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Data Availability Statement: The raw, individuallevel data of human subjects, which include genetic information and can make it possible to identify individuals, are not permitted to be publicly opened. The ethical and legal restrictions are enforced by the ethical committee of Kyushu University Institutional Review Board for Human Genome/Gene Research. Because the ethical committee has no direct contact address for data requests, the requests for the data consultation should be addressed to the corresponding authors

RESEARCH ARTICLE

## An ancestral haplotype of the human *PERIOD2* gene associates with reduced sensitivity to light-induced melatonin suppression

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## **Abstract**

Humans show various responses to the environmental stimulus in individual levels as "physiological variations." However, it has been unclear if these are caused by genetic variations. In this study, we examined the association between the physiological variation of response to light-stimulus and genetic polymorphisms. We collected physiological data from 43 subjects, including light-induced melatonin suppression, and performed haplotype analyses on the clock genes, PER2 and PER3, exhibiting geographical differentiation of allele frequencies. Among the haplotypes of PER3, no significant difference in light sensitivity was found. However, three common haplotypes of PER2 accounted for more than 96% of the chromosomes in subjects, and 1 of those 3 had a significantly low-sensitive response to light-stimulus (P < 0.05). The homozygote of the low-sensitive *PER2* haplotype showed significantly lower percentages of melatonin suppression (P < 0.05), and the heterozygotes of the haplotypes varied their ratios, indicating that the physiological variation for light-sensitivity is evidently related to the PER2 polymorphism. Compared with global haplotype frequencies, the haplotype with a low-sensitive response was more frequent in Africans than in non-Africans, and came to the root in the phylogenetic tree, suggesting that the low light-sensitive haplotype is the ancestral type, whereas the other haplotypes with high sensitivity to light are the derived types. Hence, we speculate that the high light-sensitive haplotypes have spread throughout the world after the Out-of-Africa migration of modern humans.



who manage the data set: Shigekazu Higuchi, E-mail: higu-s@design.kyushu-u.ac.jp, and Hiroki Oota, E-mail: hiroki\_oota@med.kitasato-u.ac.jp. All the other relevant data are within the paper and its Supporting Information files.

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#### Introduction

Circadian rhythms, as endogenous self-sustained oscillations of a period of approximately 24 hours, are synchronized with a 24-hour cycle of the external environment by the circadian clock system which accesses light-dark information [1,2]. The light information received by the retina is carried through the retinohypothalamic tract to the brain, and causes various biological reactions in humans, for instance, phase response of circadian rhythms [3], alerting effects of light [4], pupillary reflex [5], suppression of melatonin secretion [6], and cognitive brain function [7]. These reactions caused by light are termed "non-image-forming" responses [8].

Typically the circadian light sensitivity is quantified by the measurements of melatonin secretion. Regulated by the circadian clock, melatonin is secreted from the pineal gland at night. It is acutely suppressed by light exposure during nighttime, so the suppression of melatonin secretion is used as an index for the circadian light sensitivity [9]. Suppression of melatonin was first demonstrated by exposure to bright light at 2500 lx in humans [6]. A recent study has shown that melatonin secretion is suppressed by a lower illuminance level (<200 lx) of room light [10]. There is individual variation of light sensitivity based on melatonin suppression by light [11]. It has been shown that factors causing the variation in melatonin suppression include light exposure history in daytime [12], seasonal differences [13], differences of eye color and ethnicity [14], and age differences [15]. However, genetic factors causing these variations are still not well known; as well as any other physiological variations in humans, it has been thought that the variation of light sensitivity based on melatonin suppression is attributed largely to a plastic change without genetic programming [16].

It is reported that variations in clock genes (e.g., *PERIOD2*, *CLOCK*, and *casein kinase 1 epsilon* [*CK1e*]) are related to disorders in sleep or circadian rhythmicity in humans [17,18]. Regarding *PERIOD* (*PER*) genes that are the central factors of the transcriptional regulation network of clock genes [19,20], their variations have been studied from the perspective of evolution [21]. Differences of allele frequency in the *PER2* gene have been reported among worldwide populations [22]. A variable number tandem repeat (VNTR) polymorphism in the *PER3* gene exhibiting different allelic frequencies has also been reported among worldwide populations [23]. These results imply geographical differentiation of the *PER2* and *PER3* genes in human populations. A previous study argues that in six clock genes, including *PER2* and *PER3*, the allele frequency differences among worldwide populations are likely to be caused by genetic drift rather than natural selection [24]. Meanwhile, in the case of the *period* gene of other animals, for example, *Drosophila melanogaster*, allele frequencies of different Thr-Gly length variants show a latitudinal cline [25], and it is argued that the polymorphism is maintained through selection [26,27]. Therefore, it is of interest whether or not variations of *PER2* and *PER3* genes are related to adaptation in geographical circumstances.

However, to our knowledge, what physiological variations could be associated with the cause of the geographical differentiation of allele frequencies in *PER* genes in humans has never been examined. Therefore, we hypothesize that the *PER* genes harbored polymorphisms that would explain physiological variations related to circadian light sensitivity. To clarify this hypothetical issue on the *PER* genes, we collected three major types of physiological data from 43 healthy subjects, and performed genotyping on them for the *PER2* and *PER3* genes. We also genotyped 91 non-subject volunteers, and then compared the resultant data with that from 850 individuals from 10 worldwide populations by means of the 1000 Genome Project database [28]. Here, we examine the association between the *PER2* haplotypes and the percentages of melatonin suppression and touch on their evolutionary history in modern humans.



#### Materials and methods

## Measurements of physiological data

As subjects of our physiological experiments, a total of 43 healthy 18- to 24-year-old students (21 males and 22 females) in Kyushu University (Fukuoka, Japan) participated and were assayed in a series of 3-set tests for physiological responses to light stimulus. The experiment was performed from January through March in 2011. Each subject completed a Japanese version of the Morningness-Eveningness Questionnaire (MEQ) [29,30]. One week prior to the start of the experiment, the subjects were instructed to keep their habitual sleep-wake rhythms by conducting self-recording of the sleep diary (bedtime, rising time, sleep quality, etc.). The average  $\pm$  standard deviation (SD) of bedtime, wake time, and midpoint of sleep was 1:44  $\pm$  0:59, 9:31  $\pm$  1:16, 5:38  $\pm$  1:00, respectively.

To assess the percentages of melatonin suppression and those of pupil constriction, the subjects were kept under dim light for 4 hours and then exposed to bright light for 3 hours. The illuminance level of the dim light was set at or below 15 lx. As a light source white fluorescent light from the ceiling (5000 K) was used. Its illuminance level in the vertical direction was 1000 lx, measured at the subjects' eye level using a light meter (CL-200, Konica Minolta Holdings, Inc., Tokyo, Japan). During the exposure to light, each subject sat on a chair and watched an unexciting movie to fix his or her eyes. A 7-inch liquid crystal display (LCD) was placed about 50 cm in front of the subject. The light level of the LCD was very low (<5 lx) compared to that of the room light. The vertical position of each subject's head from the room light was adjusted to the same position to minimize the difference in light level due to the subject's height. The experimental room was monitored by video camera to check the subjects' eyes, posture, and angle of gaze, although the real-time measurements of light level of each subject during light exposure were not conducted.

The start time of light exposure was determined based on the individual midpoint of sleep, which is highly correlated with dim light melatonin onset (DLMO) [31]. We set the start time of light exposure between 3 and 3.5 hours before the time of sleep midpoint in the present study. According to the estimation in the previous study [31], this time zone corresponds approximately to 3 hours after DLMO. The average time of light exposure was  $02:29 \pm 0:58$ .

The subjects provided saliva samples every hour during these sessions. Salivette (Sarstedt AG & Co., Nümbrecht, Germany) was used for collecting samples of saliva. For the measurement of melatonin concentration in saliva, we conducted radioimmunoassays (RK-DSK, Buhlmann, Schonenbuch, Switzerland). In addition, we asked the subjects to wake up in middle of the night to collect a saliva sample as additional control data at home 2 days before the experiments began. The measurement time corresponded to 3 hours after light exposure on the experimental night.

The percentage of melatonin suppression was calculated based on the values before exposure to light as in the previous studies [13,14]. The percentage of suppression of melatonin concentration after light exposure was defined as [(melatonin concentration before exposure to light – melatonin concentration after exposure to light) / melatonin concentration before exposure to light]  $\times$  100. The percentages of melatonin suppression were also calculated based on the control sample that was taken at home in middle of the night. There was a significantly high correlation between the percentages of melatonin suppression based on the data before light exposure and those based on the data from the control sample taken at home (r = 0.725). In the present study, we used the data based on before light exposure because samples taken at home were missing from five subjects. For the following association studies, the percentage of melatonin suppression at 3 hours after light exposure was used as an index of circadian photosensitivity.



Steady-state pupil area of the right eye was measured under dim light condition and 1 hour after light exposure for 1 minute using an electronic infrared pupillometer (DK-101, Scalar Corporation, Tokyo, Japan). Average pupil size was calculated. The percentage of constriction of pupil size of light exposure was defined as [(pupil area before exposure to light – pupil area during exposure to light) / pupil area before exposure to light]  $\times$  100.

## DNA from the subjects

We extracted DNA from the saliva using phenol-chloroform extraction and the Gentra Puregene Blood Kit (Qiagen). The physiological experiments were conducted with written informed consent, and the ethics committees for human subjects at Kyushu University and at Kitasato University approved all the sampling protocol.

## Non-subject samples

To ensure that the selection of subjects was not biased and the following association studies using the subjects were not affected by subpopulation structures, we examined 91 controls: 51 were from Northern Kyushu (all of their grandparents were born in Saga, Nagasaki, or Fukuoka prefectures, confirmed by interview) and 40 were from the Ryukyu Islands (all of their grandparents were born in the Okinawa or Sakishima Islands, confirmed by interview). All the saliva samples were provided with written informed consent, and this research was approved by the ethics committees at Saga University, University of the Ryukyus, and Kitasato University. DNA samples from the Ryukyu individuals were prepared with the Oragene DNA Self-Collection Kit (DNA Genotek Inc., Ontario, Canada) (Nakagome et al. in preparation). For the other saliva samples of 51 individuals collected using the Oragene DNA Self-Collection Kit (DNA Genotek Inc.), DNA extraction was performed using the Gentra Puregene Blood Kit (Qiagen).

The genotype data of 10 worldwide human populations were extracted from the 1000 Genomes Project (phase 1) database [28]. They are two African populations (Luhya in Webuye, Kenya [LWK] N = 97, Yoruba in Ibadan, Nigeria [YRI] N = 88), five European populations (Utah residents with Northern and Western European ancestry [CEU] N = 85, Finnish in Finland [FIN] N = 93, British in England and Scotland [GBR] N = 89, Iberian populations in Spain [IBS] N = 14, Toscani in Italia [TSI] N = 98), two East Asian populations (Han Chinese in Beijing, China [CHB] N = 97, Southern Han Chinese, China [CHS] N = 100), and a Japanese population (Japanese in Tokyo, Japan [JPT] N = 89).

#### SNP typing

We selected six and four single nucleotide polymorphism (SNP) sites covering *PER2* and *PER3*, respectively (Fig 1A and S1 Fig). In the choice of the SNPs, we searched the transcriptional regulatory region, which was thought to fulfill an important role in PER oscillation, especially focusing on the *cis*-element motifs such as E-boxes. This was because subtle phenotypic differences among human populations were likely related to genetic polymorphisms found in the transcriptional regulatory regions. To predict the location of *cis*-elements, we performed a motif search using the program, TFSEARCH [32]. In *PER2*, three SNPs, supposed to exist in the motif, were found (SNP2, SNP3, and SNP4). However, even if a variation in the motif shows an association with the physiological variations, we could not determine whether or not the former is causative of the latter. We should consider length of the linkage between the variations found in a population. Therefore, we conducted haplotype analyses to clarify the relationships between physiological variations and the gene regions. SNPs were selected at a nearly equal physical distance so as to uniformly cover the whole gene. As a criterion of the



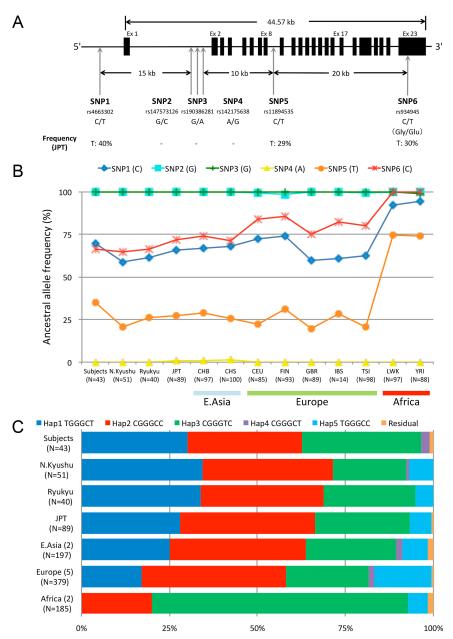


Fig 1. SNPs examined in the *PER2* gene and frequencies of the haplotypes in the global populations. (A) Relative map for the six SNPs examined. The SNPs examined in this study are numbered serially, and their rs numbers, alleles reported, and allele frequencies of JPT in the HapMap database are shown. For the SNP6, the amino acid change (Gly/Glu) has been reported in dbSNP. (B) Ancestral allele frequencies of SNPs in *PER2*. The allele frequency data from Japan are divided into four groups: subjects with physiological data, Northern Kyushu, Ryukyu, and JPT. Those are compared to three approximate geographic populations: East Asia (CHB, CHS), Europe (CEU, FIN, GBR, IBS, TSI) and Africa (LWK, YRI) from the 1000 Genomes Project database. (C) Haplotype frequencies of *PER2* in each geographical region. The numbers of local populations included in the geographical region are shown in parentheses. The N represents the numbers of the individuals. The combined frequencies of the remaining haplotype (Residuals) are less than 1.0% in all the geographical regions, indicating that each haplotype in the residual is extremely uncommon among the samples examined.

SNP selection, we used the SNPs found in the JPT that had a minor allele frequency at above approximately 30%. Furthermore, because in the East Asian population the length of the



haplotype block (i.e., the region where linkage disequilibrium [LD] is strong) is estimated to be 13.2 kb on average [33,34], we took that into consideration and chose SNPs to cover the whole gene region. The search was conducted using the dbSNP [35] and the browser of Hap-Map database [36].

SNP typing was performed using the TaqMan Genotyping Master Mix and TaqMan SNP genotyping assays according to the manufacturer's recommended protocol (Applied Biosystems, Tokyo, Japan) on the LightCycler 480 System II (Roche Diagnostics, Tokyo, Japan). However, in the case of these SNPs in the cis-element motif, which did not satisfy the criterion of allele frequency, we conducted PCR-direct sequencing for the transcriptional regulatory region. PCR amplification was conducted using two pairs of primers: primer set 1 was hPER2\_F1 (5'-TCC AGT GCC CCG CTT GAG TG-3') and hPER2\_R1 (5'-CTT TGG GGT AGG TGG GTG GAG TG-3'), and primer set 2 was hPER2\_F2 (5'-AGG CCG TCA GCA GCT CTA TGT C-3') and hPER2 R2 (5'-CAA CCA CAC GCA GCC AAA AC-3'). These primers were designed on the basis of the human reference genome sequence (GRCh37) extracted from the Ensemble database [37]. Approximately 50 ng of the genomic DNA was used as a template for PCR in a 25 µl solution containing dNTP at 0.2 mM, 1.0 µM of each of primer, 0.5 U of EX Taq HS polymerase (TaKaRa Shuzo Co., Kyoto, Japan), and the reaction buffer attached to the polymerase. Reactions were carried out in the PCR Thermal Cycler Dice (TaKaRa Shuzo) using the following protocol: in the primer set 1, an initial denaturing step at 94°C for 5 minutes, 35 cycles of denaturation at 94°C for 30 seconds, annealing at 66°C for 30 seconds, extension at 72°C for 10 seconds, and a final extension step at 72°C for 2 minutes; in the primer set 2, an initial denaturing step at 94°C for 5 minutes, 35 cycles of denaturation at 94°C for 30 seconds, annealing at 62°C for 30 seconds, extension at 72°C for 5 seconds, and a final extension step at 72°C for 2 minutes. The PCR products were diluted 20-fold and used as templates in the direct sequencing reaction. DNA sequencing was performed by the Sanger method using the BigDye Terminator v3.1 cycle sequencing kit according to the commercial protocol (Applied Biosystems), and was then analyzed in an ABI3130 or ABI3500 DNA Sequencer (Applied Biosystems). Base-calling and detection of heterozygotes were performed using the Sequencing Analysis Software v5.4 (Applied Biosystems) followed by visual inspection for SNPs. All variants were confirmed by reading both strands. Sequences were aligned with the SeqMan Pro software (DNASTAR, Madison, WI).

## Statistical analysis

For each SNP detected in any of the populations, we examined whether the Hardy-Weinberg equilibrium was kept at P>0.05 by the  $\chi^2$  test before performing any subsequent analyses. Haplotype estimation for individuals of each population was conducted using the PHASE algorithm [38] of DnaSP v5.10 [39]. The relationship between the physiological data and the allele, haplotype, and genotype was statistically analyzed. Statistical significance testing was performed by the Kruskal-Wallis test and Scheffe's method of multiple comparison tests using the program R with Aoki's R script (http://aoki2.si.gunma-u.ac.jp/R/src/kruskal\_wallis.R, encoding = "euc-jp"). P-values < 0.05 were considered as statistically significant.

#### Phylogenetic analysis and estimation of divergence time

To investigate the evolutionary relationship between the haplotypes, a phylogenetic network was reconstructed using the median-joining algorithm [40] of the NETWORK4.6.1.1 program (http://www.fluxus-engineering.com). Based on the genome sequences of chimpanzees (*Pan troglodyte*), gorillas (*Gorilla gorilla gorilla*), and macaques (*Macaca mulatta*) extracted from the Ensemble database, we estimated the ancestral alleles of each SNP.



The divergence times of *PER2* haplotypes were estimated. First, from the 1000 Genome Project (phase 3) database [41], we extracted the phased-variant data of the whole *PER2* region including each 2 kb of the 5' and 3' flanking regions for each individual that has three major haplotype (Hap1, Hap2, and Hap3) homozygotes in the geographical populations YRI, CEU, and JPT. Among 2,504 individuals, eight were chosen by the smallest ID number of each group (NA11894, NA18939, NA18486, NA07000, NA18942, NA18504, NA12282, and NA18945). At the same time, we got the corresponding sequence data from the human reference sequence GRCh37 that was used for mapping on the 1000 Genome Project (phase 3) database. The 16 chromosome sequences were acquired by rewriting the reference sequence for only the extracted SNP sites using the Python program. In addition, we downloaded the pairwise alignment data of the corresponding reference sequences for human (GRCh37) and chimpanzee (panTro4) [42–44] from UCSC Genome Browser database [45]. By using the data, we aligned the above 16 human sequences and the one homologous sequence of chimpanzee.

Next, a phylogenetic tree reconstruction and an estimation of evolutionary distance were performed using the MEGA v6.06 software [46]. A neighbor-joining (NJ) tree [47] for the intron region was constructed using the p-distance method [48]. All positions containing gaps and missing data were eliminated. Bootstrap analysis was performed using 1000 replications [49]. To assess the neutral mutation rate μ per nucleotide site per year for the PER2 locus, the mean number of base substitutions per site over intron sequence pairs between human and chimpanzee  $(d_1)$  was calculated using the Jukes-Cantor model [50], and the mean number of synonymous substitutions per synonymous site between human and chimpanzee ( $d_S$ ) was calculated using the Nei-Gojobori model [51]. Using the mean number of intron sites ( $L_{\rm I}$ ) and that of synonymous sites  $(L_S)$ , the mean divergence between human and chimpanzee (d) was given:  $d = (d_1L_1 + d_2L_S)/(L_1 + L_S)$ . By using the speciation time of humans and chimpanzees  $T_S$ , we got  $d = 2T_S\mu$ . From the above two equations, we estimated  $\mu$  of the PER2 locus. Based on the mutation rate, we estimated the average divergence time of the sequence pairs in all the haplotypes (Hap1, Hap2, and Hap3) ( $T_{PER2}$ ), and especially in Hap1 ( $T_{Hap1}$ ). We calculated the average number of differences of all the sequence pairs between two clades bifurcated by the node of the most recent common ancestor for all the haplotypes ( $\pi_{d-all}$ ) and that for Hap1 samples  $(\pi_{d-Hap1})$ . We used the average number of pairwise differences  $\pi_d$  with the Jukes and Cantor correction [50] between PER2 intron sequences of humans. We subsequently estimated the times of *PER2* haplotypes from the equation  $T = \pi_d/2\mu$  by assuming a molecular clock.

#### Results

#### Physiological variations

We detected variations in the MEQ score as an index of chronotypes and the physiological variations in the percentage of pupil constriction and that of melatonin suppression as indices of circadian and non-image-forming effects of light, for our 43 subjects (Table 1). An individual with high melatonin suppression is thought to have a high light sensitivity [11].

The MEQ scores of four subjects were excluded due to unanswered questions and/or incorrect answers. The melatonin data of eight subjects were excluded because melatonin concentration of seven subjects did not start to increase (>5.0 pg/ml) by the time of light exposure, and melatonin concentration of one subject started to decrease consecutively from 2 hours before light exposure. The pupil data of two subjects could not be measured correctly, therefore, they were excluded.

Although there was a large interindividual difference in raw data of melatonin concentration, the melatonin concentrations increased under dim light and they decreased after light exposure (S2 Fig). The melatonin concentration from samples taken at home at the same time,



Table 1. Summary of subjects' physiological characteristics.

Characteristics	Number of individuals	Mean	SD
Age, y	43	21.02	1.37
MEQ score	39	46.97	6.77
Pupil constriction, %	41	0.71	0.07
Melatonin suppression, %	35	32.01	43.22

i.e., 3 hours after light exposure, was significantly higher than that before light exposure (0 h) (t = 3.98, P < 0.001) and 3 hours after light exposure (3 h) (t = 6.42, P < 0.001) on the experimental day. The results of percentage of melatonin concentration clearly showed that the increase in melatonin concentration started before light exposure. However, there was a large interindividual variation in percentages of melatonin suppression during light exposure. Therefore, we used the percentage of melatonin suppression at 3 hours after light exposure as an index of circadian photosensitivity in the following association studies.

## Allele/haplotype frequencies in PER genes

We chose six SNPs covering the *PER2* locus (44.6 kb): three were located in the putative promoter region of intron 1, and the others were in the 5' flanking region, intron 8, and exon 23 (Fig 1A). We also chose four SNPs located in introns 3, 7, 12, and in the 3' flanking region covering the *PER3* locus (60.5 kb), and examined them as well as in *PER2* (S1 Fig). In addition to the 43 subjects with physiological data, we genotyped the SNPs in *PER2* and *PER3* for 91 nonsubjects (without physiological measurements). The SNP2, SNP3, and SNP4 in the putative promoter region of *PER2* were fixed in all the samples examined in this study, and we found no difference of the allele frequencies at the other SNPs between the subjects and non-subjects in both the *PER2* and *PER3* genes (Fig 1B and S3 Fig).

The haplotype frequencies were estimated based on phased haplotype data (Fig 1C and S4 Fig). We found that three haplotypes in *PER2*, Hap1 (TGGGCT), Hap2 (CGGGCC), and Hap3 (CGGGTC) accounted for more than 92% of the chromosomes in three populations (subjects, and non-subjects of Northern Kyushu and Ryukyu), and that three haplotypes in *PER3*, Hap1 (CCTT), Hap2 (AAGG), and Hap3 (ACTT) accounted for more than 96%. There was no difference between the subjects and non-subjects in haplotype frequency distribution, indicating that the subjects were not genetically deviated so that we could analyze them statistically without any sampling bias.

# Association between genetic polymorphisms and physiological variations

We examined associations between haplotypes of the *PER* genes and physiological variations in the response to light stimulus (Fig 2 and S5–S7 Figs). In *PER2*, the percentages of melatonin suppression of Hap1 (TGGGCT) and Hap2 (CGGGCC) were significantly different from that of Hap3 (CGGGTC) (P < 0.005 and P < 0.05, respectively) (Fig 2). Meanwhile, no association was found between the *PER3* haplotypes and the percentages of melatonin suppression (S5 Fig). Two other physiological variations (percentage of pupil constriction and MEQ score) were also examined to see if these variations were associated, but no association was found in either *PER2* or *PER3* (S6 and S7 Figs). Therefore, only the association between the *PER2* haplotypes and the percentages of melatonin suppression was shown in our series of examinations.

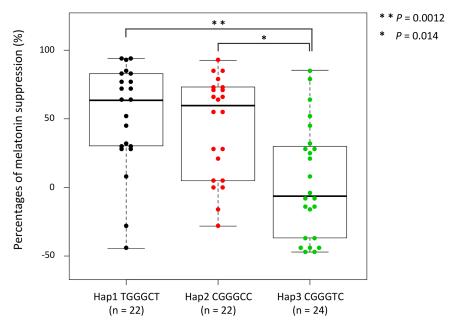


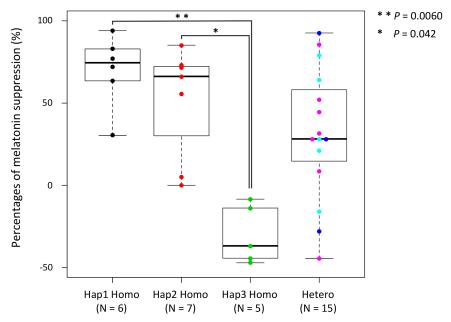
Fig 2. Comparison of the distributions of percentages of melatonin suppression for three major haplotypes of the *PER2* locus. Thick middle lines in the boxes represent the medians, the tops and bottoms of the boxes represent the third and the first quartiles, respectively, and the lower and upper error bars indicate the minimum and the maximum values. One dot represents one chromosome, and the numbers of chromosomes, n, are shown in parentheses. The Kruskal-Wallis test and Scheffe's method of multiple comparison tests were performed, and the pairs of Hap1-Hap3 and Hap2-Hap3 revealed significant differences.

In addition, we examined the association between the genotype and the phenotype. We also found that the percentages of melatonin suppression of Hap3 homozygotes were significantly different from those of Hap1 and Hap2 homozygotes in PER2 (P < 0.01 and P < 0.05, respectively), whereas the heterozygotes varied in the ratios (Fig 3 and S8 Fig). Interestingly, the median of the percentage of melatonin suppression in the heterozygotes came to the intermediate point (28.2%) between the median of that of the Hap1 and Hap2 (71.4%) and that of the Hap3 (-36.8%), which likely indicates the Mendelian inheritance with incomplete dominance. All the SNPs in PER2 showed strong associations with the percentage of melatonin suppression (S9 Fig), so that we could not identify which SNP was functionally causative of the melatonin suppression. Rather, this was likely to be attributed to a strong linkage between the SNPs examined.

## PER2 haplotype frequency distribution and evolution

We then examined the allele frequencies of the six SNPs in *PER2* of 10 worldwide populations from the international database. We found the frequency differences between Africans and non-Africans in *PER2* (Fig 1B and S3 Fig). Three major haplotypes, Hap1, Hap2, and Hap3 accounted for more than 82% of the chromosomes in any worldwide populations, and Hap1 was absent from Africans, whereas Hap3 occupied more than 72%, suggesting a possibility that Hap1 appeared outside of Africa (Fig 1C and S4 Fig).

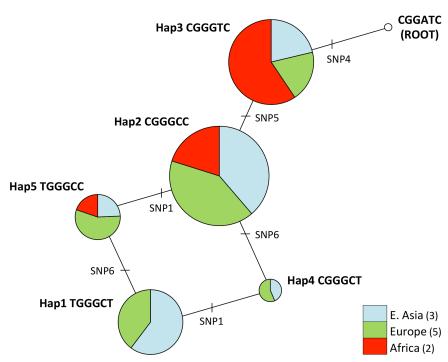
To see the evolutionary history of the *PER2* haplotypes, we constructed a phylogenetic network (Fig 4). The pie charts represent haplotype frequencies, and the size of the pie is proportional to the total number of chromosomes in the worldwide populations. The root was estimated to be CGGATC based on the nucleotide sequences from chimpanzee, gorilla, and



**Fig 3.** Comparison of the distributions of melatonin suppression for the genotypes of *PER2*. The thick middle lines in the boxes represent the medians, the tops and bottoms of the boxes represent the third and the first quartiles, respectively, and the lower and upper error bars indicate the minimum and the maximum values. One dot represents one subject, and the numbers of individuals, N, are shown in the parentheses. In the case of heterozygote, differently colored dots represent different genotypes. The Kruskal-Wallis test and Scheffe's method of multiple comparison tests were conducted, and the pairs of Hap1-Hap3 and Hap2-Hap3 homozygotes showed significant differences.

macaque, which has a G to A substitution from Hap3 (CGGGTC) at SNP4, so that Hap3 was estimated to be an ancestral haplotype in humans. The Hap2 (CGGGCC) has a T to C substitution from Hap3, and was the most common (33.9%) in the worldwide populations. There was a reticulation from Hap2 to Hap1 ( $\underline{T}GGGCT$ ) via Hap4 (CGGGCT) or Hap5 ( $\underline{T}GGGCC$ ), indicating a track of possible recombination: Hap4, the uncommon haplotype in the world, would have appeared through the recombination event between Hap1 and Hap2. Thus, the phylogenetic network suggests that the low light-sensitive haplotype, Hap3, more frequently occupied by Africans was the ancestral haplotype, and the high light-sensitive haplotypes, Hap1 and Hap2, were the derived haplotypes from Hap3.

We estimated the divergence times of *PER2* haplotypes using nucleotide sequences of the 1000 Genome data [41]. We extracted the sequence data from YRI, CEU, and JPT who had homozygotes of three major haplotypes (Hap1, Hap2, and Hap3), and used chimpanzee sequence as the outgroup. For human and chimpanzee, the mean divergence over sequence pairs of intron ( $d_I$ ) and that of the synonymous site ( $d_S$ ) were  $d_I = 0.0159$  and  $d_S = 0.0128$ , respectively, and the mean number of intron sites ( $L_I$ ) and that of the synonymous sites ( $L_S$ ) were  $L_I = 44,988$  and  $L_S = 1,187$ , respectively. Based on these data, the weighted mean divergence between human and chimpanzee, d = 0.0158, was estimated. Considering the speciation time of humans and chimpanzees  $T_S$  as 6,000,000 years ago [52,53], we estimated the neutral mutation rate  $\mu$  for the *PER2* locus was  $\mu = 1.32 \times 10^{-9}$  per nucleotide site per year. As a result of a phylogenetic tree based on the intron sequences, Hap1 was monophyletic (S10 Fig). To know the emergence time of all haplotypes and Hap1, we calculated each average nucleotide divergence:  $\pi_{d-all} = 0.109 \times 10^{-2}$  and  $\pi_{d-Hap1} = 0.115 \times 10^{-3}$ . They are an average branch length at the deepest time in the tree and one at the deepest in the Hap1. From these estimates, we



**Fig 4. Phylogenetic network for** *PER2* **haplotypes.** The circles represent the haplotypes, and the circle size is proportional to the sum of the number of chromosomes in all the populations for each haplotype. The pie charts show the haplotype frequencies in each geographical region: three populations from East Asia (JPT, CHB, CHS), five from Europe (CEU, FIN, GBR, IBS, TSI), and two from Africa (LWK, YRI). The minor haplotypes (<1.0%) are omitted here.

estimated the average divergence time of the sequence pairs in all the haplotypes at  $T_{PER2}$  = 415,000 years ago (KYA), and that in Hap1 at  $T_{Hap1}$  = 43.7 KYA.

However, in this calculation, we did not consider the possibility of recombination. To consider this possibility, we examined the incompatible pairs of segregating sites [54]. About 5% of all pairs of segregating sites showed incompatibility. Incompatible pairs of sites concentrated in a region ranging from 21,113 to 21,557. Those sites showed incompatibility not only within that region but also with sites in other adjacent regions (5' and 3' to the region). These incompatible pairs of sites were results of either recurrent mutations or recombinations. To avoid the effect of inclusion of these incompatible sites on the estimation of the divergence time, we excluded the regions showing incompatibility and divided the PER2 region into upstream and downstream regions as independent loci. As a result, for the upstream, the average numbers of pairwise differences were calculated:  $\pi_{d-all} = 0.566 \times 10^{-3}$  and  $\pi_{d-Hap1} =$  $0.111 \times 10^{-3}$ . The average divergence times of the sequence pairs were estimated to be:  $T_{PER2} =$ 215 KYA, and  $T_{\rm Hap1}$  = 42.0 KYA. For the downstream, the average numbers of pairwise differences were calculated:  $\pi_{\text{d-all}} = 0.134 \times 10^{-2}$ , and  $\pi_{\text{d-Hap1}} = 0.135 \times 10^{-4}$ . The average divergence times of the sequence pairs were estimated to be:  $T_{PER2} = 510$  KYA, and  $T_{Hap1} = 5.11$  KYA. But the upstream and downstream regions of PER2 were compatible to each other. Especially there was only one informative site in the upstream region and no informative sites in the downstream region of Hap1. There was no evidence that the two loci had independent evolutionary histories. Thus, we combined the two regions and calculated the average numbers of pairwise differences:  $\pi_{d-all} = 0.985 \times 10^{-3}$ , and  $\pi_{d-Hap1} = 0.582 \times 10^{-4}$ . The average divergence times of the sequence pairs were estimated to be:  $T_{PER2} = 374 \text{ KYA}$ , and  $T_{Hap1} = 22.1 \text{ KYA}$  (S10 Fig). These results suggested that, even though we considered the recombinations, Hap2 and Hap3



had already existed before the Out-of-Africa migration that occurred approximately 50 KYA [55–58], while after the migration, Hap1 appeared and the *PER2* haplotype variations increased.

#### **Discussion**

Our genetic analysis on the subjects with physiological data revealed that the individual variations in the physiological reaction for light-stimulus are significantly associated with the PER2 polymorphisms. This is an unexpected result. Melatonin suppression is observed in an artificial circumstance of light exposure during nighttime. Therefore, it has been used as a measure of plastically circadian sensitivity to light stimulus [9]. On the other hand, the internal circadian rhythm is generated by the autoregulatory transcriptional negative-feedback loop of clock gene expression, and maintained even in constant darkness artificially provided in an experimental laboratory [59]. The circadian rhythm, which is regulated in a suprachiasmatic nucleus (SCN), synchronizes to an external 24-hour day/night cycle through intrinsically photosensitive retinal ganglion cells of the retina [60], and the information is sent to the pineal gland, which secretes melatonin [3,61]. Related to clock genes, the suppression of melatonin secretion, however, has not drawn much attention. In the present study, we show that the variation of the percentages of melatonin suppression is significantly associated with the PER2 haplotype/genotype. In this association analysis, we used the percentage of melatonin suppression at 3 hours after light exposure as an index of circadian photosensitivity. In this case, if the light exposure was timed late after the offset of melatonin synthesis regardless of adjusting the start time of the exposure for each subject, melatonin secretion could decrease either due to lightinduced suppression itself or due to the natural reduction in salivary concentration at 3 hours after light exposure. Therefore, we confirmed that light exposure was properly timed in all the subjects (S2 and S8 Figs). In addition, the average of percentages of melatonin suppression by light exposure for 3 hours was also used as the index for these analyses. We found that there was nearly the same tendency as that in Fig 3, and the percentages of melatonin suppression of Hap3 homozygotes were significantly different from those of Hap1 homozygotes in PER2 (P < 0.01), while those of Hap3 homozygotes did not show a significant difference from those of Hap2 homozygotes. However, that result is likely due to the effect of the small sample size for the association analysis, therefore, re-examination using a larger sample population is warranted in future studies. In the present study, we also show that PER2 haplotypes are not associated with pupillary reflex. These results suggest that there are different systems driving lightinduced pupil constriction and light-induced melatonin suppression, which has been discussed in the literature [62, 63]. SCN mediates light-induced melatonin suppression but not light-induced pupillary constriction, which is mediated by the olivary pretectal nucleus [60, 64]. Therefore, in the present study there was no significant correlation between percentages of light-induced melatonin suppression and pupil constriction. These findings suggest that the functions and/or the expression levels of the PER2 protein are more significantly associated with low-sensitivity to light in Hap3 than in the other haplotypes.

A linkage analysis shows that one of the variants of *PER2* (S662G) is associated with the sleep-phase disorder: FASPS (familial advanced sleep-phase syndrome) [17], and an empirical study using mice harboring the PER2 S662G mutation shows that their circadian rhythmicity has been changed [65]. Many other reports also show the associations between *PER2* polymorphisms and diurnal preference [66–71]. A recent genome-wide association study (GWAS), using 89,283 individuals, reports that the *PER2* is one of the loci associated with self-reported morningness [72]. These studies suggest that the *PER2* polymorphisms produce phenotypic variations of circadian rhythmicity. I.e., a sleep-phase disorder or an extreme phenotype is



caused by a particular haplotype of *PER2* that has a strong effect on circadian rhythmicity, while for the other haplotypes with weak effects, the phenotype differences have been recognized as physiological variations. Our findings support this prediction. I.e., the *PER2* polymorphisms may affect circadian phase shifting variations related to the percentage of melatonin suppression [10]. A previous study of *PER3* reported a relationship between one VNTR polymorphism in exon 18 of the *PER3* gene and melatonin suppression [73]. On the other hand, we have not found any relationships between the *PER3* haplotypes and the percentages of melatonin suppression in the present study (\$5B Fig). Supporting our results, some previous studies using mice or cultured cells have shown that *mPer2* is important for light induced resetting of the circadian clock [74,75], and *mPer2* mRNA expression in SCN is induced by brief light exposure in constant darkness [76], but *mPer3* has lost responsiveness to light and is not necessary for maintaining the circadian rhythmicity [77]. In our candidate-gene approach, therefore, the significant association between the *PER2* polymorphism and the percentage of melatonin suppression in humans has been clearly shown.

It is unclear how the PER2 protein is related to the mechanisms of melatonin suppression. Three SNPs in the transcriptional regulatory region have been fixed in almost all human populations (Fig 1B), suggesting that the mutations which occurred in this region have been critically concerned with survival throughout its evolutionary history. The SNP6, non-synonymous substitution in exon 23, does not separate high and low light-sensitive haplotypes, but the SNP5 in intron 8 does (Figs 1A and 4). The haplotypes that have a C allele at SNP5 show higher percentages of melatonin suppression; while the haplotype that has T allele, Hap3, shows lower percentages (Figs 2 and 4). There are two possibilities for this phenomenon: one is that SNP5 itself directly contributes to the phenotype. In this case, there should be unknown transcriptional-regulation factors around SNP5 in intron 8. Another possibility is an effect of linkage between SNP5 and the other SNPs not examined in the present study. Some previous studies show there exists a PAS (PER-ARNT-SIM) domain (exon 9 to exon 11) near the SNP5, CK1\varepsilon binding region (exon 15 to exon 18), etc., indicating this region is very important for protein-protein interaction of the product of the PER2 gene, and is essential for maintaining circadian rhythmicity [78,79]. To elucidate this, a more detailed SNP search by resequencing the subjects and functional analysis of each haplotype using cells would be required.

Our haplotype analyses have shown that the low light-sensitive haplotype is more frequently found in Africa than outside Africa, and that non-African populations have a larger number of haplotypes than do African populations (Fig 1C). Phylogenetic network analysis has shown that the low light-sensitive haplotype is the ancestral type and that the high light-sensitive haplotypes are the derived types; the divergence time of three major haplotypes,  $T_{PER,2}$ , is estimated to be 374 KYA. Considering the Out-of-Africa migration scenario in which anatomically modern humans appeared in Africa around 200 to 100 KYA and migrated out of Africa approximately 50 KYA, the estimate of all haplotype divergence time suggests that the haplotypes emerged almost concurrently with, or earlier than the origin of anatomically modern humans in Africa. The divergence time of Hap1 as high light-sensitive haplotype, which has been estimated to be 22.1 KYA, coincides with, or a little later than the period when humans migrated out of Africa. This suggests that the high light-sensitive haplotypes have increased in the world after the Out-of-Africa migration of modern humans, which is a totally opposite pattern commonly observed in most of the regions of the human genome that African populations have more haplotypes than do non-African populations [80-82]. The common pattern is explained by the Out-of-Africa migration. Because of the bottleneck effect caused by the Out-of-Africa event, African populations have more diversity than do non-Africans [83,84]. Therefore, when looking at a part of the human genome, the number of haplotypes in African populations is greater than that of non-African populations in most of the regions of the entire genome.



To adequately explain the uncommon pattern generated from our results requires three different scenarios. First, it can be explained by neutrality: a small number of haplotypes in Africa and more haplotypes outside of Africa occurred by chance. Second, a strong selective pressure prevented changes in this gene locus in Africa: therefore, Africans have high frequencies of Hap3 at more than 72%. But the pressure weakened and became neutral in regions outside of Africa, and the other haplotypes increased and spread throughout the world concurrent to and following the Out-of-Africa migration. And third, a balancing selection, in which more haplotypes were advantageous, worked outside of Africa. This could be a possible reason the three haplotypes maintain nearly the same frequencies among non-African populations, though it is very difficult to prove if this frequency pattern is due to the balancing selection. Modern humans have spread into vast geographical regions in which there are huge variations in light environments. We revealed the clock gene *PER2* polymorphisms account for the physiological variation of melatonin suppression as circadian light sensitivity, and further studies of a greater number of sequences, probably using next generation sequencing (NGS), are required to elucidate the evolutionary history of the clock gene network system in humans.

## **Supporting information**

**S1 Fig. Relative maps for the SNPs examined in this study.** (A) Six SNPs on *PER2* and (B) four SNPs on *PER3* are numbered serially, and their rs numbers, alleles reported, and allele frequencies of JPT in the HapMap database are shown respectively. (TIF)

**S2** Fig. Melatonin profiles of the subjects. (A) The raw data of melatonin concentration in all the subjects. The bold line shows the average. The start time of light exposure (0 h) was individually set 3 and 3.5 hours before the midpoint of sleep of each subject. There was a large interindividual difference. The dots shows the melatonin concentration measured at home at the same time as 3 hours after light exposure (3 h) 2 days before the experiment. (B) The percentage of melatonin concentration (left vertical axis) and the percentage of melatonin suppression by light (right vertical axis), which were calculated based on the data before light exposure (0 h). Although melatonin concentration increased during dim light in all the subjects, there was a large interindividual difference in the percentage of melatonin suppression. (TIF)

**S3 Fig.** Ancestral allele frequencies of SNPs (A) in *PER2* and (B) in *PER3*. Three groups, Subjects, Northern Kyushu and Ryukyu were genotyped in this study. N represents the number of individuals.

(TIF)

(TIF)

**S4 Fig. Haplotype frequencies for (A)** *PER2* **and (B)** *PER3*. The numbers of local populations included in the geographical region are shown in parentheses. N represents the numbers of the individuals. The combined frequencies of the remaining haplotype (Residuals) are less than 1.0% in all the geographical regions. (TIF)

S5 Fig. Comparison of the distributions of percentages of melatonin suppression for major haplotypes of (A) *PER2* and (B) *PER3*. The thick middle lines in the boxes represent the medians, and the tops and bottoms of the boxes represent the third and the first quartiles, respectively. One dot represents one chromosome, and the numbers of chromosomes, n, are shown in parentheses.



S6 Fig. Comparison of the distributions of (A) percentages of pupil constriction and (B) MEQ score for three major haplotypes of *PER2*. Thick middle lines in the boxes represent the medians, and the tops and bottoms of the boxes represent the third and the first quartiles, respectively. One dot represents one chromosome, and the numbers of chromosomes, n, are shown in parentheses. (TIF)

S7 Fig. Comparison of the distributions of (A) percentages of pupil constriction and (B) MEQ score for four major haplotypes of *PER3*. The thick middle lines in the boxes represent the medians, and the tops and bottoms of the boxes represent the third and the first quartiles, respectively. One dot represents one chromosome, and the numbers of chromosomes, n, are shown in parentheses.

(TIF)

S8 Fig. Difference of changes in percentages of melatonin suppression between the genotypes of *PER2*. The percentages of melatonin suppression by light exposure in Hap1, Hap2, and Hap3 homozygotes. The data are shown as the mean + SD. (TIF)

S9 Fig. Comparison of the distributions of percentages of melatonin suppression for *PER2* alleles of (A) SNP1, (B) SNP5, and (C) SNP6. The thick middle lines in the boxes represent the medians, and the tops and bottoms of the boxes represent the third and the first quartiles, respectively. One dot represents one chromosome, and the numbers of chromosomes, n, are shown in parentheses. The Kruskal-Wallis test shows statistically significant differences. (TIF)

S10 Fig. An NJ tree for the *PER2* intron sequences and the divergence times of the haplotypes with 1000 bootstrap replicates. The phased sequences that had homozygotes of three major haplotypes (Hap1, Hap2, and Hap3) in YRI, CEU, and JPT were obtained from the 1000 Genome Project (phase 3) database. The arrows represent divergence times. (TIF)

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