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# 1 Assessing Climate Change Projections and Impacts on 2 Central Malawi's Maize Yield: The Risk of Maladaptation

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## 13 Abstract

14 Malawi is listed as a Low-Income Food-Deficit Country (LIFDC) by the United Nations (UN), with high  
15 levels of poverty, malnutrition, and undernutrition. The maize grown in the Central Region of Malawi  
16 represents approximately a quarter of the total Malawian population's calorie intake, is a large source  
17 of local income, and a significant contributor to the country's Gross Domestic Product (GDP). While  
18 maize has been shown to be more resilient to climatic changes than many other grain crops, the

### Useful Abbreviations:

CORDEX: Coordinated Regional Climate Downscaling Experiment

FAO: Food and Agriculture Organisation of the United Nations

FISP: Farm Input Subsidy Programme

GDP: Gross Domestic Product

GNI: Gross National Income

HI: Harvest Index

LIFDC: Low-Income Food-Deficit Country

Pr: precipitation rate

RCMs: Regional Climate Models

RCPs: Representative Concentration Pathways

Tas: mean surface air temperature

TasMax: maximum surface air temperature

TasMin: minimum surface air temperature

UN: United Nations

19 predominantly rain-fed maize grown in Central Malawi has experienced many shocks from severe  
20 weather events in the past. Using the ensemble mean of 20 Regional Climate Models (RCMs), this  
21 study shows that temperatures in Central Malawi are projected to increase from the 1971-2000  
22 baseline by between 1.4 and 1.6°C by 2035 and 1.9 and 2.5°C by 2055 under Representative  
23 Concentration Pathways (RCPs) 4.5 and 8.5 respectively, but precipitation projections are more  
24 uncertain. Using the UN Food and Agriculture Organization's (FAO) AquaCrop model, this study  
25 assesses the impact of future warming and three precipitation scenarios on two cultivars of maize  
26 planted on three separate dates in Central Malawi's summer planting season. The results indicate that  
27 if precipitation levels follow the ensemble average or maximum projection, then moving to a later  
28 planting date and a slower-developing cultivar may result in increasing yields compared to the  
29 baseline scenario. However, under a minimum precipitation projection, the results are less positive,  
30 with decreasing yields seen for both cultivars and all planting dates. The uncertainty around future  
31 precipitation therefore poses a significant risk of maladaptation and highlights the need for more  
32 robust precipitation projections in the area before climate model outputs are used as a primary driver  
33 for decision-making in Central Malawi's maize cultivation.

#### 34 **Keywords**

35 CORDEX, Sub-Saharan Africa, Crop Yield, Food Security

## 36 1. Introduction

37 Globally maize provides almost seven percent of the world's calorific intake by way of direct  
38 consumption (FAOSTAT, 2018a), but as it is also the largest source of livestock feed grain, it is indirectly  
39 responsible for much more (CGIAR, 2016). It is the staple crop for many food insecure populations,  
40 and an important source of calories for people living on less than US \$2 per day (ibid.). With an ever-  
41 increasing global population, and the consumption of animal-based food products and biofuels on the  
42 rise, the demand for maize is expected to double by 2050 (Hubert et al., 2010). However, recent  
43 studies suggest that climate change will lead to declining maize yields and price volatility, exacerbating  
44 existing challenges around food security, poverty and malnutrition (Zampieri et al., 2019, Tigchelaar  
45 et al., 2018).

46 Like much of the developing world, maize is currently, and has historically been, the main food crop  
47 in Malawi (see Figure 1), and it is grown by 97% of smallholder farmers (NSO, 2005). Almost half of  
48 the calorie intake in Malawi is met by the direct consumption of maize and maize products (see Table  
49 1), the majority of which is domestically grown in the Central Region using rain-fed production (Arya  
50 et al., 2005). Agriculture is the main source of income in Malawi, with over three-quarters of Malawi's  
51 population employed in the sector, and over a third of Malawi's Gross Domestic Product (GDP) related  
52 to agricultural activity (FAO, 2017, CIA, 2018). Within this sector, maize has been the largest  
53 contributor to Malawi's gross agricultural production value in 37 of the last 56 years (1961-2016),  
54 coming second 16 times, and third only three times (FAOSTAT, 2018b).

55 While the Malawian government and many food aid organisations have been concentrating on  
56 improving domestic agricultural production and food security in the country for more than a decade  
57 (IFPRI, 2018), the Food and Agriculture Organisation of the United Nations (FAO) still classifies Malawi  
58 as a Low-Income Food-Deficit Country (LIFDC) (FAO, 2018b). Climatic, political, and governance shocks  
59 have had a negative effect on developmental progress and resulted in minimal poverty alleviation,  
60 particularly in rural areas (IMF, 2017). Severe droughts such as those experienced by the region in

61 1992, 1994, 1997, 2001, 2005 and 2016 have had a significant negative impact on the country's  
62 economy, food supply, and poverty levels (see Figure 2) (World Bank, 2016, World Bank, 2017). The  
63 relative lack of diversity in the calorie share, the share of economic and household income from  
64 agriculture, and the vulnerability of that agriculture to climatic changes has meant that Malawi is often  
65 reliant on high levels of international aid. For example, crop losses due to the 2005 drought meant  
66 that 40 percent of the population required immediate food aid (Giertz et al., 2015).

67 The Malawian government introduced the Farm Input Subsidy Programme (FISP) after the 2005  
68 drought which helped increase crop production and improve national food security mainly through  
69 improved access to fertilisers, however it is unlikely that this measure alone will be able to maintain  
70 food security in a changing climate (Msowoya et al., 2016). With limited finances and technology to  
71 cope with changes, and much of the economy, employment and food supply reliant on a  
72 predominantly rain-fed agricultural sector, Malawi is highlighted as being particularly vulnerable to  
73 future climate change (Minot, 2010, FAO, 2017, Giertz et al., 2015).

74 Under all future climate projections, the surface temperatures in Malawi are expected to rise, but  
75 precipitation projections are less certain (Mittal et al., 2017, World Bank Group, 2019). While maize  
76 has an optimal growing temperature range that is higher than many other globally important grain  
77 crops (Sanchez et al., 2013), it is still sensitive to changes in maximum daily temperatures (Tebaldi and  
78 Lobell, 2018, Lobell et al., 2013). Upper temperature threshold exceedances result in reduced  
79 photosynthesis and increased evapotranspiration rates, and therefore increased water demand  
80 (Crafts-Brandner and Salvucci, 2002, Zampieri et al., 2019). Furthermore, higher temperatures hasten  
81 the transition between phenological phases and reduce crop yields (Tebaldi and Lobell, 2018). Maize  
82 is particularly vulnerable to temperature anomalies during the flowering and yield formation stages  
83 of development, as higher temperatures decrease pollen germination and lead to shortened kernel  
84 filling and yield development (Zampieri et al., 2019, Gourdjji et al., 2013). Maize is also drought  
85 sensitive, particularly early-on in crop development. A lack of water in early development can cause

86 delays in crop flowering, reduced photosynthesis and decreased yield (Zampieri et al., 2019).  
87 Furthermore, low soil moisture tends to exacerbate the temperature stresses described above (Lobell  
88 et al., 2013).

89 Based on climate change projections for Sub-Saharan Africa, various studies have indicated  
90 vulnerability for maize's future crop productivity in the region, with maize yields expected to decrease  
91 in the 21st century (Gachene et al., 2015, Challinor et al., 2014). For Malawi more specifically, some  
92 previous research has gone into quantifying the impact that climate change will have on domestic  
93 maize yields (Saka et al., 2012, Zinyengere et al., 2014, Fiwa, 2015, Msowoya et al., 2016, Stevens and  
94 Madani, 2016, Olson et al., 2017). The results from these studies vary significantly, with some  
95 projecting a decrease in maize yield of up to 14% and others a projected increase of up to 25% by 2050.  
96 The wide range in results stems from the assumptions made, both in terms of future climate and in  
97 crop modelling. Most of the studies used models calibrated for one cultivar of maize with one planting  
98 date. Fiwa (2015) assessed the impact on three different cultivars (early, intermediate and late  
99 maturing), but only one planting date and highlighted the need to research the impact of changing  
100 planting dates on the crop yield under future climate scenarios. Zinyengere et al. (2014) on the other  
101 hand looked at one cultivar and two planting dates but only under one climate projection. All these  
102 previous studies highlight the importance of understanding the variables that will impact maize's yield  
103 response to climate change, as making choices on incomplete information poses a risk of  
104 maladaptation. This paper therefore aims to determine the impact of projected climate change on the  
105 yield of two different maize cultivars planted on a variety of dates during the summer planting season  
106 in the Central Region of Malawi, and to examine the utility of this in informing cultivation practices  
107 and potential risks of maladaptation. The Central Region produces the majority of the food in Malawi  
108 and this boundary represents over a quarter of the Malawian population's calorie intake (FAOSTAT,  
109 2018a, Arya et al., 2005).

## 110 2. Climate Change Projections

111 To understand the impact of climate change on maize yields in Central Malawi, it is first important to  
112 get a clear understanding of how the climate is currently predicted to change. Here we assess the  
113 change in projected temperature, precipitation, and evapotranspiration rate for the 2035 (2020-2049)  
114 and 2055 (2040-2069) climates. These time horizons have been chosen as they are both short-term  
115 enough to be relevant to current farmers, consumers, and policy makers, and long enough to allow  
116 for adaptation to take place.

### 117 2.1 Climate Modelling Methodology

118 To project Malawi's climate into the future, we make use of 20 RCMs produced by different  
119 organisations within the Coordinated Regional Climate Downscaling Experiment (CORDEX) initiative  
120 (see Table 1 in the Supplementary Information, found in the author's GitHub directory<sup>1</sup>). The CORDEX  
121 initiative sets a standard grid, domain size, experiment protocols, and data format allowing for direct  
122 comparison of the model outputs (Giorgi et al., 2009, Nikulin et al., 2012). Within this framework, only  
123 models which were publicly available and provided projections for Representative Concentration  
124 Pathways (RCPs) 4.5 and 8.5 were selected<sup>2</sup>. All the RCMs are atmospheric models produced within  
125 the defined CORDEX-Africa domain, they provide data on a daily time scale, and have a 0.44-degree  
126 (approximately 50km<sup>2</sup>) resolution.

127 An evaluation of the ability of these RCMs to hindcast daily minimum, maximum and mean  
128 temperature (TasMin, TasMax, and Tas respectively) in Malawi found that they are not able to  
129 adequately simulate absolute temperatures, however the trending change in temperature correlated  
130 well (Warnatzsch and Reay, 2019). To take this in to consideration in this study, the methods used by  
131 UKCP09 was applied to re-baseline the temperature and precipitation data (UKCP, 2014). This

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<sup>1</sup> Erika Warnatzsch GitHub directory: <https://github.com/ErikaWarnatzsch/Malawi-Future-Climate-Modelling-Assessment>

<sup>2</sup> At the time of writing there was one additional RCM available that met these criteria, HIRHAM5\_NorESM1-M, however this model has been excluded from this study. Based on the findings of Warnatzsch and Reay (2019), this RCM is a major outlier and does not simulate Malawi's temperature or precipitation well.

132 methodology involves using a 30-year average from station and satellite observed data, in this case  
133 1971-2000, and adding to that the difference between the climate variable output for the time-period  
134 of interest and the hindcasted 1971-2000 average from the CORDEX models. The observed data used  
135 for this re-baselining are detailed in Table 2 of the Supplementary Information.

136 The CORDEX-Africa models do not have an output for reference evapotranspiration, and an adequate  
137 observed database for historical reference evapotranspiration rates could not be found for Malawi.  
138 As such, the historic and projected reference evapotranspiration data were determined through  
139 calculation. To calculate the reference evapotranspiration data, the FAO's Penman-Monteith (FPM)  
140 method was applied (Allen et al., 1998a, Allen et al., 1998b). Full details of the calculations applied can  
141 be found in the Supplementary Information. This methodology was tested for application in Malawi  
142 by Wang et al. (2011) and Southern Malawi by Ngongondo et al. (2012) and deemed to be appropriate  
143 for use.

144 While half of the models use a 366-day calendar (include leap-days), seven use a 365-day calendar  
145 and three use a 360-day calendar (assumed all months are 30 days). To create the daily profiles used  
146 here, it was necessary to make all the calendar formats the same. There is no standard method to do  
147 this, however the crop model used requires a 365-day year. Therefore, we took the decision to add a  
148 31<sup>st</sup> day to May, July, August, October and December for the 360-day calendars and remove February  
149 29<sup>th</sup> from all the 366 and 360-day models. No 31<sup>st</sup> day was added for January or March, as the extra  
150 days from February accounted for this. The data for these additional days were created by using an  
151 average of the data from the five days before and five days after the missing date.

152 Limited by the resolution of the models, and the need to use a rectangular boundary, the assessment  
153 includes spatial data that are larger than the actual geographical boundary of the Central Malawi  
154 region, as shown by the grey shaded areas in Figure 3.

155 Analysis by Warnatzsch and Reay (2019) found that the RCM model outputs for precipitation are highly  
156 divergent and not well correlated to observed precipitation levels. As such, we recommended that a



157 range of future precipitation scenarios be used for impact assessment and adaptation planning for the  
158 future food supply chain in Malawi. The current study will therefore assess impacts using three future  
159 scenarios based on the ensemble maximum, minimum, and mean projections for precipitation rate in  
160 Malawi. Warnatzsch and Reay (2019) also found that the ensemble average better represented the  
161 temperature records of Malawi than individual model simulations. Therefore, these three  
162 precipitation scenarios will be used in combination with ensemble average mean, minimum and  
163 maximum daily temperature projections, and calculated reference evapotranspiration rates. Analysis  
164 of the results was performed using a Python interface. Within the interface, the numerical  
165 mathematics and graphical plotting were produced using a variety of open source Python libraries and  
166 packages. The code used for each assessment can be found in the author's GitHub repository.

## 167 2.2 Climate Change Projection Results

168 Malawi's climate is classified as sub-tropical and has distinct seasons: a warm and wet season from  
169 October to April and a cooler, dry season from May to September. This seasonality is projected to  
170 continue under both RCP 4.5 and RCP 8.5, although all seasons are expected to get warmer with  
171 annual average temperatures increasing by 1.4 and 1.6°C by 2035 and 1.9 and 2.5°C by 2055 (see Table  
172 2 for details). For both time periods and scenarios, the temperature increase is seen to be largest in  
173 the autumn months (March-May), as seen in Figure 4. Overall, based on the calculation methods,  
174 annual reference evapotranspiration rates in Central Malawi are projected to remain relatively stable,  
175 only showing a slight increase from the 1971-2000 baseline in both future time periods and RCP  
176 scenarios (Table 3).

177 Three scenarios were run for projected precipitation: minimum projection, ensemble mean and  
178 maximum projection (Table 3). The minimum RCM projection has annual precipitation decreasing by  
179 approximately half from the 1971-2000 baseline, while the ensemble mean shows a much smaller  
180 decrease of only 3-4%. The maximum RCM projection has precipitation increasing by between a fifth  
181 and a quarter compared to the 1971-2000 baseline. Figure 4 show that there is largest agreement in

182 the models for precipitation during the dry season, with larger variation in the wet season in both time  
183 periods and scenarios.

### 184 3. Impact on Maize Yield

185 There are multiple crop models available, each with their own characteristics and applications (Di  
186 Paola et al, 2015). While the use of crop models does have limitations, they are still useful tools for  
187 determining the likely impact of specific changes on a crop (Boote et al., 1996). In this study we are  
188 interested in determining the impact of various potential future climate scenarios on the yield of two  
189 maize cultivars in Central Malawi. For this purpose, we have chosen to use the FAO's crop growth  
190 model, AquaCrop. AquaCrop is a crop growth model which is specifically built to evaluate the yield  
191 response of a variety of crops to different environmental factors and crop management techniques  
192 (FAO, 2018a). While there are many variables that can be altered and calibrated for local specificity  
193 within the model, it is also possible to leave some aspects as 'default' to focus in on the impact of  
194 changing one variable or category, in our case, the climatic conditions. This ability to both calibrate  
195 the model where necessary (e.g. the climatic, crop and soil characteristics), but also keep the  
196 complexity to a minimum makes AquaCrop an ideal tool for the purposes of this study.

197 Various studies have assessed AquaCrop's sensitivity to climatic changes and its suitability for use in  
198 modelling yield response at a regional scale for rainfed maize (for example, Mebane et al., (2013),  
199 Akumaga et al., (2017), and Mibulo and Kiggundu (2018)). Fiwa (2015) assessed the ability of AquaCrop  
200 to simulate yield of rainfed maize in Central Malawi specifically and found a good correlation between  
201 observed data and simulated outputs. Stevens and Madani (2016) also evaluated AquaCrop's ability  
202 to simulate yields for maize in Central Malawi and found that, while the model overestimated yields  
203 in their study, it was still suitable for assessing relative change. As such, this model is deemed  
204 appropriate for use in examining the potential effects of climate change on maize yields in Central  
205 Malawi, particularly if using relative change in yield rather than absolute values.

### 206 3.1 Crop Modelling Methodology

207 AquaCrop has been developed to be used at both the field and regional-scale (FAO, 2018a). When  
208 used at the regional-scale, as is the case in this study, a variety of climatic and environmental  
209 parameters must be identified for input into the model. These inputs help to calibrate both the crop  
210 and environmental factors to be as specific as possible to the region in question. The crop, soil, and  
211 climate files used in this study can be found on the author's GitHub repository.

212 A total of 13 climate scenarios were created to test the impacts of climate change on maize yields in  
213 Malawi (see Table 3 in the Supplementary Information). These scenarios were created using the  
214 models and data described in Section 2 above. The historical climate represents the 1971-2000 period  
215 using daily data adjusted from hindcasted ensemble RCM outputs for: minimum and maximum near-  
216 surface temperatures; minimum, mean and maximum precipitation rates; and calculated reference  
217 evapotranspiration rates. This historical climate used the default Mauna Loa CO<sub>2</sub> concentrations file  
218 that is provided by the AquaCrop Model. To represent future climate change, 12 climate scenarios  
219 were created. Half of the future climate scenarios use projections for RCP 4.5 and the other half RCP  
220 8.5. For the CO<sub>2</sub> concentrations, these future climate scenarios use the AquaCrop IPCC RCP 4.5 or 8.5  
221 files respectively. Within each of the two RCP scenarios, two time-periods were assessed, the 2035  
222 climate (2020-2049) and the 2055 climate (2040-2069). The appropriate time-period and RCP scenario  
223 was used with adjusted ensemble RCM daily minimum and maximum temperatures, and calculated  
224 daily evapotranspiration rates. For each of these four future climates (two RCPs and two time-  
225 periods), three potential climate scenarios were created using ensemble minimum, ensemble mean  
226 and ensemble maximum precipitation rate projections.

227 To ensure that we were only analysing the impact of changing climate, rather than any other human-  
228 induced factors, we have assumed that no irrigation and no field management is used. The authors  
229 acknowledge that this will mean that the absolute output data will be biased by the assumed lack of  
230 human management, and that the relative changes will therefore only reflect the impact of climatic

231 change on the crops (in reality some degree of management change is inevitable). According to  
232 Chavula (2012) the depth of the water table in Central Malawi is 15-25 meters below the surface. As  
233 this is too deep to influence crops, no groundwater is considered in the AquaCrop model. The soil in  
234 the majority of Central Malawi is described as a Sandy Clay Loam (Saka et al., 2003) so the analysis  
235 used the AquaCrop 'Sandy Clay Loam' file as a base to calibrate a new source file specific for Central  
236 Malawi. The calibration of this file is based on analysis carried out by Fiwa (2015) and is described in  
237 Table 4. It is worth noting however that, when tested for sensitivity, this soil calibration did not create  
238 a significant change to the yield simulations in the historic climate scenarios, or any of the average or  
239 maximum precipitation scenarios. The calibration did however have a significant impact on the output  
240 of some of the minimum precipitation scenarios and as such is a potential source of error (see Tables  
241 4, 5 and 6 of the Supplementary Information).

242 The majority of maize grown in Central Malawi is rainfed and produced by smallholder farms for own  
243 use (Arya et al., 2005, FAO, 2015). The maize is planted via direct sowing with most of the maize in  
244 Central Malawi planted in the summer between the 15th of November and the 31st of December  
245 (Arya et al., 2005, FAO, 2010, Fiwa, 2015). For this analysis, three planting dates within this period  
246 were input into the AquaCrop model for analysis: November 15th, December 10th and December  
247 30th. AquaCrop provides a default maize model and this has been shown to be effective at simulating  
248 yield changes to various climatic stresses (Heng et al., 2009). However, to better reflect the  
249 characteristics of the maize grown in Central Malawi, data from studies conducted in the area were  
250 used to better calibrate the model (see Table 5 and Table 6). As such, two maize crop models were  
251 calibrated to represent short and long growth cycle (fast- and slow-development) maize varieties that  
252 are typically grown in Central Malawi. The calibration of the crop files does create a significant impact  
253 on the output of the model and as such is also a potential source of uncertainty (see Table 7 of the  
254 Supplementary Information). For comparison purposes, the two varieties were given shared  
255 characteristics, with the times taken to reach each growth stage being the only differences. Table 5  
256 shows the shared characteristics and Table 6 shows how the two varieties differ. These tables only

257 show changes that can be input into AquaCrop, there are also some differences in characteristics that  
258 AquaCrop automatically calculates based on these inputs.

### 259 3.2 Crop Modelling Results

260 The results from AquaCrop indicate that the impact of projected climate change on maize yields is  
261 highly dependent on the precipitation scenario for both the slow- and fast-development cultivars, with  
262 the changing planting date giving mixed results. (see Table 7). Both cultivars show a decreasing yield  
263 in all future climate scenarios with minimum precipitation. While the fast-development cultivar  
264 generally shows a smaller yield decrease under the minimum precipitation scenarios with later  
265 planting dates, the reverse is true for the slow-development cultivar which shows larger yield  
266 decreases with later planting dates under a minimum precipitation scenario. Under the average or  
267 maximum precipitation scenarios, the future climates show a small increase or decrease in yield  
268 depending on planting date and cultivar. For the earliest planting dates, the maximum precipitation  
269 leads to a better yield outcome than the average precipitation scenario in all future scenarios, but for  
270 later planting dates, the yield outcome is the same for both the average and maximum precipitation  
271 scenarios. Under the average or maximum precipitation scenarios, the fast-development crop acts  
272 differently than under a minimum precipitation scenario, and the yield outcome is generally better  
273 when the crop is planted earlier in the season. Contrary to the minimum precipitation scenario, the  
274 slow-development crop has the best yield outcome with the latest planting date in all future scenarios  
275 with average or maximum precipitation.

276 Due to the timing of precipitation and planting, the three precipitation scenarios do not impact the  
277 amount of water available to the crops in all stages of development proportionally - as shown in Figure  
278 5 for the slow-development cultivar (the equivalent figure for the fast-development cultivar is shown  
279 in Figure 1 of the Supplementary Information). As maize has a different sensitivity to water availability  
280 in each development stage, the timing of the precipitation has a large impact on the crop development  
281 and yield formation. Additionally, the change in precipitation scenario does not cause directly-

282 proportional changes in the water content of the soil at the effective root zone of the plant, which  
283 further explains the yield response. This may be due to the type of soil in the region, timing of the  
284 precipitation, relatively stable evapotranspiration rates, response of the plant to rising temperature,  
285 and water uptake of the plant at different stages of development. For both the fast- and slow-  
286 development cultivars, the crop is exposed to less water availability in the effective root zone under  
287 the minimum precipitation scenario as compared to the baseline period in all stages of growth and  
288 future time periods. For both cultivars, under the minimum precipitation scenario, the largest  
289 decrease in water availability occurred for the middle planting date for the emergence and vegetative  
290 stages. However, the earliest planting date saw the largest decrease in water availability during  
291 flowering and yield formation. The average and maximum precipitation scenarios generally result in  
292 an increase in the water availability in all stages of the development for the both cultivars, with more  
293 availability under the maximum precipitation scenario than the average. It should be noted that in the  
294 water-sensitive flowering and yield producing stages (Manivasagam and Nagarajan, 2018), the  
295 increase under the average and maximum precipitation scenarios compared to the baseline period  
296 was generally largest with later planting dates, particularly for the slow-development cultivar, which  
297 may explain why the yield increases were largest in these scenarios.

298 To test how much of the yield change was a result of precipitation and how much was due to  
299 temperature, the crop model was run again using the same crop and soil calibration but using historic  
300 climatic data for all variables except either precipitation or temperature respectively. The results of  
301 these test runs are shown in Table 8 and Table 9. These indicate that, for both cultivars of maize,  
302 precipitation is the predominant factor in changing yields. Increasing temperature plays a small  
303 positive role for most planting dates in 2035 but, by 2055, the higher increase in temperature results  
304 in a negative yield influence in all but one scenario. The crop yields are more favourable under RCP  
305 4.5 scenarios than RCP 8.5, and generally improved with planting at the latest time rather than the  
306 earliest. This is consistent with an analysis of the number of days which exceed the maximum  
307 temperature threshold for crop development, with only the earliest planting date showing

308 exceedances, and the number of exceedances increasing for the high warming RCP scenario (see Table  
309 8 of the Supplementary Information).

310 Overall, our analysis finds that Malawi's climate is expected to warm by around 2°C by the middle of  
311 the century, but that projections for precipitation are highly divergent. Modelled maize yields  
312 identified some potential yield increases for a slow-development cultivar under average and high  
313 precipitation scenarios by 2055, while yields of a fast-development cultivar decreased in all but two  
314 climate and planting date scenarios over this same period.

#### 315 4. Discussion

316 Both the scale of relative change in the ensemble RCM mean precipitation rate and the large  
317 discrepancy between model outputs that we have found in the RCMs are consistent with the findings  
318 of other climate change projections for Malawi and Sub-Saharan Africa more broadly (e.g. Mittal et al.  
319 (2017), Niang et al. (2014)). Mittal et al. (2017) used 34 of the latest Global Climate Models (GCMs)  
320 for their projections of Malawi's climate and found that almost half showed changes in rainfall to be  
321 less than +/-5% by 2040, with the other half in disagreement as to whether the climate in Malawi will  
322 become wetter or drier. According to their study, the ensemble average of the GCMs showed a slight  
323 decrease in precipitation of around 2-4% by 2040, with a larger drying out seen in later time periods.  
324 This uncertainty in the projections highlights the need to assess multiple potential future precipitation  
325 scenarios, but also suggests that the extreme minimum and maximum precipitation scenarios used in  
326 this report are unlikely, with reality more likely to be closer to the average precipitation scenario.

327 The climate in the Central Region of Malawi is changing, and this is expected to have a mixed impact  
328 on maize yields in the coming decades. Under a minimum precipitation scenario, both cultivars show  
329 a large decline in yield under all future climate scenarios and planting dates. For the average and  
330 maximum precipitation scenarios, the direction of yield change is more reliant on the cultivar, time-  
331 period, RCP scenario, and planting date.

332 Through isolating the climatic variables in the crop model, it was possible to determine that future  
333 temperature levels play little role in the yield outcome of both maize cultivars in the short term.  
334 However, by 2055, the extent of the warming does start to play a larger negative role, particularly for  
335 earlier planting dates. Conversely, a reduction in precipitation does have a large negative impact on  
336 yields, while the increasing precipitation of the average or maximum scenarios only showed slight  
337 improvements in yield.

338 While our study suggests that planting later in the season and using slower developing cultivars may  
339 help improve yield outcomes in a warmer climate, these increasing temperatures will not happen in  
340 isolation. Importantly, other factors and their interactions with climate variables must also be  
341 considered before any planting advice is developed and certainly before it is applied. For example,  
342 Cairns et al. (2013) found that while the development of more climate resilient maize cultivars could  
343 lead to improved yield outcomes in Sub-Saharan Africa, this would not be successful without improved  
344 management systems and farmers gaining access to the necessary seeds. Switching from cultivars  
345 based on development length may also have other consequences, including changing the timing of  
346 and magnitude of climatic stresses, the absolute size of the yield, the uptake of soil nutrients, and  
347 vulnerability to pests and disease, all of which need to be considered. Without access to technological  
348 solutions such as irrigation, the uncertainty around precipitation levels may also make any change  
349 between these two varieties futile.

350 Cherry-picking a single future prediction and basing future planting decisions on this may lead to  
351 unintended negative outcomes due to uncertainty in the climatic projections and simplicity in the crop  
352 modelling. The importance of assessing a variety of crop types and planting dates, as well as the  
353 challenge of addressing the sensitivity of the soil and crop calibration in the models is highlighted by  
354 the high degree of variation found in the results of this and other studies (Saka et al. (2012), Challinor  
355 et al. (2014), Zinyengere et al. (2014), Gachene et al. (2015), Fiwa (2015), Msowoya et al. (2016),  
356 Stevens and Madani (2016) and Olson et al. (2017)). Previous studies indicate that maize yields may



357 decrease by as much as 14% or increase by up to 25% under a changing climate, with the main  
358 differences between the studies being the cultivar calibration, climate scenario and planting date. The  
359 range of outcomes seen in these previous studies is echoed in our results although, due to the use of  
360 more extreme minimum and maximum precipitation scenarios and not just an ensemble average, the  
361 lower end of the range is more extreme. Furthermore, our results and the results of most previous  
362 studies base their findings on just one crop model type that is calibrated for a specific situation. Crop  
363 models, while very useful, do have limitations and these should be considered when determining the  
364 usefulness of their outputs for the research and policy community in Central Malawi and any other  
365 region they are applied to (Boote et al., 1996, Di Paola et al., 2015). In this case AquaCrop was deemed  
366 appropriate for use in examining the potential climate change impacts on two maize cultivars grown  
367 in Central Malawi, however these results do not necessarily translate into climate-smart application  
368 at an individual farm level. Changes in the crop model choice and calibration could cause the results  
369 to vary widely, and as such, crop models should be tested for applicability, and more local calibration  
370 will be required to develop and recommend robust climate change adaptation options. Real world  
371 application would also need to consider key interactive effects, such as soil fertility and management  
372 practices, which are not assessed in this paper.

373 Likewise, the projected impact of climate change on the volume and timing of precipitation in the  
374 studied region is highly uncertain and this too may lead to maladaptation when choosing maize  
375 planting dates and cultivars. This risk is echoed by Sutcliffe et al. (2016) who found evidence of  
376 potential maladaptation already taking place in parts of Southern Malawi, with farmers already  
377 switching maize cultivars due to perceived changes in rainfall. The disparity in future precipitation  
378 projections, combined with the more certain temperature projections, results in either a greatly  
379 negative or greatly positive impact on final maize yields. The sensitivity of Malawi's main food source  
380 to precipitation highlights the need for more locally-calibrated crop models and higher resolution  
381 climate modelling to better inform adaptation measures. In the interim, improved access to short-

382 term weather forecasting and early warning systems for extreme events, such as floods and droughts,  
383 is required, but this would not address the need for long term agronomic solutions and adaptation.

384 In the face of such uncertainty, technical solutions, such as the use of irrigation, could reduce the  
385 impact of changing precipitation patterns, particularly if the climate follows a scenario of declining  
386 precipitation. This could target soil moisture deficits in the more vulnerable growth stages of the maize  
387 to help improve yield outcomes. However, special care must be taken to ensure that future practices  
388 consider the whole system and do not waste already limited water and energy resources (USAID,  
389 2013) or contribute to the land degradation and declining soil fertility already challenging the area  
390 (Vargas and Omuto, 2016).

391 In this study it was not possible to determine the impact of climate change on the yield of other main  
392 crops such as potatoes or cassava, or on a larger range of maize cultivars, or the growth of any of these  
393 crops in differing soil conditions, as the information required to effectively calibrate the crop model is  
394 not readily available. Diversifying the crops grown by smallholders in Malawi is highlighted as a  
395 significant and viable option for improving food security (Mango et al., 2018). Crop diversification  
396 could make the agricultural sector more stable and provide improved dietary diversity and nutrition  
397 (ibid.). However, there has been very little research into how climate change will impact other food  
398 crops in Malawi, and this will need to be understood to avoid farmers investing in potentially more  
399 vulnerable crop types or cultivars.

400 Assessing how climate change will impact the availability of food is key to determining future  
401 opportunities and risks. However, the vulnerability of the food system does not stop with yields. To  
402 get a more complete picture, further examination of the three other dimensions of food security and  
403 how they interact with climate change is required, namely: how the price of food will change the  
404 purchasing power (PP) of the population and therefore change access to the food; how food-borne  
405 diseases, pests and post-harvest food losses (PHL) will impact the safety and utilisation of food crops;  
406 and how interactions between ecosystems, transboundary impacts (e.g. water abstraction in

407 Tanzania) and the socio-economics of the agricultural sector threaten the wider stability of the system  
408 (Campbell et al., 2016, FAO, 2008).

## 409 5. Conclusions

410 Malawi currently faces large challenges with food security, and interventions will be required, with or  
411 without further climate change, to deal with issues around a lack of enough calories and a lack of  
412 sufficient diversity in nutrients (IFPRI, 2018). Climate change represents a further risk multiplier for an  
413 already-vulnerable agricultural sector and food supply system. Our study shows that use of existing  
414 climate projections coupled with a widely-used crop growth model (AquaCrop) has limited utility in  
415 terms of informing future maize growing decisions at the local scale in Central Malawi. Indeed, our  
416 analysis highlights the potential for maladaptation, where uncertainties in projected climate variables  
417 (especially precipitation) and lack of local scale model calibration could result in a choice of maize  
418 cultivars that reduces climate change resilience instead of enhancing it.

419 We recommend that investment be made into higher resolution climate modelling alongside greater  
420 accessibility of outputs, particularly around precipitation. This would allow for the projected climate  
421 impacts and associated uncertainties to be better incorporated into decision-making by policy makers,  
422 extension service providers, and the farmers themselves. More locally-specific studies on the climatic  
423 sensitivity of multiple cultivars of the main food crops for a variety of soil and farm management  
424 conditions are also required. This information could allow the creation of context-specific 'no regret  
425 interventions', targeted investments, and education programmes to allow both commercial and  
426 subsistence farmers to make sound and sustainable adaptation decisions in a changing climate.

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