## CHARACTERIZATION OF NON-DEGENERATE PLANE CURVE SINGULARITIES

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Abstract. We characterize plane curve germs (non-degenerate in Kouchnirenko's sense) in terms of characteristics and intersection multiplicities of branches.

1. Introduction. In this paper we consider (reduced) plane curve germs  $C, D, \ldots$  centered at a fixed point O of a complex nonsingular surface. Two germs C and D are *equisingular* if there exists a bijection between their branches which preserves characteristic pairs and intersection numbers. Let  $(x, y)$  be a chart centered at  $O$ . Then a plane curve germ has a local equation of the form  $\sum c_{\alpha,\beta} x^{\alpha} y^{\beta} = 0$ . Here  $\sum c_{\alpha,\beta} x^{\alpha} y^{\beta}$  is a convergent power series without multiple factors. The *Newton diagram*  $\Delta_{x,y}(C)$  is defined to be the convex hull of the union of quadrants  $(\alpha, \beta) + (\mathbb{R}_+)^2$ ,  $c_{\alpha, \beta} \neq 0$ . Recall that the *Newton boundary*  $\partial \Delta_{x,y}(C)$  is the union of the compact faces of  $\Delta_{x,y}(C)$ . A germ C is called *non-degenerate* with respect to the chart  $(x, y)$  if the coefficients  $c_{\alpha, \beta}$ , where  $(\alpha, \beta)$  runs over integral points lying on the faces of  $\Delta_{x,y}(C)$ , are *generic* (see Preliminaries to this Note for the precise definition). It is a well-known fact that the equisingularity class of a germ C *non-degenerate* with respect to  $(x, y)$  depends exclusively on the Newton polygon formed by the faces of  $\Delta_{x,y}(C)$ : if  $(r_1, s_1), (r_2, s_2), \ldots, (r_k, s_k)$  are subsequent vertices of  $\partial \Delta_{x,y}(C)$ , then the germs C and C' with local equation  $x^{r_1}y^{s_1} + \cdots + x^{r_k}y^{s_k} = 0$  are equisingular. Our aim is to give an explicit description of the non-degenerate plane curve germs in terms of characteristic pairs and intersection numbers of branches. In particular, we show that if two germs  $C$  and  $D$  are equisingular,

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then  $C$  is non-degenerate if and only if  $D$  is non-degenerate. The proof of our result is based on a refined version of Kouchnirenko's formula for the Milnor number and on the concept of contact exponent.

2. Preliminaries. Let  $\mathbb{R}_+ = \{x \in \mathbb{R} : x \geq 0\}$ . For any subsets  $A, B$ of the quarter  $\mathbb{R}^2_+$ , we consider the arithmetic sum  $A + B = \{a + b : a \in$ A and  $b \in B$ . If  $S \subset \mathbb{N}^2$ , then  $\Delta(S)$  is the convex hull of the set  $S + \mathbb{R}^2_+$ . The subset  $\Delta$  of  $\mathbb{R}^2_+$  is a *Newton diagram* if  $\Delta = \Delta(S)$  for a set  $S \subset \mathbb{N}^2$  (see [\[1,](#page-9-0) [5\]](#page-9-1)). Following Teissier we put  $\{\frac{a}{b}\} = \Delta(S)$  if  $S = \{(a, 0), (0, b)\}, \{\frac{a}{\infty}\} = (a, 0) + \mathbb{R}^2_+$ and  $\{\frac{\infty}{b}\} = (0, b) + \mathbb{R}^2$  for any  $a, b > 0$  and call such diagrams *elementary Newton diagrams*. The Newton diagrams form a semigroup  $\mathcal N$  with respect to the arithmetic sum. The elementary Newton diagrams generate  $\mathcal{N}$ . If  $\Delta = \sum_{i=1}^r \{\frac{a_i}{b_i}\}\$ , then  $a_i/b_i$  are the inclinations of edges of the diagram  $\Delta$  (by convention,  $\frac{a}{\infty} = 0$  and  $\frac{\infty}{h} = \infty$  for  $a, b > 0$ . We also put  $a + \infty = \infty$  $a \cdot \infty = \infty$ , inf {a,  $\infty$ } = a if  $a > 0$  and  $0 \cdot \infty = 0$ .

*Minkowski's area*  $[\Delta, \Delta'] \in \mathbb{N} \cup \{\infty\}$  of two Newton diagrams  $\Delta, \Delta'$  is uniquely determined by the following conditions:

<span id="page-1-1"></span><span id="page-1-0"></span> $(m_1)$   $\left[\Delta_1 + \Delta_2, \Delta'\right] = \left[\Delta_1, \Delta'\right] + \left[\Delta_2, \Delta'\right],$  $(m_2) \ \ |\Delta,\Delta'| = |\Delta',\Delta|,$  $(m_3)$   $\left[\{\frac{a}{b}\}, \{\frac{a}{b'}\}\right] = \inf \{ab', a'b\}.$ 

<span id="page-1-2"></span>We define the *Newton number*  $\nu(\Delta) \in \mathbb{N} \cup \{\infty\}$  by the following properties:

$$
\begin{array}{l} (\nu_1) \ \nu(\sum_{i=1}^k \Delta_i) = \sum_{i=1}^k \nu(\Delta_i) + 2 \sum_{1 \le i < j \le k} [\Delta_i, \Delta_j] - k + 1, \\ (\nu_2) \ \nu(\{\frac{a}{b}\}) = (a-1)(b-1), \ \nu(\{\frac{1}{\infty}\}) = \nu(\{\frac{\infty}{1}\}) = 0. \end{array}
$$

A diagram  $\Delta$  is *convenient* (resp., *nearly convenient*) if  $\Delta$  intersects both axes (resp., if the distances of  $\Delta$  to the axes are  $\leq$  1). Note that  $\Delta$  is nearly convenient if and only if  $\nu(\Delta) \neq \infty$ . Fix a complex nonsingular surface, i.e., a complex holomorphic variety of dimension 2. Throughout this paper, we consider *reduced* plane curve germs  $C, D, \ldots$  centered at a fixed point O of this surface. We denote by  $(C, D)$  the *intersection multiplicity* of C and D and by  $m(C)$  the *multiplicity* of C. There is  $(C, D) \geq m(C)m(D)$ ; if  $(C, D)$  $m(C)m(D)$ , then we say that C and D *intersect transversally*. Let  $(x, y)$ be a chart centered at  $O$ . Then a plane curve germ  $C$  has a local equation  $f(x,y) = \sum c_{\alpha\beta} x^{\alpha} y^{\beta} \in \mathbb{C} \{x, y\}$  without multiple factors. We put  $\Delta_{x,y}(C) =$  $\Delta(S)$ , where  $S = \{(\alpha, \beta) \in \mathbb{N}^2 : c_{\alpha\beta} \neq 0\}$ . Clearly,  $\Delta_{x,y}(C)$  depends on C and  $(x, y)$ . We note two fundamental properties of Newton diagrams:

 $(N_1)$  If  $(C_i)$  is a finite family of plane curve germs such that  $C_i$  and  $C_j$   $(i \neq j)$ have no common irreducible component, then

$$
\Delta_{x,y}\left(\bigcup_i C_i\right) = \sum_i \Delta_{x,y}(C_i) .
$$

*(N2)* If *C* is an irreducible germ (a branch) then

$$
\Delta_{x,y}(C) = \left\{ \frac{(C, y = 0)}{(C, x = 0)} \right\}.
$$

For the proof, we refer the reader to  $[1]$ , pp. 634–640.

The topological boundary of  $\Delta_{x,y}(C)$  is the union of two half-lines and a finite number of compact segments (faces). For any face *S* of  $\Delta_{x,y}(C)$  we let  $f_S(x,y) = \sum_{(\alpha,\beta)\in S} c_{\alpha,\beta} x^{\alpha} y^{\beta}$ . Then C is *non-degenerate* with respect to the chart  $(x, y)$  if for all faces *S* of  $\Delta_{x,y}(C)$  the system

$$
\frac{\partial f_S}{\partial x}(x,y)=\frac{\partial f_S}{\partial y}(x,y)=0
$$

has no solutions in  $\mathbb{C}^* \times \mathbb{C}^*$ . We say that the germ *C* is *non-degenerate* if there exists a chart  $(x, y)$  such that C is non-degenerate with respect to  $(x, y)$ .

For any reduced plane curve germs  $C$  and  $D$  with irreducible components  $(C_i)$ and  $(D_j)$ , we put  $d(C, D) = \inf_{i,j} \{ (C_i, D_j) / (m(C_i) m(D_j)) \}$  and call  $d(C, D)$ the *order of contact* of germs  $C$  and  $D$ . Then for any  $C, D$  and  $E$ :

- $(d_1)$   $d(C, D) = \infty$  if and only if  $C = D$  is a branch.
- <span id="page-2-0"></span> $(d_2)$   $d(C, D) = d(D, C)$ ,
- (d<sub>3</sub>)  $d(C, D) \ge \inf \{ d(C, E), d(E, D) \}.$

The proof of  $(d_3)$  is given in [\[2\]](#page-9-0) for the case of irreducible  $C, D, E$ , which implies the general case. Condition  $(d_3)$  is equivalent to the following: at least two of three numbers  $d(C, D)$ ,  $d(C, E)$ ,  $d(E, D)$  are equal and the third is not smaller than the other two. For each germ  $C$ , we define

 $d(C) = \sup\{d(C, L): L \text{ runs over all smooth branches}\}$ 

<span id="page-2-1"></span>and call  $d(C)$  the *contact exponent* of C (see [\[4\]](#page-9-2), Definition 1.5, where the term "characteristic exponent" is used). Using  $(d_3)$  we check that  $d(C) \leq d(C, C)$ .

 $(d_4)$  For every finite family  $(C<sup>i</sup>)$  of plane curve germs we have

$$
d(\bigcup_i C^i) = \inf \{ \inf_i d(C^i), \inf_{i,j} d(C^i, C^j) \} .
$$

The proof of  $(d_4)$  is given in [\[3\]](#page-9-3) (see Proposition 2.6). We say that a smooth germ L has *maximal contact* with C if  $d(C, L) = d(C)$ . Note that  $d(C) = \infty$ if and only if *C* is a smooth branch. If *C* is singular then  $d(C)$  is a rational

number and there exists a smooth branch L which has maximal contact with  $C$  (see [\[4,](#page-9-2) [1\]](#page-9-0)).

<span id="page-3-1"></span>**3. Results.** Let C be a plane curve germ. A finite family of germs  $(C^{(i)})_i$ is called a *decomposition* of C if  $C = \bigcup_i C^{(i)}$  and  $C^{(i)}$ ,  $C^{(i_1)}$  ( $i \neq i_1$ ) have no common branch. The following definition will play a key role.

DEFINITION 3.1. A plane curve C is *Newton's germ* (shortly an *N*-germ) if there exists a decomposition  $(C^{(i)})_{1\leq i\leq s}$  of C such that the following conditions hold

(1)  $1 \leq d(C^{(1)}) < \ldots < d(C^{(s)}) \leq \infty$ .

- (2) Let  $(C_j^{(i)})_j$  be branches of  $C^{(i)}$ . Then
	- (a) if  $d(C^{(i)}) \in \mathbb{N} \cup \{\infty\}$  then the branches  $(C_j^{(i)})_j$  are smooth,
	- (b) if  $d(C^{(i)}) \notin \mathbb{N} \cup \{\infty\}$  then there exists a pair of coprime integers  $(a_i, b_i)$ such that each branch  $C_j^{(i)}$  has exactly one characteristic pair  $(a_i, b_i)$ . Moreover,  $d(C_i^{(i)}) = d(C_i^{(i)})$  for all j.

(3) If 
$$
C_l^{(i)} \neq C_k^{(i_1)}
$$
, then  $d(C_l^{(i)}, C_k^{(i_1)}) = \inf\{d(C^{(i)}), d(C^{(i_1)})\}.$ 

A branch is Newton's germ if it is smooth or has exactly one characteristic pair. Let C be Newton's germ. The decomposition  $\{C^{(i)}\}$  satisfying (1), (2) and (3) is not unique. Take for example a germ C that has all  $r > 2$  branches smooth intersecting with multiplicity  $d > 0$ . Then for any branch L of C, we may put  $C^{(1)} = C \setminus \{L\}$  and  $C^{(2)} = \{L\}$  (or simply  $C^{(1)} = C$ ). If C and D are equisingular germs, then  $C$  is an  $N$ -germ if and only if  $D$  is an  $N$ -germ.

<span id="page-3-0"></span>Our main result is

Theorem 3.2. *Let* C *be a plane curve germ. Then the following two conditions are equivalent*

- *1. The germ* C *is non-degenerate with respect to a chart* (x,y) *such that* C *and*  $\{x = 0\}$  *intersect transversally,*
- *2.* C *is Newton's germ.*

We give a proof of Theorem [3.2 i](#page-3-0)n Sectio[n 5](#page-5-0) of this paper. Let us note here

COROLLARY 3.3. If a germ  $C$  is unitangent, then  $C$  is non-degenerate if *and only if* C *is an* N *-germ.*

Every germ C has the *tangential decomposition*  $(\tilde{C}^i)_{i=1,\dots,t}$  such that

- 1.  $\tilde{C}^i$  are unitangent, that is for every two branches  $\tilde{C}^i_j$ ,  $\tilde{C}^i_k$  of  $\tilde{C}^i$  there is  $d(\tilde{C}_i^i, \tilde{C}_k^i) > 1.$
- 2.  $d(\tilde{C}^i, \tilde{C}^{i_1}) = 1$  for  $i \neq i_1$ .

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<span id="page-4-0"></span>We call  $(\tilde{C}^i)_i$  tangential components of *C*. Note that  $t(C) = t$  (the number of tangential components) is an invariant of equisingularity.

THEOREM 3.4. *If*  $(\tilde{C}^i)_{i=1,\dots,t}$  *is the tangential decomposition of the germ* C *then the following two conditions are equivalent*

- *1. The germ C is non-degenerate.*
- 2. All tangential components  $\tilde{C}^i$  of C are N-germs and at least  $t(C) 2$  of *them are smooth.*

<span id="page-4-2"></span>Using Theorem [3.4](#page-4-0), we get

COROLLARY 3.5. Let C and D be equisingular plane curve germs. Then C *is non-degenerate if and only if D is non-degenerate.*

## 4. Kouchnirenko's theorem for plane curve singularities.

Let  $\mu(C)$  be the *Milnor number* of a reduced germ *C*. By definition,  $\mu(C)$  =  $\dim \mathbb{C}\{x,y\}/(\frac{\partial f}{\partial x},\frac{\partial f}{\partial y})$ , where  $f=0$  is an equation without multiple factors of *C*. The following properties are well-known (see e.g. [\[9\]](#page-9-4)).

- $(\mu_1)$   $\mu(C) = 0$  if and only if *C* is a smooth branch.
- $(\mu_2)$  If *C* is a branch with the first characteristic pair  $(a, b)$  then  $\mu(C) \geq$  $(a-1)(b-1)$ . Moreover,  $\mu(C) = (a-1)(b-1)$  if and only if  $(a, b)$  is the unique characteristic pair of *C* .

 $(\mu_3)$  If  $(C^{(i)})_{i=1,\dots,k}$  is a decomposition of *C*, then

$$
\mu(C) = \sum_{i=1}^{k} \mu(C^{(i)}) + 2 \sum_{1 \le i < j \le k} (C^{(i)}, C^{(j)}) - k + 1.
$$

<span id="page-4-1"></span>Now we can give a refined version of Kouchnirenko's theorem in two dimensions.

THEOREM 4.1. Let C be a reduced plane curve germ. Fix a chart  $(x, y)$ . *Then*  $\mu(C) \ge \nu(\Delta_{x,y}(C))$  *with equality holding if and only if C is non-degenerate with respect to (x,y).*

PROOF. Let  $f = 0, f \in \mathbb{C}\lbrace x, y \rbrace$  be the local equation without multiple factors of the germ *C*. To abbreviate the notation, we put  $\mu(f) = \mu(C)$  and  $\Delta(f) = \Delta_{x,y}(C)$ . If  $f = x^a y^b \varepsilon(x,y)$  in  $\mathbb{C}\{x,y\}$  with  $\varepsilon(0,0) \neq 0$  then the theorem is obvious. Then we can write  $f = x^a y^b f_1$  in  $\mathbb{C}\{x, y\}$ , where  $a, b \in$  $\{0,1\}$  and  $f_1 \in \mathbb{C}\{x,y\}$  is an appropriate power series. A simple calculation based on properties  $(\mu_2)$ ,  $(\mu_3)$  and  $(\nu_1)$ ,  $(\nu_2)$  shows that  $\mu(f) - \nu(\Delta(f)) =$  $\mu(f_1) - \nu(\Delta(f_1))$ . Moreover, f is non-degenerate if and only if if  $f_1$  is nondegenerate and the theorem reduces to the case of an appropriate power series which is proved in  $[8]$  (Theorem 1.1).

<span id="page-5-2"></span>REMARK 4.2. The implication " $\mu(C) = \nu(\Delta_{x,y}(C)) \Rightarrow C$  is non-degenerate" is not true for hypersurfaces with isolated singularity (see [\[5\],](#page-9-1) Remarque 1.21).

COROLLARY 4.3. For any reduced germ C, there is  $\mu(C) \geq (m(C) - 1)^2$ . *The equality holds if and only if* C *is an ordinary singularity, i.e., such that*  $t(C) = m(C)$ .

PROOF. Use Theore[m 4.1](#page-4-1) in generic coordinates.  $□$ 

<span id="page-5-0"></span>**5. Proof of Theorem [3.2.](#page-3-0)** We start with the implication  $(1) \Rightarrow (2)$ . Let C be a plane curve germ and let  $(x, y)$  be a chart such that  $\{x = 0\}$  and C intersect transversally. The following result is well-known ([\[7\],](#page-9-5) Proposition 4.7).

LEMMA 5.1. *There exists a decomposition*  $(C^{(i)})_{i=1,\dots,s}$  *of* C *such that* 

- $\frac{1}{\sqrt{2}}$   $\frac{1}{\sqrt{2}}$  2. Let  $d_i = \frac{(C \vee f, g=0)}{m(G(i))}$ . Then  $1 \leq d_1 < \cdots < d_s \leq \infty$  and  $d_s = \infty$  if and only if  $C^{(s)} = \{y = 0\}.$
- *3. Let*  $n_i = m(\tilde{C}^{(i)})$  *and*  $m_i = n_i d_i = (C^{(i)},y = 0)$ . *Suppose that* C *is non-degenerate with respect to the chart*  $(x, y)$ . *Then*  $C^{(i)}$  *has*  $r_i =$  $g.c.d.(n_i, m_i)$  *branches*  $C_i^{(i)}$ :  $y^{n_i/r_i} - a_{ij} x^{m_i/r_i} + \cdots = 0$   $(j = 1, ..., r_i)$  $and a_{ij} \neq a_{ij'}$ , if  $j \neq j'$ .

Using the above lemma, we prove that any germ  $C$  which is non-degenerate with respect to  $(x, y)$  is an *N*-germ. From  $(d_4)$  we get  $d(C^{(i)}) = d_i$ . Clearly, each branch  $C_j^{(i)}$  has exactly one characteristic pair  $(\frac{n_i}{r_i}, \frac{m_i}{r_i})$  or is smooth. A simple calculation shows that

$$
d(C_j^{(i)}, C_{j_1}^{(i_1)}) = \frac{(C_j^{(i)}, C_{j_1}^{(i_1)})}{m(C_j^{(i)})m(C_{j_1}^{(i_1)})} = \inf\{d_i, d_{i_1}\}.
$$

<span id="page-5-1"></span>To prove the implication  $(2) \Rightarrow (1)$ , we need some auxiliary lemmas.

LEMMA 5.2. Let C be a plane curve germ whose all branches  $C_i$  (i =  $1, \ldots, s$  are smooth. Then there exists a smooth germ L such that  $(C_i, L)$  $d(C)$  *for*  $i = 1, \ldots, s$ .

PROOF. If  $d(C) = \infty$ , then C is smooth and we take  $L = C$ . If  $d(C) = 1$ , then we take a smooth germ L such that C and L are transversal. Let  $k = d(C)$ and suppose that  $1 < k < \infty$ . By formula  $(d_4)$ , we get inf $\{(C_i, C_j) : i, j =$  $1, \ldots, s$  = k. We may assume that  $(C_1, C_2) = \ldots = (C_1, C_r) = k$  and  $(C_1, C_j) > k$  for  $j > r$  for an index  $r, 1 \leq r \leq s$ . There is a system of

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<span id="page-6-0"></span>coordinates  $(x, y)$  such that  $C_j$   $(j = 1, ..., r)$  have equations  $y = c_j x^k + ...$  It suffices to take  $L: y - cx^k = 0$ , where  $c \neq c_j$  for  $j = 1, \ldots, r$ .

LEMMA 5.3. Suppose that C is an N-germ and let  $(C^{(i)})_{1 \le i \le s}$  be a decom*position of C as in Definition [3.1.](#page-3-1) Then there is a smooth germ L such that*  $d(C_i^{(i)}, L) = d(C^{(i)})$  *for all j.* 

PROOF. Step 1. There is a smooth germ L such that  $d(C_j^{(s)}, L) = d(C^{(s)})$ for all *j*. If  $d(C^{(s)}) \in \mathbb{N} \cup \{\infty\}$ , then the existence of *L* follows from Lemm[a 5.2.](#page-5-1) If  $d(C^{(s)}) \notin \mathbb{N} \cup \{\infty\}$ , then all components  $C_j^{(s)}$  have the same characteristic pair  $(a_s, b_s)$ . Fix a component  $C_{j_0}^{(s)}$  and let L be a smooth germ such that  $d(C_{j_0}^{(s)}, L) = d(C_{j_0}^{(s)}) = d(C^{(s)})$ .

<span id="page-6-1"></span>Let  $j_1 \neq j_0$ . Then  $d(C_i^{(s)}, L) \geq \inf \{d(C_i^{(s)}, C_i^{(s)}, L) d(C_i^{(s)}, L)\} = d(C^{(s)})$ . On the other hand,  $d(C_{i_1}^{(s)}, L) \leq d(C_{i_2}^{(s)}) = d(C^{(s)})$  and we get  $d(C_{i_1}^{(s)}, L) = d(C^{(s)})$ .

*Step 2.* Let L be a smooth germ such that  $d(C_i^{(s)}, L) = d(C^{(s)})$  for all j. We will check that  $d(C^{(i)}_j, L) = d(C^{(i)})$  for each  $i$  and  $j$  . To this purpose, fix  $i < s$ . Let  $C_{i_0}^{(s)}$  be a component of  $C^{(s)}$ . Then  $d(C_i^{(s)},C_{i_0}^{(s)}) = \inf\{d(C^{(i)}),d(C^{(s)})\}$  =  $d(C^{(i)}).$  By  $(d_3)$  $(d_3)$ , we get  $d(C_j^{(i)}, L) \geq \inf \{ d(C_j^{(i)}, C_{j_0}^{(s)}), d(C_{j_0}^{(s)}, L) \} =$  $\inf\{d(C^{(i)}), d(C^{(s)})\} = d(C^{(i)})$ . On the other hand,  $d(C_j^{(i)}, L) \leq d(C_j^{(i)}) =$  $d(C^{(i)})$ , which completes the proof.

REMARK 5.4. In the notation of the above lemma we have  $(C^{(i)}, L)$  $m(C^{(i)})d(C^{(i)})$  for  $i = 1, ..., s$ .

Indeed, if  $C^{(i)}_j$  are branches of  $C^{(i)}$ , then

$$
(C^{(i)}, L) = \sum_{j} (C_j^{(i)}, L) = \sum_{j} m(C_j^{(i)}) d(C_j^{(i)}, L)
$$
  
= 
$$
\sum_{j} m(C_j^{(i)}) d(C^{(i)}) = m(C^{(i)}) d(C^{(i)}).
$$

<span id="page-6-2"></span>LEMMA 5.5. Let C be an N-germ and let  $(C^{(i)})_{1 \leq i \leq s}$  be a decomposition *of C as in Definition [3.1](#page-3-1). Then*

$$
\mu(C) = \sum_{i} (m(C^{(i)}) - 1)(m(C^{(i)})d(C^{(i)}) - 1) + 2\sum_{i < j} m(C^{(i)})m(C^{(j)})\inf\{d(C^{(i)}), d(C^{(j)}) - s + 1\}.
$$

PROOF. Use properties  $(\mu_1)$ ,  $(\mu_2)$  and  $(\mu_3)$  of the Milnor number.  $\Box$ 

To prove implication (2) $\Rightarrow$ (1) of Theorem [3.2,](#page-3-0) suppose that C is an N-germ and let  $(C^{(i)})_{i=1,\dots,s}$  be a decomposition of C such as in Definition [3.1.](#page-3-1) Let L be a smooth branch such that  $(C^{(i)}, L) = m(C^{(i)})d(C^{(i)})$  for  $i = 1, \ldots, s$  (such a branch exists by Lemm[a 5.3](#page-6-0) and Remar[k 5.4\).](#page-6-1) Take a system of coordinates such that  $\{x = 0\}$  and C are transversal and  $L = \{y = 0\}$ . Then we get

$$
\Delta_{x,y}(C) = \sum_{i=1}^{s} \Delta_{x,y}(C^{(i)}) = \sum_{i=1}^{s} \left\{ \frac{(C^{(i)}, \{y=0\})}{m(C^{(i)})} \right\} = \sum_{i=1}^{s} \left\{ \frac{m(C^{(i)})d(C^{(i)})}{m(C^{(i)})} \right\}
$$

and consequently

$$
\nu(\Delta_{x,y}(C)) = \sum_{i=1}^{s} (m(C^{(i)}) - 1)(m(C^{(i)})d(C^{(i)}) - 1)
$$
  
+ 
$$
2 \sum_{1 \leq i < j \leq s} m(C^{(i)})m(C^{(j)})\inf\{d(C^{(i)}), d(C^{(j)})\} - s + 1
$$
  
= 
$$
\mu(C)
$$

by Lemm[a 5.5](#page-6-2). Therefore,  $\mu(C) = \nu(\Delta_{x,y}(C))$  and C is non-degenerate with respect to  $(x, y)$  by Theorem [4.1](#page-4-1).

6. Proof of Theorem [3.4](#page-4-0). The Newton number  $\nu(C)$  of the plane curve germ C is defined to be  $\nu(C) = \sup \{ \nu(\Delta_{x,y}(C)) : (x,y) \text{ runs over all charts} \}$ centered at  $O$ .

<span id="page-7-1"></span>Using Theorem [4.1](#page-4-1), we get

Lemma 6.1. *A plane curve germ* C *is non-degenerate if and only if*  $\nu(C) = \mu(C).$ 

<span id="page-7-0"></span>The proposition below shows that we can reduce the computation of the Newton number to the case of unitangent germs.

PROPOSITION 6.2. *If*  $C = \bigcup_{k=1}^{L} C^k$  (t > 1), where  $\{C^k\}_k$  are *unitangent germs such that*  $(C^k, C^l) = m(C^k)m(C^l)$  *for*  $k \neq l$ *, then* 

$$
\nu(C) - (m(C) - 1)^2 = \max_{1 \le k < l \le t} \{ (\nu(\tilde{C}^k) - (m(\tilde{C}^k) - 1)^2) + (\nu(\tilde{C}^l) - (m(\tilde{C}^l) - 1)^2) \}
$$

PROOF. Let  $\tilde{n}_k = m(\tilde{C}^k)$ . Suppose that  $\{x = 0\}$  and  $\{y = 0\}$  are tangent to C. Then there are two tangential components  $C^{k_1}$  and  $C^{k_2}$  such that  $\{x=0\}$ is tangent to  $C^{k_1}$  and  $\{y=0\}$  is tangent to  $C^{k_2}$ . Now there is

$$
\nu(\Delta_{x,y}(C)) = \nu(\sum_{k=1}^{t} \Delta_{x,y}(\tilde{C}^{k})) = \nu(\Delta_{x,y}(\tilde{C}^{k})) + \nu(\Delta_{x,y}(\tilde{C}^{k}))
$$
  
+ 
$$
\sum_{k \neq k_{1},k_{2}} \nu(\Delta_{x,y}(\tilde{C}^{k})) + 2 \sum_{1 \leq k < l \leq t} \left[ \Delta_{x,y}(\tilde{C}^{k}), \Delta_{x,y}(\tilde{C}^{l}) \right] - t + 1
$$
  
= 
$$
\nu(\Delta_{x,y}(\tilde{C}^{k})) + \nu(\Delta_{x,y}(\tilde{C}^{k})) + \sum_{k \neq k_{1},k_{2}} (\tilde{n}_{k} - 1)^{2} + 2 \sum_{1 \leq k < l \leq t} \tilde{n}_{k} \tilde{n}_{l} - t + 1
$$
  
= 
$$
\nu(\Delta_{x,y}(\tilde{C}^{k})) - (\tilde{n}_{k_{1}} - 1)^{2}
$$
  
+ 
$$
\nu(\Delta_{x,y}(\tilde{C}^{k_{2}})) - (\tilde{n}_{k_{2}} - 1)^{2} + (m(C) - 1))^{2}.
$$

The germs  $\tilde{C}^{k_1}$  and  $\tilde{C}^{k_2}$  are unitangent and transversal. Thus it is easy to see that there exists a chart  $(x_1, y_1)$  such that  $\nu(\Delta_{x_1, y_1}(\tilde{C}^k)) = \nu(\tilde{C}^k)$  for  $k = k_1, k_2.$ 

If  $\{x = 0\}$  (or  $\{y = 0\}$ ) and C are transversal, then there exists a  $k \in \{1, \ldots, t\}$ such that  $\nu(\Delta_{x,y}(C)) = \nu(\Delta_{x,y}(C^k)) - (\tilde{n}_k - 1)^2 + (m(C) - 1))^2$  and the proposition follows from the previous considerations.  $□$ 

Now we can pass to the proof of Theore[m 3.4.](#page-4-0) If  $t(C) = 1$  then C is nondegenerate with respect to a chart  $(x, y)$  such that C and  $\{x = 0\}$  intersect transversally and Theorem [3.4](#page-4-0) follows from Theorem [3.2.](#page-3-0) If  $t(C) > 1$ , then by Propositio[n 6.2 t](#page-7-0)here are indices  $k_1 < k_2$  such that

$$
(\alpha) \ \nu(C) - (m(C) - 1)^2 = \nu(\tilde{C}^{k_1}) - (m(\tilde{C}^{k_1}) - 1)^2 + \nu(\tilde{C}^{k_2}) - (m(\tilde{C}^{k_2}) - 1)^2.
$$

On the other hand, from basic properties of the Milnor number we get

$$
(3) \ \mu(C) - (m(C) - 1)^2 = \sum_k (\mu(\tilde{C}^k) - (m(\tilde{C}^k) - 1)^2).
$$

Using  $(\alpha)$ ,  $(\beta)$  and Lemm[a 6.1,](#page-7-1) we check that C is non-degenerate if and only if  $\mu(\tilde{C}^{k_1}) = \nu(\tilde{C}^{k_1}), \mu(\tilde{C}^{k_2}) = \nu(\tilde{C}^{k_2})$  and  $\mu(\tilde{C}^k) = (m(\tilde{C}^k) - 1)^2$  for  $k \neq k_1, k_2$ . Now Theorem [3.4](#page-4-0) follows from Lemma [6.1](#page-7-1) and Corollary [4.3.](#page-5-2)

7. Concluding remark. M. Oka in [\[6\]](#page-9-6) proved that the Newton number like the Milnor number is an invariant of equisingularity. Therefore, the invariance of non-degeneracy (Corollar[y 3.5\)](#page-4-2) follows from the equality  $\nu(C) = \mu(C)$ characterizing non-degenerate germs (Lemma [6.1\)](#page-7-1).

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