

1 Particle Swarm Optimization for damage identification in beam-like
2 structures

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4 **Authors:** Alberto Barontini^{a*}, Maria Giovanna Masciotta^a, Luis Ramos^a, Paulo Amado-Mendes ^b, Paulo
5 Lourenço^a

6 **Affiliation:**

7 ^a ISISE, University of Minho, Department of Civil Engineering, Campus de Azurém, Guimarães, 4800-058,
8 Portugal

9 E-mail: albe.barontini@gmail.com

10 mg.masciotta@gmail.com

11 lramos@civil.uminho.pt

12 pbl@civil.uminho.pt

13

14 ^b ISISE, University of Coimbra, Department of Civil Engineering, R. Luis Reis dos Santos 290, 3030-790
15 Coimbra, Portugal

16 E-mail: pamendes@dec.uc.pt

17 * Corresponding author Alberto Barontini.

18

19 **Abstract**

20 The main objectives of Structural Health Monitoring (SHM) are the characterization and the
21 assessment of the health condition of structural systems. Combined with appropriate Damage
22 Identification (DI) strategies, SHM aims to provide reliable information about the localization and
23 quantification of the structural damage by using an inverse formulation approach, with the damage
24 parameters being estimated from parametric changes in dynamical properties. Mathematically, an
25 inverse problem consists of the optimization of a function which represents the "distance" between the
26 experimental and the numerically-simulated features of the system. Such process requires the
27 development of a mock-up numerical model fairly representative of the system and iteratively updated
28 until a response as close as possible to the experimental one is provided. The minimization of the
29 difference between measured and predicted features' values is the objective function, whose global
30 minimum corresponds to the best adjustment of the model variables. Metaheuristic represents a large
31 class of global methods for optimization purposes able to outperform traditional methods in the
32 following aspects: ease of implementation, time consumption, suitability for non-linear, black-box and
33 high-dimensional problems. The present paper analyses, through a numerical experimentation
34 approach, the suitability of one of the best-known metaheuristics, i.e. the Particle Swarm Optimization
35 (PSO) algorithm, for DI of beam-like structures. Modal properties are used to define the objective

36 function and various algorithm instances are tested across different problem instances to assess
37 robustness and influence of the algorithm parameters.

38

39 **1. Introduction**

40 Nowadays, developing cost-effective and automatic strategies for the maintenance of built environment
41 is becoming essential, as many existing structures and infrastructures are close to the end of their
42 service life (or over) and the new ones are growing in number, size and complexity. Furthermore, the
43 costs of downtime and failure, the risk of injuries and life losses, as well as the repercussions that such
44 accidents may have on a higher level are almost unaffordable.

45 Structural Health Monitoring (SHM) is an ongoing field of research whose main aim is the
46 implementation of strategies for the assessment of the health condition of a structural system and the
47 prompt identification of damage – when no information about its location is available – in order to
48 avoid unexpected breakdowns and serious economic and societal losses. Damage Identification (DI)
49 strategies can be categorized according to five main goals of increasing complexity (Farrar & Worden,
50 2007): (1) detection of existence, (2) localization, (3) classification of the type, (4) quantification of
51 the extent and (5) prognosis of the remaining service life.

52 To achieve the higher goals, meaning at least up to the quantification of the damage extent, an inverse
53 model updating problem formulation is usually adopted, which consists in the minimization of an
54 objective function defined in terms of discrepancies between the features extracted by operational
55 modal analysis and those computed using a numerical or analytical model.

56 Experience demonstrated that Particle Swarm Optimization (PSO) algorithms, as other metaheuristics,
57 are suitable for the model updating as they do not need any knowledge of the function or of its
58 derivatives. Furthermore, there is no requirement regarding the characteristics of the objective function
59 itself, such as derivability or continuity, nor about the variables which can be continuous, discrete or
60 mixed. Being population-based metaheuristic algorithms, PSO can explore in parallel more possible
61 solutions in the same run and being also global methods, their performance does not depend on the
62 initial population of solutions.

63 Despite the advantages listed above, three main shortcomings of PSO are worth mentioning. First,
64 these methods are considered as sub-optimal. There is no guarantee that the achieved solution is the
65 optimal one, as well as there is no guarantee of the convergence to the overall optimum value.
66 Therefore, there is a risk of local optima trapping and premature convergence. Second, the canonical
67 version of the algorithm shows a tendency to suffer an uncontained increase of the velocity during the

68 process, also called explosion of the particles. Third, PSO algorithms, as the metaheuristics in general,
69 have a peculiar problem related to the parameter setting. Each algorithm, in fact, requires the definition
70 of several parameters, whose values can significantly affect the final performance.

71 The version of the PSO algorithm hereafter analysed relies on the inertia weight term, added to the
72 canonical formulation to prevent the explosion of the particles. Still, a specific analysis is required to
73 overcome the other two drawbacks. In literature, many strategies have been formulated to face the
74 premature convergence. In the DI field, most of the developed studies usually relied on multistage
75 approaches to reduce the number of candidate locations for the damages (Nanda, Maity, & Maiti, 2014;
76 Seyedpoor, 2012) and/or on improved version or hybridization of the canonical PSO (Kang, Li, & Xu,
77 2012; Kaveh, Javadi, & Maniat, 2014; Vakil Baghmisheh, Peimani, Sadeghi, Etefagh, & Tabrizi,
78 2012). Indeed, the size of the problem and the balance between exploration and exploitation (two key
79 stages of metaheuristic optimization algorithms) are likely to affect the performance in this regard. For
80 the sake of clarity, it is stressed that exploration refers to searching across the space collecting
81 information and providing a diversification of the possible solutions, whereas exploitation refers to
82 intensifying the investigation on a restricted area close to the best achieved solutions. However, the
83 balance between exploration and exploitation also depends on the parameter setting, which is
84 commonly an underrated task in the definition of the algorithm instance to use, although its importance
85 is well-known (Adenso-Díaz & Laguna, 2006).

86 Driven by the above considerations, the two main objectives of this paper are: (1) to test the PSO
87 formulation developed by Shi and Eberhart (Shi & Eberhart, 1998), one of the most basic and common
88 version, to demonstrate its suitability for damage identification in beam-like structures; (2) to show the
89 influence of parameter setting and how to perform it.

90 Using a basic version of the algorithm is important not only to exclude that the poor performances
91 observed in its application are due to an incorrect setting, but also to gain a deep knowledge of the
92 ongoing process, focusing on the aspects that need an improvement of the algorithm. The parameter
93 setting is herein achieved through the Design Of the Experiment (DOE), an approach for planning
94 experiments that aims at assessing the influence of different factors on the result so as to find their best
95 combination.

96 All the numerical simulations and the finite element models (FEM) are implemented in DIAMA (TNO,
97 Delft, The Netherlands) using a Python script for the routine.

98

99 2. Overview on PSO algorithms

100 PSO is a name which encompasses a group of optimization algorithms developed starting from the first
101 formulation by Kennedy and Eberhart (Kennedy & Eberhart, 1995) in 1995.

102 As inferable by the name, PSO algorithms draw inspiration from the social behavior of a swarm of
103 animals, as flock of birds or school of fishes, generally addressed as particles.

104 Regardless the following improvements, in the common framework of the method, each particle is
105 identified by its position and its velocity and keeps memory of the best position ever visited by itself
106 and by the one that went nearest to the target among all the swarm (in the so called Global or gbest-
107 PSO) or only among its neighborhood (in the so called Local or lbest-PSO). Based on this information,
108 each particle decides the velocity and moves to a new position. According to biological inspiration, the
109 solution achieved, at the t -th iteration, by the i -th particle of the p agents of the swarm, is called
110 position, X_i^t , and it is defined by the coordinates in a s -dimensional space, where s is the number of
111 variables x_{ij}^t composing the solution: $X_i^t = \{x_{i1}^t, x_{i2}^t, \dots, x_{is}^t\}$, $i = 1, 2, \dots, p$. The change ratio of the
112 position is called velocity.

113 A typical PSO algorithm works as follows: (1) the number of particles is defined, positions and initial
114 velocities are initialized, (2) the distance between the actual position and the target (objective function)
115 for each particle is evaluated, (3) the personal best position and the best position ever reached by a
116 member of the swarm are memorized or updated, (4) the velocity of each particle is updated and,
117 finally, (5) each position is updated. The process is repeated until the termination criteria are met.

118 In the version of the algorithm hereafter analysed, the position is updated according to the following
119 expression:

$$120 \quad x_{ij}^{t+1} = x_{ij}^t + v_{ij}^{t+1} \quad (1)$$

121 where v_{ij}^{t+1} is the velocity, x_{ij}^{t+1} is the position at the iteration $t + 1$ and x_{ij}^t the position at the iteration
122 t . The particle velocity is computed by:

$$123 \quad v_{ij}^{t+1} = wv_{ij}^t + C_1rand_1(Pbest_{ij} - x_{ij}^t) + C_2rand_2(Gbest_j - x_{ij}^t) \quad (2)$$

124 where C_1 and C_2 are positive weighting coefficients called learning factors, used to balance the
125 influence of individual and social experience; $rand_1$ and $rand_2$ are two random numbers varying in
126 between zero and one; vector $Pbest_{ij}$, $i = 1, 2, \dots, p$, $j = 1, 2, \dots, s$, is the best position ever reached by
127 the i -th agent and vector $Gbest_j$, $j = 1, 2, \dots, s$ is the best position ever reached by the flock, namely
128 the position of the agent nearest to the target since the beginning of the process.

129 The first term of the equation (2) represents the direction of the particle in the previous step (its inertia),
130 instead the second and the third terms represent the individual learning and the collective interaction,
131 respectively.

132 The inertia weight w was introduced by Shi and Eberhart (Shi & Eberhart, 1998) to control the velocity,
133 balancing exploration and exploitation. An adaptive term is suggested for w , since iteratively variable
134 values initially push on global search and then switch for a local search. An extensively used
135 formulation is the following:

$$136 \quad w = w_{max} - iter \frac{w_{max} - w_{min}}{iter_{max}} \quad (3)$$

137 where $iter$ indicates the current iteration, w_{max} is the initial weight, w_{min} is the final weight and
138 $iter_{max}$ stands for maximum iteration number.

139 A further precaution to prevent the explosion of the particles consists of the limitation of their velocity
140 range.

$$141 \quad v_{min} \leq v_i \leq v_{max} \quad (4)$$

142

143 **3. Design of the experiments**

144 Damage Identification is a constrained black-box optimization problem, since the decision variables
145 correspond to the damage extent in each location, with values varying between 0 (completely
146 collapsed) and 1 (no damage) and the objective function is not analytically known thus a FEM
147 simulation is required to estimate it. The design variables express the damage ratio in terms of reduction
148 of the Young modulus E . To avoid numerical problems, the lower bound of E is limited to 0.1 and an
149 identification precision of 1% is adopted, which means that the algorithm can distinguish between
150 damage extents that differs by 1%. Thus, from 10% to 100%, each element can assume 91 different
151 possible values of the damage extent and the problem size is 91^s , where s is the number of candidate
152 locations.

153 In the present study, a beam discretized in 20 elements is used to test the proposed PSO algorithm.
154 Each element is a candidate location, therefore the problem size results $PS = 91^{20} \approx 1.5 \cdot 10^{39}$. The
155 experiment is designed according to a two-levels factorial approach (see Table 1). There are four
156 analysed parameters: (1) coefficient C_1 , (2) coefficient C_2 , (3) final weight w_{min} and (4) population
157 size p .

158 The first three factors are the variables of the updating formula. Although the most common setting
159 uses $C_1 = C_2 = 2$ and $w_{min} = 0.4$ (Kang et al., 2012; Nanda et al., 2014; Seyedpoor, 2012), in the

160 present work, the two constants C_1 and C_2 are tested at 1 and 3, in accordance with the common advice
 161 of using $C_1 + C_2 < 4$ to avoid particles explosion, derived from (Clerc & Kennedy, 2002). Considering
 162 a constant value of $w_{max} = 0.9$ in the experiment w_{min} assumes values of 0.9 and 0.4, meaning that
 163 two strategies are compared, one with constant inertia weight equal to 0.9 and the other with dynamic
 164 inertia weight decreasing from 0.9 to 0.4. The fourth parameter p is an index of the coverage of the
 165 problem space (PS). For values of $p \ll PS$ the random initialization of the particles ensures that each
 166 of them is a different initial attempt and no clusters around specific points of the problem space exist.
 167 Thus, the bigger the population p , the better the exploration, but this also reduces the speed of each
 168 iteration.

169 *Table 1: summary of the parameters in the 2-levels factorial design.*

Number	C_1	C_2	w_{min}	p
1	Low	Low	Low	Low
2	Low	Low	Low	High
3	Low	Low	High	Low
4	Low	Low	High	High
5	Low	High	Low	Low
6	Low	High	Low	High
7	Low	High	High	Low
8	Low	High	High	High
9	High	Low	Low	Low
10	High	Low	Low	High
11	High	Low	High	Low
12	High	Low	High	High
13	High	High	Low	Low
14	High	High	Low	High
15	High	High	High	Low
16	High	High	High	High

170
 171 The study intends to assess how much such a reduction is and whether it compensates for the growth
 172 of computational cost. To this end, a number of particles p equal at least to the number of the design
 173 variables s would be required, but a value threefold greater than the minimum is usually suggested
 174 (Gerist & Maheri, 2016). In this experiment, both levels are analysed: s and $3 \cdot s$.

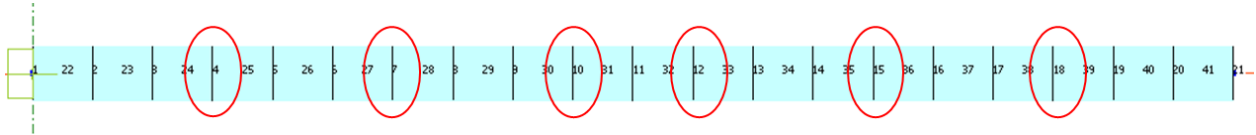
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176 **4. Application and discussion of the results**

177 The case study used to set the parameters of the proposed PSO algorithm is a 10 meters long clamped-
 178 clamped steel beam with a 0.2×0.45 m² cross section, discretized in 20 elements (Figure 1). The
 179 adopted objective function equals:

$$180 \quad F = \sum_{i=1}^{nm} \frac{(f_{e,i} - f_{n,i})^2}{f_{e,i}^2} + \sum_{i=1}^{nm} \sum_{j=1}^{nn} (|\varphi_{e,ji}| - |\varphi_{n,ji}|)^2 \quad (5)$$

181 where f_i and φ_{ji} are the i -th natural frequency and modal coordinates, respectively, and the subscripts
 182 e and n refer to numerical and experimental respectively. To simulate the reduced information usually
 183 available in real situations, only a few lower modes are used ($nm = 5$) and not all the DOFs of the
 184 numerical model are employed to extract the modal coordinates ($nn = 6$). The considered nodes are
 185 circled in red in Figure 1. The structural damage is numerically simulated by halving the value of the
 186 Young modulus of the 11th element so as to reproduce a damage scenario in the midpoint.



187
 188 *Figure 1: Case-study steel beam (the red circles indicate the DOFs considered in the optimization problem).*

189 Throughout the experiments, the initial position and velocity of the particles are randomly generated.
 190 A random effect is also present at any iteration according to the formula of the velocity in Eq. (2). For
 191 any combination of factors, ten repetitions are carried out. No limitation to the velocity is introduced
 192 and two termination criteria are assumed: (1) a maximum number of iterations equal to 100 and (2) a
 193 value of the objective function equal to 0. In what concerns the latter criterion, allowing a margin
 194 through the definition of a threshold value is usually preferable, as setting for the objective function
 195 equal to 0 means looking for the exact solution rather than an optimal one. Still, the threshold value
 196 depends on the features used to define the function as well as on its formulation, thus setting a proper
 197 value should require a numerical experiment.

198 The performance of each repetition is assessed in terms of success (1=success, 0=failure) and number
 199 of FEM simulations. The latter is an index of the computational cost and corresponds to the number of
 200 particles multiplied by the number of iterations.

201 The set parameters are used as dependent variables in a univariate analysis of variance (ANOVA),
 202 analysing the influence of all the 4 independent factors on the algorithm performance. The null
 203 hypothesis H_0 corresponds to the case in which the coefficients C_1 , C_2 , w_{min} and the population size p
 204 do not affect the success of the PSO algorithm. Such hypothesis is rejected at a significance level of
 205 5%, which means that if the p – value calculated based on the observance value of the test statistic is
 206 less than the significance level the hypothesis is rejected. In this case, at least one of the factors or their
 207 interaction affects the performance.

208 In Table 2 the average results of the ten runs for each combination of factors are reported. The first
 209 four rows show, respectively, the success ratio over the ten runs, the average fitness in the ten runs, the

210 average number of iterations required to achieve the solution (in case of failure, the process is repeated
 211 for 101 iterations, considering the initialization as first iteration), and the number of operations, viz.
 212 the number of FEM analysis. The other rows show the average damage extent identified by the
 213 algorithm for each element.

214 The results are analysed according to the ANOVA. From the test, it is possible to infer that the influence
 215 of p , C_1 and C_2 results statistically relevant. Moreover, a relevant correlation also exists between C_2
 216 and w_{min} exists and between C_2 and N .

217 *Table 2: PSO performance indicators and average values of the ten runs for each combination of the factorial design.*

Test	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Succ. Ratio	0.8	0.9	0.6	0.7	0.3	0.8	0.5	0.8	0.9	1	0.9	1	0.4	0.8	0.7	1
Fit.	2.2E-03	5.1E-04	3.2E-03	1.1E-03	5.9E-03	1.0E-03	3.1E-03	2.0E-03	4.7E-04	0.0E+00	3.8E-04	0.0E+00	5.1E-03	1.0E-03	2.6E-03	0.0E+00
N° It	39.8	23.8	55.2	43.8	80.8	31.4	60.7	30	40.5	22.1	42	26.4	73	34.5	51.2	17.9
N° op	796	1428	1104	2628	1616	1884	1214	1800	810	1326	840	1584	1460	2070	1024	1074
El. 1	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
El. 2	1.00	1.00	1.00	0.98	0.96	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	0.99	1.00	1.00
El. 3	1.00	1.00	0.99	0.98	1.00	0.99	1.00	1.00	1.00	1.00	0.99	1.00	0.98	1.00	0.99	1.00
El. 4	0.99	1.00	0.99	1.00	1.00	1.00	0.99	0.99	1.00	1.00	1.00	1.00	0.99	0.99	1.00	1.00
El. 5	1.00	1.00	1.00	1.00	1.00	1.00	0.99	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
El. 6	0.99	1.00	1.00	1.00	0.98	1.00	1.00	1.00	1.00	1.00	1.00	1.00	0.98	1.00	1.00	1.00
El. 7	1.00	1.00	1.00	0.99	1.00	1.00	0.95	0.98	1.00	1.00	1.00	1.00	0.96	1.00	0.97	1.00
El. 8	0.99	1.00	1.00	1.00	0.96	1.00	0.99	1.00	1.00	1.00	1.00	1.00	0.99	0.99	1.00	1.00
El. 9	0.99	1.00	0.99	1.00	1.00	0.98	1.00	1.00	1.00	1.00	1.00	1.00	0.98	1.00	1.00	1.00
El. 10	1.00	0.99	0.96	0.94	0.99	1.00	0.96	1.00	0.99	1.00	0.98	1.00	1.00	1.00	0.98	1.00
El. 11	0.60	0.55	0.70	0.65	0.85	0.60	0.75	0.60	0.55	0.50	0.55	0.50	0.80	0.60	0.65	0.50
El. 12	0.97	0.96	0.89	0.89	0.82	0.93	0.89	0.97	0.96	1.00	0.96	1.00	0.86	0.93	0.93	1.00
El. 13	0.98	1.00	1.00	1.00	0.98	1.00	1.00	0.98	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
El. 14	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
El. 15	1.00	1.00	0.98	0.99	0.97	0.99	1.00	1.00	1.00	1.00	1.00	1.00	0.97	0.99	1.00	1.00
El. 16	0.98	1.00	1.00	1.00	0.98	1.00	0.98	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
El. 17	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
El. 18	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
El. 19	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
El. 20	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00

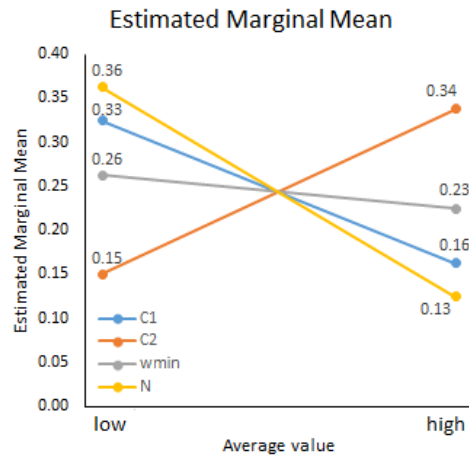
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 219 It is worth noticing that using a number of particles three time higher does not imply an equivalent
 220 growth of the number of iterations (see Table 3), which means that the improvement in the algorithm
 221 performance attained by using a bigger population compensates for the increase in computational cost.

222

Table 3: Number of average FEM simulation for each combination of factors comparing the two levels of population size.

	$C_1 - low$				$C_1 - high$				Average
	$C_2 - low$		$C_2 - high$		$C_2 - low$		$C_2 - high$		
	$w_{min} - low$	$w_{min} - high$	$w_{min} - low$	$w_{min} - high$	$w_{min} - low$	$w_{min} - high$	$w_{min} - low$	$w_{min} - high$	
$N - low$	796	1104	1616	1214	810	840	1460	1024	1108
$N - high$	1428	2628	1884	1800	1326	1584	2070	1074	1724.25
Ratio: high/low	1.79	2.38	1.17	1.48	1.64	1.89	1.42	1.05	1.56

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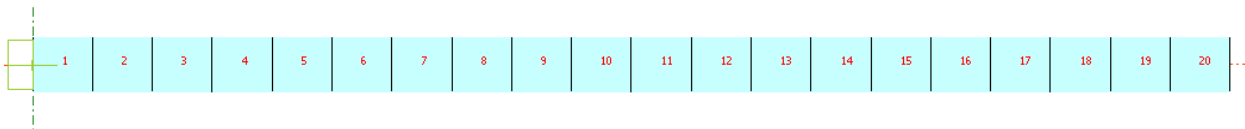


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225

Figure 2: estimated marginal mean

226 According to the estimated marginal mean (Figure 2) the best combination is the one with high levels
 227 for all the factors but the C_2 .



228

229

Figure 3: Numbering of the beam's elements.

230 Finally, this optimized algorithm instance is tested over other damage scenarios applied to the same
 231 steel beam. For ease of reference, the numbering of the elements is shown again in Figure 3. In Table
 232 4 all the tests carried out are summarized along with the relevant damage scenarios. The main objective
 233 of this final task is to analyse the algorithm performance by simulating the most expected damage
 234 conditions occurring in a clamped-clamped beam, namely damages close to the clamps and damage in
 235 the mid-point. Furthermore, the tests include specific conditions that, according to experience,
 236 complicate the damage identification process, such as: (1) asymmetric configuration of damage, (2)
 237 very weak damage extent and (3) multi-damage scenarios.

Table 4: Number of tests and damage scenarios used to analyse the performance of the optimized PSO algorithm.

Test	Damage ratio/Damage location	Description
Par. setting	0.5/Element 11	Relevant damage in the midpoint, asymmetric condition
1 st test	0.8/Element 11	Weak damage in the midpoint, asymmetric condition
2 nd test	0.95/Element 11	Very weak damage in the midpoint, asymmetric condition
3 rd test	0.5/Element 2	Relevant damage close to the clamp, asymmetric condition
4 th test	0.5/Element 2 – 0.5/Element 20	Relevant damages close to both the clamps, asymmetric condition
5 th test	0.8/Element 2 – 0.5/Element 20	Relevant and weak damage close to both the clamp, asymmetric condition
6 th test	0.5/Element 10 – 0.5/Element 11	Relevant damage in the midpoint, symmetric continuous condition
7 th test	0.8/Element 1 – 0.8/Element 20	Weak damages close to both the clamps, symmetric condition
8 th test	0.8/Element 1 – 0.9/Element 11 – 0.6/Element 20	Mixed damage condition close to both the clamps and in the midpoint

Table 5: Result of the tests: performance indicators.

Test	1	2	3	4	5	6	7	8
Success ratio	1	0.8	1	0.4	0.6	0.4	0.2	0
Fitness	0.0E+00	1.2E-05	0.0E+00	4.1E-04	3.7E-06	1.3E-05	1.2E-03	2.7E-05
N° It	18	34.8	21.6	85.2	65.6	84.2	87.6	101
N° op	1080	2088	1296	5112	3816	5052	5256	6060

241 Asymmetric damages on a symmetric structure can be easily mistaken when only frequencies are used
 242 in the objective function, therefore this kind of problem instances test the sensitivity of the considered
 243 features to the damage. Nevertheless, detecting damages with very low extent is essential to test the
 244 early warning capability of the algorithm. Usually, identifying weak extent damages as well as multi-
 245 damage scenarios are complicated tasks and many algorithms fail in this regard.

246 Table 5 to 8 report all the results of the numerical experiments. The three single-damage scenarios do
 247 confirm the efficiency of the algorithm. Dealing with a very weak damage, the algorithm only fails in
 248 one case out of five and the average error is almost negligible. Increasing the number of damages
 249 increases the number of false positive errors, despite almost negligible in all the cases. This is due to a
 250 common issue of PSO that usually shows a quick convergence in the surrounding of the best solution
 251 followed by a slower fluctuation around it. In Figure 4, the convergence trend of one of the unsuccessful
 252 runs of damage scenario 6 is showed as an example. In this specific instance, increasing the maximum

253 number of iterations is likely to lead to the correct identification in most cases, but in general the local
 254 search capabilities of the algorithm should be improved.

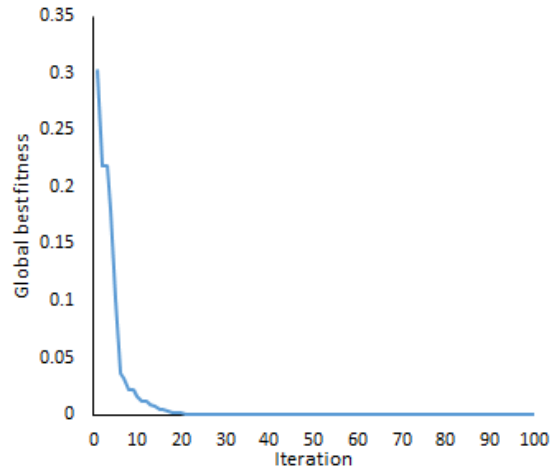
255 *Table 6: Result of the tests: damage extent in each element (tests 1 to 4). In grey correctly identified damages. In yellow false positive*
 256 *errors. Exp and Num are the actual damage and the average results in 10 runs, respectively.*

Test	Exp 1	Num 1	Exp 2	Num 2	Exp 3	Num 3	Exp 4	Num 4
El. 1	1.00	1.00	1.00	1.00	1.00	1.00	1.00	0.96
El. 2	1.00	1.00	1.00	1.00	0.50	0.50	0.50	0.60
El. 3	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
El. 4	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
El. 5	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
El. 6	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
El. 7	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
El. 8	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
El. 9	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
El. 10	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
El. 11	0.80	0.80	0.95	0.96	1.00	1.00	1.00	1.00
El. 12	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
El. 13	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
El. 14	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
El. 15	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
El. 16	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
El. 17	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
El. 18	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
El. 19	1.00	1.00	1.00	1.00	1.00	1.00	1.00	0.93
El. 20	1.00	1.00	1.00	1.00	1.00	1.00	0.50	0.51

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 259 *Table 7: Result of the tests: damage extent in each element (tests 5 to 8). In grey correctly identified damages. In yellow false positive*
 260 *errors. Exp and Num are the actual damage and the average results in 10 runs, respectively.*

Test	Exp 5	Num 5	Exp 6	Num 6	Exp 7	Num 7	Exp 8	Num 8
El. 1	1.00	1.00	1.00	1.00	0.80	0.89	0.80	0.80
El. 2	0.80	0.79	1.00	1.00	1.00	0.84	1.00	1.00
El. 3	1.00	1.00	1.00	1.00	1.00	0.99	1.00	1.00
El. 4	1.00	1.00	1.00	1.00	1.00	0.98	1.00	1.00
El. 5	1.00	1.00	1.00	1.00	1.00	0.98	1.00	1.00
El. 6	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
El. 7	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
El. 8	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
El. 9	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
El. 10	1.00	1.00	0.50	0.50	1.00	1.00	1.00	1.00
El. 11	1.00	1.00	0.50	0.50	1.00	1.00	0.90	0.91
El. 12	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
El. 13	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
El. 14	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
El. 15	1.00	1.00	1.00	1.00	1.00	0.99	1.00	1.00
El. 16	1.00	1.00	1.00	1.00	1.00	0.98	1.00	1.00
El. 17	1.00	1.00	1.00	1.00	1.00	0.98	1.00	1.00
El. 18	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
El. 19	1.00	1.00	1.00	1.00	1.00	0.85	1.00	0.95
El. 20	0.50	0.50	1.00	1.00	0.80	0.89	0.60	0.61

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Figure 4: Global best fitness of the swarm along the iterations in test 6 run 3 resulted in a failure (suboptimal solution).

265 5. Conclusion and future scopes

266 In the present paper, one of the most basic and well-known version of the PSO algorithm by Shi and
 267 Eberhart (Shi & Eberhart, 1998), is used to identify the location and the extent of damage scenarios in
 268 a clamped-clamped steel beam. The reference beam is numerically simulated and the damage is
 269 introduced in the model through a reduction of the Young modulus. The simulated scenarios are meant
 270 to reproduce the most expected damage conditions in the reference beam, namely damage close to the
 271 mid-point and damage at the beam clamps.

272 The analysis allows to confirm that even a basic version of the PSO is suitable for damage
 273 identification, although such a version is generally considered not efficient enough in the literature,
 274 thereby leading to prefer improved or hybrid versions of the PSO. The influence of parameter setting
 275 on the algorithm performance is also confirmed, especially in regard to the coefficients C_1 and C_2 ,
 276 whose values have been usually based on previous works performed on completely different classes of
 277 problems. Therefore, it is clear from the developed work how a proper parameter setting is pivotal to
 278 achieve an improvement in this field of research.

279 Beside the aforementioned aspects, the influence of the population size on the algorithm performance
 280 is analysed as well. A test involving two levels of the population size demonstrated that using a
 281 threefold greater population does not imply an equivalent growth of the number of FEM analyses nor
 282 of the time required for the process.

283 Finally, the optimized algorithm instance resulting from all these analyses is tested over a set of more
 284 complex problems. The experiments carried out demonstrated that the PSO is a feasible way to face

285 inverse problems for damage identification, but a few questions are still open and worth of more
286 research.

287 Real world applications do actually differ from the problem instances used in this study, essentially in
288 two main aspects. First, the features extracted from the monitored structure. Here, such features have
289 a perfect precision, whereas in real world the signal is always polluted by noise. Second, the size of the
290 case study. The beam-like example used in this work is very small compared to real world systems.
291 Therefore, it can be concluded that testing the PSO algorithm over bigger problem spaces, also
292 considering polluted features, is essential. Finally, the robustness of the algorithm should be assessed
293 with yet less information (e.g. number of modes and DOFs) and without disregarding eventual
294 modelling errors (e.g. differences between reference and numerical models in terms of geometry or
295 material properties).

296

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