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# Cardinal parameter meta-regression models describing Listeria monocytogenes growth in broth



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#### ABSTRACT

Since Listeria monocytogenes has a high case-fatality rate, substantial research has been devoted to estimate its growth rate under different conditions of temperature, pH and water activity  $(a_w)$ . In this study, published findings on L. monocytogenes growth in broth were extracted and unified by constructing meta-regression models based on cardinal models for (i) temperature (CM[T]), (ii) temperature and pH (CM[T][pH]), and (iii) temperature, pH and a<sub>w</sub> (CM[T][pH][a<sub>w</sub>]). After assessing all the sources retrieved between 1988 until 2017, fortynine primary studies were considered appropriate for inclusion. Apart from the modelling variables, study characteristics such as: type of broth (BHI, TSB, TPB), reading method (colony-forming-units, CFU; or binarydilution optical density methods, OD), inoculum concentration and strain serotype, were also extracted. Metaregressions based on CM[T] and CM[T][pH] were fitted on subsets of the 2009 growth rate measures and revealed that type of broth and reading method significantly modulated the cardinal parameter estimates. In the most parsimonious CM[T][pH][aw] meta-regression model, whereby the variability due to type of broth was extracted in a nested random-effects structure, the optimum growth rate  $\mu_{\rm opt}$  of L. monocytogenes was found to be lower when measured as CFU (0.947 log CFU/h; SE = 0.094 log CFU/h) than when measured as OD (1.289 log CFU/h; SE = 0.092 log CFU/h). Such a model produced the following cardinal estimates:  $T_{\text{min}} = -1.273$  °C (SE = 0.179 °C),  $T_{opt}$  = 37.26 °C (SE = 0.688 °C),  $T_{max}$  = 45.12 °C (SE = 0.013 °C),  $pH_{min}$  = 4.303 (SE = 0.014),  $pH_{\text{opt}}$  = 7.085 (SE = 0.080),  $pH_{\text{max}}$  = 9.483 (SE = 0.080),  $a_w$  min = 0.894 (SE = 0.002) and  $a_w$  $_{\text{opt}}$  = 0.995 (SE = 0.001). Integrating the outcomes from numerous L. monocytogenes growth experiments, this meta-analysis has estimated pooled cardinal parameters that can be used as reference values in quantitative risk assessment studies.

#### 1. Introduction

Listeria monocytogenes is an infectious pathogen that has high ability to survive various environmental stresses and continue to grow at refrigeration temperatures during the shelf-life of some food product categories. The consumption of food contaminated with this bacterium can lead to listeriosis, an infection with high morbidity, hospitalisation, and mortality rates ([Schlech & Acheson, 2000](#page-11-0)). In 2018, in the European Union (EU), the case fatality was 15.6%, making listeriosis one of the most serious foodborne illnesses under EU surveillance ([EFSA &](#page-10-0) [ECDC, 2019](#page-10-0)). Moreover, among the cases with information on hospitalisation status, 97% were hospitalised [\(EFSA & ECDC, 2019\)](#page-10-0).

Occurrence data in ready-to-eat (RTE) food samples has revealed

that, in the EU, in 2017, L. monocytogenes occurrence was highest in fish and fishery products (6%), RTE salads (4.2%), RTE meat and meat products (1.8%), soft and semi-soft cheeses (0.9%), fruits and vegetables (0.6%) and hard cheeses (0.1%) [\(EFSA & ECDC, 2018\)](#page-10-1), while in 2018, the occurrence of L. monocytogenes ranged from 0.09% for "hard cheeses made from pasteurised milk" up to 3.1% for RTE bovine meat ([EFSA & ECDC, 2019\)](#page-10-0). Even though general, healthy population can be susceptible to listeriosis infection, the main groups of risk are people over 65 years-old, women aged 25–44 and children below 1 year of age (believed to be mainly pregnancy-related), and immunocompromised patients or patients with a higher number of severe comorbidities [\(EFSA](#page-10-2) [BIOHAZ Panel, 2018\)](#page-10-2).

Since one of the main goals and responsibilities of the food industry

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temperature, pH and aw.

## 2. Methodology

Electronic, systematic literature search was carried out in Web of Science, PubMed and Scopus databases, as well as Google Scholar, to find suitable scientific articles, written in English, describing maximum growth rates of L. monocytogenes in broth. The search aimed to find quality studies validated by the scientific community.

The bibliographic searches were conducted by properly applying the AND and OR logical connectors to combine terms regarding L. monocytogenes growth, intrinsic/extrinsic factors, and growth models. The combination of keywords used in Web of Science, PubMed and Scopus databases were the following: (Listeria monocytogenes) AND (challenge OR fate OR inocul\*) AND (growth) AND ("square-root" OR cardinal OR "secondary model" OR gamma) AND (minimum OR maximum OR optimal OR "growth rate" OR MIC OR isotherm\* OR temperature OR storage OR pH OR "water activity" OR "organic acid"). In Google Scholar the keywords used were: (Listeria monocytogenes) AND (pH OR temperature OR water activity OR organic acid) AND (growth).

When studies referenced in the collected articles were not present in the results of the literature search, said references were added. In addition, growth experiments data from ComBase and Nestlé Challenge Test Database were also included. From these two sources, growth rate data from several organisations and/or companies were obtained. Other meta-analysis studies and systematic reviews were excluded, to avoid data duplication. The criteria for inclusion of data were: minimum of 4 data points (preferentially in the exponential phase); only experiments performed under aerobic conditions (or modified atmosphere where O<sub>2</sub> is estimated to be around 16–20%); absence of antimicrobial substances (essential oil and plant extracts, for instance), nitrates, or any other additive, and no treatments (such as irradiation); absence of competitive bacteria (no studies where several bacteria were inoculated simultaneously); dataset where  $t_0$  (first sampling) is less than or equal to 10 h; growth data reported as OD/h included only if a calibration curve was provided to allow transformation to log CFU/h; and growth rates obtained at temperature greater than or equal to −2 °C.

In order to obtain precise estimates of the cardinal parameters and reflect the quality of research design, specific weights were assigned to each primary study (j) as a proportion between the number of time points (n) sampled along the experiment to calculate the growth rate, and the maximum number of times points sampled described in the database ( $n = 45$ ). When a source did not present the number of time points sampled to calculate the growth rate, the lowest weight value from the data set (i.e.,  $n = 4$ ) was assigned. Furthermore, for a primary study to be included in the meta-analysis, it had to report growth rate estimates from at least three different environmental conditions, or alternatively, to provide the data from at least three growth experiments so that the growth rate estimates could be computed thereof. This requisite was established to reduce imbalance in data structure, and to extract more accurately the random effects since their subject of variation is primarily the study in all meta-regressions.

Thus, after assessing all the information from the 279 recovered publications, forty-nine primary studies (see Supplementary File 1) published from 1988 until 2017 were considered appropriate for inclusion: they were comprised of forty published scientific articles; one unpublished article; and data from eight organisations/companies that had no reference regarding the year of data collection. From each of the forty-nine primary studies, the following data were extracted: maximum growth rate, standard error of the growth rate, temperature (°C), pH, a<sub>w</sub>, type of broth (brain heart infusion [BHI], tryptic soy broth [TSB], tryptose phosphate broth [TPB] or undefined [UND]), reading method (colony-forming-units [CFU] or binary-dilution optical density methods [OD]), inoculum concentration (log CFU/g or CFU/mL), strain serotype, number of time points for the growth experiment, and primary model used for growth rate determination. Growth data reported

is to provide safe products to the consumers [\(Regulation \(EU\) No.](#page-10-3) [2073/2005](#page-10-3)), and considering the recently reported prevalence values and the occurrence of several outbreaks every year – fourteen in 2018 ([EFSA & ECDC, 2019](#page-10-0)), ten in 2017 [\(EFSA & ECDC, 2018\)](#page-10-1) – it can be suggested that the food industry would benefit from specific tools that can help perform safety assessments, and reduce the contamination of food products by L. monocytogenes. In this sense, predictive microbiology modelling is a tool that can be used to produce safe foods by evaluating if the control measures in place are able to prevent the proliferation of the pathogens to levels that might compromise consumers' health. The interest in this area has increased, and several models, based on the gamma concept proposed by [Zwietering, Wijtzes,](#page-11-1) [De Wit, and Riet \(1992\)](#page-11-1), have been devised to predict microbial behaviour considering extrinsic and intrinsic factors (such as temperature, pH, water activity, etc.): [Lambert and Bidlas \(2007\), Baka, Van](#page-11-2) [Derlinden, Boon, Mertens, and Van Impe \(2013\) and Nyhan, Begley,](#page-11-2) [Mutel, Qu, Johnson, and Callanan \(2018\),](#page-11-2) to mention some. The gamma concept is based on the assumptions that: (i) the factors affecting microbial growth are independent and can be represented by a discrete term that is multiplied by other terms describing the effect of additional growth rate affecting factors; and (ii) each factor can be expressed as a fraction of the maximum growth rate,  $\mu_{\text{max}}$ , and therefore each factor is normalised between 0 and 1 [\(Zwietering, Wijtzes, De Wit,](#page-11-1) [& Riet, 1992\)](#page-11-1).

Introduced by [Rosso, Lobry, Bajard, and Flandrois \(1995\)](#page-11-3), the cardinal parameter models (CPM) were developed according to the gamma concept, and have been widely used, as their advantage is the biological interpretation of the parameters ([McKellar & Lu, 2004\)](#page-11-4), named cardinal values, which are linked to the minimum, optimal and maximum values that enable bacterial growth for each factor. The following equation displays a CPM that includes the effect of temperature (T), water activity ( $a_w$ ) and pH, that modifies the optimum growth rate  $\mu_{\text{opt}}$ ,

$$
\mu_{max} = \mu_{opt} \cdot CM_2(T) \cdot CM_2(a_w) \cdot CM_1(pH) \tag{1}
$$

*CMn* describes the relative effects of the factor X on microbial growth rates [\(McKellar & Lu, 2004](#page-11-4)), as follows,

$$
CM_{n} = \n\begin{cases}\n0, X \leq X_{\min} \\
\frac{(X - X_{\max})(X - X_{\min})^{n}}{(X_{\text{opt}} - X_{\min})^{n-1} \cdot \left[\frac{(X_{\text{opt}} - X_{\min})(X - X_{\text{opt}}) - (X_{\text{opt}} - X_{\max})}{((n-1)X_{\text{opt}} + X_{\min} - n \cdot X)}\right]}, X_{\min} < X < X_{\max} \\
0, X \geq X_{\max}\n\end{cases}
$$
\n(2)

where  $X_{\text{min}}$  and  $X_{\text{max}}$  are, respectively, the values of  $X_i$  below and above which no growth occurs;  $X_{opt}$  is the value at which bacterial growth is optimum (all terms are equal to 1 and therefore  $\mu_{\text{max}} = \mu_{\text{opt}}$ ); and *n* is a shape parameter ( $n = 2$  for temperature and water activity; and  $n = 1$  for pH; according to [Augustin and Carlier \(2000a\) and](#page-10-4) [McKellar and Lu \(2004\).](#page-10-4)

Cardinal values to be used in further analysis and risk assessment simulations must be accurate and representative. In conventional cardinal values studies, cardinal parameters are determined independently. In this study, however, cardinal values are extracted at once by a meta-analysis of the outcomes from microbial growth experiments taken from the literature. In fact, meta-analysis is a powerful statistical tool that enables the integration and summarisation of results from distinct sources by assigning weighted values to each study's effect size ([Xavier, Gonzales-Barron, Paula, Estevinho, & Cadavez, 2014](#page-11-5)). In this sense, the objective of this study was to extract all published data on L. monocytogenes growth in broth and unify them in order to: (i) understand the variability in cardinal parameter estimates due to moderators such as broth type and reading method; and (ii) obtain representative and comprehensive cardinal parameters for temperature,  $pH$  and  $a_w$  that can be useful as reference values. This was accomplished by constructing meta-regression models based on cardinal models for (i) temperature, (ii) temperature and pH, and (iii)

as OD/h was not included if a calibration curve was not provided. In cases where growth rate was not directly reported in the study, it was computed from the growth data by fitting the Baranyi and Roberts model [\(Baranyi & Roberts, 1994](#page-10-5)) using the Online DMFit tool from ComBase web interface. All the maximum growth rates  $(\mu_{\text{max}})$ , retrieved or calculated, were standardised to log base 10 CFU/h, to make sure that when fitting the cardinal models on this response variable, the optimum growth rate  $(\mu_{\text{opt}})$  could be also obtained in this intuitive unit.

## 2.1. Fitting exploratory meta-regressions based on CM[temperature] and CM[temperature][pH]

Overall, the meta-analytical dataset comprised 2009 growth rate measures. Before fitting the overarching meta-regression based on the full CPM (CM[temperature][pH][ $a_w$ ]) to the full data set, two simpler models were adjusted, with the purpose of conducting exploratory analysis: the CPM for temperature (CM[temperature]) and the CPM for temperature and pH (CM[temperature][pH]). The CM[temperature] model

<span id="page-2-0"></span>
$$
\sqrt{\mu_{\text{max}}} = \sqrt{\mu_{\text{opt}} \cdot CM_2(T)} \tag{3}
$$

was built to estimate the cardinal values for temperature, restricting the meta-analytical data to the optimal conditions of pH (6.5  $\le$  pH  $\le$  8) and of  $a_w$  ( $a_w \ge 0.95$ ). The hypothesis about the "optimal conditions" of pH and  $a_w$  is that some sets of conditions, as the ones defined, are closer to optimal growth than others. The optimal range of pH was defined with such values as the meta-analytical CM[temperature][pH] model showed that the variation in pH from 6.5 to 7.5 did not cause large variability in  $\mu_{\text{max}}$  (see Supplementary File 2, Figure S2). Selecting optimal conditions of the factors that are not explanatory variables in the meta-regression model reduced the data set from 2009 to 1185 entries, and it was done to obtain representative and meaningful kinetic parameters. The CM[temperature] model (Eq. [\(3\)\)](#page-2-0) was fitted placing uncorrelated random effects due to primary study on the parameters  $T_{\text{min}}$ ,  $\mu_{\text{opt}}$  and  $T_{\text{opt}}$  assuming that these follow normal distributions with mean zero and standard deviations  $s<sub>u</sub>$ ,  $s<sub>v</sub>$  and  $s<sub>w</sub>$ , respectively.

The second model built, CM[temperature][pH],

$$
\sqrt{\mu_{\text{max}}} = \sqrt{\mu_{\text{opt}} \cdot CM_2(T) \cdot CM_1(pH)}
$$
\n(4)

included terms for temperature and pH, allowing the estimation of cardinal values for both factors. This model was adjusted to growth rates obtained at optimal conditions of  $a_w$  ( $a_w \ge 0.95$ ); this is, using a data subset consisting of 1880 entries. In the CM[temperature][pH] model, the non-correlated random effects with primary study as subject of variation were placed on the parameters  $\mu_{opt}$ ,  $T_{opt}$  and  $pH_{opt}$ , assuming that these follow normal distributions with mean zero and and standard deviations  $s_u$ ,  $s_v$  and  $s_w$ , respectively.

Models from Eqs. [\(3\) and \(4\)](#page-2-0) will be referred to as null models since they do not accommodate any study characteristics, also called moderators or descriptors. Moderators are variables that can be selected to be studied in depth according to the topic under investigation ([Xavier,](#page-11-5) [Gonzales-Barron, Paula, Estevinho, & Cadavez, 2014](#page-11-5)). From each null model, the between-study variance  $\tau^2$  was obtained.

The purpose behind increasing the complexity of the null models presented in Eqs. [\(3\) and \(4\)](#page-2-0) was to ascertain the proportion of between-study variability in the optimum growth and cardinal parameters that could be explained by the most important moderators [\(Borenstein,](#page-10-6) [Hedges, Higgins, & Rothstein, 2009](#page-10-6)). [Table 1](#page-2-1) compiles the study characteristics extracted from the primary studies as well as the distribution of growth rate data among their categories. Nonetheless, not all of them could be evaluated in the meta-regressions. The effects of moderators such as strain serotype and primary model on the model parameters could not be tested due to the uneven distribution of the data. On the other hand, the effect of inoculum level was not studied because this variable and reading method were highly confounded,

#### <span id="page-2-1"></span>Table 1

Number of growth rates per level of moderator available in the constructed database.

Moderator	Data available for each moderator's level
Reading method	CFU: 1232 OD: 777
Strain serotype	$1/2a$ : 154 1/2b: 89 $1/2c$ : 56 4ab: 80 4b: 1089 Undefined: 541
Medium subcategory	<b>TSB: 529</b> <b>TPB: 283</b> <b>BHI: 530</b> Undefined: 667
Inoculum level (log CFU/g or CFU/mL)	[2, 3[:15] [3, 4[:88] [4, 5[:30] $[5, 6.5]$ : 300
Primary model	Baranyi: 133 Gompertz: 4 Unstated: 1872

meaning that all growth rates originating from binary-dilution OD reading methods presented inoculum levels higher than 4 log CFU/g (or/ml), whereas growth rates from CFU reading methods showed the majority of inoculum levels between 2 and 3 log CFU/g (or/ml). Moreover, information regarding inoculum concentration was available for only  $\sim$ 20% of the entries. Thus, heterogeneity analysis could only be carried out for three moderators: type of broth, reading method and inoculum level.

The influence of a moderator was tested by setting it to affect each of the model parameters in separate mixed-effects regressions. For instance, to assess the effect of broth type as a moderator in the CM [temperature] model, four separate mixed-effects models had to be adjusted since such a model is defined by four parameters. Thus, the separate mixed-effects models would test broth type as a predictor of  $\mu_{\rm opt}$ , as a predictor of  $T_{\rm min}$ , as a predictor of  $T_{\rm opt}$  and as a predictor of  $T_{\text{max}}$ . These models will be referred to as models with moderator.

The influence of moderators was tested by introducing them one by one in the null model. Type of broth and reading method were tested as moderators, thereby producing a total of 8 separate models with moderator when using CM[temperature] as the basis equation (2 moderators by 4 parameters), and 14 models with moderator when using CM[temperature][pH] as the basis equation (2 moderators by 7 parameters). For every model with moderator, the proportion  $R^2$  of between-study variance explained by the moderator was calculated by comparing the residual between-study variance of the model with moderator ( $\tau_{res}^2$ ) with that of the null model ( $\tau^2$ ) ([Gonzales-Barron &](#page-10-7) [Butler, 2011\)](#page-10-7).

## 2.2. Fitting the final CM[temperature][pH][ $a_w$ ] meta-regression model

The overarching or final meta-regression model was based on the CM[temperature][pH][aw] equation,

$$
\sqrt{\mu_{\text{max}}} = \sqrt{\mu_{\text{opt}} \cdot CM_2(T) \cdot CM_1(pH) \cdot CM_2(a_w)}
$$
\n(5)

and contained terms for temperature,  $pH$  and  $a_w$ , in order to allow for the determination of cardinal values for all three factors. This model was adjusted to the full meta-analytical data set ( $N = 2009$ ) since now there was no restriction imposed to the values of temperature,  $pH$  or  $a_w$ .

The most parsimonious CPM-based meta-regression model fitted to

<span id="page-3-1"></span>Estimates of the meta-analytical CM[temperature] null model predicting the square root of the maximum growth rate [log CFU/h] of L. monocytogenes in broth of  $a_w \ge 0.95$  and  $6.5 \le pH \le 8$  (N = 1185), and the influence of moderators on the cardinal parameters when introduced one by one in the null model. Heterogeneity analysis comprises between-study variance ( $\tau^2$ ), residual between-study variance ( $\tau^2_{res}$ ) and explained between-study variance (R<sup>2</sup>).

<b>Null model</b>	Mean	<b>Standard error</b>	Pr >  t	<b>Heterogeneity analysis</b>	
Fixed effects					
$\mu_{\text{opt}}$	0.976	0.055	< 0.001		
$T_{\rm min}$	$-1.425$	0.729	0.051		
$T_{\rm max}$	44.36	0.215	< 0001		
$T_{\rm opt}$	38.17	0.576	< 0001		
Random effects					
$s_{u}$ $(T_{min})$	3.0706			$\tau^2 = 11.22$	
$S_v(\mu_{opt})$	0.2088				
$S_{\rm w}$ $(T_{\rm opt})$	1.3231				
s (residual)	0.0798				
With moderators	Mean	<b>Standard error</b>	$Pr> t /F^*$	$\tau^2$ <sub>res</sub>	$R^2$
Broth in $T_{\text{max}}$			< 0001	8.416	25.00
$T_{\text{max}}$ BHI	44.49	0.269	< 0.001		
$T_{\text{max}}$ TPB	43.57	2.476	< .0001		
$T_{\text{max}}$ TSB	40.62	1.346	< .0001		
$T_{\text{max}}$ UND	42.90	0.485	< 0001		
Broth in $T_{\min}$			< 0.001	7.437	33.60
$T_{\min}$ BHI	$-2.979$	1.095	0.006		
$T_{\min}$ TPB	$-1.277$	2.489	0.607		
$T_{\min}$ TSB	1.554	1.041	0.135		
$T_{\min}$ UND	$-2.527$	1.009	0.012		
Broth in $T_{opt}$			0.003	3.337	62.80
$T_{\text{opt}}$ BHI	36.86	0.247	< .0001		
$T_{\text{opt}}$ TPB	36.64	0.832	< .0001		
$T_{\text{opt}}$ TSB	37.44	0.988	< 0001		
$T_{opt}$ UND	37.38	0.728	< 0001		
Broth in $\mu_{opt}$			< 0.001	3.450	69.20
$\mu_{\rm opt}$ BHI	0.955	0.073	< .0001		
$\mu_{opt}$ TPB	0.977	0.238	< 0001		
$\mu_{\rm opt}$ TSB	0.674	0.100	< 0.001		
$\mu_{opt}$ UND	0.951	0.066	< 0.001		
Reading in $T_{\text{max}}$			< .0001	11.13	0.800
$T_{\text{max}}$ CFU	45.11	0.013	< 0001		
$T_{\text{max}}$ OD	44.16	0.244	< 0001		
Reading in $T_{\text{min}}$			0.803	10.36	7.500
$T_{\min}$ CFU	$-1.414$	0.297	< 0001		
$T_{\rm min}$ OD	$-0.491$	0.349	0.161		
Reading in $T_{\text{opt}}$			< 0.001	10.89	2.900
$T_{\text{opt}}$ CFU	39.25	0.685	< 0001		
$T_{\text{opt}}$ OD	37.08	0.758	< .0001		
Reading in $\mu_{\text{ont}}$			< 0.001	11.22	0.000
$\mu_{\rm opt}$ CFU	0.964	0.024	< .0001		
$\mu_{\rm opt}$ OD	1.109	0.016	< 0001		

\* Values of Pr > F shown in shaded rows represent the effect of the moderator (type of broth or reading) on the cardinal parameter, as assessed by analysis of variance.

the data was of the form,

 $\sqrt{\mu_{\text{maxrm}(j)}} = \sqrt{\mu_{\text{optrm}(j)}}$  ×

 $-T_{\text{max}}$  $\cdot$  $(T \frac{(T - T_{\text{max}}) \cdot (T - T_{\text{min}})^2}{-T_{\text{min}}) \cdot [(T_{\text{optm(i)}} - T_{\text{min}}) \cdot (T - T_{\text{optm(i)}})} \times$  $-(T_{\text{optm}(j)} - T_{\text{max}})(T_{\text{optm}(j)} + T_{\text{min}} - 2T)$  $T_{\text{optm}(i)} - T_{\text{min}} \cdot \left[ (T_{\text{optm}(i)} - T_{\text{min}}) \cdot (T - T_{\text{min}}) \right]$  $(T - T_{\text{max}}) \cdot (T - T_{\text{min}})$  $(T_{\text{optm}(i)} - T_{\text{min}}) \cdot [(T_{\text{optm}(i)} - T_{\text{min}}) \cdot (T - T_{\text{optm}(i)})]$  $T_{\text{max}}$  $\cdot (T - T_{\text{min}})^2$ optm(j)  $\blacksquare$   $I_{\text{min}}/I(1_{\text{optm}(j)} - I_{\text{min}})/(1 - I_{\text{optm}(j)})$ 

 $-pH_{\text{max}}(pH -pH_{\min}$ )· $(pH -(pH_{\text{optm(i)}}-pH_{\text{max}})(pH_{\text{min}}-pH_{\text{min}})$  $\frac{(pH - pH_{\text{max}}) \cdot (pH - pH_{\text{min}})}{pH_{\text{ontm(i)}} - pH_{\text{min}}) \cdot (pH - pH_{\text{ontm(i)}})} \times$  $pH_{\text{optm(i)}} - pH_{\text{max}}(pH_{\text{min}} - pH)$  $(pH - pH_{max})\cdot (pH - pH_{min})$  $[(pH_{\text{ontm}(i)} - pH_{\text{min}}) \cdot (pH - pH_{\text{ontm}(i)})]$  $(pH_{\text{ontm(i)}} - pH_{\text{max}})(pH_{\text{min}} - pH)]$  $\max$ <sup>r</sup>  $\varphi$ <sup>*i*</sup> =  $\varphi$ <sup>*i*</sup><sub>min</sub>  $\delta_{\text{optm}(j)} = P^{I I_{\text{min}}} P^{I I_{\text{min}}} = P^{I I_{\text{optm}(j)}}$ optm(j)  $P^{I1}$ max  $\mathcal{N}$  $P^{I1}$ min

$$
\frac{(aw - 1.00) \cdot (aw - aw_{\min})^2}{(aw_{\text{optm}(j)} - aw_{\min}) \cdot [(aw_{\text{optm}(j)} - aw_{\min}) \cdot (aw - aw_{\text{optm}(j)})} + \varepsilon_{rm(j)}
$$
\n
$$
-(aw_{\text{optm}(j)} - 1.00)(aw_{\text{optm}(j)} + aw_{\min} - 2aw)]
$$
\n
$$
\mu_{\text{optrm}(j)} = \mu_{\text{opt}} + u_{m(j)} = (\beta_0 + \beta_1 \text{Reading}) + (u_m + u_{m(j)})
$$
\n
$$
T_{\text{optm}(j)} = T_{\text{opt}} + (v_m + v_{m(j)})
$$
\n
$$
pH_{\text{optm}(j)} = pH_{\text{opt}} + (w_m + w_{m(j)})
$$
\n
$$
aw_{\text{optm}(j)} = aw_{\text{opt}} + (z_m + z_{m(j)})
$$
\n(6)

<span id="page-3-0"></span>where  $\mu_{\rm opt\;rm}$  ,  $T_{\rm opt\;m(j)},$   $pH_{\rm opt\;m(j)}$  and  $a w_{\rm opt\;m(j)}$  were allowed to take in the random shifts  $u_m + u_{m(j)}, v_m + v_{m(j)}, w_m + w_{m(j)}$  and  $z_m + z_{m(j)}$ , respectively, as realisations of the primary study j nested in broth type  $m$ ; while, in addition, the type of reading  $r$  was presumed to modulate

<span id="page-4-0"></span>

Fig. 1. Overall influence of type of broth as moderator affecting the optimum growth rate on the CM[temperature] meta-analytical model of L. monocytogenes in broth of optimum  $a_w$  ( $\geq$  0.95) and pH (6.5  $\leq$  pH  $\leq$  8).

 $\mu_{\text{opt rm}(j)}$  as a fixed effect. The random effects  $u_m$ ,  $v_m$ ,  $w_m$  and  $z_m$  were assumed to distribute independently as normal distributions with mean zero and standard deviations  $s_u$  m,  $s_v$  m,  $s_w$  m and  $s_z$  m, respectively. Likewise, the random effects  $u_{m(j)}$ ,  $v_{m(j)}$ ,  $w_{m(j)}$  and  $z_{m(j)}$  were assumed to follow normal distributions with mean zero and standard deviations  $s_u$  $m(i)$ ,  $s_{v m(i)}$ ,  $s_{w m(i)}$  and  $s_{z m(i)}$ , respectively. The residuals  $\varepsilon_{m(i)}$  followed a normal distribution with mean zero and standard deviation s. The parameters extracted from this model were:  $\mu_{opt}$  r=1,  $\mu_{opt}$  r=2,  $T_{opt}$ ,  $pH_{\text{opt}}$ ,  $a_{\text{wopt}}$ ,  $T_{\text{min}}$ ,  $pH_{\text{min}}$ ,  $a_{\text{wmin}}$ ,  $T_{\text{max}}$  and  $pH_{\text{max}}$ . Nested random effects were fitted as uncorrelated, because the models tested with correlated random effects structure did not improve the fitting quality. As with the simpler CM[temperature] and CM[temperature][pH] models, the CM [temperature][pH][ $a_w$ ] equation was fitted in its null form (without moderators) in order to extract  $\tau^2$  to perform heterogeneity analysis. The proportion  $R^2$  of between-study variance explained by the moderators was calculated after fitting the full model of Eq. [\(6\).](#page-3-0)

All the meta-regression models were fitted as weighted nonlinear mixed-effects models using the nlme function from the nlme package (v3.1–142; [Pinheiro et al., 2019](#page-11-6)) implemented in R Studio version 1.0.136 [\(R Core Team, 2019](#page-11-7)). Model parameters, as affected by moderators, were calculated from the fitted meta-regressions, and the significance of moderators was evaluated by analysis of variance  $(\alpha = 0.05)$ .

#### 3. Results and discussion

#### 3.1. CM[temperature] meta-regression model

The results of the first meta-regression model, CM[temperature], are presented in [Table 2.](#page-3-1) Analysing the  $\tau_{\rm res}^2$  values of the models with moderators (for example,  $\tau_{\rm res}^2 = 3.450$  for broth type in  $\mu_{\rm opt}$ ), a decrease can be observed when comparing to the  $\tau^2$  values of the null model  $(\tau^2 = 11.22)$ . This means the moderator is responsible for some of the observed between-study heterogeneity, even if there is some remaining unexplained variability. This can also be seen by the  $R^2$  value, where for example the broth type explains 25% of the variability in  $T_{\text{max}}$  estimates, but there is still 75% of heterogeneity that cannot be accounted for by this moderator alone.

The present meta-regression showed that the reading method was a source of between-study variability to some extent ( $R^2 = 0.8 - 7.5\%$ ), however not as important as the type of broth, which was responsible for 25 – 70% of the heterogeneity. The highest  $R^2$  was obtained when the broth type was set to affect the optimum growth rate. [Fig. 1,](#page-4-0) constructed with basis on this model, depicts the influence of type of broth

(BHI, TPB and TSB) on the maximum growth rate; the UND (undefined) category was not displayed as it represents a collection of unnamed broths for comparison purposes only. TSB shows a particular impact on the maximum growth rate when compared to the other two broths, BHI and TPB. In fact, from [Table 2](#page-3-1), it is noticeable that all cardinal parameters estimates from TSB revealed fairly different means in comparison to estimates for BHI, TPB and UND growth rates:  $T_{\text{max}}$  and  $\mu_{\text{opt}}$ were clearly lower, while  $T_{\text{min}}$  and  $T_{\text{opt}}$  were higher. For additional insight, a plot of the growth rates of L. monocytogenes retrieved from literature in distinct types of broth (BHI, TPB and TSB) of optimum  $a_w$  $(≥0.95)$  and pH (6.5  $≤$  pH  $≤$  8) as a function of temperature is available in the Supplementary File 2 (Fig. S1).

From the meta-analytical data set, the effect of inoculum level on the estimated cardinal parameters could not be elucidated because the variables inoculum level and reading method were highly confounded, as previously referred. Although available literature suggests that the inoculum concentration has no effect on the cardinal parameters determined [\(Gnanou Besse et al., 2006](#page-10-8)), this hypothesis may be difficult to confirm through meta-analysis, since, generally, higher inoculum levels are associated with optical density reading methods, while lower inoculum concentrations are commonly used when CFU reading methods are chosen, as our meta-analysis study reveals.

In terms of standard errors (SE), cardinal values estimated from TPB broth growth rates revealed generally higher values than standard errors of cardinal values from other media. The only exception was the SE of  $T_{\text{opt}}$  from TSB (0.988), that was higher than the SE of the same cardinal value from TPB (0.832). Overall, it is likely that the increased standard errors in cardinal values estimated from TPB broth were caused by the lower amount of growth rate data originating from this medium ( $N = 283$ ), when compared to data from BHI ( $N = 530$ ) or TSB  $(N = 529)$  broths.

The mixed-effects models incorporating reading method as a moderator revealed significant differences between CFU and OD methodologies when estimating  $T_{\text{max}}$ ,  $T_{\text{opt}}$  and  $\mu_{\text{opt}}$ . On the other hand,  $T_{\text{min}}$ estimates did not appear to be statistically different when using CFU or OD reading methods ( $p = 0.803$ ).

## 3.2. CM[temperature][pH] meta-regression model

Considering the outcomes of the first meta-regression model, specifically that reading method and broth type should be included as moderators to account for the existing variability between growth rates extracted from literature, the CM[temperature][pH] meta-regression was built, and the results are shown in [Table 3.](#page-5-0) In this model, the variances of the random effects were significant only for  $\mu_{\text{opt}}$ ,  $T_{\text{opt}}$  and  $pH_{\text{opt}}$ . Thus, the random effects for the other parameters ( $T_{\text{min}}$ ,  $pH_{\text{min}}$ ,  $T_{\text{max}}$  and  $pH_{\text{max}}$ ) were dropped from the model and are not presented in [Table 3](#page-5-0).

The main noticeable difference is the large reduction in heterogeneity. In the CM[temperature], the data was taken from broth of a wide range of pH (6.5  $\le$  pH  $\le$  8), whose value was not accounted for in the model. In the CM[temperature][pH] model, the between-study variance ( $\tau^2$  = 3.949) and the residual between-study variance ( $\tau_{res}^2$ ) both decreased considerably, which means that including the pH term was a substantial contribution to reducing the variability previously observed in the CM[temperature] meta-regression. If the pH range defining "optimal conditions" had been restricted for the CM[temperature] analysis, the number of observations of the data set would have decreased, and very likely the  $\tau^2$  (between-study heterogeneity in growth rate) of the null model would have been even smaller. Nonetheless, the selection of the pH interval only aimed at conducting an exploratory analysis of the data to assess the relative importance of the moderating variables in explaining the between-study variability in growth rate.

In this second model, the type of broth was, once again, able to explain a large percentage of the heterogeneity between studies

<span id="page-5-0"></span>Estimates of the meta-analytical CM[temperature][pH] null model predicting the square root of the maximum growth rate [log CFU/h] of L. monocytogenes in broth of  $a_w \ge 0.95$  (N = 1880), and the influence of moderators on the cardinal parameters when introduced one by one in the null model. Heterogeneity analysis comprises between-study variance ( $\tau^2$ ), residual between-study variance ( $\tau^2_{res}$ ) and explained between-study variance ( $\mathbb{R}^2$ ).

Null model	Mean	Standard error	Pr >  t	<b>Heterogeneity analysis</b>	
Fixed effects					
$\mu_{\text{opt}}$	0.953	0.058	< 0.001		
$T_{\rm min}$	$-1.073$	0.208	< 0.001		
$T_{\rm max}$	45.11	0.011	< 0001		
$T_{\mathrm{opt}}$	37.91	0.601	< 0.001		
$pH_{\min}$	4.295	0.010	< 0.001		
$pH_{\text{max}}$	9.451	0.138	< 0.001		
$pH_{\text{opt}}$	7.062	0.120	< 0.001		
Random effects	0.297			$\tau^2 = 3.949$	
$S_u(\mu_{opt})$	1.930				
$S_{v}$ $(T_{opt})$ $S_w(pH_{opt})$	0.369				
s (residual)	0.081				
With moderators	Mean	Standard error	Pr> t /F*	$\tau^2$ <sub>res</sub>	$\mathbb{R}^2$
Broth in $T_{\text{max}}$			< .0001	0.075	98.10
$T_{\rm max}$ BHI	44.51	0.266	< 0.001		
$T_{\text{max}}$ TPB	41.33	1.526	< 0.001		
$T_{\text{max}}$ TSB	40.94	1.202	< 0001		
$T_{\text{max}}$ UND	42.92	0.466	< 0001		
Broth in $T_{\min}$			< 0001	3.803	3.700
$T_{\min}$ BHI	$-1.390$	0.377	< 0001		
$T_{\min}$ TPB	$-0.649$	0.454	0.153		
$T_{\min}$ TSB	0.346	0.432	0.423		
$T_{\min}$ UND	$-1.536$	0.370	< 0.001		
Broth in $T_{opt}$			< 0001	0.191	95.20
$T_{\text{opt}}$ BHI	36.85	0.228	< 0001		
$T_{opt}$ TPB	37.94	0.706	< 0.001		
$T_{\text{opt}}$ TSB	37.48	0.917	< 0001		
$T_{\text{opt}}$ UND	37.31	0.589	< 0.001		
Broth in $\mu_{opt}$			< 0001	3.851	2.400
$\mu_{\rm opt}$ BHI	0.947	0.083	< 0.001		
$\mu_{opt}$ TPB	1.058	0.295	< 0001		
$\mu_{opt}$ TSB	0.805	0.105	< 0001		
$\mu_{opt}$ UND	1.032	0.075	< 0.001		
Broth in $pH_{opt}$			< 0001	3.851	2.400
$pH_{opt}$ BHI	6.984	0.156	< 0001		
$pH_{opt}$ TPB	7.144	0.104	< 0.001		
$pH_{opt}$ TSB	7.653	0.160	< 0.001		
$pH_{opt}$ UND	6.993	0.097	< 0001		
Broth in $pH_{min}$			< 0.001	0.300	92.40
$pH_{\min}$ BHI	4.471	0.019	< 0.001		
$pH_{\min}$ TPB	4.194	0.064	< 0.001		
$pH_{\min}$ TSB	4.201	0.060	< 0.001		
$pH_{\min}$ UND	4.264	0.041	< 0001		
Broth in $pH_{\text{max}}$			< 0001	0.078	98.00
$pH_{\text{max}}$ BHI	8.453	0.040	< 0001		
$pH_{\text{max}}$ TPB	8.317	1.085	< 0.001		
$pH_{\text{max}}$ TSB	8.399	0.697	< 0.001		
$pH_{\text{max}}$ UND	9.479	0.146	< 0.001		
Reading in $T_{\text{max}}$			< 0.001	3.940	0.200
$T_{\text{max}}$ CFU	43.81	0.328	< 0001		
$T_{\text{max}}$ OD	43.91	0.174	< 0001		
Reading in $T_{\text{min}}$			0.947	3.950	0.000
$T_{\rm min}$ CFU	$-1.159$	0.242	< 0001		
$T_{\min}$ OD	$-0.574$	0.340	0.091		
Reading in $T_{\text{opt}}$			< 0.001	0.255	93.50
$T_{\text{opt}}$ CFU	38.43	0.366	< 0.001		
$T_{\text{opt}}$ OD	36.79	0.202	< 0.001		
Reading in $\mu_{\text{opt}}$			< 0.001	3.949	0.000
$\mu_{\rm opt}$ CFU	0.898	0.072	< .0001		
$\mu_{opt}$ OD	1.401	0.087	< 0.001		
Reading in $pH_{\text{opt}}$			< 0.001	3.949	0.000
$pH_{\text{opt}}$ CFU	5.926	0.233	< 0001		
$pH_{opt}$ OD	6.263	0.265	< .0001		
Reading in $pH_{min}$			< .0001	3.753	5.000
$pH_{\min}$ CFU	4.255	0.027	< 0.001		
$pH_{\min}$ OD	4.468	0.023	< 0.001		
Reading in $pH_{\text{max}}$			0.002	3.950	0.000
$pH_{\text{max}}$ CFU	9.591	0.162	< 0001		
$pH_{\rm max}$ OD	8.365	0.385	< 0001		

<sup>\*</sup> Values of Pr > F shown in shaded rows represent the effect of the moderator (type of broth or reading) on the cardinal parameter, as assessed by analysis of variance.

<span id="page-6-0"></span>

Fig. 2. Predicted  $\sqrt{\mu}$  of L. monocytogenes in broth of optimum a<sub>w</sub> (≥0.95) at fixed values of pH (left) and temperature (right), solved from the meta-analytical CM [temperature][pH] null model.

<span id="page-6-1"></span>

Fig. 3. Predicted  $\sqrt{\mu}$  of L. monocytogenes as a function of temperature in broth of pH 5.5 and a<sub>w</sub> 0.94 (top), as a function of pH in broth of a<sub>w</sub> 0.94 at 30 °C (bottom left), and as a function of  $a_w$  in broth of pH 5.5 at 30 °C (bottom right); as affected by the reading method (OD vs. CFU) and solved from the meta-analytical CM [temperature][pH][a<sub>w</sub>] final model.

 $(R^{2} = 2.40-98.1\%)$ , even more than in the first null model (25-70%). This was caused by the inclusion of the pH term, which allowed to absorb some of the variability caused by broths of different pH values. This means that some variability that was only being attributed to the broth type in the CM[temperature] meta-regression model, was in fact also linked to the distinct pH values that each medium can present. Different types of media (either general all-purpose media, such as TSB, BHI and TPB, or selective) may present distinct initial pH values and

<span id="page-7-0"></span>Estimates of the meta-analytical CM[temperature][pH][ $a_w$ ] model predicting the square root of the maximum growth rate [log CFU/h] of L. monocytogenes in broth ( $N = 2009$ ). Heterogeneity analysis of the final model comprises between-study variance ( $\tau^2$ ), intra-class correlation (I<sup>2</sup>), residual between-study variance ( $\tau_{res}^2$ ) and explained between-study variance ( $\mathbb{R}^2$ ).

Final model	Mean	Standard error	Pr >  t	Heterogeneity
<b>Fixed effects</b>				
$\mu_{\rm opt}$				
<b>CFU</b>	0.947	0.085	< 0.0001	
<b>OD</b>	1.289	0.092	${}< 0.0001$	
$T_{\min}$	$-1.273$	0.179	${}< 0.0001$	
$T_{\rm max}$	45.12	0.013	< 0.0001	
$T_{\mathrm{opt}}$	37.26	0.688	${}< 0.0001$	
$pH_{\min}$	4.303	0.014	< 0.0001	Null model:
$pH_{\text{max}}$	9.483	0.111	< 0.0001	$\tau^2 = 13.60$
$pH_{\rm opt}$	7.085	0.080	< 0.0001	$I^2 = 94.4\%$
$a_{w \text{ min}}$	0.894	0.002	< 0.0001	
$a_{w}$ opt	0.995	0.001	${}< 0.0001$	
Nested random effects				
Broth m				
$S_{\text{u m}}(\mu_{\text{opt}})$	0.093			$\tau_{res}^2 = 11.49$
$S_{V \text{m}}(T_{\text{opt}})$	0.026			$R^2 = 15.5\%$
$s_{\rm w\,m}$ ( $pH_{\rm opt}$ )	0.001			
$S_{Z \text{m}}(a_{w \text{ opt}})$	0.001			
Study j in Broth m				
$S_{\text{u \text{m}(i)}}\left(\mu_{\text{opt}}\right)$	0.409			
$S_{V \text{m}(i)}(T_{\text{opt}})$	3.369			
$s_{w \text{m}(i)}$ (pH <sub>opt</sub> )	0.161			
$S_{Z \text{m}(i)} (a_{w \text{ opt}})$	0.002			
s (residual)	0.061			

buffering capacities. Moreover, the evolution of the pH has also shown to be dependent on the carbon source used ([Sánchez-Clemente, Igeño,](#page-11-8) [Población, Guijo, Merchán, & Blasco, 2018\)](#page-11-8), which may vary depending on the selected medium. These factors may be able to cause changes in extracellular pH, and thus are likely to influence bacterial cell growth ([Sánchez-Clemente, Igeño, Población, Guijo, Merchán, & Blasco, 2018](#page-11-8)). In this sense, further investigation on the effect of different types of media in L. monocytogenes growth and enumeration should be undertaken. Nevertheless, bacterial physiology (such as nutritional type, bacterial metabolism, bacterial viability, etc.) may also affect growth and should not be dismissed [\(Barer, 2012](#page-10-9)).

Regarding the influence of the reading method on the parameters, the inclusion of the pH term caused a considerable increase of the explained between-study variability in  $T_{opt}$  (R<sup>2</sup> = 93.5%), while reducing the  $R<sup>2</sup>$  of other models with moderators estimating different parameters. This means that the reading method influences  $T_{opt}$  estimation the most, leading to greater variability, in comparison to other cardinal values for temperature ( $T_{\text{max}}$ ,  $T_{\text{min}}$  and  $\mu_{\text{opt}}$ ) and pH ( $pH_{\text{max}}$ ,  $pH_{\text{min}}$  and  $pH_{\text{opt}}$ ).

The results of the null model in [Table 3](#page-5-0) were used to produce the graphs presented in [Fig. 2,](#page-6-0) that show the predicted square-roots of L. monocytogenes growth rates in broth of optimum  $a_w$  ( $\geq$ 0.95) at fixed values of pH and temperature. The aim of this figure is to demonstrate the great impact of sub-optimal conditions of pH and temperature on the optimum growth rate, which decreases as the conditions move further away from the optimum. Additional simulations for the optimal pH range selected (6.5  $\leq$  pH  $\leq$  8) are available in the Supplementary File 2 (Fig. S2) and demonstrate the reduced impact of pH values within the optimum range selected on the optimum growth rate.

#### 3.3. Final CM[temperature][pH][a<sub>w</sub>] meta-regression model

After evaluating the outcomes of the previous models, it became clear that the type of broth, having a strong influence on the cardinal parameters, was able to explain a substantial proportion of the total between-study variability. With this in mind, type of broth was

included in the final CM[temperature][pH][ $a_w$ ] meta-regression model in a random-effects structure of primary studies nested within type of broth.

On the other hand, even though the reading method has some effect on the cardinal parameters, as shown by the results of the CM[temperature] and CM[temperature][pH] models (see [Table 2 and 3](#page-3-1), respectively), in the final model, this moderator was only introduced as a predictor of  $\mu_{\text{opt}}$ , since no enhancement in goodness of fit was achieved if reading method was introduced to other cardinal parameters. Nonetheless, this moderator was indeed essential as a predictor of  $\mu_{\text{opt}}$ to absorb the variability caused by the two distinct reading methods. Thus, the optimum growth rate  $\mu_{opt}$  of L. monocytogenes was found to be lower when measured as CFU (0.967 log CFU/h;  $SE = 0.094$  log CFU/ h) than when measured as OD (1.324 log CFU/h;  $SE = 0.101 \log CFU/$ h). The influence of the distinct reading methods on  $\mu_{\text{opt}}$  can be seen in [Fig. 3.](#page-6-1)

The cardinal parameters, applicable to both reading types, were:  $T_{\text{min}} = -1.273 \text{ °C}$  (SE = 0.179 °C),  $T_{\text{opt}} = 37.26 \text{ °C}$  (SE = 0.688 °C),  $T_{\text{max}}$  = 45.12 °C (SE = 0.013 °C),  $pH_{\text{min}}$  = 4.303 (SE = 0.014),  $pH_{\text{opt}}$  = 7.085 (SE = 0.080),  $pH_{\text{max}}$  = 9.483 (SE = 0.111),  $a_w$  $_{\text{min}}$  = 0.894 (SE = 0.002) and  $a_{w \text{ opt}}$  = 0.995 (SE = 0.001) ([Table 4](#page-7-0)). The aforementioned cardinal parameters can be considered as pooled cardinal parameters since they represent the synthesis of 2009 microbial growth experiments.

Adjusting the CM[temperature][pH][ $a_w$ ] null model to the full data set ( $N = 2009$ ) produced a meta-analytical model of high intra-class variability ( $I^2$  = 94.4%). Nevertheless, the introduction of reading method as a moderator of  $\mu_{opt}$  explained 15.5% of such between-study variability [\(Table 4](#page-7-0) and [Fig. 3](#page-6-1)). The s values for broth and for study in broth shown in [Table 4](#page-7-0) correspond to the standard deviations of the nested random effects placed on  $\mu_{\rm opt,}$   $T_{\rm opt,}$   $pH_{\rm opt}$  and  $a_{w\ opt}$  . With higher values of  $s_{u \text{m}} (\mu_{opt})$  and  $s_{v \text{m}} (T_{opt})$ , as well as  $s_{u \text{m}(j)} (\mu_{opt})$  and  $s_{v \text{m}(j)}$  $(T_{\text{opt}})$ , this model shows that the type of broth produced more variability in the estimates of  $\mu_{opt}$  and  $T_{opt}$  than in  $pH_{opt}$  and  $a_{w opt}$ . However, since  $s_{v \text{m}(i)}$  ( $T_{\text{opt}}$ ) is considerably superior than  $s_{v \text{m}}$  ( $T_{\text{opt}}$ ), it is clear that the different studies introduced greater variability into  $T_{\text{opt}}$  than the medium type per se (3.369 vs. 0.026, respectively).

To demonstrate the usefulness of the multilevel final model in the estimation of  $\mu_{\text{max}}$ ,  $a_w$  or pH, [Figs. 4 and 5](#page-8-0) were constructed. The graphs from these figures show the predicted  $\sqrt{\mu}$  of L. monocytogenes, solved from the CM[temperature][pH][a<sub>w</sub>] meta-regression model, as a function of temperature ([Fig. 4](#page-8-0)) and water activity [\(Fig. 5\)](#page-9-0) in broth of different pH values (4.5, 5.5, 6.5) and at different water activities or temperatures, respectively, as measured by the CFU reading method. Additional simulations of the CM[temperature][pH][ $a_w$ ] meta-regression model considering optimal pH values (7, 7.5, 8) are available in the Supplementary File 2 (Figs. S3 and S4). These simulations show the predicted  $\sqrt{\mu}$  of *L. monocytogenes* as a function of temperature (Fig. S3) and water activity (Fig. S4) in broth of different pH values and at different water activities or temperatures, respectively, as measured by the CFU reading method. Fig. S3 shows that, in the optimum range of pH ( $> 6.5$ ), differences in  $a_w$  (also in the "optimum" range, from 0.95 onwards) cause considerable variation in the optimum growth rate. On the other hand, in the optimum range of  $a_w$  ( $> 0.95$ ), differences in pH (also in the "optimum" range, from 6.5 onwards) cause less variability in the optimum growth rate, as shown by Fig. S4 (note that  $\mu_{\text{max}}$  corresponding to each of the three pH values are similar at a given temperature). The final model has been made available online to perform simulations of L. monocytogenes growth: [https://vcadavez.shinyapps.io/](https://vcadavez.shinyapps.io/ListeriaCardinalModel) [ListeriaCardinalModel.](https://vcadavez.shinyapps.io/ListeriaCardinalModel)

In order to evaluate the quality of the CM[temperature][pH][a<sub>w</sub>] meta-regression, the histogram of Pearson's residuals was built, and the goodness-of-fit was assessed, as shown in [Fig. 6](#page-9-1). Since the residuals are symmetrically distributed around zero in the histogram, and the R of the goodness-of-fit is particularly high for a meta-analysis study  $(R = 0.974)$ , it can be stated that the model is robust, and that the

<span id="page-8-0"></span>

Fig. 4. Predicted  $\sqrt{\mu}$  of L. monocytogenes as a function of temperature in broth of pH 4.5 (top left), pH 5.5 (top right) and pH 6.5 (bottom) at a<sub>w</sub> values of 0.93, 0.96 and 0.99 as measured by the CFU reading method, solved from the meta-analytical CM[temperature][pH][a<sub>w</sub>] final model.

estimates produced by this final model are accurate and comprehensive values that may be used as reference when using cardinal parameter models. These results also validate the value of meta-analysis metaregression models in estimating cardinal parameters.

The models were also assessed in terms of any possible randomeffects correlation by estimating the terms of the covariance matrix from the null models (not shown). In the first model, CM[temperature], no significant correlation was found between any parameters, with the exception of the shifts in  $T_{\text{opt}}$  and  $\mu_{\text{opt}}$  (R = 0.492), which was expected and can be explained by the high variability of  $\mu$  at distinct temperatures. In the second meta-regression model, CM[temperature][pH], no correlation was found between the random shifts in the cardinal parameters; only the random effects of  $T_{\text{opt}}$  and  $\mu_{\text{opt}}$  showed some association (R = 0.458), unlike  $pH_{\text{opt}}$  and  $\mu_{\text{opt}}$  (R = 0.110), which once again demonstrated the anticipated high variability of  $\mu$  caused by temperature, in comparison to pH. Lastly, in the final model, CM[temperature] [pH][a<sub>w</sub>], the random-effects correlations between  $\mu_{opt}$  and  $T_{opt}$ ,  $pH_{opt}$ and  $a_{w}$  <sub>opt</sub> were R = 0.867, 0.285 and −0.357, respectively. All these values support the previous statements made for the first and second models; that shifts in  $\mu_{opt}$  due to primary study are correlated with shifts in  $T_{\text{opt}}$ . Furthermore, the correlation coefficient, which was positive in all cases, suggests that in a cardinal model, when  $T_{opt}$  is estimated at a higher value,  $\mu_{\text{opt}}$  also tends to be estimated at a higher value, and vice versa. Although in the three models, study-specific deviations in  $\mu_{\text{opt}}$ were found to be positively associated with study-specific deviations in  $T_{\text{opt}}$ , a correlated random-effects solution was not opted for in the final

model because it did not further improve the fitting quality of the uncorrelated random-effects meta-regression.

To benchmark our findings with the literature, a list of references regarding L. monocytogenes cardinal values was examined and the information was summarised in [Table 5](#page-10-10). From such table, it is possible to observe variability in the reported values. Among other causes, this may be the result of different authors using distinct strains in their experiments, reason why integrating strain variability into predictive models is crucial to achieve more accurate predictions, as emphasized by [Aryani, den Besten, Hazeleger, and Zwietering \(2015\).](#page-10-11) In our study, the inclusion of strain as a moderator was not possible due to uneven distribution of the data, as referred before. Overall, the estimates obtained from the developed CM[temperature][pH][a<sub>w</sub>] meta-regression model are in agreement with those from literature, considering the variability. Furthermore, they are within the range of variation of the cardinal values for temperature and pH referenced by Ellouze et al. (2010);  $a_{w \text{ min}}$  was the only parameter whose estimated value did not fit within the referenced range. Nonetheless, all the estimates from our model, including that for  $a_{w \text{ min}}$ , are aligned with the distributions described by Couvert et al. (2010).

The dispersion of the values reported in literature (in particular, for temperature) and the reduced amount of information for some factors (pH and  $a_w$ ) support the novelty and usefulness of our meta-regression model and its estimated cardinal parameters to be used as reference values in quantitative risk assessment studies.

<span id="page-9-0"></span>

<span id="page-9-1"></span>Fig. 5. Predicted  $\sqrt{\mu}$  of L. monocytogenes as a function of a<sub>w</sub> in broth of pH 4.5 (top left), pH 5.5 (top right) and pH 6.5 (bottom) at temperatures of 10, 20 and 30 °C as measured by the CFU reading method, solved from the meta-analytical CM[temperature][pH][a<sub>w</sub>] final model.



Fig. 6. Robustness of the meta-analytical final CM[temperature][pH][a<sub>w</sub>] of L. monocytogenes in broth: histogram of Pearson's residuals (left) and goodness-of-fit (right).

## 4. Conclusions

This study shows that the assessment of cardinal values using published growth experiments is possible, and valuable to provide an insight into the sources of variability. With this study, it was possible to observe the strong influence that the type of broth chosen for growing L. monocytogenes can exert on the kinetic parameters extracted by the cardinal parameter model. Reading method has also an important

<span id="page-10-10"></span>Estimated distributions, ranges, and values of cardinal parameters for growth of L. monocytogenes from literature.



<span id="page-10-17"></span>\* The distributions in Couvert et al. (2010) were provided by Sym'Previus (<http://symprevius.eu/en/>).

effect, but mainly on the optimum growth rate. Referring to the inoculum concentration as moderator, no conclusions could be drawn as this variable was highly confounded with the reading method. This study also revealed the challenge to estimate cardinal values when few data is available. To increase the precision of experimentally estimated cardinal values, the authors advise to perform a high number of experiments (especially close to the growth boundaries), as their estimation is more challenging than the estimation of parameters at optimum conditions.

By integrating the outcomes from numerous L. monocytogenes growth experiments gathered from 49 sources, the cardinal parameters for temperature, pH and  $a_w$  were obtained through a meta-regression approach. As such, these cardinal parameters are accurate estimates that can be used as reference values in process risk models and/or risk assessments. Nonetheless, as the model built uses data from experiments in broth, predictions in liquid and solid foods will require validation.

## CRediT authorship contribution statement

Beatriz Nunes Silva: Data curation, Formal analysis, Investigation, Writing - original draft, Validation. Vasco Cadavez: Conceptualization, Data curation, Formal analysis, Funding acquisition, Investigation, Methodology, Project administration, Writing - review & editing, Software, Resources, Supervision. José António Teixeira: Project administration, Supervision. Mariem Ellouze: Conceptualization, Data curation, Funding acquisition, Investigation, Project administration, Writing - review & editing, Visualization, Resources, Supervision. Ursula Gonzales-Barron: Conceptualization, Data curation, Formal analysis, Funding acquisition, Investigation, Methodology, Project administration, Writing - review & editing, Software, Resources, Supervision.

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## Appendix A. Supplementary data

Supplementary data to this article can be found online at [https://](https://doi.org/10.1016/j.foodres.2020.109476) [doi.org/10.1016/j.foodres.2020.109476.](https://doi.org/10.1016/j.foodres.2020.109476)

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