External quantum efficiency measurements used to study the stability of differently deposited perovskite solar cells

Pedro Hierrezuelo-Cardet,¹ Anderzon F. Palechor-Ocampo,¹ Jorge Caram,^{1,2} Federico Ventosinos,^{1,2} Daniel Pérez-del-Rey,³ Henk J. Bolink,^{3,a)} Javier A. Schmidt^{1,2,a)}

¹ Instituto de Física del Litoral (IFIS-Litoral), CONICET-UNL, Güemes 3450, S3000GLN Santa Fe, Argentina
 ² Facultad de Ingeniería Química, Universidad Nacional del Litoral, Santiago del Estero 2829, S3000AOM Santa Fe, Argentina
 ³ Instituto de Ciencia Molecular, Universidad de Valencia, C/Catedrático J. Beltrán 2, 46980 Paterna, Spain

The instability exhibited by perovskite solar cells when exposed to the environment under illumination is one major obstacle for the entry of perovskite technology on the photovoltaic market. In this work, we use the external quantum efficiency (EQE) technique to study the photoinduced degradation of two types of solar cells having CH₃NH₃Pbl₃ as absorber layer, one deposited by spin coating with an *n-i-p* architecture, and the other deposited by evaporation with an inverted *p-i-n* structure. We also study the effect of different encapsulants to protect the cells against atmospheric agents. We find that EQE provides information regarding the areas of the cell most susceptible to degradation, in addition to providing an estimate of the optical gap and the Urbach energy of the absorbent material. We confirm that the combined action of all the parameters of the cell. The rear part of the cell is the first region to suffer the light- induced degradation. On the other hand, the cells deposited by evaporation and with a good encapsulation process are highly stable, since after 30 hours of exposure just small spectral change is noticed in the red/infrared region of the EQE spectrum.

I. INTRODUCTION

Perovskite solar cells (PSCs) are a promising technology for low-cost and high efficiency photovoltaic devices. PSCs have gained significant interest and importance over the past years with independently verified efficiencies now surpassing 25 %,^{1,2} as well as promising performance in light-emitting diodes,³

^{a)} Authors to whom correspondence should be addressed: javier.schmidt@santafe-conicet.gov.ar

photodetectors,⁴ and lasers.⁵ PSCs also have significant advantages, such as being processed from different scalable processes like spray-deposition,⁶ spin coating,⁷ and vacuum deposition,⁸ among others. Unfortunately, many perovskite materials have relatively limited operational stability, especially compared to silicon, which is reported to have a relative mean degradation of 0.8 % per year.⁹ It is therefore important to have variety of techniques to study degradation mechanisms that limit operational stability.

Meng *et al.* have highlighted the need to address the stability issue of PSCs under environmental factors including light, temperature, and humidity.¹⁰ The stability under illumination at different temperatures was studied by Holzhey *et al.*¹¹ Joshi *et al.* studied the light-induced degradation under inert atmosphere.¹² Christians *et al.* recently demonstrated that, replacing TiO₂ with SnO₂ as electron transport layer, enables a stable operation for at least 1000 h under full spectrum irradiation.¹³ Several studies demonstrated that the combination of light and oxygen is particularly harmful for the performance of PSCs.¹⁴ Recent reviews on the subject of degradation of PSCs can be found in Refs. 15–17.

External quantum efficiency (EQE) is a dimensionless parameter calculated as the number of electrons that exit the device divided by the number of incident photons, at each wavelength. The photocurrent is the integral over the wavelength of the product of the measured EQE with the illumination spectrum, typically AM1.5 global for terrestrial solar cells. Therefore, EQE can be used to determine the losses responsible for reducing the measured short circuit current density (J_{SC}) from the maximum achievable photocurrent. EQE measurements have been used to study the effect of different compositions on the response of PSCs,¹⁸ different thicknesses of the electron transport layer,¹⁹ the effect of intermediate layers,²⁰ or the segregation of phases,²¹ among others. However, only a few studies have used EQE measurements to follow the light-induced degradation of PSCs.²²

The focus of this work is to show that the EQE technique permits to elucidate the effect of illumination on the performance of PSCs. We study the stability under illumination of three different types of PSCs, one fabricated by spin coating and two deposited by vacuum evaporation. In all cases, we concentrate on the hybrid perovskite $CH_3NH_3PbI_3$ (MAPI). The devices obtained by spin coating have an *n-i- p* architecture and are not encapsulated (cells type-A). On the other hand, vacuum-deposited PSCs have a *p- i-n* structure and differ only in the type of encapsulation adhesive used to connect an aluminium barrier sheet. In one case, an acrylic glue deposited over the full area of the stack is used (samples type-B), whereas in the other case a UV-curable epoxy resin is applied only on the bare glass outside of the active device area (samples type-C). Solar cell preparation is described in *Sect. 2.1*. Degradation under light was carried out as described in *Sect. 2.2*. In *Sects. 2.3* and *2.4* we present the experimental methods, current density–voltage (J-V) scans and EQE scans, used to explore degradation mechanisms on the three types of samples. In *Sec. 3* we discuss the main results, and finally we present our conclusions in *Sec. 4*.

II. EXPERIMENTAL METHODS

In this work, to highlight the variability in performance and behaviour of different device architectures, two different solar cell structures are studied: a regular *n-i-p* device not encapsulated (sample type-A) and two inverted *p-i-n* devices with two different encapsulation methods (samples type-B and -C).

A. Solar cell preparation

Type-A devices have a final structure FTO (380 nm) / compact-SnO₂ (20 nm) / MAPI (320 nm) / Spiro- OmeTAD (190 nm) / Au (80 nm), where FTO is fluorine-doped tin oxide and Spiro-OmeTAD is 2,2',7,7'- Tetrakis[N,N-di(4-methoxyphenyl)amino]-9,9'-spirobifluorene. The devices were prepared at the Institute of Advanced Materials, University Jaume I, Castelló, Spain. FTO-covered glasses, without any meso-porous layer, were used as substrates. All the processes were carried out inside a glovebox with oxygen and water levels below 3 ppm. The substrates were partially etched with zinc powder and HCl (2 M) to remove a fringe of FTO. Then, the substrates were cleaned with Hellmanex solution and rinsed with Milli-Q water and ethanol, followed by 15 min of sonication in three steps with acetone, ethanol, and isopropanol. The SnO₂ compact (blocking) layer was deposited onto the substrate by spin-coating from a colloidal solution. The perovskite precursor solution was spin-coated using toluene as antisolvent, followed by heating at 100 °C for 3 min. For the hole transport layer (HTL), a solution of Spiro-OMeTAD was prepared by dissolving 72.3 mg of 2,2',7,7' -tetrakis(N,N' -di-pmethoxyphenylamine)-9,9' -spirobifluorene in 1 ml of chlorobenzene, 28.8 μ L of 4-tert-butylpyridine, and 17.5 μ L of a stock solution of 520 mg/ml of lithium bis-(trifluoromethylsulfonyl) imide in acetonitrile, as additives. The perovskite film was then coated with the HTL solution by dynamically spin coating at 4000 rpm for 30 s. Finally, 100 nm of gold was thermally evaporated on top of the device to form the metal electrode contacts. For more details on the preparation of the type-A devices, see the work of Aranda *et al.*⁷

Inverted *p-i-n* devices, type-B and -C samples, were prepared at the Institute of Molecular Science, Valencia University, Paterna, Spain, as described in Ref. 8. The final structure of the devices is ITO / MoO₃ (5 nm) / TaTm (10 nm) / MAPI (590 nm) / C₆₀ (25 nm) / BCP (8 nm) / Ag, where ITO is indium tin oxide, TaTm is

N4,N4,N4',N4'-tetra([1,1'-biphenyl]-4-yl)-[1,1':4',1'-terphenyl]-4,4'-diamine, and BCP is bathocuproine. In brief, ITO prepatterned substrates were cleaned following a standard procedure and transferred to a vacuum chamber evacuated to a pressure of 10⁻⁶ mbar. The vacuum chamber was equipped with six temperature- controlled evaporation sources (Creaphys) fitted with ceramic crucibles. The substrate holder to evaporation source distance was approximately 20 cm. The materials were sublimed at temperatures ranging from 60 to

>300 °C, and the evaporation rate was controlled by separate sensors. In general, the deposition rate for TaTm and C_{60} was 0.5 Å s⁻¹, and 0.2–0.3 Å s⁻¹ for the thinner BCP. For the perovskite deposition, MAI and PbI₂ were coevaporated by measuring the deposition rate of each material in a different sensor, and obtaining the total perovskite thickness in a third one, leading to a 590 nm-thick perovskite. MoO₃ and Ag were evaporated in a second vacuum chamber. All vacuum chambers are inside inert atmosphere nitrogen gas filled gloveboxes with water and oxygen levels below 0.1 ppm. The area of the devices is around 5 mm². Type-B devices were encapsulated by depositing an acrylic glue over the full area of the stack and placing a thin aluminium cap on top. For type-C devices, we used "Encapsulation Epoxy for Photovoltaics and OLEDs" from Ossila (code E132) to adhere a thin aluminium cap to the free glass surface on the edge of the ITO coated glass substrates. The cells were illuminated with UV light for 20 min to ensure the curing of the adhesive. The encapsulation processes were carried out in an inert atmosphere glovebox.

B. Degradation under illumination

The devices were illuminated with a tungsten-halogen lamp. The irradiance was set to 100 mW cm⁻² using a calibrated silicon photodiode. To exclude additional degradation induced by heating, such as Au migration from the metallic contact²³ or crystallization of the hole transport layer (spiro-MeOTAD in type-A devices), the temperature of the devices was kept at 40 \pm 4 °C. This was achieved by cooling the devices with a fan and illuminating through a shadow mask with the area of the cell. The experimental procedure involved the following steps. First, the EQE and the dark I-V curves were measured at room temperature. Then, the cell was illuminated and the light I-V curve was measured. The beginning of the illumination is t=0 in this experiment; as the measurement of the I-V curve takes approximately 1 minute, the first I-V curve is considered as t = 1 min. Without interrupting the illumination, the second I-V curve was measured after 3 minutes. Next, the illumination was interrupted and the cell was kept 2 minutes in the dark under forced-air convection cooling to recover room temperature. The temperature evolution was measured with a platinum resistor attached to the surface of the cell. The EQE curves were always measured at room temperature. After the EQE measurement, illumination was resumed and the illumination time was restarted. We have found that it takes approximately 3 minutes of illumination for the temperature to stabilize at ~40 °C.

C. I-V characterization

The I-V curves were measured with a Siglent SDM 3055 multimeter and a GW-Instek GPD-3303S voltage source, in the dark and under illumination with the same tungsten-halogen lamp used for light- induced degradation. In all I-V measurements, a voltage sweep from 1.2 to -0.1 V with a scan rate of 60 mV s^{-1} was used.

D. External Quantum Efficiency

The basic idea in an external quantum efficiency experiment is to know the ratio between the number of photogenerated carriers per incident photons, as a function of the wavelength λ , under short circuit conditions:

$$EQE(\lambda) = \frac{n_e(\lambda)}{n_{ph}(\lambda)}$$
(1)

One practical way to deduce this quantity is to measure the short circuit current of a calibrated photodiode with known EQE, and then measuring the short circuit current of the unknown device, obtaining its EQE as

$$EQE_{unk}(\lambda) = \frac{I_{unk}(\lambda)}{I_d(\lambda)} EQE_d(\lambda)$$
(2)

In this equation $I(\lambda)$ is the short circuit current measured at each wavelength, the subscript *unk* refers to the unknown device, while *d* is for the calibrated photodiode. It is important to note that, since we are dividing by the current of the calibrated diode, if the light source used in the experiment does not change between measurements then EQE is independent of the lamp used. This is important because the short circuit current density under standard test conditions can be derived from EQE as

$$J_{sc} = q \int_0^\infty \Phi(\lambda) EQE(\lambda) \, d\lambda \tag{3}$$

In this equation, q is the electron charge and Φ is the photon flux corresponding to the AM1.5 spectrum.²⁴

The experimental setup that we use is shown in Fig. 1. As it can be seen, we use a Xenon arc lamp as a light source, which goes through a computer-controlled double pass monochromator. Since the measurement of a dc current can be problematic due to noise, we use lock-in amplifiers together with current/voltage amplifiers to have a better signal to noise ratio. The addition of a second reference diode is done in order to correct the measurement by small changes in the intensity of the illumination source (that may happen due to temperature variations, for example). A comprehensive revision of the EQE technique

for different types of solar cells can be found in Ref. 25. In order to measure EQE curves, we used 10 nm steps from 400 to 850 nm. Each point was measured 10 times, and a waiting time of 5 s was set between different wavelengths. Each data point shown in the following figures is the average of 10 measurements, while the error bars correspond to the statistical error. In all measurements the temperature was 25 ± 2 °C and no bias light was used.



FIG 1. External quantum efficiency experimental set-up.

III. RESULTS AND DISCUSSION

Type-A devices, fabricated by spin coating and unencapsulated, exhibit a poor stability as depicted in Figure 2. Figure 2(a) shows the current density - voltage curves as a function of illumination time. In Fig. 2(b) it can be seen that the open circuit voltage (Voc) loses more than 20 % after 180 minutes of illumination. On the other hand, the fill factor (FF) remains approximately constant after an initial drop. Figure 2(c) shows that the short circuit current density (J_{sc}) decreases by a factor of ~5. As a result, the power conversion efficiency decreases to ~15 % of the initial value (Fig. 2(d)). Degradation occurs in a short time period because the samples are not encapsulated, and the simultaneous action of light and oxygen from the environment degrades MAPI with the formation of Pbl₂ and other lead salts.^{14,26}





In accordance with the decrease in J_{SC}, we observe a decrease in the EQE of the type- A samples, as shown in Figure 3(a), with the maximum EQE value dropping from 64 to 20 % in 180 minutes of illumination. The shape of the EQE curve also changes, with greater impact in wavelengths above 500 nm, as will become apparent in the analysis of Fig. 4. This behaviour may be associated with an increase in the relative levels of Pbl₂ within the device.^{22,27} The use of Eq. (3) to calculate J_{SC} from the EQE spectrums provides a good agreement with the photocurrent density obtained from J-V measurements, as shown in Figure 2(c). The difference that can be seen especially for long illumination times, may be due to the fact that J-V and EQE measurements are performed at slightly different temperatures, and EQE is measured without a bias light.



FIG. 3. Degradation as a function of illumination time studied from external quantum efficiency measurements. (a) EQE spectra for the illumination times indicated in the legend; (b) Evolution of the Urbach energy with the illumination time; the line is a guide to the eye.

The EQE curves can also be used to estimate the bandgap energy (E_G) of the absorber material of a solar cell.²⁸ The first derivative of the EQE curves, $d(EQE)/d\lambda$, exhibits a sharp peak in the long wavelength region. Performing the derivative of the spectra of Fig. 3(a), a peak at 774 nm is observed, corresponding to E_G = 1.602 eV. This value agrees with the one obtained from the optical transmittance spectrum of a single MAPI layer. The open circuit voltage deficit, $V_{OC,def}$, is defined by $E_G/q - V_{OC}$ and is related to the carrier recombination and the absorber quality.²⁸ From the initial *J-V* curve of Fig. 2(a), V_{OC} = 1.034 V and $V_{OC,def}$ = 0.568 V is found for these type-A cells. Moreover, an indication of the quality of the absorber material may be given by the width of the Urbach tail, E_U . The Urbach tails are comprised of electronic states distributed within the band gap, with a density exponentially increasing towards the band edges. The E_U value for the absorber layer of a solar cell can be calculated from the long-wavelength edge of its EQE (near the band edge) using the expression²⁹

$$ln(EQE) = \frac{h\nu}{E_{II}} + \mathbb{C}$$

where hv is the photon energy and \mathbb{C} is a constant. A fit with Eq. (4) of the EQE curves of Fig. 3(a) give the E_{U} values plotted in Fig. 3(b). As can be seen, the Urbach energy tends to increase with the illumination time, in agreement with the observed deterioration of all the parameters of the solar cell.

In order to distinguish between humidity and illumination, we used a reference cell from the same batch of type- A devices, and having almost identical initial performance. This reference cell was exposed to

the environment at the same time that device A was being illuminated, the only difference being that the reference device was kept under dark conditions, thus evidencing only the ambient-induced degradation. Figure 4 shows the comparison between a cell exposed to light and the environment (Figure 4 (a)) and the reference cell (Figure 4(b)). As can be seen, 15 minutes of combined exposure to light and air causes a similar degradation than 30 hours of air exposure alone. Taking advantage of the possibility offered by EQE of obtaining spectral information, we can gain insight into the behaviour of the different regions of the cell. We can see that in both cases the percentage decrease in EQE, relative to the t=0 spectra, have the same profile (right scales). The decay is more pronounced in the red/infrared region of the spectra, close to the absorption edge. As infrared light is uniformly absorbed in the device, this region of the EQE curve provides information about what is taking place in the bulk and rear surface of the device. Considering the structure of type-A devices, this region corresponds to the MAPI layer or the MAPI/Spiro-OmeTAD interface. Since the back of these devices is exposed to the ambient, it is reasonable to assume that the degradation should start from these layers. Although the back of the cell is partially covered by gold contacts, oxygen / moisture is likely to diffuse inward from the edges of the contacts. Also, degradation may well happen first at the grain boundaries, since it is in this area where the ingress is easiest. Degradation at interfaces and fairly uniformly within the bulk has been previously inferred from wavelength-dependent laser-beam mapping experiments.³⁰ From the comparison of Figs. 4 (a) and (b) it is clear that the illumination has a pronounced effect accelerating the ambient-induced degradation, as already pointed out by other authors.²²



FIG. 4. External quantum efficiency (left scales) and percentage decrease relative to t=0 (right scales) for different time exposures:(a) Cell simultaneously exposed to the environment and illuminated, (b) cell xposed only to the environment.

The EQE curves of Fig. 5(a) correspond to a type-B device, exposed to illumination under ambient conditions. The EQE technique allows us to observe the degradation of the different zones of this device, which is fabricated by vacuum evaporation and encapsulated with an acrylic glue. Comparing with Fig. 3(a), it is evident that type-B devices exhibit a considerable improvement in stability over type-A devices. The degradation takes place in a time scale of hours for type-B devices, compared to minutes for type-A. This is due to: i) type-B solar cells are encapsulated, avoiding a large incorporation of humidity and oxygen in the active layer; ii) the vacuum evaporation method favours homogeneity, reduces the density of defects and eliminates the presence of water and organic solvents; iii) the use of passivating layers reduces the interface defects. These differences are essential in obtaining good PSCs.³¹ In type-A devices the MAPI layer was fabricated by spin coating, a method that does not guarantee homogeneity in the deposition. Also, evaporation is known to produce layers with a lower defect concentration.^{32,33} Finally, the encapsulant is a fundamental part of any solar device of this type, the absence of which expose the solar cells to degradation phenomena.



FIG. 5. Light-induced degradation of PSCs obtained by vacuum evaporation; (a) EQE spectra of type-B devices for the illumination times indicated in the legend; (b) evolution of the Urbach energy with the illumination time, for type-B (circles) and type-C (stars) devices; the lines are a guide to the eye.

The EQE curves of Fig. 5(a) were also used to estimate E_G for the absorber material of this type-B device.²⁸ The first derivative $d(EQE)/d\lambda$ exhibits a sharp peak for 770 nm, corresponding to E_G = 1.61 eV. The open circuit voltage for this device is V_{oc} = 1.059 V, meaning an open circuit voltage deficit $V_{oc,def}$ = 0.551 V for these type-B cell, slightly lower than the value obtained for type-A devices. The E_U value for the absorber layer of this solar cell has also been calculated from the long-wavelength edge of its EQE using Eq. (4).²⁹ The E_U values are plotted in Fig. 5(b) as circles. It can be seen that there is a sharp increase in E_U for the first 45 minutes of illumination, followed by a slight increase as the degradation evolves. Moreover, the E_{U} values are lower than those obtained for type-A devices (Fig. 3(b)), indicating a higher quality for the absorber material.

As can be seen in Fig. 5(a), the first 45 minutes of exposure produce a detriment in the EQE for wavelengths lower than 500 nm, and an improvement for the rest of the spectrum. This initial effect disappears after hours of exposure, when the degradation extends to the whole spectrum. To gain insight into the behaviour of the different regions of the cell, we present in Fig. 6 the behaviour of this cell after 45 minutes (Fig. 6 (a)) and 10.5 hours (Fig. 6(b)) of illumination. In both cases, the right scales correspond to the percentage decrease in EQE, relative to the t=0 spectra. The EQE spectrum after the first 45 minutes of illumination (Fig. 6(a)) presents a deterioration in the front region of the device of around 8.6 %, but at the same time a gain of ~6 % in the rest of the device. Although the solar spectrum is absorbed throughout the whole cell, the more energetic (or short wavelength, UV) photons are absorbed mostly at the front of the device. These energetic photons may cause irreversible damage to the Pb-I₂ bonds on the perovskite layer.³⁴ The improvement in the rest of the device may be associated with ion migration, specially I' species, as suggested by some authors.³⁵ This behaviour would be favoured by the initial increase in temperature when the samples are exposed to illumination for the first time. As the exposure time increases (Fig. 6(b)), a deterioration of the EQE is observed in the whole range of wavelengths, although the loss in the front region is still more important. We recall that in these *p-i-n* structures the front region of the device corresponds to the MoO₃ / TaTm / MAPI interfaces.



FIG. 6. External quantum efficiency (left scales) and percentage decrease relative to t=0 (right scales) for type- B cells (a) after 45 minutes of illumination, and (b) after 10.5 hours of illumination, in both cases under ambient conditions.

One of the ways to reduce the degradation effects discussed here, such as the incorporation of oxygen and water molecules, is with better encapsulation. Figure 7 shows the behaviour of type-C devices.



FIG. 7. Degradation as a function of time for type- C PSCs obtained by the vacuum evaporation method (a) current density-voltage curve (J-V), the characteristic parameters of the cell are indicated in the legend; (b) EQE for different illumination times, the short circuit current densities obtained from Eq. (3) are indicated in the legend.

Type-C devices were exposed to direct sunlight for the measurement of the I-V curve. The irradiance of 100 mW/cm² was measured with a Kipp & Zonen CMP6 pyranometer. Figure 7 (a) allows us to obtain the electrical parameters, from which we can determine the fill factor and the efficiency of the device. Observing the values obtained for this type of cell, we are in the presence of a good cell with an efficiency of 16.1 %. Using again the EQE technique, Fig. 7 (b) shows that in this device there is a general improvement in charge collection. The external quantum efficiency is around 72 % for 400 nm, and reaches almost 100 % for 500 nm. This is an indication of better surface passivation and a much lower recombination rate compared to the other devices. The EQE technique shows a much more stable device to the exposure of light and the environment; after 30 hours of exposure, the first spectral changes are hardly observed in the red/infrared region of the spectra. This degradation is only appreciable by the EQE technique, since the J-V curves are almost identical, being the decrease of the current density of 2.8 %. The J_{sc} values obtained from Eq. (3) agree well with the value measured from the J-V curve, cross validating the results. The E_U values of type-C device, obtained fitting with Eq. (4) the EQE curves of Fig. 7(b), are plotted in Fig. 5(b) as stars. It can be seen

that E_U remains low even when the sample is illuminated under ambient conditions, meaning that the encapsulant used for this device effectively reduces the degradation. The values obtained for E_U , around 14.8 meV, are within the range of values calculated from data available in the literature.^{28,36,37}

There are several reasons for the enhanced stability of the evaporated and encapsulated devices compared to type-A devices. The absence of residual solvents will reduce the ionic mobility in the perovskite film, and the smooth perovskite film allows for the use of thin charge extraction layers that therefore do not need to be oxidized. But probably the main reason is the absence of water, which is known to form an equilibrium (acid-base) reaction with the methylammonium cations. Upon proton transfer from the MA to the water molecules, the resulting methylamine escapes as a gas which leads to the disintegration of the perovskite and the formation of Pbl₂. As the bandgap of Pbl₂ is larger than that of the perovskite, the formation of it near a charge extracting interface would lead to an energy barrier for charge extraction that would be observed as a decrease in the fill factor of the solar cells. Therefore, we believe that the higher purity of the evaporated materials and the absence of water and organic solvents for thin-film formation contribute to the improved stability of these devices.

IV. CONCLUSIONS

The EQE technique has been used to observe the spectral changes that occur in the different regions of perovskite photovoltaic devices when exposed to the environment and to illumination with an irradiance of 100 mW/cm². Type- A devices, which are not encapsulated, show a rapid drop in their efficiency when exposed to light under ambient conditions. On the other hand, when exposed to the environment only, the decay of the EQE occurs much more slowly, losing ~20 % of its initial value in 30 hours. The fact that the same type of sample loses an equal amount of EQE in 15 minutes when illuminated and exposed to the environment, confirms that light accelerates the degradation of the device. Type- B devices show a substantial improvement in stability compared to type- A, exhibiting even an increase in part of the EQE spectrum in the first minutes of illumination. These devices are prepared by vacuum evaporation with an inverted p-i-n architecture, and are encapsulated. In the case of type- C devices, with an improvement in the encapsulant, the stability is remarkable and after 30 hours of exposure just a small spectral change is noticed in the red/infrared region of the spectrum. This confirms that encapsulation is extremely important in PSCs, reducing the effects of degradation due to the incorporation of oxygen and water molecules present in the environment.

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