the optimal natural ACH shown in this study. Because the actual possibility to reach the optimal ACH also depends on the architectural design, the building location and local wind environment that were not included in this study.”

2) Response to question 2:

The author should elaborate this justification also in the manuscript

Except for these clarifications, the manuscript is suitable for publication in the VSI.

Response: Thanks a lot for your suggestions! We added the following sentence in Section 4:

“Only a single case room was optimized in this study. One reason was that this study devoted to putting forward a method/ability to optimize the NV performance, which was also applicable for multiple rooms or the whole building. Another reason was to reduce the computation time and analyze the optimal results easier and clearer. It is worth noticing that even though the optimal solutions of different rooms or the whole building may differ, the optimal result (i.e. TCEC and aPPD) or trend was also applicable for other cases. As the heat gain of the case room should be much higher than other rooms, but this room still met the overcooling penalty under the high-ACH scenario. Therefore, the same problem will occur in other rooms. Under the same objective and constraint with the omnioptimizer, similar optimal results are expected for other rooms or the whole building.”

Optimization of night ventilation performance in office buildings in a cold climate

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Optimization of night ventilation performance in office buildings in a cold climate

The rising cooling demand and overheating in the building sector, especially in office buildings, have intensified research interest in recent years. Night ventilation (NV) as a passive energy technology has shown a great potential cooling energy and ameliorate indoor thermal environment. In this paper, a holistic approach involving global sensitivity analysis and evolutionary optimization is developed to exclude insignificant parameters and explore optimal NV performance in terms of energy use and thermal comfort. The proposed approach is based on the simulation research of a three-story office building equipped with daytime air conditioning and NV system in a cold climate region. The NV system is equipped with three levels of specific fan power (SFP), representing cases with natural NV and medium and high SFP mechanical NV, respectively. The results show that the activation threshold temperature is not the key parameter for NV performance. Comparing with the case without NV, the three SFP NV systems under a general scheme save 8.8% to 82.5% total cooling energy consumption (TCEC), but increase the average percentage of dissatisfied during occupied hours (aPPD) from 7.5% to about 15%, which may cause overcooling penalty. The optimization decreases the thermal mass area and the night air change rate setpoint at each hour, while increases the minimum indoor air temperature setpoint compared to the general scheme. All three optimal NV schemes significantly improve the indoor thermal comfort by maintaining the aPPD at 7.5%. The optimal medium and high SFP mechanical NV scheme further save 7.1% and 38.6% TCEC compared to the corresponding general mechanical NV scheme, respectively. With a higher SFP, a greater energy saving potential is contributed through NV optimization process. Even though the optimal natural NV scheme consumes more than twice as much TCEC as the general natural NV scheme, it is still worth optimizing the natural NV since the indoor thermal comfort can be improved and the optimal scheme still saves much cooling energy compared to the base case.

Keywords: Night ventilation performance; Global sensitivity analysis; Evolutionary optimization;

Nomenclature

English symbols

\mathbf{x}	Solution vector
n	Number of decision variable
j	Number of inequality constraints
K	Number of equality constraints
\mathbf{g}	Vector of inequality constraints
\mathbf{h}	Vector of equality constraints
C	Cooling energy consumption for air conditioning or night ventilation

Abbreviations

NV	Night ventilation
AC	Air conditioner or air conditioning
ACH	Air changes per hour
PCM	Phase change material
LHS	Latin hypercube sampling
MCA	Monte Carlo analysis
SHGC	Solar heat gain coefficient
TMY	Typical meteorological year
COP	Coefficient of performance
GA	Genetic algorithm
SRRC	Standardized rank regression coefficient
TCEC	Total cooling energy consumption
PPD	Percentage of dissatisfied
aPPD	Average PPD during occupied hours
SFP	Specific fan power
KKT	Karush–Kuhn–Tucker
NSGA-II	Non-dominated sorting genetic algorithm II

1 **1. Introduction**

2 Cooling demand in buildings, especially in office buildings, is increasing and has become
3 a severe challenge during the last decades [1]. Predictions correspond to an increase in
4 the cooling energy demand of the commercial buildings in 2050, compared to the current
5 consumption, close to 275% [2]. More and more space cooling systems have been
6 installed in office buildings, even in moderate and cold climates such as in Central or
7 Northern Europe [3]. Office buildings usually have high internal heat gains and
8 experience considerable cooling loads due to high solar gains through extensive glazing.
9 While the heating demand can be effectively reduced by installing thermal insulation and
10 improving building airtightness, cooling plays a more significant role in the overall
11 energy demand of buildings [4]. Night ventilation (NV) is a promising way to decrease
12 cooling demand and improve indoor thermal comfort [5]. The basic concept of NV
13 involves cooling the indoor air and the building thermal mass overnight to provide a heat
14 sink available the next day. NV can be driven by natural ventilation, or be supported by
15 hybrid/mechanical ventilation with a mechanical fan [6]. Climatic condition is a key
16 factor to determine the NV efficiency. NV generally has a high cooling potential in
17 moderate or cold climate regions of Central, Eastern, and Northern Europe [3]. However,
18 too much NV in moderate or cold climate regions may overcool the building making
19 people feel cold during occupancy periods or it may consume additional energy for
20 reheating [7].

21 NV performance is dependent on many parameters. They can be mainly sorted by
22 the cooling capacity of NV and the heat charge/discharge quantity of building thermal
23 mass. The parameters of the cooling capacity of NV involves the night air change rate per
24 hour (ACH), minimum indoor temperature setpoint, night venting duration, and
25 activation threshold temperature (i.e. the temperature difference between indoor and

26 ambient air). Roach et al. [8] optimized the NV temperature setpoint and the ACH in an
27 office building in Adelaide, and concluded that the best NV setpoint temperature is 15 °C
28 and the optimum ACH is 12 h⁻¹. Several NV control strategies for an office building with
29 the daytime active cooling system in northern China were studied and compared [9]. The
30 conclusion was that NV should operate close to the active cooling time with a long
31 ventilation period. The longer the duration of NV operation, the more efficient the NV
32 becomes. Lixia et al. [10] also coupled NV with daytime active cooling to compare the
33 energy-saving potential under 10 ventilation durations for large supermarkets in cold
34 climates in China. Kolokotroni et al. [11] simulated an air-conditioned office building
35 with night cooling and recommended that the night cooling should operate continuously
36 at night until 7:00 when the inside and outside temperatures exceed 18 and 12 °C,
37 respectively. Several researchers have studied efficient control strategies for the cooling
38 capacity of NV. The weather predictive control algorithm was adopted to predict the
39 indoor air temperature during occupancy periods and control the night airflow rate
40 through the heat storage [12][13]. The results seemed positive for reducing the building's
41 cooling demand. Braun et al. [14] developed a simple operation strategy for NV pre-
42 cooling in different buildings in California. They determined that the strategy saved
43 significant compressor energy and that it was cost-effective.

44 The ability of the building thermal mass to store the excess heat at daytime and to
45 release the heat at night also affects the NV performance [15]. When such charge and
46 discharge process is timed correctly, thermal mass can be utilized to improve thermal
47 comfort and save building energy [16]. The coupling of NV with thermal mass activation
48 has been widely adopted in buildings [17][18][19]. Solgi et al. [20][21] integrated NV
49 with phase change material (PCM) in office buildings in a hot climate region. The
50 amalgamation of NV with PCMs in a building reduced the average indoor temperature,

51 the peak temperature, and saved about 50% of the annual cooling load. Yanbing et al.
52 [22] studied the performance of NV with a novel PCM packed bed storage system in
53 Beijing, China. They found that the system was efficient in cooling down the room
54 temperature and saving the room energy use. Shaviv et al. [23] investigated the NV with
55 the thermal mass. The results showed that it could reduce the indoor temperature by 3–
56 6°C and eliminate the air conditioner (AC) operation in a building with heavy thermal
57 mass in the hot humid climate of Israel. That research shows several shortcomings:

- 58 1) The NV performance was evaluated or optimized by a single indicator,
- 59 2) The parameters related to NV cooling capacity and thermal mass activation had
60 the coupling effect on the NV performance, which was rarely taken into consideration at
61 the same time, and
- 62 3) The related parameter was varied one by one with a few and wide steps (e.g.
63 ACH range from 0 h⁻¹ to 12 h⁻¹ with a step of 3 h⁻¹) and all the other parameter were fixed
64 to investigate the NV efficiency improvement, which cannot guarantee finding the
65 optimal solution. How the thermal mass activation matches with NV cooling capacity to
66 reach a better performance needs further study.

67 Simulation-based optimization has become an efficient measure to enhance
68 building performance by satisfying several stringent requirements [24]. Instead of the
69 time-consuming parametric simulation method, different stochastic population-based
70 algorithms (e.g. genetic algorithm, particle swarm optimization, evolutionary algorithm)
71 have been widely used. To maintain a reasonable number of input parameters in the
72 optimization, sensitivity analysis could be conducted to screen out unimportant
73 parameters [24]. The influence of parameters on NV performance has been widely
74 investigated. Artmann et al. [25] did a local sensitivity parameter analysis of NV in an
75 office building and found that the most influential parameters of NV are climate

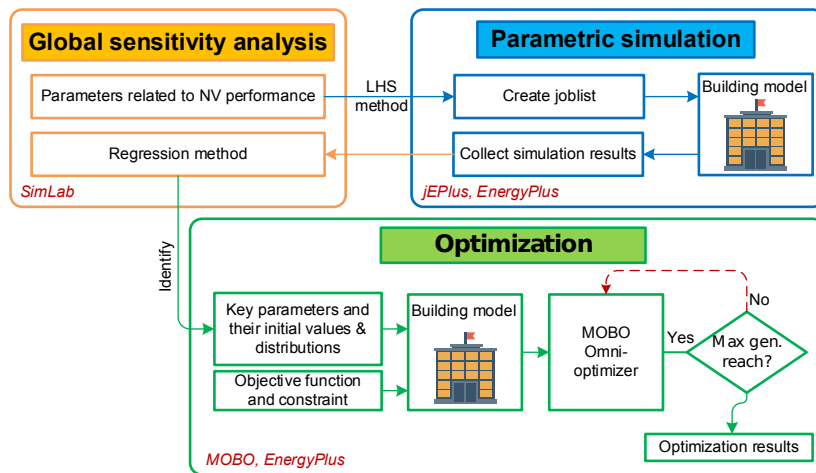
76 conditions and the air change rate. Kolokotroni et al. [26] did similar work for office
77 buildings in a moderate climate. The results showed that other than air change rates, the
78 most influential parameters also include the thermal mass and internal heat gains. Shaviv
79 et al. [23] investigated the correlation between indoor air temperature and the design
80 parameters for NV in a residential building in a hot humid climate. They found that the
81 air change rate, thermal mass, and daily temperature difference were the most influential
82 parameters. Rui et al. [27] conducted a global sensitivity analysis in an office building
83 under different climatic conditions to identify the most important design parameters of
84 NV. The results showed that the window-wall ratio, thermal mass, internal convective
85 heat transfer coefficient, and night ACH were the most influential parameters. Based on
86 the authors' current literature review, only a few research studies focused on the NV
87 performance improvement by the simulation-based optimization methods.

88 In summary, due to the complex and non-linear interactions of parameters on the
89 NV performance, a comprehensive consideration is required. Moreover, the one-factor-
90 at-a-time changing method based on a limited distribution of parameters may not be able
91 to find the optimal solution. Few researchers investigated the balance of energy use and
92 indoor thermal comfort when adopting the NV in cold climate regions and the match
93 between the cooling potential of NV and thermal mass activation. This study, therefore,
94 proposes a systematic approach to identify and screen out the uninfluential parameters by
95 using the global sensitivity analysis. Then the key parameters related to the NV
96 performance are optimized with an evolutionary algorithm to minimize the total cooling
97 energy while maintaining the indoor thermal comfort.

98 **2. Methodology**

99 **2.1 Research framework**

100 A systematic approach is proposed to quantify the impact of the parameters related to the
101 NV performance on the building energy/thermal performance, and then optimize the
102 identified key parameters, as shown in Figure 1. The approach mainly consists of four
103 steps: 1) generating samples from the distribution of parameters, 2) conducting
104 parametric simulations based on the samples and collecting results, 3) conducting
105 sensitivity analysis to screen out uninfluential parameter based on samples and results, 4)
106 setting the objective and constraint to optimize the key parameters. *In the first step,*
107 samples based on the input parameters are generated by the Latin hypercube sampling
108 (LHS) method with the software SimLab which is designed for Monte Carlo analysis
109 (MCA)–based uncertainty and sensitivity analysis [28] before being sent to the parametric
110 simulation manger jEPlus [29]. *In the second step,* jEplus sends the job list to EnergyPlus
111 [30] to conduct parametric simulation and collects simulation results to transfer back to
112 SimLab. *In the third step,* a global sensitivity analysis based on the regression method is
113 conducted with SimLab to investigate the influences of the parameters and to identify the
114 key parameters for the building energy/thermal performance. *In the last step,* the initial
115 values and distributions of the key parameters as well as the objective function and
116 constraint are set in MOBO [31], a generic freeware written with Java programming
117 language and embedded with several optimization algorithms. Then MOBO generates
118 and sends the input variable based on the omni-optimizer from the parameter distribution
119 to EnergyPlus for simulation before getting the results to determines whether the results
120 fulfill the objective and constraint through the optimization algorithm to find the optimal
121 solutions.



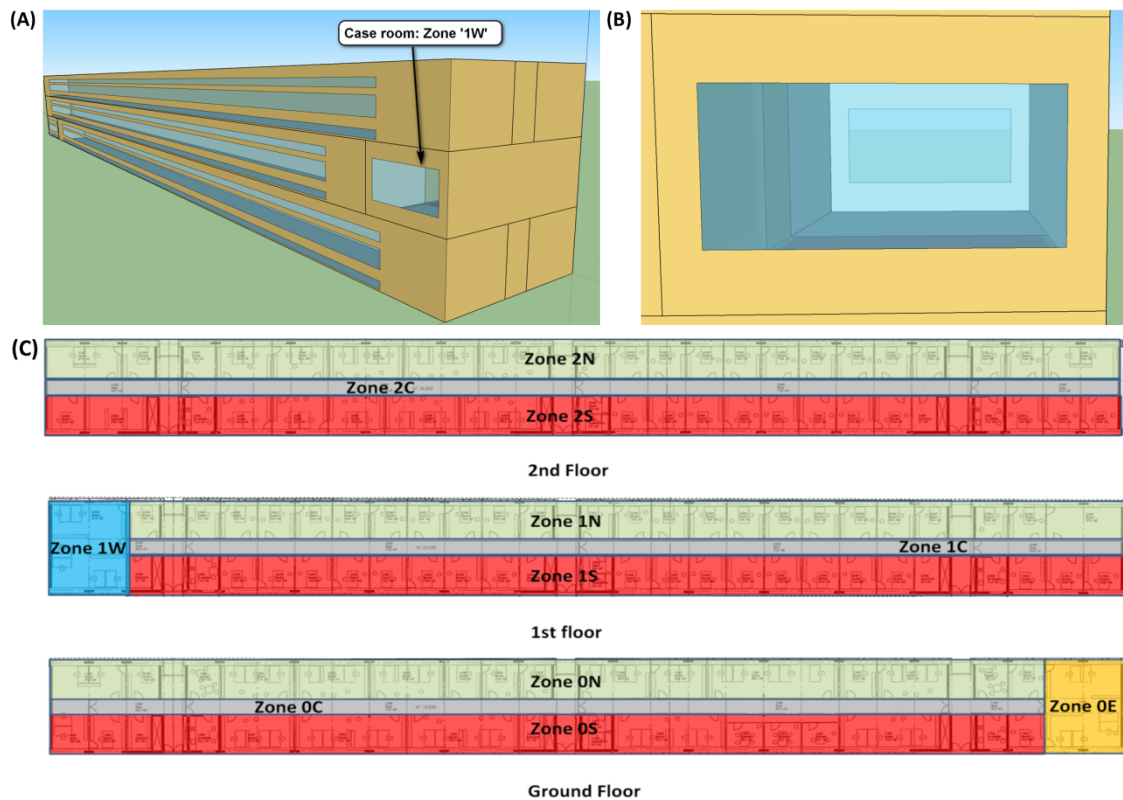
122

123 Figure 1. Flow chart of the proposed research framework.

124 **2.2 Baseline model and cooling systems**

125 SketchUp 2015 coupled with EnergyPlus v.8.9 was selected to build the model that
 126 originated from an office building in Aarhus Municipality built in 2012, as shown in
 127 Figure 2 (A)(B). The building is 103.7 m long and 9.5 m wide, with three stories and a
 128 total area of 2924.1 m² [32]. Figure 2 (C) shows the layout of the office building. The N,
 129 W, S, and C indicate the orientation as north, west, south, and center, respectively, while
 130 the number before the orientation abbreviation represents the floor number. An office
 131 room (i.e. Zone ‘1W’), occupied by six persons was selected as the case room. The room
 132 floor area is 51.3 m², with 2.8 m height. The windows in the case room are the energy-
 133 efficient windows with a double pane construction made of 3 mm glass and a 13 mm
 134 argon gap. The window U-value is 1.062 W/(m²·K), while the glass solar heat gain
 135 coefficient (SHGC) and visible transmittance are 0.579 and 0.698, respectively. To
 136 assume the similar conditions in all adjacent zones, the internal partitions between the
 137 case room and adjacent zones were set as adiabatic.

138

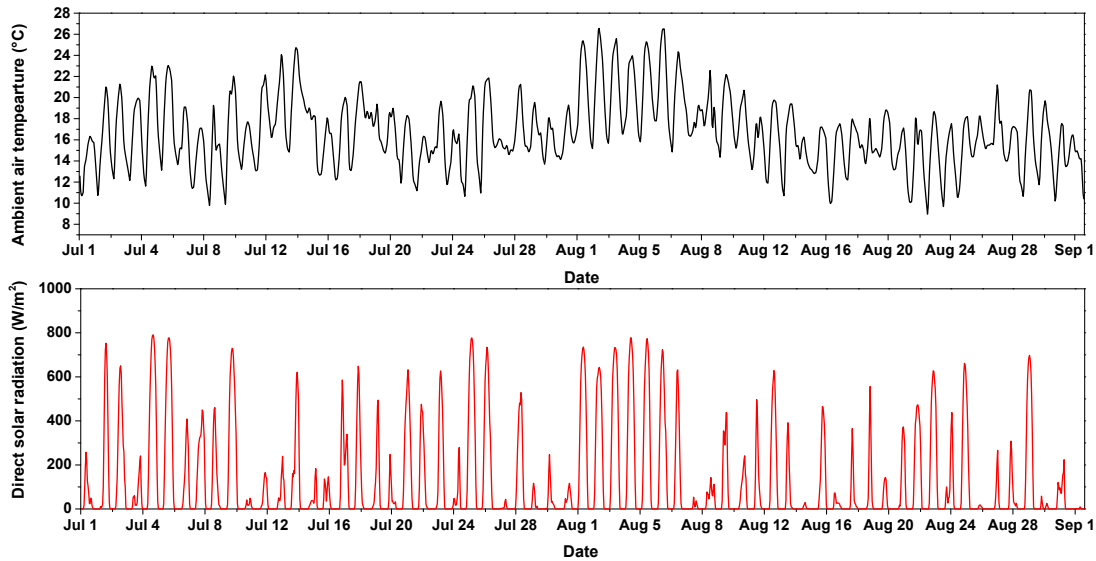


139

140 Figure 2. (A)(B) View of the building and case room, (C) layout of the case office
141 building.

142

143 Typical meteorological year (TMY) data of Copenhagen, Denmark from the
144 World Meteorological Organization was used in the simulation [33]. The summer season
145 from 1 July to 1 September was chosen in this study. Figure 3 shows the direct solar
146 radiation and outdoor air temperature of Copenhagen in the selected days. The daily mean
147 ambient air temperature oscillated between 10.4 °C and 21.7 °C, while the daily maximum
148 value of global horizontal solar irradiance varied between 9.2 W/m² and 790.8 W/m².



149

150 Figure 3. TMY weather data (outdoor temperature and direct solar radiation) of
 151 Copenhagen from 1 July to 1 September.

152

153 Table 1 shows the detailed thermophysical properties of construction elements.

154 The internal thermal mass area is 20 m², while its density is 70 kg/m² of the net surface
 155 area which fulfills the reasonable range (i.e. 10–100 kg/m²) for the internal thermal mass
 156 density in office buildings [34]. The last column of Table 1 is the total dynamic heat
 157 capacity per unit floor area (236.2 kJ/m²·K), indicating that the case room has a heavy
 158 thermal mass level [35]. The dynamic heat capacity c_{dyn} defines how much energy can be
 159 stored per area if its surface is exposed to a sinusoidal temperature variation with a 24 h
 160 time-period [36].

161 The internal heat gains were set as people with a load of 120 W/person, lights with
 162 6 W/m², and electric equipment with 8 W/m² [37]. The hourly operational schedules for
 163 the internal heat gains were 1.0 during the occupied hours (08:00-17:00) on weekdays
 164 while 0 for other hours. The people's clothing level was set at 0.5 clo in summer [38]. The
 165 air change rate of room infiltration was set as 0.5 h⁻¹ [39].

166

167 Table 1. Thermophysical properties of building materials and detailed composition of the
 168 thermal mass.

	d (mm)	ρ (kg/m ³)	c (J/kg·K)	λ (W/m·K)	Total (kJ/m ² ·K)	c_{dyn}/A_{floor}
External wall						
Plasterboard (fire-resisting)	160	900	1000	0.25		
Concrete 200	200	2385	800	1.2		
PUR 210	210	40	1400	0.021		
Cement plate	15	2000	1500	0.35		
Internal wall						
Gypsum board	25	1000	792	0.4		
Mineral wool	70	1750	1000	0.56		
Gypsum board	25	1000	792	0.4		
Ceiling						
Cast concrete 120	120	1800	1000	1.13	236.2	
Floor						
Linoleum	3	1200	1470	0.17		
Cement screed (fiber reinforced)	50	1400	1000	0.8		
Acoustic insulation	9	556	1700	0.15		
OSB panels	25	600	2150	0.13		
Insulation glass wool	200	28	1030	0.032		
Wooden panels	60	250	2100	0.047		
Internal thermal mass						
Cast concrete 100	100	1800	1000	1.13		

169

170 The cooling systems were daytime air conditioning and night ventilation. A
 171 packaged terminal air conditioner (AC) with a coefficient of performance (COP) 3.2 and
 172 a sizing factor 1.2 from the HVAC Template module of EnergyPlus was set in the case
 173 room. The AC temperature setpoint for cooling was set at 24.5 °C, while the outdoor
 174 airflow rate was 30 m³/(h·person) [38]. The AC operated from 08:00-17:00 on weekdays
 175 from 1 July to 1 September. The NV system was a balanced system (i.e. a supply fan with
 176 an exhaust fan). A general scheme for NV was set as follows. The minimum indoor air
 177 temperature setpoint for night ventilation was 18 °C, to cool down the thermal mass
 178 efficiently and prevent the overcooling penalty [40]. Besides, the activation threshold
 179 temperature was 3 °C (i.e. night cooling only operated when the indoor air temperature

180 exceeded the ambient temperature by 3 °C). The air change rate (ACH) setpoint of night
 181 cooling was 10 h⁻¹, which was the specified maximum air change rate [6]. It means that
 182 when the activation threshold temperature is met and minimum indoor air temperature is
 183 not violated, the fans will operate at the speed equivalent to 10 h⁻¹ ACH; otherwise the
 184 fans will stop. The night ventilation schedule was during 17:00-08:00 (+1) on weekdays
 185 from 1 July to 1 September. The '+1' in the parentheses means the next day. To
 186 investigate and optimize the NV performance with different SFPs, three SFPs were
 187 chosen which were 0, 0.5 and 1 kW/(m³/s), representing the natural NV (Case 1), medium
 188 SFP mechanical NV (Case 2), and high SFP mechanical NV (Case 3), respectively. The
 189 SFPs all fulfilled the recommended 'good-practice' SFP for night cooling should not be
 190 higher than 1 kW/(m³/s) based on the technical note AIVC 65 [41]. Table 2 lists the
 191 parameters related to NV.

192 Table 2. Parameters related to NV.

Parameter	Unit	Case 1	Case 2	Case 3
P1 Night venting duration	h	17:00-08:00	17:00-08:00	17:00-08:00
P2 Minimum indoor temperature setpoint	°C	18	18	18
P3 Night ACH setpoint	h ⁻¹	10	10	10
P4 Activation threshold temperature	°C	3	3	3
P5 Internal thermal mass area	m ²	20	20	20
P6 Specific fan power (SFP)	kW/(m ³ /s)	0	0.5	1

193 **2.3 Global sensitivity analysis**

194 Global sensitivity analysis methods can investigate the influences of all input parameters
 195 on output variables simultaneously, compared to screening methods and local sensitivity
 196 methods [42]. This paper adopted the most widely used global sensitivity analysis
 197 method, i.e. the regression method, to identify the key parameters related to NV

198 performance on building energy/thermal performance. One reason is that this method is
199 less computationally expensive and easy to understand. Another reason is that this method
200 can avoid the drawbacks of local sensitivity analysis, which only explores a reduced space
201 of the input factor around a base case, does not consider the interaction, and does not have
202 self-verification. Several sensitivity indicators based on the regression method have been
203 used in building energy analysis [27,43–45]. Standardized Rank Regression Coefficient
204 (SRRC) with Monte Carlo analysis (MCA) was selected to quantify the impact of each
205 parameter as it allowed the evaluation for non-linear but monotonic functions among
206 inputs and outputs [45]. The SRRC is calculated by performing regression analysis on
207 rank-transformed data (i.e. input parameters and output variables) rather than the raw
208 data. The larger the absolute value of SRRC, the more influential the input parameter is.
209 SRRC should be used when samples are generated with the LHS method which fully
210 covers the range of each input parameter [46]. The sample size based on LHS was chosen
211 to be 400 as the minimum size should be bigger than 10 times the number of input
212 parameters [45]. SimLab generates the 400 samples based on the aforementioned method
213 before sending them to jEPlus. Then, jEPlus generates building simulation model
214 descriptions (jep file) based on the job list from SimLab to run the EnergyPlus and
215 collects the results (cf. Figure 1). Finally, SimLab gets the results from jEPlus and
216 conducts the sensitivity analysis by calculating the sensitivity measures (i.e. SRRC).

217 Table 3 shows the range and distribution of the independent parameters related to
218 NV performance. Since the paper aims to quantify the effects of different building design
219 options rather than exploring the possible range of thermal performance for an existing
220 building, the distributions for these parameters should be uniform or discrete [42].
221 Because there are infinite possible time plans theoretically for night ventilation during
222 17:00-08:00 (+1), to simply quantify the order and size of the night venting duration, 15

223 time plans with 1-hour intervals were selected, representing the night venting duration
 224 ranging from 1 hour to 15 hours. The upper limit of minimum indoor temperature setpoint
 225 was chosen according to the design criteria of thermal conditions in summer in EN 15251
 226 [38]. The upper limit of night ventilation ACH originated from the lowest temperature
 227 for cooling in the office room of category III in EN 15251 [38]. The upper limit of SFP
 228 was selected according to the technical note AIVC 65 that recommends ‘good-practice’
 229 SFP for night cooling not exceeding 1 kW/(m³/s) [41]. The total cooling energy
 230 consumption (TCEC) which included the energy consumption of AC and NV, and the
 231 average predicted percentage of dissatisfied during occupied hours of 08:00-17:00
 232 (aPPD) were selected as the output variables for the evaluation of the building energy and
 233 thermal performance.

234 Table 3. Range and distribution of parameters related to NV performance.

Parameter	Unit	Range
P1 Night venting duration	h	D [(17:00-18:00), (17:00-19:00),..., (17:00-08:00)]
P2 Minimum indoor temperature setpoint	°C	U [18-22]
P3 Night ACH setpoint	h ⁻¹	U [0-10]
P4 Activation threshold temperature	°C	U [1-3]
P5 Internal thermal mass area	m ²	U [0-40]
P6 Specific fan power (SFP)	kW/(m ³ /s)	U [0-1]

235 *Note:* **D**: discrete distribution (levels); **U**: uniform distribution (lower value, upper value).

236 **2.4 Omni-optimizer**

237 This study uses omni-optimizer, an evolutionary optimization algorithm for single and
 238 multi-objective optimization that belongs to the category of generational genetic
 239 algorithms (GAs). Omni-optimizer originates from a widely used generic NSGA-II (Non-

240 dominated sorting genetic algorithm II) algorithm that finds the Pareto optimal solutions
 241 for a multi-objective problem. Furthermore, it has high efficiency of adapting
 242 automatically to handle four types of optimization problems: ①Single-objective, uni-
 243 optimal; ②Single-objective, multi-optima; ③Multi-objective, uni-optimal optimization;
 244 ④Multi-objective, multi-optima optimization [47]. Omni-optimizer also integrates a
 245 high-efficiency constraint handling mechanism to process any amount of equality and
 246 inequality constraint conditions [48]. The constrained M-objective ($M \geq 1$) minimization
 247 problem can be posed mathematically as follows:

$$\begin{aligned}
 &\text{Minimize} && (f_1(\mathbf{x}), f_2(\mathbf{x}), \dots, f_M(\mathbf{x})), \\
 &\text{Subject to} && g_j(\mathbf{x}) \geq 0, j = 1, 2, \dots, J, \\
 &&& h_k(\mathbf{x}) = 0, k = 1, 2, \dots, K, \\
 &&& x_i^{(L)} \leq x_i \leq x_i^{(U)}, i = 1, 2, \dots, n.
 \end{aligned} \tag{1}$$

248 Where \mathbf{x} is the solution vector and n is the number of decision variables. j and
 249 $g_j(\mathbf{x})$ are the numbers of inequality constraints and their vector, while k and $h_k(\mathbf{x})$ are the
 250 number of equality constraints and their vector, respectively. The solution vector \mathbf{x} that
 251 satisfies all aforementioned constraints and variable bounds is regarded as a *feasible*
 252 solution. Mathematically, the optimality of a solution depends on a number of KKT
 253 (Karush–Kuhn–Tucker) optimality conditions which involve finding the gradients of
 254 objective and constraint functions [49]. This study aims at finding the minimum TCEC
 255 while maintaining the aPPD within a certain range, which belongs to the type 1
 256 optimization problem as mentioned above.

257 **3. Results and discussion**

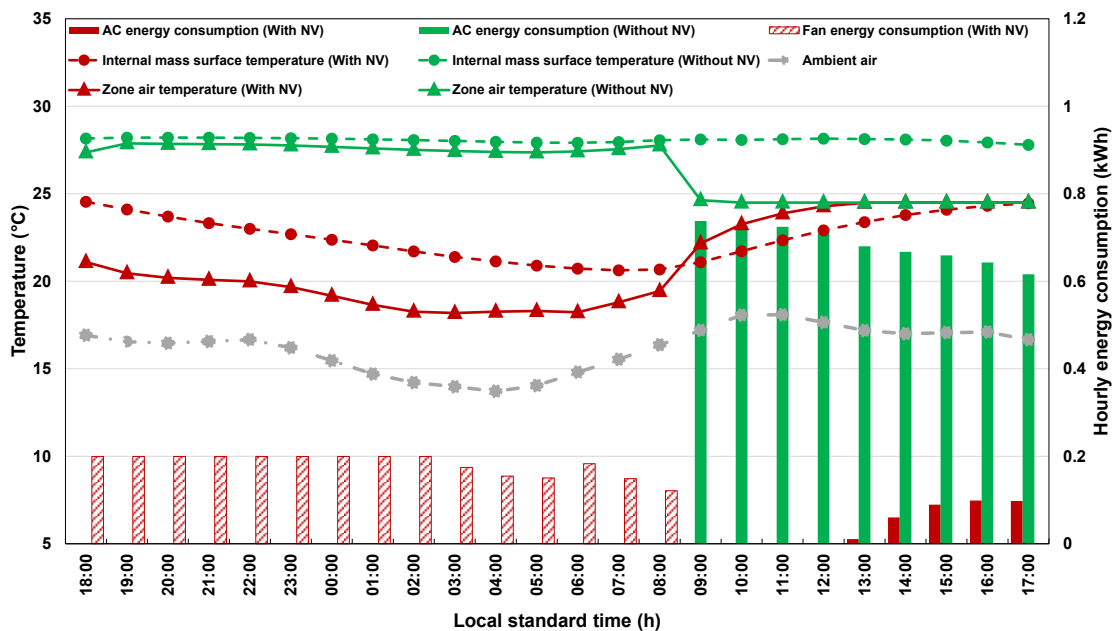
258 **3.1 NV performance demonstration**

259 Before the global sensitivity analysis and optimization, it is essential to reveal the NV
260 mechanism and demonstrate the NV performance through the simulation. The base case
261 is the building model introduced in Section 2.2 without NV. The NV case 2 (i.e. SFP of
262 0.5 kW/(m³/s)) was selected for the NV performance demonstration.

263 Figure 4 shows the simulated data of zone air temperature, internal thermal mass
264 surface temperature and hourly fan/AC energy consumption of the base case and case 2
265 in a typical summer day (July 29 to July 30). On the selected night (i.e. 17:00 to 08:00),
266 the ambient air temperature fluctuated between 13.7 °C to 16.9 °C, which was very
267 suitable for NV. The zone air and internal thermal mass surface temperatures of the base
268 case varied slightly at night, remaining at about 27.8 °C and 28.1 °C, respectively. The
269 reason is that the excess heat stored in the building elements at daytime was released
270 which neutralized the heat loss through the building envelope. Whereas for case 2, due to
271 the fans' operation, the zone air temperature and the internal thermal mass surface
272 temperature were much lower than for the base case at night, and the maximum
273 temperature differences can be 9.3 °C and 7.4 °C, respectively. The fan energy
274 consumption at night was 2.7 kWh.

275 At 08:00 on July 30, the zone air temperatures of the base case and case 2 were
276 27.8 °C and 19.4 °C, respectively. Because the AC setpoint was 24.5 °C, the AC began to
277 work for the base case at 08:00, while AC was postponed to operate for the base case with
278 NV until 12:45 by about 5 hours. Therefore, for the base case, the zone air temperature
279 began to reach the AC setpoint after 08:00, while the internal thermal mass surface
280 temperature continued to remain steady, presumably due to the energy balance between
281 the heat gain of the internal thermal mass and the heat removed by the AC. However, for

282 case 2, both the temperatures began to go up after 08:00. The zone air temperature rose
 283 faster than the internal thermal mass surface temperature and reached to AC setpoint after
 284 12:45, while the surface temperature did not reach AC setpoint until 17:00. This was
 285 because the internal thermal mass was mainly heated by convection with room air, and
 286 thereby heating was delayed and happened after heating of the air. The AC daily energy
 287 consumption for the base case and case 2 was 6.2 kWh and 0.4 kWh, respectively,
 288 indicating that NV saved AC energy consumption. When the fan energy consumption at
 289 night was taken into consideration, the TCEC for case 2 was 3.1 kWh, which was 3.1
 290 kWh lower than for the base case.



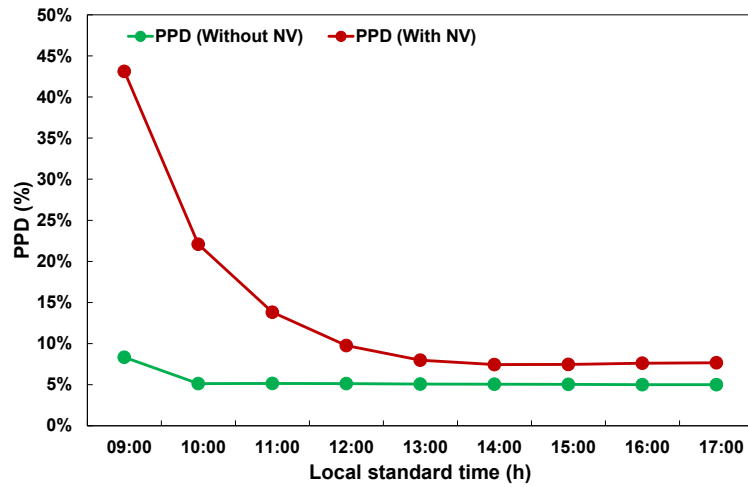
291

292 Figure 4. Comparison of zone temperatures and energy consumption of the base case and
 293 case 2 with NV in a typical summer day (July 29 to July 30).

294

295 Furthermore, the simulated data in Figure 5 shows that the PPD of the base case
 296 with NV was always higher than the base case (i.e. without NV), especially at the
 297 beginning of the occupied hours. The aPPD for case 2 with NV was 14.1%, 8.7% higher
 298 than the base case. The reason was that the NV with high ACH overcooled the indoor air

299 and building elements in the cold climate region, resulting in an overcooling penalty that
300 made people feel cold at the beginning of occupied hours.



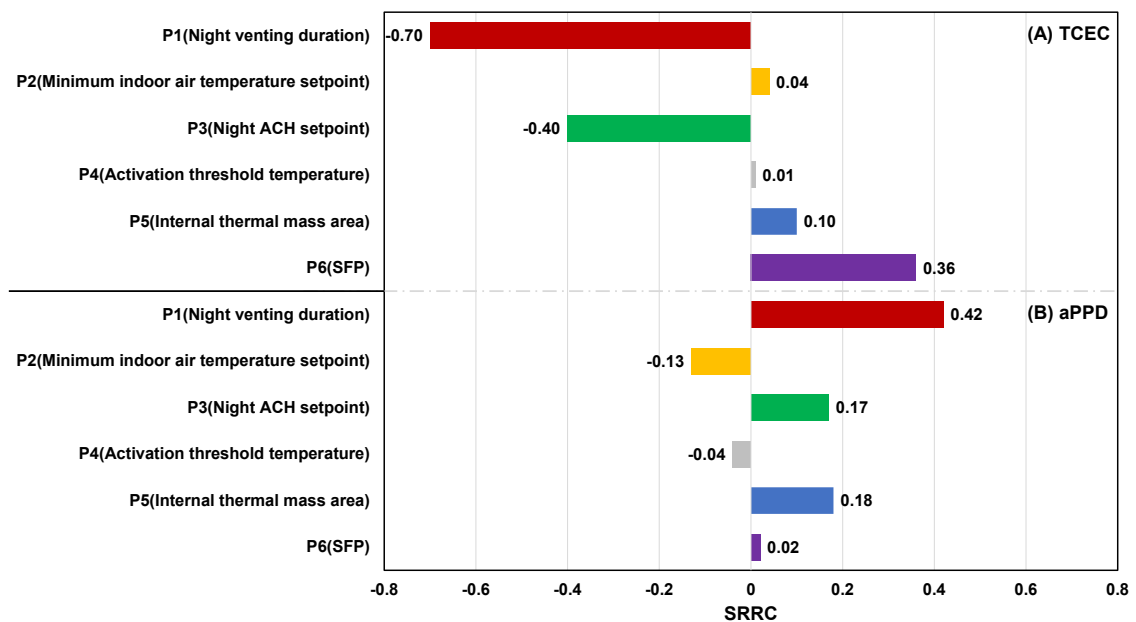
301

302 Figure 5. Comparison of PPD of the base case and case 2 at a typical summer daytime
303 (July 30).

304 **3.2 Influence of concerned parameters on building energy/thermal performance**

305 Figure 6 illustrates the influence of the six parameters presented in Table 3 on the TCEC
306 and aPPD ($R^2=0.95$). A larger absolute value of SRRC means the related parameter is
307 more influential on the corresponding output. Besides, a positive sign of SRRC indicates
308 that the output generally increases as the related input increases, while a negative sign of
309 SRRC means that changes in the input and output tend to go in opposite directions [44].
310 Night venting duration is the most influential parameter on TCEC, followed by the night
311 ventilation ACH, SFP, and internal thermal mass area. The minimum indoor air
312 temperature setpoint and activation threshold temperature for night cooling activation
313 have little influence on TCEC. The more night cooling (i.e. longer night venting duration
314 and more ACH), the lower TCEC. On the contrary, increasing the SFP and internal
315 thermal mass area tends to consume more TCEC.

316 For aPPD, night venting duration also has the greatest impact, followed by the
 317 internal thermal mass area, night ventilation ACH, and minimum temperature setpoint.
 318 The threshold temperature and SFP are not important parameters for the aPPD. Contrary
 319 to the impact of night cooling on TCEC, the more night cooling, the more aPPD. It
 320 indicates that more night cooling generally contributes to saving more TCEC by
 321 postponing or reducing the AC operation, but also results in the overcooling penalty at
 322 the beginning of the working day in the cold climate region. Adding the internal thermal
 323 mass area tends to reduce the aPPD while increasing the minimum temperature setpoint
 324 tends to affect the aPPD inversely. This is presumably because when NV cools a heavy
 325 thermal mass level sufficiently, it will remain at a low surface temperature for a longer
 326 time during occupied hours, thereby leading to a colder indoor thermal environment.
 327 Whereas a higher minimum temperature setpoint can reduce the risk of overcooling
 328 phenomena by NV and decrease the aPPD.



329
 330 Figure 6. Standardized Rank Regression Coefficient (SRRC) of the concerned
 331 parameters.

332 **3.3 Optimization**

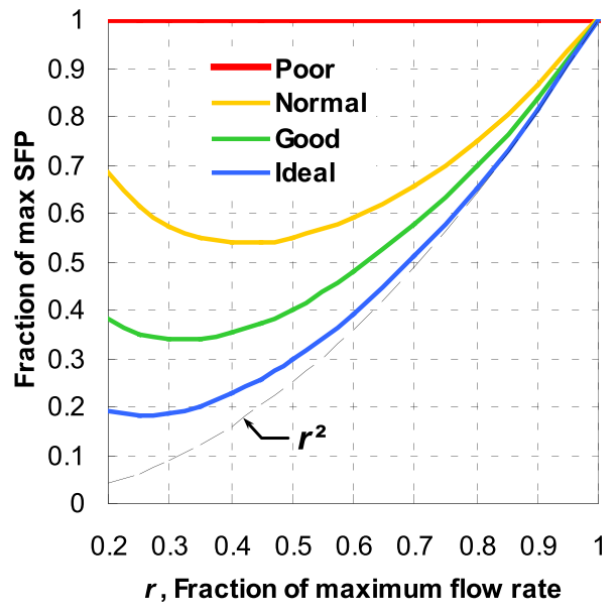
333 *3.3.1 Optimization setup*

334 The global sensitivity analysis in Section 3.1 manifested that the activation threshold
335 temperature was not a key parameter. Hence, there was no need to optimize it, and it was
336 kept at 3 °C. Besides, the SFP was not optimized as it was an intrinsic parameter once the
337 fan was selected. Cases 1, 2, 3, and base case listed in Table 2 were selected to compare
338 and optimize the NV performance. It is worth noticing that the airflow rate of natural NV
339 is determined/influenced by many factors in real life, like the climate condition, window
340 opening, building orientation, etc. This study focuses on optimizing the airflow rate at
341 night and evaluating the influence of the optimal airflow rate on the building cooling
342 energy and indoor thermal comfort; therefore, how using natural NV can achieve the
343 optimal airflow rate is not an issue in this study. It is also worth noticing that even though
344 the NV is equipped with the variable flow rate fan, it only operates at a constant airflow
345 rate during the entire nighttime under the general scheme when the activation threshold
346 temperature is met and minimum indoor air temperature is not violated. This is due to the
347 lack of indoor air temperature setpoint, which cannot vary the airflow rate. The reason
348 why there is no indoor air temperature setpoint is that the basic concept of NV is to utilize
349 most of the cooling potential of ambient air when office buildings are not occupied.

350 The optimization aims at finding the optimal night ACH setpoint at each hour.
351 Hence, the variable flow rate fan was selected. According to the technical note AIVC 65
352 [41], the SFP at each part-load operating point can be estimated as a function of the
353 fraction of maximum flow rate (r) by the following generic equation for $0.2 \leq r \leq 1.0$:

$$354 \quad \frac{SFP_{part\ load}}{SFP_{max\ load}} \approx a + br + cr^2 + dr^3 \quad (1)$$

355 Figure 7 illustrates the different levels of the fan performance curve. The ‘Good’
 356 performance curve was selected, which represents systems for which the fan pressure
 357 decreases with the airflow rate. The coefficients of a , b , c , and d for use in Eq. (1) were
 358 0.5765, -1.5030, 2.6557, and -0.7292 respectively. The maximum SFPs for the medium
 359 SFP mechanical NV (Case 2), and high SFP mechanical NV (Case 3) were both at the
 360 maximum ACH of 10 h⁻¹. The fraction of maximum flow rate (r) at each hour for
 361 mechanical NV should be between 0.2 to 1.0 (i.e. ACH of 2 to 10 h⁻¹) or 0 (i.e. stop
 362 ventilation). While for natural NV, the fraction r was between 0 to 1.0 (i.e. ACH of 0 to
 363 10 h⁻¹) at each hour for optimization.



364

365 Figure 7. Illustration of Eq. (1) for Poor, Normal, Good, and Ideal systems [41].

366

367 To reduce the computational effort and staying close to reality, discrete
 368 distributions rather than continuous distributions were selected. Several simplifications
 369 and modifications were conducted to improve the simulation and optimization speed:

370 1) The night ventilation ACH setpoint between 17:00-08:00 (+1) at each 1 hour
 371 was optimized with a discrete variable from 0 to 10 h⁻¹ with a step of 0.1 h⁻¹ for natural
 372 NV, while 0 or 2 to 10 h⁻¹ with a step of 0.1 h⁻¹ for mechanical NV,

373 2) The internal thermal mass area was optimized with a discrete variable ranging
 374 from 0 to 40 m² with a step of 0.1 m², and

375 3) The minimum indoor temperature setpoint was optimized with a discrete
 376 variable from 18 to 22 °C with a step of 0.1 °C.

377 Table 5 lists the parameters for cases 1, 2, 3, and base case, while Table 4 summarizes
 378 the parameters to be optimized for cases 1, 2, and 3. The population size, maximum
 379 generation number, mutation probability, and crossover number, were set as 16, 150,
 380 0.167, and 0.9 respectively by compromising the computational effort and the accuracy
 381 [50].

382 Table 4. Range and distribution of parameters for NV optimization of cases 1, 2, and 3.

Parameter	Unit	Range
O1 Night ventilation ACH setpoint	h ⁻¹	D [0-10] with step 0.1 h ⁻¹ at each hour for natural NV D 0 or [2-10] with step 0.1 h ⁻¹ at each hour for mechanical NV
O2 Minimum indoor temperature setpoint	°C	D [18-22] with step 0.1 °C
O3 Internal thermal mass area	m ²	D [0-40] with step 0.1 m ²

383 *Note: D: discrete distribution (levels);*

384 This study aims at minimizing the TCEC while maintaining the aPPD at a certain
 385 range. Furthermore, different constraint levels can be selected, according to the
 386 recommended categories of PPD for the design of mechanical cooled buildings in EN
 387 15251 [38]. This study aims at maintaining the same thermal comfort level as in the base
 388 case (i.e. the basic building without NV). The simulated aPPD of the base case during the

389 whole simulation period was 7.5%; this was selected as the constraint. Therefore, the
390 optimization problem can be formulated as:

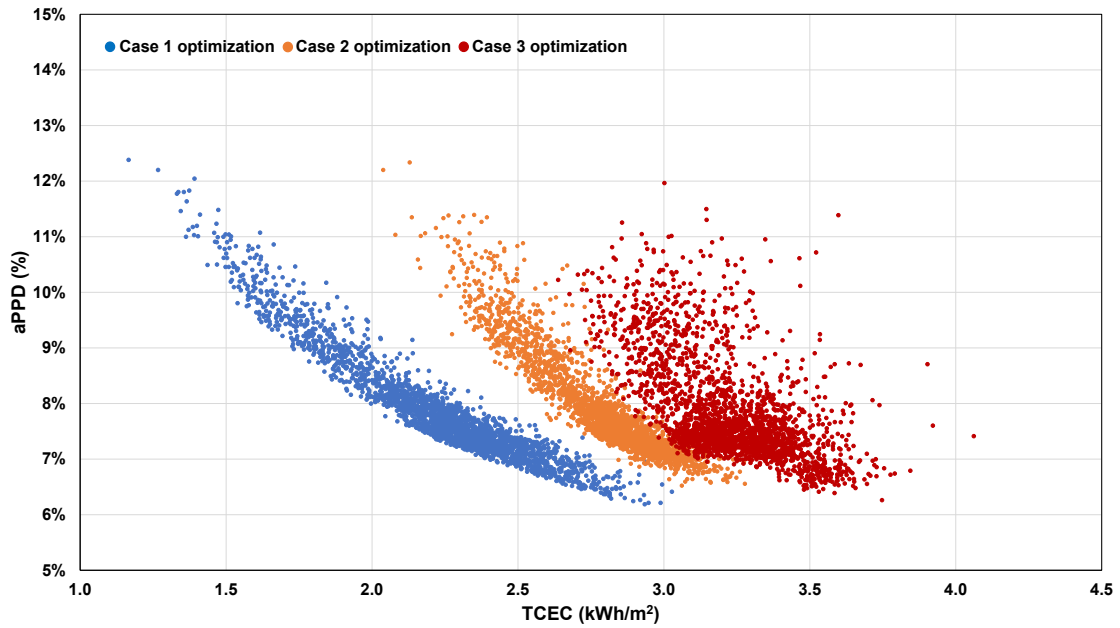
$$391 \quad \min TCEC = C_{AC} + C_{NV} \quad (2)$$

$$392 \quad \text{subject to} \quad aPPD < 7.5\% \quad (3)$$

393 where C_{AC} and C_{NV} stand for the AC energy consumption at daytime and NV
394 energy consumption at night, respectively.

395 3.3.2 Optimization results

396 Figure 8 integrates the solutions during the optimization procedure by the omni-optimizer
397 for cases 1, 2, and 3. For the single-objective minimization with the constraint problem,
398 the omni-optimizer utilized the penalty-parameter-less approach to put two solutions in
399 the constrained-tournament selection operator proposed in [51] to determine if a solution
400 is better than the other. The above selection operator fulfilled the following criteria: 1) A
401 feasible solution was always better than an infeasible solution, 2) A feasible solution with
402 better objective function value was preferred to another feasible solution, and 3) An
403 infeasible solution with smaller constraint violation was better than another infeasible
404 solution. Apart from the dominated solutions of three cases, the non-dominated solutions
405 in each case fulfill the Pareto front, which is similar to the multi-objective optimization.
406 It reveals the conflict between the two indicators.

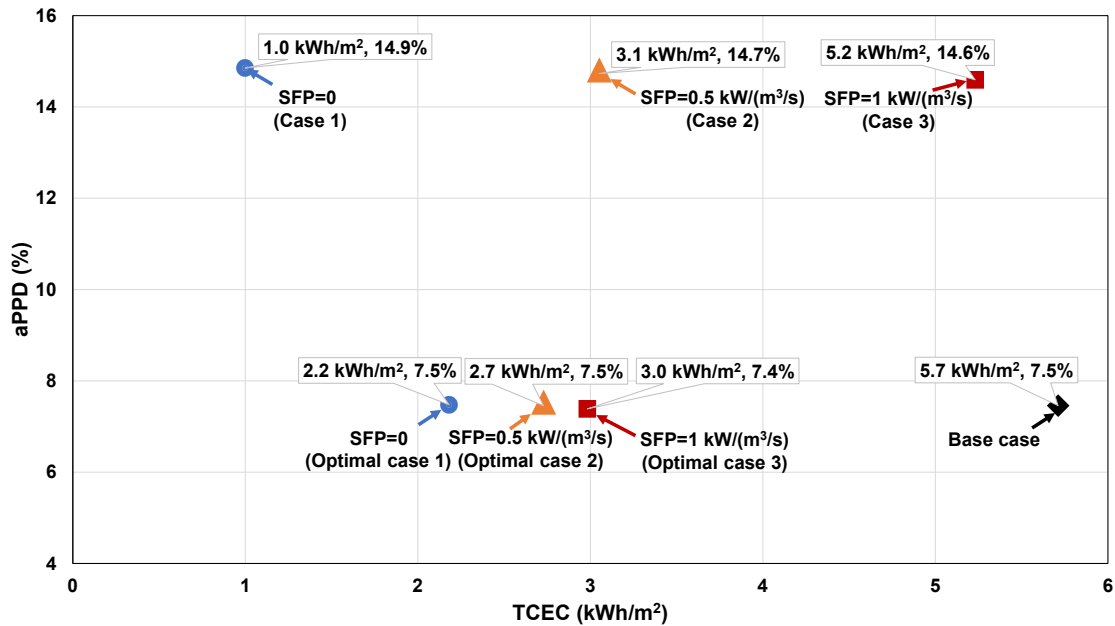


407

408 Figure 8. Optimized solutions for cases 1, 2, and 3.

409

410 Figure 9 shows the simulated aPPD and TCEC of the research cases. When the
 411 base case is equipped with different SFPs NV (i.e. cases 1, 2, 3), the TCEC significantly
 412 decreases by 0.5 kWh/m² (8.8%) to 4.7 kWh/m² (82.5%). Even the high SFP mechanical
 413 NV can save 8.8% TCEC compared to the base case. However, adopting NV with a
 414 general scheme worsens the indoor thermal comfort by increasing the aPPD from 7.5%
 415 to about 15%. After the optimization, all three optimal cases improve the indoor thermal
 416 comfort and fulfill the constraint (i.e. aPPD less than 7.5%). The optimal cases 2 and 3
 417 further save 0.4 kWh/m² (7.1%) and 2.2 kWh/m² (38.6%) TCEC of the cases 2 and 3,
 418 respectively. It means that a higher SFP yields a greater total cooling energy saving
 419 potential by optimization. Even though the optimal case 1 consumes 1.2 kWh/m² more
 420 TCEC than case 1, it is still worthy optimizing the natural NV as the overcooling penalty
 421 is avoided and the optimal natural NV still saves much TCEC compared to the base case.



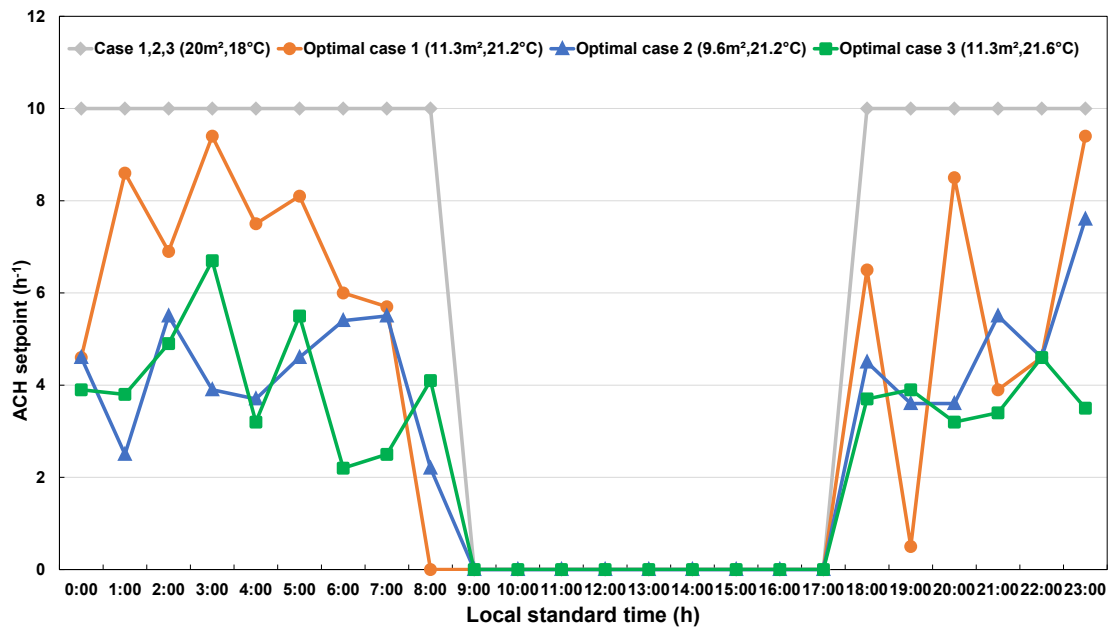
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423 Figure 9. The values of TCEC and aPPD of the research cases.

424

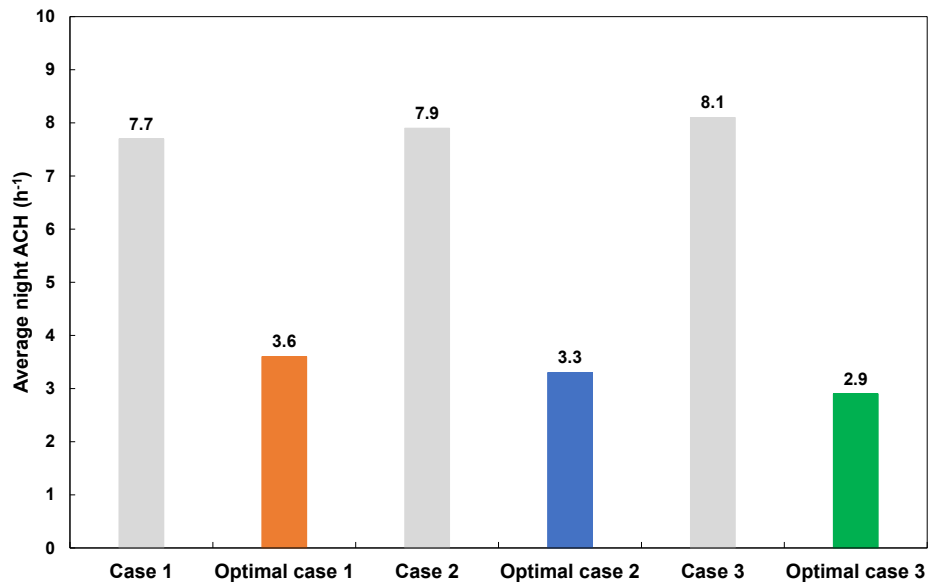
425 Figure 10 shows the parameters of the research cases. The area and temperature
 426 in the parentheses of the legend are the internal thermal mass area and minimum indoor
 427 air temperature setpoint of the corresponding case. The value in the Y-axis represents the
 428 night ACH setpoint at each hour. Compared to cases 1, 2, 3, the internal thermal mass
 429 areas and night ACH setpoint at each hour of the optimal cases are smaller, but the
 430 minimum indoor air temperature setpoints of the optimal cases are higher. The optimal
 431 internal thermal mass areas are reduced to 8.7 to 10.4 m², which is equivalent to 22.1 to
 432 26.4 kJ/m²·K dynamic heat capacity per unit floor area (c_{dyn}/A_{floor}) reduction. The optimal
 433 minimum indoor air temperature setpoints vary from 21.2 °C to 21.6 °C. The optimal
 434 minimum indoor air temperature setpoints are close to the upper limit (i.e. 22 °C) of this
 435 parameter setup, which indicates this setpoint values should not be too low in the cold
 436 climate region. There is no big difference between the two optimal parameters mentioned
 437 above among the three optimal cases.

438 However, the optimal night ACH setpoints at each hour during the night are very
 439 different from each other. However, all of them are less than 10 h⁻¹ of cases 1, 2, and 3.
 440 All the optimal cases tend to decrease the night ACH setpoint severely before the
 441 occupied hours. Figure 11 illustrates the average night ACH for different cases. The
 442 average night ACHs of optimal cases decrease by 4.1 h⁻¹ to 5.2 h⁻¹, compared to cases 1,
 443 2, and 3. The average night ACH of optimal case 3 is the lowest among the three optimal
 444 cases, while that of the optimal case 1 is the highest. The average night ACHs of cases 1,
 445 2, and 3 are a little different and are not equal to the setpoint of 10 h⁻¹. One reason is that
 446 the room inlet air at night can be heated by the intake fan power that will influence the
 447 zone air temperature to some extent. In consequence, the case 3 with a higher SFP needs
 448 more night cooling. Another reason is that the threshold temperature (i.e. 3 °C) of NV
 449 stops the ventilation when the temperature difference between indoor and outdoor air is
 450 not met.



451

452 Figure 10. Parameters related to NV of the research cases.



453

454 Figure 11. Average night ACH of the research cases.

455 4. Limitations and prospect

456 From the authors' perspective, current limitations can be described as follows:

457 • This study optimized different parameters based on the TMY data, especially the
 458 night ACH setpoint at each hour. It may result in the NV performance of certain
 459 days under real weather conditions deviating from expectations or not as good as
 460 the case adopting the advanced adaptive control algorithm like weather predictive
 461 control or model predictive control.

462 • Natural NV was simplified in this study, which was inherently unstable and highly
 463 dependent on the local climate condition, building orientation, window size or
 464 window automation system, etc. The expected night ACH for the optimal natural
 465 NV may not be fulfilled with the real natural NV system under the real
 466 circumstance. However, this study has the potential/ability to optimize the hourly
 467 opening availability of windows and ventilation control zone temperature setpoint
 468 of a real natural NV system modeled with the AirflowNetwork model in
 469 EnergyPlus under the same objective and constraint. It should be noticed that even

470 optimizing the control parameters of a real natural NV may still not fulfill the
471 optimal natural ACH shown in this study. Because the actual possibility to reach
472 the optimal ACH also depends on the architectural design, the building location,
473 and the local wind environment that were not included in this study.

- 474 • Only a single case room was optimized in this study. One reason was that this
475 study devoted to putting forward a method/ability to optimize the NV
476 performance, which was also applicable for multiple rooms or the whole building.
477 Another reason was to reduce the computation time and analyze the optimal
478 results easier and clearer. It is worth noticing that even though the optimal
479 solutions of different rooms or the whole building may differ, the optimal result
480 (i.e. TCEC and aPPD) or trend was also applicable for other cases. As the heat
481 gain of the case room should be much higher than other rooms, but this room still
482 met the overcooling penalty under the high-ACH scenario. Therefore, the same
483 problem will occur in other rooms. Under the same objective and constraint with
484 the omni-optimizer, similar optimal results are expected for other rooms or the
485 whole building.

486 Overall, the key to obtaining the best NV performance was the match between the cooling
487 potential of NV and the excess heat stored/ released in thermal mass. This study proposed
488 a generic evolutionary algorithm to find that ‘match’ in the approximate infinite
489 combinations, compared to the finite combinations of NV optimization [9][10][52].
490 Different from the aforementioned advanced control algorithms that generally manipulate
491 a single variable and optimize the building performance based on a given building, this
492 method focused more on guiding engineers or designers at the early building design stage.
493 Furthermore, models identified through the mathematical method from the real building
494 operation data for advanced control algorithms can only maintain the indoor air

495 temperature rather than more precise thermal comfort indicators (e.g. PMV, PPD) within
496 a certain range [53].
497 Apart from the optimization of the thermal mass amount in this study, the method is also
498 flexible to investigate the optimal parameters related to the excess heat storage and release
499 in the thermal mass; for instance, the insulation level, internal heat gain, thermal mass
500 material (e.g. PCM), daytime cooling methods or related control parameters, etc. As
501 alluded to above, the omni-optimizer has a high efficiency to adapt automatically to
502 handle four types of optimization problems, which can fulfill the different requirements
503 of research and design. The objective or constraint can also be selected based on the
504 research/design purpose. For example, the objective can be to minimize the energy cost
505 based on the real electricity price or utility rate.

506 **5. Conclusion**

507 This study proposes a systematic approach to optimize the NV performance in terms of
508 energy use and thermal comfort. The case study is a three-story office building equipped
509 with daytime air conditioning and an NV system in Aarhus, a city in a cold climate region
510 in Denmark. An NV performance simulation is conducted to demonstrate the NV
511 mechanism. Then, a global sensitivity analysis is carried out to explore the impact of night
512 venting duration, minimum indoor temperature setpoint, night ACH setpoint, activation
513 threshold temperature, and internal thermal mass area and SFP on NV performance. The
514 key design parameters are then optimized based on an evolutionary algorithm to minimize
515 total cooling energy consumption while maintaining the indoor thermal comfort within a
516 reasonable range. Based on the results of the case study, the following conclusions can
517 be made.

- 518 • A medium SFP NV with a general scheme can reduce the zone air temperature
519 and internal thermal mass surface temperature by up to 9.3 °C and 7.4 °C,

520 respectively on a typical summer day. It can also postpone the air conditioner
521 operation for about 5 hours and save 3.1 kWh TCEC compared to the case without
522 NV. However, by increasing aPPD from 5.1% to 14.1% on the selected day, the
523 NV may overcool the indoor air and building elements to worsen the indoor
524 thermal comfort.

525 • For TCEC, night venting duration is the most influential parameter, followed by
526 the night ventilation ACH, SFP, and internal thermal mass area. While for aPPD,
527 night venting duration also has the greatest impact, followed by the internal
528 thermal mass area, night ventilation ACH, and minimum temperature setpoint.
529 Activation threshold temperature is an insignificant parameter for NV
530 performance.

531 • Different SFPs NV under a general scheme saves TCEC by 0.5 kWh/m² (8.8%)
532 to 4.7 kWh/m² (82.5%) compared to the base case but increases the aPPD from
533 7.5% to about 15%. After the optimization, all the optimal cases improve the
534 indoor thermal comfort and fulfill the constraint of 7.5%. The optimal medium
535 and high SFP mechanical NV further save 0.4 kWh/m² (7.1%) and 2.2 kWh/m²
536 (38.6%) TCEC respectively, compared to the corresponding case without
537 optimization. The higher the SFP, the greater the saving potential of TCEC by
538 optimization. Even though the optimal natural NV consumes more than twice as
539 much TCEC as the case without optimization, the natural NV still deserves
540 optimization as the overcooling penalty is avoided and the optimal natural NV
541 still saves more TCEC compared to the case without NV.

542 • The optimal cases reduce 8.7 to 10.4 m² internal thermal mass area compared to
543 the cases without optimization, which is equivalent to 22.1 to 26.4 kJ/m²·K
544 dynamic heat capacity per unit floor area reduction. The optimization elevates the

545 minimum indoor air temperature setpoint to 21.2 °C to 21.6 °C. There is no much
546 difference between the two optimal parameters mentioned above between the
547 three optimal cases. However, the optimal night ACH setpoints at each hour at
548 night are much different from each other, but both less than 10 h⁻¹ of the
549 corresponding case without optimization.

550 **6. Acknowledgment**

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553 80 Resilient Cooling.

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- 703

Highlights

- The parameters related to NV performance are identified and optimized.
- Activation threshold temperature is not the key parameter for NV performance.
- A general NV saves up to 82.5% energy but may cause overcooling penalty.
- NV optimization improves thermal comfort and further saves up to 38.6% energy.
- Optimization of natural NV improves the thermal comfort although it consumes more energy.

Optimization of night ventilation performance in office buildings in a cold climate

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Optimization of night ventilation performance in office buildings in a cold climate

The rising cooling demand and overheating in the building sector, especially in office buildings, have intensified research interest in recent years. Night ventilation (NV) as a passive energy technology has shown a great potential cooling energy and ameliorate indoor thermal environment. In this paper, a holistic approach involving global sensitivity analysis and evolutionary optimization is developed to exclude insignificant parameters and explore optimal NV performance in terms of energy use and thermal comfort. The proposed approach is based on the simulation research of a three-story office building equipped with daytime air conditioning and NV system in a cold climate region. The NV system is equipped with three levels of specific fan power (SFP), representing cases with natural NV and medium and high SFP mechanical NV, respectively. The results show that the activation threshold temperature is not the key parameter for NV performance. Comparing with the case without NV, the three SFP NV systems under a general scheme save 8.8% to 82.5% total cooling energy consumption (TCEC), but increase the average percentage of dissatisfied during occupied hours (aPPD) from 7.5% to about 15%, which may cause overcooling penalty. The optimization decreases the thermal mass area and the night air change rate setpoint at each hour, while increases the minimum indoor air temperature setpoint compared to the general scheme. All three optimal NV schemes significantly improve the indoor thermal comfort by maintaining the aPPD at 7.5%. The optimal medium and high SFP mechanical NV scheme further save 7.1% and 38.6% TCEC compared to the corresponding general mechanical NV scheme, respectively. With a higher SFP, a greater energy saving potential is contributed through NV optimization process. Even though the optimal natural NV scheme consumes more than twice as much TCEC as the general natural NV scheme, it is still worth optimizing the natural NV since the indoor thermal comfort can be improved and the optimal scheme still saves much cooling energy compared to the base case.

Keywords: Night ventilation performance; Global sensitivity analysis; Evolutionary optimization;

Nomenclature

English symbols

\mathbf{x}	Solution vector
n	Number of decision variable
j	Number of inequality constraints
K	Number of equality constraints
\mathbf{g}	Vector of inequality constraints
\mathbf{h}	Vector of equality constraints
C	Cooling energy consumption for air conditioning or night ventilation

Abbreviations

NV	Night ventilation
AC	Air conditioner or air conditioning
ACH	Air changes per hour
PCM	Phase change material
LHS	Latin hypercube sampling
MCA	Monte Carlo analysis
SHGC	Solar heat gain coefficient
TMY	Typical meteorological year
COP	Coefficient of performance
GA	Genetic algorithm
SRRC	Standardized rank regression coefficient
TCEC	Total cooling energy consumption
PPD	Percentage of dissatisfied
aPPD	Average PPD during occupied hours
SFP	Specific fan power
KKT	Karush–Kuhn–Tucker
NSGA-II	Non-dominated sorting genetic algorithm II

1 **1. Introduction**

2 Cooling demand in buildings, especially in office buildings, is increasing and has become
3 a severe challenge during the last decades [1]. Predictions correspond to an increase in
4 the cooling energy demand of the commercial buildings in 2050, compared to the current
5 consumption, close to 275% [2]. More and more space cooling systems have been
6 installed in office buildings, even in moderate and cold climates such as in Central or
7 Northern Europe [3]. Office buildings usually have high internal heat gains and
8 experience considerable cooling loads due to high solar gains through extensive glazing.
9 While the heating demand can be effectively reduced by installing thermal insulation and
10 improving building airtightness, cooling plays a more significant role in the overall
11 energy demand of buildings [4]. Night ventilation (NV) is a promising way to decrease
12 cooling demand and improve indoor thermal comfort [5]. The basic concept of NV
13 involves cooling the indoor air and the building thermal mass overnight to provide a heat
14 sink available the next day. NV can be driven by natural ventilation, or be supported by
15 hybrid/mechanical ventilation with a mechanical fan [6]. Climatic condition is a key
16 factor to determine the NV efficiency. NV generally has a high cooling potential in
17 moderate or cold climate regions of Central, Eastern, and Northern Europe [3]. However,
18 too much NV in moderate or cold climate regions may overcool the building making
19 people feel cold during occupancy periods or it may consume additional energy for
20 reheating [7].

21 NV performance is dependent on many parameters. They can be mainly sorted by
22 the cooling capacity of NV and the heat charge/discharge quantity of building thermal
23 mass. The parameters of the cooling capacity of NV involves the night air change rate per
24 hour (ACH), minimum indoor temperature setpoint, night venting duration, and
25 activation threshold temperature (i.e. the temperature difference between indoor and

26 ambient air). Roach et al. [8] optimized the NV temperature setpoint and the ACH in an
27 office building in Adelaide and concluded that the best NV setpoint temperature is 15 °C
28 and the optimum ACH is 12 h⁻¹. Several NV control strategies for an office building with
29 the daytime active cooling system in northern China were studied and compared [9]. The
30 conclusion was that NV should operate close to the active cooling time with a long
31 ventilation period. The longer the duration of NV operation, the more efficient the NV
32 becomes. Lixia et al. [10] also coupled NV with daytime active cooling to compare the
33 energy-saving potential under 10 ventilation durations for supermarkets in cold climates
34 in China. Kolokotroni et al. [11] simulated an air-conditioned office building with night
35 cooling and recommended that the night cooling should operate continuously at night
36 until 7:00 when the inside and outside temperatures exceed 18 and 12 °C, respectively.
37 Several researchers have studied efficient control strategies for the cooling capacity of
38 NV. The weather predictive control algorithm was adopted to predict the indoor air
39 temperature during occupancy periods and control the night airflow rate through the heat
40 storage [12][13]. The results seemed positive for reducing the building's cooling demand.
41 Braun et al. [14] developed a simple operation strategy for NV pre-cooling in different
42 buildings in California. They determined that the strategy saved significant compressor
43 energy and that it was cost-effective.

44 The ability of the building thermal mass to store the excess heat at daytime and to
45 release the heat at night also affects the NV performance [15]. When such the charge and
46 discharge process is timed correctly, thermal mass can be utilized to improve thermal
47 comfort and save building energy [16]. The coupling of NV with thermal mass activation
48 has been widely adopted in buildings [17][18][19]. Solgi et al. [20][21] integrated NV
49 with phase change material (PCM) in office buildings in a hot climate region. The
50 amalgamation of NV with PCMs in a building reduced the average indoor temperature,

51 the peak temperature, and saved about 50% of the annual cooling load. Yanbing et al.
52 [22] studied the performance of NV with a novel PCM packed bed storage system in
53 Beijing, China. They found that the system was efficient in cooling down the room
54 temperature and saving the room energy use. Shaviv et al. [23] investigated the NV with
55 the thermal mass. The results showed that it could reduce the indoor temperature by 3–
56 6°C and eliminate the air conditioner (AC) operation in a building with heavy thermal
57 mass in the hot humid climate of Israel. That research shows several shortcomings:

- 58 1) The NV performance was evaluated or optimized by a single indicator,
- 59 2) The parameters related to NV cooling capacity and thermal mass activation had
60 the coupling effect on the NV performance, which was rarely taken into consideration at
61 the same time, and
- 62 3) The related parameter was varied one by one with a few and wide steps (e.g.
63 ACH range from 0 h⁻¹ to 12 h⁻¹ with a step of 3 h⁻¹) and all the other parameters were
64 fixed to investigate the NV efficiency improvement, which cannot guarantee to find the
65 optimal solution. How the thermal mass activation matches with NV cooling capacity to
66 reach a better performance needs further study.

67 Simulation-based optimization has become an efficient measure to enhance
68 building performance by satisfying several stringent requirements [24]. Instead of the
69 time-consuming parametric simulation method, different stochastic population-based
70 algorithms (e.g. genetic algorithm, particle swarm optimization, evolutionary algorithm)
71 have been widely used. To maintain a reasonable number of input parameters in the
72 optimization, sensitivity analysis could be conducted to screen out unimportant
73 parameters [24]. The influence of parameters on NV performance has been widely
74 investigated. Artmann et al. [25] did a local sensitivity parameter analysis of NV in an
75 office building and found that the most influential parameters of NV are climate

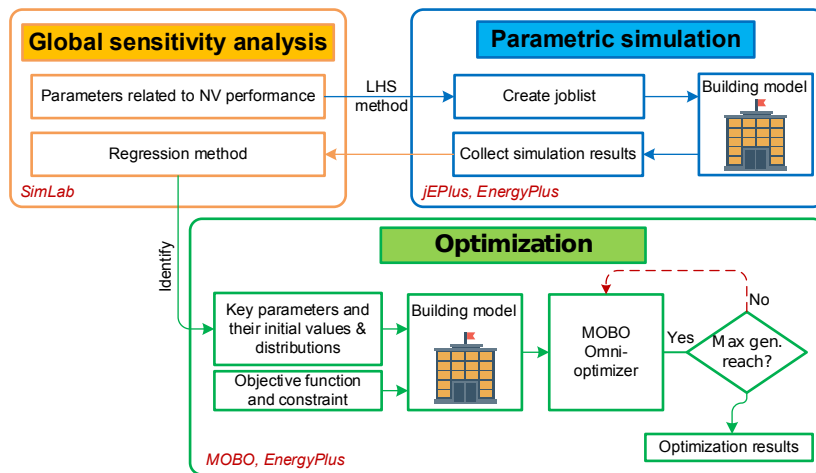
76 conditions and the air change rate. Kolokotroni et al. [26] did similar work for office
77 buildings in a moderate climate. The results showed that other than air change rates, the
78 most influential parameters also include the thermal mass and internal heat gains. Shaviv
79 et al. [23] investigated the correlation between indoor air temperature and the design
80 parameters for NV in a residential building in a hot humid climate. They found that the
81 air change rate, thermal mass, and daily temperature difference were the most influential
82 parameters. Rui et al. [27] conducted a global sensitivity analysis in an office building
83 under different climatic conditions to identify the most important design parameters of
84 NV. The results showed that the window-wall ratio, thermal mass, internal convective
85 heat transfer coefficient, and night ACH were the most influential parameters. Based on
86 the authors' current literature review, only a few research studies focused on the NV
87 performance improvement by the simulation-based optimization methods.

88 In summary, due to the complex and non-linear interactions of parameters on the
89 NV performance, a comprehensive consideration is required. Moreover, the one-factor-
90 at-a-time changing method based on a limited distribution of parameters may not be able
91 to find the optimal solution. Few researchers investigated the balance of energy use and
92 indoor thermal comfort when adopting the NV in cold climate regions and the match
93 between the cooling potential of NV and thermal mass activation. This study, therefore,
94 proposes a systematic approach to identify and screen out the uninfluential parameters by
95 using the global sensitivity analysis. Then the key parameters related to the NV
96 performance are optimized with an evolutionary algorithm to minimize the total cooling
97 energy while maintaining the indoor thermal comfort.

98 **2. Methodology**

99 **2.1 Research framework**

100 A systematic approach is proposed to quantify the impact of the parameters related to the
101 NV performance on the building energy/thermal performance, and then optimize the
102 identified key parameters, as shown in Figure 1. The approach mainly consists of four
103 steps: 1) generating samples from the distribution of parameters, 2) conducting
104 parametric simulations based on the samples and collecting results, 3) conducting
105 sensitivity analysis to screen out uninfluential parameter based on samples and results, 4)
106 setting the objective and constraint to optimize the key parameters. *In the first step,*
107 samples based on the input parameters are generated by the Latin hypercube sampling
108 (LHS) method with the software SimLab which is designed for Monte Carlo analysis
109 (MCA)–based uncertainty and sensitivity analysis [28] before being sent to the parametric
110 simulation manger jEPlus [29]. *In the second step,* jEplus sends the job list to EnergyPlus
111 [30] to conduct parametric simulation and collects simulation results to transfer back to
112 SimLab. *In the third step,* a global sensitivity analysis based on the regression method is
113 conducted with SimLab to investigate the influences of the parameters and to identify the
114 key parameters for the building energy/thermal performance. *In the last step,* the initial
115 values and distributions of the key parameters as well as the objective function and
116 constraint are set in MOBO [31], a generic freeware written with Java programming
117 language and embedded with several optimization algorithms. Then MOBO generates
118 and sends the input variable based on the omni-optimizer from the parameter distribution
119 to EnergyPlus for simulation before getting the results to determines whether the results
120 fulfill the objective and constraint through the optimization algorithm to find the optimal
121 solutions.



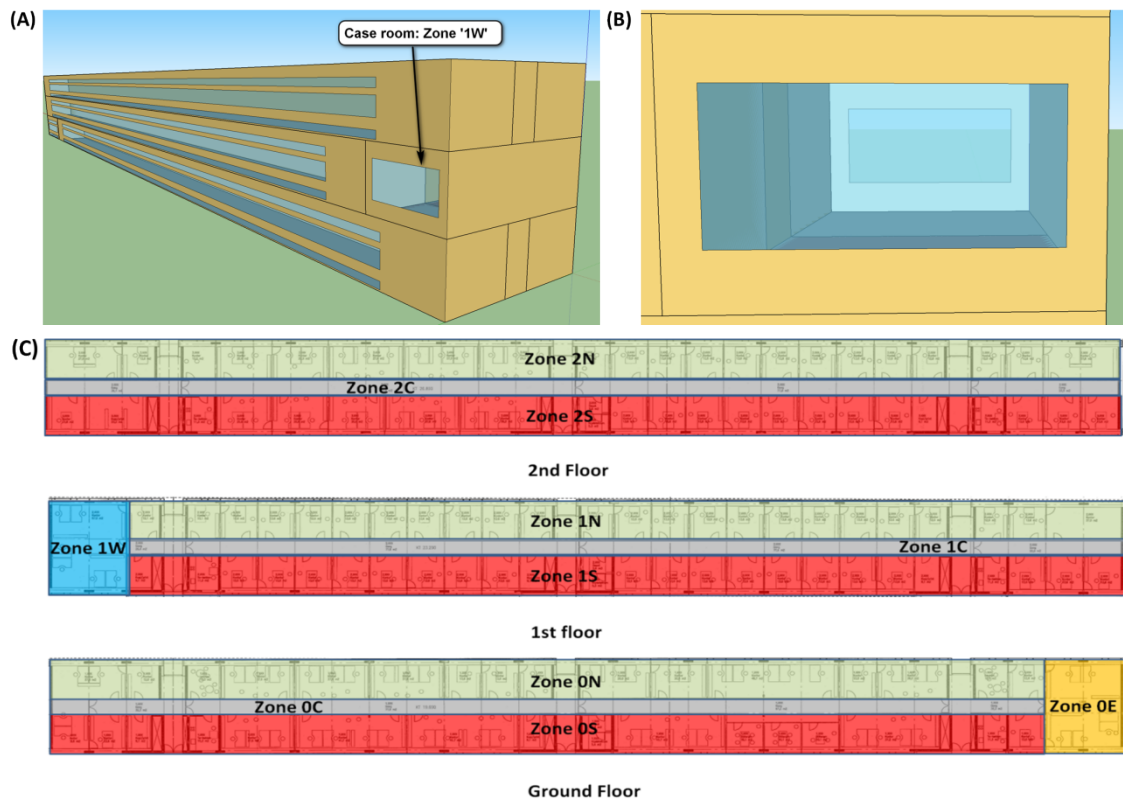
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123 Figure 1. Flow chart of the proposed research framework.

124 **2.2 Baseline model and cooling systems**

125 SketchUp 2015 coupled with EnergyPlus v.8.9 was selected to build the model that
 126 originated from an office building in Aarhus Municipality built in 2012, as shown in
 127 Figure 2 (A)(B). The building is 103.7 m long and 9.5 m wide, with three stories and a
 128 total area of 2924.1 m² [32]. Figure 2 (C) shows the layout of the office building. The N,
 129 W, S, and C indicate the orientation as north, west, south, and center, respectively, while
 130 the number before the orientation abbreviation represents the floor number. An office
 131 room (i.e. Zone ‘1W’), occupied by six persons was selected as the case room. The room
 132 floor area is 51.3 m², with 2.8 m height. The windows in the case room are the energy-
 133 efficient windows with a double pane construction made of 3 mm glass and a 13 mm
 134 argon gap. The window U-value is 1.062 W/(m²·K), while the glass solar heat gain
 135 coefficient (SHGC) and visible transmittance are 0.579 and 0.698, respectively. To
 136 assume the similar conditions in all adjacent zones, the internal partitions between the
 137 case room and adjacent zones were set as adiabatic.

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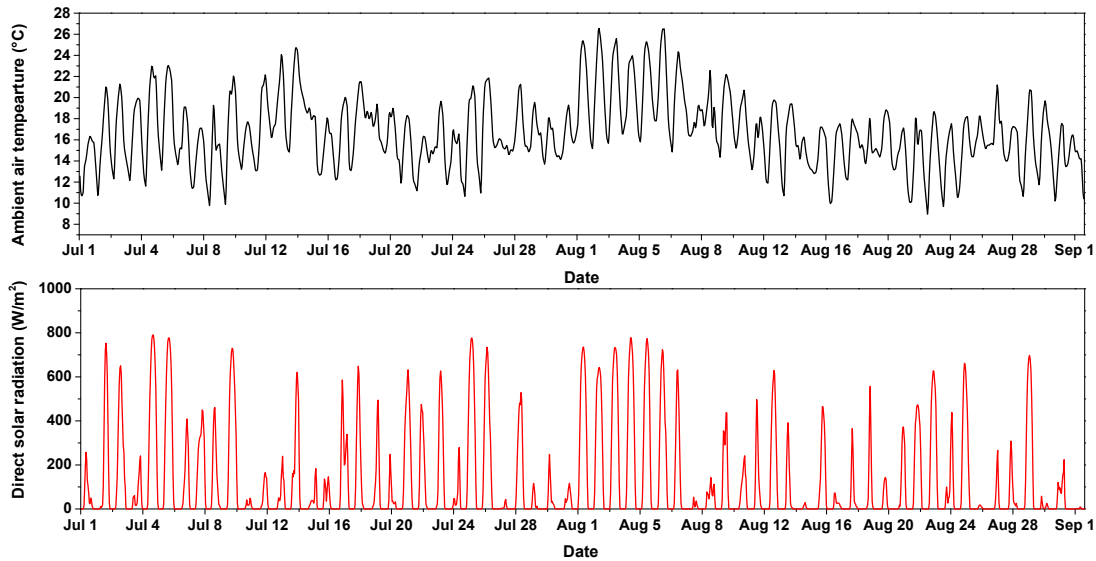


139

140 Figure 2. (A)(B) View of the building and case room, (C) layout of the case office
141 building.

142

143 Typical meteorological year (TMY) data of Copenhagen, Denmark from the
144 World Meteorological Organization was used in the simulation [33]. The summer season
145 from 1 July to 1 September was chosen in this study. Figure 3 shows the direct solar
146 radiation and outdoor air temperature of Copenhagen in the selected days. The daily mean
147 ambient air temperature oscillated between 10.4 °C and 21.7 °C, while the daily maximum
148 value of global horizontal solar irradiance varied between 9.2 W/m² and 790.8 W/m².



149

150 Figure 3. TMY weather data (outdoor temperature and direct solar radiation) of
 151 Copenhagen from 1 July to 1 September.

152

153 Table 1 shows the detailed thermophysical properties of construction elements.

154 The internal thermal mass area is 20 m², while its density is 70 kg/m² of the net surface
 155 area which fulfills the reasonable range (i.e. 10–100 kg/m²) for the internal thermal mass
 156 density in office buildings [34]. The last column of Table 1 is the total dynamic heat
 157 capacity per unit floor area (236.2 kJ/m²·K), indicating that the case room has a heavy
 158 thermal mass level [35]. The dynamic heat capacity c_{dyn} defines how much energy can be
 159 stored per area if its surface is exposed to a sinusoidal temperature variation with a 24 h
 160 time-period [36].

161 The internal heat gains were set as people with a load of 120 W/person, lights with
 162 6 W/m², and electric equipment with 8 W/m² [37]. The hourly operational schedules for
 163 the internal heat gains were 1.0 during the occupied hours (08:00-17:00) on weekdays
 164 while 0 for other hours. The people's clothing level was set at 0.5 clo in summer [38]. The
 165 air change rate of room infiltration was set as 0.5 h⁻¹ [39].

166

167 Table 1. Thermophysical properties of building materials and detailed composition of the
 168 thermal mass.

	d (mm)	ρ (kg/m ³)	c (J/kg·K)	λ (W/m·K)	Total (kJ/m ² ·K)	c_{dyn}/A_{floor}
External wall						
Plasterboard (fire-resisting)	160	900	1000	0.25		
Concrete 200	200	2385	800	1.2		
PUR 210	210	40	1400	0.021		
Cement plate	15	2000	1500	0.35		
Internal wall						
Gypsum board	25	1000	792	0.4		
Mineral wool	70	1750	1000	0.56		
Gypsum board	25	1000	792	0.4		
Ceiling						
Cast concrete 120	120	1800	1000	1.13	236.2	
Floor						
Linoleum	3	1200	1470	0.17		
Cement screed (fiber reinforced)	50	1400	1000	0.8		
Acoustic insulation	9	556	1700	0.15		
OSB panels	25	600	2150	0.13		
Insulation glass wool	200	28	1030	0.032		
Wooden panels	60	250	2100	0.047		
Internal thermal mass						
Cast concrete 100	100	1800	1000	1.13		

169

170 The cooling systems were daytime air conditioning and night ventilation. A
 171 packaged terminal air conditioner (AC) with a coefficient of performance (COP) 3.2 and
 172 a sizing factor 1.2 from the HVAC Template module of EnergyPlus was set in the case
 173 room. The AC temperature setpoint for cooling was set at 24.5 °C, while the outdoor
 174 airflow rate was 30 m³/(h·person) [38]. The AC operated from 08:00-17:00 on weekdays
 175 from 1 July to 1 September. The NV system was a balanced system (i.e. a supply fan with
 176 an exhaust fan). A general scheme for NV was set as follows. The minimum indoor air
 177 temperature setpoint for night ventilation was 18 °C, to cool down the thermal mass
 178 efficiently and prevent the overcooling penalty [40]. Besides, the activation threshold
 179 temperature was 3 °C (i.e. night cooling only operated when the indoor air temperature

180 exceeded the ambient temperature by 3 °C). The air change rate (ACH) setpoint of night
 181 cooling was 10 h⁻¹, which was the specified maximum air change rate [6]. It means that
 182 when the activation threshold temperature is met and minimum indoor air temperature is
 183 not violated, the fans will operate at the speed equivalent to 10 h⁻¹ ACH; otherwise, the
 184 fans will stop. The night ventilation schedule was during 17:00-08:00 (+1) on weekdays
 185 from 1 July to 1 September. The '+1' in the parentheses means the next day. To
 186 investigate and optimize the NV performance with different SFPs, three SFPs were
 187 chosen which were 0, 0.5 and 1 kW/(m³/s), representing the natural NV (Case 1), medium
 188 SFP mechanical NV (Case 2), and high SFP mechanical NV (Case 3), respectively. The
 189 SFPs all fulfilled the recommended 'good-practice' SFP for night cooling should not be
 190 higher than 1 kW/(m³/s) based on the technical note AIVC 65 [41]. Table 2 lists the
 191 parameters related to NV.

192 Table 2. Parameters related to NV.

Parameter	Unit	Case 1	Case 2	Case 3
P1 Night venting duration	h	17:00-08:00	17:00-08:00	17:00-08:00
P2 Minimum indoor temperature setpoint	°C	18	18	18
P3 Night ACH setpoint	h ⁻¹	10	10	10
P4 Activation threshold temperature	°C	3	3	3
P5 Internal thermal mass area	m ²	20	20	20
P6 Specific fan power (SFP)	kW/(m ³ /s)	0	0.5	1

193 **2.3 Global sensitivity analysis**

194 Global sensitivity analysis methods can investigate the influences of all input parameters
 195 on output variables simultaneously, compared to screening methods and local sensitivity
 196 methods [42]. This paper adopted the most widely used global sensitivity analysis
 197 method, i.e. the regression method, to identify the key parameters related to NV

198 performance on building energy/thermal performance. One reason is that this method is
199 less computationally expensive and easy to understand. Another reason is that this method
200 can avoid the drawbacks of local sensitivity analysis, which only explores a reduced space
201 of the input factor around a base case, does not consider the interaction, and does not have
202 self-verification. Several sensitivity indicators based on the regression method have been
203 used in building energy analysis [27,43–45]. Standardized Rank Regression Coefficient
204 (SRRC) with Monte Carlo analysis (MCA) was selected to quantify the impact of each
205 parameter as it allowed the evaluation for non-linear but monotonic functions among
206 inputs and outputs [45]. The SRRC is calculated by performing regression analysis on
207 rank-transformed data (i.e. input parameters and output variables) rather than the raw
208 data. The larger the absolute value of SRRC, the more influential the input parameter is.
209 SRRC should be used when samples are generated with the LHS method which fully
210 covers the range of each input parameter [46]. The sample size based on LHS was chosen
211 to be 400 as the minimum size should be bigger than 10 times the number of input
212 parameters [45]. SimLab generates the 400 samples based on the aforementioned method
213 before sending them to jEPlus. Then, jEPlus generates building simulation model
214 descriptions (jep file) based on the job list from SimLab to run the EnergyPlus and
215 collects the results (cf. Figure 1). Finally, SimLab gets the results from jEPlus and
216 conducts the sensitivity analysis by calculating the sensitivity measures (i.e. SRRC).

217 Table 3 shows the range and distribution of the independent parameters related to
218 NV performance. Since the paper aims to quantify the effects of different building design
219 options rather than exploring the possible range of thermal performance for an existing
220 building, the distributions for these parameters should be uniform or discrete [42].
221 Because there are infinite possible time plans theoretically for night ventilation during
222 17:00-08:00 (+1), to simply quantify the order and size of the night venting duration, 15

223 time plans with 1-hour intervals were selected, representing the night venting duration
 224 ranging from 1 hour to 15 hours. The upper limit of minimum indoor temperature setpoint
 225 was chosen according to the design criteria of thermal conditions in summer in EN 15251
 226 [38]. The upper limit of night ventilation ACH originated from the lowest temperature
 227 for cooling in the office room of category III in EN 15251 [38]. The upper limit of SFP
 228 was selected according to the technical note AIVC 65 that recommends ‘good-practice’
 229 SFP for night cooling not exceeding 1 kW/(m³/s) [41]. The total cooling energy
 230 consumption (TCEC) which included the energy consumption of AC plus NV and the
 231 average predicted percentage of dissatisfied during occupied hours of 08:00-17:00
 232 (aPPD) were selected as the output variables for the evaluation of the building energy and
 233 thermal performance.

234 Table 3. Range and distribution of parameters related to NV performance.

Parameter	Unit	Range
P1 Night venting duration	h	D [(17:00-18:00), (17:00-19:00),..., (17:00-08:00)]
P2 Minimum indoor temperature setpoint	°C	U [18-22]
P3 Night ACH setpoint	h ⁻¹	U [0-10]
P4 Activation threshold temperature	°C	U [1-3]
P5 Internal thermal mass area	m ²	U [0-40]
P6 Specific fan power (SFP)	kW/(m ³ /s)	U [0-1]

235 *Note:* **D**: discrete distribution (levels); **U**: uniform distribution (lower value, upper value).

236 **2.4 Omni-optimizer**

237 This study uses omni-optimizer, an evolutionary optimization algorithm for single and
 238 multi-objective optimization that belongs to the category of generational genetic
 239 algorithms (GAs). Omni-optimizer originates from a widely used generic NSGA-II (Non-

240 dominated sorting genetic algorithm II) algorithm that finds the Pareto optimal solutions
 241 for a multi-objective problem. Furthermore, it has high efficiency of adapting
 242 automatically to handle four types of optimization problems: ①Single-objective, uni-
 243 optimal; ②Single-objective, multi-optima; ③Multi-objective, uni-optimal optimization;
 244 ④Multi-objective, multi-optima optimization [47]. Omni-optimizer also integrates a
 245 high-efficiency constraint handling mechanism to process any amount of equality and
 246 inequality constraint conditions [48]. The constrained M-objective ($M \geq 1$) minimization
 247 problem can be posed mathematically as follows:

$$\begin{aligned}
 &\text{Minimize} && (f_1(\mathbf{x}), f_2(\mathbf{x}), \dots, f_M(\mathbf{x})), \\
 &\text{Subject to} && g_j(\mathbf{x}) \geq 0, j = 1, 2, \dots, J, \\
 &&& h_k(\mathbf{x}) = 0, k = 1, 2, \dots, K, \\
 &&& x_i^{(L)} \leq x_i \leq x_i^{(U)}, i = 1, 2, \dots, n.
 \end{aligned} \tag{1}$$

248 Where \mathbf{x} is the solution vector and n is the number of decision variables. j and
 249 $g_j(\mathbf{x})$ are the numbers of inequality constraints and their vector, while k and $h_k(\mathbf{x})$ are the
 250 number of equality constraints and their vector, respectively. The solution vector \mathbf{x} that
 251 satisfies all aforementioned constraints and variable bounds is regarded as a *feasible*
 252 solution. Mathematically, the optimality of a solution depends on a number of KKT
 253 (Karush–Kuhn–Tucker) optimality conditions which involve finding the gradients of
 254 objective and constraint functions [49]. This study aims at finding the minimum TCEC
 255 while maintaining the aPPD within a certain range, which belongs to the type 1
 256 optimization problem as mentioned above.

257 **3. Results and discussion**

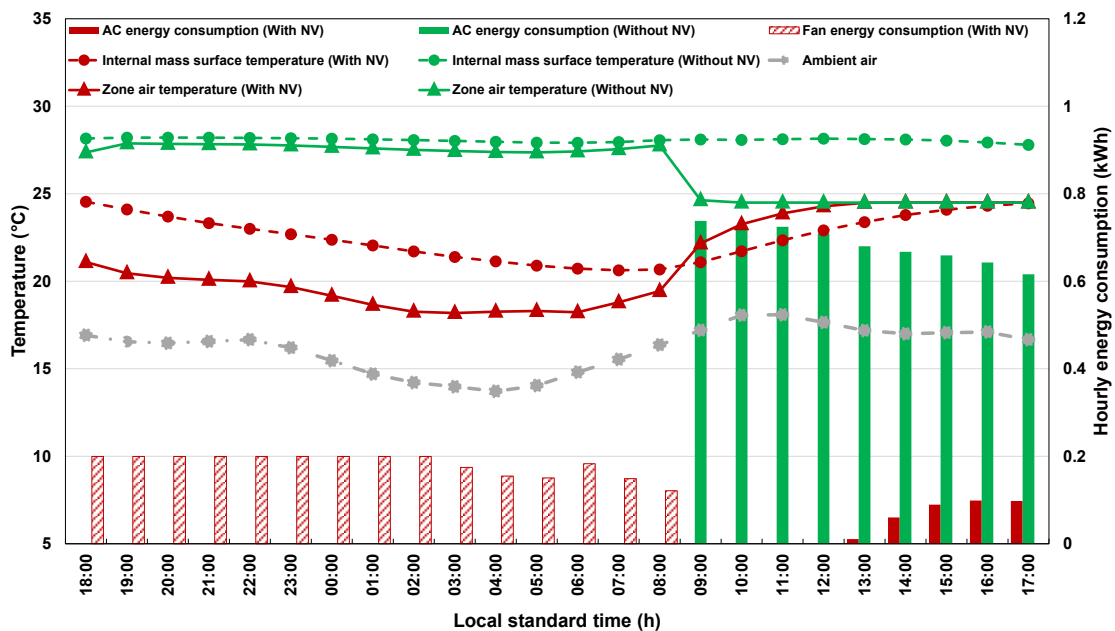
258 **3.1 NV performance demonstration**

259 Before the global sensitivity analysis and optimization, it is essential to reveal the NV
260 mechanism and demonstrate the NV performance through the simulation. The base case
261 is the building model introduced in Section 2.2 without NV. The NV case 2 (i.e. SFP of
262 0.5 kW/(m³/s)) was selected for the NV performance demonstration.

263 Figure 4 shows the simulated data of zone air temperature, internal thermal mass
264 surface temperature and hourly fan/AC energy consumption of the base case and case 2
265 in a typical summer day (July 29 to July 30). On the selected night (i.e. 17:00 to 08:00),
266 the ambient air temperature fluctuated between 13.7 °C to 16.9 °C, which was very
267 suitable for NV. The zone air and internal thermal mass surface temperatures of the base
268 case varied slightly at night, remaining at about 27.8 °C and 28.1 °C, respectively. The
269 reason is that the excess heat stored in the building elements at daytime was released
270 which neutralized the heat loss through the building envelope. Whereas for case 2, due to
271 the fans' operation, the zone air temperature and the internal thermal mass surface
272 temperature were much lower than for the base case at night, and the maximum
273 temperature differences can be 9.3 °C and 7.4 °C, respectively. The fan energy
274 consumption at night was 2.7 kWh.

275 At 08:00 on July 30, the zone air temperatures of the base case and case 2 were
276 27.8 °C and 19.4 °C, respectively. Because the AC setpoint was 24.5 °C, the AC began to
277 work for the base case at 08:00, while AC was postponed to operate for the base case with
278 NV until 12:45 by about 5 hours. Therefore, for the base case, the zone air temperature
279 began to reach the AC setpoint after 08:00, while the internal thermal mass surface
280 temperature continued to remain steady, presumably due to the energy balance between
281 the heat gain of the internal thermal mass and the heat removed by the AC. However, for

282 case 2, both the temperatures began to go up after 08:00. The zone air temperature rose
 283 faster than the internal thermal mass surface temperature and reached to AC setpoint after
 284 12:45, while the surface temperature did not reach AC setpoint until 17:00. This was
 285 because the internal thermal mass was mainly heated by convection with room air, and
 286 thereby heating was delayed and happened after heating of the air. The AC daily energy
 287 consumption for the base case and case 2 was 6.2 kWh and 0.4 kWh, respectively,
 288 indicating that NV saved AC energy consumption. When the fan energy consumption at
 289 night was taken into consideration, the TCEC for case 2 was 3.1 kWh, which was 3.1
 290 kWh lower than for the base case.



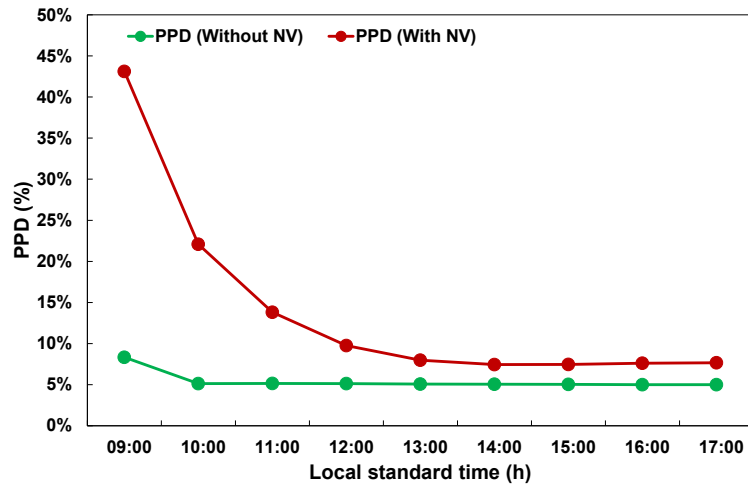
291

292 Figure 4. Comparison of zone temperatures and energy consumption of the base case and
 293 case 2 with NV in a typical summer day (July 29 to July 30).

294

295 Furthermore, the simulated data in Figure 5 shows that the PPD of the base case
 296 with NV was always higher than the base case (i.e. without NV), especially at the
 297 beginning of the occupied hours. The aPPD for case 2 with NV was 14.1%, 8.7% higher
 298 than the base case. The reason was that the NV with high ACH overcooled the indoor air

299 and building elements in the cold climate region, resulting in an overcooling penalty that
300 made people feel cold at the beginning of occupied hours.



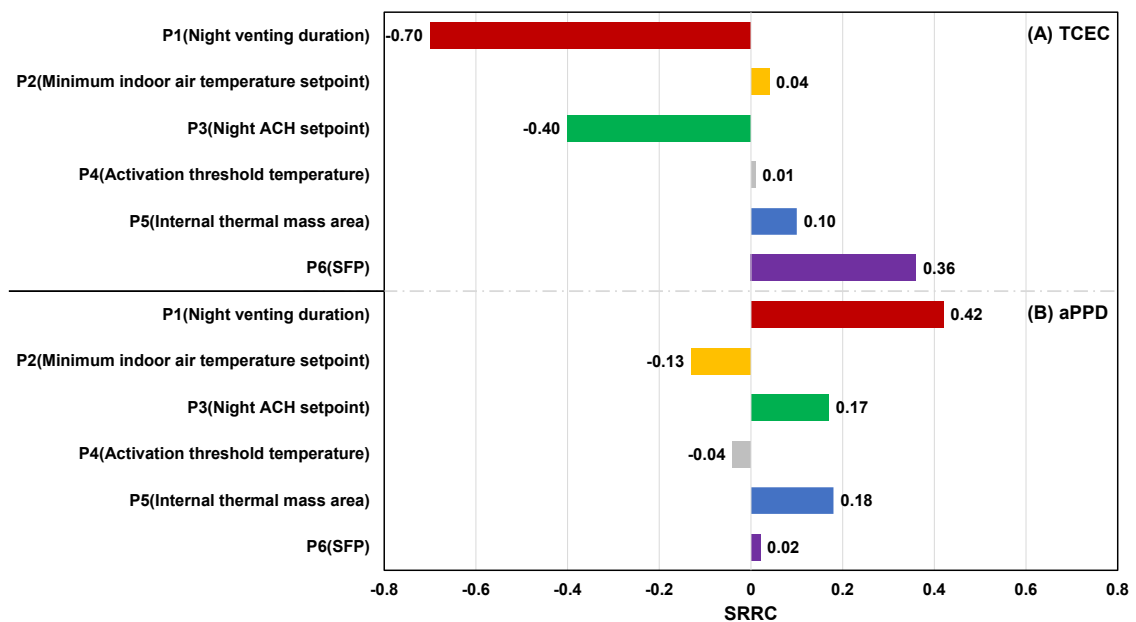
301

302 Figure 5. Comparison of PPD of the base case and case 2 at a typical summer daytime
303 (July 30).

304 **3.2 Influence of concerned parameters on building energy/thermal performance**

305 Figure 6 illustrates the influence of the six parameters presented in Table 3 on the TCEC
306 and aPPD. A larger absolute value of SRRC means the related parameter is more
307 influential on the corresponding output. Besides, a positive sign of SRRC indicates that
308 the output generally increases as the related input increases, while a negative sign of
309 SRRC means that changes in the input and output tend to go in opposite directions [44].
310 Night venting duration is the most influential parameter on TCEC, followed by the night
311 ventilation ACH, SFP, and internal thermal mass area. The minimum indoor air
312 temperature setpoint and activation threshold temperature for night cooling activation
313 have little influence on TCEC. The more night cooling (i.e. longer night venting duration
314 and more ACH), the lower TCEC. On the contrary, increasing the SFP and internal
315 thermal mass area tends to consume more TCEC.

316 For aPPD, night venting duration also has the greatest impact, followed by the
 317 internal thermal mass area, night ventilation ACH, and minimum temperature setpoint.
 318 The threshold temperature and SFP are not important parameters for the aPPD. Contrary
 319 to the impact of night cooling on TCEC, the more night cooling, the more aPPD. It
 320 indicates that more night cooling generally contributes to saving more TCEC by
 321 postponing or reducing the AC operation, but also results in the overcooling penalty at
 322 the beginning of the working day in the cold climate region. Adding the internal thermal
 323 mass area tends to reduce the aPPD while increasing the minimum temperature setpoint
 324 tends to affect the aPPD inversely. This is presumably because when NV cools a heavy
 325 thermal mass level sufficiently, it will remain at a low surface temperature for a longer
 326 time during occupied hours, thereby leading to a colder indoor thermal environment.
 327 Whereas a higher minimum temperature setpoint can reduce the risk of overcooling
 328 phenomena by NV and decrease the aPPD.



329
 330 Figure 6. Standardized Rank Regression Coefficient (SRRC) of the concerned
 331 parameters.

332 **3.3 Optimization**

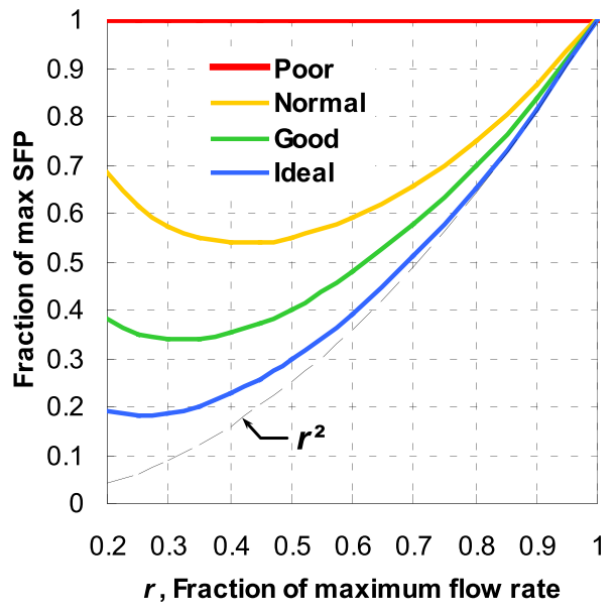
333 *3.3.1 Optimization setup*

334 The global sensitivity analysis in Section 3.1 manifested that the activation threshold
335 temperature was not a key parameter. Hence, there was no need to optimize it, and it was
336 kept at 3 °C. Besides, the SFP was not optimized as it was an intrinsic parameter once the
337 fan was selected. Cases 1, 2, 3, and base case listed in Table 2 were selected to compare
338 and optimize the NV performance. It is worth noticing that the airflow rate of natural NV
339 is determined/influenced by many factors in real life, like the climate condition, window
340 opening, building orientation, etc. This study focuses on optimizing the airflow rate at
341 night and evaluating the influence of the optimal airflow rate on the building cooling
342 energy and indoor thermal comfort; therefore, how using natural NV can achieve the
343 optimal airflow rate is not an issue in this study. It is also worth noticing that even though
344 the NV is equipped with the variable flow rate fan, it only operates at a constant airflow
345 rate during the entire nighttime under the general scheme when the activation threshold
346 temperature is met and minimum indoor air temperature is not violated. This is due to the
347 lack of indoor air temperature setpoint, which cannot vary the airflow rate. The reason
348 why there is no indoor air temperature setpoint is that the basic concept of NV is to utilize
349 most of the cooling potential of ambient air when office buildings are not occupied.

350 The optimization aims at finding the optimal night ACH setpoint at each hour.
351 Hence, the variable flow rate fan was selected. According to the technical note AIVC 65
352 [41], the SFP at each part-load operating point can be estimated as a function of the
353 fraction of maximum flow rate (r) by the following generic equation for $0.2 \leq r \leq 1.0$:

$$354 \quad \frac{SFP_{part\ load}}{SFP_{max\ load}} \approx a + br + cr^2 + dr^3 \quad (1)$$

355 Figure 7 illustrates the different levels of the fan performance curve. The ‘Good’
 356 performance curve was selected, which represents systems for which the fan pressure
 357 decreases with the airflow rate. The coefficients of a , b , c , and d for use in Eq. (1) were
 358 0.5765, -1.5030, 2.6557, and -0.7292 respectively. The maximum SFPs for the medium
 359 SFP mechanical NV (Case 2), and high SFP mechanical NV (Case 3) were both at the
 360 maximum ACH of 10 h⁻¹. The fraction of maximum flow rate (r) at each hour for
 361 mechanical NV should be between 0.2 to 1.0 (i.e. ACH of 2 to 10 h⁻¹) or 0 (i.e. stop
 362 ventilation). While for natural NV, the fraction r was between 0 to 1.0 (i.e. ACH of 0 to
 363 10 h⁻¹) at each hour for optimization.



364

365 Figure 7. Illustration of Eq. (1) for Poor, Normal, Good, and Ideal systems [41].

366

367 To reduce the computational effort and staying close to reality, discrete
 368 distributions rather than continuous distributions were selected. Several simplifications
 369 and modifications were conducted to improve the simulation and optimization speed:

370 1) The night ventilation ACH setpoint between 17:00-08:00 (+1) at each 1 hour
 371 was optimized with a discrete variable from 0 to 10 h⁻¹ with a step of 0.1 h⁻¹ for natural
 372 NV, while 0 or 2 to 10 h⁻¹ with a step of 0.1 h⁻¹ for mechanical NV,

373 2) The internal thermal mass area was optimized with a discrete variable ranging
 374 from 0 to 40 m² with a step of 0.1 m², and

375 3) The minimum indoor temperature setpoint was optimized with a discrete
 376 variable from 18 to 22 °C with a step of 0.1 °C.

377 Table 5 lists the parameters for cases 1, 2, 3, and base case, while Table 4 summarizes
 378 the parameters to be optimized for cases 1, 2, and 3. The population size, maximum
 379 generation number, mutation probability, and crossover number, were set as 16, 150,
 380 0.167, and 0.9 respectively by compromising the computational effort and the accuracy
 381 [50].

382 Table 4. Range and distribution of parameters for NV optimization of cases 1, 2, and 3.

Parameter	Unit	Range
O1 Night ventilation ACH setpoint	h ⁻¹	D [0-10] with step 0.1 h ⁻¹ at each hour for natural NV D 0 or [2-10] with step 0.1 h ⁻¹ at each hour for mechanical NV
O2 Minimum indoor temperature setpoint	°C	D [18-22] with step 0.1 °C
O3 Internal thermal mass area	m ²	D [0-40] with step 0.1 m ²

383 *Note: D: discrete distribution (levels);*

384 This study aims at minimizing the TCEC while maintaining the aPPD at a certain
 385 range. Furthermore, different constraint levels can be selected, according to the
 386 recommended categories of PPD for the design of mechanical cooled buildings in EN
 387 15251 [38]. This study aims at maintaining the same thermal comfort level as in the base
 388 case (i.e. the basic building without NV). The simulated aPPD of the base case during the

389 whole simulation period was 7.5%; this was selected as the constraint. Therefore, the
390 optimization problem can be formulated as:

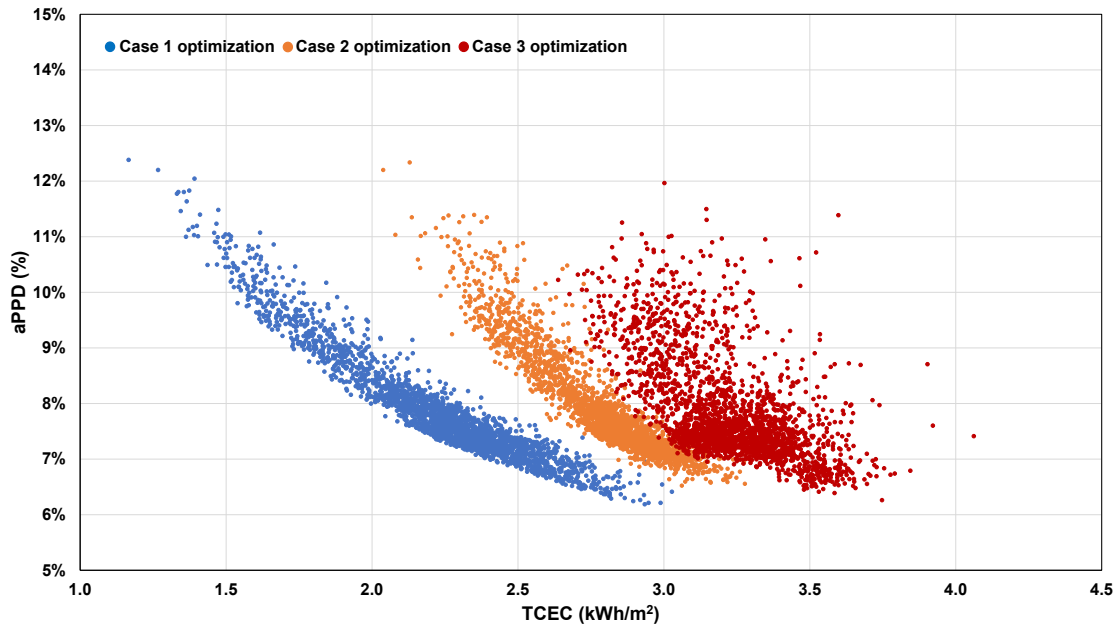
$$391 \quad \min TCEC = C_{AC} + C_{NV} \quad (2)$$

$$392 \quad \text{subject to} \quad aPPD < 7.5\% \quad (3)$$

393 where C_{AC} and C_{NV} stand for the AC energy consumption at daytime and NV
394 energy consumption at night, respectively.

395 3.3.2 Optimization results

396 Figure 8 integrates the solutions during the optimization procedure by the omni-optimizer
397 for cases 1, 2, and 3. For the single-objective minimization with the constraint problem,
398 the omni-optimizer utilized the penalty-parameter-less approach to put two solutions in
399 the constrained-tournament selection operator proposed in [51] to determine if a solution
400 is better than the other. The above selection operator fulfilled the following criteria: 1) A
401 feasible solution was always better than an infeasible solution, 2) A feasible solution with
402 better objective function value was preferred to another feasible solution, and 3) An
403 infeasible solution with smaller constraint violation was better than another infeasible
404 solution. Apart from the dominated solutions of three cases, the non-dominated solutions
405 in each case fulfill the Pareto front, which is similar to the multi-objective optimization.
406 It reveals the conflict between the two indicators.

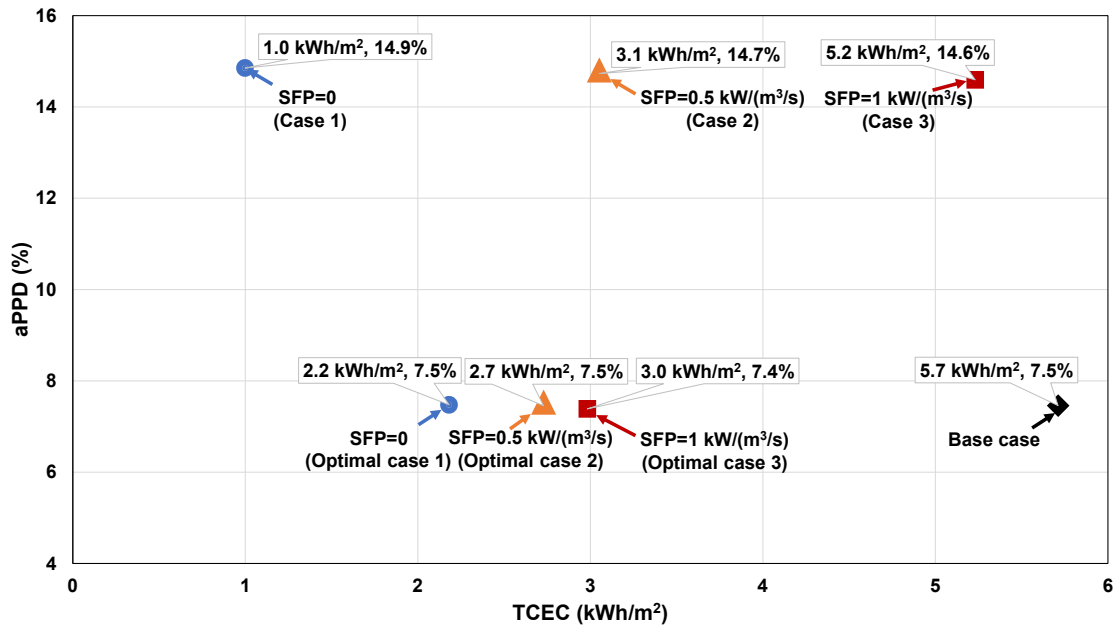


407

408 Figure 8. Optimized solutions for cases 1, 2, and 3.

409

410 Figure 9 shows the simulated aPPD and TCEC of the research cases. When the
 411 base case is equipped with different SFPs NV (i.e. cases 1, 2, 3), the TCEC significantly
 412 decreases by 0.5 kWh/m² (8.8%) to 4.7 kWh/m² (82.5%). Even the high SFP mechanical
 413 NV can save 8.8% TCEC compared to the base case. However, adopting NV with a
 414 general scheme worsens the indoor thermal comfort by increasing the aPPD from 7.5%
 415 to about 15%. After the optimization, all three optimal cases improve the indoor thermal
 416 comfort and fulfill the constraint (i.e. aPPD less than 7.5%). The optimal cases 2 and 3
 417 further save 0.4 kWh/m² (7.1%) and 2.2 kWh/m² (38.6%) TCEC of the cases 2 and 3,
 418 respectively. It means that a higher SFP yields a greater total cooling energy-saving
 419 potential by optimization. Even though the optimal case 1 consumes 1.2 kWh/m² more
 420 TCEC than case 1, it is still worthy optimizing the natural NV as the overcooling penalty
 421 is avoided and the optimal natural NV still saves much TCEC compared to the base case.



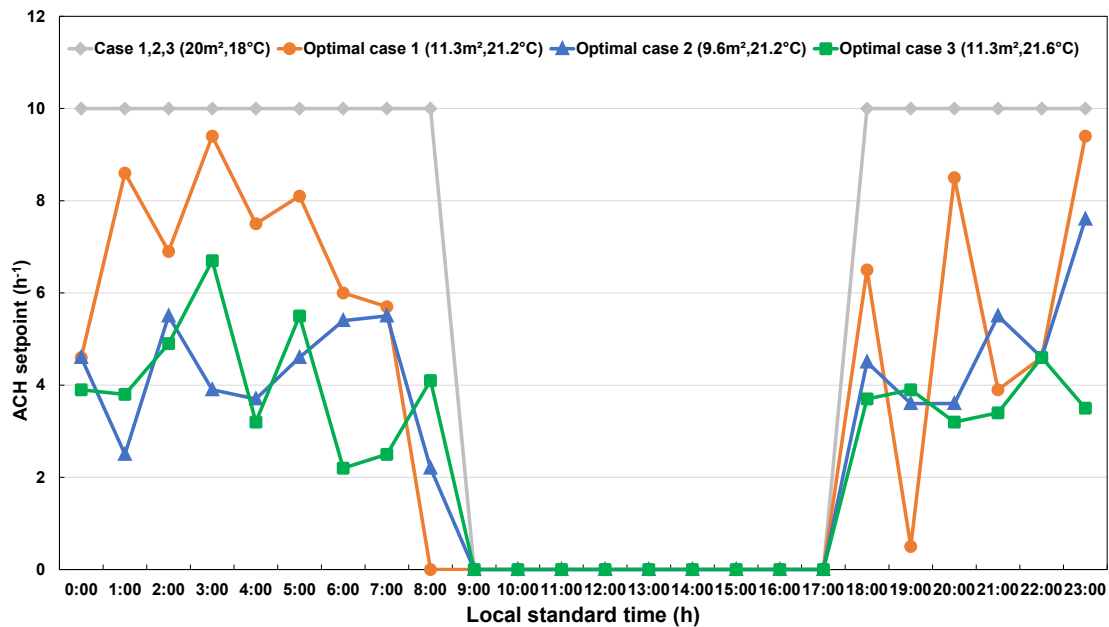
422

423 Figure 9. The values of TCEC and aPPD of the research cases.

424

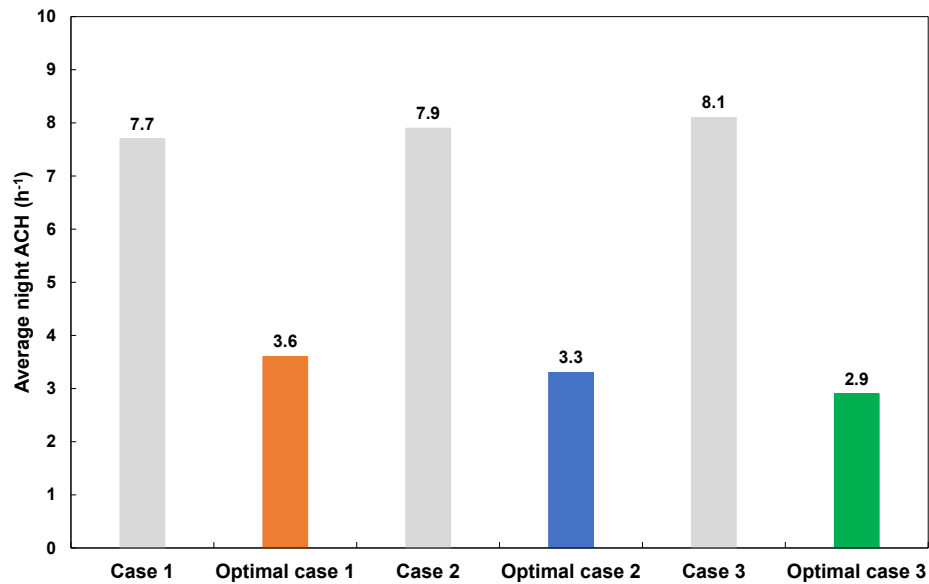
425 Figure 10 shows the parameters of the research cases. The area and temperature
 426 in the parentheses of the legend are the internal thermal mass area and minimum indoor
 427 air temperature setpoint of the corresponding case. The value in the Y-axis represents the
 428 night ACH setpoint at each hour. Compared to cases 1, 2, 3, the internal thermal mass
 429 areas and night ACH setpoint at each hour of the optimal cases are smaller, but the
 430 minimum indoor air temperature setpoints of the optimal cases are higher. The optimal
 431 internal thermal mass areas are reduced to 8.7 to 10.4 m², which is equivalent to 22.1 to
 432 26.4 kJ/m²·K dynamic heat capacity per unit floor area (c_{dyn}/A_{floor}) reduction. The optimal
 433 minimum indoor air temperature setpoints vary from 21.2 °C to 21.6 °C. The optimal
 434 minimum indoor air temperature setpoints are close to the upper limit (i.e. 22 °C) of this
 435 parameter setup, which indicates this setpoint values should not be too low in the cold
 436 climate region. There is no big difference between the two optimal parameters mentioned
 437 above among the three optimal cases.

438 However, the optimal night ACH setpoints at each hour during the night are very
439 different from each other. However, all of them are less than 10 h⁻¹ of cases 1, 2, and 3.
440 All the optimal cases tend to decrease the night ACH setpoint severely before the
441 occupied hours. Figure 11 illustrates the average night ACH for different cases. The
442 average night ACHs of optimal cases decrease by 4.1 h⁻¹ to 5.2 h⁻¹, compared to cases 1,
443 2, and 3. The average night ACH of optimal case 3 is the lowest among the three optimal
444 cases, while that of the optimal case 1 is the highest. The average night ACHs of cases 1,
445 2, and 3 are a little different and are not equal to the setpoint of 10 h⁻¹. One reason is that
446 the room inlet air at night can be heated by the intake fan power that will influence the
447 zone air temperature to some extent. In consequence, the case 3 with a higher SFP needs
448 more night cooling. Another reason is that the threshold temperature (i.e. 3 °C) of NV
449 stops the ventilation when the temperature difference between indoor and outdoor air is
450 not met.



451

452 Figure 10. Parameters related to NV of the research cases.



453

454 Figure 11. Average night ACH of the research cases.

455 **4. Limitations and prospect**

456 From the authors' perspective, current limitations can be described as follows:

- 457
- 458 • This study optimized different parameters based on the TMY data, especially the
459 night ACH setpoint at each hour. It may result in the NV performance of certain
460 days under real weather conditions deviating from expectations or not as good as
461 the case adopting the advanced adaptive control algorithm like weather predictive
462 control or model predictive control.
 - 463 • Natural NV was simplified in this study, which was inherently unstable and highly
464 dependent on the local climate condition, building orientation, window size or
465 window automation system, etc. The expected night ACH for the optimal natural
466 NV may not be fulfilled with the real natural NV system under the real
467 circumstance. However, this study has the potential/ability to optimize the hourly
468 opening availability of windows and ventilation control zone temperature setpoint
469 of a real natural NV system modeled with the AirflowNetwork model in
EnergyPlus under the same objective and constraint. It should be noticed that even

470 optimizing the control parameters of a real natural NV may still not fulfill the
471 optimal natural ACH shown in this study. Because the actual possibility to reach
472 the optimal ACH also depends on the architectural design, the building location,
473 and the local wind environment that were not included in this study.

474 • Only a single case room was optimized in this study. One reason was that this
475 study devoted to putting forward a method/ability to optimize the NV
476 performance, which was also applicable for multiple rooms or the whole building.
477 Another reason was to reduce the computation time and analyze the optimal
478 results easier and clearer. It is worth noticing that even though the optimal
479 solutions of different rooms or the whole building may differ, the optimal result
480 (i.e. TCEC and aPPD) or trend was also applicable for other cases. As the heat
481 gain of the case room should be much higher than other rooms, but this room still
482 met the overcooling penalty under the high-ACH scenario. Therefore, the same
483 problem will occur in other rooms. Under the same objective and constraint with
484 the omni-optimizer, similar optimal results are expected for other rooms or the
485 whole building.

486 Overall, the key to obtaining the best NV performance was the match between the cooling
487 potential of NV and the excess heat stored/ released in thermal mass. This study proposed
488 a generic evolutionary algorithm to find that ‘match’ in the approximate infinite
489 combinations, compared to the finite combinations of NV optimization [9][10][52].
490 Different from the aforementioned advanced control algorithms that generally manipulate
491 a single variable and optimize the building performance based on a given building, this
492 method focused more on guiding engineers or designers at the early building design stage.
493 Furthermore, models identified through the mathematical method from the real building
494 operation data for advanced control algorithms can only maintain the indoor air

495 temperature rather than more precise thermal comfort indicators (e.g. PMV, PPD) within
496 a certain range [53].
497 Apart from the optimization of the thermal mass amount in this study, the method is also
498 flexible to investigate the optimal parameters related to the excess heat storage and release
499 in the thermal mass; for instance, the insulation level, internal heat gain, thermal mass
500 material (e.g. PCM), daytime cooling methods or related control parameters, etc. As
501 alluded to above, the omni-optimizer has a high efficiency to adapt automatically to
502 handle four types of optimization problems, which can fulfill the different requirements
503 of research and design. The objective or constraint can also be selected based on the
504 research/design purpose. For example, the objective can be to minimize the energy cost
505 based on the real electricity price or utility rate.

506 **5. Conclusion**

507 This study proposes a systematic approach to optimize the NV performance in terms of
508 energy use and thermal comfort. The case study is a three-story office building equipped
509 with daytime air conditioning and an NV system in Aarhus, a city in a cold climate region
510 in Denmark. An NV performance simulation is conducted to demonstrate the NV
511 mechanism. Then, a global sensitivity analysis is carried out to explore the impact of night
512 venting duration, minimum indoor temperature setpoint, night ACH setpoint, activation
513 threshold temperature, and internal thermal mass area and SFP on NV performance. The
514 key design parameters are then optimized based on an evolutionary algorithm to minimize
515 total cooling energy consumption while maintaining the indoor thermal comfort within a
516 reasonable range. Based on the results of the case study, the following conclusions can
517 be made.

- 518 • A medium SFP NV with a general scheme can reduce the zone air temperature
519 and internal thermal mass surface temperature by up to 9.3 °C and 7.4 °C,

520 respectively on a typical summer day. It can also postpone the air conditioner
521 operation for about 5 hours and save 3.1 kWh TCEC compared to the case without
522 NV. However, by increasing aPPD from 5.1% to 14.1% on the selected day, the
523 NV may overcool the indoor air and building elements to worsen the indoor
524 thermal comfort.

525 • For TCEC, night venting duration is the most influential parameter, followed by
526 the night ventilation ACH, SFP, and internal thermal mass area. While for aPPD,
527 night venting duration also has the greatest impact, followed by the internal
528 thermal mass area, night ventilation ACH, and minimum temperature setpoint.
529 Activation threshold temperature is an insignificant parameter for NV
530 performance.

531 • Different SFPs NV under a general scheme saves TCEC by 0.5 kWh/m² (8.8%)
532 to 4.7 kWh/m² (82.5%) compared to the base case but increases the aPPD from
533 7.5% to about 15%. After the optimization, all the optimal cases improve the
534 indoor thermal comfort and fulfill the constraint of 7.5%. The optimal medium
535 and high SFP mechanical NV further save 0.4 kWh/m² (7.1%) and 2.2 kWh/m²
536 (38.6%) TCEC respectively, compared to the corresponding case without
537 optimization. The higher the SFP, the greater the saving potential of TCEC by
538 optimization. Even though the optimal natural NV consumes more than twice as
539 much TCEC as the case without optimization, the natural NV still deserves
540 optimization as the overcooling penalty is avoided and the optimal natural NV
541 still saves more TCEC compared to the case without NV.

542 • The optimal cases reduce 8.7 to 10.4 m² internal thermal mass area compared to
543 the cases without optimization, which is equivalent to 22.1 to 26.4 kJ/m²·K
544 dynamic heat capacity per unit floor area reduction. The optimization elevates the

545 minimum indoor air temperature setpoint to 21.2 °C to 21.6 °C. There is no much
546 difference between the two optimal parameters mentioned above between the
547 three optimal cases. However, the optimal night ACH setpoints at each hour at
548 night are much different from each other, but both less than 10 h⁻¹ of the
549 corresponding case without optimization.

550 **6. Acknowledgment**

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554 **7. Reference**

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Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests: