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## Electronic Voting, Turnout, and Voter Self-Selection: Evidence from a Quasi-Natural Experiment

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## Electronic Voting, Turnout, and Voter Self-Selection: Evidence from a Quasi-Natural Experiment

We take advantage of a quasi-natural experiment in Évora, Portugal, to evaluate the impact of electronic voting on the 2019 European election results. We rely on difference-in-differences estimations, with control groups chosen by matching methods, and find that the turnout rate decreases by 2 percentage points, a decline mostly explained by the decrease of the Communist Party's vote share. Socio-economic characteristics of these voters, including higher age, and lower education and income levels, may explain the results. We performed an on-site and online questionnaire, and find that those participating, mostly highly educated, were for the most part aware of and shared a positive view of the electronic voting procedure, described as 'easy' and 'effective'.

Keywords: Electronic voting; turnout; European Elections

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#### 1. Introduction

Modern democracies face the challenge of low electoral participation (Wattenberg 2002; Blais and Rubenson 2013). If elections exist to establish a parliament that represents the people, lower turnout rates may lead to biased and unequal political influence, when compared to the full electorate (Lijphart 1997). To tackle this issue, policy makers have attempted several approaches, one being electronic voting. There are two types of electronic voting: internet voting and presential voting. In the first method, in place in countries such as Estonia (Alvarez, Hall and Trechsel 2009), citizens vote remotely, using a computer and internet. In the second method, in current use in the United States and Brazil (Avgerou et al. 2009; Dee 2007; Card and Moretti 2007), citizens still have to go to the polling station, but they vote through an electronic voting machine (EVM). One of the advantages of presential electronic voting is a shorter time to know the electoral results and, when combined with the possibility of voting in any polling station, a lower cost for the citizens who are away from their place of registration, which may encourage turnout.

In this paper, we take advantage of a quasi-experiment that took place in Évora, Portugal, on the 2019 European election, and conduct a differences-in-differences estimation to assess the impact of optional electronic voting on turnout rate. With the expectation of an increase on representation of far-right parties, typically with contrary values to the European Union, and its closeness to the national elections, the 2019 European election was of a higher importance. Traditionally with low electoral participation, there was an urgent call for ideas in order to boost turnout, such as electronic voting. However, in the particular case of the experiment, citizens still had to go the polling station, meaning there was no significant decrease on the cost of voting. When we compare Évora with two contiguous districts of the same region, Portalegre and Beja, we do not find evidence of any change in turnout rates. However, when using matching methods to have a similar control group with the treated district, we find evidence that turnout rates decreased, on average, by about 2 percentage points in our treated district, ceteris paribus. A question of great interest, given the nature of electronic voting and the similar cost for voters, is assessing whether people vote differently across the two voting methods. We establish that CDU, dominated by the Portuguese Communist Party (PCP), sees their votes share consistently decrease by 2 percentage points, on average, when we compare Évora with the matched control group. PCP voters tend to be older, with a lower level of education and from lower socio-economic class, indicating electronic voting could have scared this population group, as suggested in existing literature.

To further explore the results, we conducted an online and on-site survey in Évora, gathering 316 valid answers. 89% of voters were aware of the electronic voting option, in a sample of highly educated people. Moreover, it was found that when narrowing the sample to the citizens who used electronic voting and gave a positive feedback, the percentage of people with higher education increases. Similarly, there are less retired and old people and consequently more youngers. Both results are consistent with the decrease of CDU's vote share and its voters' profile. Additionally, electronic voting was perceived as 'easy', effective' and 'simple'. In fact, when asked whether they found electronic voting difficult, 98% felt it was easy.

#### 2. Literature Review

Turnout is decreasing in most elections in developed democracies (Wattenberg 2002; Blais and Rubenson 2013). Indeed, several studies have been done to understand the rationale behind voting behaviour and the sources of the negative trend of turnout (Blais and Rubenson 2013). In Canada, Blais et al. (2004) concluded that propensity to vote decreased in all age groups, because not only were youngers not interested in politics, but also because they do not see voting as a moral obligation. Furthermore, both socioeconomic characteristics of parents and political resources determine whether new eligible youngers become habitual voters or not, at least at the beginning (Plutzer 2002). These two factors together might explain the negative trend of turnout, considering its dynamics. Focusing on European elections, Reif and Schmitt (1980) strike that these elections are of 'second-order', implying a lower importance compared to the national elections, which could explain the lower turnout rates.

In an attempt to reverse the negative trend, policymakers are trying new measures, although some with not the desired results: by way of example, Germann and Serdült (2017) studied the impact of internet voting introduced in two Swiss municipalities, Geneva and Zurich, and found out that there was a negative effect on electoral participation, although not statistically significant. Contrarily, positive innovations include Election Day vote centres in the USA (Stein and Vonnahme 2008). In Australia, a country with turnout rate around 90%, there is compulsory voting, with a fine if citizens do not vote (Malkopoulou 2009).

Focusing on electronic voting, Allers and Kooreman (2009) suggest that the share of residual voters<sup>1</sup> is reduced as direct-recording electronic (DRE) voting machines are used, as it only becomes recorded the intentionally blank votes. Not surprisingly, turnout temporarily increases. After the first election with the new voting system, the effect becomes statistically insignificant. When using voting technologies some cautions must be made. Card and Moretti (2007) analysed how voting innovations could have influenced election outcomes in the 2000 and 2004 US Presidential elections. They concluded that DRE voting was positively correlated with an increase of the vote share for the Republican Party. Interestingly, the authors also found out that DRE voting can impact election results through turnout: they found out that Hispanics, who tend to vote more for the Democratic party, presented lower levels of turnout, although no effect on Blacks. Along with this hypothesis, it was shown that elderlies are also expected to use their voting rights less often with the change in voting technology (Roseman and Stephenson 2005). At the same time, no significant results were

<sup>&</sup>lt;sup>1</sup> Votes that are cast, but not attributed to a particular candidate, such as null and blank votes.

found for the low-educated citizens. Using the 2003 California gubernatorial recall election, Dee (2007) presented evidence that when using punch-card systems California citizens saw their votes mistakenly taken, as they tend to confuse and vote erroneously for one of the four candidates positioned above or below the two main candidates.

#### 3. Institutional Background

In 1986, after several enlargements, Portugal and Spain became the 11<sup>th</sup> and 12<sup>th</sup> countries of the now called European Union. Among several treaties signed, highlights to the Lisbon Treaty, which came in force on December 1, 2009, since it implemented several changes regarding the powers of the European Parliament (EP), as a way to increase citizens' voice inside the EU. First, 40 new policy areas were given to EP, from agriculture and fisheries to security and justice. At the same time, the institution would now have similar powers to the Council, concerning the approval of the annual budget and it would elect the President of the Commission, the executive body of the EU.

To better economically integrate the several and diverse countries of the EU, there is a system of contributions and consequently redistribution of the funds. In 2017, total EU spending in Portugal was roughly 4.000 billion euros ( $\notin$  3.976 billion, 2.11% of Portuguese Gross National Income in 2017), while Portuguese contribution to the EU budget was around 1.300 billion euros ( $\notin$  1.375 billion, 0.73% of GNI), meaning that Portugal was a net receiver. Indeed, the increase of the EU funds impacts positively the entry rates of firms, especially of micro firms, improving and boosting economic growth (Santos and Tavares 2018).

Before analysing Portuguese voting behaviours on European elections, it is important to understand their feelings towards the EU. According to a Flash Eurobarometer presented in May 2019, 81% of the interviewers agreed that 'what brings European citizens together is more important than what separates them', meaning that the Portuguese understand the importance of European values and their advantages. Moreover, referring to the Spring 2019 Eurobarometer of the EP, when asked whether they would vote to leave the EU, 77% of the Portuguese interviewers would vote 'remain'. Indeed, 69% said they looked at the EU as 'good thing'. Not surprisingly, 82% considers that Portugal benefited from joining the EU, contrasting with the EU-average of just 68%. EP is the only EU institution directly elected by citizens. However, the majority of the Portuguese does not feel heard in the EU (51%), and when asked when the European elections were going to take place, 29% did not know and 38% did not answer correctly, with 47% of the interviewers admitting that it was improbable that they would vote. Lastly, when asked for the reasons for not voting, independently of voting or not, highlights to powerless vote (33%) and distrust on the political system (30%).

As stated before, EU countries directly elects members of the EP on European Elections. From the elections Portugal has participated in, there were held eight ones with the last one being on 26 May 2019. Concerning all EU countries, in this last election, overall turnout increased for the first time ever, after its negative trend since 1979, year of the first elections, reaching more than 50%. According to Eurobarometer, the most contributor to the increase was the young generation. Interestingly, compared with 2014, more people voted due to civic duty (52%, + 11pp in 2014) and more support of the European Union (25%, +11pp).

#### a. The Experiment

In an attempt to reinforce democratic participation, on July 18, 2018, the parliament passed a law to promote 'the implementation, on an experimental basis, of in-situ electronic voting, in at least 10 municipalities'. The project was developed by *Secretaria Geral do Ministério da Admnistração Interna* (SGMAI). The chosen district was Évora, because, according to the report written by SGMAI: it had 14 municipalities (close to 10); it had proportional distribution between rural and urban municipalities and civil parishes; it had a significant number of voters and a good representativity among municipalities; any civil parish was less than 60 minutes' drive to the county seat; and last but not least, it had the

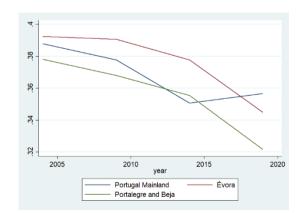
support of the Intermunicipal Community of Alentejo Central (*Comunidade Intermunicipal do Alentejo Central*).

Évora is one of Portugal's 18 districts and belongs to Alentejo region, coinciding with the Intermunicipal Community of Alentejo Central (sub-region). It is in the South of Portugal and it is composed of 14 municipalities and 69 civil parishes. In 2018, Alentejo Central had around 153,701 inhabitants in its 7,393 km2, being the sixth sub-region with a lower population density (20.8). In parallel, taking into account only Continental Portugal (mainland), Alentejo Central ranks top 3 with the fewest concluded constructions for housing (121 in 2018), with Alto Alentejo and Baixo Alentejo being placed number 1 and 2, respectively. The lack of railways investment on services and infrastructure does not help to modernize the region, with good potential considering its closeness to Portugal's capital, Lisbon, and Spain. Évora's GDP per capita in 2017 was 16.732, below the national average (18.894). Using Census data, we conclude that employed people in the primary sector has declined from 61.2% in 1960 to 9.3% in 2011, while the tertiary sector saw an increase from 22.3% to 69.4%.

Alentejo Central, as other sub-regions of Alentejo, is traditionally left-wing, currently with 11 (out of 14) municipalities with mayors from Socialist Party (PS – *Partido Socialista*) and Unitary Democratic Coalition<sup>2</sup> (CDU - *Coligação Democrática Unitária*), and the other three (Borba, Estremoz and Redondo) with independent mayors. Only Mourão and Vila Viçosa have had right-wing mayors from the main centre-right party, PPD/PSD (*Socialist Democratic Party*). However, in the XXI century, the winners were always PS or PCP (or independent mayors) in local elections. Indeed, the Communist Party always had a strong support in Alentejo since its clandestine existence. During *Estado Novo* (1926-1974), the authoritarian regime, Alentejo's labour force was mostly composed by salaried farm workers,

<sup>&</sup>lt;sup>2</sup> CDU is a coalition dominated by the Portuguese Communist Party (PCP – *Partido Comunista Português*), hence we will refer to PCP henceforth. It also includes the Ecologist Party "The Green" (PEV – *Partido Ecologista "Os Verdes"*).

in precarious conditions who saw support on PCP, which explains why the party is strong in the region (Maxwell 1997). Concerning national elections, in 2015 for the first time ever and after the economic and financial crisis, the two main radical left parties, PCP and Left Bloc (BE – *Bloco de Esquerda*), supported parliamentary the minority government of PS (Fernandes 2016; Lisi 2016). By surprise of many, since the radical parties were always seen as the 'voice of protest and opposition outside the parliament' (Fernandes, Magalhães and Santana-Pereira 2018), the government lasted for the entire legislature. Focusing on European elections, after two consecutive elections with high electoral participation (in 1987, on the same day as the legislative election, and 1989) turnout rate has been stable, with values between 30% and 40% nationally since 2004. After the economic crisis and the bailout with the intervention of Troika between 2011 and 2014, which harmed European Elections on 2014 (Freire and Santana-Pereira 2015), overall turnout increased in 2019. Nevertheless, as displayed in figure 1, there is a strong trend towards a decrease in turnout rate both in Évora and in its neighbouring districts. As one might expect, PS and PCP have cast the majority of the votes across this district (37.85% and 21.55%, respectively<sup>3</sup>).



**Figure 1 Turnout Rate on European Elections** 

Coming back to the electronic voting experiment, in all 14 municipalities, there were a total of 185 traditional polling stations in all civil parishes and 47 electronic polling stations distributed among 25 civil parishes. Hence, there were polling places with only traditional

<sup>3</sup> The denominator considered is voters.

polling stations and others with both systems. All municipalities had at least one directrecording electronic voting machine, which was working independently of the traditional polling stations. Thus, the two methods co-existed across the district, 'allowing the citizens to choose between using a traditional method or an electronic one' (*Voto Eletrónico no Distrito de Évora – Relatório Final, page 8*). It was chosen to establish a non-binding electronic voting system because it was a pilot experiment. Since not all civil parishes had electronic machines, Évora's voters could vote electronically in any polling station, regardless of their residence. This was made possible by the dematerialization of the electoral roll, meaning that instead of a paper electoral roll there were portable computers with the information needed.

During the Election Day, the procedure went as follows: the system of the electronic machine was started, with the introduction of a smartcard and a PIN of the president or vice-president of the polling station to activate the citizen's session. The ballot would appear, and the voter would press the chosen option.<sup>4</sup> After voting, the paper ballot would be printed for the voter to verify the vote (voter-verifiable paper audit trail). Afterwards, the voter would fold the paper in four and deliver it to the responsible person to put it on the ballot box.

For the experiment, SGMAI was authorized to bear the costs, arising from:

- a) the purchase of 430 telecommunication equipment up to a maximum of 349,900 €, plus VAT;
- b) the purchase of portable computers for the dematerialization of electoral roll (CED) up to a maximum of 235,000€, plus VAT;
- c) the contract for the provision of services for the development of a software for the dematerialized electoral roll (CED), in a total amount of 408,666€, plus VAT.

Thus, a total of 1,22 million  $\in$ , including VAT, was available for the experiment to run.

<sup>&</sup>lt;sup>4</sup> Including an option for blank and null vote.

In reality, there were two contracts concluded, taking into account two categories (*lotes*): Electronic Voting System and associated services (category 1) and Infrastructure provision and communications services between polling stations and National Internal Security Network (*RNSI – Rede Nacional de Segurança Interna*), as well as the equipment installation and support (category 2), which included the 430 telecommunication equipment. Category 1 costed a maximum value of 598,279.37€, plus VAT, while Category 2 costed 348,876.20€, plus VAT, giving a total of around 1,17 million €, including VAT.

Some interesting results can be advanced using simple arithmetic computations. Out of the 232 polling stations, 47 were electronic (20.26% out of total), while the remaining 185 were traditional (79.74%). Moreover, 15,735 Évora's citizens chose to vote electronically, representing 33.29% of total voters. Thus, electronic voting machines seemed to have a positive effect on voters' choice, since the proportion of people who voted on DRE machines was higher than the proportion of electronic voting stations. In fact, in Mourão, more than half of voters opted to use DRE machines, although the difference was only 16 voters. In Évora, the district's capital, 37.08% of the voters chose to vote electronically.

#### 4. Empirical strategy

#### a. Empirical methodology

We take advantage of a quasi-experiment in Évora's district, with the introduction of the electronic voting option to evaluate its impact on turnout and on the percentage of votes on certain parties. For this, we will use differences-in-differences (DiD, henceforth) and matching procedures.

To estimate the effect of the electronic voting option on the different political outcomes (turnout rate, percentage of blank, null and parties' votes), we estimate the following difference-in-differences specification (Card and Krueger 1994) for municipality i and year t, from 2004–2019:

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$$y_{it} = \alpha_i + \lambda_t + \eta_1 * treated_i * post period_{it} + X'_{it}\beta + \varepsilon_{it}$$
(1)

where y are the different outcome variables defined in the following section,  $\alpha_i$  are the municipality fixed effects,  $\lambda_t$  the year fixed effects, *treated* is a binary variable which takes value one if the municipality belongs to Évora's district, i.e., had the option of electronic voting and zero otherwise, *post period* is a binary indicator that takes value one for 2019, the year of the implementation of the policy, and  $X'_{it}\beta$  is a vector of socio-demographic, economic, and political characteristics at the municipal level, which will be further described in the section below. Following Bertrand, Duflo and Mullain (2004), we cluster standard errors at the municipal level.

One of the most crucial assumptions to correctly implement DiD is the common trends or parallel trends assumption. It states that, in the absence of treatment, the unobserved differences between the treated and control groups would be constant over time. To test it, we will run the following regression and show the graphic design to be easily assessed. If this assumption does not hold, DiD estimates are biased and we cannot rely on the results.

$$y_{it} = \alpha_i + \lambda_t + \tau_2 * post + \sum_{t=2004}^{2019} \eta_t * treated * post + X'_{it}\beta + \varepsilon_{it} \quad (2)$$

The first control group we use is Portalegre and Beja's districts, because they belong to the same region – Alentejo. However, in order to get a more homogeneous comparison group, controlling for the observed characteristics, we use matching, and more precisely 2-nearest neighbour, to choose another control group (Rubin 1979; Stuart et al. 2014). For robustness, we also show DiD results comparing Évora with a comparison group obtained with a kernel matching procedure.

Thus, the first matching method was nearest neighbour. Here, for each treated unit *i*, the software finds a comparison group by  $A_j(x) = \{j | min_j | |x_i - x_j| \}$ , where || || is the Euclidian distance between vectors. Simplifying, we are matching each treated unit with the closest untreated observation (in our paper, we use 2-nearest neighbour, meaning we try to

find two untreated units for each treated). Afterwards, we also weight using kernel, which weights according to the following regression, where K is the kernel (0.05 in our paper):

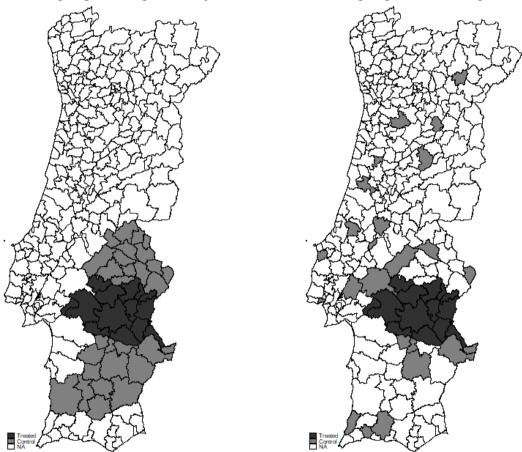
$$w(i,j) = \frac{K(x_j - x_i)}{\sum_{i=1}^{N_{ci}} K(x_j - x_i)} \quad (3)$$

The geographical distribution of two out of three control groups is displayed in figure 2.

#### Figure 2 Geographical distribution of the treated and control municipalities

Control group: Portalegre and Beja

Control group: 2-nearest neighbour



Furthermore, to verify that the three control groups were similar to the treated units, we perform balanced tests to analyse their differences on observables.

#### b. Data

The dataset used covers all municipalities of Portugal mainland, from 2004 to 2019. Besides electoral results, there is no data available for 2019, so we use data from 2018 for the non-political variables. The only exception is energy consumed, for which we use data from 2017, due to unavailability. Table 1 reports descriptive statistics for the variables used.

	Sample I Évora, Portalegre and Beja			Évora a	Sample II Évora and Matching: 2-nearest neighbour		
	Obs.	Mean	Std Dev	Obs.	Mean	Std Dev	
Dependent Variables							
Turnoutrate	172	0.369838	0.054321	152	0.354879	0.048682	
Percentage of PS voters Percentage of PCP	172	0.141546	0.037549	152	0.12852	0.034698	
voters Percentage of	172	0.095756	0.055736	152	0.074338	0.053582	
PSD/CDS voters Percentage of voters on	172	0.06669	0.028326	152	0.08039	0.040334	
other parties Percentage of Blank	172	0.047469	0.014807	152	0.051412	0.02029	
votes Percentage of Null	172	0.011344	0.004113	152	0.012773	0.005206	
votes	172	0.007032	0.002345	152	0.007447	0.002841	
<b>Independent Variables</b> Dummy if town hall is							
left Dummy if town hall is	172	0.802326	0.399408	152	0.835526	0.37193	
neither left nor right Dummy if town hall aligned with	172	0.05814	0.23469	152	0.085526	0.280588	
government Share of population	172	0.319767	0.467748	152	0.335526	0.473735	
with 14 y.o. or less Share of population	172	0.830076	1.81856	152	0.722043	1.746136	
with 65 y.o or more Energy consumption	172	0.270826	0.042761	152	0.247844	0.040078	
per capita Share of	172	4.50849	4.788545	152	3.901146	1.351215	
unemployment Municipal expenditures	172	0.073999	0.105116	152	0.063852	0.114687	
per capita	172	1.702737	0.656205	152	1.402203	0.639239	

 Table 1 Descriptive Statistics for period 2004-2019

We wanted to assess the impact of electronic voting on different political outcomes (turnout rate, percentage of blank, null and parties' votes). Our main dependent variable – turnout – was computed as the number of people who voted (voters) divided by the number of people who could vote, independently of having voted or not (eligible voters). Turnout is calculated to analyse whether electronic voting improved electoral participation or not. Afterward, we also calculate the percentage of the votes in certain parties (mainly PS and

PCP, the two main parties of the region) out of the total eligible voters. With the results, we can see if, despite the results for turnout, voters voted differently when using electronic voting. Data directly related to voting was taken from SGMAI.

Since Alentejo region has a certain preference for left parties, as we described above, we control it by using three political dummy variables. The first is equal to one if the winning party of the town hall is from left and zero otherwise; the second is equal to one if the winning party of the town hall is neither right nor left, and zero otherwise; the last political dummy variable is one if the president of the town hall is aligned with the central government, and zero otherwise. Due to unavailable data, we narrowed our choice for the demographic and economic control variables. As demographic variables, we include both share of the population with less than 15 years old as well as share of the population with or more than 65 years old, taken from Instituto Nacional de Estatística. Goerres (2007) concluded that as older individuals are used to vote, their turnout rates are higher and thus we expect higher levels in those municipalities. Since there is no measure for GDP segregated by municipalities, we use energy consumption per capita, taken from Direção-Geral de Geologia e Energia. We expect richer municipalities to be more politically involved, with more social connections and thus a higher sense of society and a higher propensity to vote (Burns, Schlozman and Verba 2001). Still, according to Stockemer (2015), there is a quadratic relationship between turnout and GDP, since a higher GDP is expected to increase the level of turnout, until a certain point when turnout starts decreasing. As Évora is still a rural region, with GDP below the national average, we expect that higher GDP (and thus higher energy consumption per capita) increases turnout. We know that a higher level of unemployment rate increases citizens' concerns about the future and thus it can lead to a higher turnout rate, as a way to express their fears (Cebula 2017). Hence, we include the municipalities' unemployment rate, using data from Gabinete de Estratégia e Estudos (until 2006) and *Instituto de Emprego e Formação Profissional* onwards. Lastly, we add **municipalities' expenditures per capita** taken from *Direção-Geral das Autarquias Locais*, because, with their increase, citizens perceive elections as of higher importance and thus turnout is expected to increase (Percival et al. 2007).

Our period of analysis starts in 2004 since it is when the different outcomes remain relatively stable over time. In the first European elections, 1987 and 1989, the rates of turnout were above the current ones. Moreover, Eurozone was established in 1999, with the adoption of the Euro, which could have influenced the 1999 European election results.

Before analysing the treatment effect of the policy, it is first important to understand if the different control groups are comparable to the treated group, so we performed the balance tests, which are shown on table 2.

	Portalegre and Beja	Matching: 2-nearest neighbour	Matching: kernel
Dummy if town hall is left	0.027	-0.131	-0.185
Dummy if town hall is left	(0.139)	(0.127)	(0.113)
Dummy if town hall is	0.180	0.131	0.185
neither left nor right	(0.118)	(0.127)	(0.113)
Dummy if town hall	-0.207**	0.000	0.000
aligned with government	(0.077)	-	-
Share of population with	0.366	0.589	0.781
14 y.o. or less	(0.896)	(0.890)	(0.854)
Share of population with	-0.012	0.016	0.012
65 y.o or more	(0.012)	(0.012)	(0.009)
Energy consumption per	-1.447	-0.066	0.381
capita	(1.334)	(0.279)	(0.246)
Share of unemployment	0.002	0.004	0.002
Share of unemployment	(0.004)	(0.005)	(0.004)
Municipalities'	-0.405***	0.058	0.143
expenditures per capita	(0.135)	(0.146)	(0.110)
Number of observations	43	38	83
Number of treated	14	14	14
Number of control	29	24	69

Table 2 Mean differences between Treatment and Control, 2014

Although Portalegre and Beja belong to the same region as Évora – Alentejo - we see that these two districts are statistically different regarding the party that is in the town hall and the

government, and in the municipalities' expenditures per capita. However, when using matching, we have statistically better control groups, apparently similar in the variables we can observe. Thus, we can use DiD to calculate the impact of the electronic voting option.

In our analysis, to be demanding, we focus our attention more on the control group using 2-nearest neighbour, since it appears to have lower differences on the observables.

#### 5. Results

#### a. Baseline results

The first outcome of interest is turnout, as one of the main goals of modernizing elections is to boost electoral participation. The results are presented in table 3.

For each dependent variable, the first three columns are the results using Portalegre and Beja as a control group, column (4) using the control group computed using 2-nearest neighbour and column (5) kernel. Column (1) presents the simplest diff-in-diff model, with no controls. We then included municipal fixed effects in column (2). Finally, columns (3), (4) and (5) have all control variables described in the data section.

	Portalegre and Beja			Matching: 2- nearest neighbour	Matching: Kernel	
	(1) turnout	(2) turnout	(3) turnout	(4) turnout	(5) turnout	
Treated*2019	-0.0023 (0.0057)	-0.0023 (0.0057)	-0.0014 (0.0055)	-0.0191*** (0.0066)	-0.0144** (0.0059)	
Controls	No	No	Yes	Yes	Yes	
Municipal Fixed Effects	No	Yes	Yes	Yes	Yes	
Year dummies	Yes	Yes	Yes	Yes	Yes	
Ν	172	172	172	152	332	
Municipalities		43	43	38	83	
Adjusted R-squared Outcome's mean of	0.153	0.693	0.693	0.620	0.486	
treated group in 2014	0.3780	0.3780	0.3780	0.3780	0.3780	

 Table 3 Baseline Results - Turnout

Note: Standard errors in brackets are clustered at the municipal level and robust to heteroscedasticity. The set of time-varying municipal controls includes dummy if the mayor elected is from a left party, dummy if the mayor elected is neither from left nor right party, dummy if the mayor elected is aligned with government, share of population with 14 y.o. or less, share of population with 65 y.o. or more, energy consumption per capita, share of unemployment, municipalities' expenditures per capita. Stars indicate significance levels of 10% (\*), 5% (\*\*), and 1% (\*\*\*).

Turnout tended to decrease with the treatment. However, only when the control groups were more similar – groups created by matching – do we have statistically significant results. Using 2-nearest neighbour, we conclude that turnout decreased by 1.91 percentage points, with the option of electronic voting, on average ceteris paribus, being statistically significant at 99% confidence level.

Nonetheless, we were not expecting negative changes on turnout, since electronic voting was merely an option, allowing citizens to choose. However, this policy has certain particularities: citizens still have to go to the polling station to vote and, as the experiment only took place in Évora, there was no decrease on the time to know the electoral results. These two facts combined might explain why turnout rate did not increase: costs of voting were practically the same. So, it is interesting to know if there was a general decrease on voters among all parties or if some parties suffered more, to see if there are certain voters' characteristics which might explain the aversion to technology. From background section, we know that the main political parties of Évora's district are PS and PCP, so we first analysed these two outcomes. Results are shown on tables 4 and 5, respectively.

	Portalegre and Beja			Matching: 2- nearest neighbour	Matching: Kernel
	(1) pPS	(2) pPS	(3) pPS	(4) pPS	(5) pPS
Treated*2019	-0.0005 (0.0048)	-0.0005 (0.0048)	0.0007 (0.0037)	-0.0036 (0.0048)	0.0005 (0.0043)
Controls	No	No	Yes	Yes	Yes
Municipal Fixed Effects	No	Yes	Yes	Yes	Yes
Year dummies	Yes	Yes	Yes	Yes	Yes
Ν	172	172	172	152	332
Municipalities		43	43	38	83
Adjusted R-squared Outcome's mean of	0.533	0.837	0.853	0.821	0.781
treated group in 2014	0.1321	0.1321	0.1321	0.1321	0.1321

Table 4 Baseline Results - PS votes (%)

Note: Standard errors in brackets are clustered at the municipal level and robust to heteroscedasticity. The set of time-varying municipal controls includes dummy if the mayor elected is from a left party, dummy if the mayor elected is neither from left nor right party, dummy if the mayor elected is aligned with government, share of population with 14 y.o. or less, share of population with 65 y.o. or more, energy consumption per capita, share of unemployment, municipalities' expenditures per capita. Stars indicate significance levels of 10% (\*), 5% (\*\*), and 1% (\*\*\*).

Regarding PS votes, the most voted party in the region, we see no statistically significant difference among control and treatment groups.

	Portalegre and Beja			Matching: 2- nearest neighbour	Matching: Kernel
	(1)	(2)	(3)	(4)	(5)
	pPCP	pPCP	pPCP	pPCP	pPCP
Treated*2019	-0.0098 (0.0061)	-0.0098 (0.0061)	0.0092 (0.0058)	-0.0200*** (0.0066)	-0.0256*** (0.0055)
Controls	No	No	Yes	Yes	Yes
Municipal Fixed Effects	No	Yes	Yes	Yes	Yes
Year dummies	Yes	Yes	Yes	Yes	Yes
Ν	172	172	172	152	332
Municipalities		43	43	38	83
Adjusted R-squared	0.187	0.748	0.760	0.692	0.641
Outcome's mean of					
treated group in 2014	0.1232	0.1232	0.1232	0.1232	0.1232

 Table 5 Baseline Results – Communist Party votes (%)

Note: Standard errors in brackets are clustered at the municipal level and robust to heteroscedasticity. The set of time-varying municipal controls includes dummy if the mayor elected is from a left party, dummy if the mayor elected is neither from left nor right party, dummy if the mayor elected is aligned with government, share of population with 14 y.o. or less, share of population with 65 y.o. or more, energy consumption per capita, share of unemployment, municipalities' expenditures per capita. Stars indicate significance levels of 10% (\*), 5% (\*\*), and 1% (\*\*\*).

However, the results for PCP are similar to the ones presented on turnout. No statistically significant differences comparing Évora to Portalegre and Beja. Despite so, the percentage of PCP votes decreased 2 percentage points on average ceteris paribus, using 2-nearest neighbour. Interestingly, the magnitude of the coefficient is similar to the one expected by turnout. Alentejo is an important region for PCP since its existence during the authoritarian regime, as salaried farm workers in precarious conditions saw support in the party (Maxwell 1997). Several authors have acknowledged that the party is also close to the trade union movement (Watson 2015; Freire 2009). Moreover, PCP voters tend to be less religious and from lower socioeconomic classes, with only a minority being from upper class (Freire 2009). Furthermore, PCP casts most of its votes among aged people, with only primary education. Usually voters are employees, not including self-employed, and retired people (Cabral 1995). On the 2019 European Election, according to a poll made by *Centro de Estudos e Sondagens de Opinião* of Catholic University, PCP casted the majority of its votes among the people

with more than 65 years old (Público 2019). Being from a lower economic class and older, PCP voters could have an aversion to technology, and not trusting it. In addition, they could be uninformed, not knowing it was merely an option.

In the appendix, we show the results computed for the percentage of votes in other parties, nulls and blanks. We can only observe statistically significant results when comparing Évora with Portalegre and Beja, contrarily to turnout rate and PCP votes. Despite so, the percentage of blank votes, a measure of political protest (Driscoll and Nelson 2014) increased with the electronic voting option. We will further develop the topic. On appendix, we also show the results when we performed DiD using the logarithm form of voters, controlling for the logarithm form of eligible voters. The results are similar to the ones computed for turnout rate, in terms of significance. Moreover, we develop our analyses by excluding the districts' capitals (Évora, Beja and Portalegre) to treatment and the first control groups (Portalegre and Beja districts) for turnout rate and percentage of votes on PS and PCP. There are no statistically significant results.

Lastly, we also analysed, using simple arithmetic computations, the results of Évora on an electronic versus traditional voting approach. Out of the total number of people who voted using the electronic method, 31% voted on PS, while the percentage of PS voters among the traditional approach was 37%. Similarly, only 16% of electronic voters opt to choose PCP, contrasting with 22% of the traditional voters. This means that both PS and PCP cast more votes among the traditional voters, which is in line with the results we got from DiD. Contrarily, other parties' and blank voters saw a higher proportion of their voters using electronic voting machines.

#### b. Internal Validity Considerations

As explained previously in the methodology section, DiD relies on the parallel trends assumption. Therefore, we analyse the different outcomes variables through time, starting in 2004, which are presented in figure 3. We show only the results for one matched control group, since we observe less differences between the control and treated groups using 2-nearest neighbour.

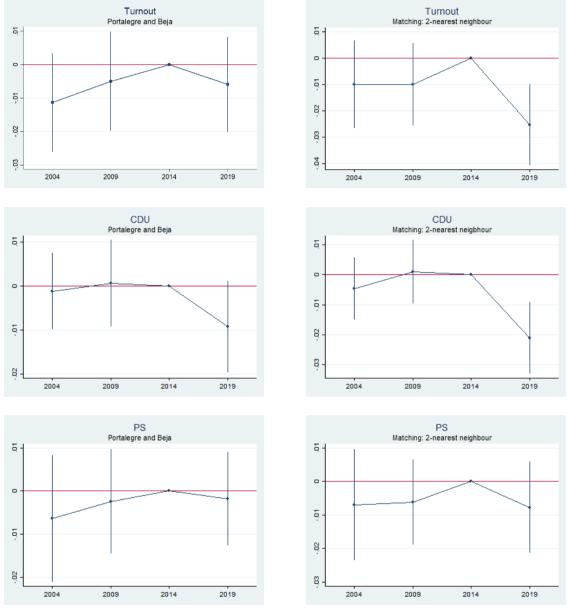


Figure 3 Event Studies for main outcomes variables (95% confidence interval)

Notes: 95% Confidence Levels. Standard errors are clustered at the municipal level.

Regarding turnout, we conclude that, using 95% confidence interval, there are no statistically significant differences between the two groups, until the year of the treatment. Moreover, we could already predict that turnout tended to decrease with the introduction of the electronic voting option. Concerning the other two dependent variables, we see no

difference between control and treated group before the intervention. Despite so, we notice that there is a statistically significant negative jump on the percentage of voters of PCP in 2019 and no jump concerning PS.

#### c. Survey

Between July and September 2019, we also performed on-site<sup>5</sup> and online surveys (51% vs 49%, respectively) to understand the citizens' thoughts about the electronic voting, as well as demographic characteristics of Évora's voters. The translated questionnaire is displayed on appendix 4, while the graphical analyses are presented on appendix 5.

We took a sample of 469 answers, 316 of which valid. The sample is balanced in terms of gender (50% males), with a higher proportion of citizens with higher education (53%), which might be biased. Despite so, 89% of the people knew about the electronic voting option. In this subsample, and conditional of having voted electronically (153 answers), 63% of the citizens had higher education, a bigger value than our original sample. If we narrow down to the people who gave a positive feedback (124 answers), then the proportion of highly educated people increases even more to 66%. Thus, more educated people tended to vote electronically and had a positive feedback about the system. This means that lower educated people, who are an important part of PCP sympathisers, were not users of the electronic method option, which might explain the decrease on PCP's vote share. Furthermore, assuming more educated people are the ones who tend to express a voter of protest, then the significant increase of blanks votes might be explained by the increase of the proportion of educated people. The fact is also confirmed by the poll made by *Centro de Estudos e Sondagens de Opinião of Catholic University*, which shows that the majority of blank votes was casted among the most-educated people (Público 2019).

<sup>&</sup>lt;sup>5</sup> We surveyed citizens in Borba, Estremoz, Évora and Vila Viçosa.

Similarly, the original sample has 16% of retired people, but, as we narrow the sample, its percentage decreases, reinforcing the conclusions for the PCP's results. More specifically, when using the answers of people who knew about the electronic voting and used it, the percentage of retired people decreases to 11%. A decrease in the proportion of retired people, from which PCP casts its votes, suggests a decrease on the party's share of votes. The same goes for old people: when narrowing the sample, the proportion of young people increases and old people decreases, helping the narrative.

It is also interesting to note that, considering the original sample, only 48% knew about the possibility of voting electronically in a civil parish which was not the citizen's civil parish of residence. Considering that the sample is slightly biased towards educated people, who are the ones who tend to be more informed about electoral news and modernisations, there was an important misinformation about the process and a misuse of an important advantage that could boost turnout.

As mentioned before, the last question of the survey was "Describe the voting experience in one word". Word map is displayed on appendix 6. Although the question was biased towards the voting action and not the electronic voting, some people understood the intention of the question to relate to electronic voting. We observe that most answers were positive. Examples include "good", "simple", "innovative" and "effective", which are related to electronic voting. However, "useless" and "distrust" were also recurrent answers, which can be seen a distrust of electronic voting or of political system and thus explain the decrease on turnout.

#### 6. Conclusions

However puzzling the balance of benefits and costs that makes people vote (Dhillon and Peralta 2002), mature democracies tend to experience a steady decrease in voter turnout. Electronic voting is emerging as a possible way to decrease the costs of voting, namely by

simplifying procedures and adding the possibility of voting away from the place of registration. In addition to logistic and ecological advantages, electronic voting furthers expediency and transparency in vote counting. In the 2019 European Election, an election traditionally associated with low turnout, citizens of Évora's district, in Portugal, were given the option of casting an electronic vote over a traditional voting procedure, to be decided at the polling station. We use this quasi-natural experiment to run a differences-in-differences estimation to assess the impact of electronic voting on electoral results. Our results show the availability of electronic voting is associated with a decrease in turnout of 2 percentage points. Our results may be explained by the fact that the cost of voting did not change significantly in our setting, as individuals had to be present at a polling station. We also find a significant decrease in the percentage of votes for the Portuguese Communist Party, of a magnitude similar to that of the decrease in turnout. When compared to the electorate at large, Communist voters are older, less educated citizens, with lower income, and thus possibly more averse to the use of technology. In the context of the existing literature, our results strongly suggest the use of innovative voting technology can exclude specific and groups of citizens, and thus matter for the final result.

We recommend greater efforts be made to inform citizens of the nature and credibility of electronic voting mechanisms. One possible way to do so is for policy makers to motivate such symbiosis between technology and voting through the use of technological platforms to encourage turnout, such as the use of ATMs (Santos, Tavares and Vicente 2019). In sum, electronic voting is not a silver bullet solution for low turnout, especially in second-degree elections such as the European election. Furthermore, implementing electronic voting needs to go hand in hand with local knowledge as to the characteristics and distribution of the electorate, to avoid unnecessary faux pas when self-selection of voters into not voting might be present.

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#### Appendices

	pOthers		pBla	pBlanks		pNulls	
	Portalegre and Beja	2-nearest neighbour	Portalegre and Beja	2-nearest neighbour	Portalegre and Beja	2-nearest neighbour	
	(1)	(2)	(3)	(4)	(5)	(6)	
Treated*2019	0.0064*	0.0044	0.0018***	0.0009	-0.0011*	-0.0008	
	(0.0032)	(0.0042)	(0.0006)	(0.0008)	(0.0006)	(0.0006)	
Controls	Yes	Yes	Yes	Yes	Yes	Yes	
Municipal Fixed							
Effects	Yes	Yes	Yes	Yes	Yes	Yes	
Year dummies	Yes	Yes	Yes	Yes	Yes	Yes	
Ν	172	152	172	152	172	152	
Municipalities	43	38	43	38	43	38	
Adjusted R-squared	0.815	0.799	0.703	0.734	0.457	0.582	
Outcomes' mean of treated group in							
2014	0.1023	0.1023	0.0122	0.0122	0.0080	0.0080	

# Table A1 Baseline Results - Votes in other parties (%), null votes (%) and blank votes(%)

Note: Standard errors in brackets are clustered at the municipal level and robust to heteroscedasticity. The set of time-varying municipal controls includes dummy if the mayor elected is from a left party, dummy if the mayor elected is neither from left nor right party, dummy if the mayor elected is aligned with government, share of population with 14 y.o. or less, share of population with 65 y.o. or more, energy consumption per capita, share of unemployment, municipalities' expenditures per capita. Other parties included all parties, except PS and PCP. Stars indicate significance levels of 10% (\*), 5% (\*\*), and 1% (\*\*\*).

#### Table A2 Results for ln(voters)

	Portalegre and Beja	Matching: 2-nearest neighbour	Matching: Kernel
	(1)	(2)	(3)
	Invoters	Invoters	Invoters
Treated*2019	-0.0013	-0.0507**	-0.0384**
	(0.0173)	(0.0202)	(0.0186)
Controls	Yes	Yes	Yes
Municipal Fixed Effects	Yes	Yes	Yes
Year dummies	Yes	Yes	Yes
Ν	172	152	332
Municipalities	43	38	83
Adjusted R-squared	0.912	0.849	0.768

Note: Standard errors in brackets are clustered at the municipal level and robust to heteroscedasticity. The set of time-varying municipal controls includes dummy if the mayor elected is from a left party, dummy if the mayor elected is neither from left nor right party, dummy if the mayor elected is aligned with government, share of population with 14 y.o. or less, share of population with 65 y.o. or more, energy consumption per capita, share of unemployment, municipalities' expenditures per capita. We also control for ln(eligible voters). Stars indicate significance levels of 10% (\*), 5% (\*\*), and 1% (\*\*\*).

	I	Portalegre and B	eja
	(1)	(1)	(1)
	turnout	pPS	pPCP
Treated*2019	-0.0024	-0.0010	-0.0092
Treated 2019	(0.0060)	(0.0038)	(0.0058)
Controls	Yes	Yes	Yes
Municipal Fixed Effects	Yes	Yes	Yes
Year dummies	Yes	Yes	Yes
Ν	160	160	172
Municipalities	40	40	43
Adjusted R-squared	0.689	0.851	0.760

Table A3 Results without districts' capitals

Note: Standard errors in brackets are clustered at the municipal level and robust to heteroscedasticity. The set of time-varying municipal controls includes dummy if the mayor elected is from a left party, dummy if the mayor elected is neither from left nor right party, dummy if the mayor elected is aligned with government, share of population with 14 y.o. or less, share of population with 65 y.o. or more, energy consumption per capita, share of unemployment, municipalities' expenditures per capita. Stars indicate significance levels of 10% (\*), 5% (\*\*), and 1% (\*\*\*).

#### Appendix 4 Translated survey

As part of a master's thesis from Nova SBE, I kindly ask to fill out this short questionnaire, to study the impact of electronic voting on the 2019 European Election.

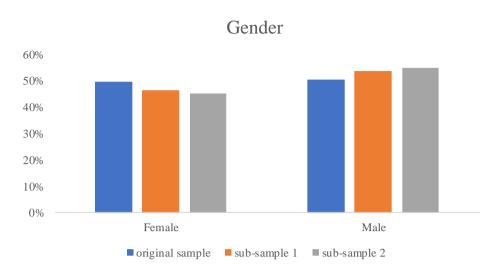
- 1. Gender: F \_\_\_\_ M \_\_\_\_
- 2. Age: \_\_\_\_
- Educational level Basic Education \_\_\_\_\_ Secondary Education \_\_\_\_\_ Higher Education \_\_\_\_\_ Don't know/No opinion \_\_\_\_
- Professional occupation Student \_\_\_\_ Worker \_\_\_\_ Unemployed \_\_\_\_ Retired \_\_\_ Other \_\_\_ Don't know/No opinion \_\_\_\_
- 5. How comfortable are you with technology? Very little 1 \_\_\_\_ 2 \_\_\_ 3 \_\_\_ 4 \_\_\_ Very
- 6. In a scale from 1 to 4, how much interest in politics do you have? Very little 1 \_\_\_ 2 \_\_\_ 3 \_\_\_ 4 \_\_\_ Very
- In a scale from 1 to 4, how close do you feel towards the EU? Very little 1 \_\_\_ 2 \_\_\_ 3 \_\_\_ 4 \_\_\_ Very
- 8. In a scale from 1 to 4, how to you evaluate EU's policies? Negatively 1 \_\_\_ 2 \_\_\_ 3 \_\_\_ 4 \_\_\_ Positively
- 9. Are you registered in Évora's district, i.e., do you vote in Évora's district? Yes \_\_\_\_ No \_\_\_\_ Don't know/No opinion \_\_\_\_
- 10. Did you know about electronic voting in Évora's district? Yes No Don't know/No opinion
- 11. Did you have the possibility of electronically vote in your civil parish? Yes \_\_\_\_ No \_\_\_\_ Don't know/No opinion \_\_\_\_\_
- 12. Did you know you could vote electronically in any parish, and not only in your civil parish of residence?

Yes No Don't know/No opinion

- 13. Did you vote on the <u>2014</u> European Election? Yes No Don't know/No opinion
- 14. Did you vote on the **2019** European Election? Yes \_\_\_\_ No \_\_\_\_ Don't know/No opinion \_\_\_\_
- 15. <u>In case you voted</u>, did you vote electronically? Yes No Don't know/No opinion
- 16. <u>In case you voted electronically</u>, did you vote on your civil parish? Yes \_\_\_\_ No \_\_\_\_ Don't know/No opinion \_\_\_\_\_
- 17. <u>In case you voted electronically</u>, did you find the process difficult? Yes \_\_\_\_ No \_\_\_\_ Don't know/No opinion \_\_\_\_\_
- 18. Describe the voting experience in one word.

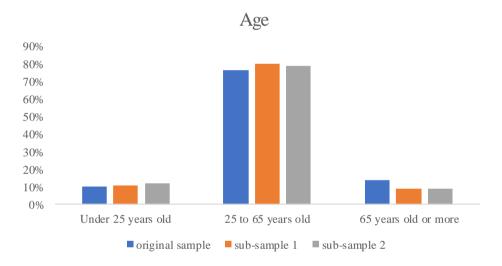
Thank you



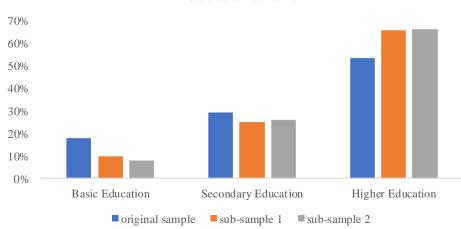


#### Appendix 5 Graphical analysis of the survey's answers

Note: original sample includes all valid answers; sub-sample 1 includes all individuals who knew and voted electronically; sub-sample 2 includes all individuals from sub-sample 1 that gave a positive feedback about the experience of voting.



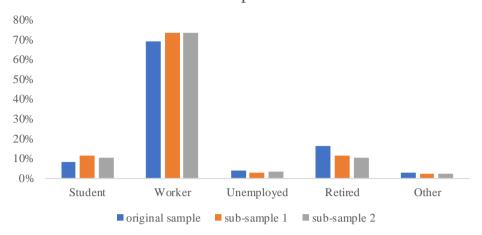
Note: original sample includes all valid answers; sub-sample 1 includes all individuals who knew and voted electronically; sub-sample 2 includes all individuals from sub-sample 1 that gave a positive feedback about the experience of voting.



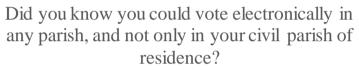
Educational level

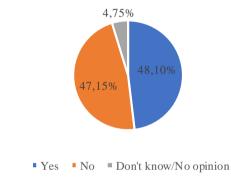
Note: original sample includes all valid answers; sub-sample 1 includes all individuals who knew and voted electronically; sub-sample 2 includes all individuals from sub-sample 1 that gave a positive feedback about the experience of voting.



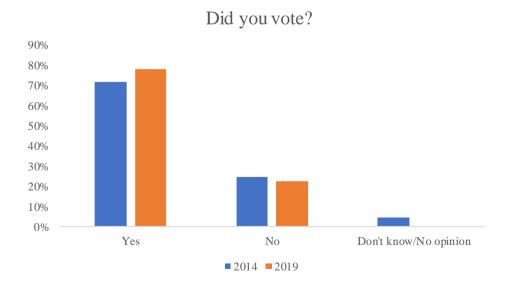


Note: original sample includes all valid answers; sub-sample 1 includes all individuals who knew and voted electronically; sub-sample 2 includes all individuals from sub-sample 1 that gave a positive feedback about the experience of voting.

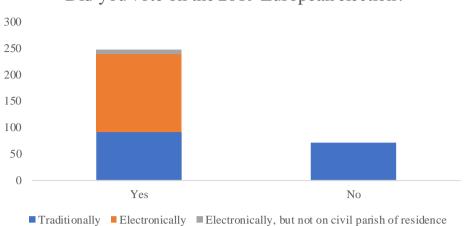




Note: results for original sample only.

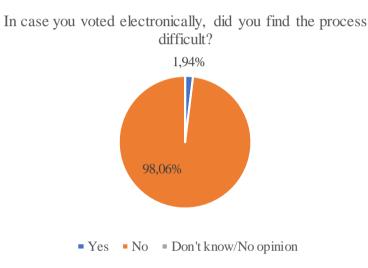


Note: results for original sample only.



### Did you vote on the 2019 European election?

Note: results for original sample only.



Note: results for the people who vote electronically on the 2019 European Election.

#### Appendix 6 Word map of the answers to "Describe the voting experience in one word." in questionnaire

