

# Non-Disjoint Promise Problems from Meta-Computational View of Pseudorandom Generator Constructions

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## Abstract

The standard notion of promise problem is a pair of *disjoint* sets of instances, each of which is regarded as YES and NO instances, respectively, and the task of solving a promise problem is to distinguish these two sets of instances. In this paper, we introduce a set of new promise problems which are conjectured to be *non-disjoint*, and prove that hardness of these “non-disjoint” promise problems gives rise to the existence of hitting set generators (and vice versa). We do this by presenting a general principle which converts any black-box construction of a pseudorandom generator into the existence of a hitting set generator whose security is based on hardness of some “non-disjoint” promise problem (via a non-black-box security reduction).

Applying the principle to cryptographic pseudorandom generators, we introduce

**The Gap( $K^{\text{SAT}}$  vs  $K$ ) Problem:** Given a string  $x$  and a parameter  $s$ , distinguish whether the polynomial-time-bounded SAT-oracle Kolmogorov complexity of  $x$  is at most  $s$ , or the polynomial-time-bounded Kolmogorov complexity of  $x$  (without SAT oracle) is at least  $s + O(\log |x|)$ .

If Gap( $K^{\text{SAT}}$  vs  $K$ ) is NP-hard, then the worst-case and average-case complexity of PH is equivalent. Under the plausible assumption that  $E^{\text{NP}} \neq E$ , the promise problem is non-disjoint. These results generalize the non-black-box worst-case to average-case reductions of Hirahara [31] and improve the approximation error from  $\tilde{O}(\sqrt{n})$  to  $O(\log n)$ .

Applying the principle to complexity-theoretic pseudorandom generators, we introduce a family of Meta-computational Circuit Lower-bound Problems (MCLPs), which are problems of distinguishing the truth tables of explicit functions from hard functions. Our results generalize the hardness versus randomness framework and identify problems whose circuit lower bounds characterize the existence of hitting set generators. For example, we introduce

**The E vs SIZE( $2^{o(n)}$ ) Problem:** Given the truth table of a function  $f$ , distinguish whether  $f$  is computable in exponential time or requires exponential-size circuits to compute.

A *nearly-linear*  $AC^0 \circ XOR$  circuit size lower bound for this promise problem is *equivalent* to the existence of a logarithmic-seed-length hitting set generator for  $AC^0 \circ XOR$ . Under the plausible assumption that  $E \not\subseteq SIZE(2^{o(n)})$ , the promise problem is non-disjoint (and thus the minimum circuit size is *infinity*). This is the first result that provides the exact characterization of the existence of a hitting set generator secure against  $\mathcal{C}$  by the worst-case lower bound against  $\mathcal{C}$  for a circuit class  $\mathcal{C} = AC^0 \circ XOR \subseteq TC^0$ . In addition, we prove that a nearly-linear size lower bound against co-nondeterministic read-once branching programs for some “non-disjoint” promise problem is sufficient for resolving  $RL = L$ .

We also establish the equivalence between the existence of a derandomization algorithm for uniform algorithms and a uniform lower bound for a problem of approximating Levin’s Kt-complexity.

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## 1 Introduction

A *promise problem*, introduced by Even, Selman, and Yacobi [18], is a pair of disjoint sets  $(\Pi_{\text{YES}}, \Pi_{\text{NO}})$  that are regarded as the sets of YES and NO instances, respectively. The problem is regarded as a problem whose instances are “promised” to come from  $\Pi_{\text{YES}} \cup \Pi_{\text{NO}}$ . Specifically, an algorithm  $A$  is said to *solve* a promise problem  $(\Pi_{\text{YES}}, \Pi_{\text{NO}})$  if  $A$  accepts any instance  $x \in \Pi_{\text{YES}}$  and rejects any instance  $x \in \Pi_{\text{NO}}$ ; the behavior of  $A$  on any “unpromised” instance  $x \notin \Pi_{\text{YES}} \cup \Pi_{\text{NO}}$  can be arbitrary. The notion of promise problem is crucial for formalizing several important concepts and theorems in complexity theory. A canonical example is the unique satisfiability problem (1SAT, UNSAT), where 1SAT is the set of formulas that has a unique satisfying assignment, and UNSAT is the set of unsatisfiable formulas. The promise problem is a standard Promise-UP-complete problem, and the celebrated theorem of Valiant and Vazirani [70] states that it is in fact NP-hard under randomized reductions. The reader is referred to the survey of Goldreich [23] for more background on promise problems.

Usually, it is required that  $\Pi_{\text{YES}}$  and  $\Pi_{\text{NO}}$  are disjoint, i.e.,  $\Pi_{\text{YES}} \cap \Pi_{\text{NO}} = \emptyset$ . The reason is that if there exists an instance  $x \in \Pi_{\text{YES}} \cap \Pi_{\text{NO}}$ , then no algorithm can solve the promise problem  $(\Pi_{\text{YES}}, \Pi_{\text{NO}})$ . Indeed, if there were an algorithm  $A$  that solves  $(\Pi_{\text{YES}}, \Pi_{\text{NO}})$ , then  $A$  must accept  $x$  and simultaneously reject  $x$ , which is impossible. For this reason, every definition of promise problems considered before is, to the best of our knowledge, always disjoint.

In this paper, we introduce a set of new promise problems which are *conjectured to be non-disjoint*. We will demonstrate that these “non-disjoint” promise problems are worth investigating, by showing that hardness results for our promise problems have important consequences in complexity theory. The fact that the promise problems are conjectured to be non-disjoint means that solving promise problems are conjectured to be *impossible*, no matter how long an algorithm is allowed to run. Surprisingly, our results show that if one can prove *mild hardness* results of computing “non-disjoint” promise problems, which is conjectured to be *impossible*, then one can resolve important open questions of complexity theory.

To be more specific, we consider open questions of whether there exists an explicit hitting set generator. A *hitting set generator* (HSG)  $G = \{G_n: \{0, 1\}^{s(n)} \rightarrow \{0, 1\}^n\}_{n \in \mathbb{N}}$  secure against a class  $\mathfrak{C}$  of algorithms is a family of functions  $G_n$  such that any algorithm  $A \in \mathfrak{C}$  that accepts at least a half of the  $n$ -bit strings must accept a string  $G_n(z)$  for some seed  $z \in \{0, 1\}^{s(n)}$  for all large  $n \in \mathbb{N}$ . The existence of a secure hitting set generator makes it possible to derandomize any one-sided-error  $\mathfrak{C}$ -randomized algorithm, by simply trying all possible  $s(n)$ -bit seeds  $z$  and using  $G(z)$  as a source of randomness. A stronger notion called a *pseudorandom generator* (PRG) enables us to derandomize two-sided-error randomized algorithms.

### 1.1 Meta-Computational View of PRG Constructions

A standard approach for constructing pseudorandom generators is to use the *hardness versus randomness* framework developed in, e.g., [72, 8, 53, 7, 38, 40, 63, 44]. One of the landmark results of Impagliazzo and Wigderson [40] states that if there exists a function in  $\mathbf{E} = \text{DTIME}(2^{O(n)})$  that is not computable by a circuit of size  $2^{\alpha n}$  for some constant  $\alpha > 0$ , then there exists a logarithmic-seed-length pseudorandom generator secure against linear-size circuits (and, in particular,  $\mathbf{P} = \mathbf{BPP}$  follows). In general, such a result is proved by using a *black-box pseudorandom generator construction*  $G^{(-)}$  that converts any hard function  $f \notin \text{SIZE}(2^{\alpha n})$  to a pseudorandom generator  $G^f: \{0, 1\}^{O(n)} \rightarrow \{0, 1\}^{2^{\alpha n}}$  secure against circuits of size  $2^{\alpha n}$ , where  $\alpha > 0$  is some constant.

The underlying theme of this paper is to view black-box PRG constructions from a *meta-computational* perspective. Usually,  $f$  is regarded as a *fixed* hard function such as  $f \notin \text{SIZE}(2^{o(n)})$ . Instead, here we regard  $f$  as an *input* to some “non-disjoint” promise problem, and regard a black-box PRG construction  $G^{(\cdot)}$  as a reduction that proves the security of some (universal) hitting set generator based on the hardness of the “non-disjoint” promise problem. This new perspective can be applied to arbitrary black-box PRG constructions, and it gives rise to a “non-disjoint” promise problem associated with the black-box PRG construction. For example, the pseudorandom generator construction of [40] induces the  $E$  vs  $\text{SIZE}(2^{o(n)})$  problem, which is the problem of distinguishing whether  $f \in E/O(n)$  or  $f \notin \text{SIZE}(2^{o(n)})$ , given the truth table of a function  $f$ .

There are two types of a pseudorandom generator. One is a *cryptographic* PRG, which is computable in polynomial time in its seed length. This notion is useful for building secure cryptographic primitives. We present in Section 1.2 “non-disjoint” promise problems whose hardness gives rise to a cryptographic hitting set generator. In particular, finding a non-disjoint witness of the promise problem implies the average-case hardness of PH, which provides a new approach for establishing the equivalence between the worst-case and average-case complexity of PH. The other is a *complexity-theoretic* PRG, which is allowed to be computed in time exponential in its seed length. This notion is sufficient for the purpose of derandomizing randomized algorithms. In Section 1.3, we generalize the hardness versus randomness framework by using the meta-computational view of black-box PRG constructions, and establish the equivalence between circuit lower bounds for “non-disjoint” promise problems and the existence of hitting set generators. Sections 1.2 and 1.3 can be read independently.

## 1.2 Worst-Case versus Average-Case Complexity of PH

Understanding average-case complexity is a fundamental question in complexity theory. Average-case hardness of NP is a prerequisite for building secure cryptographic primitives, such as one-way functions and cryptographic pseudorandom generators. Indeed, it is not hard to see that if there exists a polynomial-time-computable hitting set generator  $G$ , then checking whether a given string is in the image of  $G$  is a problem in NP that is hard on average (in the errorless sense). In this section, we present a new approach for proving the average-case hardness of NP, by implicitly constructing a cryptographic hitting set generator.

A fundamental open question in the theory of average-case complexity, pioneered by [48], is to establish the equivalence between the worst-case and average-case complexity of NP.

► **Open Question 1.** *Does  $P \neq \text{NP}$  imply  $\text{DistNP} \not\subseteq \text{AvgP}$ ?*

Here  $\text{DistNP} \not\subseteq \text{AvgP}$  is an average-case analogue of  $\text{NP} \neq P$ . Open Question 1 asks whether the worst-case hardness of NP implies that NP is hard on random instances generated efficiently. The reader is referred to the survey of Bogdanov and Trevisan [9] for background on average-case complexity.

For large enough complexity classes such as PSPACE and EXP, there is a general technique for converting any worst-case hard function  $f$  to some two-sided-error average-case hard function  $\text{Enc}(f)$  based on error-correcting codes [63, 66]. Here, the encoded function  $\text{Enc}(f)$  is computable in PSPACE given oracle access to  $f$ ; thus, the worst-case and average-case complexity of such large complexity classes are known to be equivalent. Viola [71] showed limits of such an approach:  $\text{Enc}(f)$  cannot be computed in the polynomial-time hierarchy  $\text{PH}^f$ ; thus, the proof technique of using error-correcting codes is not sufficient to resolve Open Question 1 as well as the following weaker open question:

► **Open Question 2.** Does  $\text{PH} \neq \text{P}$  (or, equivalently,  $\text{P} \neq \text{NP}$ ) imply  $\text{DistPH} \not\subseteq \text{AvgP}$ ?

Note that Open Question 2 is an easier question than Open Question 1, since  $\text{PH} = \text{P}$  is known to be equivalent to  $\text{NP} = \text{P}$ .

There are significant obstacles for resolving Open Questions 1 and 2. One is the relativization barrier due to Impagliazzo [39]. Another is the limits of black-box reductions due to Feigenbaum and Fortnow [19] and Bogdanov and Trevisan [9].

Recently, a non-black-box worst-case to average-case reduction that is not subject to the latter barrier was presented in [31]. The reduction shows that solving the problem  $\text{GapMINKT}$  of approximating polynomial-time-bounded Kolmogorov complexity in the worst-case sense can be reduced to solving  $\text{MINKT}$  on average. For an integer  $t \in \mathbb{N}$  and an oracle  $A$ , a  $t$ -time-bounded  $A$ -oracle Kolmogorov complexity  $K^{t,A}(x)$  of a finite string  $x$  is defined as the shortest length of a program that prints  $x$  in  $t$  steps with oracle access to  $A$  (see Section 2 for a precise definition). The promise problem  $\text{GapMINKT} = (\Pi_{\text{YES}}, \Pi_{\text{NO}})$  asks for approximating  $K^t(x)$  within an additive error of  $\tilde{O}(\sqrt{K^t(x)})$ , and is formally defined as follows:  $\Pi_{\text{YES}}$  consists of  $(x, 1^s, 1^t)$  such that  $K^t(x) \leq s$ ; and  $\Pi_{\text{NO}}$  consists of  $(x, 1^s, 1^t)$  such that  $K^{\text{poly}(|x|,t)}(x) > s + \tilde{O}(\sqrt{s})$ .

The result of [31] can be seen as providing an approach for establishing the equivalence between worst-case and average-case complexity of  $\text{NP}$ ; indeed, proving  $\text{NP}$ -hardness of  $\text{GapMINKT}$  is sufficient for resolving Open Question 1. However, the approximation error  $\tilde{O}(\sqrt{s})$  caused by the reduction of [31] is not optimal, which makes the question of proving  $\text{NP}$ -hardness of  $\text{GapMINKT}$  potentially harder.

### 1.2.1 $\text{Gap}(K^A \text{ vs } K)$

We herein introduce the following promise problem.

► **Definition 3.** For an oracle  $A$  and an approximation quality  $\tau: \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}$ , the problem  $\text{Gap}_\tau(K^A \text{ vs } K)$  is defined as the following promise problem  $(\Pi_{\text{YES}}, \Pi_{\text{NO}})$ .

$$\begin{aligned} \Pi_{\text{YES}} &:= \{ (x, 1^s, 1^t) \mid K^{t,A}(x) \leq s \}, \\ \Pi_{\text{NO}} &:= \{ (x, 1^s, 1^t) \mid K^{\tau(|x|,t)}(x) > s + \log \tau(|x|, t) \}. \end{aligned}$$

By default, we assume that  $\tau$  is some polynomial and write  $\text{Gap}(K^A \text{ vs } K) \in \text{P}$  if there exists some polynomial  $\tau$  such that  $\text{Gap}_\tau(K^A \text{ vs } K) \in \text{P}$ .

In this paper, we prove

► **Theorem 4.** Let  $A$  be any oracle. If  $\text{DistNP}^A \subseteq \text{AvgP}$ , then  $\text{Gap}(K^A \text{ vs } K) \in \text{P}$ .

An immediate corollary of Theorem 4 is an improvement of the reduction of [31], by setting  $A := \emptyset$ . In particular, in order to resolve Open Question 1, it suffices to prove  $\text{NP}$ -hardness of approximating  $K^t(x)$  within an additive error of  $\log \tau(|x|, t)$  given  $(x, 1^t)$  as input, for any polynomial  $\tau$ . A key insight for reducing the approximation error is that there are two main sources of the approximation error in the reduction of [31]: One comes from fixing a random coin flip sequence, which we remove by using the pseudorandom generator construction of Buhrman, Fortnow, and Pavan [11] under the assumption that  $\text{DistNP} \subseteq \text{AvgP}$ . The other comes from the advice complexity of a black-box pseudorandom generator construction, which we reduce by using a “ $k$ -wise direct product generator” whose advice complexity is small [32].

More surprisingly, the promise problem is conjectured to be *non-disjoint* for  $A := \text{SAT}$ . That is, it is conjectured to be *impossible* for any algorithm to solve  $\text{Gap}(\text{K}^{\text{SAT}} \text{ vs } \text{K})$  – no matter how long the algorithm is allowed to run. Nevertheless, Theorem 4 shows that under the assumption that  $\text{PH}$  is easy on average, there exists a *polynomial-time algorithm* for solving  $\text{Gap}(\text{K}^{\text{SAT}} \text{ vs } \text{K})$ . Taking its contrapositive, this means that, in order to resolve  $\text{DistPH} \not\subseteq \text{AvgP}$ , it suffices to prove a super-polynomial time lower bound for solving  $\text{Gap}(\text{K}^{\text{SAT}} \text{ vs } \text{K})$ , whose time complexity is conjectured to be *infinity* (in the sense that there exists *no algorithm* that can compute the promise problem).

We now clarify why  $\text{Gap}(\text{K}^{\text{SAT}} \text{ vs } \text{K})$  is conjectured to be non-disjoint. Under the plausible assumption that  $\text{E}^{\text{NP}} \neq \text{E}$ , it is not hard to see that there are infinitely many strings  $x$  such that  $x$  is simultaneously a YES and NO instance; here, the string  $x$  is defined as the truth table of the characteristic function of  $L \in \text{E}^{\text{NP}} \setminus \text{E}/O(n)$  (see Proposition 24).

Another corollary of Theorem 4 is that under the assumption that  $\text{DistPH} \subseteq \text{AvgP}$ , any string  $x$  that can be compressed with SAT oracle in polynomial time can be also compressed without any oracle. Formally:

► **Corollary 5.** *If  $\text{DistPH} \subseteq \text{AvgP}$ , then there exists a polynomial  $\tau$  such that*

$$\text{K}^{\tau(|x|,t)}(x) \leq \text{K}^{t,\text{SAT}}(x) + \log \tau(|x|, t)$$

for any  $x \in \{0, 1\}^*$  and  $t \in \mathbb{N}$ .

**Proof.** Under the assumption,  $\text{Gap}(\text{K}^{\text{SAT}} \text{ vs } \text{K})$  can be solved by *some algorithm*. Thus  $\text{Gap}(\text{K}^{\text{SAT}} \text{ vs } \text{K})$  problem must be disjoint, from which the result follows immediately. ◀

Corollary 5 provides a new approach for resolving Open Question 2. In order to prove  $\text{DistPH} \not\subseteq \text{AvgP}$  under the assumption that  $\text{P} \neq \text{NP}$ , it suffices to find a string  $x$  that can be compressed with SAT oracle but cannot be compressed without SAT oracle. In fact, it suffices to find such a string  $x$  under the stronger assumption that  $\text{NP} \not\subseteq \text{P/poly}$ . This is because Pavan, Santhanam, and Vinodchandran [57] proved  $\text{NP} \not\subseteq \text{P/poly}$  if Open Question 2 is negative.

More importantly, Theorem 4 suggests a more reasonable approach to Open Question 2. Note that finding a string  $x$  compressible with SAT oracle but incompressible without any oracle corresponds to proving the non-disjointness of  $\text{Gap}(\text{K}^{\text{SAT}} \text{ vs } \text{K})$ ; this amounts to proving the time complexity of solving  $\text{Gap}(\text{K}^{\text{SAT}} \text{ vs } \text{K})$  is *infinity*. Theorem 4 suggests that it suffices to prove that a *polynomial-time* algorithm cannot find a difference between compressible strings under SAT oracle and incompressible strings without any oracle, under the worst-case hardness assumption of NP. In particular, it suffices to prove NP-hardness of  $\text{Gap}(\text{K}^{\text{SAT}} \text{ vs } \text{K})$ .

► **Corollary 6** (A new approach for Open Question 2). *Suppose that the  $\text{Gap}(\text{K}^{\text{SAT}} \text{ vs } \text{K})$  problem is “NP-hard under randomized reductions”<sup>1</sup> in the sense that*

$$\text{NP} \not\subseteq \text{BPP} \implies \text{Gap}(\text{K}^{\text{SAT}} \text{ vs } \text{K}) \notin \text{P}.$$

Then, Open Question 2 is positive; that is,

$$\text{DistPH} \not\subseteq \text{AvgP} \iff \text{PH} \neq \text{P}.$$

<sup>1</sup> Here we use the weak notion of “NP-hardness” in order to strengthen the result. Corollary 6 remains true even if one interprets NP-hardness as a randomized reduction from NP.

In a typical proof of NP-hardness of a disjoint promise problem  $\Pi = (\Pi_{\text{YES}}, \Pi_{\text{NO}})$ , one needs to carefully design a reduction  $R$  from SAT to  $\Pi$  that “preserves” a structure of SAT; i.e., any formula  $\varphi \in \text{SAT}$  is mapped to  $R(\varphi) \in \Pi_{\text{YES}}$  and any formula  $\varphi \in \text{UNSAT}$  is mapped to  $R(\varphi) \in \Pi_{\text{NO}}$ . The task of proving NP-hardness of  $\text{Gap}(\text{K}^{\text{SAT}} \text{ vs } \text{K})$  is potentially much easier, because it suffices to find a reduction  $R$  that may not preserve a structure of SAT; in principle,  $R(\varphi)$  can be a fixed input  $x$  that is in the intersection of YES and NO instances of  $\text{Gap}(\text{K}^{\text{SAT}} \text{ vs } \text{K})$ . It is worth mentioning that proving NP-hardness of  $\text{Gap}(\text{K}^{\text{SAT}} \text{ vs } \text{K})$  is easier than proving NP-hardness of  $\text{GapMINKT}$  since  $\text{GapMINKT}$  is reducible to  $\text{Gap}(\text{K}^{\text{SAT}} \text{ vs } \text{K})$  via an identity map.

### 1.2.2 Non-NP-Hardness Results Do Not Apply

A line of work presented evidence that NP-hardness of MINKT is not likely to be established under deterministic reductions (e.g., [45, 51, 36, 35]). For example, it is not hard to see that the proof technique of Murray and Williams [51] (who proved a similar result for MCSP) can be extended to the case of  $\text{GapMINKT}$ .

► **Theorem 7** (Essentially in [51]; cf. [32]). *If  $\text{GapMINKT}$  is NP-hard under many-one deterministic reductions, then  $\text{EXP} \neq \text{ZPP}$ .*

This result suggests that establishing NP-hardness of  $\text{GapMINKT}$  under deterministic reductions is a challenging task. In contrast, we observe that a similar “non-NP-hardness” result cannot be applied to a non-disjoint promise problem.

► **Proposition 8.** *Assume that NP-hardness of  $\text{Gap}(\text{K}^{\text{SAT}} \text{ vs } \text{K})$  under many-one reductions implies  $\text{EXP} \neq \text{ZPP}$ . Then,  $\text{EXP} \neq \text{ZPP}$  holds unconditionally.*

The reason is that  $\text{Gap}(\text{K}^{\text{SAT}} \text{ vs } \text{K})$  is well defined only if  $\text{EXP} \neq \text{ZPP}$ . More formally:

**Proof.** There are two cases. Either  $\text{Gap}(\text{K}^{\text{SAT}} \text{ vs } \text{K})$  is disjoint or not disjoint. In the former case, by Proposition 24, we have  $\text{E}^{\text{NP}} = \text{E}$ ; thus  $\text{EXP} = \text{EXP}^{\text{NP}} = \text{ZPEXP} \neq \text{ZPP}$ . In the latter case, there exists a string  $x$  that is simultaneously a YES and NO instance. A reduction that always maps to  $x$  defines a many-one reduction from any problem to  $\text{Gap}(\text{K}^{\text{SAT}} \text{ vs } \text{K})$ ; thus,  $\text{EXP} \neq \text{ZPP}$  follows from the assumption. ◀

In light of Proposition 8, we leave as an interesting open question whether there is any barrier explaining the difficulty of proving NP-hardness of the non-disjoint promise problem. We mention that  $\text{GapMINKT}^{\text{SAT}}$ , which is equivalent to  $\text{Gap}(\text{K}^{\text{SAT}} \text{ vs } \text{K}^{\text{SAT}})$ , is known to be  $\text{DistNP}$ -hard [32]. In particular, since  $\text{Gap}(\text{K}^{\text{SAT}} \text{ vs } \text{K}^{\text{SAT}})$  is reducible to  $\text{Gap}(\text{K}^{\text{SAT}} \text{ vs } \text{K})$  via an identity map, the latter is also  $\text{DistNP}$ -hard. Therefore, in order to present a barrier for proving NP-hardness of  $\text{Gap}(\text{K}^{\text{SAT}} \text{ vs } \text{K})$ , one must exploit a property that holds for NP but does not hold for  $\text{DistNP}$  (unless the notion of reducibility is strong).

### 1.2.3 $\text{Gap}(F \text{ vs } F^{-1})$ : Meta-Computational View of HILL’s PRG

We also propose another approach towards Open Question 1, by introducing a promise problem which asks for distinguishing whether a given function is computable by small circuits, or cannot be inverted by small circuits. Specifically, for an approximation quality  $\tau$ , we define the promise problem  $\text{Gap}_\tau(F \text{ vs } F^{-1})$  as follows. Given a size parameter  $s \in \mathbb{N}$  and an integer  $n \in \mathbb{N}$  and random access to a function  $F: \{0, 1\}^n \rightarrow \{0, 1\}^n$ , the task is to distinguish the following two cases:

**Yes:**  $F$  is computable by a circuit of size  $s$ .

**No:**  $F$  cannot be inverted on average by any  $F$ -oracle circuit of size  $\tau(n, s)$ .

We show that “NP-hardness” of  $\text{Gap}_\tau(F \text{ vs } F^{-1})$  for every polynomial  $\tau$  is enough for resolving Open Question 1. More specifically, we prove

► **Theorem 9.** *If  $\text{DistNP} \subseteq \text{AvgP}$ , then there exist a polynomial  $\tau$  and a coRP-type randomized algorithm that solves  $\text{Gap}_\tau(F \text{ vs } F^{-1})$  on input  $(n, s)$  in time  $\text{poly}(n, s)$ . In particular, Open Question 1 is true if  $\text{Gap}_\tau(F \text{ vs } F^{-1})$  is “NP-hard” for every polynomial  $\tau$  in the following sense:  $\text{NP} \subseteq \text{BPP}$  follows from the assumption that  $\text{Gap}_\tau(F \text{ vs } F^{-1})$  admits a coRP-type algorithm.*

This is proved by viewing the black-box PRG construction based on any one-way function, which is given by Håstad, Impagliazzo, Levin, and Luby [29], from the meta-computational perspective.

It is easy to observe that  $\text{Gap}(F \text{ vs } F^{-1})$  is non-disjoint under the existence of a one-way function, which is one of the most standard cryptographic primitives. Thus, it is widely believed that  $\text{Gap}(F \text{ vs } F^{-1})$  is *impossible* to solve. Nevertheless, NP-hardness of  $\text{Gap}(F \text{ vs } F^{-1})$  is sufficient for resolving Open Question 1.

### 1.3 Meta-computational Circuit Lower-bound Problems; MCLPs

We now turn our attention to complexity-theoretic hitting set generators. A standard approach for constructing complexity-theoretic pseudorandom generators is to use the hardness versus randomness framework, which reduces the task of constructing a pseudorandom generator to the task of finding an explicit hard function, such as  $f \in \text{E} \setminus \text{SIZE}(2^{o(n)})$ .

It is, however, a widely accepted fact that proving a circuit size lower bound for an explicit function is extremely hard. Here by an *explicit* function, we mean that a function is computable in  $\text{E} = \text{DTIME}(2^{O(n)})$ . It is an open question whether there exists an exponential-time-computable function  $f \in \text{E}$  that cannot be computed by any circuit of size  $4n$  (cf. [20]). On the other hand, a simple counting argument shows that most functions  $f: \{0, 1\}^n \rightarrow \{0, 1\}$  cannot be computed by circuits of size  $2^{\alpha n}$  for any constant  $\alpha < 1$ .

Why is it so difficult to prove a circuit lower bound for an explicit function? We propose to view this question from a *meta-computational* perspective. The fact that it is difficult for *human beings* to show that an explicit function cannot be computed by small circuits suggests that it should be also difficult for *algorithms* to analyze a circuit lower bound. Our results indicate that if we can make this intuition formal, then we get breakthrough results in complexity theory.

Specifically, we herein introduce a family of new computational problems, which we call *Meta-computational Circuit Lower-bound Problems* (MCLPs). These problems ask for distinguishing the truth table of explicit functions from hard functions. For example, we propose the following promise problem:

**The  $\text{E}$  vs  $\text{SIZE}(2^{o(n)})$  Problem** (informal)

Given the truth table of a function  $f: \{0, 1\}^n \rightarrow \{0, 1\}$ , distinguish whether  $f \in \text{E}/O(n)$  or  $f \notin \text{SIZE}(2^{o(n)})$ .

Before defining the problem formally, let us first observe that the  $\text{E}$  vs  $\text{SIZE}(2^{o(n)})$  problem is closely related to the open question of whether  $\text{E} \not\subseteq \text{SIZE}(2^{o(n)})$ . Indeed, it is not hard to show that  $\text{E}/O(n) \not\subseteq \text{SIZE}(2^{o(n)})$  if and only if  $\text{E} \not\subseteq \text{SIZE}(2^{o(n)})$  by regarding an advice string as a part of input. Therefore, the  $\text{E}$  vs  $\text{SIZE}(2^{o(n)})$  problem is non-disjoint under the standard circuit lower bound assumption that  $\text{E} \not\subseteq \text{SIZE}(2^{o(n)})$ .

We now define the problem formally. According to the standard notion of advice [42], the complexity class  $\text{E}/O(n)$  is defined as a subset of functions  $f: \{0, 1\}^* \rightarrow \{0, 1\}$  that are defined on all the strings of any length. Thus, “ $f \in \text{E}/O(n)$ ” does not make sense for a

function  $f: \{0, 1\}^n \rightarrow \{0, 1\}$ . Instead, we interpret advice by using the notion of Levin's resource-bounded Kolmogorov complexity [47] so that the notion of advice is meaningful for a finite function  $f: \{0, 1\}^n \rightarrow \{0, 1\}$ . For a string  $x \in \{0, 1\}^*$ , let  $\text{Kt}(x)$  denote the Kt-complexity of a string  $x$ , which is defined as the minimum of  $|M| + \log t$  over all the programs  $M$  that output  $x$  in time  $t$ ; here,  $|M|$  denotes the description length of  $M$ . The E vs SIZE( $2^{o(n)}$ ) problem is formally defined as follows.

► **Definition 10.** For any functions  $t, s: \mathbb{N} \rightarrow \mathbb{N}$ , let  $(\Pi_{\text{YES}}(t(n)), \Pi_{\text{NO}}(s(n)))$  denote the promise problem defined as

$$\begin{aligned} \Pi_{\text{YES}}^t &:= \{f \in \{0, 1\}^{2^n} \mid \text{Kt}(f) \leq \log t(n), n \in \mathbb{N}\}, \\ \Pi_{\text{NO}}^s &:= \{f \in \{0, 1\}^{2^n} \mid \text{size}(f) > s(n), n \in \mathbb{N}\}. \end{aligned}$$

Here, we identify a function  $f: \{0, 1\}^n \rightarrow \{0, 1\}$  with its truth table representation  $f \in \{0, 1\}^{2^n}$ , and  $\text{size}(f)$  denotes the minimum size of a circuit that computes  $f$ .

The E vs SIZE( $2^{o(n)}$ ) problem is defined as the family  $\{(\Pi_{\text{YES}}(2^{cn}), \Pi_{\text{NO}}(2^{\alpha n}))\}_{c, \alpha > 0}$  of the promise problems. A family  $\{\Pi\}$  of problems is said to be solved by a class  $\mathcal{C}$  and denoted by  $\{\Pi\} \in \mathcal{C}$  if every problem in the family is solved by some algorithm in  $\mathcal{C}$ .

The idea behind Definition 10 is that the complexity class  $\text{E}/O(n)$  can be characterized as the class of the functions  $f = \{f_n: \{0, 1\}^n \rightarrow \{0, 1\}\}_{n \in \mathbb{N}}$  such that, for some constant  $c$ , for all large  $n \in \mathbb{N}$ ,  $\text{Kt}(f_n) \leq cn$  holds. Indeed,  $f \in \text{E}/O(n)$  means that the truth table of  $f_n$  can be described by a Turing machine of description length  $O(n)$  in time  $2^{O(n)}$  for all large  $n$ . The relationship between complexity classes with advice and resource-bounded Kolmogorov complexity will be explained in detail in Section 4.2, where we interpret “DTIME( $t(n)$ )/ $a(n)$ ” as a subset of functions  $f: \{0, 1\}^n \rightarrow \{0, 1\}$ .

### 1.3.1 Meta-Computational View of the Hardness vs Randomness Framework

We show that a nearly-linear-size  $\text{AC}^0 \circ \text{XOR}$  circuit size lower bound for solving the E vs SIZE( $2^{o(n)}$ ) problem exactly characterizes the existence of a hitting set generator secure against  $\text{AC}^0 \circ \text{XOR}$ .

► **Theorem 11.** The following (Items 1 to 4) are equivalent.

1. There exists a hitting set generator  $G = \{G_n: \{0, 1\}^{O(\log n)} \rightarrow \{0, 1\}^n\}_{n \in \mathbb{N}}$  computable in time  $n^{O(1)}$  and secure against linear-size  $\text{AC}^0 \circ \text{XOR}$  circuits.
2. For all large  $N \in \mathbb{N}$ , there exists no  $\text{AC}^0 \circ \text{XOR}$  circuit of size  $N^{1+o(1)}$  that computes the E vs SIZE( $2^{o(n)}$ ) problem, where  $N = 2^n$  denotes the input length.

The condition can be equivalently stated without referring to the “non-disjoint” promise problem. Let  $\text{MKtP}[O(\log N), N^{o(1)}]$  denote the family of the promise problems  $\text{MKtP}[c \log N, N^\alpha]$  for constants  $c, \alpha > 0$ , where, for functions  $s, t: \mathbb{N} \rightarrow \mathbb{N}$ ,  $\text{MKtP}[s(N), t(N)]$  denotes the promise problem of distinguishing strings  $x$  such that  $\text{Kt}(x) \leq s(|x|)$  and strings  $x$  such that  $\text{Kt}(x) > t(|x|)$ . Then, the following are equivalent as well.

3. For all large  $N \in \mathbb{N}$ , there exists no  $\text{AC}^0 \circ \text{XOR}$  circuit of size  $N^{1+o(1)}$  that computes  $\text{MKtP}[O(\log N), N^{o(1)}]$ .
4. For any constant  $k \in \mathbb{N}$ , for all large  $N \in \mathbb{N}$ , there exists no  $\text{AC}^0 \circ \text{XOR}$  circuit of size  $N^k$  that computes  $\text{MKtP}[O(\log N), N^{o(1)}]$ .

Observe that Item 1 of Theorem 11 implies a strongly exponential  $\text{AC}^0$  circuit lower bound for E, which also implies that  $\text{EXP} \not\subseteq \text{NC}^1$  (see, e.g., [3, 55, 26]). These are long-standing open questions with the state of the art being Håstad's lower bounds [28]. Theorem 11



shows that, in order to improve the state-of-the-art lower bound, it is sufficient to prove a *nearly-linear*  $\text{AC}^0 \circ \text{XOR}$  lower bound for the  $\text{E vs SIZE}(2^{o(n)})$  problem. In contrast, the minimum circuit for computing the  $\text{E vs SIZE}(2^{o(n)})$  problem is *infinity* under the standard circuit lower bound assumption that  $\text{E} \not\subseteq \text{SIZE}(2^{o(n)})$ .

It is instructive to compare our results with the hardness versus randomness framework. In order to obtain a hitting set generator in the latter framework, we need to find an explicit function that is hard for small circuits to compute. In our framework, finding an explicit hard function corresponds to proving that the minimum circuit size for computing MCLPs is *infinity* (or, in other words, proving that there exists no circuit of *any size* that computes MCLPs<sup>2</sup>). Our results significantly weaken the assumption needed to obtain a hitting set generator: It suffices to show that a nearly-linear circuit cannot find the difference between an explicit function and a hard function.

Our results can be also stated based on the case analysis. There are two cases. (1) When the circuit lower bound that  $\text{E} \not\subseteq \text{SIZE}(2^{o(n)})$  holds, the work of [40] already implies the existence of a pseudorandom generator. (2) Even if the circuit lower bound does fail, Theorem 11 shows that a very modest lower bound for the  $\text{E vs SIZE}(2^{o(n)})$  problem (which is a disjoint promise problem under the assumption) implies the existence of a hitting set generator. In either case, we obtain a hitting set generator. Our results generalize the hardness versus randomness framework in this sense.

Previously, based on the hardness versus randomness framework, it is known that  $\text{E} \not\subseteq \mathfrak{C}$  is equivalent to the existence of a pseudorandom generator secure against  $\mathfrak{C}$  for a sufficiently large class  $\mathfrak{C}$  (see, e.g., [22]). However, in the previous approach, one needs to transform a worst-case  $\mathfrak{C}$ -circuit lower bound to an average-case  $\mathfrak{C}$ -circuit lower bound; thus  $\mathfrak{C}$  needs to be a sufficiently large so that it can perform local decoding, which requires the majority gate [61]. For any circuit class  $\mathfrak{C}$  smaller than  $\text{TC}^0$ , it was not clear whether the existence of a hitting set generator secure against  $\mathfrak{C}$  is equivalent to some worst-case  $\mathfrak{C}$ -circuit lower bound. Theorem 11 establishes the first equivalence for the circuit class  $\mathfrak{C} = \text{AC}^0 \circ \text{XOR}$ , which is smaller than  $\text{TC}^0$  [59].

Our results can be stated without the non-standard notion of promise problem, as in Items 3 and 4 of Theorem 11. Indeed, any promise problem in the family  $\text{MKtP}[O(\log N), N^{o(1)}]$  asks for approximating the Kt-complexity of a given string, and it is always a disjoint promise problem. In our terminology,  $\text{MKtP}[O(\log N), N^{o(1)}]$  is equivalent to the  $\text{E vs DTIME}(2^{2^{o(n)}})/2^{o(n)}$  problem. Since  $\text{SIZE}(2^{o(n)}) \subseteq \text{DTIME}(2^{2^{o(n)}})/2^{o(n)}$ , one can observe that the  $\text{E vs DTIME}(2^{2^{o(n)}})/2^{o(n)}$  problem is reducible to the  $\text{E vs SIZE}(2^{o(n)})$  problem via an identity map, which explains the implication from Item 3 to Item 2 in Theorem 11.

We mention in passing that it is not hard to prove an  $\text{AC}^0$  lower bound for  $\text{MKtP}[O(\log N), N^{o(1)}]$  (i.e., without the bottom XOR gates) by using the pseudorandom restriction method as in [34, 17, 14]. (See Appendix B for a proof.)

► **Proposition 12.** *For any constants  $\alpha < 1, k, d \in \mathbb{N}$ , there exists a constant  $c$  such that*

$$\text{MKtP}[c \log N, N^\alpha] \not\subseteq \text{i.o. AC}_d^0(N^k).$$

<sup>2</sup> This should be compared with the fact that any *disjoint* promise problem can be computed by a circuit of size  $O(2^n/n)$  on inputs of length  $n$ .

## 20:10 Meta-Computational View of PRG Constructions

For any classes  $\mathfrak{C}, \mathfrak{D}$  of functions, one can define the  $\mathfrak{C}$  vs  $\mathfrak{D}$  problem. A particularly interesting problem is the  $\mathbf{E}$  vs  $\widetilde{\mathbf{AC}}^0(2^{o(n)}; \frac{1}{2} - 2^{-o(n)})$  problem, where  $\widetilde{\mathfrak{D}}(s; \delta)$  denotes the class of functions that can be computed by a  $\mathfrak{D}$ -circuit of size  $s$  on at least a  $(1 - \delta)$  fraction of inputs. We prove that, if nearly-linear-size  $\mathbf{AC}^0$  circuits cannot distinguish an explicit function from a function that cannot be approximated by small  $\mathbf{AC}^0$  circuits, then a logarithmic-seed-length hitting set generator can be obtained. (Moreover, the converse direction is easy to prove.)

► **Theorem 13.** *The following are equivalent.*

1. The  $\mathbf{E}$  vs  $\widetilde{\mathbf{AC}}^0(2^{o(n)}; \frac{1}{2} - 2^{-o(n)})$  problem cannot be computed by  $\mathbf{AC}^0$  circuits of size  $N^{1+o(1)}$  for all large  $N = 2^n$ .
2. There exists a hitting set generator  $G = \{G_n : \{0, 1\}^{O(\log n)} \rightarrow \{0, 1\}^n\}_{n \in \mathbb{N}}$  computable in time  $n^{O(1)}$  and secure against linear-size  $\mathbf{AC}^0$  circuits.
3.  $\text{MKtP}[O(\log N), N - 1] \notin \text{i.o.}\mathbf{AC}^0(N^{1+\beta})$  for some constant  $\beta > 0$ .
4.  $\text{MKtP}[O(\log N), N - 1] \notin \text{i.o.}\mathbf{AC}^0(N^k)$  for any constant  $k$ .

An interesting aspect of Theorem 13 is its self-referential nature; intuitively, Item 1 means that  $\mathbf{AC}^0$  circuits cannot analyze  $\mathbf{AC}^0$  circuits itself. Note that self-reference is crucial for proving, e.g., time hierarchy theorems for uniform computational models. Theorem 13 provides an analogue in a non-uniform circuit model.

Why do we consider “non-disjoint” promise problems, despite the fact that Theorems 11 and 13 can be stated by using only the standard notions?<sup>3</sup> First, Theorem 11 is obtained by viewing (a variant of) the black-box PRG construction of Impagliazzo and Wigderson [40] from a meta-computational perspective; thus, it is natural to state Theorem 11 as a connection between the existence of a hitting set generator and a lower bound for the  $\mathbf{E}$  vs  $\mathbf{SIZE}(2^{o(n)})$  problem. Second, an identity map reduces  $\text{MKtP}[O(\log N), N^{o(1)}]$  to the  $\mathbf{E}$  vs  $\mathbf{SIZE}(2^{o(n)})$  problem, and thus it is easier to prove a lower bound for the latter problem. Third, the known worst-case-and-average-case equivalence between  $\mathbf{E} \subseteq \mathbf{SIZE}(2^{o(n)})$  and  $\mathbf{E} \subseteq \widetilde{\mathbf{SIZE}}(2^{o(n)}; \frac{1}{2} - 2^{-o(n)})$  [63] can be naturally regarded as a reduction from the  $\mathbf{E}$  vs  $\mathbf{SIZE}(2^{o(n)})$  problem to the  $\mathbf{E}$  vs  $\widetilde{\mathbf{SIZE}}(2^{o(n)}; \frac{1}{2} - 2^{-o(n)})$  problem. Indeed, Theorem 13 is proved by viewing the Nisan–Wigderson pseudorandom generator from a meta-computational perspective, and then Theorem 13 is translated into Theorem 11 by using the worst-case-and-average-case equivalence.

We also present a potential approach for resolving the  $\mathbf{RL} = \mathbf{L}$  question. Here,  $\mathbf{RL}$  is the complexity class of languages that can be solved by a one-sided-error randomized  $O(\log n)$ -space Turing machine that reads its random tape *only once*. A canonical approach for proving  $\mathbf{RL} = \mathbf{L}$  is to construct a log-space-computable hitting set generator of seed length  $O(\log n)$  secure against  $O(n)$ -size read-once branching programs. A state-of-the-art result is the pseudorandom generator of seed length  $O(\log^2 n)$  given by Nisan [52] for read-once (known-order) oblivious branching programs, and the pseudorandom generator of seed length  $O(\log^3 n)$  given by Forbes and Kelley [21] for read-once unknown-order oblivious branching programs.<sup>4</sup>

<sup>3</sup> We also mention that the non-disjointness itself can provide new consequences, such as Corollaries 5 and 16.

<sup>4</sup> In the area of unconditional derandomization of space-bounded randomized algorithms, it is common to assume that a branching program is oblivious and reads the input in the fixed order. Here, we do not assume these properties.

We show that a hitting set generator of seed length  $O(\log n)$  can be constructed if nearly-linear-size read-once co-nondeterministic branching programs cannot distinguish linear-space-computable functions from hard functions.

► **Theorem 62.** *Suppose that there exist some constants  $\alpha, \beta > 0$  such that the  $\text{DSPACE}(n)$  vs  $\widetilde{\text{SIZE}}(2^{O(\alpha n)}; 2^{-\alpha n})$  problem cannot be computed by read-once co-nondeterministic branching programs of size  $N^{1+\beta}$  for all large input lengths  $N = 2^n \in \mathbb{N}$ . Then there exists a hitting set generator  $G = \{G_n : \{0, 1\}^{O(\log n)} \rightarrow \{0, 1\}^n\}_{n \in \mathbb{N}}$  computable in  $O(\log n)$  space and secure against linear-size read-once branching programs (and, in particular,  $\text{RL} = \text{L}$  follows).*

Theorem 62 can be compared with the result of Klivans and van Melkebeek [44], which shows the existence of a pseudorandom generator secure against branching programs under the assumption that  $\text{DSPACE}(n)$  requires a circuit of size  $2^{\Omega(n)}$ . Under the same assumption, by using a worst-case-to-average-case reduction for  $\text{DSPACE}(n)$ , it can be shown that the  $\text{DSPACE}(n)$  vs  $\widetilde{\text{SIZE}}(2^{\alpha n}; \delta)$  problem is non-disjoint for any sufficiently small  $\delta \geq 0$  (cf. Proposition 43). In this case, the minimum size of a co-nondeterministic branching program for computing the MCLP is *infinity*; thus, Theorem 62 generalizes the result of [44].

It should be noted that limits of the computational power of read-once non-deterministic branching programs are well understood. For example, Borodin, Razborov, and Smolensky [10] presented an explicit function that cannot be computed by any read- $k$ -times nondeterministic branching program of size  $2^{o(n)}$  for any constant  $k$ . Theorem 62 shows that, in order to resolve  $\text{RL} = \text{L}$ , it suffices to similarly analyze the read-once co-nondeterministic branching program size lower bound for computing the MCLP. This approach could be useful; by using the Nechiporuck method, it can be shown that neither nondeterministic nor co-nondeterministic branching programs of size  $o(N^{1.5}/\log N)$  can compute MKtP [16], which is a much more general lower bound than read- $k$ -times nondeterministic branching programs.

We also mention that a partial converse of Theorem 62 is easy to prove: If there exists a log-space-computable hitting set generator secure against linear-size read-once nondeterministic branching programs, then the  $\text{DSPACE}(n)$  vs  $\widetilde{\text{SIZE}}(2^{\alpha n}; \delta)$  problem cannot be computed by a read-once co-nondeterministic branching programs of size  $N^k$ , where  $N = 2^n$  and  $k$  is an arbitrary constant. More generally, any results showing the existence of a hitting set generator secure against  $\mathfrak{C}$  must entail a  $\text{co}\mathfrak{C}$ -lower bound for MCLPs (cf. Proposition 54).

### 1.3.2 Non-trivial Derandomization and Lower Bounds for MKtP

Our proof techniques can be also applied to *uniform* algorithms. We consider the question of whether one-sided-error uniform algorithms can be non-trivially derandomized in time  $2^{n-\omega(\sqrt{n} \log n)}$ . We say that an algorithm  $A$  is a *derandomization algorithm* for  $\text{DTIME}(t(n))$  if, for any machine  $M$  running in time  $t(n)$ ,  $A$  takes  $1^n$  and a description of  $M$  as input and outputs  $y \in \{0, 1\}^n$  such that  $M(y) = 1$  for infinitely many  $n \in \mathbb{N}$  such that  $\Pr_{x \sim \{0, 1\}^n} [M(x) = 1] \geq \frac{1}{2}$ . Unlike the standard notion of derandomization algorithm for non-uniform computational models, the description length of  $M$  is at most a constant; thus, our notion of derandomization algorithm is essentially equivalent to the existence of a hitting set generator secure against  $\text{DTIME}(t(n))$ . Applying our proof techniques to this setting, we establish the following equivalence between the existence of a derandomization algorithm for uniform algorithms and a lower bound for approximating Kt complexity.

► **Theorem 14.** *For any constant  $0 < \epsilon < 1$ , the following are equivalent:*

1. *There exists a derandomization algorithm for  $\text{DTIME}(2^{O(\sqrt{N} \log N)})$  that runs in time  $2^{N-\omega(\sqrt{N} \log N)}$ .*
2.  $\text{MKtP}[N - \omega(\sqrt{N} \log N), N - 1] \notin \text{DTIME}(2^{O(\sqrt{N} \log N)})$ .
3.  $\text{MKtP}[N^\epsilon, N^\epsilon + \omega(\sqrt{N} \log N)] \notin \text{DTIME}(2^{O(\sqrt{N} \log N)})$ .

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Usually, the time complexity is measured with respect to the input size. Our result, however, suggests that the time complexity of  $\text{MKtP}[s(N), s(N) + \tilde{\omega}(\sqrt{N})]$  is well captured by the size parameter  $s(N)$  rather than the input size  $N$ : Indeed, Theorem 14 implies that

$$\text{MKtP}[N^\epsilon, N^\epsilon + \omega(\sqrt{N^\epsilon} \log N)] \in \text{DTIME}(2^{O(\sqrt{N^\epsilon} \log N)})$$

is equivalent to

$$\text{MKtP}[N^\delta, N^\delta + \omega(\sqrt{N^\delta} \log N)] \in \text{DTIME}(2^{O(\sqrt{N^\delta} \log N)})$$

for any  $0 < \epsilon, \delta < 1$ .

Theorem 14 highlights the importance of a lower bound for  $\text{MKtP}$ . In fact, it is a long-standing open question whether  $\text{MKtP} \notin \text{P}$ , despite the fact that  $\text{MKtP}$  is an  $\text{EXP}$ -complete problem under non-uniform reductions [2]. Towards resolving the open question, we show that some promise problem can be solved in  $\text{coRP}$  under the assumption that  $\text{MKtP} \in \text{P}$ .

► **Theorem 15.** *Assume that  $\text{MKtP} \in \text{P}$ . Then, there exists a  $\text{coRP}$ -algorithm that solves the  $\text{Kt}$  vs  $\text{K}^t$  problem, which is defined as follows: Given a string  $x \in \{0, 1\}^*$  of length  $n$  and a parameter  $s \in \mathbb{N}$ , distinguish whether  $\text{Kt}(x) \leq s$  or  $\text{K}^t(x) \geq s + O(\sqrt{s} \log n + \log^2 n)$ , where  $t = \text{poly}(n)$ .*

Using the disjointness of the  $\text{Kt}$  vs  $\text{K}^t$  problem and setting  $s := \text{Kt}(x)$ , we obtain

► **Corollary 16.** *If  $\text{MKtP} \in \text{P}$ , then  $\text{K}^t(x) \leq \text{Kt}(x) + O(\sqrt{\text{Kt}(x)} \log n + \log^2 n)$  for any  $x \in \{0, 1\}^n$  and any  $t \geq \text{poly}(n)$ .*

Since  $\text{Kt}(x) \leq \text{K}^{\text{poly}(n)}(x) + O(\log n)$  holds unconditionally, Corollary 16 shows that  $\text{Kt}(x)$  and  $\text{K}^{\text{poly}(n)}(x)$  are close to each other under the assumption that  $\text{MKtP} \in \text{P}$ . We mention that the problem of computing  $\text{K}^{t(n)}(x)$  given  $x \in \{0, 1\}^n$  cannot be computed in polynomial time when  $t(n) = n^{\omega(1)}$  [32].

### 1.3.3 Related Work: Minimum Circuit Size Problem

The definitions of MCLPs are inspired by the *Minimum Circuit Size Problem* (MCSP). While the history of MCSP is said to date back to as early as 1950s [64], its importance was not widely recognized until Kabanets and Cai [41] named the problem as MCSP and investigated it based on the natural proof framework of Razborov and Rudich [60]. The task of MCSP is to decide whether there exists a size- $s$  circuit that computes  $f$ , given the truth table of a function  $f: \{0, 1\}^n \rightarrow \{0, 1\}$  and a size parameter  $s \in \mathbb{N}$ . It turned out that MCSP is one of the central computational problems in relation to wide research areas of complexity theory, including circuit lower bounds [60], learning theory [13], and cryptography [60, 2, 4, 31].

Over the last twenty years of the study of MCSP, it has been recognized that MCSP lacks one desirable mathematical property – *monotonicity* with respect to an underlying computational model. MCSP can be defined for any circuit classes  $\mathfrak{C}$ ; for example,  $\mathfrak{C}$ -MCSP stands for a version of MCSP where the task is to find the minimum  $\mathfrak{C}$ -circuit size;  $\text{MCSP}^A$  stands for the minimum  $A$ -oracle circuit size problem. We are tempted to conjecture that, as a computational model becomes stronger, the corresponding minimization problem becomes harder; e.g.,  $\text{MCSP}^A$  should be harder than MCSP for any oracle  $A$ . However, this is not the case – Hirahara and Watanabe [35] showed that there exists an oracle  $A$  such that  $\text{MCSP} \not\leq_T^p \text{MCSP}^A$  unless  $\text{MCSP} \in \text{P}$ . Moreover,  $\text{DNF-MCSP}$  [49, 3] and  $(\text{DNF} \circ \text{XOR})\text{-MCSP}$  [33] are known to be  $\text{NP}$ -complete, whereas  $\text{NP}$ -completeness of MCSP is a long-standing open question.

Why does the monotonicity of MCSP fail?  $\mathfrak{C}$ -MCSP can be regarded as a special case of the  $\mathfrak{C}$  vs  $\mathfrak{D}$  problem where  $\mathfrak{C} = \mathfrak{D}$ . It is easy to observe that the  $\mathfrak{C}$  vs  $\mathfrak{D}$  problem is reducible to the  $\mathfrak{C}'$  vs  $\mathfrak{D}'$  problem via an identity map if  $\mathfrak{C} \subseteq \mathfrak{C}'$  and  $\mathfrak{D} \supseteq \mathfrak{D}'$ ; thus, MCLPs have monotonicity properties in this sense. In contrast, the monotonicity property of MCLPs fails when  $\mathfrak{C} = \mathfrak{D} \subseteq \mathfrak{C}' = \mathfrak{D}'$ , which corresponds to the case of MCSP.

In an attempt to remedy the monotonicity issue, Hirahara and Santhanam [34] observed that average-case complexity of MCSP is monotone increasing. Carmosino, Impagliazzo, Kabanets, and Kolokolova [13] implicitly showed that the complexity of MCSP is monotone increasing under non-black-box reductions.

In contrast, MCLPs incorporate the monotonicity property in the definition itself, which makes a mathematical theory cleaner. For example, recall that it can be shown that  $E \not\subseteq \text{SIZE}(2^{o(n)})$  if and only if  $E \not\subseteq \widetilde{\text{SIZE}}(2^{o(n)}; \frac{1}{2} - 2^{-o(n)})$  by using error-correcting codes [63]. Viewing this equivalence from a meta-computational perspective, it can be interpreted as an efficient reduction from the  $E$  vs  $\text{SIZE}(2^{o(n)})$  problem to the  $E$  vs  $\widetilde{\text{SIZE}}(2^{o(n)}; \frac{1}{2} - 2^{-o(n)})$  problem (cf. Theorem 59). A similar reduction was proved for MCSP under the assumption that  $\text{EXP} \subseteq \text{P/poly}$  [14]; finding such a reduction for MCSP requires certain creativity, whereas working with the definition of MCLPs makes it trivial to find the reduction.

### 1.3.4 Related Work: Hardness Magnification

A recent line of work [55, 54, 50, 15, 14] exhibit surprising phenomena, which are termed as “hardness magnification phenomena.” Oliveira, Santhanam, and Pich [55, 54] showed that very weak lower bounds for MCSP and related problems are sufficient for resolving long-standing open questions about circuit lower bounds, such as  $\text{EXP} \not\subseteq \text{NC}^1$ . A surprising aspect of hardness magnification phenomena is that, as argued in [6, 55], the argument does not seem to be subject to the natural proof barrier of Razborov and Rudich [60], which is one of the major obstacles of complexity theory. Our results provide an interpretation of hardness magnification phenomena from the perspective of a construction of a hitting set generator.

It is worthwhile to point out that our reductions have very similar structures to hardness magnification phenomena. For example, it was shown in [55, 54] that, for a parameter  $s = s(N)$ , the problem  $\text{MKtP}[s, s + O(\log N)]$  can be solved by an  $\text{AND}_{O(N)} \circ D_{\text{poly}(s)} \circ \text{XOR}$  circuit, where  $D_{\text{poly}(s)}$  is an oracle gate computable in  $\text{EXP}$  that takes an input of length  $\text{poly}(s)$ . This reduction shows that  $\text{EXP} \subseteq \text{P/poly}$  implies  $\text{MKtP}[s, s + O(\log N)] \in \text{SIZE}(N \cdot \text{poly}(s))$ . Taking its contrapositive, it can be interpreted as a hardness magnification phenomenon: for  $s(N) \ll N$ , a (seemingly) weak lower bound  $\text{MKtP}[s, s + O(\log N)] \notin \text{SIZE}(N \cdot \text{poly}(s))$  can be “hardness magnified” to a strong circuit lower bound  $\text{EXP} \not\subseteq \text{P/poly}$ .

Theorem 61 has exactly the same structure with the reduction mentioned above. Given a circuit  $D$  that avoids a hitting set generator, we construct a nearly-linear-size  $\text{AND}_{O(N)} \circ D \circ \text{XOR}$  circuit that computes the  $E$  vs  $\text{SIZE}(2^{o(n)})$  problem. Thus, our reductions are as efficient as the reductions presented in the line of work of hardness magnification phenomena.

More importantly, our results significantly strengthen the consequences of hardness magnification: Not only circuit lower bounds, but also hitting set generators can be obtained. This is especially significant for the case of read-once branching programs. Since there is already an exponential size lower bound for read-once branching programs [10], it does not make sense to try to hardness-magnify a lower bound for read-once branching programs. In contrast, our results (Theorem 62) indicate that a nearly-linear lower bound for nondeterministic read-once branching programs is enough for resolving  $\text{RL} = \text{L}$ .

An intriguing question about hardness magnification is this: By using hardness magnification phenomena, can we prove any new consequences, such as circuit lower bounds or derandomization? Theorem 62 adds a new computational model, i.e., co-nondeterministic read-once branching programs, for exploring this question.

Chen, Hirahara, Oliveira, Pich, Rajgopal, and Santhanam [14] proposed a barrier for the question, termed as a “locality barrier.” Briefly speaking, the idea there is to regard hardness magnification phenomena as a (black-box) reduction to oracles with small fan-in, and then to show that most circuit lower bound proofs can be extended to rule out such a reduction; thus, such a circuit lower bound proof technique cannot be combined with hardness magnification phenomena. A salient feature of our reductions is that our reductions are *non-black-box* in the sense that we exploit the efficiency of oracles; the non-black-box property appears in the definition of NO instances of the  $\mathfrak{C}$  vs  $\mathfrak{D}$  problem. Therefore, our results provide a potential approach for bypassing the locality barrier: Try to develop a circuit lower bound proof technique that crucially exploits the structure of the NO instances of the  $\mathfrak{C}$  vs  $\mathfrak{D}$  problem. The existing circuit lower bound proof techniques for MCSP and related problems fail to exploit such a structure.

#### 1.4 Proof Techniques: Meta-Computational View of PRG Constructions

All of our results are given by a *single principle* – that views any black-box pseudorandom generator construction from a meta-computational perspective. The differences among our theorems simply originate from the fact that we use a different black-box pseudorandom generator construction. The underlying principle is this:

Any black-box construction of a pseudorandom generator  $G^f$  based on a hard function  $f \notin \mathcal{R}$  gives rise to a non-black-box security reduction for a hitting set generator based on the hardness of a non-disjoint promise problem (e.g., the E vs  $\mathcal{R}$  problem).

For the purpose of exposition, we take a specific PRG construction of Impagliazzo and Wigderson [40], and explain the connection between the PRG construction and the E vs  $\text{SIZE}(2^{o(n)})$  problem. The theorem of [40] states that  $\text{E} \not\subseteq \text{i.o. SIZE}(2^{o(n)})$  implies the existence of a pseudorandom generator. The PRG construction is a *black-box pseudorandom generator construction*  $G^f$  based on a hard function  $f \notin \text{SIZE}(2^{o(n)})$  in the following sense.

**Explicitness.**  $G^f(z)$  is computable in polynomial time given the truth table of  $f$  and a seed  $z$ .  
**Security.** If there exists a function  $D$  that distinguishes the output distribution of  $G^f(-)$  from the uniform distribution, then  $f \in \text{SIZE}^D(2^{o(n)})$ .

Here, by “black-box”, we mean that the security of the PRG is proved by a (black-box) reduction, i.e., the security reduction works for *every* function  $D$ . In contrast, we say that a reduction is non-black-box if the reduction may not be correct when an oracle is inefficient. This is in the same spirit with the non-black-box reduction of [31], which overcomes the black-box reduction barrier of Bogdanov and Trevisan [9]. We explain below how a black-box PRG construction gives rise to a *non-black-box* security reduction of a hitting set generator.

The goal is to construct some secure hitting set generator  $H = \{H_m : \{0, 1\}^{O(\log m)} \rightarrow \{0, 1\}^m\}_{m \in \mathbb{N}}$ . As a choice of  $H$ , we simply take a “universal” hitting set generator: Let  $U$  be a universal Turing machine, i.e., a machine that simulates every Turing machine efficiently. Then we define  $H_m(z)$  to be the output of  $U$  on input  $z$  if  $U$  halts in  $2^{|z|}$  steps, where  $z \in \{0, 1\}^{O(\log m)}$ . The choice of  $H$  is universal, in the sense that the existence of some exponential-time computable HSG implies that  $H$  is also secure.

The strategy for proving the security of a hitting set generator  $H$  is to regard  $f$  as an input of the E vs SIZE( $2^{o(n)}$ ) problem, and to view the black-box PRG construction  $G^f$  as a (non-black-box) reduction from the E vs SIZE( $2^{o(n)}$ ) problem to the task of avoiding  $H$ .

We claim that  $H$  is a hitting set generator secure against linear-size circuits, under the assumption that the E vs SIZE( $2^{o(n)}$ ) problem cannot be solved by small circuits. To this end, we present a reduction from the task of solving the E vs SIZE( $2^{o(n)}$ ) problem to the task of “avoiding”  $H$ . That is, if  $H$  is not secure, then there exists a linear-size circuit  $D$  that *avoids*  $H$ , i.e., every image of  $H$  is rejected by  $D$  whereas  $D$  accepts at least a half of all inputs. A randomized reduction  $R$  for solving the E vs SIZE( $2^{o(n)}$ ) problem is extremely simple:

**A randomized algorithm  $R$  for solving the E vs SIZE( $2^{o(n)}$ ) problem with  $D$  oracle**

*Given  $f$  as an input, pick a random seed  $z$  of  $G^f$ , and accept if and only if  $D(G^f(z)) = 0$ .*

The correctness of the reduction  $R$  can be proved as follows. Assume that  $f$  is a YES instance of the E vs SIZE( $2^{o(n)}$ ) problem; in other words, this means that  $\text{Kt}(f) \leq O(\log |f|) = O(n)$ . Since  $G^f$  is efficiently computable, it follows that  $\text{Kt}(G^f(z)) \leq O(n)$  for every seed  $z \in \{0, 1\}^{O(n)}$ . By using the property of the universal hitting set generator  $H_m$  and choosing  $m$  large enough, it can be observed that  $G^f(z)$  is in the image of  $H_m$ ; thus  $G^f(z)$  is rejected by  $D$ .

Conversely, we prove that any NO instance of the E vs SIZE( $2^{o(n)}$ ) problem is rejected by the algorithm  $R$  with high probability. Intuitively, this is because of the fact that if  $f$  is a hard function, then  $D$  cannot distinguish  $G^f(-)$  from the uniform distribution. More formally, we claim the contrapositive. Assume that  $D$  rejects  $G^f(z)$  with high probability, say, at least  $\frac{3}{4}$ . This means that

$$\Pr_z[D(G^f(z)) = 1] \leq \frac{1}{4}.$$

On the other hand, since  $D$  avoids  $H$ , we have  $\Pr_w[D(w) = 1] \geq \frac{1}{2}$ . Therefore,  $D$  distinguishes the distribution of  $G^f$  from the uniform distribution with advantage  $\frac{1}{4}$ ; by the black-box security proof of  $G^f$ , we obtain that  $f \in \text{SIZE}^D(2^{o(n)})$ . Since  $D$  is a linear-size circuit, we conclude that  $f \in \text{SIZE}(2^{o(n)})$ , which means that  $f$  is not a NO instance of the E vs SIZE( $2^{o(n)}$ ) problem. Note here that we rely on the efficiency of  $D$ , which makes the security proof of the HSG  $H$  non-black-box.

We conclude that there exists a randomized circuit for computing the E vs SIZE( $2^{o(n)}$ ) problem. By using a standard trick of Adleman [1], the randomness of the circuit can be fixed, and obtain a deterministic circuit that computes the E vs SIZE( $2^{o(n)}$ ) problem.

Note that the proof above shows a generic connection between a black-box pseudorandom generator construction and a “non-disjoint” promise problem. The efficiency of the security reduction depends on the choice of a black-box PRG construction. In the rest of this paper, we present some of the instantiations of the proof ideas above based on several specific constructions of PRGs; however, we emphasize that our reductions are not limited to those specific instantiations, and new black-box PRG constructions can lead to a more efficient reduction and a “non-disjoint” promise problem that is easy to analyze.

## 1.5 Perspective: Meta-Computational View of Complexity Theory

More broadly, we propose to view complexity theory from a meta-computational perspective.

In order to explain the view, it is helpful to regard an algorithm that tries to solve MCLPs as a malicious prover that tries to falsify a circuit lower bound. To be more specific, consider the E vs SIZE( $2^{o(n)}$ ) problem. As we explained earlier, the existence of any algorithm (of

any complexity) that solves the  $E$  vs  $\text{SIZE}(2^{o(n)})$  problem implies that  $E \subseteq \text{SIZE}(2^{o(n)})$ , and moreover the converse direction is also true. In this sense, any algorithm that solves an MCLP can be regarded as an adversary that falsifies circuit lower bounds such as  $E \not\subseteq \text{SIZE}(2^{o(n)})$ .

Complexity theory can be regarded as a game between us (i.e., complexity theorists, who try to prove circuit lower bounds) and provers (i.e., algorithms that solve MCLPs). We lose the game if some prover can solve MCLPs (and hence circuit lower bounds fail). We win the game if we find an explicit function whose circuit complexity is high. This is equivalent to finding a witness for the non-disjointness of the  $E$  vs  $\text{SIZE}(2^{o(n)})$  problem, and thus it is equivalent to showing that there exists no prover that can solve the MCLP. In other words, prior to our work, we implicitly tried to fight against *every prover without any restriction on efficiency*.

What we showed in this work is that we do not have to fight against every non-efficient prover. Instead, in order to obtain a circuit lower bound (which is implied by the existence of a hitting set generator), it suffices to show that no *efficient* algorithms such as nearly-linear-size  $\text{AC}^0 \circ \text{XOR}$  circuits can find the difference between explicit functions and hard functions. In principle, it should be easier to prove a nearly-linear circuit size lower bound for some problem when we believe that the problem does not admit any algorithm (because of the non-disjointness). While we have not found any existing method for proving such a lower bound that is sufficient for breakthrough results, we believe that this is simply because of the fact that MCLPs were not investigated explicitly. We leave as an important open question to develop a proof technique to analyze MCLPs.

## 2 Preliminaries

### 2.1 Notation

For a Boolean function  $f: \{0, 1\}^n \rightarrow \{0, 1\}$ , we denote by  $\text{tt}(f)$  the truth table of  $f$ , i.e., the concatenation of  $f(x)$  for all  $x \in \{0, 1\}^n$  in the lexicographical order. Conversely, for a string  $y \in \{0, 1\}^N$ , the function  $\text{fn}(y): \{0, 1\}^{\lceil \log N \rceil} \rightarrow \{0, 1\}$  is defined as  $\text{fn}(y)(i) :=$  (the  $i$ th bit of  $y$ ) if  $i \leq N$  and  $\text{fn}(y)(i) := 0$  otherwise, where  $i \in [2^{\lceil \log N \rceil}]$  is identified with a binary representation in  $\{0, 1\}^{\lceil \log N \rceil}$ . For a string  $x \in \{0, 1\}^*$ , we denote by  $\text{size}(x)$  the minimum circuit size for computing the Boolean function  $\text{fn}(x): \{0, 1\}^{\lceil \log |x| \rceil} \rightarrow \{0, 1\}$ . We often identify a string  $x$  with its function version  $\text{fn}(x)$ . For a parameter  $\delta$ , we denote by  $\widetilde{\text{size}}(x; \delta)$  the minimum size of a circuit  $C$  such that  $\text{fn}(x)(y) = C(y)$  on at least a  $(1 - \delta)$  fraction of inputs  $y$ .

For a function  $f: \{0, 1\}^n \rightarrow \{0, 1\}$  and an integer  $k \in \mathbb{N}$ , we denote by  $f^k: (\{0, 1\}^n)^k \rightarrow \{0, 1\}^k$  the direct product of  $f$ . We denote by  $f^{\oplus k}: (\{0, 1\}^n)^k \rightarrow \{0, 1\}$  the function  $\oplus_k \circ f^k$ , where  $\oplus_k$  is the parity function on  $k$ -bit inputs.

### 2.2 Pseudorandomness

Let  $G: \{0, 1\}^d \rightarrow \{0, 1\}^m$  and  $D: \{0, 1\}^m \rightarrow \{0, 1\}$  be functions. For an  $\epsilon > 0$ , we say that  $D$   $\epsilon$ -distinguishes the output distribution of  $G(-)$  from the uniform distribution if

$$\Pr_{z \sim \{0, 1\}^d} [D(G(z)) = 1] - \Pr_{w \sim \{0, 1\}^m} [D(w) = 1] \geq \epsilon$$

In this case, we refer  $D$  as a  $\epsilon$ -distinguisher for  $G$ . Conversely,  $G$  is said to  $\epsilon$ -fool  $D$  if  $D$  is not an  $\epsilon$ -distinguisher for  $G$ . Similarly, we say that  $D$   $\epsilon$ -avoids  $G$  if  $\Pr_{w \sim \{0, 1\}^m} [D(w) = 1] \geq \epsilon$  and  $D(G(z)) = 0$  for every  $z \in \{0, 1\}^d$ . By default, we assume that  $\epsilon := \frac{1}{2}$ .



For a circuit class  $\mathcal{C}$  and functions  $s: \mathbb{N} \rightarrow \mathbb{N}$  and  $\epsilon: \mathbb{N} \rightarrow [0, 1]$ , a family of functions  $G = \{G: \{0, 1\}^{s(n)} \rightarrow \{0, 1\}^n\}_{n \in \mathbb{N}}$  is said to be a *pseudorandom generator* that  $\epsilon$ -fools  $\mathcal{C}$  if, for all large  $n \in \mathbb{N}$  and any circuit  $C \in \mathcal{C}$  of  $n$  inputs,  $G$   $\epsilon(n)$ -fools  $C$ . We say that  $G$  is a *hitting set generator* secure against  $\mathcal{C}$  if for all large  $n \in \mathbb{N}$ , there is no circuit  $D \in \mathcal{C}$  on  $n$  inputs that avoids  $G_n$ .

## 2.3 Circuits

We measure circuit size by the number of gates (except for the input gates). For a circuit type  $\mathcal{C}$  and  $s \in \mathbb{N}$  and  $\delta \in [0, 1]$ , we denote by  $\widetilde{\mathcal{C}}(s; \delta)$  the class of functions  $f: \{0, 1\}^n \rightarrow \{0, 1\}$  such that there exists a circuit of size  $s$  such that  $\Pr_{x \sim \{0, 1\}^n} [f(x) = C(x)] \geq 1 - \delta$ . We define  $\mathcal{C}(s) := \widetilde{\mathcal{C}}(s; 0)$ . For the standard circuit class, we use the notation  $\widetilde{\text{SIZE}}(s; \delta)$  and  $\text{SIZE}(s)$ .

## 2.4 Time-Bounded Kolmogorov Complexity

We fix an efficient universal Turing machine  $U$ . Time-bounded Kolmogorov complexity is defined as follows.

► **Definition 17** (Time-bounded Kolmogorov Complexity). *The  $t$ -time-bounded  $A$ -oracle Kolmogorov complexity of a string  $x \in \{0, 1\}^*$  is defined as*

$$K^{t,A}(x) := \{ |d| \mid U^A \text{ outputs } x \text{ in } t \text{ steps on input } d \},$$

where  $A$  is an oracle and  $t \in \mathbb{N}$ .

## 3 Meta-Computational View of Cryptographic PRG Constructions

In this section, we provide a meta-computational view of cryptographic pseudorandom generator constructions.

### 3.1 Gap( $\mathbb{K}^{\text{SAT}}$ vs $\mathbb{K}$ )

We present a proof of the following result.

► **Reminder of Theorem 4.** *Let  $A$  be any oracle. If  $\text{DistNP}^A \subseteq \text{AvgP}$ , then  $\text{Gap}(\mathbb{K}^A \text{ vs } \mathbb{K}) \in \text{P}$ .*

At the core of the proof of Theorem 4 is to use a black-box PRG construction whose advice complexity is small. Following [32], we observe that a  $k$ -wise direct product generator, which is one of the simplest constructions of pseudorandom generators, has small advice complexity.

► **Theorem 18** (Direct Product Generator [32]). *For any parameters  $k, \ell \in \mathbb{N}$  and  $\epsilon > 0$ , there exist an oracle algorithm  $\text{DP}_k^{(-)}: \{0, 1\}^d \rightarrow \{0, 1\}^{d+k}$  that takes an oracle  $f: \{0, 1\}^\ell \rightarrow \{0, 1\}$  and a reconstruction algorithm  $\text{Rec}$  such that, for any  $f: \{0, 1\}^\ell \rightarrow \{0, 1\}$  and any  $\epsilon$ -distinguisher  $D: \{0, 1\}^{d+k} \rightarrow \{0, 1\}$  for  $\text{DP}_k^f$ , there exists an advice function  $A: \{0, 1\}^r \rightarrow \{0, 1\}^a$  such that*

$$\Pr_{s \sim \{0, 1\}^r} \left[ \forall z \in \{0, 1\}^\ell, \text{Rec}^D(z; s, A(s)) = f(z) \right] \geq \frac{\epsilon}{2k},$$

where  $A$  is computable by a  $D$ -oracle circuit of size  $\text{poly}(k/\epsilon, 2^\ell)$ , the seed length  $d$  is at most  $O((\ell + \log(k/\epsilon)) \cdot k)$ , the advice complexity  $a$  is at most  $k + O(\log(k/\epsilon))$ , the randomness complexity  $r$  is at most  $O(d)$ , and  $\text{Rec}$  is computable in time  $\text{poly}(k/\epsilon, 2^\ell)$ .

## 20:18 Meta-Computational View of PRG Constructions

For the proof of Theorem 18, we make use of the following list-decodable error-correcting code, which can be constructed by concatenating a Reed-Solomon code with an Hadamard code.

► **Lemma 19** (List-Decodable Error-Correcting Code; cf. [62, 46]). *There exists a function  $\text{Enc}$  such that:*

1.  $\text{Enc}(x; N, \epsilon)$  outputs a string of length  $\widehat{N} = \text{poly}(N, 1/\epsilon)$  for any  $x \in \{0, 1\}^N$ , and is computable in time  $\text{poly}(N, 1/\epsilon)$ .
2. There exists a deterministic algorithm  $\text{Dec}(-; N, \epsilon)$  running in time  $\text{poly}(N, 1/\epsilon)$  such that, given any  $r \in \{0, 1\}^{\widehat{N}}$ , outputs a list of all the strings  $x \in \{0, 1\}^N$  such that  $\text{Dist}(r, \text{Enc}(x; N, \epsilon)) \leq \frac{1}{2} - \epsilon$ , and the size of the list is at most  $\text{poly}(1/\epsilon)$ .

**Proof Sketch of Theorem 18.** We describe the pseudorandom generator construction  $\text{DP}_k^f$ , which we call a *k-wise direct product generator*. Let  $\text{Enc}$  denote the error-correcting code of Lemma 19, and let  $\widehat{f}: \{0, 1\}^{\widehat{\ell}} \rightarrow \{0, 1\}$  be the function specified by the truth table  $\text{Enc}(f; 2^\ell, \epsilon') \in \{0, 1\}^{2^{\widehat{\ell}}}$ , where  $\widehat{\ell} = O(\ell + \log(k/\epsilon))$ . The pseudorandom generator construction  $G_k^f: \{0, 1\}^{\widehat{\ell}k} \rightarrow \{0, 1\}^{\widehat{\ell}k+k}$  is defined as

$$G_k^f(z^1, \dots, z^k) := (z^1, \dots, z^k, \widehat{f}(z^1), \dots, \widehat{f}(z^k))$$

for  $(z^1, \dots, z^k) \in \left(\{0, 1\}^{\widehat{\ell}}\right)^k$ , and  $d := \widehat{\ell}k$ .

Since the security proof of  $\text{DP}_k^f$  can be proved by using a standard hybrid argument (see, e.g, [53, 69]), we only provide a proof sketch. Assume that  $D$  satisfies

$$\Pr_{\bar{z}} \left[ D(z^1, \dots, z^k, \widehat{f}(z^1), \dots, \widehat{f}(z^k)) = 1 \right] - \Pr_{\bar{z}, b} \left[ D(z^1, \dots, z^k, b_1, \dots, b_k) = 1 \right] \geq \epsilon.$$

For any  $i \in \{0, \dots, k\}$ , define the *i*th hybrid distribution  $H_i$  as the distribution of

$$(z^1, \dots, z^k, \widehat{f}(z^1), \dots, \widehat{f}(z^i), b_{i+1}, \dots, b_k),$$

where  $\bar{z} = (z^1, \dots, z^k) \sim \left(\{0, 1\}^{\widehat{\ell}}\right)^k$  and  $b_{i+1}, \dots, b_k \sim \{0, 1\}$ . By this definition,  $H_0$  is identically distributed with the uniform distribution, and  $H_k$  is an identical distribution with  $\text{DP}_k^f(x^1, \dots, x^k)$ . Therefore,

$$\mathbb{E}_{\substack{i \sim [k] \\ \bar{x}, b}} [D(H_i) - D(H_{i-1})] \geq \frac{\epsilon}{k}.$$

By an averaging argument, we obtain

$$\Pr_{\substack{i \sim [k], b \\ z^1, \dots, z^{i-1}, z^{i+1}, \dots, z^k}} \left[ \mathbb{E}_{z^i \sim \{0, 1\}^{\widehat{\ell}}} [D(H_i) - D(H_{i-1})] \geq \frac{\epsilon}{2k} \right] \geq \frac{\epsilon}{2k}. \quad (1)$$

Consider the following deterministic algorithm  $\text{Rec}_0^D(z; s, A_0(s))$ : The coin flip sequence  $s$  is regarded as  $i \sim [k]$ ,  $z^1, \dots, z^{i-1}, z^{i+1}, \dots, z^k \sim \{0, 1\}^{\widehat{\ell}}$ , and  $b \sim \{0, 1\}^k$ . We set  $z^i := z$  and  $A_0(s) := (\widehat{f}(z^1), \dots, \widehat{f}(z^{i-1}), b_i, \dots, b_k)$ . Then, the output of  $\text{Rec}_0^D$  is defined as  $D(\bar{z}, A_0(s)) \oplus 1 \oplus b_i$ .

By a standard calculation, it follows from Equation (1) that

$$\Pr_z \left[ \text{Rec}_0^D(z, s, A_0(s)) = \widehat{f}(z) \right] \geq \frac{1}{2} + \frac{\epsilon}{2k}$$

with probability at least  $\epsilon/2k$  over the random choice of  $s = (i, z^{[k] \setminus \{i\}}, b)$ . By evaluating  $\text{Rec}_0^D(z, s, A_0(s))$  for every  $z \in \{0, 1\}^{\widehat{\ell}}$ , we obtain a string  $f' \in \{0, 1\}^{2^{\widehat{\ell}}}$  that encodes a function that agrees with  $\widehat{f}$  on at least a  $(1/2 + \epsilon/2k)$ -fraction of inputs.

The final reconstruction algorithm  $\text{Rec}^D(z; s, A(s))$  runs the decoding algorithm  $\text{Dec}(f'; 2^\ell, \epsilon/2k)$  of Lemma 19, and obtains a list of strings  $f_1, \dots, f_L$ . We define the advice function  $A$  as  $A(s) := (A_0(s), j)$ , where  $j \in [L]$  is an index such that  $f_j$  coincides with the truth table of  $f$ . The algorithm  $\text{Rec}^D(z; s, A(s))$  outputs the  $z$ th position of  $f_j$ .

The advice complexity is at most  $|A_0(-)| + \log L = k + O(\log(k/\epsilon))$ . Moreover, it is easy to observe that the advice function  $A(s)$  can be computed in time  $\text{poly}(k/\epsilon, 2^\ell)$ , given  $s$  as input and oracle access to  $f$  and  $D$ . By hard-wiring  $f$  into a circuit, the advice function  $A$  can be computed by a  $D$ -oracle circuit of size  $\text{poly}(k/\epsilon, 2^\ell)$ . ◀

One of important ingredients of the proof for Theorem 4 is a pseudorandom generator constructed by Buhrman, Fortnow, and Pavan [11].

► **Lemma 20** (Buhrman, Fortnow, and Pavan [11]). *If  $\text{DistNP} \subseteq \text{AvgP}$ , then there exist a constant  $c$  and a pseudorandom generator  $G = \{G_n : \{0, 1\}^{c \log n} \rightarrow \{0, 1\}^n\}_{n \in \mathbb{N}}$  that  $(1/n)$ -fools size- $n$  circuits.*

Another ingredient is the fact that  $\text{DistNP}^A \subseteq \text{AvgP}$  implies that a dense subset of  $A$ -oracle time-bounded Kolmogorov-random strings can be rejected in polynomial time.

► **Lemma 21** ([31]). *Assume that  $\text{DistNP}^A \subseteq \text{AvgP}$ . Then, there exists a polynomial-time algorithm  $M$  such that*

1.  $M(x, 1^t) = 1$  for every  $x$  such that  $K^{t,A}(x) < |x| - 1$ , and
2.  $\Pr_{x \sim \{0,1\}^n} [M(x, 1^t) = 0] \geq \frac{1}{4}$  for every  $n \in \mathbb{N}$  and every  $t \in \mathbb{N}$ .

Now we are ready to prove Theorem 4.

**Proof of Theorem 4.** Under the assumption that  $\text{DistNP}^A \subseteq \text{AvgP}$ , we have the secure pseudorandom generator  $G = \{G_m : \{0, 1\}^{c_0 \log m} \rightarrow \{0, 1\}^m\}_{m \in \mathbb{N}}$  of Lemma 20. In particular,  $\text{Promise-BPP} = \text{Promise-P}$ ; thus it suffices to present a randomized polynomial-time algorithm  $M_1$  for computing  $\text{Gap}_\tau(K^A \text{ vs } K)$  for some polynomial  $\tau$ .

Fix any input  $(x, 1^s, 1^t)$ , where  $x \in \{0, 1\}^*$  is a string of length  $n \in \mathbb{N}$  and  $s, t \in \mathbb{N}$ . Take the  $k$ -wise direct product generator  $\text{DP}_k^{\text{fn}(x)} : \{0, 1\}^d \rightarrow \{0, 1\}^{d+k}$  of Theorem 18, where  $k$  is some parameter chosen later and  $\epsilon := \frac{1}{8}$ . Let  $M_0$  be the polynomial-time algorithm  $M$  of Lemma 21. Let  $\tau_0$  be some polynomial chosen later.

The randomized algorithm  $M_1$  operates as follows. On input  $(x, 1^s, 1^t)$ ,  $M_1$  samples a string  $\bar{z} \sim \{0, 1\}^d$  uniformly at random. Then,  $M_1$  simulates  $M_0$  on input  $(\text{DP}_k^{\text{fn}(x)}(\bar{z}), 1^{t'})$ , where  $t' := \tau_0(n, t)$ , and accepts if and only if  $M_0$  accepts. (That is,  $M_1(x, 1^s, 1^t)$  is defined to be  $M_0(\text{DP}_k^{\text{fn}(x)}(\bar{z}), 1^{t'})$  for a random  $\bar{z} \sim \{0, 1\}^d$ .)

We claim the correctness of the algorithm  $M_1$  below.

▷ **Claim 22.**

1. If  $K^{t,A}(x) \leq s$ , then  $M_1(x, 1^s, 1^t)$  accepts with probability 1.
2. If  $K^{\tau(n,t)}(x) > s + \log \tau(n, t)$ , then  $M_1(x, 1^s, 1^t)$  rejects with probability at least  $\frac{1}{8}$ .

We claim the first item. Fix any  $\bar{z} \in \{0, 1\}^d$ . Since the output  $\text{DP}_k^{\text{fn}(x)}(\bar{z})$  of the direct product generator can be described by  $n, k \in \mathbb{N}$ , the seed  $\bar{z} \in \{0, 1\}^d$  and the program for describing  $x$  of size  $K^{t,A}(x)$  in time  $t' = \tau_0(n, t)$ , where  $\tau_0$  is some polynomial, it holds that

$$K^{t',A}(\text{DP}_k^{\text{fn}(x)}(\bar{z})) \leq d + K^{t,A}(x) + c_1 \log n, \quad (2)$$

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for some constant  $c_1$ . We set  $k := s + c_1 \log n + 2$ . Note that under the assumption that  $K^{t,A}(x) \leq s$ , Equation (2) is less than  $d + k - 1$ . Therefore, by Lemma 21,  $M_0(\text{DP}_k^{\text{fn}(x)}(\bar{z})) = 1$  for every  $\bar{z} \in \{0, 1\}^d$ ; thus  $M_1$  accepts.

We claim the second item, by proving its contrapositive. Assume that  $M_1(x, 1^s, 1^t)$  rejects with probability less than  $\frac{1}{8}$ . This means that

$$\Pr_{\bar{z} \sim \{0,1\}^d} \left[ M_0(\text{DP}_k^{\text{fn}(x)}(\bar{z}), 1^t) = 0 \right] < \frac{1}{8}.$$

On the other hand, by Lemma 21, we also have

$$\Pr_{w \sim \{0,1\}^{d+k}} \left[ M_0(w, 1^t) = 0 \right] \geq \frac{1}{4}.$$

Therefore,

$$\Pr_{w \sim \{0,1\}^{d+k}} \left[ M_0(w, 1^t) = 0 \right] - \Pr_{\bar{z} \sim \{0,1\}^d} \left[ M_0(\text{DP}_k^{\text{fn}(x)}(\bar{z}), 1^t) = 0 \right] \geq \frac{1}{8}.$$

By the property of the reconstruction algorithm  $\text{Rec}$  of Theorem 18, there exists an advice function  $A': \{0, 1\}^r \rightarrow \{0, 1\}^a$  such that

$$\Pr_{\rho \sim \{0,1\}^r} \left[ \forall z \in \{0, 1\}^{\lceil \log n \rceil}, \text{Rec}^{-M_0(-, 1^t)}(z; \rho, A'(\rho)) = \text{fn}(x)(z) \right] \geq \frac{1}{16k}, \quad (3)$$

where the advice complexity  $a$  is at most  $k + O(\log(k/\epsilon)) = s + O(\log(nk/\epsilon))$ . Now we derandomize the random choice of  $\rho$  of Equation (3) by using the secure pseudorandom generator  $G$ . That is, we argue that  $\rho$  can be replaced with  $G(\rho_0)$  for some short  $\rho_0$ , which enables us to obtain a short description for  $x$ . To this end, we define a statistical test  $T: \{0, 1\}^r \rightarrow \{0, 1\}$  that checks the condition of Equation (3) as follows:

$$T(\rho) = 1 \iff \forall z \in \{0, 1\}^{\lceil \log n \rceil}, \text{Rec}^{-M_0(-, 1^t)}(z; \rho, A'(\rho)) = \text{fn}(x)(z),$$

for each  $\rho \in \{0, 1\}^r$ .

We claim that  $T$  can be computed by a small circuit. Indeed, by Theorem 18, the advice function  $A'$  can be computed by a  $M_0(-, 1^t)$ -oracle circuit of size  $\text{poly}(k, n)$ , and  $\text{Rec}$  can be computed in time  $\text{poly}(k, n) \leq \text{poly}(n)$  with oracle access to  $M_0(-, 1^t)$ .<sup>5</sup> The oracle  $M_0(-, 1^t)$  can be simulated by a circuit of size  $\text{poly}(d + k, t) \leq \text{poly}(n, t)$ . Overall,  $T$  is computable by a circuit of size  $m := \text{poly}(n, t)$ ; here we take  $m$  large enough so that  $m \geq r$  and  $m > 16k$ .

Now we replace the random bits  $\rho \in \{0, 1\}^r$  with the first  $r$  bits of the pseudorandom sequence  $G_m(\rho_0)$ . By Equation (3), we have  $\Pr_{\rho \sim \{0,1\}^r} [T(\rho) = 1] \geq \frac{1}{16k}$ . It follows from the property of  $G_m$  that

$$\Pr_{\rho_0 \sim \{0,1\}^{c_0 \log m}} [T(G(\rho_0)) = 1] > 0.$$

In particular, there exists a seed  $\rho_0 \in \{0, 1\}^{c_0 \log m}$  such that  $T(G(\rho_0)) = 1$ .

We are ready to present the algorithm for describing  $x$ . In order to describe  $x$ , it takes as a description  $n, t, m \in \mathbb{N}$ , the seed  $\rho_0 \in \{0, 1\}^{c_0 \log m}$ , and the advice string  $\alpha := A'(G(\rho_0)) \in \{0, 1\}^a$ . Since  $T(G(\rho_0)) = 1$ , the string  $x$  can be obtained by concatenating the output of  $\text{Rec}^{-M_0(-, 1^t)}(z; G(\rho_0), \alpha)$  for all  $z \in [n]$ . The running time of this procedure can be bounded by  $\tau_1(n, t)$  for some polynomial  $\tau_1$ . Therefore,

$$K^{\tau_1(n,t)}(x) \leq a + c_0 \log m + O(\log nt) \leq s + O(\log nt).$$

<sup>5</sup> We may assume without loss of generality that  $k := s + O(\log n) = O(n)$ , as otherwise we trivially have  $K^{t,A}(x) \leq s$ .

In particular, by choosing a polynomial  $\tau$  large enough, we have

$$K^{\tau(n,t)}(x) \leq K^{\tau_1(n,t)}(x) \leq s + \log \tau(n, t).$$

This completes the proof of Claim 22.

Since  $M_1$  is a one-sided-error algorithm, the success probability can be amplified by repeating the computation of  $M_1$  for independent random coin flips. We thus conclude that  $\text{Gap}_\tau(K^A \text{ vs } K)$  is in  $\text{Promise-BPP} = \text{Promise-P}$ . ◀

Let  $\Sigma_k\text{SAT}$  denote the canonical  $\Sigma_k^P$ -complete problem. By using the disjointness of  $\text{Gap}(K^{\Sigma_k\text{SAT}} \text{ vs } K)$ , we immediately obtain the following.

► **Corollary 23.** *If  $\text{DistPH} \subseteq \text{AvgP}$ , then for any constant  $k \in \mathbb{N}$ , there exists a polynomial  $\tau$  such that  $K^{\tau(|x|,t)}(x) \leq K^{t, \Sigma_k\text{SAT}}(x) + \log \tau(|x|, t)$  for any  $x \in \{0, 1\}^*$  and  $t \in \mathbb{N}$ .*

**Proof.** Let  $A := \Sigma_k\text{SAT}$ . By Theorem 4, under the assumption that  $\text{DistNP}^A \subseteq \text{DistPH} \subseteq \text{AvgP}$ , there exists an algorithm  $M$  such that  $M(x, 1^s, 1^t) = 1$  for every  $x$  such that  $K^{t,A}(x) \leq s$  and  $M(x, 1^s, 1^t) = 0$  for every  $x$  such that  $K^{\tau(|x|,t)}(x) > s + c \log |x|$ , for any  $s \in \mathbb{N}$  and  $t \in \mathbb{N}$ . In particular, the set of YES and that of NO instances are disjoint, as otherwise we have  $0 = M(x, 1^s, 1^t) = 1$  for some instance  $(x, 1^s, 1^t)$ , which is a contradiction. For any  $x \in \{0, 1\}^*$  and  $t \in \mathbb{N}$ , define  $s := K^{t,A}(x)$ ; then we obtain that  $K^{\text{poly}(|x|,t)}(x) \leq s + \log \tau(|x|, t)$  by the disjointness. ◀

An important corollary is that NP-hardness of  $\text{Gap}(K^{\Sigma_k\text{SAT}} \text{ vs } K)$  is sufficient for proving an equivalence between worst-case and average-case complexity of PH.

► **Restatement of Corollary 6.** *Assume that  $\text{Gap}(K^{\Sigma_k\text{SAT}} \text{ vs } K)$  is “NP-hard” for some  $k \in \mathbb{N}$  in the sense that*

$$\text{NP} \not\subseteq \text{BPP} \implies \text{Gap}(K^{\Sigma_k\text{SAT}} \text{ vs } K) \notin \text{P}.$$

Then,

$$\text{DistPH} \not\subseteq \text{AvgP} \iff \text{PH} \neq \text{P}.$$

**Proof.** It is obvious that  $\text{PH} = \text{P}$  implies that  $\text{DistPH} \subseteq \text{AvgP}$ . Thus we prove the converse direction. Assume that  $\text{DistPH} \subseteq \text{AvgP}$ . By Theorem 4, we obtain  $\text{Gap}(K^{\Sigma_k\text{SAT}} \text{ vs } K) \in \text{P}$  for any constant  $k \in \mathbb{N}$ . By the assumption, we have  $\text{NP} \subseteq \text{BPP}$ ; moreover, by Lemma 20, we also have  $\text{BPP} = \text{P}$ . Therefore, it follows that  $\text{NP} = \text{P}$ , which is equivalent to  $\text{PH} = \text{P}$ . ◀

Under the plausible assumption that  $\text{E}^{\text{NP}} \neq \text{E}$ , we observe that  $\text{Gap}(K^{\text{SAT}} \text{ vs } K)$  is non-disjoint.

► **Proposition 24.** *If  $\text{E}^{\text{NP}} \neq \text{E}$ , then, for some polynomial  $\tau_0$  and any polynomial  $\tau$ , there are infinitely many strings  $x$  such that  $K^{t, \text{SAT}}(x) = O(\log |x|)$  and  $K^{\tau(|x|)}(x) > \log \tau(|x|)$ , where  $t := \tau_0(|x|)$ .*

**Proof.** By [12], the assumption is equivalent to  $\text{E}^{\text{NP}} \not\subseteq \text{E}/O(n)$ . Take a language  $L \in \text{E}^{\text{NP}} \setminus \text{E}/O(n)$ . For each  $n \in \mathbb{N}$ , define  $x_n$  to be the truth table of length  $2^n$  that encodes the characteristic function of  $L \cap \{0, 1\}^n$ . Since  $x_n$  can be described in  $\tau_0(|x_n|) = \text{poly}(|x_n|)$  time given  $n \in \mathbb{N}$  and oracle access to SAT, we have  $K^{t, \text{SAT}}(x) = O(\log |x_n|)$ . On the other hand, if  $K^{\tau(|x_n|)}(x_n) \leq \log \tau(|x_n|)$  for all large  $n \in \mathbb{N}$ , there exists an advice string of length  $\log \tau(|x_n|) = O(n)$  that makes it possible to compute  $L \cap \{0, 1\}^n$  in time  $\text{poly}(\tau(|x_n|)) = 2^{O(n)}$ , which contradicts  $L \notin \text{E}/O(n)$ . ◀

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Finally, we observe that the complexity of  $\text{Gap}(\mathbb{K}^{\text{SAT}} \text{ vs } \mathbb{K})$  is closely related to the complexity of MINKT.

► **Proposition 25.** *For any polynomial  $\tau$ , the following hold.*

- $\text{Gap}_\tau(\mathbb{K} \text{ vs } \mathbb{K})$  is reducible to  $\text{Gap}_\tau(\mathbb{K}^{\text{SAT}} \text{ vs } \mathbb{K})$  via an identity map.
- If  $\text{Gap}_\tau(\mathbb{K}^{\text{SAT}} \text{ vs } \mathbb{K})$  is disjoint, then  $\text{Gap}_\tau(\mathbb{K}^{\text{SAT}} \text{ vs } \mathbb{K})$  is reducible to MINKT; in particular,  $\text{Gap}_\tau(\mathbb{K}^{\text{SAT}} \text{ vs } \mathbb{K}) \in \text{NP}$ .

**Proof.** The first item is obvious because  $\mathbb{K}^{t,\text{SAT}}(x) \leq \mathbb{K}^t(x)$  for any  $t \in \mathbb{N}$  and  $x \in \{0,1\}^*$ . For the second item, let  $(\Pi_{\text{YES}}, \Pi_{\text{NO}})$  denote  $\text{Gap}_\tau(\mathbb{K}^{\text{SAT}} \text{ vs } \mathbb{K})$ . Since  $(\overline{\Pi_{\text{NO}}}, \Pi_{\text{NO}})$  is a problem of checking whether  $\mathbb{K}^{\tau(|x|,t)}(x) \leq s + \log \tau(|x|, t)$  given  $(x, 1^s, 1^t)$  as input, it is reducible to MINKT. In particular,  $(\Pi_{\text{YES}}, \Pi_{\text{NO}}) \in \text{NP}$  holds as well under the assumption that it is disjoint. ◀

### 3.2 $\text{Gap}(F \text{ vs } F^{-1})$ : PRG Construction from One-Way Functions

Håstad, Impagliazzo, Levin, and Luby [29] showed that a cryptographic pseudorandom generator can be constructed from any one-way function. In this section, we view the black-box PRG construction from a meta-computational perspective, which leads us to the promise problem  $\text{Gap}(F \text{ vs } F^{-1})$ , which is a problem of asking whether a given function  $f$  is computable by a small circuit or  $f$  is hard to invert by any small circuit. Here we assume that the function  $f: \{0,1\}^n \rightarrow \{0,1\}^n$  is given as oracle, and we focus on an algorithm that runs in time  $\text{poly}(n)$ . In other words, we consider a sublinear-time algorithm that is given random access to the truth table of  $f$ .

► **Definition 26.** *For a function  $\tau: \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}$ ,  $\text{Gap}_\tau(F \text{ vs } F^{-1})$  is a promise problem  $(\Pi_{\text{YES}}, \Pi_{\text{NO}})$  defined as follows. The input consists of a size parameter  $s \in \mathbb{N}$ , an integer  $n \in \mathbb{N}$ , and black-box access to a function  $f: \{0,1\}^n \rightarrow \{0,1\}^n$ .*

- The set  $\Pi_{\text{YES}}$  consists of inputs  $(n, s, f)$  such that  $\text{size}(f) \leq s$ .
- The set  $\Pi_{\text{NO}}$  consists of inputs  $(n, s, f)$  such that, for any oracle circuit  $C$  of size  $\tau(n, s)$ ,

$$\Pr_{x \sim \{0,1\}^n} [C^f(f(x)) \in f^{-1}(f(x))] < \frac{1}{2}.$$

► **Theorem 27.** *If  $\text{DistNP} \subseteq \text{AvgP}$ , then there exist a polynomial  $\tau$  and a coRP-type randomized algorithm  $M$  that solves  $\text{Gap}_\tau(F \text{ vs } F^{-1}) = (\Pi_{\text{YES}}, \Pi_{\text{NO}})$  on input  $(n, s)$  in time  $\text{poly}(n, s)$ . That is,  $M$  is a randomized oracle algorithm such that*

1.  $\Pr_M[M^f(n, s) = 1] = 1$  for every  $(n, s, f) \in \Pi_{\text{YES}}$ ,
2.  $\Pr_M[M^f(n, s) = 0] \geq \frac{1}{2}$  for every  $(n, s, f) \in \Pi_{\text{NO}}$ , and
3.  $M^f(n, s)$  runs in time  $\text{poly}(n, s)$ .

For the proof, we make use of the following black-box construction of a pseudorandom generator based on any one-way function.

► **Lemma 28** (A black-box PRG Construction from Any OWF [29]). *There exists a polynomial  $d = d(n)$  such that, for a parameter  $m \in \mathbb{N}$ , there exists a polynomial-time oracle algorithm  $G_m^{(-)}: \{0,1\}^{d(n)} \rightarrow \{0,1\}^m$  that takes a function  $f: \{0,1\}^n \rightarrow \{0,1\}^n$  and there exists an oracle algorithm  $R$  such that, for any function  $D: \{0,1\}^m \rightarrow \{0,1\}$ , if  $m > d$  and*

$$\Pr_{z \sim \{0,1\}^n} [D(G^f(z)) = 1] - \Pr_{w \sim \{0,1\}^m} [D(w) = 1] \geq \frac{1}{8},$$

then

$$\Pr_{x,R} [R^{f,D}(f(x)) \in f^{-1}(f(x))] \geq \frac{1}{2}.$$

The running time of  $G_m^{(-)}$  and  $R$  is at most  $\text{poly}(n, m)$ .

**Proof Sketch.** Since a weakly one-way function exists if and only if a strongly one-way function exists ([72]), it suffices to present a reduction that inverts  $f$  with probability at least  $1/\text{poly}(n, m)$ . (To be more specific, we first amplify the hardness of  $f$  by taking a direct product  $f^t(x_1, \dots, x_t) := (f(x_1), \dots, f(x_t))$ , where  $t$  is some appropriately chosen parameter, and then apply the HILL construction to  $f^t$  described below.)

We invoke the pseudorandom generator construction  $G_{\text{HILL}}^f$  of Håstad, Impagliazzo, Levin, and Luby [29] based on  $f$ . They presented a security reduction  $R$  such that if there exists a function  $D$  that distinguishes  $G_{\text{HILL}}^f$  from the uniform distribution, then an oracle algorithm  $R^{f,D}(f(x))$  can compute an element in  $f^{-1}(f(x))$  with probability at least  $1/\text{poly}(n, m)$  over the choice of  $x \sim \{0, 1\}^n$  and the internal randomness of  $R$ . ◀

**Proof of Theorem 27.** Let  $G^{(\cdot)}$  be the black-box pseudorandom generator construction of Lemma 28, and let  $R$  be the security reduction of Lemma 28.

Under the assumption that  $\text{DistNP} \subseteq \text{AvgP}$ , by Lemma 21, there exists a polynomial-time algorithm  $M$  such that  $M(x, 1^t) = 1$  for every  $x$  such that  $K^t(x) < |x| - 1$ , and  $\Pr_{x \sim \{0, 1\}^n} [M(x, 1^t) = 0] \geq \frac{1}{4}$  for every  $n \in \mathbb{N}$  and every  $t \in \mathbb{N}$ .

The algorithm  $M'$  for computing  $\text{Gap}(F \text{ vs } F^{-1})$  is defined as follows. Let  $f: \{0, 1\}^n \rightarrow \{0, 1\}^n$  and  $s \in \mathbb{N}$  be inputs. Define  $m := p(n, s)$  for some polynomial  $p$  chosen later. Pick a random  $z \in \{0, 1\}^n$ . Then  $M'$  accepts if and only if  $M(G_m^f(z), 1^t)$  accepts for a sufficiently large  $t = \text{poly}(n, s)$ .

We claim the correctness of  $M'$  below.

▷ **Claim 29.**

1.  $M'$  accepts any  $f$  such that  $\text{size}(f) \leq s$  with probability 1.
2.  $M'$  rejects any  $f$  such that  $\Pr_x [C^f(f(x)) \in f^{-1}(f(x))] < \frac{1}{2}$  with probability at least  $\frac{1}{8}$ .

We claim that any  $f$  such that  $\text{size}(f) \leq s$  is accepted by the algorithm  $M'$ . Indeed, since  $f$  is computable by some circuit of size  $s$  and  $G_m^f$  is polynomial-time-computable, the output of the generator  $G_m^f(z)$  can be described by using the description of the circuit of size  $s$ , the seed  $z$  of length  $d(n)$ , and  $n, m \in \mathbb{N}$  in polynomial time; thus, for a sufficiently large  $t = \text{poly}(n, s)$ ,

$$K^t(G_m^f(z)) \leq d(n) + \tilde{O}(s) + O(\log n).$$

Choosing  $m = p(n, s)$  large enough, this is bounded by  $m - 2$ . Thus  $M'$  accepts.

Conversely, suppose that the algorithm  $M'$  accepts with probability at least  $\frac{7}{8}$ . This means that

$$\Pr_{z \sim \{0, 1\}^n} [M(G_m^f(z), 1^t) = 1] \geq \frac{7}{8}.$$

On the other hand, by Lemma 21, we have

$$\Pr_{w \sim \{0, 1\}^m} [M(w, 1^t) = 1] \leq \frac{3}{4}.$$

Therefore,  $M(-, 1^t)$  is a distinguisher for  $G_m^f$ . It follows from the property of the security reduction  $R$  that

$$\Pr_{x, R} [R^{f, M^{(-, 1^t)}}(f(x)) \in f^{-1}(f(x))] \geq \frac{1}{2}.$$

By fixing the internal randomness of  $R$  and simulating the polynomial-time algorithm  $R^{f, M^{(-, 1^t)}}$  by a polynomial-size circuit  $C^f$ , we conclude that  $f$  can be inverted by the oracle circuit  $C^f$  of size  $\text{poly}(n, s) =: \tau(n, s)$ . Thus  $f$  is not a NO instance of  $\text{Gap}_{\tau}(F \text{ vs } F^{-1})$ . ◀

► **Remark 30.** In fact, the assumption that  $\text{DistNP} \subseteq \text{AvgP}$  of Theorem 27 can be weakened to the assumption that there exists a P-natural property useful against  $\text{SIZE}(2^{o(n)})$  (which is essentially equivalent to an errorless heuristic algorithm for MCSP [34, 31]). Indeed, as in [2, 60, 5], the pseudorandom function generator construction of [25] can be used to construct a black-box pseudorandom generator  $G^f$  based on a one-way function  $f$  that satisfies  $\text{size}(G^f(z)) \leq \text{poly}(|z|, \log |G^f(z)|)$  for any seed  $z \in \{0, 1\}^d$ ; such a pseudorandom generator  $G^f$  can be distinguished from the uniform distribution by using the natural property.

We now explain that  $\text{Gap}(F \text{ vs } F^{-1})$  is conjectured to be non-disjoint. An *auxiliary-input one-way function* (AIOWF)  $f = \{f_x : \{0, 1\}^{p(|x|)} \rightarrow \{0, 1\}^{q(|x|)}\}_{x \in \{0, 1\}^*}$  is a polynomial-time-computable function such that, for some infinite set  $I$ , for any non-uniform polynomial-time algorithm  $A$ ,  $\Pr_y [A(x, f_x(y)) \in f_x^{-1}(f_x(y))] < 1/n^{\omega(1)}$  for all large  $n \in \mathbb{N}$  and any  $x \in I$ . This is a weaker cryptographic primitive than a one-way function (i.e., the existence of a one-way function implies that of an auxiliary-input one-way function). Ostrovsky [56] showed that non-triviality of SZK implies the existence of an auxiliary-input one-way function. (see also [68]). We observe that the existence of an auxiliary-input one-way function implies the non-disjointness of  $\text{Gap}(F \text{ vs } F^{-1})$ .

► **Proposition 31.** *If there exists an auxiliary-input one-way function  $f = \{f_x : \{0, 1\}^{p(|x|)} \rightarrow \{0, 1\}^{q(|x|)}\}_{x \in \{0, 1\}^*}$ , then, for any polynomial  $\tau$ ,  $\text{Gap}_\tau(F \text{ vs } F^{-1})$  is non-disjoint.*

**Proof.** Take an infinite set  $I \subseteq \{0, 1\}^*$  that is hard for polynomial-size circuits to invert  $\{f_x\}_{x \in I}$ . Since  $f$  is polynomial-time-computable,  $\text{size}(f_x) \leq n^c$  for some constant  $c$ , where  $n = |x|$ . We set the size parameter  $s := n^c$ . On the other hand, by the property of AIOWF, for any circuit  $A$  of size  $\tau(n, s)$ , it holds that

$$\Pr_y [A(x, f_x(y)) \in f_x^{-1}(f_x(y))] < \frac{1}{2},$$

for a sufficiently large  $x \in I$ . This means that  $f_x$  is a YES and NO instance of  $\text{Gap}_\tau(F \text{ vs } F^{-1})$ . ◀

An immediate corollary of Theorem 27 and Proposition 31 is that the existence of AIOWF implies  $\text{DistNP} \not\subseteq \text{AvgP}$  (which is already shown in [31]). An interesting open question is to prove “NP-hardness” of  $\text{Gap}(F \text{ vs } F^{-1})$ , which has the following important consequence:

► **Corollary 32.** *If, for any polynomial  $\tau$ , it is “NP-hard” to solve  $\text{Gap}_\tau(F \text{ vs } F^{-1})$  in time  $\text{poly}(n, s)$ , then the worst-case and average-case complexity of NP is equivalent in the sense that  $\text{P} \neq \text{NP}$  iff  $\text{DistNP} \not\subseteq \text{AvgP}$ .*

**Proof.** The assumption that  $\text{Gap}(F \text{ vs } F^{-1})$  is “NP-hard” means that, for any polynomial  $\tau$ , if there exists a coRP-type algorithm that solves  $\text{Gap}_\tau(F \text{ vs } F^{-1})$  on input  $(n, s)$  in time  $\text{poly}(n, s)$ , then  $\text{NP} \subseteq \text{BPP}$ . If  $\text{DistNP} \subseteq \text{AvgP}$ , then Theorem 27 implies that there exists some polynomial  $\tau$  such that  $\text{Gap}_\tau(F \text{ vs } F^{-1})$  can be solved by a coRP-type algorithm in time  $\text{poly}(n, s)$ . By the assumption, we obtain  $\text{NP} \subseteq \text{BPP} = \text{P}$ , where the last equality is from Lemma 20. ◀

## 4 Meta-Computational View of Complexity-Theoretic PRG Constructions

We now turn our attention to a meta-computational view of *complexity-theoretic* PRG constructions.



## 4.1 Universal Hitting Set Generators and Kolmogorov Complexity

We review the notion of KS- and Kt-complexity and present definitions of universal hitting set generators. The notion of KS-complexity was introduced in [2] as a space-bounded analogue of Kt-complexity. Here we slightly modify the definition and add an additive term of +2 so that a result about a universal hitting set generator becomes cleaner.

► **Definition 33.** For a string  $x \in \{0, 1\}^*$ , the KS complexity of  $x$  is defined as

$$\text{KS}(x) := \min\{|d| + s + 2 \mid U^d(i) \text{ outputs } x_i \text{ in space } s \text{ for every } i \in [|x| + 1]\}.$$

Here  $x_i$  denotes the  $i$ th bit of  $x$  for  $i \in [|x|]$ , and  $x_{|x|+1} := \perp$ .

We consider the following universal hitting set generator.

► **Definition 34 (Universal Space-Bounded Hitting Set Generator).** For a function  $s: \mathbb{N} \rightarrow \mathbb{N}$ , define  $\text{HS}^s = \{\text{HS}_n^s : \{0, 1\}^{s(n)} \rightarrow \{0, 1\}^n\}_{n \in \mathbb{N}}$  as the function computed by the following algorithm: If the input is of the form  $1^t 0 d 0 1^a$  for some  $t, a \in \mathbb{N}$  and  $d \in \{0, 1\}^*$ ,  $\text{HS}_n^s$  simulates  $U^d(i)$  using at most  $t$  space, for every  $i \in [n + 1]$ . If every simulation succeeds and  $U^d(i) \in \{0, 1\}$  for  $i \in [n]$  and  $U^d(n + 1) = \perp$ , then output  $U^d(1) \cdots U^d(n)$ . Otherwise, output  $0^n$ .

The hitting set generator HS is universal in the sense that, if there exists a hitting set generator  $G$  of seed length  $s(n)$  that is computable in  $s(n)$  space, then  $\text{HS}_n^{O(s)}$  is also a hitting set generator. This observation immediately follows from the following property.

► **Proposition 35 (Universality of HS).** For every function  $s: \mathbb{N} \rightarrow \mathbb{N}$  and  $n \in \mathbb{N}$ , the image of  $\text{HS}_n^s$  contains every string  $x \in \{0, 1\}^n$  such that  $\text{KS}(x) \leq s(n)$ . Moreover,  $\text{HS}_n^s$  can be computed in  $O(s(n) + \log n)$  space.

**Proof.** Consider any string  $x$  of length  $n$  such that  $\text{KS}(x) \leq s(n)$ . By the definition of KS complexity, there exists a description  $d \in \{0, 1\}^*$  such that  $U^d(i)$  outputs  $x_i$  using at most  $t$  space for every  $i \in [n + 1]$ , where  $|d| + t + 2 \leq s(n)$ . By the definition of  $\text{HS}_n^s$ , we have  $\text{HS}_n^s(1^t 0 d 0 1^a) = x$  for  $a := s(n) - t - |d| - 2 \geq 0$ . ◀

We recall Levin's resource-bounded Kolmogorov complexity and define a time-bounded version of a universal hitting set generator.

► **Definition 36 ([47]).** For a string  $x \in \{0, 1\}^*$ , Levin's Kt complexity of  $x$  is defined as

$$\text{Kt}(x) := \min\{|d| + t + 2 \mid U^d(i) \text{ outputs } x_i \text{ in time } 2^t \text{ for every } i \in [|x| + 1]\}.$$

► **Definition 37 (Universal Time-Bounded Hitting Set Generator).** For a function  $s: \mathbb{N} \rightarrow \mathbb{N}$ , define  $\text{Ht}^s = \{\text{Ht}_n^s : \{0, 1\}^{s(n)} \rightarrow \{0, 1\}^n\}_{n \in \mathbb{N}}$  as the function computed by the following algorithm: If the input is of the form  $1^t 0 d 0 1^a$  for some  $t, a \in \mathbb{N}$  and  $d \in \{0, 1\}^*$ ,  $\text{Ht}_n^s$  simulates  $U^d(i)$  for  $2^t$  time, for every  $i \in [n + 1]$ . If every simulation succeeds and  $U^d(i) \in \{0, 1\}$  for  $i \in [n]$  and  $U^d(n + 1) = \perp$ , then output  $U^d(1) \cdots U^d(n)$ . Otherwise, output  $0^n$ .

► **Proposition 38 (Universality of Ht).** For every function  $s: \mathbb{N} \rightarrow \mathbb{N}$  and  $n \in \mathbb{N}$ , the image of  $\text{Ht}_n^s$  contains every string  $x \in \{0, 1\}^n$  such that  $\text{Kt}(x) \leq s(n)$ . Moreover, the range of  $\text{Ht}_n^s$  can be enumerated in time  $\tilde{O}(2^{s(n) + \log n})$ .

## 4.2 Advice and Resource-Bounded Kolmogorov Complexity

In order to define meta-computational circuit lower-bound problems, we modify the standard notion of advice. Usually, a complexity class with advice such as  $E/O(n)$  is defined as a subset of functions  $f: \{0, 1\}^* \rightarrow \{0, 1\}$  that are defined on all the strings of any length. Here, for any  $n \in \mathbb{N}$ , we define “ $\text{DTIME}(2^{O(n)})/{}^n O(n)$ ” as a subset of functions  $f: \{0, 1\}^n \rightarrow \{0, 1\}$ , where the superscript  $n$  in “/” is appended in order to emphasize that it depends on  $n$ .

► **Definition 39.** For any integers  $t, a, n \in \mathbb{N}$ , we denote by  $\text{DTIME}(t)/{}^n a$  the class of functions  $f: \{0, 1\}^n \rightarrow \{0, 1\}$  such that there exists a Turing machine  $M$  whose description length is  $a$  and that outputs  $f(x)$  on input  $x \in \{0, 1\}^n$  in time  $t$ . Similarly, let  $\text{DSPACE}(t)/{}^n a$  denote the class of functions  $f: \{0, 1\}^n \rightarrow \{0, 1\}$  such that there exists a Turing machine  $M$  whose description length is  $a$  and that outputs  $f(x)$  on input  $x \in \{0, 1\}^n$  in space  $t$ .

This definition is slightly different from the standard notion of complexity classes with advice, but these are essentially the same. In order to clarify the difference, for functions  $t, a: \mathbb{N} \rightarrow \mathbb{N}$ , let  $\text{DTIME}(t)/{}^{\text{KL}} a$  denote the complexity class  $\text{DTIME}(t)$  with  $a$ -bit advice strings in the standard sense of Karp and Lipton [42].<sup>6</sup> That is, a function  $f: \{0, 1\}^* \rightarrow \{0, 1\}$  is in  $\text{DTIME}(t)/{}^{\text{KL}} a$  if and only if there exists a Turing machine  $M$  such that, for any  $n \in \mathbb{N}$ , there exists an advice string  $\alpha_n \in \{0, 1\}^{a(n)}$  such that  $M$  outputs  $f(x)$  on input  $(x, \alpha_n)$  in time  $t(n)$  for every  $x \in \{0, 1\}^n$ . Then, the advice “/” and the Karp–Lipton advice “/”<sup>KL</sup> are equivalent in the following sense.

► **Fact 40.** For any functions  $t, a: \mathbb{N} \rightarrow \mathbb{N}$  and any family of functions  $f = \{f_n: \{0, 1\}^n \rightarrow \{0, 1\}\}_{n \in \mathbb{N}}$  (which is identified with a function  $f: \{0, 1\}^* \rightarrow \{0, 1\}$ ), the following are equivalent.

1. There exists a constant  $c$  such that  $f \in \text{DTIME}(t')/{}^{\text{KL}} a'$ , where  $t'(n) := t(n)^c + c$  and  $a'(n) := c \cdot a(n) + c$ .
2. There exists a constant  $c$  such that, for any  $n \in \mathbb{N}$ ,  $f_n \in \text{DTIME}(t(n)^c + c)/{}^n c \cdot a(n) + c$ .

**Proof Sketch.** If  $f \in \text{DTIME}(t')/{}^{\text{KL}} a'$ , then there exists a machine  $M$  that takes an advice string  $\alpha_n$  on inputs of length  $n$ . For each  $n \in \mathbb{N}$ , define  $M_n$  to be the machine that, on input  $x$ , simulates  $M$  on input  $(x, \alpha_n)$ ; the description length of  $M_n$  is at most  $O(|\alpha_n|)$ , and thus  $f_n \in \text{DTIME}(t(n)^{O(1)})/{}^n O(a(n))$ . Conversely, if, for any  $n \in \mathbb{N}$ , there exists a Turing machine  $M_n$  whose description length is  $O(a(n))$ , then a universal Turing machine  $U$  witnesses  $f \in \text{DTIME}(t')/{}^{\text{KL}} a'$ . ◀

The advice “/” is equivalent to Kt-complexity up to a constant factor in the following sense.

► **Fact 41.** For any function  $t: \mathbb{N} \rightarrow \mathbb{N}$  such that  $t(n) \geq n$  and for any family of functions  $f = \{f_n: \{0, 1\}^n \rightarrow \{0, 1\}\}_{n \in \mathbb{N}}$ , the following are equivalent.

1.  $f_n \in \text{DTIME}(t(n)^{O(1)})/{}^n O(\log t(n))$  for all large  $n \in \mathbb{N}$ .
2.  $\text{Kt}(f_n) = O(\log t(n))$  for all large  $n \in \mathbb{N}$ .

## 4.3 Meta-computational Circuit Lower-bound Problems (MCLPs)

We define promise problems of distinguishing the truth table of explicit functions (e.g., computable in  $\text{DTIME}(2^{cn})/{}^n cn$ ) from the truth table of hard functions (e.g., that cannot be computed in  $\text{SIZE}(2^{cn})$ ). We call these Meta-computational Circuit Lower-bound Problems (MCLPs).

<sup>6</sup> It is also common to use the notation  $\text{DTIME}(t(n))/{}^{\text{KL}} a(n)$ , where  $n$  is an indeterminate.

► **Definition 42** (Meta-computational Circuit Lower-bound Problems; MCLPs). Let  $\mathcal{E}, \mathcal{D}$  be families of functions. The  $\mathcal{E}$  vs  $\mathcal{D}$  problem is defined as the following promise problem  $(\Pi_{YES}, \Pi_{NO})$ .

$$\begin{aligned}\Pi_{YES} &:= \{ \text{tt}(f) \mid f \in \mathcal{E} \}, \\ \Pi_{NO} &:= \{ \text{tt}(f) \mid f \notin \mathcal{D} \}.\end{aligned}$$

We will mainly consider a non-uniform computational model for computing the  $\mathcal{E}$  vs  $\mathcal{D}$  problem; for  $N \in \mathbb{N}$ , we denote by  $(\mathcal{E} \text{ vs } \mathcal{D})_N$  the problem restricted to the input length of  $N$ .

We denote by  $(\mathbf{E} \text{ vs } \mathbf{D})$  a family of problems  $\{\mathbf{E}_c \text{ vs } \mathbf{D}\}_{c \in \mathbb{N}}$ , where

$$\mathbf{E}_c := \bigcup_{n \in \mathbb{N}} \text{DTIME}(2^{cn})/{}^n cn.$$

For a circuit class  $\mathfrak{C}$ , we say that  $(\mathbf{E} \text{ vs } \mathbf{D}) \in \text{i.o.}\mathfrak{C}(s(N))$  if, for every constant  $c$ , there exists a family of  $\mathfrak{C}$ -circuits  $\{C_N\}_{N \in \mathbb{N}}$  of size  $s(N)$  such that  $C_N$  solves the promise problem  $(\mathbf{E}_c \text{ vs } \mathbf{D})_N$  for infinitely many  $N$ . We also denote by  $(\mathbf{E} \text{ vs } \text{SIZE}(2^{o(n)}))$  a family of problems  $\{\mathbf{E}_c \text{ vs } \text{SIZE}(2^{\alpha n})\}_{c \in \mathbb{N}, \alpha > 0}$ .

Similarly,  $(\text{DSPACE}(n) \text{ vs } \text{SIZE}(2^{o(n)}))$  denotes the family

$$\left\{ \bigcup_{n \in \mathbb{N}} \text{DSPACE}(cn)/{}^n cn \text{ vs } \text{SIZE}(2^{\alpha n}) \right\}_{c \in \mathbb{N}, \alpha > 0}.$$

The definition of the  $\mathbf{E} \text{ vs } \text{SIZE}(2^{o(n)})$  problem given here is slightly different from Definition 10 given earlier. In Definition 10, we defined the  $\mathbf{E} \text{ vs } \text{SIZE}(2^{o(n)})$  problem by using the notion of Kt-complexity; however, these definitions are essentially equivalent in light of Fact 41.

We justify the notation of  $(\text{DSPACE}(n) \text{ vs } \text{SIZE}(2^{o(n)}))$  below. One can observe that the open question of whether  $\text{DSPACE}(n) \not\subseteq \text{i.o.}\text{SIZE}(2^{o(n)})$  is closely related to the  $\text{DSPACE}(n) \text{ vs } \text{SIZE}(2^{o(n)})$  problem.

► **Proposition 43.** *The following are equivalent.*

1.  $\text{DSPACE}(n) \not\subseteq \text{i.o.}\text{SIZE}(2^{\epsilon n})$  for some constant  $\epsilon > 0$ .
2. No circuit can solve the  $\text{DSPACE}(n) \text{ vs } \text{SIZE}(2^{o(n)})$  problem for all large  $n \in \mathbb{N}$ .
3. No circuit can solve the  $\text{DSPACE}(n) \text{ vs } \widetilde{\text{SIZE}}(2^{o(n)}; \frac{1}{2} - 2^{-o(n)})$  problem for all large  $n \in \mathbb{N}$ .
4. There exist some constants  $c \in \mathbb{N}, \alpha > 0$  such that, for all large  $n \in \mathbb{N}$ , there exists a function  $f: \{0, 1\}^n \rightarrow \{0, 1\}$  such that  $f \in \text{DSPACE}(cn)/{}^n cn$  and  $f \notin \widetilde{\text{SIZE}}(2^{\alpha n}; \frac{1}{2} - 2^{-\alpha n})$ .

**Proof.** First, observe that Item 3 is equivalent to Item 4 by the definition. We claim that Item 1 implies Item 4. By using a locally-decodable error-correcting code, it can be shown that Item 1 is equivalent to  $\text{DSPACE}(n) \not\subseteq \text{i.o.}\widetilde{\text{SIZE}}(2^{\alpha n}; \frac{1}{2} - 2^{-\alpha n})$  for some constant  $\alpha > 0$  (cf. [63, 44]). Take a problem  $L \in \text{DSPACE}(n) \setminus \text{i.o.}\widetilde{\text{SIZE}}(2^{\alpha n}; \frac{1}{2} - 2^{-\alpha n})$ . For each  $n \in \mathbb{N}$ , let  $f_n: \{0, 1\}^n \rightarrow \{0, 1\}$  be the characteristic function of  $L \cap \{0, 1\}^n$ . Then, there exists a constant  $c$  such that, for all large  $n \in \mathbb{N}$ ,  $f_n \in \text{DSPACE}(cn)/{}^n cn$  and  $f_n \notin \widetilde{\text{SIZE}}(2^{\alpha n}; \frac{1}{2} - 2^{-\alpha n})$ , which completes the proof of Item 4. It is immediate from the definition that Item 4 implies Item 2.

We claim that Item 2 implies Item 1. Let  $f = \{f_n: \{0, 1\}^n \rightarrow \{0, 1\}\}_{n \in \mathbb{N}}$  be a family of functions such that  $f_n \in \text{DSPACE}(cn)/{}^n cn$  and  $f_n \notin \text{SIZE}(2^{\alpha n})$  for all large  $n \in \mathbb{N}$ . In particular, for all large  $n \in \mathbb{N}$ , there exists some machine  $M_n$  of description length  $cn$  that computes  $f_n(x)$  on input  $x \in \{0, 1\}^n$  in space  $cn$ . Let  $L \subseteq \{0, 1\}^*$  be a language such that  $(x, M) \in L$  if and only if the description length of a Turing machine  $M$  is  $c|x|$  and  $M$

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accepts  $x$  in space  $c|x|$ . It is clear that  $L \in \text{DSpace}(n)$ . Moreover, for all large  $n \in \mathbb{N}$ , the characteristic function of  $\{x \in \{0,1\}^n \mid (x, M_n) \in L\}$  is equal to  $f_n$ ; thus, it requires circuits of size  $2^{\alpha n}$ . Therefore,  $L \notin \text{i.o.SIZE}(2^{\alpha n/(c+1)})$ .  $\blacktriangleleft$

It is also possible to define MCLPs whose non-disjointness characterizes other circuit lower bounds. For example, the  $\text{EXP/poly}$  vs  $\text{SIZE}(2^{o(n)})$  problem defined as

$$\left\{ \bigcup_{n \in \mathbb{N}} \text{DTIME}(2^{n^c})/n^{n^c} \text{ vs } \text{SIZE}(2^{\alpha n}) \right\}_{c \in \mathbb{N}, \alpha > 0}$$

is non-disjoint if and only if  $\text{EXP/poly} \not\subseteq \text{SIZE}(2^{o(n)})$ .

### 4.4 MCLPs from HSG Constructions

We formalize the notion of black-box PRG and HSG construction and then we present a general connection between black-box PRG and HSG constructions and meta-computational circuit lower bound problems.

**► Definition 44** (Black-Box PRG and HSG Construction). *Let  $G^{(-)}: \{0,1\}^d \rightarrow \{0,1\}^m$  be an oracle algorithm that expects an oracle of the form  $f: \{0,1\}^\ell \rightarrow \{0,1\}$ . Let  $\mathcal{C}$  be a circuit class and  $\mathcal{R}^{(-)}$  be an oracle circuit class. The algorithm  $G$  is referred to as a black-box  $\mathcal{C}$ -pseudorandom generator (resp.  $\mathcal{C}$ -hitting set generator) construction with  $\mathcal{R}$ -reconstruction and error parameter  $\epsilon$  if the following hold.*

**$\mathcal{C}$ -Construction** *For any seed  $z \in \{0,1\}^d$ , there exists a  $\mathcal{C}$ -circuit that takes  $\text{tt}(f)$  as input and outputs  $G^f(z)$ .*

**$\mathcal{R}$ -Reconstruction** *For any function  $f: \{0,1\}^\ell \rightarrow \{0,1\}$  and any function  $D: \{0,1\}^m \rightarrow \{0,1\}$ , if  $D$  is an  $\epsilon$ -distinguisher for  $G^f$  (resp.  $D$   $\epsilon$ -avoids  $G^f$ ), then  $f \in \mathcal{R}^D$ .*

We now present a generic connection between MCLPs and HSG constructions.

**► Theorem 45.** *Let  $G^{(-)}: \{0,1\}^s \rightarrow \{0,1\}^m$  be a black-box  $\mathcal{C}$ -construction with  $\mathcal{R}$ -reconstruction that takes a function  $f: \{0,1\}^n \rightarrow \{0,1\}$ . Define  $N := 2^n$ . Let  $H: \{0,1\}^d \rightarrow \{0,1\}^m$  be a function such that  $G^f(z) \in \text{Im}(H)$  for every  $f \in \mathcal{E}$  and every  $z \in \{0,1\}^d$ . Let  $D: \{0,1\}^m \rightarrow \{0,1\}$  be any function that  $\epsilon$ -avoids  $H$ . Then, the following hold.*

1. *If  $G^f$  is a HSG construction with error parameter  $\epsilon$ , then  $(\mathcal{E} \text{ vs } \mathcal{R}^D)_N \in \text{AND}_{2^s} \circ \text{NOT} \circ D \circ \mathcal{C}$ .*
2. *If  $G^f$  is a PRG construction with error parameter  $\epsilon/2$ , then  $(\mathcal{E} \text{ vs } \mathcal{R}^D)_N \in \text{AND}_{O(N/\epsilon)} \circ \text{NOT} \circ D \circ \mathcal{C}$ .*

**Proof.** Let  $G^{(-)}$  be a HSG construction with error parameter  $\epsilon$ . We first present a randomized circuit for solving  $(\mathcal{E} \text{ vs } \mathcal{R}^D)$  on inputs of length  $N$ . Let  $f: \{0,1\}^n \rightarrow \{0,1\}$  denote an input. Consider a circuit  $D_1$  such that  $D_1(f; z) := D(G^f(z))$ , where  $z$  is an auxiliary input (that will be regarded as non-deterministic bits or random bits). We claim that  $D_1$  can solve  $(\mathcal{E} \text{ vs } \mathcal{D})$  co-nondeterministically.

▷ **Claim 46.**

1. *If  $f \in \mathcal{E}$ , then  $D_1(f; z) = 0$  for every  $z \in \{0,1\}^s$ .*
2. *If  $f \notin \mathcal{R}^D$ , then  $D_1(f; z) = 1$  for some  $z \in \{0,1\}^s$ .*

Suppose that  $f$  is a YES instance of  $(\mathcal{E} \text{ vs } \mathcal{D})$ , that is,  $f \in \mathcal{E}$ . By the assumption, we have  $G^f(z) \in \text{Im}(H)$ . Therefore, by the property of  $D$ , we obtain  $D_1(f; z) = D(G^f(z)) = 0$ .

Conversely, suppose that  $D(G^f(z)) = 0$  for every  $z \in \{0, 1\}^s$ . This means that  $D$   $\epsilon$ -avoids  $G^f$ . By the reconstruction property of  $G^f$ , we obtain  $f \in \mathcal{R}^D$ . Taking its contrapositive, it follows that if  $f \notin \mathcal{R}^D$  then  $D_1(f; z) = D(G^f(z)) = 1$  for some  $z \in \{0, 1\}^s$ . This completes the proof of Claim 46.

Now consider a circuit  $D_2$  defined as  $D_2(f) := \bigwedge_{z \in \{0, 1\}^s} \neg D_1(f; z)$ . Then, it follows from Claim 46 that the circuit  $D_2$  solves  $(\mathcal{E}$  vs  $\mathcal{R}^D$ ) on inputs of length  $N$ .

We move on to the case when  $G^{(\cdot)}$  is a PRG construction. In this case, we claim that the second item of Claim 46 can be strengthened to the following: If  $f \notin \mathcal{R}^D$ , then  $\Pr_{z \sim \{0, 1\}^s} [D_1(f; z) = 1] \geq \frac{\epsilon}{2}$ . We prove the contrapositive of this claim. Assume that  $\Pr_{z \sim \{0, 1\}^s} [D_1(f; z) = 1] < \frac{\epsilon}{2}$ . Since  $D$   $\epsilon$ -avoids  $H$ , we have  $\Pr_{w \sim \{0, 1\}^m} [D(w) = 1] \geq \epsilon$ . Therefore,  $D$   $\frac{\epsilon}{2}$ -distinguishes  $G^f(-)$  from the uniform distribution; by the reconstruction of  $G^{(\cdot)}$ , we obtain  $f \in \mathcal{R}^D$ , as desired.

Therefore,  $D_1(-; z)$  is a one-sided-error randomized circuit that computes  $(\mathcal{E}$  vs  $\mathcal{R}^D$ ) with probability at least  $\epsilon/2$ . Now define a randomized circuit  $D'_2$  such that  $D'_2(f) := \bigwedge_{i=1}^k \neg D_1(f; z_i)$ , where  $z_1, \dots, z_k \sim \{0, 1\}^s$  are chosen independently and  $k$  is a parameter chosen later. Then, it is easy to see that  $D'_2(f) = 1$  for any  $f \in \mathcal{E}$ ; on the other hand, for any  $f \notin \mathcal{R}^D$ ,  $D'_2(f) = 1$  with probability at most  $(1 - \epsilon/2)^k$ , which is less than  $2^{-N}$  by choosing  $k = O(N/\epsilon)$  large enough. By using a union bound, one can hardwire random bits in  $D'_2$  as in Adleman's trick [1], and obtain a deterministic  $\text{AND} \circ \text{NOT} \circ D \circ \mathfrak{C}$  circuit that computes  $(\mathcal{E}$  vs  $\mathcal{R}^D$ ). ◀

## 4.5 The Nisan–Wigderson Generator

The pseudorandom generator construction of Nisan and Wigderson [53] is particularly efficient. Indeed, each output bit of the Nisan–Wigderson generator depends on only 1 bit of the truth table of a candidate hard function.

► **Theorem 47** (Nisan–Wigderson Pseudorandom Generator Construction). *For every constant  $\gamma > 0$  and any  $\ell, m \in \mathbb{N}$ , there exists a  $\mathfrak{C}$ -pseudorandom generator construction  $G^{(\cdot)}: \{0, 1\}^d \rightarrow \{0, 1\}^m$  with  $\mathcal{R}$ -reconstruction and error parameter  $\epsilon$  that takes a function  $f: \{0, 1\}^\ell \rightarrow \{0, 1\}$  such that*

- $d = O(\ell)$ ,  $m \leq 2^\ell$ ,
- $\mathfrak{C} = \text{NC}_1^0$ , and
- $\mathcal{R}^D = D \circ \text{AC}_2^0(m \cdot 2^{\gamma\ell}; \frac{1}{2} - \frac{\epsilon}{m})$ .

Moreover, the output  $G^f(z)$  of the PRG can be computed in space  $O(\ell)$  given a function  $f$  and a seed  $z$  as input.

We first recall the construction of the Nisan–Wigderson generator. In order to have a space-efficient algorithm for computing the Nisan–Wigderson generator, we use the following construction of a combinatorial design.

► **Lemma 48** (Klivans and van Melkebeek [44], Viola [71]). *For every constant  $\gamma > 0$ , for any  $\ell \in \mathbb{N}$ , there exist  $\ell$ -sized subsets  $S_1, \dots, S_{2^\ell}$  of  $[d]$  for some  $d = O(\ell)$  such that*

1.  $|S_i \cap S_j| \leq \gamma \cdot \ell$  for every distinct  $i, j \in [2^\ell]$ , and
2.  $S_1, \dots, S_{2^\ell}$  can be constructed in space  $O(\ell)$ .

A nearly disjoint generator ND is defined based on the design.

► **Definition 49.** *For a string  $z \in \{0, 1\}^d$  and a subset  $S \subseteq [d]$  of indices,  $z_S$  denotes the string obtained by concatenating the  $i$ th bit  $z_i$  of  $z$  for every  $i \in S$ . For  $\ell$ -sized subsets  $\mathcal{S} = \{S_1, \dots, S_m\}$  of  $[d]$ , define a nearly disjoint generator  $\text{ND}: \{0, 1\}^d \rightarrow (\{0, 1\}^\ell)^m$  as  $\text{ND}(z) := (z_{S_1}, \dots, z_{S_m})$  for every  $z \in \{0, 1\}^d$ .*

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Using the nearly disjoint generator, the Nisan–Wigderson generator is defined as follows.

► **Definition 50** (Nisan–Wigderson generator [53]). *For a Boolean function  $f: \{0, 1\}^\ell \rightarrow \{0, 1\}$  and for  $\ell$ -sized subsets  $\mathcal{S} = \{S_1, \dots, S_m\}$  of  $[d]$ , the Nisan–Wigderson generator  $\text{NW}^f: \{0, 1\}^d \rightarrow \{0, 1\}^m$  is defined as*

$$\text{NW}^f(z) := f^m \circ \text{ND}(z) = f(z_{S_1}) \cdots f(z_{S_m}).$$

It was shown in [53] that the pseudorandom generator construction is secure in the following sense.

► **Lemma 51** (Security of the Nisan–Wigderson Generator [53]). *For any functions  $T: \{0, 1\}^m \rightarrow \{0, 1\}$  and  $f: \{0, 1\}^\ell \rightarrow \{0, 1\}$ , for any  $\epsilon > 0$ , if*

$$\Pr_{w \sim \{0, 1\}^m} [T(w) = 1] - \Pr_{z \sim \{0, 1\}^d} [T(\text{NW}^f(z)) = 1] \geq \epsilon,$$

then there exists a one-query depth-2 oracle circuit  $C \in \text{AC}_2^0$  of size  $O(m \cdot 2^{\gamma \ell})$  such that

$$\Pr_{x \sim \{0, 1\}^\ell} [C^T(x) = f(x)] \geq \frac{1}{2} + \frac{\epsilon}{m}.$$

**Proof of Theorem 47.** We use the Nisan–Wigderson generator  $\text{NW}^{(-)}$  defined in Definition 50 (i.e., we define  $G^{(-)} := \text{NW}^{(-)}$ ).  $\text{NW}^{(-)}$  is an  $\text{NC}_1^0$ -PRG construction because each bit of  $\text{NW}^f(z)$  for any fixed seed  $z$  is equal to one bit of the truth table of  $f$ . The  $\mathcal{R}$ -reconstruction property of  $\text{NW}^{(-)}$  follows from Lemma 51. ◀

### 4.6 Meta-Computational View of the Nisan–Wigderson Generator

Using the Nisan–Wigderson generator construction, we present a general connection between the existence of hitting set generators and lower bounds for meta-computational circuit lower-bound problems. We consider any family of circuits that is closed under taking projections in the following sense.

► **Definition 52.** *A class  $\mathfrak{C}$  of circuits is said to be closed under taking projections if, for any  $s \in \mathbb{N}$ , for every size- $s$  circuit  $C \in \mathfrak{C}$  of  $n$  inputs, a circuit  $C'$  defined as  $C'(x_1, \dots, x_n) = C(x_{\sigma(1)}, \dots, x_{\sigma(n)})$  for some function  $\sigma: [n] \rightarrow [n]$  can be simulated by a size- $s$   $\mathfrak{C}$ -circuit.*

► **Theorem 53.** *Let  $\mathfrak{C}$  be any circuit class that is closed under taking projections. Suppose that  $(\text{E vs } \mathfrak{C} \circ \text{AC}_2^0(2^{\alpha n}; \frac{1}{2} - 2^{-\alpha n})) \notin \text{i.o. AND} \circ \text{NOT} \circ \mathfrak{C}(N^{1+\beta})$  for some constants  $\alpha, \beta > 0$ . Then, there exists a hitting set generator  $G = \{G_n: \{0, 1\}^{O(\log n)} \rightarrow \{0, 1\}^n\}_{n \in \mathbb{N}}$  computable in time  $n^{O(1)}$  and secure against linear-size  $\mathfrak{C}$  circuits.*

**Proof.** We prove the contrapositive. Assume that, for every function  $s(m) = O(\log m)$ , there exists a linear-size  $\mathfrak{C}$  circuit  $D$  that avoids the universal hitting set generator  $\text{Ht}_m^s$  for infinitely many  $m \in \mathbb{N}$ . Given arbitrary constants  $c, \alpha, \beta > 0$ , we will choose a small constant  $\gamma > 0$ , and define  $s(m) := c' \log m / \gamma$  for some large constant  $c'$ . Then using  $D$  that avoids  $\text{Ht}_m^s$ , we present a  $\text{AND} \circ \text{NOT} \circ \mathfrak{C}$ -circuit of size  $N^{1+\beta}$  that solves the  $\text{E}_c$  vs  $\mathfrak{C} \circ \text{AC}_2^0(2^{\alpha n}; \frac{1}{2} - 2^{-\alpha n})$  problem.

Let  $f: \{0, 1\}^n \rightarrow \{0, 1\}$  denote the input of the MCLP. We use the Nisan–Wigderson generator construction  $\text{NW}^f: \{0, 1\}^d \rightarrow \{0, 1\}^m$  of Theorem 47, where  $d = O(n)$ ,  $m = 2^{\gamma n}$ , and  $\epsilon := \frac{1}{4}$ . By Theorem 47, this is a black-box  $\text{NC}_1^0$ -PRG construction with  $\widetilde{\text{AC}}_2^0(2^{2\gamma n}; \frac{1}{2} - \frac{1}{4m})$  reconstruction.

In order to apply Theorem 45, we claim that  $NW^f(z) \in \text{Im}(\text{Ht}_m^s)$  for every  $f \in \mathbf{E}_c$  and every  $z \in \{0, 1\}^d$ . By Theorem 47, the output  $NW^f(z)$  can be described by using a seed  $z$  and a description for  $x$  in time  $N^{O(1)}$ , and thus

$$\text{Kt}(NW^f(z)) \leq |z| + \text{Kt}(f) + O(\log N) = O(n).$$

In particular, for a large enough constant  $c'$ , we have

$$\text{Kt}(NW^f(z)) \leq c'n = s(m),$$

By the universality of  $\text{Ht}_m^s$  (Proposition 38) we obtain that  $NW^f(z) \in \text{Im}(\text{Ht}_m^s)$ .

By applying Theorem 45, we have  $(\mathbf{E}_c \text{ vs } D \circ \widetilde{\text{AC}}_2^0(2^{2\gamma n}; \frac{1}{2} - \frac{1}{4m}))_N \in \text{AND}_{O(N)} \circ \text{NOT} \circ D \circ \text{NC}_1^0$ . Since  $D$  is a  $\mathfrak{C}$ -circuit of size  $m = 2^{\gamma n}$ , it follows that  $(\mathbf{E}_c \text{ vs } \mathfrak{C} \circ \widetilde{\text{AC}}_2^0(2^{O(\gamma n)}; \frac{1}{2} - \frac{1}{4m}))_N \in \text{AND} \circ \text{NOT} \circ \mathfrak{C}(O(N^{1+\gamma}))$ . Note that this holds for infinitely many  $N = m^{1/\gamma}$ . By choosing  $\gamma$  small enough depending on  $\alpha, \beta$ , the result follows.  $\blacktriangleleft$

We observe that the converse direction also holds. In particular, for any circuit class  $\mathfrak{C} \subseteq \text{P/poly}$  such that  $\mathfrak{C}$  is closed under taking projections and  $\text{AND} \circ \text{NOT} \circ \mathfrak{C} = \mathfrak{C}$ , our reductions in fact establish the equivalence between the existence of a hitting set generator secure against  $\mathfrak{C}$  and a  $\mathfrak{C}$ -lower bound for the  $\mathbf{E}$  vs  $\widetilde{\text{SIZE}}(2^{\alpha n}; \frac{1}{2} - 2^{-\alpha n})$  problem.

**► Proposition 54** (Converse of Theorem 53). *Let  $\mathfrak{C}$  be any circuit complexity class. Suppose that there exists a hitting set generator  $G = \{G_n : \{0, 1\}^{O(\log n)} \rightarrow \{0, 1\}^n\}_{n \in \mathbb{N}}$  computable in time  $n^{O(1)}$  and secure against linear-size  $\mathfrak{C}$  circuits. Then, for any constant  $k \in \mathbb{N}$ , for all sufficiently large  $N \in \mathbb{N}$ , no  $\text{NOT} \circ \mathfrak{C}$  circuit of size  $N^k$  can solve  $(\mathbf{E} \text{ vs } \widetilde{\text{SIZE}}(2^{\alpha n}; \frac{1}{2} - 2^{-\alpha n}))_N$  for  $\alpha := 1/4$  nor  $\text{MKtP}[O(\log N), N - 1]$  on input length  $N$ .*

**Proof.** We first observe that  $\text{MKtP}[O(\log N), N - 1]$  is reducible to  $(\mathbf{E} \text{ vs } \widetilde{\text{SIZE}}(2^{\alpha n}; \frac{1}{2} - 2^{-\alpha n}))$  via an identity map: Take any function  $f \in \widetilde{\text{SIZE}}(2^{\alpha n}; \frac{1}{2} - 2^{-\alpha n})$ . Since the truth table of  $f$  can be described by a circuit of size  $N^\alpha$  and  $\log(\binom{N}{\leq N/2 - N^{1-\alpha}})$  bits of information in time  $N^{O(1)}$ , the  $\text{Kt}$ -complexity of  $\text{tt}(f)$  is at most

$$\tilde{O}(N^\alpha) + N \cdot \text{H}_2(1/2 - N^{-\alpha}) + O(\log N) \leq N - \Omega(N^{1-2\alpha}),$$

which is much smaller than  $N - 1$ . Therefore, it suffices to prove the result only for  $\text{MKtP}[O(\log N), N - 1]$ .

We prove the contrapositive. Suppose that, for some constant  $k \in \mathbb{N}$ , for any constant  $c$ , for infinitely many  $N \in \mathbb{N}$ , there exists a  $\text{NOT} \circ \mathfrak{C}$  circuit of size  $N^k$  that solves the promise problem  $\text{MKtP}[c \log N, N - 1]$ . Consider any family of functions  $G = \{G_m : \{0, 1\}^{d \log m} \rightarrow \{0, 1\}^m\}_{m \in \mathbb{N}}$  computable in time  $m^d$  for a constant  $d$ . Let  $c := 4kd$ , and take a  $\text{NOT} \circ \mathfrak{C}$  circuit  $\neg C$  of size  $N^k$  that solves  $\text{MKtP}[c \log N, N - 1]$  on inputs of length  $N$ .

We regard  $C$  as a circuit that takes  $m := N^k$  input bits by ignoring  $m - N$  input bits, and in what follows we claim that the linear-size circuit  $C$  avoids  $G_m$ . For a string  $w \in \{0, 1\}^m$ , denote by  $w|_N$  the first  $N$  bits of  $w$ .

Let  $z \in \{0, 1\}^{d \log m}$  be any seed of  $G$ . Since  $G(z)|_N$  can be described by  $N \in \mathbb{N}$  and  $z \in \{0, 1\}^{d \log m}$  in time  $m^d$ , its  $\text{Kt}$  complexity is

$$\text{Kt}(G(z)|_N) \leq \log N + |z| + d \log m + o(\log m) \leq 4kd \log N,$$

which means that  $G(z)|_N$  is a YES instance of  $\text{MKtP}[c \log N, N - 1]$  and thus  $G(z)$  is rejected by  $C$ .

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Now consider a string  $w \sim \{0, 1\}^m$  chosen uniformly at random. By a standard counting argument,  $\text{Kt}(w \upharpoonright_N) \geq N - 1$  with probability at least  $\frac{1}{2}$ ; thus  $C$  accepts at least a half of all inputs. Therefore, the function  $G$  is not secure against  $C$ . ◀

Applying Theorem 53 to depth- $d$  circuits  $\mathfrak{C} := \text{AC}_d^0$ , we obtain the following.

► **Corollary 55.** *Let  $d$  be a constant. Suppose that  $(\text{E vs } \widetilde{\text{AC}}_{d+2}^0(2^{\alpha n}; \frac{1}{2} - 2^{-\alpha n})) \notin \text{i.o.AC}_{d+1}^0(N^{1+\beta})$  for some constants  $\alpha, \beta > 0$ . Then, there exists a hitting set generator  $G = \{G_n : \{0, 1\}^{O(\log n)} \rightarrow \{0, 1\}^n\}_{n \in \mathbb{N}}$  computable in time  $n^{O(1)}$  and secure against linear-size  $\text{AC}_d^0$  circuits.*

This means that, in order to obtain a nearly optimal hitting set generator for  $\text{AC}_d^0$ , it suffices to prove that nearly-linear-size  $\text{AC}_{d+1}^0$  circuits cannot distinguish the truth tables of functions in  $\text{E}/O(n)$  from the truth tables of functions that cannot be approximated by  $\text{AC}_{d+2}^0$  circuits.

We present a proof of Theorem 13.

► **Restatement of Theorem 13.** *The following are equivalent.*

1. For any constants  $d, d'$ , there exists a constant  $\beta > 0$  such that

$$(\text{E vs } \widetilde{\text{AC}}_{d'}^0(2^{o(n)}; \frac{1}{2} - 2^{-o(n)})) \notin \text{i.o.AC}_d^0(N^{1+\beta}).$$

2. For any constant  $d$ , there exists a hitting set generator  $G = \{G_n : \{0, 1\}^{O(\log n)} \rightarrow \{0, 1\}^n\}_{n \in \mathbb{N}}$  computable in time  $n^{O(1)}$  and secure against linear-size  $\text{AC}_d^0$  circuits.
3. For any constant  $d$ , there exist constants  $c, \beta > 0$  such that

$$\text{MKtP}[c \log N, N - 1] \notin \text{i.o.AC}_d^0(N^{1+\beta}).$$

4. For any constants  $d, k$ , there exists a constant  $c > 0$  such that

$$\text{MKtP}[c \log N, N - 1] \notin \text{i.o.AC}_d^0(N^k).$$

**Proof of Theorem 13.** Item 1  $\implies$  Item 2 follows from Corollary 55. Item 2  $\implies$  Item 4 follows from Proposition 54. Item 4  $\implies$  Item 3 is obvious. Item 3  $\implies$  Item 1 holds because the truth table of any function in  $\widetilde{\text{AC}}^0(2^{o(n)}; \frac{1}{2} - 2^{-o(n)})$  has Kt complexity less than  $N - 1$ . ◀

## 4.7 Hardness Amplification and MCLPs

The main advantage of studying MCLPs is that hardness amplification can be naturally regarded as a reduction between two different MCLPs. In this section, we present such reductions.

Impagliazzo and Wigderson [40] gave a derandomized hardness amplification theorem. We use the following generalized version of their result.

► **Theorem 56 (Derandomized hardness amplification).** *Let  $\gamma > 0$  be an arbitrary constant. There exists a hardness amplification procedure  $\text{Amp}$  that takes a function  $f: \{0, 1\}^n \rightarrow \{0, 1\}$  and parameters  $\delta, \epsilon > 0$ , and returns a Boolean function  $\text{Amp}_{\epsilon, \delta}^f: \{0, 1\}^{O(n + \log(1/\epsilon))} \rightarrow \{0, 1\}$  satisfying the following:*

1. If  $\widetilde{\text{size}}(\text{Amp}_{\epsilon, \delta}^f; 1/2 - \epsilon) \leq s$ , then  $\widetilde{\text{size}}(f; \delta) \leq s \cdot \text{poly}(1/\epsilon, 1/\delta)$ .
2. For any fixed  $y \in \{0, 1\}^{O(n + \log(1/\epsilon))}$ , there exist strings  $v_1, \dots, v_k \in \{0, 1\}^n$  for some  $k = O(\log(1/\epsilon)/\delta)$  such that  $\text{Amp}_{\epsilon, \delta}^f(y) = f(v_1) \oplus \dots \oplus f(v_k)$ . Moreover, if  $y$  is distributed uniformly at random, for each  $i \in [k]$ ,  $v_i$  is distributed uniformly at random.
3.  $\text{Amp}_{\epsilon, \delta}^f(y)$  can be computed in  $O(n + \log(1/\delta) + \log(1/\epsilon))$  space, given  $f, y, \epsilon$  and  $\delta$  as an input.



Theorem 56 slightly differs from derandomized hardness amplification theorems of [40, 30] in that we are also interested in the case when the hardness parameter  $\delta$  is  $o(1)$ , which is not required in a standard application of derandomized hardness amplification theorems. We defer a proof of Theorem 56 to Appendix A.

Using Theorem 56, we give a reduction among different MCLPs.

► **Theorem 57.** *For any constants  $\alpha, \delta > 0$ , for all sufficiently small  $\beta > 0$ , there exists a  $\text{XOR}_{O(\beta \log N)}$ -computable reduction from  $(\text{E vs } \widetilde{\text{SIZE}}(2^{\alpha n}; \delta))$  to  $(\text{E vs } \widetilde{\text{SIZE}}(2^{\beta n}; \frac{1}{2} - 2^{-\beta n}))$ .*

**Proof.** The reduction is to simply take the hardness amplification procedure Amp of Theorem 56. Specifically, given the truth table of a function  $f: \{0, 1\}^n \rightarrow \{0, 1\}$ , the reduction maps  $f$  to the truth table of  $\text{Amp}_{\epsilon, \delta}^f: \{0, 1\}^{n'} \rightarrow \{0, 1\}$ , where  $\epsilon := 2^{-\beta n}$  and  $n' = O(n)$ . By the second item of Theorem 56, each output of the reduction is computable by a XOR of  $k$  bits, where  $k = O(\log(1/\epsilon)/\delta) = O(\beta n)$ .

We claim the correctness of the reduction. Suppose that  $\text{Kt}(f) \leq O(\log N)$ . Then, by the third item of Theorem 56, we obtain that  $\text{Kt}(\text{Amp}_{\epsilon, \delta}^f) \leq O(\log N)$ .

Conversely, suppose that  $f \notin \widetilde{\text{SIZE}}(2^{\alpha n}; \delta)$ ; that is,  $\widetilde{\text{size}}(f; \delta) > 2^{\alpha n} > 2^{\beta n} \cdot \text{poly}(1/\epsilon, 1/\delta)$ , where the last inequality holds by choosing  $\beta > 0$  small enough. By the first item of Theorem 56, we obtain that  $\widetilde{\text{size}}(\text{Amp}_{\epsilon, \delta}^f; \frac{1}{2} - 2^{-\beta n}) > 2^{\beta n}$ , which implies that  $\text{Amp}_{\epsilon, \delta}^f \notin \widetilde{\text{SIZE}}(2^{\beta' n'}; \frac{1}{2} - 2^{-\beta' n'})$  for some constant  $\beta' > 0$ . ◀

Applying the reduction of Theorem 57 to Corollary 55, we obtain the following.

► **Corollary 58.** *Let  $d \in \mathbb{N}, \delta > 0$  be constants. Suppose that  $(\text{E vs } \widetilde{\text{SIZE}}(2^{\alpha n}; \delta)) \notin \text{i.o. AC}_{d+2}^0(N^{1+\beta})$  for some constants  $\alpha, \beta > 0$ . Then, there exists a hitting set generator  $G = \{G_n : \{0, 1\}^{O(\log n)} \rightarrow \{0, 1\}^n\}_{n \in \mathbb{N}}$  computable in time  $n^{O(1)}$  and secure against linear-size  $\text{AC}_d^0$  circuits.*

**Proof.** We prove the contrapositive. Under the assumption that no hitting set generator is secure against  $\text{AC}_d^0$ , it follows from Corollary 55 that  $(\text{E vs } \widetilde{\text{AC}}_{d+2}^0(2^{\beta n}; \frac{1}{2} - 2^{-\beta n})) \in \text{i.o. AC}_{d+1}^0(N^{1+\gamma})$  for any constants  $\beta, \gamma > 0$ . Our goal is to prove that  $(\text{E vs } \widetilde{\text{SIZE}}(2^{\alpha n}; \delta)) \in \text{i.o. AC}_{d+2}^0(N^{1+\eta})$  for any constants  $\alpha, \eta > 0$ .

Fix any constants  $\alpha, \eta > 0$ . By Theorem 57, there exists a  $\text{XOR}_{O(\beta n)}$ -computable reduction from  $(\text{E vs } \widetilde{\text{SIZE}}(2^{\alpha n}; \delta))$  to  $(\text{E vs } \widetilde{\text{AC}}_{d+2}^0(2^{\beta n}; \frac{1}{2} - 2^{-\beta n}))$ , for all sufficiently small  $\beta > 0$ . The latter problem can be solved by an  $\text{AC}_{d+1}^0(N^{1+\gamma})$ . Thus we obtain an  $\text{AC}_{d+1}^0(N^{1+\gamma}) \circ \text{XOR}_{O(\beta n)}$  circuit that computes the former problem. Since  $\text{XOR}_{O(\beta n)}$  can be computed by a depth-2 circuit of size  $N^{O(\beta)}$ , by merging one bottom layer of the  $\text{AC}_{d+1}^0$  circuit, we obtain a circuit in  $\text{AC}_{d+2}^0(N^{1+\gamma+O(\beta)})$  that computes  $(\text{E vs } \widetilde{\text{SIZE}}(2^{\alpha n}; \delta))$ . The result follows by choosing  $\beta, \gamma > 0$  small enough depending on  $\eta > 0$ . ◀

Note that  $\text{AC}^0$  circuits are not capable of computing XOR gates of large fan-in. If a computational model can compute XOR gates, it is possible to compute a locally-decodable error-correcting code. Specifically, we provide an efficient reduction from  $(\text{E vs } \text{SIZE}(2^{o(n)}))$  to  $(\text{E vs } \widetilde{\text{SIZE}}(2^{o(n)}; \frac{1}{2} - 2^{-o(n)}))$  that is computable by a single layer of XOR gates.

► **Theorem 59 (Reductions by Error-Correcting Codes).** *For any constants  $\alpha, \gamma > 0$ , for all sufficiently small  $\beta > 0$ , there exists a reduction from  $(\text{E vs } \text{SIZE}(2^{\alpha n}))$  to  $(\text{E vs } \widetilde{\text{SIZE}}(2^{\beta n}; \frac{1}{2} - 2^{-\beta n}))$  that is computable by one layer of  $O(N^{1+\gamma})$  XOR gates.*

► **Lemma 60** (cf. [63, 69, 73]). *For any small constant  $\beta > 0$ , for all large  $n \in \mathbb{N}$ , there exists an error-correcting code  $\text{Enc}^f$  that encodes a function  $f: \{0, 1\}^n \rightarrow \{0, 1\}$  as a function  $\text{Enc}^f: \{0, 1\}^{(1+O(\sqrt{\beta}))n} \rightarrow \{0, 1\}$  satisfying following:*

1.  $\text{size}(f) \leq \widetilde{\text{size}}(\text{Enc}^f; \frac{1}{2} - 2^{-\beta n}) \cdot 2^{O(\sqrt{\beta}n)}$ .
2. For any fixed  $y \in \{0, 1\}^{(1+O(\sqrt{\beta}))n}$ ,  $\text{Enc}^f(y)$  can be computed by an XOR of some bits of the truth table of  $f$ .
3.  $\text{Enc}^f$  can be computed in time  $2^{O(n)}$ .

**Proof Sketch.** We use a Reed-Muller code concatenated with a Hadamard code. The crux is that the length of a codeword can be made small because the query complexity of local-list-decoding algorithms is allowed to be quite large.

Let  $f: \{0, 1\}^n \rightarrow \{0, 1\}$ . Let  $\mathbb{F}_q$  be a finite field, where  $q = 2^k$  for some  $k$ . Pick  $H \subseteq \mathbb{F}$ , and encode any element of  $\{0, 1\}^n$  as an element of  $H^t$  by taking an injection  $\eta$  from  $\{0, 1\}^n$  to  $H^t$ , where  $t$  is some large constant chosen later. Let the size  $|H|$  of  $H$  be  $2^{n/t}$ . Any  $f: \{0, 1\}^n \rightarrow \{0, 1\}$  can be encoded as a unique low-degree extension  $\hat{f}: \mathbb{F}_q^t \rightarrow \mathbb{F}_q$  such that  $\hat{f}$  and  $f \circ \eta$  agree on  $H^q$ . The total degree of  $\hat{f}$  is at most  $d := t|H| = t2^{n/t}$ . We will set  $q = t2^{n/t+O(\beta n)}$ .

Then, each alphabet  $\hat{f}(x)$  in the Reed-Muller code is encoded with a Hadamard code. Namely,  $\text{Enc}^f(x, y) := \langle \hat{f}(x), y \rangle$ , where  $x \in \mathbb{F}_q^t$ ,  $y \in \mathbb{F}_2^k$  and  $\langle -, - \rangle$  denotes the inner product function over  $\mathbb{F}_2$ . The length of the truth table of  $\text{Enc}^f$  is at most  $q^{t+1} = O(t^{t+1}2^{(n/t+O(\beta n))(t+1)})$ . By choosing  $t := 1/\sqrt{\beta}$ , this is bounded by  $2^{(1+O(\sqrt{\beta}))n}$ .

Sudan, Trevisan, and Vadhan [63] gave a local list-decoding algorithm for the code  $\text{Enc}^f$  running in time  $\text{poly}(t, q)$  that can handle a  $(\frac{1}{2} - (d/q)^{\Omega(1)})$ -fraction of errors, which is more than  $\frac{1}{2} - 2^{-\beta n}$  by choosing  $q := t2^{n/t+O(\beta n)}$  large enough. Given a circuit that approximates  $\text{Enc}^f$  on a  $\frac{1}{2} - 2^{-\beta n}$  fraction of inputs, one can apply the local list-decoding algorithm to obtain a circuit that computes  $f$  on every input; thus we have  $\text{size}(f) \leq \widetilde{\text{size}}(\text{Enc}^f; \frac{1}{2} - 2^{-\beta n}) \cdot 2^{O(\sqrt{\beta}n)}$ . ◀

**Proof of Theorem 59.** We apply the error-correcting code  $\text{Enc}$  of Theorem 59. Specifically, given  $f: \{0, 1\}^n \rightarrow \{0, 1\}$  as input, we map  $f$  to  $\text{Enc}^f: \{0, 1\}^{n'} \rightarrow \{0, 1\}$ . Since the length of  $\text{tt}(\text{Enc}^f)$  is  $2^{n'} = N^{1+O(\sqrt{\beta})}$  and each bit is computable by a XOR of some bits of  $\text{tt}(f)$ , the reduction is computable by one layer of  $O(N^{1+\gamma})$  XOR gates for all sufficiently small  $\beta > 0$ .

We claim the correctness of the reduction. Suppose that  $\text{Kt}(f) = O(\log N)$ ; then, by the third item of Lemma 60, we have  $\text{Kt}(\text{Enc}^f) = O(\log N)$ .

Now suppose that  $f \notin \text{SIZE}(2^{\alpha n})$ . By Lemma 60, we have  $2^{\alpha n} < \text{size}(f) \leq \widetilde{\text{size}}(\text{Enc}^f; \frac{1}{2} - 2^{-\beta n}) \cdot 2^{O(\sqrt{\beta}n)}$ . Therefore, we obtain that  $\widetilde{\text{size}}(\text{Enc}^f; \frac{1}{2} - 2^{-\beta n}) > 2^{(\alpha - O(\sqrt{\beta}))n} \geq 2^{\beta n}$ , where the last inequality holds by choosing  $\beta > 0$  small enough. Therefore,  $\text{Enc}^f \notin \widetilde{\text{SIZE}}(2^{\beta' n'}; \frac{1}{2} - 2^{-\beta' n'})$  holds for some constant  $\beta' > 0$ . ◀

Applying the reduction, we obtain the following.

► **Theorem 61.** *Let  $d$  be a constant. Suppose that  $(\text{E vs SIZE}(2^{\alpha n})) \notin \text{i.o.AC}_{d+1}^0 \circ \text{XOR}(N^{1+\beta})$  for some constants  $\alpha, \beta > 0$ . Then, there exists a hitting set generator  $G = \{G_n : \{0, 1\}^{O(\log n)} \rightarrow \{0, 1\}^n\}_{n \in \mathbb{N}}$  computable in time  $n^{O(1)}$  and secure against linear-size  $\text{AC}_d^0 \circ \text{XOR}$  circuits.*

**Proof.** We prove the contrapositive. Let  $\alpha, \beta > 0$  be arbitrary constants. Assuming that no hitting set generator is secure against  $\text{AC}_d^0 \circ \text{XOR}$ , by Theorem 53, we have  $(\text{E vs } \widetilde{\text{SIZE}}(2^{\alpha_0 n}; \frac{1}{2} - 2^{-\alpha_0 n})) \in \text{i.o. AC}_{d+1}^0 \circ \text{XOR}(N^{1+\beta_0})$  for any constants  $\alpha_0, \beta_0 > 0$ . By Theorem 59,  $(\text{E vs } \text{SIZE}(2^{\alpha n}))$  is reducible to  $(\text{E vs } \widetilde{\text{SIZE}}(2^{\alpha_0 n}; \frac{1}{2} - 2^{-\alpha_0 n}))$  by one layer of  $O(N^{1+\gamma})$  XOR gates for any constant  $\gamma > 0$  and any small enough constant  $\alpha_0 > 0$ . Therefore, we obtain a circuit in  $\text{AC}_{d+1}^0 \circ \text{XOR} \circ \text{XOR}((N^{1+\gamma})^{1+\beta_0})$  that computes  $(\text{E vs } \text{SIZE}(2^{\alpha n}))$ . By merging the bottom two XOR layers, the circuit can be written as an  $\text{AC}_{d+1}^0 \circ \text{XOR}$  circuit of size  $N^{1+\gamma+\beta_0+\gamma\beta_0}$ .<sup>7</sup> The result follows by choosing positive constants  $\gamma, \beta_0 \ll \beta$  small enough. ◀

We are now ready to complete a proof of Theorem 11, which establishes the equivalence between the existence of a hitting set generator secure against  $\text{AC}^0 \circ \text{XOR}$  and the  $\text{AC}^0 \circ \text{XOR}$  circuit lower bound for the  $\text{E vs } \text{SIZE}(2^{o(n)})$  problem.

► **Restatement of Theorem 11.** *The following are equivalent.*

1. For any constant  $d$ , there exists a hitting set generator  $G = \{G_n : \{0, 1\}^{O(\log n)} \rightarrow \{0, 1\}^n\}_{n \in \mathbb{N}}$  computable in time  $n^{O(1)}$  and secure against linear-size  $\text{AC}_d^0 \circ \text{XOR}$  circuits.
2. For any constant  $d$ , for some constant  $\beta > 0$ ,  $(\text{E vs } \text{SIZE}(2^{o(n)})) \notin \text{i.o. AC}_d^0(N^{1+\beta})$ .
3. For any constant  $d$ , for some constant  $\beta > 0$ ,  $\text{MKtP}[O(\log N), N^{o(1)}] \notin \text{i.o. AC}_d^0 \circ \text{XOR}(N^{1+\beta})$ .
4. For any constants  $d, k \in \mathbb{N}$ ,  $\text{MKtP}[O(\log N), N^{o(1)}] \notin \text{i.o. AC}_d^0 \circ \text{XOR}(N^k)$ .

**Proof of Theorem 11.** The implications from Item 4 to Item 3 and from Item 3 to Item 2 are trivial. The implication from Item 2 to Item 1 immediately follows from Theorem 61. The implication from Item 1 to Item 4 is a standard approach for showing a lower bound for MKtP, and follows from Proposition 54. ◀

## 4.8 KS Complexity and Read-Once Branching Program

We now turn our attention to KS complexity. This amounts to considering a hitting set generator that is computable in a limited amount of space. Applying our proof ideas to the case of read-once branching programs, we provide a potential approach for resolving  $\text{RL} = \text{L}$ .

► **Theorem 62.** *There exists a universal constant  $\rho > 0$  satisfying the following. Suppose that, for some constants  $\alpha, \beta > 0$ ,  $(\text{DSPACE}(n) \text{ vs } \widetilde{\text{SIZE}}(2^{\alpha n}; 2^{-\rho \alpha n}))$  cannot be computed by a read-once co-nondeterministic branching program of size  $N^{1+\beta}$  for all large input length  $N \in \mathbb{N}$ . Then there exists a hitting set generator  $G = \{G_n : \{0, 1\}^{O(\log n)} \rightarrow \{0, 1\}^n\}_{n \in \mathbb{N}}$  computable in  $O(\log n)$  space and secure against linear-size read-once branching programs.*

Since the class of read-once branching programs is not closed under taking several reductions presented so far, we provide a self-contained proof below.

**Proof.** We prove the contrapositive of Theorem 62 for the universal hitting set generator HS. Assume that, for every function  $s(m) = O(\log m)$ , there exists a linear-size read-once branching program  $D$  that avoids  $\text{HS}_m^s$  for infinitely many  $m \in \mathbb{N}$ . Given arbitrary constants  $c, \alpha, \beta > 0$ , we will choose a small constant  $\gamma > 0$ , and define  $s(m) := c' \log m / \gamma$  for some large constant  $c'$ . Then using  $D$  that avoids  $\text{HS}_m^s$ , we present a coRP-type randomized read-once branching program that solves  $(\Pi_{\text{YES}}, \Pi_{\text{NO}}) := (\text{DSPACE}(cn)/^n cn \text{ vs } \widetilde{\text{SIZE}}(2^{\alpha n}; 2^{-\rho \alpha n}))$  on inputs of length  $N = 2^n$ , for some sufficiently large  $N := m^{1/\gamma}$ . Here, a randomized branching program means a probability distribution on branching programs.

<sup>7</sup> Recall that we count the number of gates except for input gates.

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For simplicity, we first explain a construction of a branching program that may not be read-once. A randomized branching program  $D_0$  is defined as follows. Given an input  $f: \{0, 1\}^n \rightarrow \{0, 1\}$ , set  $\epsilon := 1/4m$ . Define  $\tilde{f} := \text{Amp}_{\epsilon, \delta}^f: \{0, 1\}^{O(\log N)} \rightarrow \{0, 1\}$ . Let  $\ell$  denote the input length of  $\tilde{f}$ , and let  $d = O(\ell) = O(n)$  denote the seed length of the Nisan–Wigderson generator instantiated with  $\ell$ -sized  $m$  subsets (Definition 50). Pick  $z \sim \{0, 1\}^d$  and output  $\neg D(\text{NW}^{\tilde{f}}(z))$ . This is a randomized branching program because for each fixed  $z$ , each bit of  $\text{NW}^{\tilde{f}}(z)$  is equal to  $\tilde{f}(z_S)$  for some subset  $S$ , and hence by Item 2 of Theorem 56 it is some linear combination of at most  $k$  bits of  $\text{tt}(f)$ , which can be computed by a read-once width-2 branching program of size  $O(k)$ . Thus  $\neg D(\text{NW}^{\tilde{f}}(z))$  can be implemented as a branching program of size  $O(m \cdot k)$ , by replacing each node of  $D$  by the read-once width-2 branching programs that compute some linear combinations of  $\text{tt}(f)$ .

We claim the correctness of the randomized branching program  $D_0$ .

▷ **Claim 63.**

1.  $D_0$  accepts every  $f \in \Pi_{\text{YES}}$  with probability 1.
2. For every  $f \in \Pi_{\text{NO}}$ , the probability that  $D_0$  rejects  $f$  is at least  $\frac{1}{4}$ .
3. The size of  $D_0$  is at most  $N^\beta$ .

Take any YES instance  $f \in \Pi_{\text{YES}}$ . We observe that the output  $\text{NW}^{\tilde{f}}(z)$  of the generator has small KS complexity. Indeed,

$$\text{KS}(\text{NW}^{\tilde{f}}(z)) \leq |z| + \text{KS}(\tilde{f}) + O(\log N) \leq \text{KS}(f) + O(\log N),$$

where we used Lemma 48 and Item 3 of Theorem 56. In particular, for a large enough constant  $c'$ , we have

$$\text{KS}(f) \leq c' \log N = s(m),$$

and thus  $D(\text{NW}^{\tilde{f}}(z)) = 0$  by Proposition 35 and the assumption that  $D$  avoids  $\text{HS}_m^s$ . This means that the algorithm  $D_0$  accepts for every choice of  $z$ .

Conversely, suppose that the algorithm  $D_0$  accepts some input  $f$  with probability at least  $\frac{3}{4}$ . We claim that  $f \notin \Pi_{\text{NO}}$ . The assumption means that  $\Pr_z[D(\text{NW}^{\tilde{f}}(z)) = 0] \geq \frac{3}{4}$ , which is equivalent to saying that  $\Pr_z[D(\text{NW}^{\tilde{f}}(z)) = 1] \leq \frac{1}{4}$ . On the other hand, since  $D$  avoids  $\text{HS}_m^s$ , we have  $\Pr_w[D(w) = 1] \geq \frac{1}{2}$ . In particular, we obtain

$$\Pr_w[D(w) = 1] - \Pr_z[D(\text{NW}^{\tilde{f}}(z)) = 1] \geq \frac{1}{4}.$$

By the security proof of the Nisan–Wigderson generator (Lemma 51), there exists a one-query oracle circuit  $C$  of size  $O(m \cdot 2^{\gamma\ell})$  such that

$$\Pr_{y \sim \{0,1\}^\ell} [C^D(y) = \tilde{f}(y)] \geq \frac{1}{2} + \frac{1}{4m}.$$

Now replacing the oracle gate of  $C$  with a circuit that simulates  $D$ , we obtain a circuit of size  $m^{O(1)} + m \cdot N^{O(\gamma)}$ . By the property of  $\text{Amp}_{\epsilon, \delta}$  (Item 1 of Theorem 56), we obtain another circuit  $C'$  of size  $m^{O(1)} \cdot N^{O(\gamma)} \cdot (1/\delta)^{O(1)}$  such that

$$\Pr_{x \sim \{0,1\}^n} [C'(x) = f(x)] \geq 1 - \delta.$$

Choosing  $\delta := N^{-\gamma}$ , we obtain that  $\widetilde{\text{size}}(f; N^{-\gamma}) \leq N^{O(\gamma)}$ , where  $\gamma > 0$  is an arbitrary small constant. This completes the proof of the second item of Claim 63, by choosing  $\gamma$  small enough so that  $O(\gamma) < \alpha$ .

Recall that the size of  $D_0$  is  $O(m \cdot k)$ . Here  $k$  is the parameter from Theorem 56 and  $k = O(\log(1/\epsilon)/\delta) = N^{O(\gamma)}$ . Thus the size of  $D_0$  is at most  $N^{O(\gamma)} \leq N^\beta$ , by choosing  $\gamma$  small enough so that  $O(\gamma) \leq \beta$ . This completes the proof of Claim 63.

Now we modify the construction of  $D_0$  in order to obtain a *read-once* branching program  $D_1$ . The branching program  $D_0(\text{NW}^{\tilde{f}}(z))$  may read some  $x$ -th bit of the input  $\text{tt}(f)$  twice only if there exists a pair of distinct indices  $(i, j)$  such that the  $i$ th bit of  $\text{NW}^{\tilde{f}}(z)$  and the  $j$ th bit of  $\text{NW}^{\tilde{f}}(z)$  are linear combinations of  $\text{tt}(f)$  that contain  $f(x)$ . We say that a coin flip  $z$  is *bad* if this happens. To ensure that a coin flip is bad with small probability, we take a pairwise independent generator  $G_2: \{0, 1\}^{O(\ell)} \rightarrow (\{0, 1\}^\ell)^m$ , and define a modified Nisan–Wigderson generator  $\text{NW}'^{\tilde{f}} := \tilde{f}^m \circ (\text{ND} \oplus G_2)$ , where  $\text{ND} \oplus G_2$  denotes the function such that  $\text{ND} \oplus G_2(u, v) := \text{ND}(u) \oplus G_2(v)$ . Using this modified construction, the read-once branching program  $D_1$  is defined as  $\neg D(\text{NW}'^{\tilde{f}}(z))$  if  $z$  is not bad, and otherwise defined as a trivial branching program that outputs 1 always. (Note here that since we deal with a non-uniform computation, one does not need to check the badness of  $z$  by using a branching program.) By the definition, it is obvious that  $D_1$  is read-once; hence it remains to claim that  $D_1$  satisfies the promise of  $(\Pi_{\text{YES}}, \Pi_{\text{NO}})$ .

As in the case of  $D_0$ , for  $f \in \Pi_{\text{YES}}$ , it can be seen that  $D(\text{NW}'^{\tilde{f}}(z)) = 0$  for every  $z$ , and hence  $D_1$  always accepts. (We note that the KS complexity increases by an additive term of the input length of  $G_2$ , which is  $O(\log N)$ .) Conversely, we claim that if  $D_1$  accepts with probability at least  $\frac{7}{8}$ , then  $f \notin \Pi_{\text{NO}}$ . Assume that  $\Pr_z[D_1 \text{ accepts}] \geq \frac{7}{8}$ . We claim that the probability that  $z$  is bad is small: Fix any distinct indices  $(i, j) \in [m]^2$ . Recall that by Item 2 of Theorem 56 for each fixed  $y \in \{0, 1\}^\ell$ , there exist inputs  $v_1^i, \dots, v_k^i$  of  $f$  such that  $\tilde{f}(y)$  can be written as a linear combination of  $f(v_1^i), \dots, f(v_k^i)$ , where  $k = O(\log(1/\epsilon)/\delta)$ . Fix any indices  $i', j' \in [k]$ . Then the probability that  $v_{i'}^i = v_{j'}^j$  is at most  $1/N$  because of the pairwise independence of  $G_2$  and each  $v_{i'}^i$  is uniformly distributed. By the union bound, the probability that  $z$  is bad is bounded above by  $(km)^2 \cdot 1/N \leq N^{O(\gamma)-1} \leq \frac{1}{8}$ , for a sufficiently small  $\gamma > 0$  and a large  $N \in \mathbb{N}$ . Thus we obtain

$$\Pr_z[D(\text{NW}'^{\tilde{f}}(z)) = 0] \geq \Pr[D_1 \text{ accepts}] - \Pr[z \text{ is bad}] \geq \frac{3}{4}.$$

The rest of a proof of the correctness is essentially the same with the case of  $D_0$ , observing that the security proof of the Nisan–Wigderson generator also works for the modified version  $\text{NW}'$ .

Finally, we convert the randomized read-once branching program  $D_1$  of size  $N^\beta$  into a co-nondeterministic read-once branching program of size  $N^{1+\beta}$ . This can be done by using the standard Adleman’s trick [1]: Specifically, the success probability of  $D_1$  can be amplified to  $1 - 2^{-N}$  by taking AND of  $O(N)$  independent copies of  $D_1$ . By the union bound, there exists a good coin flip sequence such that AND of  $O(N)$  copies of  $D_1$  solves  $(\Pi_{\text{YES}}, \Pi_{\text{NO}})$  on every input of length  $N$ . Hard-wiring such a coin flip sequence and simulating the AND gate by using a co-nondeterministic computation, we obtain a co-nondeterministic read-once branching program of size  $O(N^{1+\beta})$ . ◀

## 5 Non-trivial Derandomization and MKtP

In this section, we provide a characterization of non-trivial derandomization for uniform algorithms by a lower bound for MKtP. We start with a formal definition of non-trivial derandomization for uniform algorithms.

► **Definition 64** (Non-trivial derandomization). *An algorithm  $A$  is said to be a derandomization algorithm for  $\text{DTIME}(t(n))$  that runs in time  $s(n)$  if the following hold. The algorithm takes  $1^n$  and a description of a machine  $M$  and outputs an  $n$ -bit string  $A(1^n, M) \in \{0, 1\}^n$  in time  $s(n)$ . For any machine  $M$  running in time  $t(n)$  on inputs of length  $n \in \mathbb{N}$ , there exist infinitely many  $n \in \mathbb{N}$  such that, if  $\Pr_{x \sim \{0,1\}^n} [M(x) = 1] \geq \frac{1}{2}$ , then  $M(A(1^n, M)) = 1$ .*

In the following, for a function  $s: \mathbb{N} \rightarrow \mathbb{N}$ , we denote by  $R_{\text{Kt}}^s$  the set  $\{x \in \{0, 1\}^* \mid \text{Kt}(x) \geq s(|x|)\}$  of Kt-random strings with threshold  $s$ . We say that a set  $R \subseteq \{0, 1\}^*$  is dense if  $\Pr_{x \sim \{0,1\}^n} [x \in R] \geq \frac{1}{2}$  for all large  $n \in \mathbb{N}$ .

► **Proposition 65.** *The following are equivalent for any time-constructible functions  $t, s$  such that  $t(n), s(n) \geq n$ .*

1. *There exists a derandomization algorithm for  $\text{DTIME}(t(n))$  that runs in time  $2^{s(n)+O(\log t(n))}$ .*
2. *Any dense subset of  $R_{\text{Kt}}^{s(n)+O(\log t(n))}$  cannot be accepted by any  $t(n)$ -time algorithm.*

**Proof.** (Item 1  $\implies$  Item 2) Let  $A$  be a derandomization algorithm for  $\text{DTIME}(t(n))$ . Since  $A$  runs in time  $2^{s(n)+O(\log t(n))}$  on input  $(1^n, M)$ , the Kt-complexity of  $A(1^n, M)$  is at most  $s(n) + O(\log t(n)) + |M|$ .

Let  $M$  be any  $t(n)$ -time algorithm such that  $\Pr_{x \sim \{0,1\}^n} [M(x) = 1] \geq \frac{1}{2}$  for all large  $n \in \mathbb{N}$ . By the property of  $A$ , we have  $M(A(1^n, M)) = 1$  for infinitely many  $n$ . This means that  $M$  accepts the string  $A(1^n, M)$  that has Kt-complexity at most  $s(n) + O(\log t(n))$ ; therefore,  $M$  does not accept a dense subset of Kt-random strings.

(Item 2  $\implies$  Item 1) Let  $A$  be the algorithm that takes  $(1^n, M)$ , enumerates all the strings  $x$  whose Kt-complexity is at most  $s(n) + O(\log t(n))$ , and, for each string  $x$  with small Kt-complexity, simulates  $M$  on input  $x$ ; if  $M$  accepts  $x$  in time  $t(n)$ , output  $x$  and halt. The running time of  $A$  is clearly at most  $2^{s(n)+O(\log t(n))}$ . To prove the correctness, assume towards a contradiction that some algorithm  $M$  runs in time  $t(n)$  and for all large  $n$ ,  $\Pr_{x \sim \{0,1\}^n} [M(x) = 1] \geq \frac{1}{2}$  and  $M(A(1^n, M)) = 0$ . The latter condition means that  $A$  cannot find a string  $x$  that is accepted by  $M$ ; thus,  $M$  rejects all the strings  $x$  whose Kt-complexity is at most  $s(n) + O(\log t(n))$ . Therefore,  $M$  accepts a dense subset of Kt-random strings, which is a contradiction. ◀

► **Theorem 66.** *Let  $t, s: \mathbb{N} \rightarrow \mathbb{N}$  be time-constructible functions such that  $t$  is non-decreasing and  $\omega(\log^2 n) \leq s(n) \leq n$  and  $\text{poly}(n) \leq t(n)$  for all large  $n$ , where  $\text{poly}$  is some universal polynomial.*

*For any constant  $c > 0$ , there exists a constant  $c'$  such that, if there is a  $t(n)$ -time algorithm that accepts a dense subset of  $R_{\text{Kt}}^{n-c\sqrt{n}\log n}$ , then the following promise problem can be solved in  $\text{DTIME}(O(t(2s(n)) \cdot 2^{\sqrt{s(n)\log n}})) \cap \text{coRTIME}(O(t(2s(n))))$ .*

**Yes:** *strings  $x$  such that  $\text{Kt}(x) \leq s$ , where  $s := s(|x|)$ .*

**No:** *strings  $x$  such that  $\text{Kt}^{t'}(x) > s + c'\sqrt{s}\log n$ , where  $s := s(|x|)$  and  $t' = t(2s) \cdot \text{poly}(|x|)$ .*

► **Lemma 67** (cf. [31]). *For any  $d, m \leq n, \epsilon > 0$ , there exists a black-box pseudorandom generator construction  $G^x: \{0, 1\}^d \rightarrow \{0, 1\}^m$  that takes a string  $x \in \{0, 1\}^n$  and satisfies the following.*

1. *For any  $\epsilon$ -distinguisher  $T: \{0, 1\}^m \rightarrow \{0, 1\}$  for  $G^x$ , it holds that*

$$\text{Kt}^{t,T}(x) \leq \exp(\ell^2/d) \cdot m + d + O(\log(n/\epsilon)),$$

*where  $\ell = O(\log n)$  and  $t = \text{poly}(n, 1/\epsilon)$ .*

2.  *$G^x(z)$  is computable in time  $\text{poly}(n, 1/\epsilon)$ .*

**Proof Sketch.** The pseudorandom generator construction  $G^x$  is defined as  $G^x(z) := \text{NW}^{\text{Enc}(x)}(z)$  for any  $z \in \{0, 1\}^d$ , where NW is the Nisan–Wigderson generator [53] that is instantiated with the weak design of [58] and the function whose truth table is  $\text{Enc}(x)$  as a candidate hard function. ◀

**Proof of Theorem 66.** Fix  $n \in \mathbb{N}$  and an input  $x \in \{0, 1\}^n$ . For simplicity, let  $s := s(n)$ . Define  $d := \sqrt{s} \log n$ . Since  $s(n) = \omega(\log^2 n)$ , we have  $4cd \leq s$  for a sufficiently large  $n$ . Set  $m := s + 4cd \leq 2s$ . Let  $D$  be the  $t(n)$ -time algorithm that accepts a dense subset of  $R_{\text{Kt}}^{n-c\sqrt{n} \log n}$ .

We claim that there exists a coRP-type algorithm  $A$  that uses  $d$  random bits and solves the promise problem. The algorithm  $A$  operates as follows. Pick a random seed  $z \in \{0, 1\}^d$ , and accept if and only if  $D(G^x(z)) = 0$ , where the output length of  $G^x$  is set to be  $m$ . This runs in time  $t(m) + \text{poly}(n) \leq O(t(2s))$  and can be derandomized in time  $O(t(2s)) \cdot 2^d$  by exhaustively trying all the random bits.

It remains to prove the correctness of the algorithm  $A$ . Assume that  $\text{Kt}(x) \leq s$ . Then, since  $G^x(z)$  is computable in polynomial time, for any  $z \in \{0, 1\}^d$ , we have

$$\text{Kt}(G^x(z)) \leq d + s + O(\log n) \leq s + 2d \leq s + 4cd - 2cd < m - c\sqrt{m} \log n,$$

where, in the last inequality, we used the fact that  $\sqrt{m} \log n \leq \sqrt{2s} \log n < 2d$ . Therefore,  $G^x(z) \notin R_{\text{Kt}}^{m-c\sqrt{m} \log m}$ , which is rejected by  $D$ ; hence,  $A$  accepts.

Conversely, assume that the probability that  $A$  accepts is at least  $\frac{3}{4}$ . This means that

$$\Pr_{z \sim \{0,1\}^d} [D(G^x(z)) = 0] \geq \frac{3}{4}.$$

Since we have

$$\Pr_{w \sim \{0,1\}^m} [D(w) = 0] \leq \frac{1}{2},$$

$D$  is a distinguisher for  $G^x$ . By the security of Lemma 67, we obtain that, for  $t' := \text{poly}(n) \cdot t(m)$ ,

$$\begin{aligned} \text{K}^{t'}(x) &\leq \exp(\ell^2/d) \cdot m + O(\log n) \\ &\leq (1 + 2\ell^2/d) \cdot (s + 4cd) + O(\log n) \\ &\leq s + O(\sqrt{s} \log n), \end{aligned}$$

which can be bounded above by  $s + c'\sqrt{s} \log n$  by choosing a large enough constant  $c'$ . Thus,  $x$  is not a NO instance of the promise problem. Taking the contrapositive, we conclude that any NO instance  $x$  is rejected by  $A$  with probability at least  $\frac{1}{4}$ . ◀

In the special case when  $t(n) = n^{O(1)}$ , Theorem 66 implies that the Kt vs  $\text{K}^t$  problem can be solved in coRP.

**Proof Sketch of Theorem 15.** We claim that the Kt vs  $\text{K}^t$  problem can be solved in coRP under the assumption that  $\text{MKtP} \in \text{P}$ . We use the same proof of Theorem 66 for  $t(n) = n^{O(1)}$  when the parameter  $s = \omega(\log^2 n)$ ; when  $s = O(\log^2 n)$ , we set  $d := \ell^2$  and  $m := O(d)$  as in [31]. ◀

► **Restatement of Theorem 14.** For any constant  $0 < \epsilon < 1$ , the following are equivalent.

1. For some  $c_1$ , for any large  $c_2$ , there exists no derandomization algorithm for  $\text{DTIME}(2^{c_1\sqrt{n}\log n})$  that runs in time  $2^{n-c_2\sqrt{n}\log n}$ .
2. For some  $c_1$ , for any large  $c_2$ , there exists an algorithm running in time  $2^{c_1\sqrt{n}\log n}$  that accepts a dense subset of  $R_{\text{Kt}}^{n-c_2\sqrt{n}\log n}$ .
3. For some  $c_1$ , for any large  $c_2$ ,  $\text{MKtP}[n - c_2\sqrt{n}\log n, n - 1] \in \text{DTIME}(2^{c_1\sqrt{n}\log n})$ .
4. For some  $c_1$ , for any large  $c_2$ ,  $\text{MKtP}[n^\epsilon, n^\epsilon + c_2\sqrt{n^\epsilon}\log n] \in \text{DTIME}(2^{c_1\sqrt{n^\epsilon}\log n})$ .

**Proof.** (Item 1  $\iff$  Item 2) This equivalence follows from Proposition 65.

(Item 2  $\implies$  Item 4) We apply Theorem 66 for  $t(n) := 2^{c_1\sqrt{n}\log n}$  and  $s(n) := n^\epsilon$ . Then we obtain a  $\text{DTIME}(O(t(2s)) \cdot 2^{\sqrt{s}\log n})$ -algorithm  $A$  that distinguishes YES instances  $x$  such that  $\text{Kt}(x) \leq s$  from NO instances  $x$  such that  $\text{K}^{t'}(x) > s + c'_2\sqrt{s}\log n$ , where  $t' = t(2s) \cdot \text{poly}(n)$  and  $c'_2$  is some constant. We choose a constant  $c'_1$  large enough so that  $O(t(2s)) \cdot 2^{\sqrt{s}\log n} \leq 2^{c'_1\sqrt{s}\log n}$ , which bounds from above the running time of the algorithm  $A$ .

We claim that  $\text{MKtP}[s, s + c''_2\sqrt{s}\log n]$  is also solved by  $A$  for any sufficiently large  $c''_2$ . Take any string  $x$  such that  $\text{Kt}(x) \geq s + c''_2\sqrt{s}\log n$ . Since  $\text{Kt}(x) \leq \text{K}^{t'}(x) + O(\log t') \leq \text{K}^{t'}(x) + O(c_1\sqrt{s}\log n)$ , it follows that  $\text{K}^{t'}(x) \geq s + c''_2\sqrt{s}\log n - O(c_1\sqrt{s}\log n) > s + c'_2\sqrt{s}\log n$ , where the last inequality holds for any sufficiently large  $c''_2$ ; thus,  $x$  is rejected by  $A$ .

(Item 4  $\implies$  Item 3) By the assumption, there exists a constant  $c_1$  such that, for all large  $c_2$ , there exists an algorithm  $A$  that solves  $\text{MKtP}[n^\epsilon, n^\epsilon + c_2\sqrt{n^\epsilon}\log n]$  in time  $2^{c_1\sqrt{n^\epsilon}\log n}$ . Define  $c'_1 := c_1/\epsilon$ . For all large  $c'_2$ , we construct an algorithm  $B$  that solves  $\text{MKtP}[n - c'_2\sqrt{n}\log n, n - 1]$  in time  $2^{c'_1\sqrt{n}\log n}$ . The algorithm  $B$  takes an input  $x$  of length  $n$  and simulates  $A$  on input  $x10^{m-n-1}$  for  $m := (n - 2c_2\sqrt{n}\log n)^{1/\epsilon}$ . The running time of  $B$  is at most  $2^{c_1\sqrt{m}\log m} \leq 2^{c_1/\epsilon \cdot \sqrt{m}\log n} = 2^{c'_1\sqrt{m}\log n}$ .

It remains to prove the correctness of  $B$ . Take any  $x \in \{0, 1\}^n$  such that  $\text{Kt}(x) \leq n - c'_2\sqrt{n}\log n$ . Then we have  $\text{Kt}(x10^{m-n-1}) \leq n - c'_2\sqrt{n}\log n + O(\log n) \leq m^\epsilon$  for any large  $c_2$ ; thus  $B$  accepts  $x$ . Conversely, take any  $x$  such that  $\text{Kt}(x) \geq n - 1$ . Then we have  $\text{Kt}(x10^{m-n-1}) \geq n - O(\log n) = m^\epsilon + 2c_2\sqrt{n}\log n - O(\log n) \geq m^\epsilon + c_2\sqrt{m^\epsilon}\log n$ ; thus,  $B$  rejects.

(Item 3  $\implies$  Item 2) This immediately follows from the fact that the complement of  $\text{MKtP}[n - c_2\sqrt{n}\log n, n - 1]$  is a dense subset of  $R_{\text{Kt}}^{n-c_2\sqrt{n}\log n+1}$ . ◀

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## A Derandomized Hardness Amplification Theorem

We review a simplified proof of derandomized hardness amplification given by Healy, Vadhan and Viola [30], and observe that the parameter shown in Theorem 56 can be achieved by slightly modifying the construction.

► **Theorem 56** (Derandomized hardness amplification). *Let  $\gamma > 0$  be an arbitrary constant. There exists a hardness amplification procedure  $\text{Amp}$  that takes a function  $f: \{0, 1\}^n \rightarrow \{0, 1\}$  and parameters  $\delta, \epsilon > 0$ , and returns a Boolean function  $\text{Amp}_{\epsilon, \delta}^f: \{0, 1\}^{O(n + \log(1/\epsilon))} \rightarrow \{0, 1\}$  satisfying the following:*

1. *If  $\widetilde{\text{size}}(\text{Amp}_{\epsilon, \delta}^f; 1/2 - \epsilon) \leq s$ , then  $\widetilde{\text{size}}(f; \delta) \leq s \cdot \text{poly}(1/\epsilon, 1/\delta)$ .*
2. *For any fixed  $y \in \{0, 1\}^{O(n + \log(1/\epsilon))}$ , there exist strings  $v_1, \dots, v_k \in \{0, 1\}^n$  for some  $k = O(\log(1/\epsilon)/\delta)$  such that  $\text{Amp}_{\epsilon, \delta}^f(y) = f(v_1) \oplus \dots \oplus f(v_k)$ . Moreover, if  $y$  is distributed uniformly at random, for each  $i \in [k]$ ,  $v_i$  is distributed uniformly at random.*
3.  *$\text{Amp}_{\epsilon, \delta}^f(y)$  can be computed in  $O(n + \log(1/\delta) + \log(1/\epsilon))$  space, given  $f, y, \epsilon$  and  $\delta$  as an input.*

First, we explain the construction of our hardness amplification procedure. The construction is a XOR of the nearly disjoint generator ND and a hitter Hit (cf. [24]). In fact, we need a slightly generalized version of a hitter, for which we show that the same construction of [24] suffices. We note that in the previous works [40, 30], an expander walk was used instead of Hit; this does not give us a nearly optimal construction of a hitter when  $\delta$  is not a constant.

► **Lemma 68.** *There exists a “hitter” Hit such that, given parameters  $n \in \mathbb{N}, \epsilon, \delta > 0$ , a function  $\text{Hit}_{n, \epsilon, \delta}: \{0, 1\}^{O(n + \log(1/\epsilon))} \rightarrow (\{0, 1\}^n)^{k_{n, \epsilon, \delta}}$  takes a seed of length  $O(n + \log(1/\epsilon))$  and outputs a list  $L$  of  $k_{n, \epsilon, \delta} = O(\log(1/\epsilon)/\delta)$  strings of length  $n$  such that, for any subsets  $H_1, \dots, H_{k_{n, \epsilon, \delta}} \subseteq \{0, 1\}^n$  of size  $\geq \delta 2^n$ , with probability at least  $1 - \epsilon$ , there exists an index  $i \in [k_{n, \epsilon, \delta}]$  such that the  $i$ th string in the list  $L$  is in  $H_i$ . Moreover,  $\text{KS}(L) \leq O(n + \log(1/\epsilon))$ .*

**Proof Sketch.** We observe that the construction of [24, Appendix C] satisfies the requirement of Lemma 68. The construction is as follows: First, we take a pairwise independent generator  $G_2: \{0, 1\}^{O(n)} \rightarrow (\{0, 1\}^n)^{O(1/\delta)}$ . By Chebyshev’s inequality, with probability at least  $\frac{1}{2}$  over the choice of a seed  $r \in \{0, 1\}^{O(n)}$ ,  $G_2$  hits some  $H_i, \dots, H_{i+O(1/\delta)}$ , where  $i$  is an arbitrary index. Now we take an explicit construction of a constant-degree expander on  $2^{O(n)}$  vertices, and generate a random walk  $v_1, \dots, v_\ell$  of length  $\ell = O(\log(1/\epsilon))$  over  $\{0, 1\}^{O(n)}$ , which takes random bits of length  $O(n + \log(1/\epsilon))$ . The output of Hit is defined as the concatenation of  $G_2(v_1), \dots, G_2(v_\ell)$ . The correctness follows by using the Expander Random Walk Theorem [24, Theorem A.4]. ◀

► **Definition 69.** *Let  $f$  be a function  $f: \{0, 1\}^n \rightarrow \{0, 1\}$ , and  $\epsilon, \delta > 0$  be arbitrary parameters. Let  $k := k_{n, \epsilon, \delta}$ . Let  $\text{ND}: \{0, 1\}^{O(n)} \rightarrow (\{0, 1\}^n)^k$  be the nearly disjoint generator (Definition 49) defined with the design of Lemma 48. We define a generator*

$$\text{IW}_{n, \epsilon, \delta}: \{0, 1\}^{O(n + \log(1/\epsilon))} \rightarrow (\{0, 1\}^n)^k$$

as

$$\text{IW}_{n, \epsilon, \delta}(x, y) := \text{ND}(x) \oplus \text{Hit}(y).$$

Then we define a hardness amplified version

$$\text{Amp}_{\epsilon, \delta}^f: \{0, 1\}^{O(n + \log(1/\epsilon))} \rightarrow \{0, 1\}$$

of  $f$  as the function  $\text{Amp}_{\epsilon, \delta}^f := f^{\oplus k} \circ \text{IW}_{n, \epsilon, \delta}$ .

We proceed to a proof of Theorem 56. Recall several notions from [30]. For a subset  $H \subseteq \{0, 1\}^n$  (which is supposed to be a hard-core set) and a function  $f: \{0, 1\}^n \rightarrow \{0, 1\}$ , we consider a *probabilistic function*  $f_H: \{0, 1\}^n \rightarrow \{0, 1\}$ , i.e., a distribution over a Boolean function, defined as follows: For each  $x \in H$ , the value  $f_H(x)$  is defined as a random bit chosen uniformly at random and independently; For each  $x \in \{0, 1\}^n \setminus H$ , the value  $f_H(x)$  is defined as  $f(x)$ . Assuming that  $H$  is indeed a hard-core set for  $f$ , the distributions  $(x, f(x))$  and  $(x, f_H(x))$  where  $x \sim \{0, 1\}^n$  are computationally indistinguishable. Indeed:

► **Proposition 70** (cf. [65]). *Let  $H \subseteq \{0, 1\}^n$  be an arbitrary subset,  $f: \{0, 1\}^n \rightarrow \{0, 1\}$  be an arbitrary function, and  $\epsilon > 0$  be an arbitrary parameter. If*

$$\Pr_{x \sim \{0, 1\}^n} [A(x, f(x)) = 1] - \Pr_{x \sim \{0, 1\}^n} [A(x, f_H(x)) = 1] \geq \epsilon,$$

for some function  $A: \{0, 1\}^{n+1} \rightarrow \{0, 1\}$ , then there exists a one-query oracle circuit of size  $O(1)$  such that

$$\Pr_{x \sim H} [C^A(x) = f(x)] \geq \frac{1}{2} + \frac{\epsilon}{\delta}.$$

For a probabilistic function  $h: \{0, 1\}^n \rightarrow \{0, 1\}$ , the expected bias of  $h$  is defined as

$$\text{ExpBias}[h] := \mathbb{E}_{x \sim \{0, 1\}^n} [\text{Bias}(h(x))],$$

where  $\text{Bias}(h(x))$  is defined as  $|\Pr_h[h(x) = 0] - \Pr_h[h(x) = 1]|$ . It was shown in [30] that the hardness of  $f^{\oplus k} \circ G(z)$  is essentially characterized by the expected bias of  $\text{ExpBias}[f_H^{\oplus k} \circ \text{IW}]$ , using a property of the nearly disjoint generator ND.

► **Lemma 71** ([30, Lemma 5.2 and Lemma 5.12]). *Let  $g$  be an arbitrary probabilistic function, and  $\epsilon > 0$  be arbitrary parameters. Suppose that there exists a function  $A$  such that*

$$\Pr_z [A(z) = f^{\oplus k} \circ \text{IW}(z)] \geq \frac{1}{2} + \frac{1}{2} \text{ExpBias}[g^{\oplus k} \circ \text{IW}] + \frac{\epsilon}{2}$$

Then there exists a one-query oracle circuit  $C$  of size  $O(k \cdot 2^{\gamma n})$  such that

$$\mathbb{E}_x [C^A(x, f(x)) - C^A(x, g(x))] \geq \frac{\epsilon}{2k},$$

where  $\gamma > 0$  is an arbitrary constant of Lemma 48.

We will apply Lemma 71 for  $g := f_H$ . By using a property of the hitter, we show that the expected bias of  $f_H$  is small whenever a hard-core set  $H$  is large enough.

► **Lemma 72.** *Let  $\epsilon, \delta > 0$  be arbitrary parameters, and  $H \subseteq \{0, 1\}^n$  be a subset of size at least  $\delta 2^n$ . Let  $k := k_{n, \epsilon, \delta}$ . Then  $\text{ExpBias}[f_H^{\oplus k} \circ \text{IW}_{n, \epsilon, \delta}] \leq \epsilon$*

**Proof.** The idea is that when a hitter hits a hard-core set  $H$ , the expected bias becomes 0. More specifically, recall that  $\text{IW}(x, y)$  is defined as  $\text{ND}(x) \oplus \text{Hit}_{n, \epsilon, \delta}(y)$ . Fix any  $x$ , and define  $H_i$  as a shifted version of  $H$ : namely,  $H_i := \text{ND}(x)_i \oplus H := \{\text{ND}(x)_i \oplus h \mid h \in H\}$  for every  $i \in [k]$ . We apply Lemma 68 for  $H_1, \dots, H_k$ . Since  $|H_i| = |H| \geq \delta 2^n$  for every  $i \in [k]$ , by the property of the hitter, there exists some index  $i \in [k]$  such that  $\text{Hit}_{n, \epsilon, \delta}(y)_i \in H_i = \text{ND}(x)_i \oplus H$  with probability at least  $1 - \epsilon$  over the choice of  $y$ . In this case,  $\text{IW}_{n, \epsilon, \delta}(x, y)_i = \text{ND}(x)_i \oplus \text{Hit}_{n, \epsilon, \delta}(y)_i \in H$ , and hence the bias of  $f_H(\text{IW}_{n, \epsilon, \delta}(x, y))$  is exactly 0. Therefore,

$$\begin{aligned} & \text{ExpBias}[f_H^{\oplus k} \circ \text{IW}_{n, \epsilon, \delta}] \\ &= \Pr_{x, y} [\text{Bias}(f_H^{\oplus k} \circ \text{IW}_{n, \epsilon, \delta}(x, y))] \\ &\leq \Pr_{x, y} [\text{IW}_{n, \epsilon, \delta}(x, y)_i \notin H \text{ for every } i \in [k]] \leq \epsilon \end{aligned} \quad \blacktriangleleft$$

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Now we combine Proposition 70 and Lemmas 71 and 72 and obtain the following:

► **Corollary 73.** *Let  $n \in \mathbb{N}$  and  $\epsilon, \delta > 0$ . Let  $H \subseteq \{0, 1\}^n$  be an arbitrary subset of size at least  $\delta 2^n$ . If some function  $A$  satisfies*

$$\Pr_z[A(z) = f^{\oplus k} \circ \text{IW}_{n, \epsilon, \delta}(z)] \geq \frac{1}{2} + \epsilon$$

*then there exists a one-query oracle circuit  $C$  of size  $O(k \cdot 2^{\gamma n})$  such that,*

$$\Pr_{x \sim H}[C^A(x) = f(x)] \geq \frac{1}{2} + \frac{\epsilon}{2\delta k}.$$

At this point, we make use of Impagliazzo's hard-core lemma [37]. Equivalently, one can view it as a boosting algorithm (cf. [43]).

► **Lemma 74** (cf. [37, 43]). *Under the condition of Corollary 73, there exists an oracle circuit  $C$  of size  $O(k \cdot 2^{\gamma n}) \cdot \text{poly}(k/\epsilon)$  such that*

$$\Pr_{x \sim \{0, 1\}^n}[C^A(x) = f(x)] \geq 1 - \delta.$$

Now we take any circuit  $A$  of size  $s$  such that

$$\Pr_z[A(z) = \text{Amp}_{\epsilon, \delta}^f(z)] \geq \frac{1}{2} + \epsilon.$$

By using Corollary 73 and Lemma 74 and replacing each oracle gate by a circuit  $A$ , we obtain a circuit  $C$  of size  $O(k \cdot 2^{\gamma n}) \cdot \text{poly}(k/\epsilon) \cdot s$  such that

$$\Pr_{x \sim \{0, 1\}^n}[C(x) = f(x)] \geq 1 - \delta.$$

This completes the proof of Theorem 56.

## **B** $\text{AC}^0$ Lower Bounds for MKtP

In this section, we prove that there exists no constant-depth fixed-polynomial-size circuit that computes  $\text{MKtP}[O(\log N), N^{o(1)}]$  (Proposition 12). Previously, [14] used the pseudorandom restriction of Trevisan and Xue [67] to obtain  $\text{AC}^0$  lower bounds for  $\text{MKtP}[\text{polylog } N]$ . Here we make use of a polynomial-time-computable pseudorandom restriction that shrinks  $\text{AC}^0$  circuits, which enables us to prove a lower bound for  $\text{MKtP}[O(\log N)]$ . The following lemma is proved in the context of quantified derandomization.

► **Lemma 75** (Goldreich and Wigderson [27]). *For any constants  $\alpha < 1$  and  $d \in \mathbb{N}$  and any polynomials  $p, q$ , there exists a constant  $k$  and a polynomial-time algorithm of  $O(\log n)$  randomness complexity that produces restrictions on  $n$  variables such that the following conditions hold:*

1. *The number of undetermined variables in each restriction is at least  $2n^\alpha$ .*
2. *For any  $n$ -input circuit of depth  $d$  and size at most  $p(n)$ , with probability at least  $1 - 1/q(n)$ , the corresponding restricted circuit depends on at most  $k$  variables.*

**Proof of Proposition 12.** Fix any polynomial  $p$  and a depth  $d$ . We claim that, for some constant  $c > 0$ , there exists no depth- $d$  circuit of size  $p(n)$  that computes  $\text{MKtP}[c \log n, n^\alpha]$  for all large  $n$ . Assume, towards a contradiction, that there exists a depth- $d$  circuit  $C$  of size  $p(n)$  that computes  $\text{MKtP}[c \log n, n^\alpha]$ . We use Lemma 75 for  $q \equiv 2$ . Then, there exists a

polynomial-time algorithm that produces a pseudorandom restriction  $\rho$  that shrinks  $C$  to a circuit of size  $k = O(1)$  with probability at least  $\frac{1}{2}$ . Fix one pseudorandom restriction  $\rho$  such that the restricted circuit  $C|_{\rho}$  is a constant-size circuit.

We claim that  $C$  does not compute MKtP[ $c \log n, n^{\alpha}$ ]. Let  $\sigma$  be the restriction that fixes  $k$  variables on which  $C|_{\rho}$  depend to 0. Consider  $0^n \circ \rho = 0^n \circ \sigma \circ \rho$ , i.e., the string obtained by fixing undetermined variables in  $\rho$  to 0. Since  $\rho$  is generated by a polynomial-time algorithm, there exists a constant  $c$  such that  $\text{Kt}(0^n \circ \rho) \leq c \log n$ . Thus,  $0^n \circ \sigma \circ \rho$  is a YES instance of MKtP[ $c \log n, n^{\alpha}$ ]. Since  $C|_{\sigma \circ \rho}$  is a constant circuit, we have  $1 = C|_{\sigma \circ \rho}(0^n) = C|_{\sigma \circ \rho}(x)$  for any  $x \in \{0, 1\}^n$ . However, for a random  $x \in \{0, 1\}^n$ , by a simple counting argument, it holds that  $\text{Kt}(x \circ \sigma \circ \rho) \geq 2n^{\alpha} - k - 1 > n^{\alpha}$  with high probability. Therefore,  $x \circ \sigma \circ \rho$  is a NO instance of MKtP[ $c \log n, n^{\alpha}$ ] for some  $x$ , which is a contradiction. ◀