

Journal Pre-proof

Paleoenvironmental Reconstruction Using Stable Isotopes And Trace Elements From Archaeological Freshwater Bivalve Shell Fragments In Northwest Patagonia, Argentina

Alberto E. Pérez, Daniel A. Batres, Iara Rocchetta, María R. Eppis, María L. Bianchi, Carlos M. Luquet

PII: S1040-6182(20)30077-X

DOI: <https://doi.org/10.1016/j.quaint.2020.02.029>

Reference: JQI 8161

To appear in: *Quaternary International*

Received Date: 22 March 2019

Revised Date: 10 January 2020

Accepted Date: 20 February 2020

Please cite this article as: Pérez, A.E., Batres, D.A., Rocchetta, I., Eppis, M.R., Bianchi, M.L., Luquet, C.M., Paleoenvironmental Reconstruction Using Stable Isotopes And Trace Elements From Archaeological Freshwater Bivalve Shell Fragments In Northwest Patagonia, Argentina, *Quaternary International*, <https://doi.org/10.1016/j.quaint.2020.02.029>.

This is a PDF file of an article that has undergone enhancements after acceptance, such as the addition of a cover page and metadata, and formatting for readability, but it is not yet the definitive version of record. This version will undergo additional copyediting, typesetting and review before it is published in its final form, but we are providing this version to give early visibility of the article. Please note that, during the production process, errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

© 2020 Published by Elsevier Ltd.



1 **PALEOENVIRONMENTAL RECONSTRUCTION USING STABLE**
2 **ISOTOPES AND TRACE ELEMENTS FROM ARCHAEOLOGICAL**
3 **FRESHWATER BIVALVE SHELL FRAGMENTS IN NORTHWEST**
4 **PATAGONIA, ARGENTINA**

5

6 Alberto E. Pérez^{1*}, Daniel A. Batres^{1,4}, Iara Rocchetta², María R. Eppis³, María L.
7 Bianchi³, Carlos M. Luquet^{2*}

8 ¹*Departamento de Antropología, Universidad Católica de Temuco, Manuel*

9 *Montt #56, Temuco, Casilla 15D. Región de La Araucanía, Chile. Email:*

10 *aperez@uct.cl*

11 ² *LEA-INIBIOMA (CONICET-COMAHUE). CEAN Ruta provincial 61 km 3*
12 *(8371) Junín de los Andes, Provincia del Neuquén. Argentina. Email:*

13 *luquetc@comahue-conicet.gob.ar, irocchetta@gmail.com.*

14 ³ *Unidad de Actividad Combustibles Nucleares. Centro Atómico Constituyentes.*

15 *Comisión Nacional de Energía Atómica. Av. Gral. Paz 1499 (1650) San Martín-*

16 *Provincia de Buenos Aires. Argentina. Email: eppis@cnea.gov.ar,*

17 *lbianchi@cnea.gov.ar.*

18

19

20

21

22

23 ** Corresponding Authors:*

24 *Alberto E. Pérez. ¹Departamento de Antropología, Universidad Católica de*

25 *Temuco, Manuel Montt #56, Temuco, Casilla 15D. Región de La Araucanía, Chile.*

26 *Email: aperez@uct.cl*

27

28 *Carlos M. Luquet. INIBIOMA (UNCo - CONICET). LEA - CEAN Ruta provincial*

29 *61 km 3 (8371) Junín de los Andes, Provincia del Neuquén. Argentina. Email:*

30 *luquetc@comahue-conicet.gob.ar*

31 **ABSTRACT**

32 Stable oxygen and carbon isotopes (^{18}O and ^{13}C) and the trace elements,
33 manganese (Mn) and strontium (Sr) were analyzed in archaeological bivalve
34 (*Diplodon chilensis*) shell fragments recovered from Parque Diana Cave, Patagonia,
35 Argentina, to analyze the temporal trends of human occupation of the North
36 Patagonia forest with climatic conditions recorded at regional scale but poorly known
37 at local scale for this site. We established a stratigraphic sequence of thirteen 10 cm
38 thick layers spanning the period c. 2370 BP to c. 580 BP and grouped these layers
39 into three cultural components

40 According to this region's climate, with rain and snow concentrated in winter and
41 dry summers, our data suggest that these components are contemporary with three
42 climatic periods. A dry period indicated by high values of $\delta^{18}\text{O}_{\text{shell}}$, $\delta^{13}\text{C}_{\text{shell}}$ and
43 Sr/Ca ratio (Mn/Ca ratio does not show any significant trend), in the Lower
44 component is associated with brief incursions of hunter-gatherers from the eastern
45 steppe. In the Middle component, low $\delta^{18}\text{O}_{\text{shell}}$, $\delta^{13}\text{C}_{\text{shell}}$ and Sr/Ca ratios, suggest
46 a humid climate with increased supply of meteoric and/or melt-water along with
47 terrestrial plants organic matter and soil carbonates to the river. According to the
48 archaeological evidence, in this period, hunter gatherers from the Pacific coast and
49 forest started to colonize the area across the Andes cordillera. At the beginning of the
50 Upper component, which is mostly contemporary with the expression of the
51 Medieval Climatic Anomaly (MCA), the proxies are not totally coincident but a
52 tendency to progressively drier conditions could be inferred. Except for layer 4 for
53 $\delta^{18}\text{O}_{\text{shell}}$ and layer 5 for Sr/Ca ratio, $\delta^{18}\text{O}_{\text{shell}}$, $\delta^{13}\text{C}_{\text{shell}}$ and Sr/Ca ratio show
54 consistently high levels in the Upper than in the Middle component. In layer 5,
55 $\delta^{18}\text{O}_{\text{shell}}$ and $\delta^{13}\text{C}_{\text{shell}}$ are elevated with respect to the Middle component while
56 Sr/Ca ratio remains low. In layer 4, $\delta^{13}\text{C}_{\text{shell}}$ and Sr/Ca ratio are high while
57 $\delta^{18}\text{O}_{\text{shell}}$ shows a negative peak. In layers 3 to 1, $\delta^{18}\text{O}_{\text{shell}}$, $\delta^{13}\text{C}_{\text{shell}}$ and Sr/Ca ratio
58 remain at high levels, indicating an arid-warm climate with high aquatic plant
59 productivity, in coincidence with the expression of the MCA reported for this region
60 in a partially contemporaneous period (1080 - 1250 AD). At this time, the occupation
61 of the site by groups of the same origin as those present in the Middle component
62 became permanent. Extensive and intensive use of local resources in this period can
63 be inferred from the quality and quantity of artifacts recovered.

64 **Keywords:** Palaeoenvironment, Freshwater bivalves, Archaeology, Stable
65 isotopes, Trace elements, Patagonia.

Journal Pre-proof

66

67 **1 INTRODUCTION**

68 This paper reports a study of palaeoenvironmental changes recorded at the
69 archaeological site of Parque Diana Cave (PDC) Patagonia, Argentina. Our
70 methodology is based on the analysis of isotopic ratios ($\delta^{13}\text{C}$ and $\delta^{18}\text{O}$) and trace
71 elements, manganese (Mn) and strontium (Sr) in shell fragments from the freshwater
72 mussel *Diplodon chilensis* (Gray 1928). The sequence of valves analyzed
73 corresponds to archaeological ecofacts accumulated through alimentary and
74 technological activities (Pérez and Batres 2008, 2010).

75 Two worldwide climatic events have been extensively described for a period
76 which is partially coincident with the period represented in the PDC sequence, the
77 “Warm Medieval Period” (*sensu* Lamb 1965), 800 - 1400 AD and the “Little Ice Age
78 (1400 -1700 AD, Soon and Baliunas 2003). Several authors have proposed that these
79 climate events have influenced cultural changes with material correlates in the
80 archaeological record (see Arnold 1992; Jones et al. 1999; Larson and Michaelsen
81 1990; Larson et al. 1994; Larson *et al.* 1996). The former event, which has also been
82 reported as “Medieval Climate Anomaly” (MCA) (Stine 1994), has been
83 characterized as a period of increased global average temperature with intermittent
84 and sometimes extreme droughts. The warm climate peaks of the MCA extended
85 over several decades, showing regional and even local (less than 100 km)
86 particularities (Bryson and Bryson 1997; Soon and Baliunas 2003). Thus, global
87 changes could have affected distant human populations synchronically but it is
88 necessary to know the magnitude of the changes occurred at local scale and the
89 historical context of each population in order to propose hypotheses related to
90 specific adaptive responses.

91 According to Dean et al. (1985), the impact of the climate on human societies
92 depends on four main factors: 1) magnitude, duration and frequency of the climatic
93 events; 2) Preexistent adaptive strategies; 3) the response of important natural
94 resources; 4) the size and density of the human group. Halstead and O’Shea (1989)
95 propose that human societies cope with the shortage of resources derived from
96 environmental changes by means of a wide range of practices which they defined as
97 “buffering mechanisms”, These mechanisms can include, rules, myths alimentary
98 taboos, alternative agricultural practices, and food storage and exchange.

99 In archaeological research, climate events such as the MCA allow the observation of
100 the diverse adaptive responses of hunter-gatherer groups. For example, several works
101 suggest deteriorated climate conditions associated with relatively rapid and dramatic
102 cultural changes during the MCA in South West California (Larson et al. 1994;
103 Larson et al. 1996; Raab and Larson 1997; Jones et al. 1999). The latter authors
104 reviewed the main cultural responses for South West USA during MCA, which can
105 be summarized as four basic strategies: 1- social complexity; 2- mobility,
106 abandoning areas which had limited resources, e.g. those in the Mojave desert and, in
107 colonial times, deserting of the Santa Bárbara channel by the Chumash population
108 towards the Jesuit missions at the California channel 3- Resource intensification: a
109 wide range of passive or active practices aimed to widen the subsistence system by
110 exploiting a wider diversity of plants and animals and/or larger and more varied
111 foraging areas (Halstead and O'Shea 1989). The mechanisms recorded for the MCA
112 include increased dependence on storage (Testart 1982), production of goods for
113 exchanging at long distances (Arnold 1992), technological innovations (Jones et al.
114 1999), and economic diversification (Halstead and O'Shea 1989). For example,
115 Larson et al. (1994) proposed that long drought periods produced a stress condition
116 related to food shortage, stimulating the population of the Santa Elena channel to
117 intensify their diet by consuming an increasing variety of marine resources; while
118 other authors like Arnold (1992) suggested the production of necklace beads made of
119 mollusk shells by island groups for trading with inland groups; 4- Territorial
120 behavior: apparently, another consequence of MCA was the competition for scarce
121 resources, both from marine and terrestrial sources (Jones et al. 1999). The
122 necessities to control food resources and to remain next to reliable freshwater sources
123 seem to have further consolidated the populations territorial limits and fostered their
124 territoriality. For example, in sites at the central coast of California, the diets were
125 not widened and the commerce horizons were retracted, producing demographic
126 problems, which were not solved by adaptive adjustments or population mobility
127 (see Larson et al. 1994).

128

129 **1.1 Patagonia**

130 The climate of Austral Patagonia (Fig. 1) during the Holocene has been subject of
131 many palaeoclimatic reconstructions. Favier Dubois (2004) reviewed the previous
132 palaeoclimatic data, proposing a correspondence between the beginnings of the

133 development of the palaeosol named “Pedologic Event Magallania” and the expression
134 in Austral Patagonia of climate anomalies related to the MCA. This event has been
135 reported to occur contemporarily in North America and in Austral Patagonia (Jones et
136 al. 1999; Larson and Michaelsen 1990; Larson et al. 1996; Stine and Stine 1990; Stine
137 1994). Stine 1994 compared data from this period between California and Austral
138 Patagonia, reporting marked changes in humidity. From these data he suggested that
139 this was a global event and that, in the Americas, the changes in the precipitation regime
140 were probably more important than those in temperature. In the last decades, the
141 number and the resolution level of palaeoclimatic studies have greatly increased (Kilian
142 and Lamy 2012). Recently, Echeverría et al. (2017) have reconstructed the palaeohydric
143 balance variations of forest, steppe and ecotonal areas of Austral Patagonia during the
144 Holocene by analyzing pollen data obtained from seven sites and discussing previous
145 literature (Fig. 1). These authors have proposed a general trend for the eastern side of
146 the Andes range, with the intensity of the southern westerly winds (SWW) and
147 precipitations being positively correlated in the western forest areas, less positively
148 correlated in the forest-steppe ecotone and negatively correlated in the steppe. This is
149 coincident with data from numerous studies reviewed by Kilian and Lamy (2012),
150 which show a high positive correlation of SWW intensity and precipitation in the west
151 side of the Patagonian Andes, this correlation decreases towards the east, reaching
152 slightly negative values in extra Andean Patagonia. The resulting West-East humidity
153 gradient has varied in intensity along the Holocene due to asynchronous changes in the
154 precipitation regimes of forest, forest-steppe and steppe environments (Echeverria et al.
155 2017).

156 In contrast with Austral Patagonia, there are few palaeoclimatic reconstructions
157 covering the late Holocene in North Patagonia. Villalba (1990, 1994) has studied
158 growth rings from 1120 alerce (*Fitzroya Cupressoides* (Molina) Johnston 1924) trees in
159 the area of Río Alerce (Río Negro province, about 400 km southwards of the PDC, Fig.
160 1) and established the following chronology: Cold-wet, 900 - 1070 AD / Warm-dry,
161 1080 - 1250 AD, corresponding to MCA / Cold-wet, 1280 - 1670 AD with peaks in
162 1340 and 1650, related to the European Little Ice Age. This chronology is coincident
163 with results reported for Austral Patagonia (e.g. Moy et al. 2008) but differs from others
164 (e.g. Fey et al. 2009). Discrepancies between studies performed in North and South
165 Patagonia could respond to climatic factors such as those explained by the atmospheric
166 circulation model described for this period by Labraga (1997) (Favier Dubois 2004).

167 Alternatively, regional scale differences could be related to the ecologic factors
168 described above, e.g. differential effects of SWW on forest and steppe environments
169 (Echeverría et al. 2017; Fey et al. 2009; Garreaud et al. 2013; Kilian and Lamy 2012).
170

171 **1.2 *Diplodon chilensis***

172 The long-lived freshwater mussel *Diplodon chilensis* (Hyriidae) is common and
173 abundant in rivers and lakes of Northwest (Andean) Patagonia, Argentina (32°52' S;
174 68°51' W to 45°51' S; 67°28' W, Bonetto 1973) and in Southern Chile (34° 58' S;
175 71° 48' W to 46°37' S; 74°10' W, Parada and Peredo 2002). *D. chilensis* constitutes
176 an abundant and predictable freshwater resource throughout the year and is the most
177 frequently found mollusk in archaeological deposits from continental Patagonia
178 (Pérez and Batres 2010). Valves of this species have been frequently found in
179 archaeological sites in the western side of The Andes, from the transition between
180 the early and middle Holocene. This includes Andean sites, such as Alero Marifilo 1
181 (Mera and García 2004), Pucón VI and Colicó 1 (Navarro et al 2011) and Central
182 Valley sites, Alero Quillén 1 and Alero Quilo (Jackson and Jackson 2008). However,
183 these valves have not been used for paleoenvironmental reconstruction so far.

184

185 **1.3 Parque Diana Cave (PDC)**

186 The PDC is a rocky shelter which allowed us to establish the temporal sequence
187 of human occupation of the “Meliquina Archaeological Locality” (MAL). This
188 locality includes five archaeological sites with a potential functional linkage, and is
189 located 18.5 km South West of San Martín de los Andes city, within the Lanín
190 National Park, (40° 19' S 71° 19' W), at an altitude of 970 masl (Fig. 1). This area is
191 part of the “Andino-Patagónico Forest” phytogeographic region, which extends on
192 the hillside of both slopes of the Andes mountain range. On the Argentine side, this
193 region limits to the East with the Patagonian steppe, a vast semi desert-desert area,
194 which stretches eastwards to the Atlantic coast. The climate in MAL is moderately
195 cold and wet, typical of North Patagonian Andes. Rain and snowfalls occur mostly in
196 winter with 1500 to 2000 mm annual average precipitation. Figure 2 shows details of
197 the excavation and part of the PDC walls.

198 The sedimentary layer of PDC is presently 2 m deep (Fig. 3). Due to the site's
199 location, the main agents of accumulation are the natural disaggregation of mother
200 rock and, to a lesser extent, external sources, through deposition of small wind-borne

201 particles. Another accumulation factor is the recurrent use of the shelter by human
202 groups and animals (Pérez et al. 2008). Our analyses derive from three assemblages
203 of faunal remains, defined as Lower, Middle and Upper cultural components. The
204 nearest provisioning source of *D. chilensis* to the PDC is a shallow bank of the Río
205 Hermoso, 50 m from the site.

206 The Lower component (present only in PDC) comprises layers 9 to 12, 1.2 to 1.5 m
207 from current ground level. Layer 9 and most part of layer 10 contain large blocks of
208 mother rock, which separate them from the lower layers. The Lower component has
209 been radiocarbon dated at layer 10 to 2370 ± 70 BP (233-762 cal. BC, LP-1704 vegetal
210 coal, 2 sigma ^{14}C age calibration using Calib 3.0 software, Stuiver and Reimer 1993)
211 and shows a low frequency of human activity. This occupation corresponds to a
212 transient camp in a late exploratory stage of the area (Borrero 1994-1995), by hunter-
213 gatherers (Pérez 2010). The diet faunal components (no cervids and few *D. chilensis*
214 remains), the kind of lithic and animal raw materials (e.g. petrified wood, which is
215 available in specific zones of the steppe but not in this area and guanaco bone) and the
216 technology used suggest groups coming from contemporary steppe occupations, such as
217 Casa de Piedra de Ortega and Epullán Grande cave, in the upper Limay River basin
218 (Crivelli Montero 2010, Fig.1). The lack of evidence on the use of local alimentary
219 resources or raw lithic materials along with the absence of debitage from tool
220 manufacturing, suggest that these groups were just exploring the zone (Pérez 2010).

221 Between the Lower and Middle components, there is a discontinuity of about
222 1000 years in the artifactual or ecofactual remains, associated to detachment of
223 mother rock from the walls. This event made PDC unsuitable for human occupation
224 until wind-borne sediments and animal remains (rodent bones and excrements) made
225 the surface regular again.

226 The Middle component, dated at layer 8 to 990 ± 60 BP (901-1206 cal. AD, LP
227 1720 vegetal coal) and layer 6 to 900 ± 60 BP (1018-1264 cal. AD, LP 1713 vegetal
228 coal), shows the first evidence of contemporary open sites, which might have been
229 functionally linked to PDC (Pérez 2010). These sites are in the form of localized
230 accumulations or concentrations of vegetal coal, separated one from each other by up
231 to 300 m along the banks of local streams and Lake Meliquina and suggest sporadic
232 occupation of the area with low reoccupation rate. The lack of steppe resources and
233 the predominance of forest and lacustrine resources among the remains, suggest that
234 these hunter-gatherer groups had a better knowledge of the environment. These

235 archaeological features can be attributed to a period of colonization (Borrero 1994-
236 1995) by people who already possessed technological advantages to effectively
237 exploit forest environments from Central-South Chile (Pérez 2010). These people
238 were complex societies characterized by a hunting, gathering and fishing economy
239 with, at least, access to cultivated plants or products derived from corn. They
240 possessed pottery technologies (including patterned decoration, incised and painted
241 by reserve technique), and used native metals such as copper, among other features
242 (see Hayden 1981, 1995). In this component, the archaeological evidence shows a
243 gradual replacement of artifacts manufactured with non-local raw materials by others
244 manufactured with local rocks, e.g. obsidian characterized by the chemical groups
245 found in the nearby area (Pérez et al. 2019) and use of local clay for pottery (Pérez
246 2010). This suggests a gradual increase in knowledge, interaction with and use of
247 local resources. The faunal remains associated to these sites are predominantly, if not
248 exclusively, from forest and freshwater environments, and include necklace beads
249 and artifacts made from Pacific mollusks shells, which are progressively replaced by
250 shells from local freshwater mollusks (Pérez and Batres 2010). The vegetal remains
251 include the first record for Argentinian Patagonia of *Gevuina avellana* nuts (Pérez
252 and Aguirre 2019) plus the most austral evidence of cultigens such as maize for pre-
253 Hispanic America. Both vegetal resources have also been recorded in
254 contemporaneous archaeological sites in forest areas of the Chilean Andes and
255 Central valleys (Adán and Mera 2011; Adán et al. 2016; Pérez and Erra 2011; Pérez
256 and Aguirre 2019).

257 The Upper component is dated in layer 3 to 760 ± 60 BP (1163-1388 cal. AD, LP
258 1697 vegetal coal) and layer 2 to 580 ± 60 BP (1289-1439 cal. AD, LP1695 vegetal
259 coal). This component includes 300 years of artifacts and ecofacts deposition, between
260 0.3 and 0.8 m deep from the current ground level. This component shows the site's
261 highest richness and variability in archaeological remains. It is characterized by the
262 superimposition of structures related to combustion and/or the maintenance of artifacts.
263 This is the period of most stable and recurrent occupation of MAL, with enhancement
264 of the tendencies observed in the middle component, both in PDC and in the open sites
265 placed on the coast of Lake Meliquina. The number of elements characteristic of the
266 contemporary populations of the Western side of the Andes is increased, including the
267 presence of copper artifacts. The interaction with the environment is more evident in
268 this component, with local production of pottery elements with adequate size and shape

269 to efficiently collect and process a great variety of vegetal and animal food items from
270 freshwater and forest sources (Pérez 2011), including the preparation of fermented
271 beverages (Pérez et al. 2016). This tendency is also evident in the selective use and
272 management of the sources of lithic raw materials such as obsidian (Pérez et al. 2019),
273 resulting in changes in the shape of extractive elements such as arrow points, among
274 other evidences of technological specialization. The absence of steppe materials, along
275 with the use of the forest resources, accompanied by a minor portion of Pacific coast
276 species, shows the reoccupation of the area by the same groups that had been in this
277 region since about 900 AD (Pérez 2010; Pérez and Batres 2010).

278 The aim of this work is to reconstruct a palaeoclimatic multiproxy sequence with
279 adequate scale and resolution to discuss the climatic-environmental events associated to
280 the human occupations of MAL. We hypothesize that certain parts of the North
281 Patagonian forest-lake area were appraised during warm and/or dry episodes framed in a
282 climatic anomaly period registered at a bigger scale but whose expression at local scale
283 is still unknown.

284 The predictions for contrasting this hypothesis are: a) There is a correlation
285 between environmental changes and human behavior in the MAL cultural sequence;
286 b) These changes must give some advantages for human occupation to the forest
287 environments on the East of the Andes; c) Some variables inherent to these changes,
288 such as intensity and duration should influence cultural responses, such as mobility,
289 technological change, economic intensification and territoriality.

290

291 **2. MATERIALS AND METHODS**

292

293 **2.1 Sediment analysis**

294 In order to detect possible differences among layers in the quality and quantity of
295 the bivalve remains recovered due to taphonomic problems related to soil quality,
296 sediment pH and the shell fragmentation index (Grayson 1984), adapted to bivalves
297 by Batres (2008), were measured throughout the stratigraphic sequence.

298

299 **2.2 Shell analysis**

300 This study is based on 168 specimens, identified as *D. chilensis* whole shells and
301 shell fragments, according to the number of identified specimens (NISP) defined by
302 Grayson (1984), recovered from the PDC (Fig. 4). Samples were obtained from

303 10cm thick layers, which were subsequently combined into three units or
304 components to correlate the obtained results with relevant aspects of human behavior
305 in the frame of an ecological model of space utilization in Patagonia (Borrero 1994-
306 1995). For stable isotopes, we analyzed one fragment per layer (10 layers containing
307 valve fragments) plus two additional samples for each of the radiocarbon dated
308 layers excepting layer 8, from which only one additional sample could be obtained.
309 One fragment per layer was split in two halves. One half was used for measuring
310 stable isotopes and the other was analyzed for Ca and trace elements (see below). We
311 analyzed valve fragments larger than 5x5 mm; representing valve growth of 2-8
312 years.

313 Stable isotopes were analyzed with a Delta S Finnigan Mat triple collector
314 spectrometer, at the INGEIS, Buenos Aires by the method developed by McCrea
315 (1950) with minor modifications. Carbonate was converted to CO₂ with H₃PO₄ at
316 60°C. CO₂ was purified in a vacuum line, using cryogenic traps to eliminate other
317 volatile compounds and analyzed by mass spectrometry. $\delta^{13}\text{C}$ and $\delta^{18}\text{O}$ values were
318 reported relative to standard V-PDB (Vienna-PeeDeeBelemnite) (Coplen 1994),
319 with errors of ± 0.1 and ± 0.05 ‰, respectively.

320 The trace elements Mn and Sr, and Ca were measured on 10 shell fragments, one half
321 of fragment per layer. Shell fragments were washed with 5mM EDTA solution to
322 remove externally adhered ions and then digested in a microwave oven with nitric acid
323 and hydrogen peroxide (2:1, v/v). Organic matter was separated as an insoluble film,
324 which accounted for ca. 5% of the sample mass. Gallium was added to these
325 dissolutions, as internal standard. Aliquots of 10 μL were placed in a quartz reflector for
326 analysis by total X-ray reflection fluorescence (TXRF) (Prange and Schwenke 1992).
327 Spectrum evaluation and quantitative analysis were performed using the QXAS
328 software package from IAEA, using least-square regression analysis and calibration
329 curves within the range 1–20 ppm. Detection limits were 0.02 and 0.05 $\mu\text{g/g}$, for Mn
330 and Sr, respectively, with an error of 10% (See Sabatini et al. 2009 for more detailed
331 explanation of the method). Results were expressed as element/Ca ratio x 1000.

332 To compare trace element proportions, Mn, Sr, Fe and Zn were measured as
333 described above for Mn and Sr in duplicate on valves of *D. chilensis* taken alive from
334 Río Hermoso near the PDC and in water samples from the same site. The relevant
335 literature to support and interpret paleoenvironmental reconstruction based on the

336 analysis of stable isotopes and trace elements in mollusks shell remains is described
337 in Appendix A.

338

339 **2.3 Interpretation of the palaeoenvironmental data**

340 Soldati et al. (2009; 2010) have characterized summer and winter growth bands in
341 valves of living individuals of *D. chilensis* from about 150 km south to our study site.
342 These authors report low $\delta^{18}\text{O}$ in summer bands, assigning these values to higher
343 temperatures according to previously published data (Dunca and Mutvei 2001; Dunca et
344 al. 2005; Schöne et al. 2004). High Mn/Ca, Sr/Ca and Ba/Ca ratios have also been
345 detected in these summer bands (Soldati et al. 2009). Low $\delta^{18}\text{O}_{\text{shell}}$ in summer growth
346 lines could be related to the effect of temperature on isotopic fractionation during shell
347 carbonate deposition (Carré et al. 2005; Dettman et al. 1999; Epstein et al. 1953;
348 Gordillo et al. 2015; Grossman and Ku 1986; Yoshimura et al. 2010). However, Soldati
349 et al. (2009) have reported that the temperature cycles calculated from $\delta^{18}\text{O}_{\text{shell}}$ and δ
350 $^{18}\text{O}_{\text{water}}$ underestimate the actual summer-winter difference in their study site. Among
351 other hypotheses, these authors have suggested that such underestimation could respond
352 to seasonal changes in $\delta^{18}\text{O}_{\text{water}}$ which, in turn, affect *D. chilensis* $\delta^{18}\text{O}_{\text{shell}}$.

353 In the area of the present study, precipitation is concentrated in winter and stream
354 discharge is high in winter-spring. During relatively humid years, high altitude wetlands
355 and lakes accumulate water, and snow remains in the mountains until summer.
356 Therefore, during such years, rivers like the Río Hermoso receive abundant ^{18}O -
357 depleted water from lakes and streams fed by melt-water throughout the year. Under
358 such conditions, the effect of evaporation would be small and low $\delta^{18}\text{O}_{\text{water}}$ and $\delta^{18}\text{O}_{\text{shell}}$
359 would be recorded in summer. In contrast, during arid periods, snow is already absent in
360 spring and high-altitude reservoirs with meteoric water are reduced or even depleted in
361 summer. This reduced input of ^{18}O -depleted water along with higher evaporation would
362 increase $\delta^{18}\text{O}_{\text{w}}$ in Río Hermoso with respect to humid years. Accordingly, reduced
363 stream discharge and increased evaporation to precipitation ratio have been previously
364 reported to reduce or even overcome the effects of temperature on $\delta^{18}\text{O}_{\text{shell}}$ (Bar-Yosef
365 Mayer et al. 2012; Gajurel et al. 2006; Kaandorp et al. 2003; Marwick and Gagan 2011;
366 Schöll-Barna 2011; Versteegh et al. 2010; 2011). As we have analyzed the total
367 carbonate content of shell fragments, which span several years of shell growth, our data
368 reflect the combined effects of the hydrological and environmental parameters described
369 above, averaged among seasons.

370 Kaandorp et al. (2003) have reported covariance of $\delta^{13}\text{C}_{\text{water}}$ and $\delta^{18}\text{O}_{\text{water}}$ from an
371 Amazonian floodplain with high records of both heavy isotopes during dry periods
372 and with $\delta^{13}\text{C}_{\text{shell}}$ and $\delta^{18}\text{O}_{\text{shell}}$ responding to water composition. These authors relate
373 the increased $\delta^{18}\text{O}_{\text{water}}$ to a strong evaporation effect, while elevation of $\delta^{13}\text{C}_{\text{water}}$
374 could be responding to depletion of the light isotope due to selective uptake by
375 aquatic plants. On the other hand, Gajurel et al. (2006) have reported that $\delta^{13}\text{C}$ of
376 dissolved inorganic carbon in Himalayan rivers decreases downstream because of
377 soil derived alkalinity and land plant productivity. Additionally, increased
378 phytoplankton productivity or terrestrial plant material input to the river can
379 stimulate mussel metabolic activity, which in term would lead to higher proportion of
380 metabolic C being deposited in the shell and thus to lower $\delta^{13}\text{C}_{\text{shell}}$ (see
381 McConnaughey and Gillikin 2008, for a review).

382 High Mn/Ca ratio has been reported as an indication of high aquatic primary
383 productivity (Langlet et al. 2007; Carroll and Romanek 2008). Particularly for *D.*
384 *chilensis*, which shows maximum growth rate in winter (Soldati et al. 2009;
385 Rocchetta et al. 2014), Risk et al. (2010) have detected Mn peaks in winter growth
386 lines interpreting that increased precipitations and river discharge can augment
387 erosion, liberating soluble Mn. According to Lee and Wilson (1969), Sr/Ca ratio is
388 expected to show positive correlation with reduced river discharge and/or with
389 increased evaporation. However, trace element ratios are not easy to interpret and
390 should, thus, be interpreted in combination with other proxies (Peacock and Seltzer
391 2008). In this regard, Sr concentration has been reported as covarying with $\delta^{18}\text{O}$ in
392 fossil shells of *Diplodon longulus*, high values of both variables indicating dry
393 season (Kaandorp et al. 2006).

394

395 **2.4 Statistics**

396 Consistency among the different proxies was analyzed by Spearman correlation.
397 $\delta^{18}\text{O}_{\text{shell}}$ and $\delta^{13}\text{C}_{\text{shell}}$ values were compared between the upper and middle
398 components through Student's t test. Results were considered significant at $P < 0.05$.

399

400 **3 RESULTS AND DISCUSSION**

401 **3.1 Sediment analyses**

402 Sediment pH ranged from 7.3 to 7.9 (Table 1). This slightly alkaline soil favored
403 the preservation of archaeological materials, especially CaCO_3 containing shells, as

404 was previously reported for similar environments in North Patagonia (see Pérez et al.
405 2008 and citations therein). The fragmentation index (Grayson 1984, modified by
406 Batres 2008) showed that, various taphonomic processes acted in the Lower
407 component. The high rates of sample fragmentation found in layers 9 and 10
408 coincide with the lowest NISP for the site. In the Middle and Upper components, pH
409 was almost constant. NISP values, in the Middle component are higher than in the
410 Lower one. A trend to increased bivalve collection by human groups is evident,
411 although NISP remains low. The Upper component shows the largest number of *D.*
412 *chilensis* shell remains. The fragmentation index remains constant between the
413 Middle and Upper components, which indicates that the larger number of items
414 recovered in the Upper component is not due to lower taphonomic factors but to
415 increased bivalve collection activity (Table 1).

416

417 **3.2 Trace elements in modern shells and water samples**

418 Mn, Sr and Fe were present in shells and in water at similar proportions. Table 2
419 shows Ca and trace element concentrations in Río Hermoso water and in valves
420 taken from live mussels collected from the same site.

421

422 **3.3 Stratigraphic analyses**

423 Due to sample limitation, trace elements were measured in one valve fragment per
424 layer while stable isotopes were measured in three fragments from layers 2, 3, 6, and
425 10, two fragments from layer 8 and one fragment from layers 1, 4, 5, 7, and 9.
426 Therefore, we interpreted our results taking into account that results from only one
427 fragment should be analyzed with care. Spearman analysis showed significant
428 correlation between $\delta^{18}\text{O}_{\text{shell}}$ and $\delta^{13}\text{C}_{\text{shell}}$ only after excluding data from layer 9,
429 which showed an extremely high $\delta^{18}\text{O}_{\text{shell}}$ value ($P = 0.037$, $R = 0.71$). Similarly,
430 $\delta^{18}\text{O}_{\text{shell}}$ shows good correlation with Sr/Ca ratio if layer 9 is excluded ($P = 0.024$, $R =$
431 0.75). $\delta^{13}\text{C}_{\text{shell}}$ and Sr/Ca ratio are also correlated ($P = 0.0154$, $R = 0.83$) only if data
432 from layers 5 and 7 are excluded. These correlations are consistent with the results
433 reported by Risk et al. (2010), who have found similar peaks of $\delta^{18}\text{O}$ and Sr/Ca and
434 Ba/Ca ratios in the same species in Chile in summer growth lines, considering that
435 summer is the dry season in both Chilean and Argentine Patagonia. In our study, the
436 Mn/Ca ratio was not considered for the analysis because it does not show any
437 significant trend along the sequence and is not correlated with the other proxies. All

438 the data excluded from the correlation analyses correspond to layers in which only
439 one shell fragment was used.

440 The Lower component shows high $\delta^{18}\text{O}_{\text{shell}}$ and $\delta^{13}\text{C}_{\text{shell}}$ along with high Sr/Ca
441 ratio in layer 10 (Fig. 5), suggesting arid conditions according to Kaandorp et al.
442 (2006). Similarly, Echeverría et al. (2017) have reported negative palaeohydric
443 balance for several forest environments of Southern Patagonia during a period which
444 includes the corresponding to this component. The $\delta^{18}\text{O}_{\text{shell}}$ value recorded in layer 9
445 is extremely high compared to the rest of the sequence while $\delta^{13}\text{C}_{\text{shell}}$ and the Sr/Ca
446 ratio decrease in this layer pointing to less arid conditions. However, these data
447 correspond to a single fragment from a layer with a small archaeological sample,
448 typical of a transient camp used for specific activities. Hence, we discuss the
449 palaeoclimatic information from this component mostly based on layer 10 data.

450 It could be interpreted that small groups of hunter-gatherers from the eastern
451 steppe used rocky shelters like PDC in the area of LAM as temporal camps, possibly
452 logistically integrated from base camps described by other researchers to the eastern
453 steppe (Crivelli Montero 2010), including transitional sectors of cypress forests in
454 Valle Encantado (Hajduk and Albornoz 1999; Hajduk et al. 2011) and some
455 occupations of forested sites in the Trafal area (Silveira 1996, 1999). This would
456 have been favored by arid conditions that had changed part of the forest area and
457 related wetlands into grasslands suitable for grazing by steppe herbivores, especially
458 guanacos, which do not tolerate wet soils. Additionally, these incursions could have
459 also been related to the gathering of obsidian from sources located in the forest area
460 near the MAL. This is supported by the presence of obsidian characterized by the
461 same chemical groups and tools with similar laminarity as those found in PDC in
462 steppe sites (Pérez et al. 2019). This occupation may have not persisted as a result of
463 climate changes not recorded because of the absence of shell remains in the hiatus
464 between the Lower and Middle components and/or because the rock detachment
465 from the cave's walls made this site not suitable for human use.

466 In the Middle component, the three analyzed proxies are mostly coincident. $\delta^{18}\text{O}_{\text{shell}}$
467 shows low values with a negative peak in layer 7 (Fig. 5), which could indicate a long
468 humid period (lower effect of evaporation on $\delta^{18}\text{O}_{\text{water}}$). Sr/Ca ratio is also low in layers
469 6 and 7, with the negative peak in the same layer as $\delta^{18}\text{O}_{\text{shell}}$, reinforcing the idea of a
470 wet period with high river discharge, at least in layers 6 and 7. Records of $\delta^{13}\text{C}_{\text{shell}}$ are
471 slightly lower in this component than in the others in layer 8 and show a marked

472 negative peak in layer 6, suggesting higher input of forest-derived organic matter and
473 soil alkalinity due to increased runoff. Terrestrial plant material can provide low $\delta^{13}\text{C}$
474 organic compounds, which would be reflected in $\delta^{13}\text{C}_{\text{shell}}$ through deposition of
475 metabolic C in shell carbonate (Gajurel et al. 2006; see McConnaughey and Gillikin
476 2008, for a review). In turn, soil derived alkalinity contributes with low $\delta^{13}\text{C HCO}_3^-$,
477 which is the main source of shell carbonate (e.g. Leng and Marshall 2004). Taken
478 together, the three analyzed proxies reflect high humidity and high river discharge in the
479 middle component (990-1050 AD), especially in layers 6 and 7. The fact that the
480 amplitude of the changes in $\delta^{18}\text{O}_{\text{shell}}$ is lower than that of the other proxies could
481 indicate that this period was also cold, since $\delta^{18}\text{O}_{\text{shell}}$ in *D. chilensis* increases as
482 temperature decreases (Soldati et al. 2009), which would partially counteract the effect
483 of the humid conditions. Villalba (1990, 1994) has previously reported a cold humid
484 period (900 - 1070 AD) in the same region based on alerce tree rings, while Moy et al.
485 (2008) have interpreted positive moisture balance between 850 and 1100 AD from $\delta^{18}\text{O}$
486 records on *Pisidium sp.* valves and on the fine fraction of Lago Guanaco sediments in
487 the Southern Chilean Patagonia.

488 At the time corresponding to the Middle component of PDC, hunter-gatherer
489 populations from South-Central Chile, which possessed a complex economy, including
490 pottery, fishing, incipient agriculture, and common burial grounds (Aldunate 1989;
491 Dillehay 1990; Adán et al. 2016; Campbell et al. 2018) began to colonize this area,
492 possibly as a result of the temporary segmentation of large groups, including a more
493 recurrent occupation of the eastern side of the Andes (Aldunate 1989; Pérez 2010. The
494 increased precipitation rate suggested by the proxies analyzed above is
495 contemporaneous with an increase in the intensity of SWW that was reported to occur
496 in several areas of Southern Patagonia (e.g. Echeverría et al. 2017). The climatic models
497 for Patagonia predict a strong positive effect of SWW on precipitations on the West side
498 of the Andes, which becomes weaker on the East side forest area and neutral to negative
499 in the forest-steppe ecotone and in the steppe, respectively (Kilian and Lamy 2012;
500 Garreaud et al. 2013). Thus, these human groups from the western side of the Andes
501 could have increased their residential mobility towards the Eastern forest and the
502 neighbor ecotone during the time of precipitation peaks. This geographic expansion
503 could also be partly related to brief abrupt climate changes, accompanied by volcanic
504 episodes and forest fires, which have been reported for the west side of the Andes range
505 during this period (Pérez 2010). In general, the precipitation peaks, volcanic activity

506 episodes and fires were short duration catastrophic events, which were recurrent in this
507 period and favored the fragmentation of large groups and their mobilization to more
508 benign areas as an adaptive response (Jones et al. 1999). These were short-term
509 responses and the groups returned to their original location when the extreme events
510 were over. Accordingly, Torrence (2002) has proposed a response to volcanic episodes
511 of short duration in New Guinea, with human groups migrating to unaffected areas and
512 returning to the original area when conditions were favorable again.

513 The archaeological evidence in the Middle component suggests the recurrent
514 occupation of MAL by people with forest adaptations in the form of short-term camps
515 both, under rocky shelters and in open sites. These groups interacted with the local
516 environment and made intensive use of the local resources, as is detailed in 1.3, but no
517 changes in their way of life or technology are evident from the quality of the artifacts
518 recovered, which does not change throughout the record of this component (Pérez 2010;
519 Pérez et al. 2017).

520 The Upper component (layers 5-1) is partially contemporaneous with a warm and
521 dry period between 1080 and 1250 AD, reported by Villalba (1990; 1994) as an
522 expression of the MCA in the area of Los Alerces National Park, North-West
523 Patagonia (Figs. 1,5) and with a period of high evaporation in Lago Guanaco (Moy et
524 al. 2008). In this component, $\delta^{18}\text{O}_{\text{shell}}$ starts to rise in layer 5 and is high throughout
525 the component except for a negative peak in layer 4, which does not correlate with
526 the values of the other proxies. If this negative peak is excluded, pooled $\delta^{18}\text{O}_{\text{shell}}$ in
527 this component is significantly higher than in the Middle component (Student's t test,
528 $p < 0.05$). Similarly, $\delta^{13}\text{C}_{\text{shell}}$ is significantly higher in the upper than in the middle
529 component, considering all the layers (Student's t test, $p < 0.05$), and the Sr/Ca ratio
530 is consistently high between layers 4 and 1. Although Sr/Ca ratio remains low as in
531 the middle component in layer 5, this value is not correlated with the other two
532 proxies.

533 In layer 3, which coincides with the highest level of occupation of the MAL, all
534 the three proxies considered in this discussion are consistent at maximum values,
535 pointing to a dry-warm climate with high aquatic plants productivity. This result is in
536 agreement with the conditions described by Villalba (1990; 1994) for the same
537 period (Fig. 5). From the archaeological analysis, this layer seems to be an
538 occupation floor, in which the maximum human activity for this site can be inferred
539 from a high artifact deposition rate (Pérez 2011). According to the artifactual

540 evidence, at this time, the PDC occupants remained in the site for several decades
541 (Pérez 2010, Pérez et al. 2019). Layer 2 is contemporary with a cold period thought
542 by Villalba (1990; 1994) to be a local expression of the Little Ice Age. Although we
543 have recorded slight changes in $\delta^{13}\text{C}_{\text{shell}}$ and in Sr/Ca ratio with respect to layer 3,
544 $\delta^{18}\text{O}_{\text{shell}}$ does not change. Thus, no clear evidence pointing to the expression of the
545 Little Ice Age in the area of MAL can be observed in the sequence studied in this
546 work.

547 The Upper component shows a new colonization event after a period of no or
548 scarce occupation of the area. The reoccupation of the MAL included potentially
549 articulated camps in open sites and rocky shelters made by the same populations
550 which had temporarily expanded their mobility during the Middle component. In this
551 component, the increment in residential mobility occurred in the context of a new
552 stage of colonization (Borrero 1994-1995). At variance with the Middle component,
553 this mobility was not correlated with environmental instability but with increasing
554 aridity.

555 This climatic event persisted for many decades, enabling the effective occupation
556 of the territory (Borrero 1994-1995). The relatively stable environmental conditions
557 recorded between layers 5 and 1 have probably favored the intensification, in this
558 case, related to an evident increase in the interaction with the local resources, with
559 predominant use of local raw materials, such as obsidian and clay. This would have
560 also stimulated changes in the organization of various cultural aspects (Jones et al.
561 1999), including the production of large combustion structures related to the local
562 production of pottery described by Pérez (2011) and technological innovations, such
563 as lithic sinkers for fishing lines and new shapes in the projectile points (Pérez et al.
564 2017).

565 The resulting capacity to exploit local resources may have allowed these groups to
566 cope with the increasing aridity recorded from layer 5, with peak in layer 3 by taking
567 advantage of the increased aquatic productivity. For this period, previous papers
568 describe an active exchange of resources and technology among populations of the
569 Pacific coast, the Central valleys of Chile and the Argentine side of the Andes Range
570 (Aldunate 1989; Pérez 2010; Campbell et al. 2018), similar to the described by
571 Arnold (1992) for island and inland populations of California and Santa Barbara
572 channel, in the Northern hemisphere.

573

574 **4 CONCLUSIONS**

575 We have reconstructed a palaeoclimatic multiproxy sequence based on stable
576 isotopes and trace metals measured in archaeological *D. chilensis* shell remains,
577 which allows to correlate the sequence of human occupations of the MAL with
578 environmental changes at a resolution scale with no antecedents for this region. We
579 have detected climatic change pulses and the local expression of climatic events,
580 such as the MCA, which have previously been registered for only one site in North
581 Patagonia, which covers an area with a radius of 100 km. (Villalba, 1990, 1994). Our
582 results allow to extend the geographic area in which the expression of the MCA is
583 evident in North Patagonia by ca. 300 km to the North and could contribute to the
584 development of regional interpretations. Since *D. chilensis* is one of the most
585 abundant species in the archaeofauna of a wide region comprising North-West
586 Patagonia, Argentina and South-Central Chile, this multi-proxy approach is a
587 promising tool for the interpretation of landscapes and climates in which human
588 populations migrated into and developed in the past.

589 Goñi et al. (2019) proposed that in Austral Patagonia, the climatic fluctuations
590 occurred along the last 2500 years, especially the MCA and other events caused the
591 reduction of the residential mobility of hunter-gatherer groups of the Center-West of
592 Santa Cruz province (Fig. 1). In contrast, we have identified palaeoenvironmental
593 trends, which can be associated with changes in mobility, technology and use of
594 natural resources in the MAL by human populations with different ways of life and
595 territoriality. The occupations of the area occur when it becomes comparatively
596 advantageous relative to the areas occupied by different human populations. For
597 example, the archaeological remains found in the Lower component of PDC suggest
598 exploratory incursions from the Eastern steppe, mostly related with the gathering of
599 lithic raw materials and favored by arid conditions in the forest area, which extended
600 the steppe herbivores distribution range towards the forest-steppe ecotone, ca. 2.300
601 BP.

602 In the last millennium, when the MAL became advantageous relative to the West
603 side of the Andes, it was colonized and effectively occupied by populations from
604 South-Central Chile, which possessed an efficient technology for exploiting forest
605 and freshwater resources. The first colonization by these groups, with recurrent
606 short-term occupations, took place during a humid period with high precipitation
607 rates and environmental instability (ca.990-1080 A.D) related to increased intensity

608 in the SWW. This effect was milder in the Eastern slopes of the Andes, where the
609 influence of the SWW is less important than in the Western side. The second, and
610 more permanent occupation by the same groups was contemporary with the local
611 expression of the MCA (ca. 1080 and 1250 AD), and was probably favored by
612 increased productivity of the rivers and lakes. Besides, the effective occupation with
613 increasing degree of interaction with the local resources from the lower to the upper
614 component was stimulated by the longer duration of the favorable environmental
615 conditions.

616 Finally, the MAL record allows us to propose that the different models that
617 suggest social complexity, mobility, resource intensification and territorial behavior
618 during moments of environmental instability associated to the MCA are probably not
619 monothetic and / or contradictory, but rather they can be complementary and / or
620 concurrent.

621

622 **Acknowledgements**

623 We thank Héctor Panarello, Christian Favier Dubois, Sebastián Sabatini and Gabriela
624 Batres for their kind help and valuable suggestions. This work was supported by grants:
625 ANPCYT PICT 1293 to IR and UBA- FFL 840162, to AEP and CONICET PIP 0529 to
626 CML. We also thank two anonymous Reviewers, who made very helpful comments for
627 improving this work.

628

629 REFERENCES

- 630 Adán, L., Mera, R. 2011. Variabilidad interna en el alfarero temprano del centro-sur de
631 Chile. El complejo Pitrén en el valle central del caudín y el sector lacustre Andino.
632 *Chungara, Revista de Antropología Chilena*, 43(1) 3–23.
- 633 Adán, L., Mera, R. Navarro, X., Campbell, R., Quiróz D., Sánchez, M., 2016. Historia
634 prehispánica en la región centro sur de Chile: Cazadores–recolectores holocénicos y
635 comunidades alfareras (ca. 10.000 años a.C. hasta 1.550 d.C.). In *Prehistoria en Chile. Desde sus primeros habitantes hasta los Incas*. F. Falabella, M. Uribe, L. Sanhueza, C.
636 Aldunate, J. Hidalgo (Eds.) Pp. 401-442. Sociedad Chilena de Arqueología. Santiago.
- 637 Aldunate, C., 1989. *Culturas de Chile. Prehistoria desde sus orígenes hasta los albores de la conquista*. Editorial Andrés Bello. Chile.
- 638 Arnold, J. E., 1992. Complex hunter-gatherer-fishers of prehistoric California: Chiefs,
639 specialists, and maritime adaptations of the channel Islands. *American Antiquity* 57 (1),
640 60-84.
- 641 Bar-Yosef Mayer, D. E., Lengc, M. J, Aldridged, D. C., Arrowsmithc, C., Gümüs, B.
642 A., Sloane, H. J., 2012. Modern and early-middle Holocene shells of the freshwater
643 mollusc *Unio*, from Çatalhöyük in the Konya Basin, Turkey: preliminary
644 palaeoclimatic implications from molluscan isotope data. *Journal of Archaeological*
645 *Science* 39, 76-83.
- 646 Batres, D., 2008. *Aproximación al registro malacológico como indicador*
647 *paleoambiental en una cueva del norte de Patagonia (Cueva Parque Diana, Dto.*
648 *Lácar, Prov. de Neuquén, Rep. Argentina)*. Monographic thesis in Anthropology.
649 Facultad de Filosofía y Letras, Universidad de Buenos Aires. MS. Pp. 130.
- 650 Bonetto, A., 1973. Náyades de la Patagonia. *Revista de la Asociación de Ciencias*
651 *Naturales de Litoral* 4,177 - 185.
- 652 Borrero, L.A., 1994-1995. Arqueología de la Patagonia. *Palimpsesto. Revista de*
653 *Arqueología* 4, 9-55.
- 654 Bryson, R A., Bryson, R.U., 1997. High resolutions simulations of regional Holocene
655 climate: North Africa and Near East. In Dalfes HN, Kukla G, Weiss, H. (eds). *Third*
656 *Millenium BC Climate Change and the Old World Collapse*, NATO ASI Series.
657 Springer-Verlag 149, 565-593.
- 658 Campbell, R., Carrión, H., Figueroa, V., Peñaloza, A., Plaza, M.T., Stern, C., 2018.
659 Obsidianas, turquesas y metales en el sur de Chile. Perspectivas sociales a partir de
660
661

- 662 su presencia y proveniencia en Isla Mocha (1.000-1.700 d.c.). *Chungara*, 50 (2):
663 217-234.
- 664 Carré, M., Bentaleb, I., Blamart, D., Ogle, N., Cardenas, F., Zevallos, S., Kalin, R.,
665 Ortlieb, L., Fontugne, M., 2005. Stable isotopes and sclerochronology of the bivalve
666 *Mesodesma donacium*: Potential application to Peruvian paleoceanography
667 reconstruction. *Palaeogeography Palaeoclimatology Palaeoecology* 228, 4-25.
- 668 Carroll, M., Romanek, C., 2008. Shell layer variation in trace element concentration
669 for the freshwater bivalve *Elliptio complanata*. *Geo-Marine Letters* 28, 369-381.
- 670 Coplen, T.B., 1994. Reporting of stable hydrogen, carbon, and oxygen isotopes
671 abundances. *Pure and Applied Chemistry* 66, 273-276.
- 672 Crivelli Montero, E.A., 2010. Arqueología de la cuenca del río Limay. En R. F. Masera
673 comp.: *Los ríos mesetarios norpatagónicos. Aguas generosas del Ande al Atlántico*.
674 Ministerio de Producción de Río Negro, pp. 263-338.
- 675 Dean, J.S., Euler, R.C., Gumerman, G.J., Plog, F., Hevly, R.H., Karlstrom, T.N.V.,
676 1985. Quaternary Geology and Geomorphology of South America. *American*
677 *Antiquity* 50: 537-554.
- 678 Dettman, D.L., Reische, A.K., Lohmann, K.C., 1999. Controls on the stable isotope
679 composition of seasonal growth bands in aragonitic fresh-water bivalves
680 (*Unionidae*). *Geochimica et Cosmochimica Acta* 63, 1049-1057.
- 681 Dillehay, T.D., 1990. *Araucanía: Presente y Pasado*. Editorial Andrés Bello. Chile.
- 682 Dunca, E., Mutvei, H., 2001. Comparison of microgrowth pattern in *Margaritifera*
683 *margaritifera* shells from south and north Sweden. *American Malacological Bulletin*
684 16, 239-250.
- 685 Dunca, E., Schöne, B.R., Mutvei, H., 2005. Freshwater bivalves tell of past climate: But
686 how clearly do shells from polluted rivers speak? *Palaeogeography, Palaeoclimatology,*
687 *Palaeoecology* 228, 43-57.
- 688 Epstein, S. Buchsbaum, R., Lowenstam, H.A., Urey, H.C., 1953. Revised carbonate-
689 water isotopic temperature scale. *Geological Society of America Bulletin*. 64, 1315-
690 1325.
- 691 Echeverria, M.E., Bamonte, F.P., Marcos, M.A., Sottile, G.D., Mancini, M.V., 2017.
692 Palaeohydric balance variations in eastern Andean environments in southern
693 Patagonia (48°-52.5° S): Major trends and forcings during the last ca. 8000 cal yrs
694 BP. *Review of Palaeobotany and Palynology* 246, 242-250.

- 695 Favier Dubois, C.M., 2004. Fluctuaciones climáticas referibles al Período Cálido
696 Medieval en Fuego-Patagonia. Indicadores indirectos y el aporte de modelos
697 climáticos. In: *Contra Viento y Marea, Arqueología de Patagonia*. Instituto Nacional
698 de Antropología y Pensamiento Latinoamericano, Buenos Aires, pp. 545-556.
- 699 Fey, M., Korr, C., Maidana, N., Carrevedo, M., Corbella, H., Dietrich, S., Haberzettl,
700 T., Kuhn, G., Lücke, A., Mayr, C., Ohlendorf, C., Páez, M., Quintana, F., Schäbitz,
701 F., Zolitschka B., 2009. Paleoenvironmental changes during the last 1600 years
702 inferred from the sediment record of a cirque lake in southern Patagonia (Laguna Las
703 Vizcachas, Argentina). *Palaeogeography, Palaeoclimatology, Palaeoecology* 281,
704 363-375.
- 705 Ferguson, J. E., Henderson, G. M., Fa, D. A., Finlayson, J. C., Charnley, N. R., 2011.
706 Increased seasonality in the Western Mediterranean during the last glacial from
707 limpet shell geochemistry. *Earth and Planetary Science Letters* 308, 325–333.
- 708 Freitas, P. S., Clarke, L. J., Kennedy, H., Richardson, C. A., Abrantes, F., 2006.
709 Environmental and biological controls on elemental (Mg/Ca, Sr/Ca and Mn/Ca)
710 ratios in shells of the King scallop *Pecten maximus*. *Geochimica et Cosmochimica*
711 *Acta* 70, 5119-5133.
- 712 Füllenbach, C. S., Schöne, B. R., Mertz-Kraus, R., 2015. Strontium/lithium ratio in
713 aragonitic shells of *Cerastoderma edule* (Bivalvia) - A new potential temperature
714 proxy for brackish environments. *Chemical Geology* 417, 341–355.
- 715 Gajurel, A. P., France-Lanord, C., Huyghe, P., Guilmette, C., Gurung, D., 2006. C
716 and O isotope compositions of modern fresh-water mollusc shells and river waters
717 from the Himalaya and Ganga plain. *Chemical Geology* 233, 156-183.
- 718 Garreaud, R., Lopez, P., Minvielle, M., Rojas, M. 2013. Large-scale control on the
719 Patagonian climate. *Journal of Climate* 26, 215-230.
- 720 Goñi, R., Re, A. García Guraieb, S., Cassiodoro, G., Tessone, A., Rindel, R.,
721 Dellepiane, J., Flores Conid, J., Guichond, F., Agnolin, A., 2019. Climate changes,
722 human peopling and regional differentiation during late Holocene in Patagonia.
723 *Quaternary International* 505, 4-20.
- 724 Gordillo, S., Brey, T., Beyer, K., Lomovasky, B.J., 2015. Climatic and
725 environmental changes during the middle to late Holocene in southern South
726 America: A sclerochronological approach using the bivalve *Retrotapes exalbidus*
727 (Dillwyn) from the Beagle Channel. *Quaternary International* 377, 83-90.
- 728 Grayson, D.K. 1984. *Quantitative Zooarchaeology*. Academic Press, Orlando.

- 729 Grossman, E.L., 2012. Applying oxygen isotope paleothermometry in deep time. In:
730 Ivany, L.C., Huber, B.T. (Eds.), *Reconstructing Earth's Deep-Time Climate—The*
731 *State of the Art in 2012*, Paleontological Society Short Course, November 3, 2012.
732 *The Paleontological Society Papers* vol. 18, pp. 39-67.
- 733 Grossman, E.L., Ku, T., 1986. Oxygen and carbon isotope fractionation in biogenic
734 aragonite: Temperature effects. *Chemical Geology* 59, 59–74.
- 735 Hajduk, A., Albornoz, A., 1999. El sitio Valle Encantado I. Su vinculación con otros
736 sitios. Un esbozo de la problemática local diversa del Nahuel Huapi. Soplando en el
737 Viento... *Actas de las Terceras Jornadas de Arqueología de la Patagonia*, pp. 371-
738 391. INAPL-Universidad del Comahue, Neuquén-Buenos Aires.
- 739 Hajduk, A., Albornos, A., Lezcano, M., 2011. Espacio, cultura y tiempo: el corredor
740 bioceánico norpatagónico desde la perspectiva arqueológica. In Navarro Floria, P.,
741 Delrio, W. (Eds.). *Cultura y espacio. Araucanía-Norpatagonia*, pp. 262–292.
742 Universidad de Río Negro.
- 743 Halstead, P., O'Shea, J., 1989. Introduction: Cultural responses to risk and
744 uncertainty. In: Halstead, P., O'Shea, J. (Ed.) *Bad Year Economics: Cultural*
745 *Responses to Risk and Uncertainty*, pp. 1-7. Cambridge University Press.
- 746 Hayden, B. 1981. Research and development in the Stone Age. Technological
747 transitions between hunters-gatherers. *Current Anthropology* 22, 519-548.
- 748 Hayden, B., 1995. The emergence of prestige technologies and pottery. In: W.
749 Barnett, W. Hoopes, J.W. (Eds.). *The emergence of pottery. Technology and*
750 *innovation in ancient societies*, pp. 257-265. Smithsonian Institution. Washington
751 and London.
- 752 Jackson, D., Jackson, D., 2008. Antecedentes arqueológicos del género *Diplodon*
753 (*SPIX*, 1827) (*Bivalvia*, *Hyriidae*) en Chile. *Gayana* 72, 188-195.
- 754 Jones, T. L., Brown, G. M., Raab, L. M., McVickar, J. L., Spaulding, Douglas J., W. G.,
755 York, K.A., Walker, P.L., 1999. Environmental imperatives reconsidered. Demographic
756 crises in Western North America during the Medieval Climatic Anomaly. *Current*
757 *Anthropology* 40, 137-170.
- 758 Kaandorp, R.J.G., Vonhof, H.B., Del Busto, C., Ganssen, G.M., Marmol, A.E.,
759 Romero Pittman, L., Hinte, J.V., 2003. Seasonal stable isotopes variations of the
760 modern Amazonian freshwater bivalve *Anodontites trapesialis*. *Palaeogeography,*
761 *Palaeoclimatology, Palaeoecology* 194, 339-354.

- 762 Kaandorp, R.J.G., Wesselingh, F.P., Vonhof, H.B., 2006. Ecological implications from
763 geochemical records of Miocene Western Amazonian bivalves. *Journal of South*
764 *American Earth Sciences* 21, 54-74.
- 765 Kilian, R., Lamy, F. 2012. A review of Glacial and Holocene paleoclimate
766 records from southernmost Patagonia. *Quaternary Science Reviews* 53, 1-23
- 767 Labraga, J.C., 1997. The climate change due to doubling in the CO₂ concentration:
768 intercomparisons of general circulation model equilibrium experiments.
769 *International Journal of Climatology* 17, 377-398.
- 770 Lamb, H.H., 1965. The early medieval warm epoch and its sequel.
771 *Palaeogeography, Palaeoclimatology, Palaeoecology* 1, 13-37.
- 772 Langlet, D., Allegan, Y., Plisnier, D., Hughes, H., André, L., 2007. Manganese
773 content records seasonal upwelling in Lake Tanganyika mussels. *Biogeosciences* 4,
774 195-203.
- 775 Larson, D., Michaelsen, J., 1990. Impacts of climatic variability and population
776 growth on Virgin Branch Anazasi cultural development. *American Antiquity* 55, 217-
777 249.
- 778 Larson, D.O., Johnson, J.R., Michaelsen, J.C., 1994. Missionization among the
779 Coastal Chumash of Central California: A study of risk minimization strategies.
780 *American Anthropologist* 96, 263-299.
- 781 Larson, D.O., Neff, H., Graybill, D., Michaelsen, J.C., Ambos, E., 1996. Risk,
782 climatic variability, and the study of southwestern prehistory: an evolutionary
783 perspective. *American Antiquity* 61, 217-241.
- 784 Lee, G.F., Wilson, W., 1969. Use of chemical composition of freshwater clam shells
785 as indicators of paleohydrologic conditions. *Ecology* 50, 990-997.
- 786 Leng, M. J., Marshall, J. D., 2004. Palaeoclimate interpretation of stable isotope data
787 from lake sediment archives. *Quaternary Science Reviews*, 23, 811-831.
- 788 Marwick, B., Gagan, M. K., 2011. Late Pleistocene monsoon variability in northwest
789 Thailand: an oxygen isotope sequence from the bivalve *Margaritanopsis laosensis*
790 excavated in Mae Hong Son province. *Quaternary Science Reviews* 30, 3088-3098
- 791 McConnaughey, T.A., Gillikin, D., 2008. Carbon isotopes in mollusk shell carbonates.
792 *Geo-Marine Letters* 28, 287-299.
- 793 McCrea, J.M., 1950. On the isotopic chemistry of carbonates and a paleotemperature
794 scale. *Journal of Chemical Physics* 18, 849-857.

- 795 Mera, R., García, C. 2004. Alero Marifilo-1. Ocupación Holoceno temprana en la
796 costa del lago Calafquén (X Región-Chile). *Contra viento y marea. Arqueología de*
797 *Patagonia*, pp. 249-262. INAPL-SAA. Buenos Aires.
- 798 Moy, C.M., Dunbar, R.B., Moreno, P.M., Francois, J.P., Villa-Martinez, R.,
799 Mucciarone, D.M., Guilderson, T.P., Garreaud, R.D., 2008. Isotopic evidence for
800 hydrologic change related to the westerlies in SW Patagonia, Chile, during the last
801 millennium. *Quaternary Science Reviews* 27, 1335-1349.
- 802 Navarro, X., Dillehay, T. and Adán, L., 2011. Experiencias adaptativas tempranas de
803 vida alfarera en el sector lacustre cordillerano de Villarrica. La ocupación del sitio
804 Pucón 6 (IX Región). *Revista de Arqueología Cazadores-Recolectores del Cono Sur*
805 4, 59-76.
- 806 Parada, E., Peredo, S., 2002. Estado actual de la taxonomía de bivalvos
807 dulceacuícolas chilenos. *Revista Chilena de Historia Natural* 75, 691-701.
- 808 Peacock, E., Seltzer, J., 2008. A comparison of multiple proxy data sets for
809 paleoenvironmental conditions as derived from freshwater bivalve (Unionid) shell.
810 *Journal of Archaeological Science* 35, 2557-2565.
- 811 Pérez, A.E., 2010. La Localidad Arqueológica “Lago Meliquina”, Departamento
812 Lácar, Neuquén. El registro arqueológico del interior y borde de bosque en
813 Norpatagonia. In: *Actas y Memorias del XVII Congreso Nacional de Arqueología*
814 *Chilena*, Valdivia, pp. 1515-1528.
- 815 Pérez, A.E., 2011. Algunas reflexiones sobre la alfarería del Centro-Sur de Chile y
816 ambientes lacustres precordilleranos de la Patagonia Septentrional Argentina. In: *P.*
817 *Navarro Floria, W. Delrio (Eds), Cultura y Espacio. Araucanía-Norpatagonia.*
818 Universidad Nacional de Río Negro, Río Negro, pp. 293-311.
- 819 Pérez, A., Batres, D., 2008. Los otros cazadores. La explotación de cérvidos en la
820 Localidad Arqueológica Meliquina, Parque Nacional Lanín, República Argentina. In:
821 J.C. Diez (Ed.), *Zooarqueología Hoy. Encuentros Hispano-Argentinos*, Universidad
822 de Burgos, pp. 89-108.
- 823 Pérez, A., Batres, D., 2010. Moluscos del sitio Lago Meliquina (Parque Nacional
824 Lanín, provincia de Neuquén, Argentina). *Werken* 13: 175-194, Universidad
825 Internacional SEK. Santiago, Chile.
- 826 Pérez, A.E., Erra, G., 2011. Identificación de maíz en vasijas recuperadas de la
827 Patagonia Noroccidental Argentina. *Magallania* 39, 309-316. Punta Arenas.

- 828 Pérez, A.E., Aguirre, M.G., 2019. Primer registro de *Gevuina avellana* en la Patagonia
829 argentina. Localidad arqueológica Meliquina (Patagonia Noroccidental)". *Arqueología*
830 *Iberoamericana* 43, 35-42
- 831 Pérez, A., Smith, M., Grillo, E., 2008. Implicancias tafonómicas de la composición
832 faunística en la Localidad Arqueológica Meliquina, Parque Nacional Lanín, Pcia. de
833 Neuquén, Argentina. *Runa* 29,79-99.
- 834 Pérez, A.E., Vera Macaya, D., Rodríguez, M.E., Lopes, C.A., Lanata, J.L., Schuster,
835 V., 2016. Determinación genética de levaduras procedentes de vasijas de cerámicas
836 arqueológicas de la región de bosques y lagos andino norpatagónicos (cuencas
837 Meliquina, Lácar y Lolog). Neuquén, Argentina. *Resúmenes del XIX, CNAA.*
838 Tucumán, pp.1125-1130.
- 839 Pérez, A.E., Shuster V., Castiñeira, L., 2017. Componentes de tecnologías para la pesca
840 (instrumentos Traful) en ambientes lacustres y boscosos andinos norpatagónicos,
841 Argentina. *Revista CUHSO* 27, 201-214.
- 842 Pérez, A.E., Giesso, M., Glasckoc, M., 2019. Obsidian distribution of the northern
843 Patagonian forest area and neighboring sectors during the late Holocene (Neuquén
844 province, Argentina). *Open Archaeology* 5, 121-134.
- 845 Prange, A., Schwenke, H., 1992. Trace element analysis using total-reflection X-ray
846 fluorescence spectrometry. *Advances in X-Ray Analysis* 35, 899–923.
- 847 Raab, L., Mark, D., Larson, O., 1997. Medieval Climatic Anomaly and punctuated
848 cultural evolution in coastal southern California. *American Antiquity* 62, 319-336.
- 849 Risk, M.J., Burchell, M., de Roo, K., Nairn, R., Tubrett, M., Forsterra, G.,
850 2010. Trace elements in bivalve shells from the Río Cruces, Chile. *Aquatic Biology*
851 10, 85–97.
- 852 Rocchetta, I., Lomovasky, B., Yusseppone, M.S., Sabatini, S.E., Bieczyński, F., Ríos
853 de Molina, M.C., Luquet, C.M., 2014. Growth, abundance, morphometric and metabolic
854 parameters of three populations of freshwater bivalve subject to different levels of
855 natural and anthropogenic organic matter input in a glacial lake of North Patagonia.
856 *Limnologica* 44, 72-80.
- 857 Sabatini, S., Juárez, A., Eppis, M.R., Bianchi, L., Luquet, C.M., Ríos de Molina,
858 M.C., 2009. Oxidative stress and antioxidant defenses in two green microalgae
859 exposed to copper. *Ecotoxicology and Environmental Safety* 72, 1200-1206.

- 860 Schöll-Barna, G., 2011. An isotope mass balance model for the correlation of
861 freshwater bivalve shell (*Unio pictorum*) carbonate $\delta^{18}\text{O}$ to climatic conditions and
862 water $\delta^{18}\text{O}$ in Lake Balaton (Hungary). *Journal of Limnology* 70, 272-282.
- 863 Schöne, B.R., Dunca, E., Mutvei, H., Norlund, U. 2004. A 217-year record of summer
864 air temperature reconstructed from freshwater pearl mussels (*Margaritifera*
865 *margaritifera*, Sweden). *Quaternary Science Reviews* 23, 1803–1816.
- 866 Silveira, M., 1996. Alero Los Cipreses (Provincia del Neuquén). *Arqueología. Solo*
867 *Patagonia*. CENPAT-CONICET. Puerto Madryn, pp. 107-118.
- 868 Silveira, M., 1999. Alero Cicuta (Departamento Los lagos, Provincia del Neuquén,
869 Argentina). *Soplando en el Viento. Actas de las III Jornadas de Arqueología de la*
870 *Patagonia*, pp. 561-575.
- 871 Soldati, A.L., Jacob, D.E., Schöne, B.R., Bianchi, M.M, Hajduk, A., 2009. Seasonal
872 periodicity of growth and composition in valves of *Diplodon chilensis patagonicus*.
873 *Journal of Molluscan Studies* 75, 75-85.
- 874 Soldati, A.L., Jacob, D.E., Bianchi, M.M., Hajduk, A., 2010 Microstructure and
875 polymorphism Of *Diplodon chilensis patagonicus* (D'orbigny 1835) recent shells.
876 *Gayana* 74, 61-69.
- 877 Soon, W., Baliunas, S., 2003. Proxy climatic and environmental changes of the last
878 1000 Years. *Climate Research* 23, 89–110.
- 879 Stine, S., 1994. Extreme and persistent drought in California and Patagonia during
880 medieval time. *Nature* 369, 546-549.
- 881 Stine, S., Stine, M., 1990. A record from Lake Cardiel of climate change in southern
882 South America. *Nature* 345, 705-708.
- 883 Stuiver, M., Reimer, P.J., 1993. Extended 14C data base and revised Calib 3.0 14C
884 age calibration program. *Radiocarbon* 35, 215-230.
- 885 Testart, A., 1982. The Significance of food storage among hunter- gatherers:
886 residence patterns, population densities and social inequalities. *Current*
887 *Anthropology* 23, 523-537. The University of Chicago Press.
- 888 Torrence, R., 2002. What makes a disaster? A long-term view of volcanic eruptions
889 and human responses in Papua New Guinea. In: R. Torrence, J. Grattam (Eds.).
890 *Natural Disaster and Cultural Change*, pp. 292-312. Routledge Press, London and
891 New York.

- 892 Versteegh, E.A.A., Vonhof, H.B., Troelstra, S.R., Kaandorp, R.J.G., Kroon, D., 2010.
893 Seasonally resolved growth of freshwater bivalves determined by oxygen and carbon
894 isotope shell chemistry. *Geochemistry, Geophysics, Geosystems* 11, 1-16.
- 895 Versteegh, E.A.A., Vonhof, H. B., Troelstra, S. R., Kroon, D., 2011. Can shells of
896 freshwater mussels (Unionidae) be used to estimate low summer discharge of rivers and
897 associated droughts? *International Journal of Earth Sciences* 100, 1423-1432.
- 898 Villalba, R., 1990. Climatic fluctuations in Northern Patagonia during the last 1000
899 years as inferred from tree-ring records. *Quaternary Research* 34, 346-360.
- 900 Villalba, R., 1994. Tree ring and Glacial Evidence for the Medieval Warm Epoch
901 and the Little ice Age in southern South America. *Climatic Change* 26, 183-197.
- 902 Yoshimura, T., Nakashima, R., Suzuki, A., Tomioka, N., Kawahata, H., 2010. Oxygen
903 and carbon isotope records of cultured freshwater pearl mussel *Hyriopsis sp.* shell from
904 Lake Kasumigaura, Japan. *Journal of Paleolimnology* 43 (3), 437-448.
- 905

906 **Captions to the figures**

907 Figure 1. Meliquina Archaeological Locality (MAL, 1) including the Parque Diana
908 Cave (PDC) and associated open (Meliquina Lake) sites, and related steppe sites (3, 4),
909 in North-West Patagonia, Argentina. The location of MAL (1) and reference sites for
910 paleoclimatic reconstruction in North-West (2) and Austral (5) Patagonia are shown in a
911 regional map. SWW, South Westerly Winds.

912

913 Figure 2. A- Excavation in Parque Diana Cave showing part of the cave's
914 wall. B- South profile and layer 10 (Lower component) C- Planimetry of the
915 three components showing the distribution of the archaeological remains.

916

917 Fig.3. Stratigraphic sequence at the Parque Diana Cave consisting of
918 thirteen 10 cm thick layers pooled into three cultural components.
919 Left, East profile of the cave; center, percentage of recovered *Diplodon*
920 *chilensis* shells per layer; right, ^{14}C chronology.

921

922 Fig.4. *Diplodon chilensis* shells collected from the different layers of
923 Parque Diana Cave.

924

925 Figure 5. Stable isotope ratios (V-PDB ‰, right y axis) and element/Ca ratios (mg/g,
926 left y axis) recorded in shell carbonates of *Diplodon chilensis* from the stratigraphic
927 sequence of Parque Diana Cave. $\delta^{18}\text{O}$ (open triangles) and $\delta^{13}\text{C}$ (closed triangles)
928 data are plotted as mean, n = 3 for layers 2, 3, 6 and 10, n = 2 for layer 8 and n = 1
929 for layers 1, 4, 5, 7 and 9. Sr/Ca and Mn/Ca ratios are represented by open and closed
930 circles, respectively, n = 1. The human activities interpreted from the archaeological
931 record of the Parque Diana Cave are shown below the graph. The arrow indicates the
932 expression of the Medieval Climatic Anomaly (MCA) in the same region, according
933 to Villalba (1990; 1994).

934

935

936 Table 1. pH, Number of identified specimens (NISP) and fragmentation
 937 index (F.I.) in the 10 layers of the site Parque Diana cave which contained
 938 *Diplodon chilensis* shell remains.
 939

Layer	pH	NISP	F.I
1	7.58	10	0.20
2	7.69	52	0.30
3	7.32	77	0.26
4	7.91	10	0.36
5	7.71	4	0.25
6	7.78	3	0.33
7	7.73	5	0.20
8	7.56	4	0.25
9	7.64	1	0.00
10	7.29	2	0.50

940

941

942 Table 2. Element concentrations measured by TXRF in Río Hermoso water
 943 and in the shells of living *Diplodon chilensis*.

	Ca	Mn	Sr	Fe	Zn
Water ($\mu\text{g/mL}$)	5.695	0.012	0.031	0.113	0.044
Shell (mg/g)	216.3	0.168	1.144	1.308	0.428

944

945

946 **APPENDIX A**

947 Antecedents of paleoenvironmental reconstruction using stable isotopes and trace
948 elements, with emphasis on freshwater bivalves' shells.

949

950 **Stable isotopes**

951 Stable isotopes, especially those of oxygen (^{18}O) and carbon (^{13}C), have been widely
952 used as proxies for palaeoclimate reconstruction since the early works by McCrea
953 (1950) and Epstein et al. (1953). For this purpose, isotopic ratios, e.g. $^{18}\text{O}/^{16}\text{O}$, are
954 expressed in delta notation (e.g. $\delta^{18}\text{O}$) relative to internationally accepted standards.
955 $\delta^{18}\text{O}$ palaeothermometry is based on the principle that if carbonates (or phosphates)
956 precipitate in equilibrium with the surrounding water, the isotopic ratio in the mineral
957 depends only on water isotopic ratio and precipitation temperature. Thus, if water $\delta^{18}\text{O}$
958 is known, temperature can be estimated from the carbonate $\delta^{18}\text{O}$ (reviewed in
959 Grossman 2012).

960 Stable isotopes have been recorded in samples from great variety of biogenic materials,
961 such as carbonates from foraminifers and limpet shells (e.g. Barker et al. 2005;
962 Ferguson et al. 2011), carbonates or phosphates from fossil groups like branchiopods,
963 belemnites and conodonts (Grossman 2012), phosphates and collagen from extinct
964 mammals (e.g. González Guarda et al. 2017), and cellulose from tree rings (Lavergne et
965 al. 2017).

966 Bivalve mollusks are especially good candidates for palaeoclimate reconstruction since
967 they deposit carbonate in equilibrium with ambient water, their shells present
968 conspicuous annual growth lines, and are relatively well preserved through time.

969 Particularly, $\delta^{18}\text{O}_{\text{shell}}$ is closely related to the host water isotopic composition and
970 temperature (Carre et al. 2005; Dettman et al. 1999; Epstein et al. 1953; Ferguson et al.
971 2011; Gordillo et al. 2015; Grossman and Ku 1986; Grossman 2012; McCrea 1950; Yan
972 et al. 2009; Yoshimura et al. 2010).

973 Valve growth, structure, mineralogy, and isotopic and chemical fractionation, can be
974 affected by variations in environmental parameters, such as water isotopic composition,
975 temperature, food supply, type of substrate, salinity, dissolved oxygen concentration or
976 oxygen/carbon ratio. Thus, shell isotopic patterns or signs can serve as archives of
977 environmental history (Dettman et al. 1999; Gajurel et al. 2006; Goodwin et al. 2003;
978 Kaandorp et al. 2003; Schöne et al. 2007).

979 Freshwater bivalves

980 Hydrological and climate conditions such as river discharge and the balance between
981 precipitation and evaporation can also be principal factors affecting $\delta^{18}\text{O}_{\text{water}}$ and thus
982 $\delta^{18}\text{O}_{\text{shell}}$. (Gajurel et al. 2006; Kaandorp et al. 2003; 2006; Marwick and Gagan 2011;
983 Ricken et al. 2003; Rodrigues et al. 2000; Schöll-Barna 2011; Versteegh et al. 2010;
984 2011). Carbon isotopic ratio ($\delta^{13}\text{C}_{\text{shell}}$) can covariate with $\delta^{18}\text{O}_{\text{shell}}$ and has also
985 been discussed in previous papers as an isotopic proxy for reconstruction of marine
986 palaeoenvironments (e.g. Surge and Walker 2006). In freshwater environments,
987 $\delta^{13}\text{C}_{\text{shell}}$ can be affected by biological factors, which modify the proportion of
988 metabolic C deposited in the shell and by many environmental factors such as
989 temperature, evaporation, soil derived dissolved carbon and the kind and productivity of
990 the dominant plants (or phytoplankton) (Geist et al. 2005; Gillikin et al. 2009; Goewert
991 et al. 2007; Goodwin et al. 2012; Kaandorp et al. 2003; Keller et al. 2002; Klein et al.
992 1996; Krantz et al. 1987; Leng and Marshall 2004; Lorrain et al. 2004; McConnaughey
993 and Gillikin 2008; Moy et al. 2008; Surge and Walker 2006).

994

995 **Trace elements**

996 Since different divalent cations can substitute for calcium in biogenic carbonates, their
997 presence in carbonate deposits or in the shells of aquatic species has been widely
998 studied as proxies for environmental reconstruction. According to Barker et al. (2005),
999 trace element ratios can be analyzed in parallel with $\delta^{18}\text{O}$ measured in the same sample
1000 for obtaining more robust temperature estimations. For marine mollusks, Ferguson et al.
1001 (2011) report that both Mg/Ca ratio and $\delta^{18}\text{O}$ in modern shells of limpets (*Patella* spp.)
1002 reflect the seasonal regime of surface seawater temperature (SST) of the western
1003 Mediterranean. In contrast, Füllenbach et al. (2015) argue that the incorporation of Sr
1004 and Mg is often strongly controlled by physiological factors, and thus Sr/Ca and Mg/Ca
1005 ratios are not reliable as palaeothermometers.

1006 Besides their relative suitability for palaeothermometry, trace elements can supply
1007 information about past environmental conditions, since shell element/Ca ratios reflect
1008 the concentration of elements, such as Mn and Sr in the ambient water at the time of
1009 calcification (Carroll and Romanek 2008; Jeffrey et al. 1995). Peacock and Seltzer
1010 (2008) have recorded increased Sr/Ca ratio in bivalve shells from the late Holocene and
1011 discussed such results in terms of aridity, while Kaandorp et al. (2006) have correlated
1012 Sr concentration in bivalve shells with precipitation-evaporation cycles in Amazonian
1013 freshwaters. Besides water chemistry, temperature, soil erosion, primary productivity

1014 and processes associated with growth exert strong controls on the incorporation of trace
1015 elements, together with other physiological factors which can vary among different
1016 species (Carré et al. 2006; Carroll and Romanek 2008; Freitas et al. 2006; Füllenbach et
1017 al. 2015; Klein et al. 1996; Langlet et al. 2007; Lazaret et al. 2003; Takesue and van
1018 Geen 2004; Tynan et al. 2006).

1019

1020 **References**

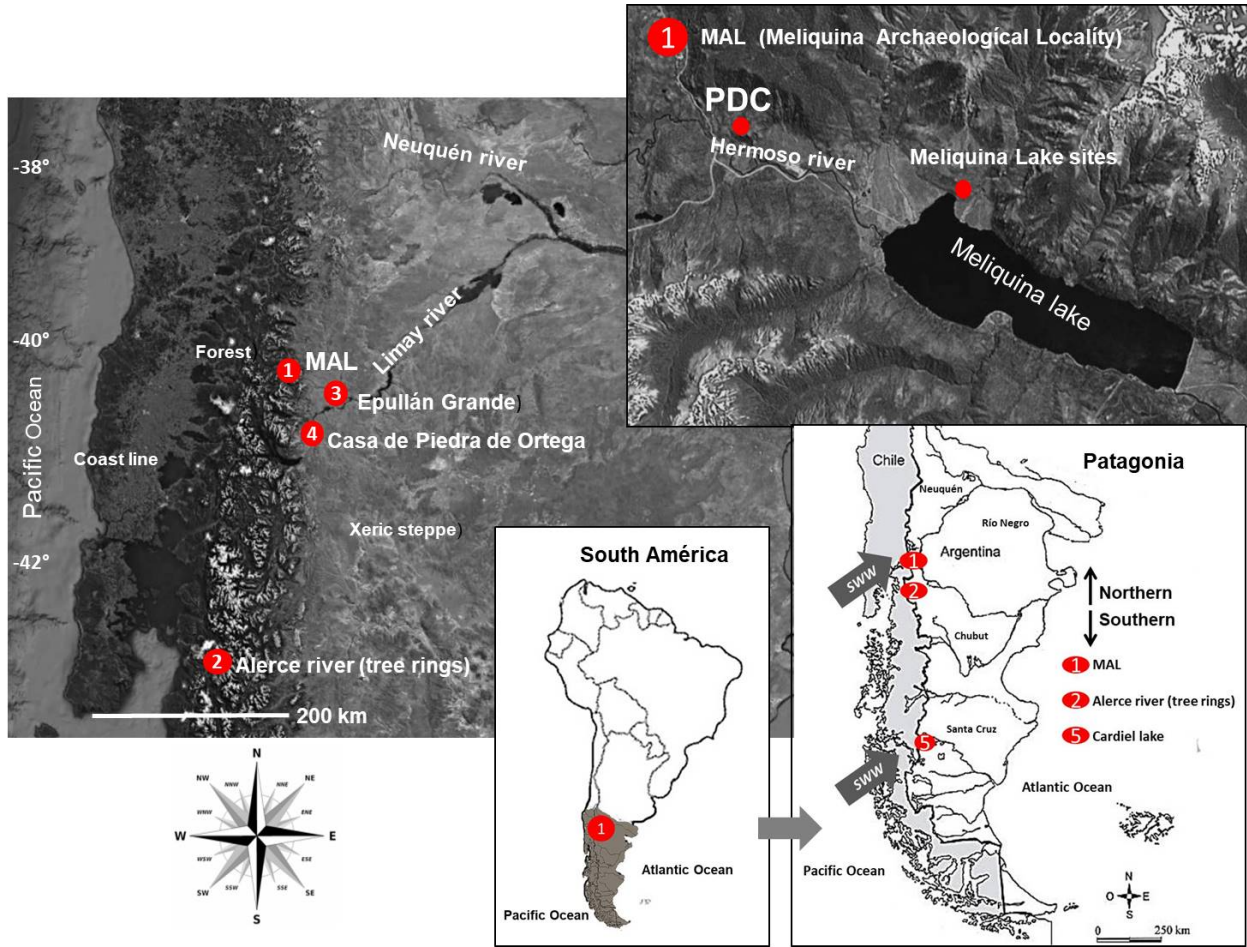
- 1021 Barker, S., Cacho, I., Benway, H., Tachikawa, K. 2005. Planktonic foraminiferal Mg/Ca
1022 as a proxy for past oceanic temperatures: a methodological overview and data
1023 compilation for the Last Glacial Maximum. *Quaternary Science Reviews* 24, 821-834.
- 1024 Carré, M., Bentaleb, I., Blamart, D., Ogle, N., Cardenas, F., Zevallos, S., Kalin, R.,
1025 Ortlieb, L., Fontugne, M., 2005. Stable isotopes and sclerochronology of the bivalve
1026 *Mesodesma donacium*: Potential application to Peruvian paleoceanography
1027 reconstruction. *Palaeogeography Palaeoclimatology Palaeoecology* 228, 4-25.
- 1028 Carré, M., Bentaleb, I., Bruguier, O., Ordinola, E., Barrett, N.T., Fortugne, M., 2006.
1029 Calcification rate influence on trace element concentrations in aragonitic bivalve shells:
1030 Evidences and mechanisms. *Geochimica et Cosmochimica Acta* 70, 4906–4920.
- 1031 Dettman, D.L., Reische, A.K., Lohmann, K.C., 1999. Controls on the stable isotope
1032 composition of seasonal growth bands in aragonitic fresh-water bivalves (Unionidae).
1033 *Geochimica et Cosmochimica Acta* 63, 1049–1057.
- 1034 Epstein, S. Buchsbaum, R., Lowenstam, H.A., Urey, H.C., 1953. Revised carbonate-
1035 water isotopic temperature scale. *Geological Society of America Bulletin*. 64, 1315-
1036 1325.
- 1037 Ferguson, J. E., Henderson, G. M., Fa, D. A., Finlayson, J. C., Charnley, N. R., 2011.
1038 Increased seasonality in the Western Mediterranean during the last glacial from limpet
1039 shell geochemistry. *Earth and Planetary Science Letters* 308, 325–333.
- 1040 Freitas, P. S., Clarke, L. J., Kennedy, H., Richardson, C. A., Abrantes, F., 2006.
1041 Environmental and biological controls on elemental (Mg/Ca, Sr/Ca and Mn/Ca) ratios
1042 in shells of the King scallop *Pecten maximus*. *Geochimica et Cosmochimica Acta* 70,
1043 5119-5133.
- 1044 Füllenbach, C. S., Schöne, B. R., Mertz-Kraus, R., 2015. Strontium/lithium ratio in
1045 aragonitic shells of *Cerastoderma edule* (Bivalvia) - A new potential temperature proxy
1046 for brackish environments. *Chemical Geology* 417, 341–355.

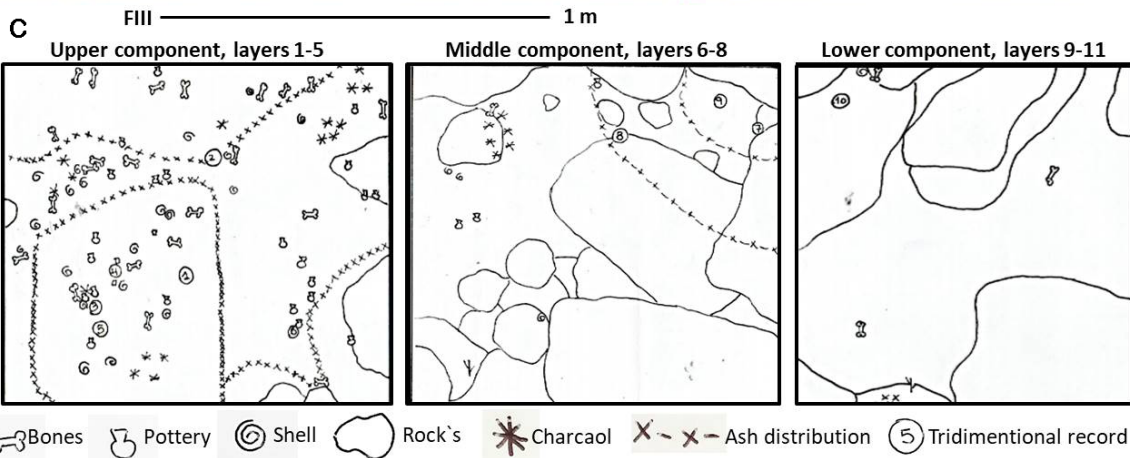
- 1047 Gajurel, A. P., France-Lanord, C., Huyghe, P., Guilmette, C., Gurung, D., 2006. C and
1048 O isotope compositions of modern fresh-water mollusc shells and river waters from the
1049 Himalaya and Ganga plain. *Chemical Geology* 233, 156-183.
- 1050 Geist, J., Auerswald, K., Boom, A., 2005. Stable carbon isotopes in freshwater mussel
1051 shells: Environmental record or marker for metabolic activity? *Geochimica et*
1052 *Cosmochimica Acta* 69, 3545–3554.
- 1053 Gillikin, D. P., Hutchinson, K. A., Kumai, Y., 2009. Ontogenic increase of metabolic
1054 carbon in freshwater mussel shells (*Pyganodon cataracta*). *Journal of Geophysical*
1055 *Research* 114, 1-6.
- 1056 Goewert, A., Surge, D., Carpenter, S., Downing, J., 2007. Oxygen and carbon isotope
1057 ratios of *Lampsilis cardium* (Unionidae) from two streams in agricultural watersheds of
1058 Iowa, USA. *Palaeogeography Palaeoclimatology. Palaeoecology* 252, 637–648.
- 1059 González Guarda, E., Domingo, L., Tornero, C., Pino, M., Hernández Fernández, M.,
1060 Sevilla, P., Villavicencio, N., Agustí, J., 2017. Late Pleistocene ecological,
1061 environmental and climatic reconstruction based on megafauna stable isotopes from
1062 northwestern Chilean Patagonia. *Quaternary Science Reviews* 170, 188-202.
- 1063 Goodwin, D., Schöne, B., Lettman, D., 2003. Resolution and fidelity of oxygen isotopes
1064 as paleotemperature proxies in bivalve mollusc shells: Models and observations.
1065 *PALAIOS* 18, 110–125.
- 1066 Goodwin, D.H., Gillikin, D.P., Roopnarine, P.D., 2012. Preliminary evaluation of
1067 potential stable isotope and trace element productivity proxies in the oyster *Crasostrea*
1068 *gigas*. *Palaeogeography, Palaeoclimatology, Palaeoecology* 373, 88-97.
- 1069 Gordillo, S., Brey, T., Beyer, K., Lomovasky, B.J., 2015. Climatic and environmental
1070 changes during the middle to late Holocene in southern South America: A
1071 sclerochronological approach using the bivalve *Retrotapes exalbidus* (Dillwyn) from the
1072 Beagle Channel. *Quaternary International* 377, 83-90.
- 1073 Grossman, E.L., Ku, T., 1986. Oxygen and carbon isotope fractionation in biogenic
1074 aragonite: Temperature effects. *Chemical Geology* 59, 59–74.
- 1075 Jeffree, R. A., Markich, S. J., Lefebvre, F., Thellier, M., Ripoll, C., 1995. Shell
1076 microlaminations of the freshwater bivalve *Hyridella depressa* as an archival monitor of
1077 manganese water concentration: Experimental investigation by depth profiling using
1078 secondary ion mass spectrometry (SIMS). *Cellular and Molecular Life Sciences* 51,
1079 838-848.

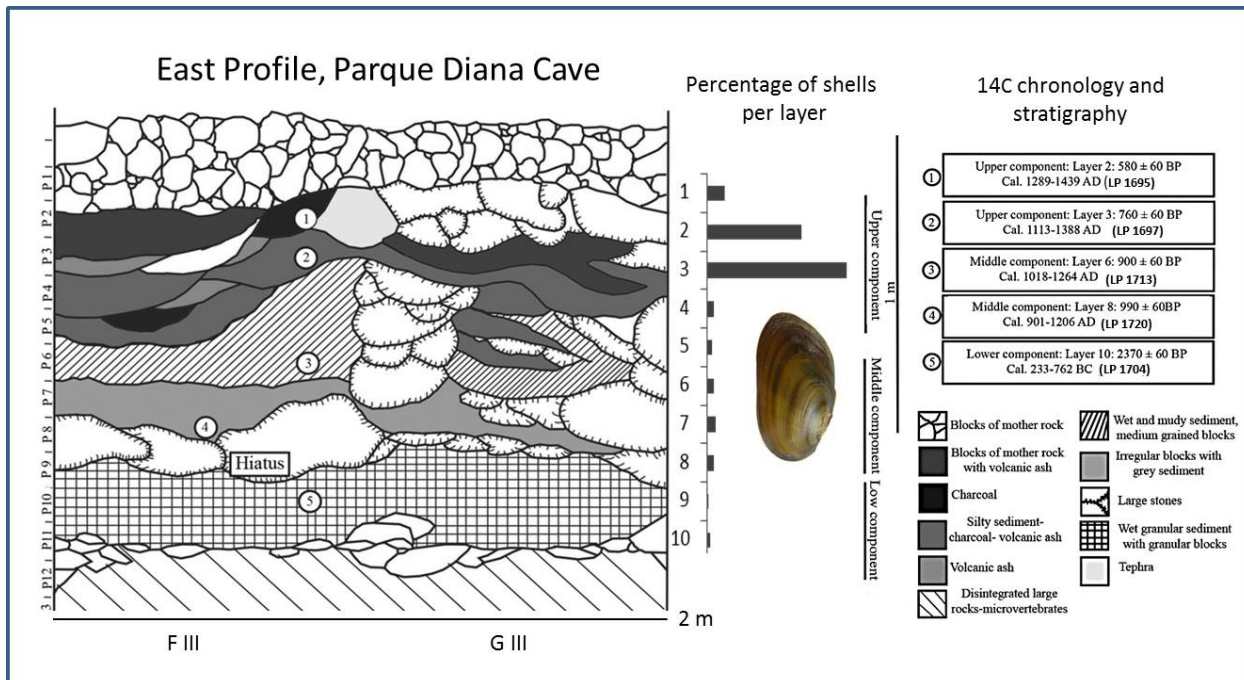
- 1080 Kaandorp, R.J.G., Vonhof, H.B., Del Busto, C., Ganssen, G.M., Marmol, A.E., Romero
1081 Pittman, L., Hinte, J.V., 2003. Seasonal stable isotopes variations of the modern
1082 Amazonian freshwater bivalve *Anodontites trapesialis*. *Palaeogeography,*
1083 *Palaeoclimatology, Palaeoecology* 194, 339-354.
- 1084 Kaandorp, R.J.G., Wesselingh, F.P., Vonhof, H.B., 2006. Ecological implications from
1085 geochemical records of Miocene Western Amazonian bivalves. *Journal of South*
1086 *American Earth Sciences* 21, 54-74.
- 1087 Keller, N., Del Piero, D., Longinelli, A., 2002. Isotopic composition, growth rates and
1088 biological behavior of *Chamelea gallina* and *Callista chione* from the Gulf of Trieste
1089 (Italy). *Marine Biology* 140, 9–15.
- 1090 Klein, R.T., Lohmann, K.C., Thayer, C.W., 1996. Bivalve skeletons record sea-surface
1091 temperature and $\delta^{18}\text{O}$ via Mg/Ca and $^{18}\text{O}/^{16}\text{O}$ ratios. *Geology* 24 (5), 415–418.
- 1092 Krantz, D.E., Williams, D.F., Jones, D.S., 1987. Ecological and paleoenvironmental
1093 information using stable isotope profiles from living and fossil molluscs.
1094 *Palaeogeography, Palaeoclimatology, Palaeoecology* 58, 249–266.
- 1095 Langlet, D., Allegan, Y., Plisnier, D., Hughes, H., André, L., 2007. Manganese content
1096 records seasonal upwelling in Lake Tanganyika mussels. *Biogeosciences* 4, 195-
1097 203. Lavergne, A., Daux, V., Villalba, R., Pierre, M., Stievenard, M., Srur, A.M., 2017.
1098 Improvement of isotope-based climate reconstructions in Patagonia through a better
1099 understanding of climate influences on isotopic fractionation in tree rings. *Earth and*
1100 *Planetary Science Letters* 459, 372–380.
- 1101 Lazaret, C.E., Van der Putten, E., André, L., Dehairs, F., 2003. High-resolution trace
1102 element profiles in shells of the mangrove bivalve *Isognomon ehippium*: a record of
1103 environmental spatio-temporal variations? *Estuarine, Coastal and Shelf Science* 57,
1104 1103–1114.
- 1105 Leng, M. J., Marshall, J. D., 2004. Palaeoclimate interpretation of stable isotope data
1106 from lake sediment archives. *Quaternary Science Reviews*, 23, 811–831. Lorrain, A.,
1107 Paulet, Y.M., Chauvaud, L., Dunbar, R., Mucciarone, D., Fontugne, M., 2004. $\delta^{13}\text{C}$
1108 variation in scallop shells: increasing metabolic carbon contribution with body size.
1109 *Geochimica et Cosmochimica Acta* 68, 3509–3519.
- 1110 Marwick, B., Gagan, M. K., 2011. Late Pleistocene monsoon variability in northwest
1111 Thailand: an oxygen isotope sequence from the bivalve *Margaritanopsis laosensis*
1112 excavated in Mae Hong Son province. *Quaternary Science Reviews* 30, 3088-3098

- 1113 McConnaughey, T.A., Gillikin, D., 2008. Carbon isotopes in mollusk shell carbonates.
1114 Geo-Marine Letters 28, 287-299.
- 1115 McCrea, J.M., 1950. On the isotopic chemistry of carbonates and a paleotemperature
1116 scale. Journal of Chemical Physics 18, 849-857.
- 1117 Moy, C.M., Dunbar, R.B., Moreno, P.M., Francois, J.P., Villa-Martinez, R.,
1118 Mucciarone, D.M., Guilderson, T.P., Garreaud, R.D., 2008. Isotopic evidence for
1119 hydrologic change related to the westerlies in SW Patagonia, Chile, during the last
1120 millennium. Quaternary Science Reviews 27, 1335-1349.
- 1121 Peacock, E., Seltzer, J., 2008. A comparison of multiple proxy data sets for
1122 paleoenvironmental conditions as derived from freshwater bivalve (Unionid) shell.
1123 Journal of Archaeological Science 35, 2557–2565
- 1124 Ricken, W., Steuber, T., Freitag, H., Hirschfeld, M., Niedenzu, B., 2003. Recent and
1125 historical discharge of a large European river system - oxygen isotopic composition of
1126 river water and skeletal aragonite of Unionidae in the Rhine, Palaeogeography,
1127 Palaeoclimatology, Palaeoecology 193, 73-86.
- 1128 Rodrigues, D., Abell, P. I., Kröpelin, S., 2000. Seasonality in the early Holocene
1129 climate of Northwest Sudan: interpretation of *Etheria elliptica* shell isotopic data.
1130 Global and Planetary Change 26, 181-187.
- 1131 Schöll-Barna, G., 2011. An isotope mass balance model for the correlation of
1132 freshwater bivalve shell (*Unio pictorum*) carbonate $\delta^{18}\text{O}$ to climatic conditions and
1133 water $\delta^{18}\text{O}$ in Lake Balaton (Hungary). Journal of Limnology 70, 272-282.
- 1134 Schöne, B.R., Page, N.A., Rodland, D.L., Fiebig, J., Baier, S., Helama, S.O., Schmann,
1135 W., 2007. ENSO-coupled precipitation records (1959-2004) based on shells of
1136 freshwater bivalve mollusks (*Margaritifera falcata*) from British Columbia. International
1137 Journal of Earth Sciences 96, 525–540.
- 1138 Surge, D., Walker, K.J., 2006. Geochemical variation in microstructural shell layers of
1139 the southern quahog (*Mercenaria campechiensis*): Implications for reconstructing
1140 seasonality. Palaeogeography, Palaeoclimatology, Palaeoecology 237, 182-190.
- 1141 Takesue, R.K., Van Geen, A., 2004. Mg/Ca, Sr/Ca, and stable isotopes in modern and
1142 Holocene *Protothaca staminea* shells from a northern California coastal upwelling
1143 region. Geochimica et Cosmochimica Acta 68, 3845-3861.
- 1144 Tynan, S., Opdyke, B., Ellis, D., Beavis, S., Welch, S., Kirste, D., Wallace, L., 2006.
1145 Interpreting the trace element ratios of freshwater bivalve shells and their applications to

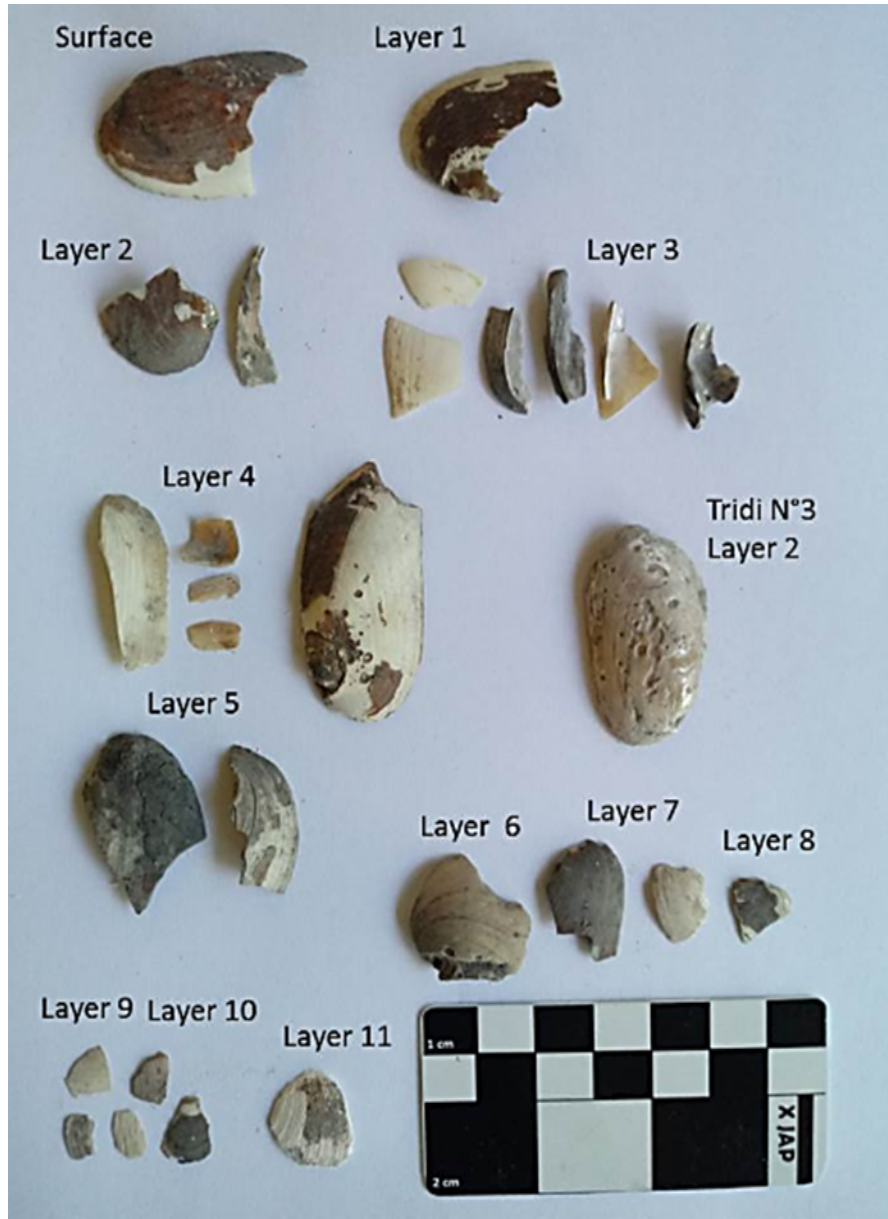
- 1146 understand environmental variability. *Regolith Consolidation and dispersion of ideas*,
1147 pp. 330-354.
- 1148 Versteegh, E.A.A., Vonhof, H.B., Troelstra, S.R., Kaandorp, R.J.G., Kroon, D., 2010.
1149 Seasonally resolved growth of freshwater bivalves determined by oxygen and carbon
1150 isotope shell chemistry. *Geochemistry, Geophysics, Geosystems* 11, 1-16.
- 1151 Versteegh, E.A.A., Vonhof, H. B., Troelstra, S. R., Kroon, D., 2011. Can shells of
1152 freshwater mussels (Unionidae) be used to estimate low summer discharge of rivers and
1153 associated droughts? *International Journal of Earth Sciences* 100, 1423-1432.
- 1154 Yan, H, Xinqing. L., Zhou, H., Cheng, H., Peng, Y., Zhou, Z., 2009. Stable isotope
1155 composition in modern freshwater bivalve *Corbicula fluminea*. *Geochemical Journal* 43,
1156 379-387.

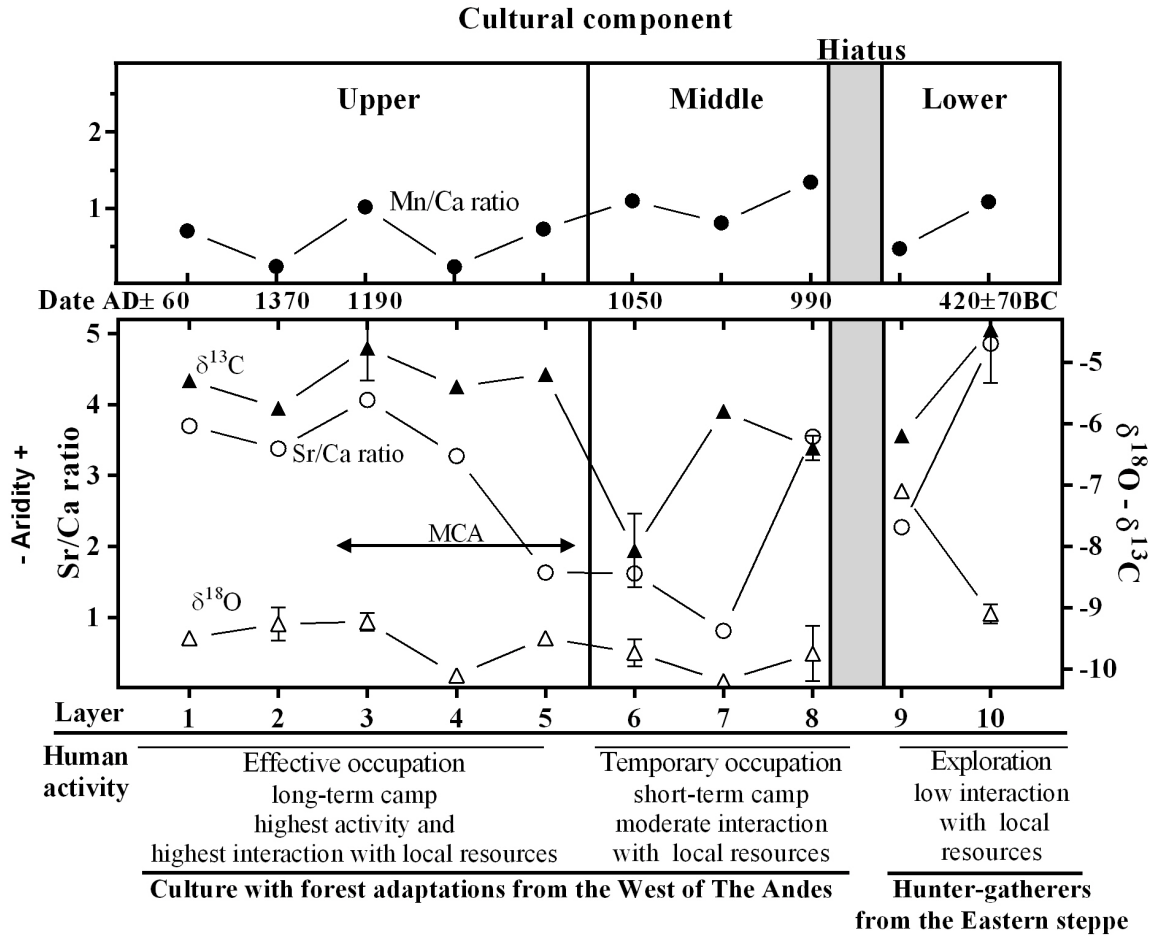






Journal Pre-proof







UNIVERSIDAD CATOLICA
DE TEMUCO

Temuco, Chile, January 10th 2020

Dr. Thijs van Kolfschoten
Editor Quaternary International

Dear Dr. Kolfschoten,

None of the authors have any conflicts of interest to declare.

Kind regards

Alberto E. Pérez
Departamento de Antropología,
Universidad Católica de Temuco, Chile