

# Low-loss single-mode waveguides operating at UV/violet wavelengths and fabricated with contact optical lithography

*Student Paper*

Chupao Lin<sup>1,2</sup>, David Schaubroeck<sup>3</sup>, Gunther Roelkens<sup>1,2</sup>, Roel Baets<sup>1,2</sup>  
and Nicolas Le Thomas<sup>1,2</sup>

<sup>1</sup> Photonics Research Group, INTEC Department, Ghent University-imec, Technologiepark-Zwijnaarde, 9052 Ghent, Belgium

<sup>2</sup> Center for Nano- and Biophotonics, Ghent University, Belgium

<sup>3</sup> Centre of Microsystems Technology (CMST), imec and Ghent University, Technologiepark 126, B-9052 Zwijnaarde, Belgium

*e-mail:* [Chupao.Lin@Ugent.be](mailto:Chupao.Lin@Ugent.be)

## ABSTRACT

We demonstrate air-cladding single-mode waveguides operating at ultraviolet (UV) wavelengths with propagation loss of 5 dB/cm at  $\lambda = 402$  nm. The waveguides are fabricated with atomic layer deposition (ALD) of aluminium oxide ( $\text{AlO}_x$ ) on  $\text{SiO}_2/\text{Si}$  substrates and with contact optical lithography. This enables an efficient, cost-effective and fast processing. Our result paves the way for on-chip UV spectroscopy.

**Keywords:** UV, integrated photonic circuits, low-loss waveguides,  $\text{AlO}_x$  waveguides, ALD

## 1. INTRODUCTION

Integrated photonics is an enabling technology in diverse fields of applications, including optical communications and biological sensing. In particular, on-chip bio-sensing arouses great interest, due to its potential in terms of low-cost, compactness and low detection limit. CMOS-compatible silicon nitride ( $\text{SiN}_x$ ), which is currently playing an important role for on-chip spectroscopy, is the material of choice for visible/near infrared (NIR) platforms [1]. However,  $\text{SiN}_x$  suffers from high absorption loss at blue/UV wavelengths [2]. Much effort has been devoted to investigate waveguides at UV wavelengths, yet the UV platform is still in its infancy. For an ideal photonic platform, both low-loss and single-mode operation are crucial to combine multiple optical components on chip. Recently, X. Liu et al [3] reported a single crystal AlN platform. Benefiting from the excellent film quality, moderate waveguide-loss of 8 dB/cm at  $\lambda = 390$  nm was reached. Nevertheless, the large waveguide-dimension and high index ( $n$ ) value of 2.2 lead to multi-mode guidance even using electron-beam lithography. In contrast, aluminium oxide ( $\text{AlO}_x$ ) has a lower refractive index value and high transparency above 220 nm [4]. Using atomic layer deposition (ALD), the uniformity and thickness of  $\text{AlO}_x$  film can be well controlled. G.N. West et al. demonstrated  $\text{AlO}_x$  waveguides with an impressive low loss of  $\sim 3$  dB/cm at  $\lambda = 371$  nm [5]. Stepper lithography was needed to pattern waveguides and then to achieve single mode operation. Besides, their platform implements silicon oxide ( $\text{SiO}_x$ ) as hard mask which is kept as top-cladding afterward. Although this will efficiently decrease the index contrast between the core and cladding and then reduce the scattering loss, the  $\text{SiO}_x$ -cladding will inevitably inhibit the bio-sensing potentials of the platform. In this paper, we propose air-cladding single-mode  $\text{AlO}_x$  waveguides fabricated by conventional contact photolithography (Karl Süss MA6 aligner). Prior to implement the expensive and time-consuming stepper lithography, this  $\text{AlO}_x$  platform makes use of an efficient and cost-effective lithography tool to make research prototypes of devices in UV/violet spectrum. Propagation loss of 5 dB/cm is demonstrated at a wavelength of 402 nm.

## 2. DESIGN AND CHARACTERIZATION OF ALOX WAVEGUIDES

We have used the simulation tool COMSOL Multiphysics to investigate the range of single-mode operation of the  $\text{AlO}_x$  waveguides. The  $\text{AlO}_x$  layer is grown on 3- $\mu\text{m}$  thermal  $\text{SiO}_2$  on Si wafers. The thickness of  $\text{AlO}_x$  layer is designed to be 120 nm for  $\lambda = 402$  nm, while 100 nm is adopted for  $\lambda = 360$  nm to inhibit high-order TE modes. To make sure that the designed waveguide supports only a TE-guided mode, we have simulated the evolution of the modal effective index with respect to the waveguide-width. The results are plotted in Fig. 1(a). With air top-cladding, the cut-off width of the single-mode operation is as large as 1190 nm and 1150 nm for  $\lambda = 402$  nm and 360 nm, respectively. The TE modal profiles of the simulated  $\text{AlO}_x$  waveguide at  $\lambda = 402$  nm and 360 nm are shown in Fig. 1(b).

To precisely control the thickness and quality of the core layer,  $\text{AlO}_x$  film is deposited on  $\text{SiO}_2/\text{Si}$  wafer via ALD using an Ultratech Savannah 200 instrument (Veeco).  $\text{AlO}_x$  is deposited at 150°C using trimethylaluminum and water as precursors. Due to the poor etching selectivity between the photoresist and the  $\text{AlO}_x$ , a layer of  $\text{SiN}_x$

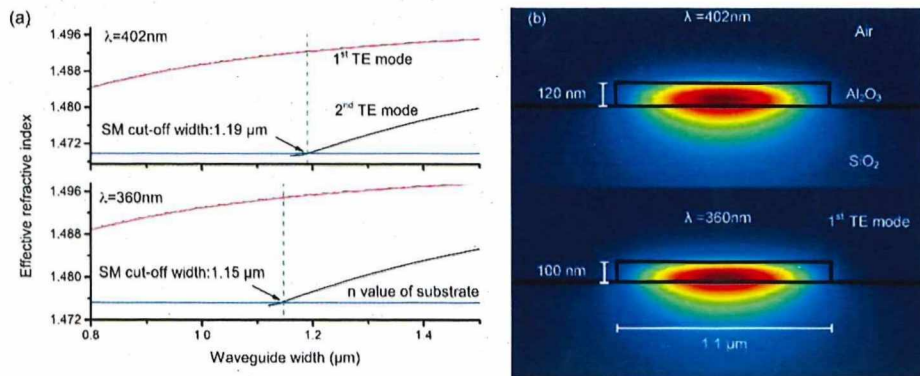


Figure 1. (a) Evolution of 1<sup>st</sup> and 2<sup>nd</sup> TE mode effective index with respect to waveguide width at  $\lambda = 402$  nm and 360 nm, respectively. (b) Simulated modal profiles of the designed waveguide for  $\lambda = 402$  nm and 360 nm.

grown by plasma enhanced chemical vapour deposition (PECVD) is used as hard mask to define the AlO<sub>x</sub> waveguides. We have used a conventional mercury lamp based contact photolithography system with a band pass filter selecting the 280-350 nm wavelength range to expose the photoresist. The patterns defined by the photoresist are transferred to the SiN<sub>x</sub> hard mask by using reactive ion etching (RIE). The AlO<sub>x</sub> layer is dry etched in an inductive coupled plasma (ICP)-RIE with a BCl<sub>3</sub>/Cl<sub>2</sub>/Ar gas mixture. The anisotropy of the etching of both SiN and AlO<sub>x</sub> are well controlled, resulting in nearly vertical side walls. Finally, the SiN hard mask is removed by RIE. A typical cross-section of a waveguide is shown in Fig. 2(b). The fabricated waveguide with a width of 1100 nm and height of 120 nm is characterized using a 402 nm diode laser. The top image of the light scattered from a 1.6 cm-long spiral waveguide is shown in Fig. 2(a). The 200 μm bend radius is designed to minimize bend losses. The propagation loss is estimated to be ~5 dB/cm by analysing the intensity decay of scattered light. The intensities of scattering light at input and output are extracted and averaged by 20 lines of pixels, as shown in Fig. 2(c). The total waveguide loss is attributed to material absorption and scattering loss. With the higher deposition temperature of 300 °C, the material absorption of ALD AlO<sub>x</sub> can be further decreased [4]. Meanwhile, waveguides designed to operate at  $\lambda = 360$  nm are under processing.

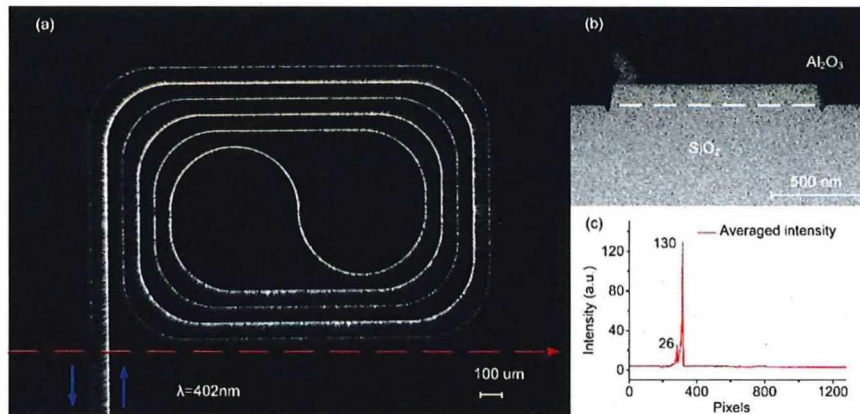


Figure 2. (a) Microscopy images of the scattered light from a spiral waveguide at a wavelength of 402 nm, (b) Cross-section of the corresponding AlO<sub>x</sub> waveguide imaged by a scanning electron microscope, (c) Averaged intensity profile of the scattered light at the input and output of the spiral. The total length of the spiral equals to 1.6 cm.


### 3. CONCLUSIONS

This work paves the way for on-chip light-matter interaction in the UV/violet region, and in particular for on-chip UV spectroscopy. Furthermore, being compatible with contact optical lithography, the proposed AlO<sub>x</sub> platform exhibits the advantage of fast processing and low-cost. Finally, the achieved 5 dB/cm-loss single-mode waveguides are promising for developing a UV platform with complex on-chip optical components.

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13:15 - 14:15	Poster Session 1 // Light sources and amplifiers Chair: Laurent Vivien (Univ. Paris Saclay, CNRS, C2N, France)	13:15 - 14:15	Poster Session 2 // New technology, new materials, new modeling for nonlinear and passive devices Chair: Delphine Marris-Morini (Univ. Paris Saclay, CNRS, C2N, France)	
Arab N 1, Poette J 1, Bastard L 1, Broquin J-E 1 Univ. Grenoble Alpes, CNRS, Grenoble INP, IMEPL-ANIC, 38000 Grenoble, France	Dual wavelength Y-junction glass integrated waveguides for mm-wave carrier generation		Chupao Lin 1, 2, David Schaubroek 3, Gunther Roelkens1, 2, Roel Baets1, 2 and Nicolas Le Thomas1, 2 1 Photonics Research Group, INTEC Department, Ghent University-imec, Technologiepark-Zwijnaarde, 9052 Ghent, Belgium 2 Center for Nano- and Biophotonics, Ghent University, Belgium 3 Centre of Microsystems Technology (CMST), imec and Ghent University, Technologiepark 126, B-9052 Zwijnaarde, Belgium	Low-loss single-mode waveguides operating at UV/violet wavelengths and fabricated with contact optical lithography
Aref Rasoulzadeh Zali1, Steven Kleijn2, Luc Augustin2, Ripalta Stabile1, Nicola Calabretta1 1 IMECCO research institute, Eindhoven University of Technology, Eindhoven, the Netherlands 2 Smart Photonics, High Tech Campus, Eindhoven, the Netherlands	Low Polarization Sensitive Semiconductor Optical Amplifier Co-Integrated with Passive Waveguides for Optical Datacom and Telecom Networks		Jianhao Zhang,1 Carlos Alonso-Ramos,1 Lauront Vivien,1 Sailing He,2 Eric Cassan1 1 Université Paris-Saclay, Univ. Paris-Sud, CNRS, Centre de Nanosciences et de Nanotechnologies, 91120, Palaiseau, France, 2 State Key Laboratory for Modern Optical Instrumentation, Centre for Optical and Electromagnetic Research, Zhejiang Campus, Zhejiang University, Hangzhou 310058, China	Ultra-wide band inter-mode four-wave mixing in sub-wavelength silicon waveguides
Qin Zou, Kamel Merghem, Badr-Eddine Benkelfat, Musharrat Shabnam Samour, CNRS, Telecom SudParis, Institut Polytechnique de Paris, 19 place Marguerite Perey, 91120 Palaiseau, France	Bifurcation and Chaotic Behavior of Feedback Semiconductor Lasers Operating in the Full Range of External Reflectivity		Pragati Aashna1 and K Thyagarajan2 1 Department of Physics, Indian Institute of Technology Delhi, Delhi, 110016 2 Department of Physics, School of Engineering and Applied Sciences, Bennett University, Greater Noida, UP, 201310	Two-process frequency conversion under stimulated Raman adiabatic passage via a continuum of dark intermediate modes
Julio Darío López1, Dan Zhao2,3, Mu-Chieh Lo4,*, Robinson Guzmán1, Xaveri Leijtens2, and Guillermo Carpintero1 1 Universidad Carlos III de Madrid, Leganes, Spain 2 Technische Universiteit Eindhoven, Eindhoven, Netherlands 3 Now with ASML, Veldhoven, Netherlands 4 University College London, London, UK	Narrow-Linewidth DBR Laser Using Open-Access High-Precision Grating in InP PIC Generic Foundry Platform		Bonedicto D1, Dias A2, Martín JC1, Vallós JA2, Solís J2 1 Department of Applied Physics and DA, Faculty of Sciences, University of Zaragoza, C/ Pedro Cerbasi 12, 50009 Zaragoza (Spain), 2 Laser Processing Group, Instituto de Óptica "Daza de Viedra", CSIC, C/ Serrano 121, 28006 Madrid (Spain).	Characterization of an Er3+/Yb3+ Codoped Two Core Integrated Waveguide Femtosecond Laser written in a Phosphate Glass
Mariangela Giovannini1, Lorenzo Colombo1, Antonino Bologna1, Marco Novaresé1, Sebastian Romero-García2, Dominic Sirlani2, Jock Bovington2 1 Department of Electronics and Telecommunication, Politecnico di Torino (Italy) 2 Cisco Systems, San Jose, CA, (US)	Design of hybrid lasers for silicon photonics: efficiency, optical feedback tolerance and laser dynamics		J.-B. Dory1,2, J.-Y. Raty1,3, M. Ibnoussina2, J.-B. Jager4, A. Verdy1, F. d'Acquillo5, M. Teyssaire1, M. Bernard1, P. Colman2, A. Collet2, B. Cluzel2 and P. Noël1 1 Univ. Grenoble Alpes, CEA LETI, F-38000 Grenoble, France, 2 ICB, UMR CNRS 5209, Université de Bourgogne Franche Comté, 21078 Dijon cedex, France 3 GEMMA-Physics of Solid Interfaces and Nanostructures, BS, Université de Liège, Belgium, 4 Univ. Grenoble Alpes, CEA, INAC, F-38000 Grenoble, France, 5 CNRS-IOM-OGG c/o ESRF – The European Synchrotron, F-38043 Grenoble, France.	Amorphous chalcogenide thin films for nonlinear integrated optics in mid-infrared
Junfei Xia, Oxiang Cheng*, Tongyun Li, Richard V. Penty Centre for Photonic Systems, Electrical Engineering Division, Department of Engineering, University of Cambridge, 9 JJ Thomson Avenue, Cambridge CB3 0FA, UK.	The Design of Hybrid III-V on Silicon Optical Switch based on Mach-Zehnder and SOA Switching Elements		Glukhov I.A., Moliseev S.G., Dadoenkova Yu.S., Bentivegna F.F.L. 1 Lab-STICC (UMR 6285), CNRS, ENIB, CS 73862, Brest Cedex 3, France 29238 2 Ulyanovsk State University, 42 Leo Tolstoy str., Ulyanovsk, Russia 432017 3 Kaluzhskoe Institute of Radio Engineering and Electronics of the Russian Academy of Sciences, Ulyanovsk Branch, 48/2 Goncharov Str., Russia 432011 4 Ulyanovsk State Technical University, 32 Severny Venets str., Ulyanovsk, Russia 432027	Polarization-selective defect mode suppression in a deterministic aperiodic photonic crystal through plasmon excitation in an embedded array of metallic nanoparticles
E. Malysheva, A. Fiore, K.A.Williams, V.Dolores-Calzadilla Institute for Photonic Integration, Eindhoven University of Technology, Eindhoven, The Netherlands	Manufacture-compliant InP-based metal cavity nanolaser design		W.A.P.M. Hendriks, M. Dijkstra, C.I. van Emmerik, I. Hegeman S.M. Garcia-Blanco MESA+ Institute, University of Twente, P.O. Box 217, 7550 AE, Enschede, The Netherlands	High refractive index low-loss aluminium oxide waveguides
Jack Mulcahy 1,2, John McCarthy1,2, Mohamad Dernaika 3, Albert A. Ruth 4, Satheesh Chandran 4, Prince M. Anandarajah 5, Eamonn P. Martin 5, Justin K. Alexander 6 & Frank H. Peters 1,2 1 Tyndall National Institute, Lee Maltings, Cork, Ireland 2 Physics Department, University College Cork, Cork, Ireland 3 Rockley Photonics Ireland, Lee Mills House, Lee Maltings, Cork, Ireland 4 Physics Department & Environmental Research Institute, University College Cork, Cork, Ireland 5 School of Electronic Engineering, Dublin City University, Glasnevin, Dublin 9, Ireland	Monolithically Integrated Wavelength Tunable Dual Comb Source using Gain Switching		Zhengkai Jia, Hua Yang2, Hui Wang1, Xing Dai1, Alison H. Perrott1,2, Frank H. Peters1,3 1 Integrated Photonics Group, Tyndall National Institute, Cork, Ireland, 2 Rockley Photonics Ireland, Cork, Ireland 3 Department of Physics, University College Cork, Cork, Ireland.	Quantum Well Intermixing of InP-Based AlInGaAs Quantum Wells Using IFVD Technique and the Mask Boundary Effect
Juan Navarro-Arenas, 1 Andrés F. Gualdrón-Reyes,2-3 Vladimir S. Chirvony, 1 Iván Mora-Seró,2 Juan Martínez-Pastor1 and Isaac Suárez 4 1 Instituto de Ciencia de Materiales (ICMUV), Universidad de Valencia, C/ Catedrático José Beltrán, 2, 46100 Paterna, Spain 2 Institute of Advanced Materials (INAM), University Jaume I, Avenida de Vicent Sos Baynat, s/n, 12006, Castellón de la Plana, Castellón, Spain, 3 Biblioteca Lab-BEAR, Faculty of Basic Sciences, University of Pamplona, Pamplona, Colombia, C. P. 543050, 4 Escuela Técnica Superior de Ingeniería, Universidad de Valencia, C/Avda de la Universidad s/n 46100 Burjassot, Valencia, Spain.	Perovskite Nanocrystals: an Active Material for Integrated Optics		I.V. Kondratyev 1, M. Yu. Saygin 1, I. V. Dyakonov 1, S. S. Straupe 1, S. P. Kulik 1 1 MSU Quantum Technology Centre, Leninskoye gory 1, building 35, 119991, Moscow, Russia.	Robust Architecture for Programmable Universal Unitaries
Sander Reniers 1, Kevin Williams 1, Jos van der Tol 1, Yuqing Jiao 1 1 Institute for Photonic Integration (IPI), Eindhoven University of Technology	An Accurate Characterization Method for Polarization Converters on the Indium Phosphide Membrane on Silicon Platform		S.M. Kostirskii1, Yu.N.Korkishko1, V.A. Fedorov1, O.G. Sevostyanov2, I.M. Chirkov2, E. Kokanyan3, M. Allier1,4 1 IPRC Optokin, Zelenograd, Sorokovyy al. 6A, 124489, Moscow, Russia 2 Institute of Basic Sciences, 650000, Kemerovo, Russia 3 Institute for Physical Research, Ashotarak-2, Armenia 4 Centrale Supélec, LMOPs, University of Lorraine, Metz, France	Phase composition and electro-optic properties of channel proton-exchanged LiNbO3 waveguides
Stanislaw Stopiński, Krzysztof Siwiec, Witold Pleskacz, Sławomir Szostak, Ryszard Kisiel and Ryszard Pirydziewicz Warsaw University of Technology, Institute of Microelectronics and Optoelectronics, Koszykowa 75, 00-662 Warsaw, Poland	Hybrid Integration of a Single-Frequency Ring Laser with a Microelectronic Driver		Habib Mohamad 1, Sylvain Blaize 2, Alain Morand 1, Pierre Benech1 1 IMEPL-ANIC, CNRS, Grenoble-INP, Institute of Engineering Univ. Grenoble Alpes, 38000 Grenoble, France, 2 L2N, Université de Technologie de Troyes, 12 rue Marie Curie CS 42060 10064 Troyes Cedex, France.	The Aperiodic DM-FFF compared to the A-FMM: A Rigorous Method for the Modeling of Guided Optical Structures
Zhengrui Tu1, Jianhao Zhang1, John Rönnt2, Carlos Alonso-Ramos1, Xavier Leroux1, Laurent Vivien1, Zhipel Sun2, Eric Cassan1 1 Centre de Nanosciences et de Nanotechnologies (C2N), Université Paris Saclay, Université Paris Sud, CNRS, 91120 Palaiseau, France 2 Department of Electronics and Nanoeengineering, Aalto University, Tieotie 3, FI-00076 Espoo, Finland	Prospect for compact on-chip lasing with hybrid erbium-doped silicon integration		Paramita Pal1*, E. Kumi Barimah1, Benjamin Dawson2 and Gin Jose1 1 School of chemical and process engineering, University of Leeds, LS2 9JT, UK	Er3+ doped Silica-on-Silicon using fs-laser doping process for Integrated Waveguide Amplifier Platforms
			Vincent Pelgrim1,2, Yuchen Wang2, Carlos Ramos1, Laurent Vivien1, Zhipel Sun2, Eric Cassan1 1 Université Paris-Saclay, CNRS, Centre de Nanosciences et de Nanotechnologies, 91120, Palaiseau, France 2 Department of Electronics and Nanoeengineering, Aalto University, P.O. Box 13500, FI-00076 Aalto, Finland	Kerr effect enhancement through hybrid integration of 2D materials on the silicon platform
			R.Peyton 1, 2, D. Presti 1, 2, F. Videla 1, 2, F. Torchia 1, 2 1 Centro de Investigaciones Ópticas (CONICET-CIC-UNLP) Camino Centenario y 505, s/n, M.B. Gonset (1857), Buenos Aires, Argentina 2 Departamento de Ciencia y Tecnología la Universidad Nacional de Quilmes, Roque Saenz Peña a 352, Bernal (1876), Buenos Aires, Argentina	Multi Mode Interferometer plus simplified coherent coupling to design a small footprint SOI power splitter
			Dura Shahwar, Matteo Cherchi, Mikko Harjanne, and Timo Aalto VTT Technical Research Centre of Finland	Polarization splitter based on form birefringence for micron-scale Silicon photonics
			Kang Wang Université Paris-Saclay, CNRS, Laboratoire de Physique des Solides, 91405, Orsay, France	Valley-polarized beam propagation in metallic photonic graphene