

1 **An evaluation of offshore wind power production by floatable systems:**
2 **a case study from SW Portugal**

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7
8 **Abstract**

9 The challenge for floating offshore wind structures is to reduce costs. The industry needs
10 a wind turbine support solution that can be fabricated and deployed from existing
11 shipyards and port facilities, while investors need accurate estimations and forecasts of
12 wind resources and quantified information on the inherent variability in wind power
13 generation. This paper merges hindcast model data with observed in situ data to
14 characterize the wind resource potential off the SW coast of Portugal. The validation
15 procedure adopted allows an estimation of the coefficient used for power-law
16 extrapolation of the wind measurements and a reduction in the uncertainty of the power
17 density calculations. Different types of turbine model are compared and site metocean
18 characteristics are examined as a basis for choosing between existing wind floatable
19 solutions. The calculations using four different wind turbine models indicate a preferable
20 installed capacity of 3–4 MW for a hub height of 90–120 m (i.e., representing the best
21 capacity factor and load hours). There is a consistent difference in power density of about
22 20% from a location 5 nautical miles (NM) offshore to one 10 NM offshore, which
23 represents an increment of 20%–25% in energy production depending on the particular
24 wind turbine capacity factor.

25
26 **Keywords:** Floatable offshore wind solution, Wind resource estimation, Wind energy
27 production, SW Portugal, Wind Farm Lagoa Funda

28 **1. Introduction**

29 Economic growth and increasing human demands are driving the growing world energy
30 consumption. Because of rising prices for both oil and natural gas, reduced fuel reserves,
31 and obligations to reduce CO₂ emissions to avert climate change, the use of alternative
32 energy sources is both financially unavoidable and environmentally preferable. Hence,
33 the generation of renewable energy has become one of the most relevant endeavours for
34 research in the energy industry.

35 Europe, the US, and Japan have the potential to tap into an exceptional renewable energy
36 resource from as yet unexploited offshore wind along their coastlines. The world's first
37 offshore wind project was installed off the coast of Denmark in 1991. Since that time,
38 commercial-scale offshore wind power facilities have been operating in shallow waters
39 around the world, mostly in Europe. In 2013, 2080 wind turbines were installed and
40 connected to the grid, producing a combined total of 6562 MW from 69 offshore wind
41 farms in 11 European countries [1]. In terms of installed capacity, the average offshore
42 wind farm size was ~485 MW in 2013 but has since decreased to 368 and 338 MW in
43 2014 and 2015, respectively. These installations are located at water depths of ~20 m and
44 ~30 km offshore and are fixed technologies, of which 78.8% are monopiles, 10.4% are
45 gravity structures, 4.7% are jackets, 4.1% are tripods, and 1.9% are tripiles. Once
46 completed, the 12 offshore projects under construction will increase the installed capacity
47 by a further 3 GW, bringing the cumulative capacity in Europe to 9.4 GW [2].

48 Most future offshore wind farms will present greater generation capacity and will move
49 into deeper waters and further from the coast, and therefore other technologies more
50 suited to greater water depths will be required. This is the main reason why research in
51 coming years will be focused on offshore energy generation using floating systems. As
52 an example, the electricity production from floating turbines deployed in sea areas at
53 water depths of 60–120 m is targeted to meet 50% of Europe's electricity needs by 2050
54 [2].

55 Newer wind turbine and foundation technologies are being developed so that the wind
56 power resource at deep-water sites can be exploited in the future (Fig. 1). Each of these
57 concepts has its particular advantages and disadvantages (Table 1). The International
58 Energy Agency (IEA) has presented a range of scenarios for the scale of offshore wind
59 power deployment that will be required to avoid global warming above the 2 °C target

60 defined by the United Nations Framework Convention on Climate Control (UNFCCC)
61 [3]. The IEA recommends that governments internationally should target achieving an
62 offshore wind installed capacity of 118 GW by 2020 increasing to 1142 GW by 2050.
63 The most significant potential benefit will be the reduction in CO₂ emissions through the
64 avoidance of hydrocarbon-based power generation. Globally, electricity production
65 accounted for 42% of the world's CO₂ emissions in 2014, or 15.4 trillion tonnes. If the
66 regions with suitable water depths (60 to 120 m) in Europe, the US, and Japan were to be
67 exploited by floating offshore wind power generation devices, then this would equate to
68 650 GW of installed capacity, which, when in operation, would avoid 0.7 trillion tonnes
69 of CO₂ emissions [2]. Thus, having an effective platform technology for exploiting wind
70 in areas of deep water will give access to a market that is predicted by the IEA as having
71 the most growth potential over the next two decades.

72 A potential barrier to developing offshore wind energy is the general lack of accurate
73 information in most offshore areas about the wind resource characteristics and external
74 metocean design conditions at the heights and depths relevant to wind turbines and their
75 associated structures and components (Fig. 2). Knowledge of these conditions enables the
76 appropriate design basis for wind turbine structures and components to be specified so
77 that they can withstand the loads expected over a project's lifetime. However, metocean
78 data are sparse in potential development areas and, even when available, do not include
79 the detail or quality required to make informed decisions. Therefore, there is a critical
80 need to improve the characterization of metocean conditions to facilitate future offshore
81 wind energy developments. Climate model outputs, either global reanalyses or higher-
82 resolution hindcast products, can help to overcome such a limitation by providing
83 physically consistent, homogeneous, spatially dense information about weather and
84 climate conditions over areas with insufficient observations [4,5].

85 Portugal has a coastal shelf with water depths ranging from 25 to 200 m with low slopes
86 (~3%) and a moderate offshore wind resource. The geographical features of the
87 Portuguese coast are therefore favourable to the implementation of offshore systems,
88 particularly for floating technologies, which are expected to be commercially available in
89 Europe from 2020. The first step in the development of an offshore wind resource sector
90 is the characterization of wind potential through the use of mapping and the identification
91 of macro-regions with wind potential off the Portuguese coast. The present study has two
92 principal objectives: (1) to combine wind model data with wind turbine data to assess the

93 wind resource potential in SW Portugal, thus characterizing the area for offshore wind
94 energy resource exploitation; and (2) to perform an analysis of the metocean
95 characteristics of the site and discuss the arguments relevant to choosing options from
96 existing wind energy floatable solutions.

97

98 **2. Study area**

99 The stretch of the SW Portuguese coastline between Sagres and Aljezur (Fig. 3), hereafter
100 referred to as the “Sagres Area”, has a relatively narrow continental shelf (10–30 km
101 wide) that dips moderately towards the continental slope and has low sediment cover [6].
102 In particular, the Sagres Area descends abruptly to depths of over 1000 m at the
103 continental slope. Under the terminology of the European Union Water Framework
104 Directive, the Sagres Area is classified as a mesotidal, moderately exposed Atlantic
105 coastal type.

106 The tidal conditions are characterized by a semidiurnal regime, with a tidal cycle of
107 approximately 12 hr 25 m, and mesotidal amplitudes that can range from ~1 m during
108 neap tides to more than 3.5 m during spring tides. Storm surge has been shown to increase
109 water levels up 0.75 m, but only under extreme conditions, as in 99% of occurrences
110 storm surge values are below 0.5 m [7]. The coast is directly exposed to North Atlantic
111 swell and storms. The area therefore experiences a high-energy wave climate, with the
112 mean offshore significant wave height (H_s) ranging between 1.5 and 2 m and average
113 peak wave period (T_p) between 9 and 13 s for summer and winter periods, respectively
114 [8]. Waves approach from the northwest to west throughout the year. The prevailing
115 winds blow from the N to NW (>50% annual frequency), and the mean maximum wind
116 velocities are $\sim 22 \text{ kmh}^{-1}$.

117 The part of the Sagres Area closer to Cabo São Vicente is dominated by the interaction
118 of two weather regimes. The first regime occurs during early spring to late summer, when
119 the west coast of Portugal is subject to northerly winds, a consequence of the typical
120 synoptic configuration consisting of the Iberian thermal low plus the Azores high, which
121 promotes upwelling events. The second regime occurs along the south coast of Portugal
122 and is characterized by the presence of a warmer and more saline coastal counter-current
123 over the continental shelf, which develops whenever there is a relaxation of the wind that
124 sustains the upwelling [9]. The annual cycle of sea surface temperature, wind vectors, and

125 Ekman transport for the SW Portuguese coast shows that this coastal stretch is affected
126 by northerly winds throughout the year [10]. It is during the months of April–September
127 that the wind and associated Ekman transport are strongest ($>600 \text{ kgm}^{-1}\text{s}^{-1}$). From
128 November to March, the southward drift of the Azores High affects the wind regime, and
129 consequently the wind stress is reduced and the Ekman transport falls to an annual
130 minimum in January ($<50 \text{ kgm}^{-1}\text{s}^{-1}$).

131

132 **3. Methods**

133 **3.1. Wind model and in situ data**

134 The distribution of wind speed and direction and their variation over short time scales are
135 primary concerns for the development and operation of offshore wind energy. Wind
136 resource can be described by mean velocity (speed and direction) and turbulence
137 intensity. These conditions characterize the potential energy available at a site, influence
138 turbine selection, drive the balance of the designed plant, and affect project construction
139 and operational strategies. Measurements of wind speed and direction are preferred across
140 the entire wind turbine operating height, with a priority on hub height. Current industry
141 practices employ a combination of direct and remote sensors to observe wind conditions.

142 The wind model data used for the preliminary resource assessment of Sagres Area were
143 retrieved from a 49 year hindcast simulation performed with the Fifth-Generation
144 Pennsylvania State University – National Center for Atmospheric Research Mesoscale
145 Model (MM5) [9], which encompasses the period 1959–2007 covering the whole Iberian
146 Peninsula with a 10 km spatial resolution. The simulation was driven by the European
147 Centre for Medium-Range Weather Forecast (ECMWF) ERA40 reanalysis [13] up to
148 2002 and analysis data afterwards, up to 2007, and provides hourly records of surface
149 winds at a height of 10 m for the entire simulated period. This simulated dataset has been
150 previously used and validated against observations in several studies focused on a variety
151 of topics [14–20]. Here, hourly wind speed and direction series for three locations within
152 the Sagres Area (Fig. 3) were extracted: the onshore Aeolian Park of Lagoa Funda (APLF,
153 37.145184°N , 8.9018326°W), 5 nautical miles (NM) offshore of the APLF at ~ 50 m
154 depth (37.138325°N , 9.0171232°W), and 10 NM offshore of the APLF at ~ 100 m depth
155 (37.131348°N , 9.1323900°W).

156 The wind profile of the atmospheric boundary layer is generally logarithmic in nature and
 157 is best approximated using the log wind profile equation that accounts for surface
 158 roughness and atmospheric stability:

$$159 \quad U = \frac{u_*}{k} \left[\ln \left(\frac{z}{z_0} \right) - \psi_m(\zeta) \right] \quad (1)$$

160 where U is the mean wind speed (ms^{-1}) at height z (m); u_* is the friction velocity (ms^{-1});
 161 k is the Von Kármán constant (~ 0.41); and $\psi_m(\zeta)$ is the integrated stability function for
 162 momentum [21], where $\zeta = \frac{z}{L}$, with L representing the Obukhov length scale, given by:

$$163 \quad L = - \frac{u_*^3}{k \frac{g}{\Theta_0} \overline{w\theta}} \quad (2)$$

164 where g is gravitational acceleration (ms^{-2}), Θ_0 is the surface temperature ($^\circ \text{C}$), and $\overline{w\theta}$
 165 is the kinematic heat flux ($\text{Jm}^{-2}\text{s}^{-1}$). The Obukhov length is obtained from sonic
 166 anemometers using eddy-correlation techniques. The dimensionless height ζ is used as a
 167 stability parameter ($\zeta < 0$ indicates unstable, $\zeta > 0$ stable, and $\zeta = 0$ neutral conditions)
 168 [22].

169 However, when surface roughness or stability information is not available, the wind
 170 profile power-law relationship is often used as a substitute for the log wind profile,
 171 especially in wind power assessments where wind speeds at the height of a turbine must
 172 be estimated from near-surface wind observations (~ 10 m as in this case). The wind
 173 profile power-law relationship is:

$$174 \quad U = U_R \left(\frac{z}{z_R} \right)^\alpha \quad (3)$$

175 where U is the mean wind speed (ms^{-1}) at height z (m), and U_R is the reference wind
 176 speed (ms^{-1}) at height z_R (m). The exponent α is an empirically derived coefficient that
 177 varies between 0.04 and 0.60 depending upon the stability of the atmosphere, and
 178 represents physical information about atmospheric conditions in a single parameter [23];
 179 the exponent fits data well in the first few metres of the atmospheric boundary layer [24].
 180 A wind speed shear exponent of ~ 0.1 has been used in previous studies [25, 27] for
 181 extrapolating offshore wind speeds using a power law at a height of 90 m. Thus, in the
 182 present study and for an initial assessment of the wind resource at the APLF, a value for
 183 α of 0.1 is used for a hub height of 80 m. The value is then adjusted using a validation
 184 procedure by comparing the results of the model with those of the meteorological mast
 185 station located inside the APLF (refer to sub-section 3.3 for further details).

186

187 **3.2. Wind statistics and the Weibull distribution**

188 It is essential for the wind industry to properly describe the variation in wind speeds.
 189 Wind turbine designers need this information to optimise the design of their turbines, so
 190 as to minimise generation and maintenance costs. To calculate the mean power from a
 191 wind turbine over a range of mean wind speeds, a generalised expression is needed for
 192 the probability density distribution. An expression that gives a good fit to wind data is
 193 known as the Weibull distribution. The Weibull distribution is a two-parameter function
 194 commonly used to fit the wind speed frequency distribution. The probability density
 195 function (PDF) of the Weibull distribution is given by

$$196 \quad f(U) = \frac{k}{c} \left(\frac{U}{c}\right)^{k-1} e^{-\left(\frac{U}{c}\right)^k} \quad (4)$$

197 where $f(U)$ is the probability of wind speed U , k is the dimensionless shape parameter,
 198 and c is the scale parameter in units of wind speed. Once the c and k parameters are
 199 known, the moments and percentiles of the wind speed distribution may be computed.

200 The Cumulative Distribution Function (CDF) of the Weibull distribution is given by

$$201 \quad F(U) = 1 - e^{-\left(\frac{U}{c}\right)^k} \quad (5)$$

202 This family of curves has been shown to give a good fit to measured wind speed data [28]
 203 by providing a convenient representation of the wind speed for wind energy calculation
 204 purposes [29]. Different methods have been proposed to estimate Weibull parameters,
 205 which have been compared in the literature several times, but with different results and
 206 recommendations [29, 30]. In the present study, the Weibull parameters are determined
 207 using the maximum likelihood method [29] and then applied to estimate the energy
 208 density, that is, the wind power resource that can be harnessed using wind turbines. The
 209 Weibull distributions are determined for the three sites and for each month. The vertical
 210 mean speed power-law profile (Eq. 3) is used to extrapolate the wind speed to the wind
 211 turbines' hub heights.

212

213 **3.3. Observational data and validation procedure**

214 Wind resource estimates are characterized by various degrees of uncertainty that could
 215 lead to highly misleading results. An accurate estimation of wind fields requires reliable

216 datasets so that wind power assessment can be performed with reduced uncertainty. Most
 217 often, risk-based financial models, on which wind project investments are based, are
 218 strongly dependent upon these uncertainties [30]. In the present study, the hindcast dataset
 219 is directly compared with an in situ observational wind database at hub height from the
 220 station located inside the APLF. The station is equipped with wind velocity and
 221 directional sensors placed at a height of 80 m, and recorded wind speed and directional
 222 data are available for 5 minute intervals from 2003 to 2007. Although the data period is
 223 long and high frequency, the measurements from the sensors have registered several
 224 anomalies that have significantly reduced the data coverage (Table 3). Careful analysis
 225 of the data allowed erroneous values and any systematic errors in the measurements to be
 226 eliminated. Two periods were considered: from January 2003 until December 2005 and
 227 from September 2006 to August 2007, comprising a total of 4 years of data for model
 228 validation. Because no temperature data are available, the comparison between the model
 229 and station data is herein used to estimate the α exponent in Eq. 3 and to reduce the
 230 uncertainty of the power estimates.

231

232 3.4. Quantification of the offshore wind resource

233 The power density accordingly to the Weibull PDF is given by

$$234 \quad P = \frac{1}{2} \rho \int_0^N U^3 f(U) dU \quad (6)$$

235 where ρ is the air density (kgm^{-3}) at a certain height and temperature (e.g. $\sim 1.226 \text{ kgm}^{-3}$
 236 at mean sea level and $15 \text{ }^\circ\text{C}$).

237 To estimate the power and energy output from the different turbine devices, the Weibull
 238 distributions are combined with the power curve, that is, each interval of wind speed is
 239 multiplied by the probability of that wind speed interval (from the Weibull curve) and by
 240 the value from the power curve (P_T) supplied by each wind turbine manufacturer. The
 241 mean (or average) power output is obtained by

$$242 \quad P_{mean} = \sum P_T(U) f(U) \quad (7)$$

243 Multiplying the power by 365.25 and by 24 (the number of hours in a year), the total
 244 energy output for an average year is obtained (E_{out} in kWhyear^{-1}). The wind turbine
 245 parameters analysed in this study were selected based on the different wind turbines
 246 normally used for offshore wind energy farms (Table 2). A turbine availability of 100%

247 is assumed (i.e., no losses due to problems such as down time, icing, gearbox losses,
 248 transformer losses, or farm effects). The capacity factor (C_F) is obtained by dividing E_{out}
 249 by the turbine's rated output for the same period of time, and the full load hours can be
 250 determined, namely, the theoretical number of hours that the wind turbine has to run at
 251 full load in order to produce the annual yield (i.e., full load hours = capacity factor *
 252 number of hours in a year).

253

254 **4. Results**

255 The wind power time series obtained for the location of the APLF is presented in Fig. 4A
 256 and is based on the hourly estimates of velocity from the 49 year hindcast model data as
 257 described in sub-section 3.1. The power computations were determined based on the
 258 velocity distribution from the Weibull PDF function (Fig. 4B) by extrapolating the model
 259 velocity results to a height of 80 m and adopting a value for the α coefficient of 0.1 (Eq.
 260 3). The Weibull c parameter is 7.59 ms^{-1} and the k parameter is 2.39 (Table 4). The mean
 261 velocity (U_{mean}) based on the long-term distribution is 6.74 ms^{-1} with a standard
 262 deviation (σ) of 2.98 ms^{-1} and a maximum velocity (U_{max}) of 25.56 ms^{-1} . The boxplot
 263 of monthly wind distribution (Fig. 4C) allows the variability in wind intensity throughout
 264 the year to be characterized. In Fig. 4C, for each month, the central horizontal mark (red
 265 line) in the box represents the median value, the top and bottom edges of the box
 266 respectively represent the 75th and 25th percentiles, the dashed black line above and below
 267 the box represents sample variability, and the horizontal red marks beyond the dashed
 268 line represent outlier values. Points are defined as outliers if they are larger than $q_3 + w(q_3$
 269 $- q_1)$ or smaller than $q_1 + w(q_3 - q_1)$, where $w = 1.5$ and q_1 and q_3 are the 25th and 75th
 270 percentiles, respectively. The wind rose (Fig. 4D) allows an assessment of the wind
 271 regime predominance in each directional partition and the probability of occurrence of
 272 each of them (as a percentage frequency) with respect to the total amount. The wind rose
 273 frequency for the APLF shows that the most probable winds come from the NW to N.
 274 Their probability of occurrence is approximately ~35%, although maximum wind
 275 velocity values are more frequent from the S to SE (~15% occurrence probability).

276 To validate the results and to perform accurate resource estimations for the offshore area
 277 (i.e., at sites 5 and 10 NM offshore the APLF), the velocity estimates from the model time
 278 series (i.e., Fig. 4) were compared with an in situ observational wind database from the

279 station located inside the APLF (5 minutes frequency; 4 years data measured at a hub
 280 height of 80 m). Those results are presented in Fig. 5 and are summarised in Table 4. A
 281 preliminary comparison between Figs. 4 and 5 reveals the following: the observed power
 282 estimates exceed those obtained using the model; the Weibull PDF has c and k parameters
 283 for the APLF station data of 6.70 ms^{-1} and 2.36 , respectively (Table 4); a similar trend in
 284 the boxplot of wind intensity variability (Fig. 5C), where the median wind velocity is also
 285 higher in July ($\sim 7.5 \text{ ms}^{-1}$) and with higher variability; the outliers are more frequent
 286 during the winter months, which are generally characterized by lower velocities and high
 287 variability; and, finally, the wind rose for the APLF station shows higher frequencies of
 288 N–NW winds ($\sim 35\%$), as well as a $\sim 15^\circ$ gap in the directional data (i.e., $\text{N}0^\circ\text{--N}15^\circ$).

289 Overall, the model reasonably represents the annual trends obtained from the in situ data,
 290 but the modelled velocities are higher, a well-known problem that is attributed mainly to
 291 a misrepresentation of frictional forces in the model [12]. This misrepresentation results
 292 in an overestimation of the wind power at the APLF location ($U_{mean} = 5.91 \text{ ms}^{-1}$; $\sigma =$
 293 $\pm 2.63 \text{ ms}^{-1}$; $U_{max} = 25.4 \text{ ms}^{-1}$). Thus, if trends are well represented, the problem is not
 294 the use of the model data but, rather, the extrapolation of the wind velocities from the
 295 surface to the hub height, that is, the influence that the α parameter value has on the final
 296 computations. Reducing the α parameter to half (i.e., ~ 0.05) and performing similar
 297 computations results in c and k parameters of 6.84 ms^{-1} and 2.39 , respectively, and the
 298 resultant yearly U_{mean} is 6.08 ms^{-1} ($\sigma = \pm 2.68 \text{ ms}^{-1}$), that is, almost a match between the
 299 observed and model data for the Weibull PDF while maintaining similar data trends (e.g.,
 300 the monthly intensity of wind speeds and directions).

301 On the basis of the above estimation of the α parameter, and using the model velocity
 302 wind speed data extracted from grid points at 5 and 10 NM offshore, the wind velocity
 303 histograms and Weibull probability density and cumulative functions were produced, as
 304 well as the monthly wind velocity distributions and wind rose directional charts (Figs. 6
 305 and 7, respectively). The Weibull PDFs (Figs. 6A and 7A) indicate that $c = 7.81 \text{ ms}^{-1}$ and
 306 8.09 ms^{-1} , respectively, for 5 and 10 NM, while $k \sim 2.45$ and 2.49 , respectively (Table
 307 4). The U_{mean} values are 6.94 ms^{-1} and 7.18 ms^{-1} , with σ values of ± 2.97 and $\pm 3.12 \text{ ms}^{-1}$,
 308 respectively (Table 5). The mean regime for each site is presented in Figs. 6B and 7B
 309 through a Weibull CDF, a probability plot showing the relationship between a specific
 310 wind speed value (U) and its probability ($F(U)$), where $F(U)$ indicates the probability
 311 that the wind velocity is equal to or lower than U . These are required data for a possible

312 wind farm; for example, a wind speed of 10 ms^{-1} can be considered a large mean value
313 for offshore wind energy and has an associated probability in the CDF of ~ 0.8 for both
314 sites. The monthly distribution of U (Figs. 6C and 7C) and wind rose frequency (Fig. 6D
315 and 7D) for both offshore sites are similar to and consistent with the APLF site.

316 To estimate the wind power (Eq. 7) and energy output generated by a specific wind
317 turbine device at a given site over a defined period, the power characteristics of the
318 turbines (Table 2) were integrated with the probabilities of different wind velocities
319 expected at each of the offshore sites (Figs. 6 and 7). The integration was performed at a
320 0.1 ms^{-1} interval, and the results are presented in Fig. 8 and summarised in Table 5.

321

322 5. Discussion

323 Several wind modelling methodologies are now being used for estimating wind power
324 resources and wind energy output at different spatial and temporal scales [31–32]. A
325 fundamental limitation of most of these modelling techniques is the calibration with
326 available in situ data, which can result in significant differences in wind energy estimates
327 and therefore in technical and economic predictions [33].

328 The power output and cost effectiveness of a wind turbine are strongly influenced by the
329 mean wind speed to which it is subjected, and therefore wind speed needs to be accurately
330 determined. The initial α exponent value (i.e., 0.1) was chosen based on the validation
331 experience with the updated offshore wind maps for the US and is within the range of
332 0.08 to 0.14 reported in other analyses for the same region [25]. In a similar study of the
333 creation of a wind resource map for the Iberian Coast using remotely sensed data, a power
334 law was also used with a shear exponent value α of 0.1 [27,34]. A direct comparison
335 between model data and in situ data measured at the SW coast of Portugal in the present
336 study reveal that α is around 0.05, and therefore this value should be adopted for using a
337 power-law function to estimate wind velocities at hub height if there are no data on
338 atmosphere variability throughout the analysed period. The same α shear exponent value
339 was obtained at Santander (Spain) by fitting Eq. 3 to 20 years of hourly measurements
340 obtained at different heights (i.e., 15, 40, 75, and 110 m [35]).

341 The offshore wind resource assessment results indicate that both the 5 and 10 NM sites
342 are characterized by mean wind speed values of $< 8.0 \text{ ms}^{-1}$. Higher variability occurs
343 during the autumn–winter months (October to March), but maximum wind velocities

344 occur during the spring–summer months, although generally with less variability. The
 345 highest median value occurs in July ($\sim 7.5 \text{ ms}^{-1}$), which, although having a lower number
 346 of residuals, is also characterized by a relatively high variability in wind intensity. The
 347 US National Renewable Energy Laboratory (NREL) [26] has defined a wind power scale
 348 to classify the suitability of a region for a wind project (Table 6), in which a site with a
 349 wind mean power density of 150 Wm^{-2} (Class 3) or above is considered suitable for most
 350 utility-scale wind turbine applications. On the basis of the NREL classification, the Sagres
 351 Area is rated as Class 5, that is, $U_{mean} \sim 6.7\text{--}7.2 \text{ ms}^{-1}$ (Table 4).

352 From an energy point of view, and taking into consideration the four analysed wind
 353 turbine devices, the Siemens SWT 3.6 MW is the most suitable turbine for the wind flow
 354 characteristics for both the 5 and 10 NM locations. This device presents the best capacity
 355 factor and a similar energy production when compared with the increment of 1.4 MW of
 356 installed capacity of the AREVA M5000 wind turbine. The energy production of the
 357 Siemens turbine ranges from 11.4 to $14.0 \text{ GWhyear}^{-1}$ for a single unit, corresponding to
 358 3154 and 3900 hyear^{-1} equivalent hours at full capacity if placed at 5 and 10 NM,
 359 respectively.

360 As a consequence of using $\alpha = 0.05$, the results show that hub height has a moderate
 361 effect on the power density (P) availability, with the availability for a hub height of 126 m
 362 compared with one of 66 m being up to 3.5% and 7.0% higher at the 5 and 10 NM
 363 locations, respectively. There is a consistent difference in the power density of about 20%
 364 between the 5 and 10 NM locations, which represents a 20% increase in energy
 365 production (E_{out}) for the Vestas V66 and SWT 3.6 turbines and 24% for the Areva M5000
 366 and Repower 6.2M turbines. Compared with the other turbines, C_F is higher for the SWT
 367 3.6 for both the 5 and 10 NM locations: 0.36 and 0.45, respectively, resulting in a higher
 368 value of full load hours. There are minor differences in terms of energy production
 369 between the SWT 3.6 and Areva 5000 devices, and opting for Repower 6.2 generates an
 370 overall increment of 24% in the total energy production, with values of C_F of 0.24 and
 371 0.36 for the 5 and 10 NM locations.

372 Given the characteristics of the Portuguese continental shelf, it is expected that floating
 373 platforms for harnessing wind will be installed offshore and in waters greater than 50 m
 374 deep. Floating platforms attempt to meet the requirements of high stability and low
 375 motions in waves by adopting one of three established solutions from the oil and gas
 376 industry (Fig.1 and Table 1), namely, semi-submersible platforms, deep-draught mono-

377 spar platforms, and tension-tethered platforms (TTPs). The dynamics of floating offshore
378 wind turbines involve significant coupling between the aerodynamics of the wind turbine
379 and the hydrodynamics of the platform. The motions from the turbine, waves, and the
380 moorings all contribute to the overall dynamic response of the system.

381 The US-based DeepCWind consortium tested three platforms coupled with a scaled
382 device of the NREL 5 MW wind turbine [36]. The setup included a TTP, a semi-
383 submersible, and a spar. The full results of the study were made available by Robertson
384 et al. [37]. Another comparative study was made with the joint efforts of Osaka
385 Prefecture, Yokohama National, Nihon, and Osaka universities. Contributors provided
386 their platform design, to be coupled with a 5 MW scaled turbine and a tower of 90 m. A
387 TTP, two semi-submersibles, and a SPAR type platform were evaluated. The comparative
388 results of that study were discussed by Nihei et al. [38], and the findings concur with
389 DeepCWind studies with respect to platform characteristics, namely, that waves are the
390 main driver of platform motions as opposed to wind; the TTP provides stability in pitch,
391 roll, and heave motions; the spar shows the highest acceleration values in most wave-
392 wind regimes; and the semi-submersible delivers the highest surge motion overall but half
393 of the pitch/roll/heave compared with the spar.

394 As an example, Principle Power's Windfloat project located offshore the NW coast of
395 Portugal (3.1 NM offshore at 40–50 m depth) makes use of a triangular semi-submersible
396 platform to sustain a Vestas 2 MW turbine (hub height ~90 m). The platform has low
397 motion under waves but needs to be sufficiently large to achieve the required stability
398 (38 m and 53 m between vertices at the surface and base, respectively). This platform can
399 be port assembled, does not require special vessels for towing (as it behaves as a
400 hydrostatically stable structure), and uses standard mooring equipment. In fact, almost all
401 offshore wind turbines are tri-blade horizontal-axis wind turbines, and the average wind
402 turbine capacity is between 3 and 4 MW. Virtually all current developments in floating
403 platforms are designed for ~2.5 MW turbines. While larger blades increase each turbine's
404 swept area, the towers on which those turbines are installed must also be increased to
405 accommodate the required blade-tip clearance between the turbine and the sea surface.
406 The same principle applies to the platform so as to sustain larger overturning movements
407 and to maintain overall stability under wave loads.

408 The Sagres Area is located ~56 NM south of the Port of Sines (37°57'N, 08°53'W), one
409 of the most important deep-water ports in Portugal. Although deep, the port's facilities

410 and the characteristics of the nearby offshore zone do not allow the assembly of most
411 typical TLP platforms. The Sagres Area is bedrock dominated, and high-energy wave
412 conditions are relatively frequent ($H_{so} > 3$ m for 10% of an average year [8]). Although
413 spar-buoys are easy to fabricate and provide good stability, they also require a large draft,
414 which creates logistical challenges during assembly, transportation, and installation,
415 especially at high-energy sites. Solutions that involve mating the heavy wind turbine to
416 its floating foundation structure at sea are considered high risk, as such offshore
417 operations are complex, risky, weather dependent, potentially hazardous, and very
418 expensive. In contrast, large-draft platforms limit the ability to tow the structure back to
419 port for repairs on major components such as gearboxes and generators. The greatest
420 advantage of semi-submersible platforms appears to be the building of a heavy structure
421 to provide buoyancy and stability.

422 The industry needs a solution that is physically more compact so that a new wind-
423 supporting structure and turbine can be built using existing ship or offshore construction
424 facilities and which avoids the need for complex and costly assembly operations at the
425 exposed wind farm site. It is therefore unlikely that in the near future, floatable offshore
426 wind turbine capacity would be greater than 4 MW, and the flexible application of semi-
427 submersible platforms appears to be an ideal solution for most markets with simple
428 catenary mooring systems (e.g., the Windfloat project, which has been shown to be able
429 to utilise existing commercial wind turbine technology). However, other concepts are
430 being designed combining both semi-submersible and spar concepts to offer a solution
431 with relatively small water plane area so that the natural frequencies in heave fall outside
432 the wave frequencies, thereby allowing the device to cope with extreme wave conditions.

433

434 **6. Conclusion**

435 This paper presents a reliable wind power assessment of the offshore Sagres Area (SW
436 Portugal) based on a long-term evaluation of the wind frequency distribution. The
437 hindcast dataset used in the wind assessment study was validated with an in situ
438 observational wind database at hub height from a meteorological station located inside an
439 onshore coastal wind farm. The comparison between the model and observed data enabled
440 the power-law wind shear exponent α to be corrected, preventing overestimation of the
441 wind resource. The offshore Sagres Area has the potential for offshore wind exploitation,

442 and the calculations using four different turbine devices point to an output of 3–4 MW
443 for a hub height of 90–120 m (i.e., the best capacity factor and load hours). There is a
444 consistent increment in power density of about 20% from the 5 to the 10 NM offshore
445 location, which represents an increment of 20%–25% in energy production.

446 The current high cost of floating wind structures is a barrier to the exploitation of deeper
447 water sites for wind energy exploitation. The reason for the higher costs of floating
448 foundations is that the current solutions being offered are physically very large so as to
449 achieve sufficient platform stability to support high-capacity (≥ 6 MW) wind turbines.
450 These large platforms dictate the need for highly specialised construction docks, which
451 limits the number of facilities where units can be easily built and launched. The
452 advantages and disadvantages of different floatable platform solutions have been
453 discussed herein based on the site characteristics and the proximity to port and dock
454 infrastructures for the provision of logistical support during the construction and
455 operation phases. The analysis indicates that semi-submersible platforms with simple
456 catenary mooring systems can be easily deployed from existing port infrastructures.
457 Future investigations will be oriented towards establishing the optimum siting and layout
458 for a wind energy development off the SW coast of Portugal. Those investigations will
459 focus on other variables for selecting suitable wind farm locations, including detailed
460 wave and current statistics, environmental issues, the distance to shore and to potential
461 onshore grid connection points, and the voltage capacity of National Grid transmission
462 lines.

463

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472 measurements and turbine production data for the Aeolian Park of Lagoa Funda located
473 in the Sagres Area.

475 **Notation**

476	A_T	Turbine sweep area [m^2]
477	α	Power-law coefficient [-]
478	c	Weibull scale parameter [ms^{-1}]
479	CDF	Weibull Cumulative Distribution Function
480	C_F	Capacity factor [-]
481	C_p	Turbine efficiency [-]
482	E_{out}	Total energy output for an average year [kWhryear^{-1}]
483	$f(v)$	Probability of wind speed (v)
484	$F(v)$	Cumulative distribution of wind speed (v)
485	g	Acceleration of gravity [ms^{-2}]
486	H_s	Significant wave height [m]
487	H_{so}	Mean offshore significant wave height [m]
488	K	Von Kármán constant [-]
489	k	Weibull dimensionless shape parameter [-]
490	L	Obukhov length scale [m]
491	NM	Nautical mile(s) [~ 1.852 km]
492	θ_0	Surface temperature [$^{\circ}$ Celsius]
493	P	Mean power density [Wm^{-2}]
494	PDF	Weibull Probability Density Function
495	P_{mean}	Mean (or average) power output [Wm^{-2}]
496	P_T	Mean power extracted by a turbine [Wm^{-2}]
497	ρ	Air density [kgm^{-3}]
498	T_p	Peak period [s]
499	U	Mean wind speed [ms^{-1}]
500	U_{max}	Maximum wind speed [ms^{-1}]
501	U_R	Wind speed [ms^{-1}] at height z_R [m]
502	u_*	Friction velocity [ms^{-1}]
503	$\overline{w\theta}$	Kinematic heat flux [$\text{Jm}^{-2}\text{s}^{-1}$]
504	z	Height [m]
505	z_0	Reference length [m]
506	z_R	Reference height [m]

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618

619 **FIGURE CAPTIONS**620 **Figure 1.** Existing offshore wind technology concepts and depth ranges.621 **Figure 2.** Illustration of the various metocean factors influencing a floating offshore wind turbine (adapted
622 from the US National Renewable Energy Laboratory).623 **Figure 3.** The Sagres Area, SW coast of Portugal.624 **Figure 4.** (A) Theoretical wind power time series (Wm^{-2}) at a height of 80 m (with $\alpha = 0.1$); (B) Weibull
625 probability density function adapted to the model data; (C) Boxplot of the monthly wind speed distribution;
626 (D) Wind rose at the APLF site constructed from the hindcast 49 year data set.627 **Figure 5.** (A) Wind power time series (Wm^{-2}) from the in situ 80 m mast velocity measurements located
628 inside the APLF; (B) Weibull probability density function adapted to the observed in situ data; (C) Boxplot
629 of the monthly wind speed distribution; (D) Wind rose at the APLF site extracted from the directional
630 sensor.631 **Figure 6.** (A) Weibull probability density function obtained using the hindcast data for a height of 80 m
632 5 NM offshore the APLF (using $\alpha = 0.05$); (B) Weibull cumulative distribution function representing the
633 mean regime; (C) Boxplot of the monthly wind speed distribution; (D) Wind rose at 5 NM offshore.634 **Figure 7.** (A) Weibull probability density function obtained using the hindcast data for a height of 80 m
635 10 NM offshore the APLF (using $\alpha = 0.05$); (B) Weibull cumulative distribution function representing the
636 mean regime; (C) Boxplot of the monthly wind speed distribution; (D) Wind rose at 10 NM offshore.637 **Figure 8.** (A1–D1) Weibull PDF and (A2–D2) CDF at 5 NM and 10 NM offshore for $z = 66$ m, $z = 88$ m,
638 $z = 90$ m, and $z = 95$ m hub heights, respectively, and (A3–D3) power curves for the four turbine devices
639 evaluated in this study.

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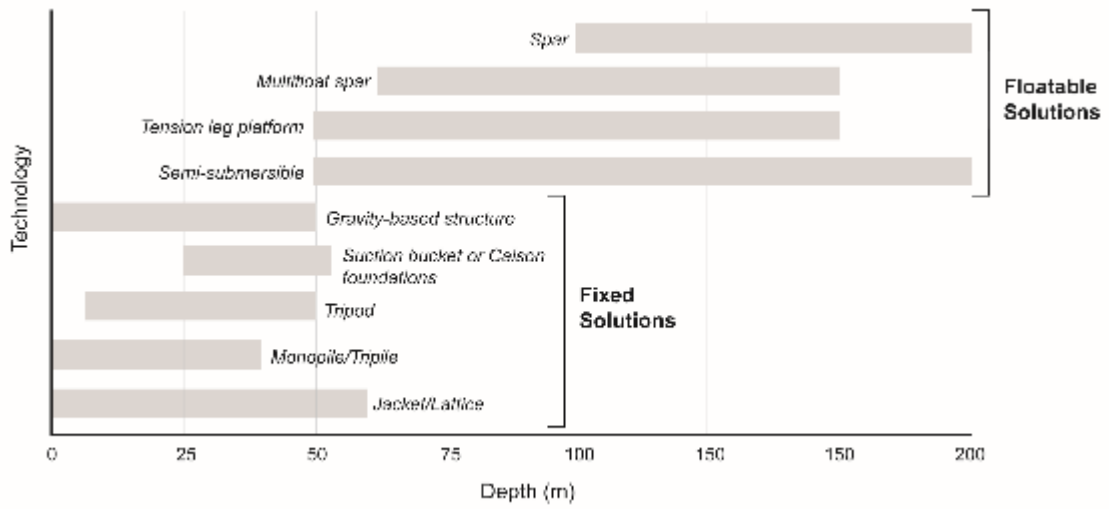
641 **TABLE CAPTIONS**642 **Table 1.** Advantages and disadvantages of different offshore wind technology concepts, technology
643 readiness level (TRL), and the major device manufacturers.644 **Table 2.** Characteristics of four different turbines normally used in offshore wind farms.645 **Table 3.** In situ data coverage from the meteorological station located in the Aeolian Park of Lagoa Funda
646 (APLF) and used for validating the hindcast model.647 **Table 4.** Weibull PDF parameters for the APLF onshore site and for the 5 NM and 10 NM offshore sites.648 **Table 5.** Power density, energy output production, coefficient factors, and full load hours for four aero
649 generators hypothetically placed at 5 NM and 10 NM offshore.650 **Table 6.** Wind power classes defined by the US National Renewable Energy Laboratory as a function of
651 power density and wind speed at different heights.

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FIGURE 1

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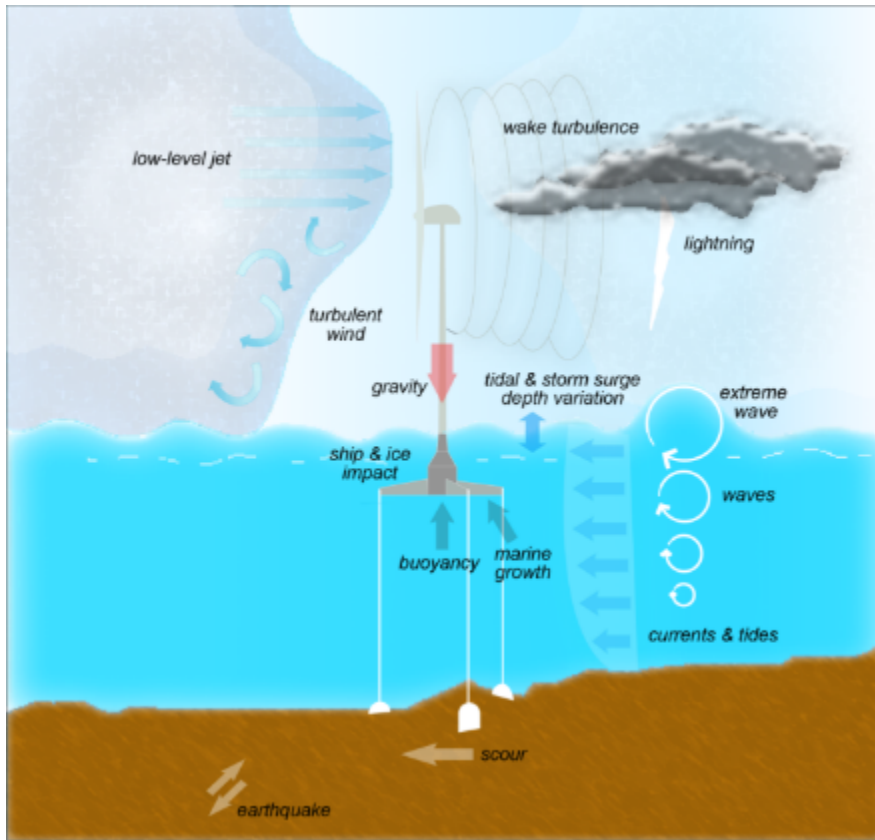
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FIGURE 2

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FIGURE 3

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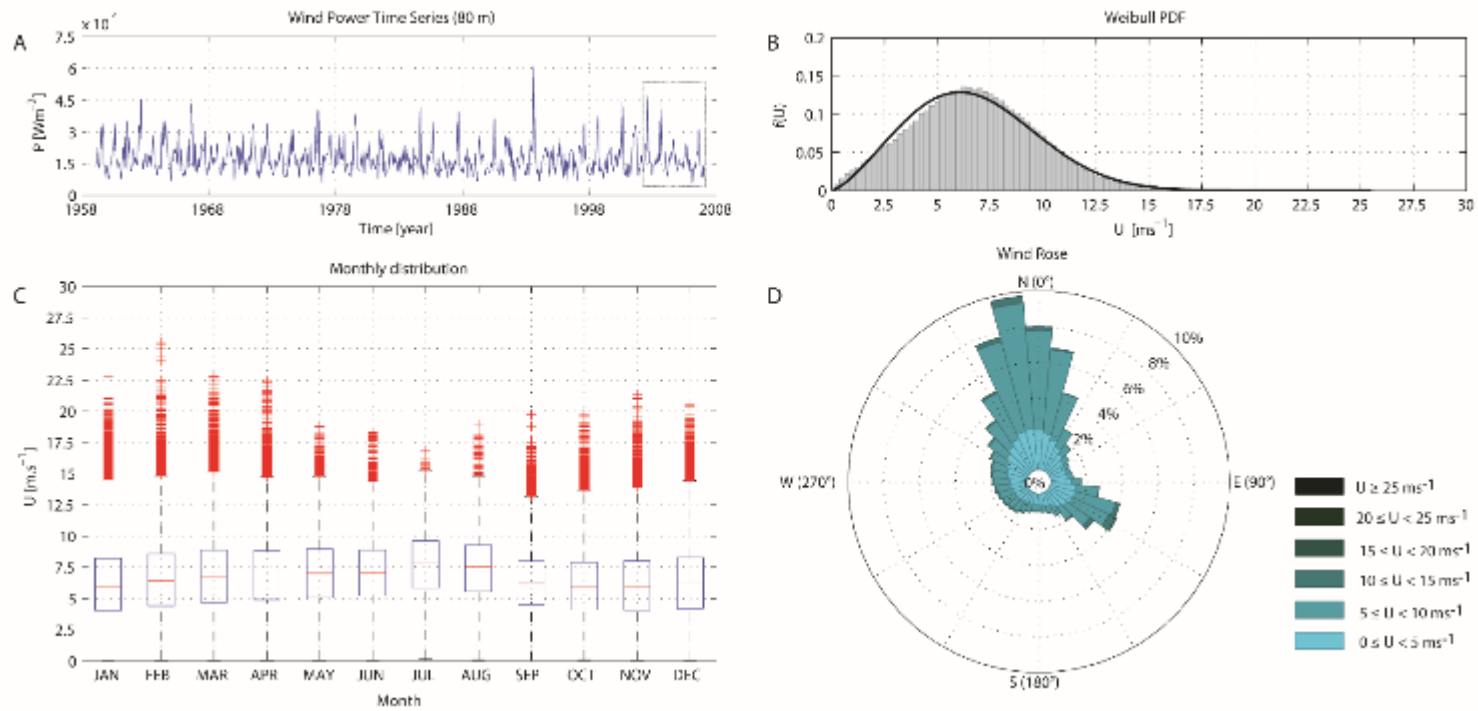
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FIGURE 4



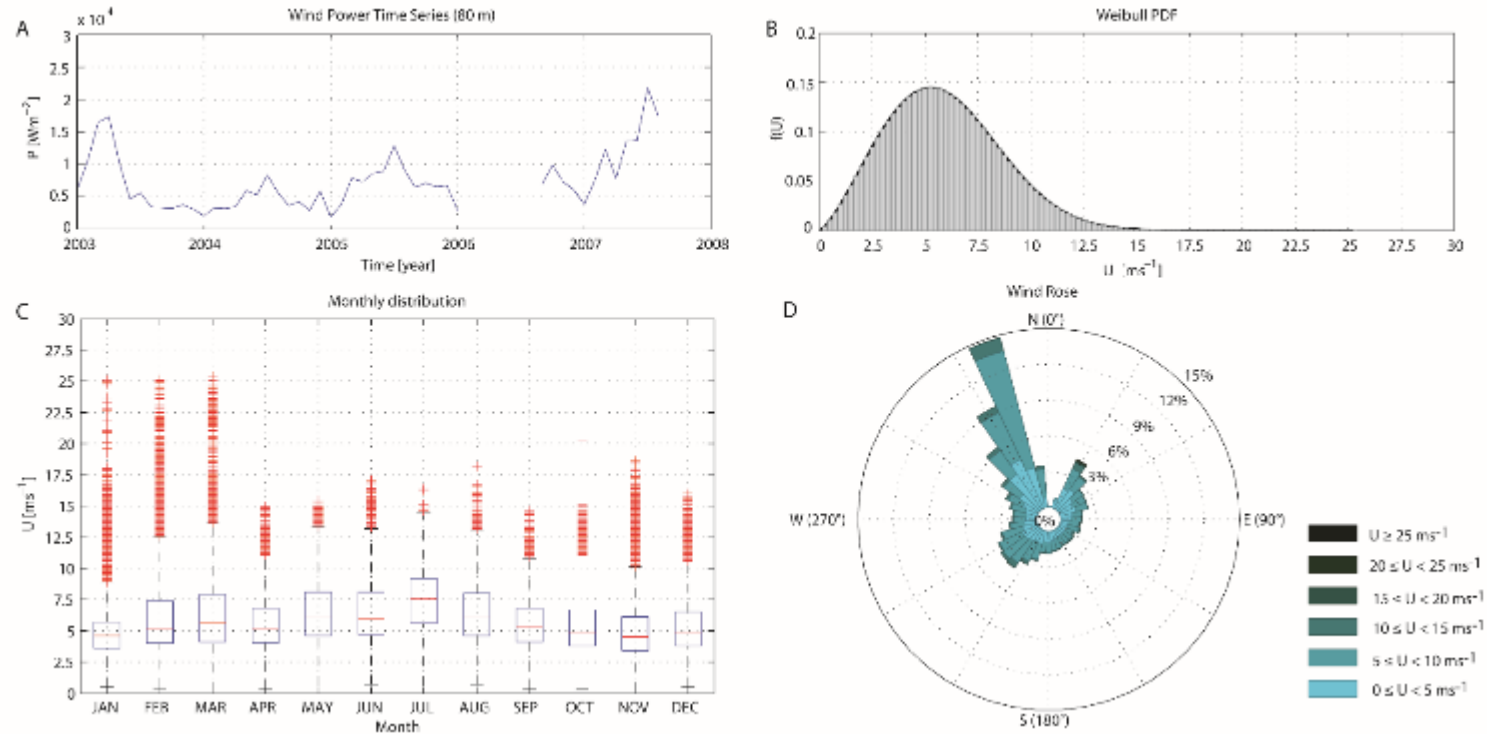
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FIGURE 5



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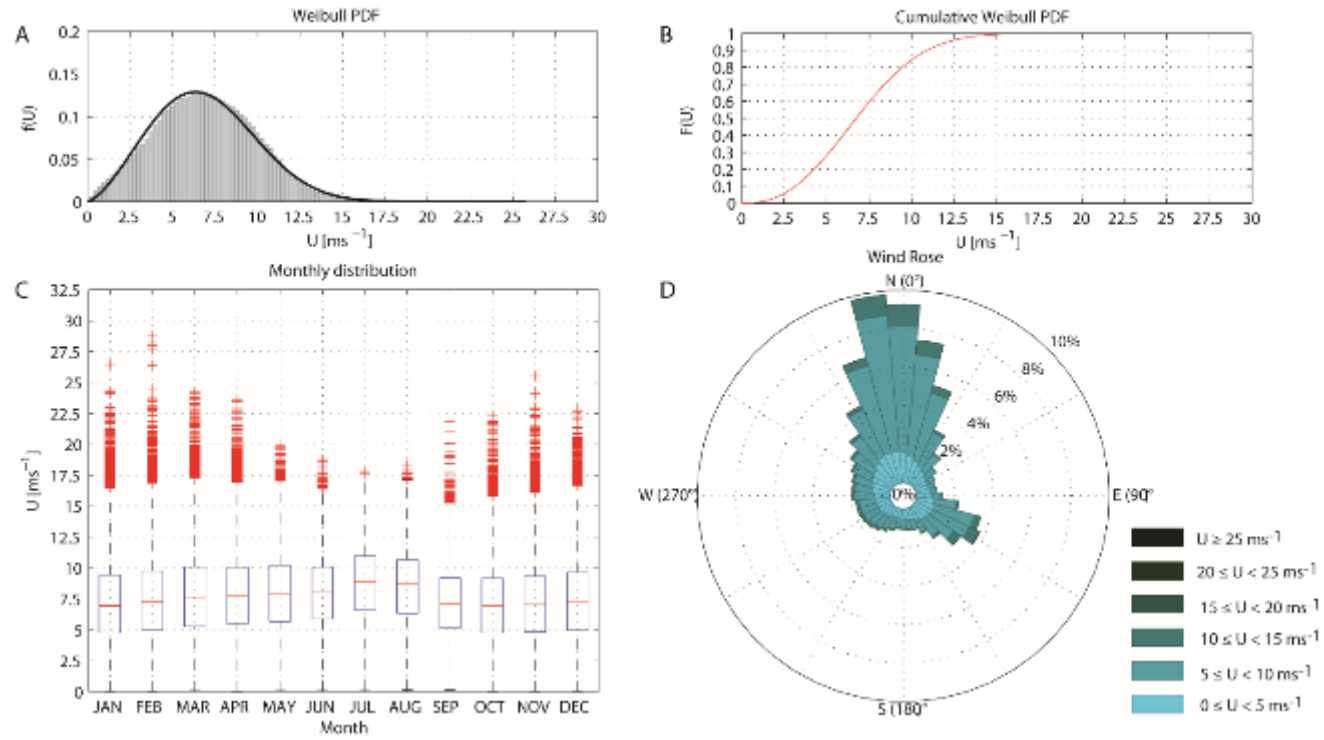
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FIGURE 6



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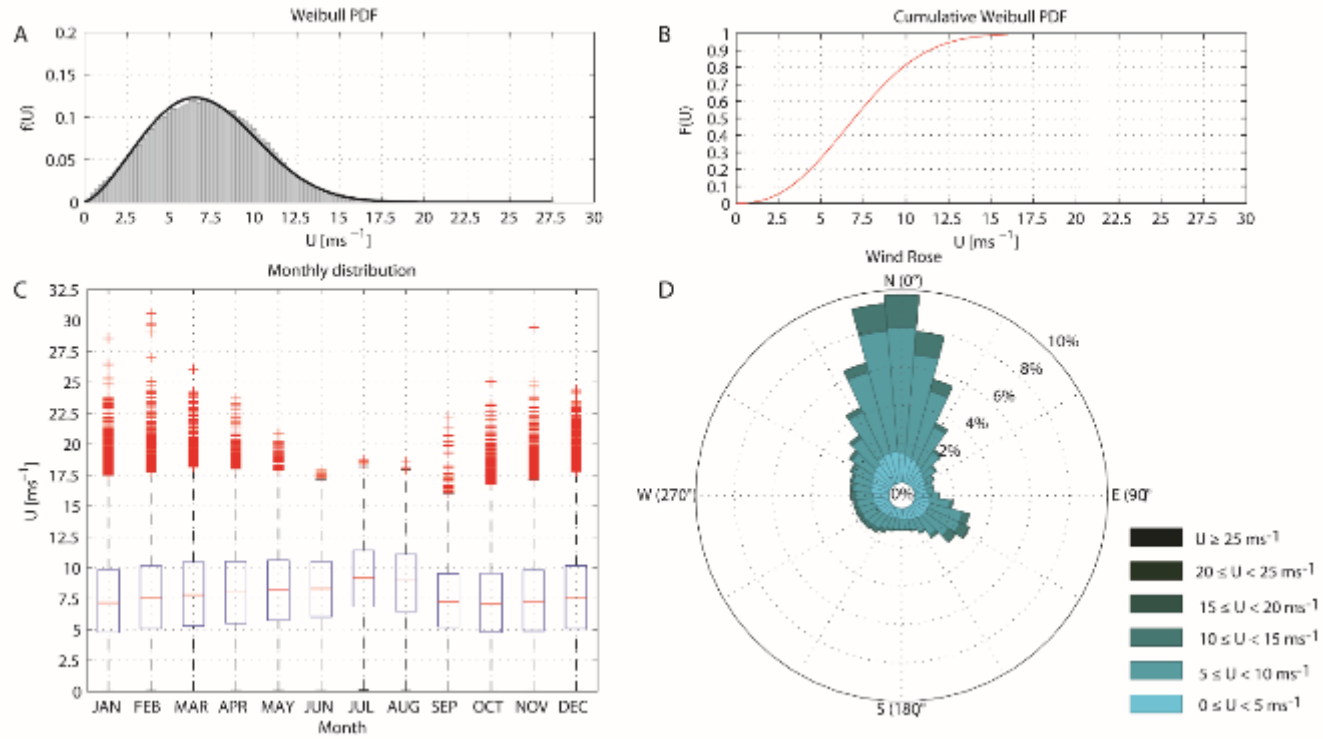
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FIGURE 7



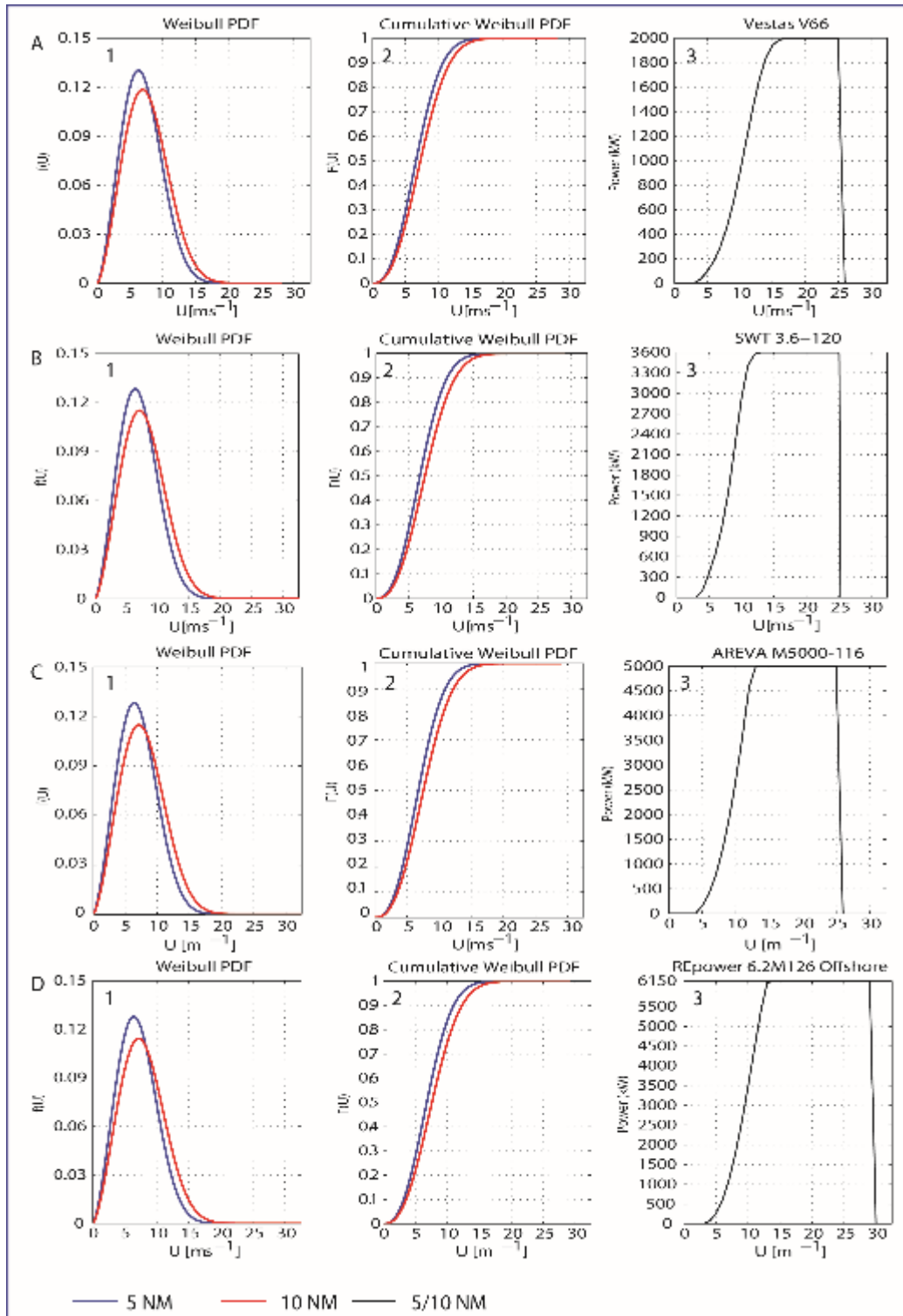
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FIGURE 8

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TABLE 1

Type	Pros	Cons	Concept	Depth range [m]	TRL	Pros	Cons	Technology examples
Fixed	Reduced costs in G&M costs; Shorter grid lines to shore.	Some concepts are affected by scouring; Sea-bed characteristics represent an important constraint; Impact on the coastal landscape; Expensive installation process.	Jacket/Lattice	0-60	9	Good stability; Foundations are lighter, therefore making installation easier. Fabrication expertise is widely available, in part due to Offshore Oil and Gas industry supply chain.	High initial construction costs and potentially higher maintenance costs; Transportation is moderately difficult and expensive.	Quattropod® (by OWEC Tower AS - NO); Hochief Solutions AG (DE); ATIONS/BIFab Jacket (UK); Twisted Jacket Foundation (by Keystone Engr. Inc - USA)
			Monopile	0-40	9	Simple, versatile; Suitable for most soil conditions other than deep soft material; Minimum footprint.	Very expensive installation; Difficult to remove; More susceptible to scour; Performance can be limited due to resonance effects.	LIEngineering A/S (DK)
			Tripod	6-50	9	Good stability and overall stiffness; Minimum preparation of the sea-floor prior to installation.	Not suited for locations with uneven sea beds with large boulders; Potential greater risk of fatigue from the large impact of wind and waves; Expensive at greater depths; More corrosion than monopiles.	Weierwind tripods (DE)
			Triple	0-40	9	Simplicity of their design; Improved stiffness; Automated leveling process.	Requires large amounts of materials; Manufacturing is labour intensive.	Triple (by BARD Engineering GmbH - DE)
			Buccion Bucket or Caisson Foundations	25-55	9	Lightweight structure; Only requires a single operation during deployment.	Installation proven in limited range of sea-floor properties.	Mono bucket (by Universal Foundation - DK); Suction bucket (by LICEngineering - DK)
			Gravity Based Structure (GBS)	0-50	9	Float out installation; Improved performance against structural flexibility.	Costs increase rapidly with water depth; Wide footprint.	Cranefree Gravity® (by SeaTower); Gravitas Gravity Base (by Arup/Costain/Hochtief)
Floatable	Access to a greater wind resource; Wind turbines can be installed quayside before towing; Lower environmental impact on coastal landscape; Decommissioning without any component left on the sites.	Operation and maintenance costs are higher (greater distance to port); More expensive designs to sustain harsh environments; Preventing dynamic motion of the floatable structure increases costs.	Floating	30-150	8	Shallow draft.	Unacceptable motion in waves.	Damping Pool® (by IDEOL - FR)
			Semi-submersible	40-1000	8	Low motions in waves.	Platform needs to be large to achieve required stability.	WindFloat (by Principle Power Inc - USA); Sea-React (by DCNS - FR); WinFlo (by DCNS - FR); Y-Shape (by Mitsubishi Heavy Industries Ltd. - JP)
			Spar	100-200	8	Low motions in waves.	Very deep draft.	Hywind (by Statoil - NO); SWAY® (by Sway - NO); Advanced Spar (by Japan Marine United Corp. - JP)
			Multifloat-spar	65-150	3	Low motions in waves; Shallower draft than Spar.	Only at TRL 3.	Starfloat (by Oceanflow Energy Development - GB)
			Tension Leg Platform (TLP)	60-160	8	Very low motion; Small structure.	Difficult and expensive to install and fit out with turbine.	PalaStar (by Glaston Associates - USA); Gicon® SCF (by GICON Holding GmbH DE); Blue H (NL)

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TABLE 2

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Parameter	TURBINE			
	Vestas V66	SWT 3.6-120	AREVA M5000-116	REpower 6.2M126 Offshore
Rated capacity (kW)	2000	3600	5000	6150
Cut-in speed (m/s)	4	3.5	4	3.5
Rated wind speed (m/s)	17	12	12.5	14
Cut-out speed (m/s)	25	25	25	30
Rotor diameter (m)	66	120	116	126
Hub height (m)	66	88	90	95
Swept area (m ²)	3421	11300	10568	12469
No. blades	3	3	3	3
Tip speed (m/s)	68.4	81.7	89.9	95
Generator type	Induction with optispeed	Asynchronous	Synchronous permanent	Double Fed Asyn
Manufacturer	Vestas Wind Systems A/S	Siemens	AREVA Wind GmbH	REpower Systems SE
Country	Denmark	Germany	Germany	Germany

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TABLE 3

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	JAN	FEV	MAR	APR	MAY	JUN	JUL	AGO	SEP	NOV	DEC
2003											
2004											
2005											
2006											
2007											

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Note: Black cells mean a total absence of data; grey cells mean <75% of data coverage.

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TABLE 4

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Site	U_{mean} (ms ⁻¹)	σ (ms ⁻¹)	U_{max} (ms ⁻¹)	k	c (ms ⁻¹)
APLF_MODEL*	6.74	2.98	25.56	2.39	7.59
APLF_STATION	5.91	2.63	25.40	2.36	6.70
APLF_MODEL ⁺	6.08	2.68	23.04	2.39	6.84
<i>Offshore</i>					
5 NM ⁺	6.94	2.97	25.90	2.49	7.81
10 NM ⁺	7.18	3.12	27.56	2.45	8.09

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* Equation 3 with $\alpha = 0.1$; ⁺ Equation 3 with $\alpha = 0.05$

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TABLE 5

Model	Rotor ϕ (m)	A_T (m ²)	Site	P (MW)	U_{mean} (ms ⁻¹)	σ (ms ⁻¹)	U_{max} (ms ⁻¹)	k	c (ms ⁻¹)	P_{mean} (kW)	E_{out} (kWhyear ⁻¹)	C_F	Full load hours	P_{out} (W m ⁻²)
Vestas V66	66	3421	5 NM	959	6.87	2.94	25.65	2.49	7.73	4.20E+02	3.68E+06	0.21	1837	123
			10 NM	1158	7.55	3.23	28.19	2.49	8.49	5.33E+02	4.67E+06	0.27	2336	156
SWT 3.6-120	120	11300	5 NM	987	6.97	2.98	26.02	2.49	7.84	1.30E+03	1.14E+07	0.36	3154	115
			10 NM	1226	7.77	3.32	29.01	2.49	8.74	1.60E+03	1.40E+07	0.45	3900	142
Areva M5000-116	116	10568	5 NM	989	6.98	2.98	26.05	2.49	7.85	1.24E+03	1.09E+07	0.25	2174	117
			10 NM	1232	7.79	3.33	29.08	2.49	8.76	1.64E+03	1.44E+07	0.33	2872	155
REpower 6.2M 126 Offshore	126	12469	5 NM	994	7.00	2.99	26.12	2.49	7.87	1.62E+03	1.42E+07	0.26	2314	130
			10 NM	1245	7.83	3.35	29.24	2.49	8.81	2.12E+03	1.86E+07	0.34	3019	170

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TABLE 6

Wind Power Class	At a height of 10 m *		At a height of 66 m		At a height of 90 m	
	Wind Power Density [Wm^{-2}]	Speed [ms^{-1}]**	Wind Power Density [Wm^{-2}]	Speed [ms^{-1}] ($\alpha = 0.05$)	Wind Power Density [Wm^{-2}]	Speed [ms^{-1}] ($\alpha = 0.05$)
1	0–100	0–4.4	0–130	0–4.8	0–140	0–4.9
2	100–150	4.4–5.2	130–200	4.8–5.6	140–215	4.9–5.7
3	150–200	5.2–5.6	200–275	5.6–6.2	215–285	5.7–6.3
4	200–250	5.6–6.0	275–335	6.2–6.6	285–350	6.3–6.7
5	250–300	6.0–6.4	335–400	6.6–7.0	350–425	6.7–7.2
6	300–400	6.4–7.0	400–535	7.0–7.7	425–550	7.2–7.8
7	400–1000	7.0–9.4	535–1300	7.7–10.3	550–1350	7.8–10.5

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* Vertical extrapolation of wind speed based on the power law with $\alpha = 0.05$. ** Mean wind speed is based on a Rayleigh speed distribution of equivalent mean wind power density ($P_{avg} = 0.955 \cdot \rho \cdot U^3$). Wind speed is for standard sea-level conditions. To maintain the same power density, wind speed increases by 3%/1000 m elevation.