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Lightweight Mechanical Metamaterials designed using Hierarchical Truss Elements

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Abstract

Rotating unit systems constitute one of the main classes of auxetic metamaterials. In this work, a new design procedure for lightweight auxetic systems based on this deformation mechanism is proposed through the implementation of a hierarchical triangular truss network in place of a full block of material for the rotating component of the system. Using numerical simulations in conjunction with experimental tests on 3D printed prototypes, the mechanical properties of six types of auxetic structures, which include a range of rotating polygons and chiral honeycombs, were analysed under the application of small tensile loads. The results obtained show that there is almost no difference in the Poisson's ratios obtained from the regular, full structures and the ones made from triangular truss systems despite the latter, in some cases, being 80% lighter than the former. This indicates that these systems could be ideal candidates for implementation in applications requiring lightweight auxetic metamaterial systems such as in the aerospace industry.

Keywords: Auxetics, Mechanical Metamaterials, Truss Systems, Mechanical Properties, 3D Printing, Lightweight Systems

1. Introduction

Mechanical metamaterials are systems whose mechanical properties are derived primarily from their structural framework and geometric design. These systems have attracted a great deal of interest in the fields of material science and engineering due to their capability to exhibit a wide range of mechanical properties including unusual properties such as a negative Poisson's ratio (auxeticity) [1–4]. These 'negative' mechanical properties are typically accompanied by other derived unusual characteristics such as synclastic curvature [5], increased indentation

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3 resistance [6] and enhanced acoustic performance [7] which makes them particularly suitable
4 for a variety of applications.
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7 One of the main classes of auxetic mechanical metamaterials is that of rotating unit systems.
8 These metamaterials are typically made up of rigid or semi-rigid blocks of material which
9 rotate relative to each other when the system is loaded. The earliest and most commonly known
10 example of these systems is the rotating square system [8], which as its name implies, is made
11 up of square building blocks. In its ideal form, the rotating square system has an isotropic
12 Poisson's ratio of -1 and deforms solely *via* rotation of the rigid square units. This 'rotating
13 mechanism' is not limited to square systems only and can also be observed in a number of 2D
14 and 3D systems including rotating triangles [9,10], rectangles [11], parallelograms [12,13] and
15 cubes [14] amongst others. Chiral metamaterial structures [15–18] also possess this 'rotating'
16 characteristic. However, unlike the former class of systems, the rotating units in chiral
17 honeycombs are connected to each other through ligaments rather than joints, with the
18 ligaments undergoing deformation while the 'rigid' chiral unit rotates. Similarly to rotating
19 rigid unit structures, there is a wide range of possible geometries which may be obtained for
20 these systems depending on the shape of the chiral unit and their mode of connection and thus
21 these systems also have the potential to exhibit an extremely large spectrum of mechanical
22 properties.
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35 In both of these cases, the efficacy of the 'rotating mechanism' depends primarily on the
36 rigidity/shape retention of the rotating unit. The most common method employed to enforce
37 this in chiral and rotating rigid unit structures is by typically designing the rotating units as a
38 solid block of material. This makes them extremely stiff and thus the bulk of deformation of
39 the structure occurs at the joint and/or ligament regions of the unit upon loading, leading to
40 rotation of the unit. However, this is not the only method through which rigidity of the rotating
41 unit may be achieved. Indeed, one may argue that such a method is extremely inefficient since
42 the bulk of material and hence, mass, within the system remains undeformed during loading
43 and is being 'wasted' solely to maintain the rigidity of the rotating unit. This could be a
44 significant disadvantage in some cases which could discourage the use of these metamaterial
45 geometries for applications where the material composition of the metamaterial is extremely
46 costly or lightweight structures are required. In some cases, such as in chiral honeycombs made
47 from circular nodes, the problem has been tackled by employing hollow chiral nodes [19–22].
48 However, this approach has its own limitations since in order to ensure that a high negative
49 Poisson's ratio is retained, chiral nodes must have a suitably small radius and appropriate
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3 ligament thickness in comparison to the ligament length of the struts connecting the nodes so
4 as to remain rigid.
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8 In view of this, in this work, a study aimed at designing lightweight metamaterial systems based
9 on rotating unit structures whilst maintaining the auxetic potential of these systems, was
10 conducted. The investigation was primarily focused on exploring the merits of introducing
11 hierarchical triangular truss-like components within the rotating unit and analysing the effects
12 of these structural frameworks on the mechanical properties of the studied systems. Previous
13 studies on other hierarchical auxetic systems have shown that the introduction of hierarchy in
14 such structures may be used to obtain enhanced mechanical properties and/or provide structural
15 stability through smart design [23–30]. Hierarchical structures, which are defined as structures
16 which are themselves made from structures [31], are not limited solely to fractal or fractal-like
17 systems [25,32,33], but may also include the use of different geometries and mechanisms at
18 separate hierarchical levels aimed at conferring additional enhanced properties to the system.
19 In order to obtain a complete picture of this effect, a number of 2D metamaterial geometries
20 with varying degrees of symmetry were investigated using Finite Element (FE) Analysis and
21 experimental tests on 3D printed models.
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34 **2. Methodology**

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37 A pictorial representation of the 2D metamaterial geometries which were considered in this
38 study is shown in **Figure 1**. The systems selected for this study were the rotating triangles [9],
39 rotating squares [8], Type I rotating rectangles [11], Type I β rotating parallelograms [12], anti-
40 tetrachiral [17] and hexachiral [15] honeycombs. These metamaterial geometries were chosen
41 since they encompass the main systems in the classes of chiral and rotating rigid unit structures,
42 i.e. three polygonal rotating unit shapes; triangles, quadrilaterals and hexagons, as well as
43 varying degrees of symmetry in the case of quadrilaterals in the form of squares, rectangles
44 and parallelograms. In addition, the two forms of chiral honeycombs chosen for investigation
45 are both the most well-known examples of anti-chiral and chiral honeycombs respectively.
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	Full	Frame Truss	Triangular Truss 1	Triangular Truss 2
Rotating Triangles				
Rotating Squares				
Rotating Type I Rectangles				
Rotating Type I α Parallelograms				
Anti-Tetrachiral Honeycomb				
Hexachiral Honeycomb				

Figure 1: Pictorial representation of the four forms (Full, Frame Truss, Triangular Truss 1 and Triangular Truss 2) of the six auxetic metamaterial geometries investigated here including the geometric parameters used to define them.

Four cases were considered for each of these metamaterial geometries (see **Figure 1**). The first case was the ‘full’ structure where the rotating unit is completely filled with material. This is the case most commonly studied in literature and is considered to be the standard method for designing these metamaterials. The second case is the ‘frame truss’ geometry where the rotating unit is designed only as a frame made up of beam-like ligaments. In the third and fourth cases, the rotating unit is reinforced by the introduction of additional ligaments specifically designed to form triangular components. As shown in **Figure 1**, the third case, labelled as

‘Triangular Truss 1’, is the geometrical configuration which permits the introduction of the minimum amount of additional triangular components to the rotating unit polygon, i.e. two in the case of the triangle and the quadrilaterals and four in the case of the hexagon. On the other hand, the fourth case, hereby designated as ‘Triangular Truss 2’, denotes the configuration which introduces the same number of triangular components as the number of sides of the rotating polygon, i.e. three, four and six in the cases of the triangles, quadrilaterals and hexagons respectively. Through this design, the rotating unit retains its original level of symmetry.

The simulations were conducted on the ANSYS16 Finite Element software using quadratic PLANE183 elements at linear plane-stress conditions. For each of the four cases mentioned previously, five different ligament thicknesses, t , were used, with the ligament thickness of the rotating unit frames being equal to that of the ligaments connecting the rotating units in the case of the chiral honeycombs. The range of thicknesses chosen for each structure were specifically chosen to cover thin, long ligaments, which behave predominantly as Euler-Bernoulli beams and thick, stubby ones which whose behaviour may be more accurately described by Timoshenko’s beam theory. In addition, a number of geometric configurations were considered for each of these cases, with at least one where the mechanism is close to its fully-closed form, i.e. $\theta \rightarrow 0^\circ$, and another one where the mechanism in question is near its theoretical fully opened state, θ_{max} . Obviously, the actual values of θ depend entirely on the geometry in question, with each geometry having a different possible θ_{max} . All other parameters (see **Figure 1**) were kept constant for each geometry and were set as follows:

Table 1: Parameters of the systems simulated in this study. Note that the parameter α is only a variable in the case of the rotating parallelogram system; in the other geometries it is constrained to a single value by the symmetry of the rotating unit, i.e. it is 60° for the rotating triangle system, 90° for the other rotating quadrilateral systems and 120° for the hexachiral system. In addition to these parameters, each of these systems were constructed according to the four forms shown in Figure 1.

Geometry	a	b	l	α	r	o	θ	t
Rotating Triangle	30	N/A	N/A	N/A	0.2	$\frac{a}{20}$	$30^\circ \dots 90^\circ$	$\frac{a}{48} \dots \frac{a}{8}$
Rotating Square	30	N/A	N/A	N/A	0.2	$\frac{a}{20}$	$20^\circ \dots 80^\circ$	$\frac{a}{48} \dots \frac{a}{8}$
Rotating Rectangle	30	45	N/A	N/A	0.2	$\frac{a}{20}$	$28^\circ \dots 80^\circ$	$\frac{a}{48} \dots \frac{a}{8}$

Rotating Parallelogram	30	45	N/A	120°	0.2	$\frac{a}{20}$	20° ... 106°	$\frac{a}{48} \dots \frac{a}{8}$
Anti-Tetrachiral	30	N/A	60	N/A	0.2	$\frac{a}{20}$	20° ... 90°	$\frac{a}{48} \dots \frac{a}{8}$
Hexachiral	30	N/A	36	N/A	0.2	$\frac{a}{20}$	20° ... 60°	$\frac{a}{48} \dots \frac{a}{8}$

While the parameters a , b , l , α , θ and t , shown in **Figure 1**, define the main overall geometric parameters of metamaterial systems, o and r define the geometry of the joint regions. As shown in **Figure 2**, two types of joint are present in these systems and in each case, the parameter r defines the radius of the fillet geometry which is used to eliminate the formation of sharp corners within the system. For the rotating polygon systems (**Figure 2a**), the joint is made up of two rotating blocks which ‘overlap’ each other. The degree of overlap, o , is defined as the thickness of the joint in the direction normal to that of the overlapping corners of the rotating unit. The example shown in **Figure 2a** represents a rotating square or rectangle system, where the internal angle α of the rotating unit is 90°. On the other hand, in the case of the chiral and anti-chiral systems (see **Figure 2b**), the amount of additional material at the joint is defined only by r . Here it is important to point out that the introduction of a fillet at the joint does not necessarily mean that additional material is added – this is only the case if the angle in question is less than 180°. Otherwise it results in a loss of material as shown in **Figure 2b**.

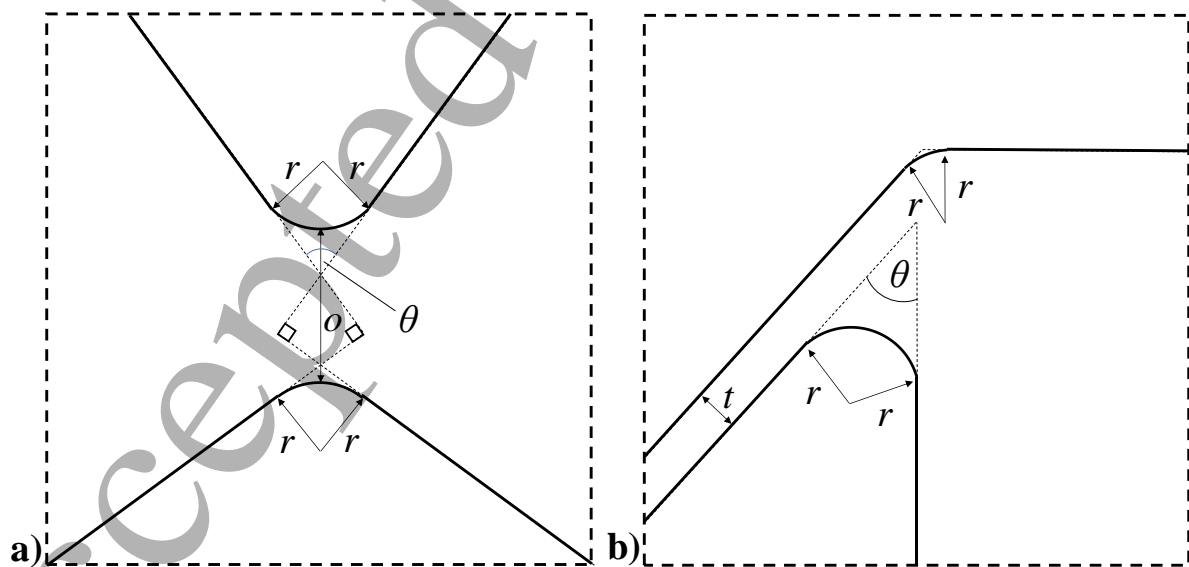


Figure 2: Diagram showing how the joints of (a) the rotating rigid unit systems and (b) the chiral and anti-chiral systems were constructed. Note that while in (a) material is added at the joints, in (b) a significant

amount of material is added from one side of the joint while a small amount is subtracted from the opposite side as a result of the implementation of the fillet geometry.

Each system was simulated as a single unit cell using periodic boundary conditions for uniaxial tensile loading in the x - and y -directions respectively. The periodic boundary conditions were implemented through the use of constraint equations and fixes as in the method detailed in [34] and deformation was induced through the application of forces on the edge nodes of the unit cell. Since we are primarily concerned with the small strain behaviour of these systems the simulations were computed using a linear solver. A Young's Modulus of 1.68 GPa and a Poisson's ratio of 0.3 were used to describe the material properties of the system.

In addition to the linear simulations, one set of rotating square geometries were manufactured using a Formlabs2 3D printer using the Formlabs Tough Resin and loaded in the y -direction with a small tensile strain using a tensile loading device. These systems were designed according to the following specifications: $a = 17$ mm, $t = 0.77$ mm, $o = 0.85$ mm, $r = 0.15$ mm and $\theta = 48^\circ$, and consisted of 3×3 repeating unit cells as shown in **Figure 3**. The deformation of the central representative unit cell was evaluated using Digital Image Correlation (DIC) using the Istra3D[®] software where two points on each of the four external boundaries of representative unit cell were tracked in order to determine the engineering strain in the x - and y -directions. The material used has an intrinsic linear Young's modulus of 1.68 GPa [35] and a full stress-strain plot can be found in [36,37]. Nonlinear FE simulations on systems corresponding to the 3D printed prototypes were also conducted, using boundary conditions equivalent to these of the experimental tensile loading machine.

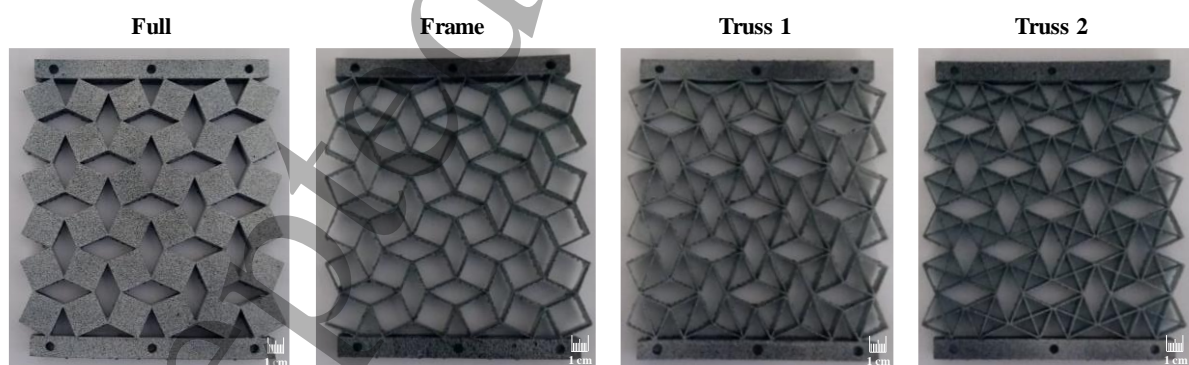


Figure 3: Images showing the four 3D printed rotating square systems. The systems were spray-painted after printing in order to generate the speckle pattern required for the DIC analysis.

3. Results and Discussion

The results obtained for the linear Finite Element simulations of each geometry as constructed with the four types of internal geometry shown in **Figure 1** are presented in this section followed by the experimental results.

3.1 Linear Finite Element Simulations

Rotating Triangles

Plots showing the Poisson's ratios and effective Young's moduli obtained for the rotating triangle systems with a ligament thickness of $a/48$ (the smallest thickness) for loading in the x -direction are shown in **Figure 4**. The effective Young's modulus, E_{eff} , is defined as the ratio of the measured Young's modulus of the geometry, E^* , in comparison to the material Young's

modulus, E_{mat} , i.e. $E_{eff} = \frac{E^*}{E_{mat}}$.

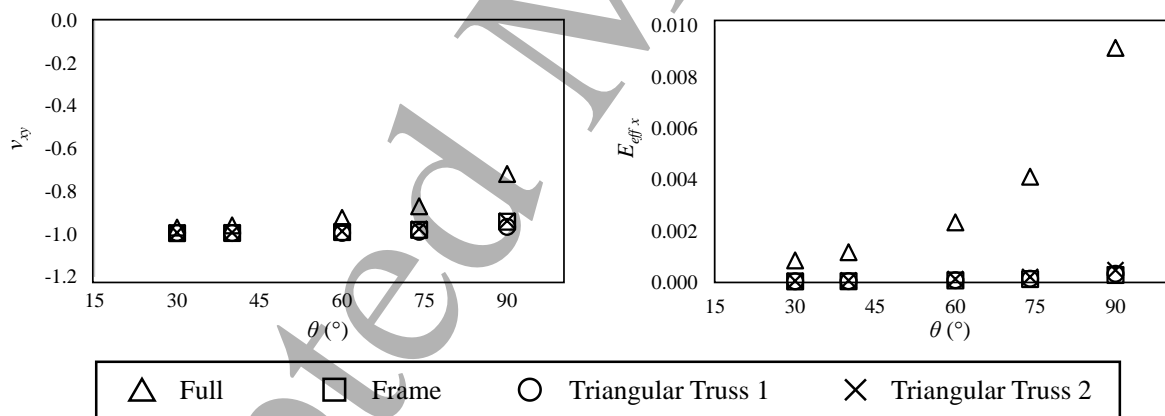


Figure 4: Plots showing the Poisson's ratio and effective Young's moduli for rotating triangle systems with $t = a/48$ loaded in the x -direction.

It is evident from **Figure 4** that the internal structure of the rotating triangle system has a significant effect on the mechanical properties of the overall system, particularly in the case of systems with large θ values. While it is observed that the Poisson's ratio becomes less negative as θ increases for all systems, this effect is especially pronounced in the case of the full systems where the Poisson's ratio goes from *ca.* -1 for the system where $\theta = 30^\circ$ to *ca.* -0.7 for $\theta = 90^\circ$. On the other hand, for the frame and triangular truss systems, this change is much less drastic.

This decrease in auxeticity is typically observed for rotating rigid unit systems as the system approaches its fully open conformation (in this case it is achieved when θ becomes equal 120°), however it appears that the frame and triangular truss systems are not as adversely affected by this factor as their full counterparts. In full systems, this usually occurs due to the fact that the effective Young's modulus of the rotating rigid unit mechanism increases as θ increases [9] and thus other mechanisms which are not conducive to enhancing the auxetic behaviour of the system such as localized stretching of the joint regions come into play. This is evident from **Figure 5**, where it is shown that for the full systems the stress is concentrated solely at the joint regions. However, in the truss systems the stress is distributed throughout the ligaments and the joints, with the former undergoing flexure. This results in the 'rotating unit' component of the system losing its rigidity, however unlike localized 'stretching' of the joints, this deformation mechanism does not result in a reduction of the auxeticity of the system due to the triangulation of the truss components. This effect, where the ligaments bend concurrently, has been observed previously in a number of auxetic systems made from ligaments arranged in a triangular configuration and can be considered an auxetic mechanism in its own right [38,39]. This deformation mechanism also accounts for the large difference in the effective Young's modulus observed between the full and truss systems (see **Table 2**).

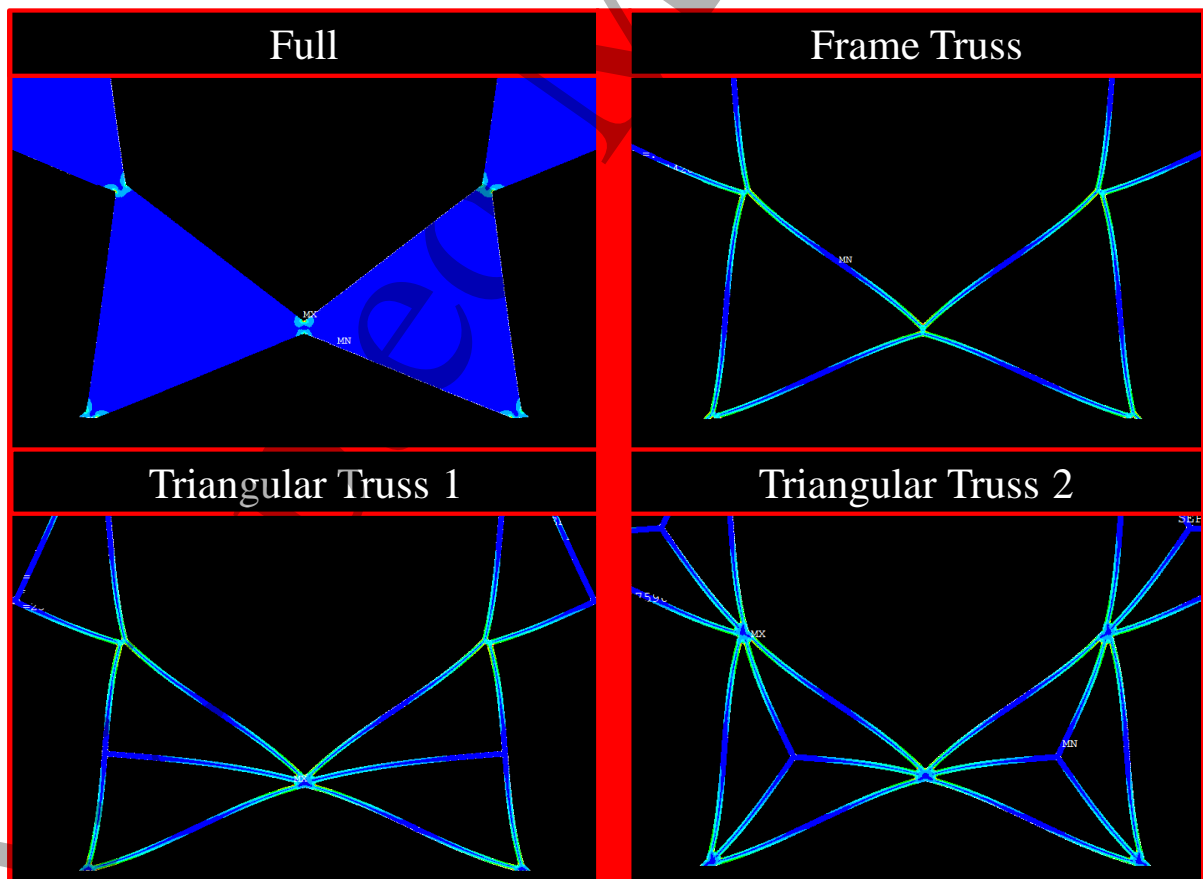


Figure 5: Images showing the equivalent Tresca stress distribution and deformed shape (with magnified displacement scaling) of the rotating triangle systems with a θ angle of 90° and $t = a/48$ for loading in the x -direction.

Table 2: Table presenting the absolute linear Poisson's ratios and Young's moduli values (assuming unit thickness in the z -direction and $E_{mat} = 1.68\text{GPa}$) of the rotating triangle systems with a θ angle of 90° and $t = a/48$ for loading in the x - and y -directions (systems shown in Figure 5).

Truss Geometry	ν_{xy}	ν_{yx}	E_x (MPa)	E_y (MPa)
Full	-0.718	-0.718	15.372	15.372
Frame Truss	-0.942	-0.942	0.495	0.495
Triangular Truss 1	-0.966	-0.937	0.573	0.556
Triangular Truss 2	-0.938	-0.938	0.813	0.813

Another important point that must be mentioned is the effect of the ligament arrangement on the isotropy of the system. The rotating triangle mechanism is known to exhibit in-plane isotropy [9] and this property appears to be retained for the full, frame truss and triangular truss 2 system as evident from Table 2. However, this appears not to be the case for the triangular truss 1 system. This is almost certainly due to the fact that the addition of a central ligament in the triangular rotating unit results in a loss of the overall hexagonal symmetry of the system, which is present for the other three configurations of this geometry.

Rotating Quadrilaterals

The plots for the mechanical properties of the three rotating quadrilateral systems investigated here (shown in Figure 6) show similar trends to the rotating triangle systems, with respect to the changes in mechanical properties upon changing rotating unit configuration, with one main exception; the frame truss systems exhibit completely different trends in comparison to the triangular truss and full geometries. In fact, regardless of the Poisson's ratio of the other rotating unit geometries, the frame truss systems consistently exhibit a highly positive Poisson's ratio. This is due to the fact that, unlike the frame rotating triangles system, the frame rotating quadrilateral systems do not possess a triangular truss arrangement within the rotating unit. This results in a loss of rigidity which in turn manifests into a loss of the auxetic rotating unit mechanism. It is evident from Figure 7, where the deformed frame rotating square system is shown, that the rotating square unit does not retain its shape and rotate as a whole but, rather, deforms in a wine-rack-like mechanism. The onset of this mechanism is characterized by highly positive Poisson's ratios, which accounts for the trends observed in the plots in Figure

6.

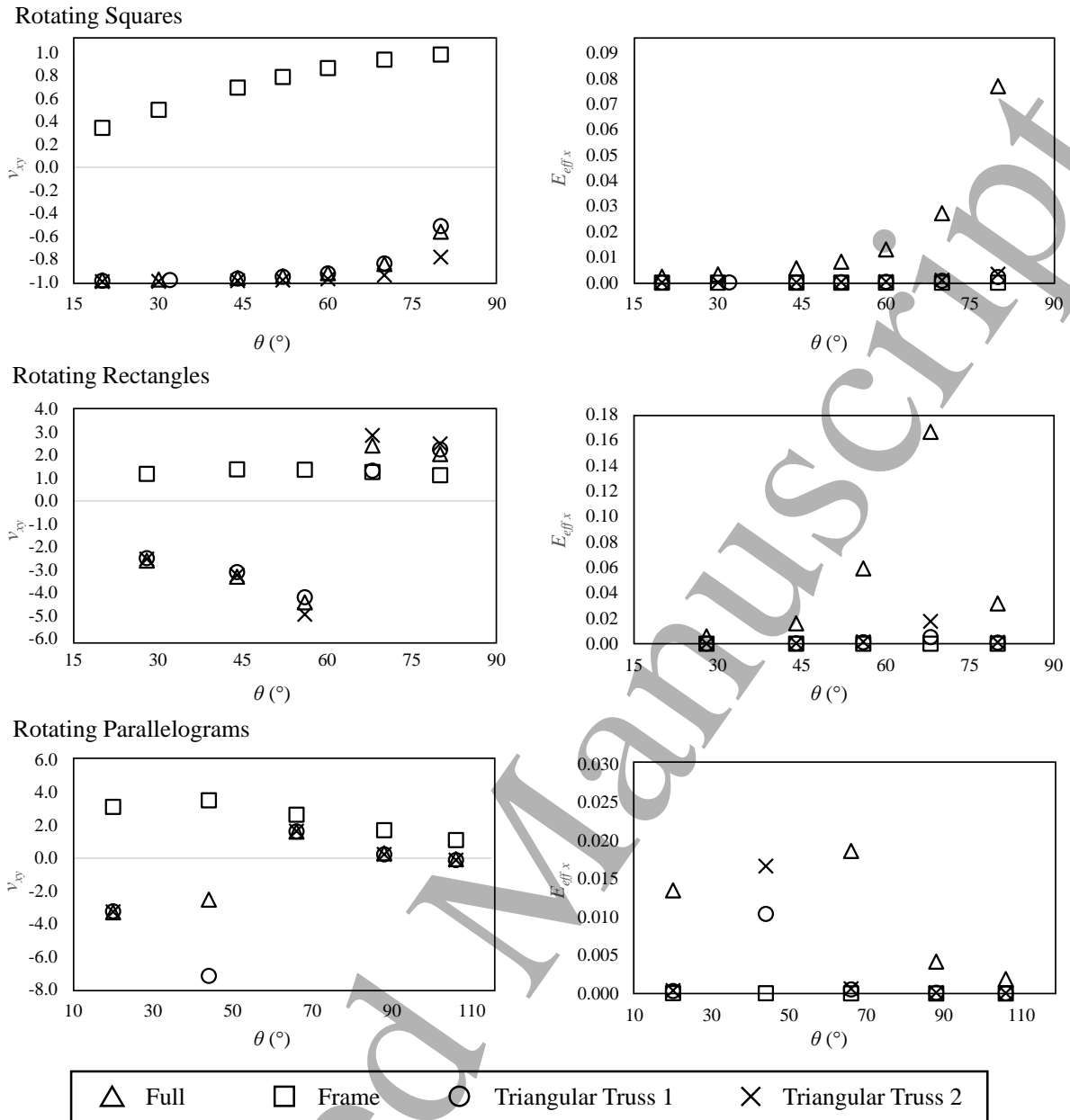


Figure 6: Plots showing the Poisson's ratio and effective Young's moduli for the rotating square, rectangle and parallelogram systems with $t = a/48$ loaded in the x-direction.

The plots in **Figure 6** indicate that, on the other hand, the full and triangular truss systems all exhibit very similar Poisson's ratios, indicating that the rotating unit mechanism is still the predominant deformation mechanism for the latter systems. In the case of the rotating square systems, the structures with $\theta = 20^{\circ}$ and 44° with triangular truss and full rotating units all showed Poisson's ratio close to -1, which is the hallmark for the rotating square mechanism. Also, for systems with a θ value of 80° , which is close to the fully opened conformation of $\theta = 90^{\circ}$, the symmetric triangular truss system (Triangular Truss 2) showed an overall smaller reduction in auxeticity in comparison to the Triangular Truss 1 and full system. Furthermore,

as evident from **Table 3**, the Triangular Truss 1 system exhibits slightly anisotropic behaviour which is not observed in the Triangular Truss 2 and full systems. This behaviour is analogous to that observed for the rotating triangle systems and is similarly due to the loss of symmetry imposed on the rotating unit by the geometric configuration of the triangular truss network.

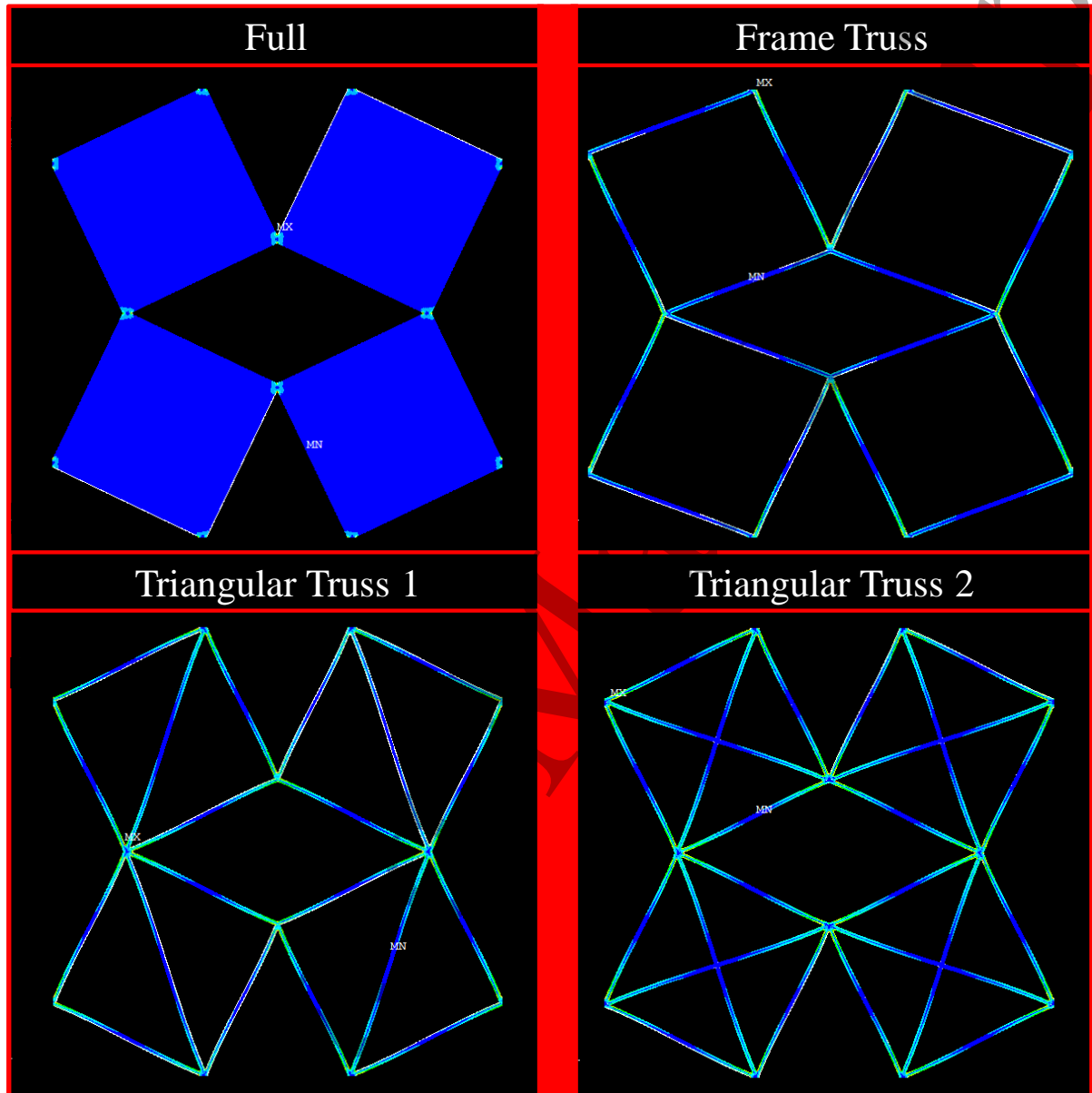


Figure 7: Images showing the equivalent Tresca stress distribution and deformed shape (with magnified displacement scaling) of the rotating square systems with a θ angle of 44° and $t = a/48$ for loading in the x -direction.

Table 3: Table presenting the absolute linear Poisson's ratios and Young's moduli values (assuming unit thickness in the z -direction and $E_{mat} = 1.68\text{GPa}$) for one set of angles of the rotating square, rectangle and parallelograms systems with $t = a/48$ for loading in the x - and y -directions. The chosen rotating square systems are the ones shown in Figure 7.

Truss Geometry	ν_{xy}	ν_{yx}	E_x (MPa)	E_y (Mpa)
Rotating Square ($\theta = 44^\circ$)				
Full	-0.964	-0.964	9.514	9.514

Frame Truss	0.695	0.695	0.0354	0.0354
Triangular Truss 1	-0.968	-0.980	0.2780	0.2816
Triangular Truss 2	-0.988	-0.988	0.3332	0.3332
Rotating Type I Rectangles ($\theta = 44^\circ$)				
Full	-3.296	-0.273	26.964	2.231
Frame Truss	1.384	0.417	0.0309	0.00932
Triangular Truss 1	-3.092	-0.298	0.5895	0.0568
Triangular Truss 2	-3.229	-0.301	0.7099	0.0661
Rotating Type Ia Parallelograms ($\theta = 66^\circ$)				
Full	1.620	0.546	31.320	10.558
Frame Truss	2.655	0.373	0.04757	0.00668
Triangular Truss 1	1.638	0.593	0.9013	0.3263
Triangular Truss 2	1.653	0.589	1.0608	0.3784

Unlike the rotating square systems, the rotating rectangle and parallelogram systems exhibit extremely high levels of anisotropy. This is an inherent property of these systems which are also well-known for their large versatility and ability to exhibit a wide range of mechanical properties ranging from highly positive Poisson's ratios to giant auxetic behaviour. This versatility is amply demonstrated in **Figure 6** and **Table 3**, where Poisson's ratios ranging from -3.2 up to 1.6 were obtained from the full geometries of these structures. This range of Poisson's ratio was matched by the respective triangular truss counterparts of these systems, which is indicative of the retention of the rotating mechanism as the predominant deformation mechanism. On the other hand, the frame structures, while also exhibiting high levels of anisotropy, showed a positive Poisson's ratio at all times, which is the result of the wine-rack type deformation mechanism.

The Young's moduli of these systems also follow a similar trend to those of the rotating triangle systems, with the full rotating unit geometries possessing values which are several orders of magnitude higher than the truss systems. The frame systems show the lowest values, as expected, while the triangular truss systems possess more or less similar rigidities, with the Triangular Truss 2 systems exhibiting slightly higher values than their Triangular Truss 1 counterparts in all cases.

Chiral Honeycombs

The last set of structures consist of the two chiral systems; the anti-tetachiral and hexachiral honeycombs. The plots of the mechanical properties of these systems for loading in the x -direction are presented in **Figure 8**.

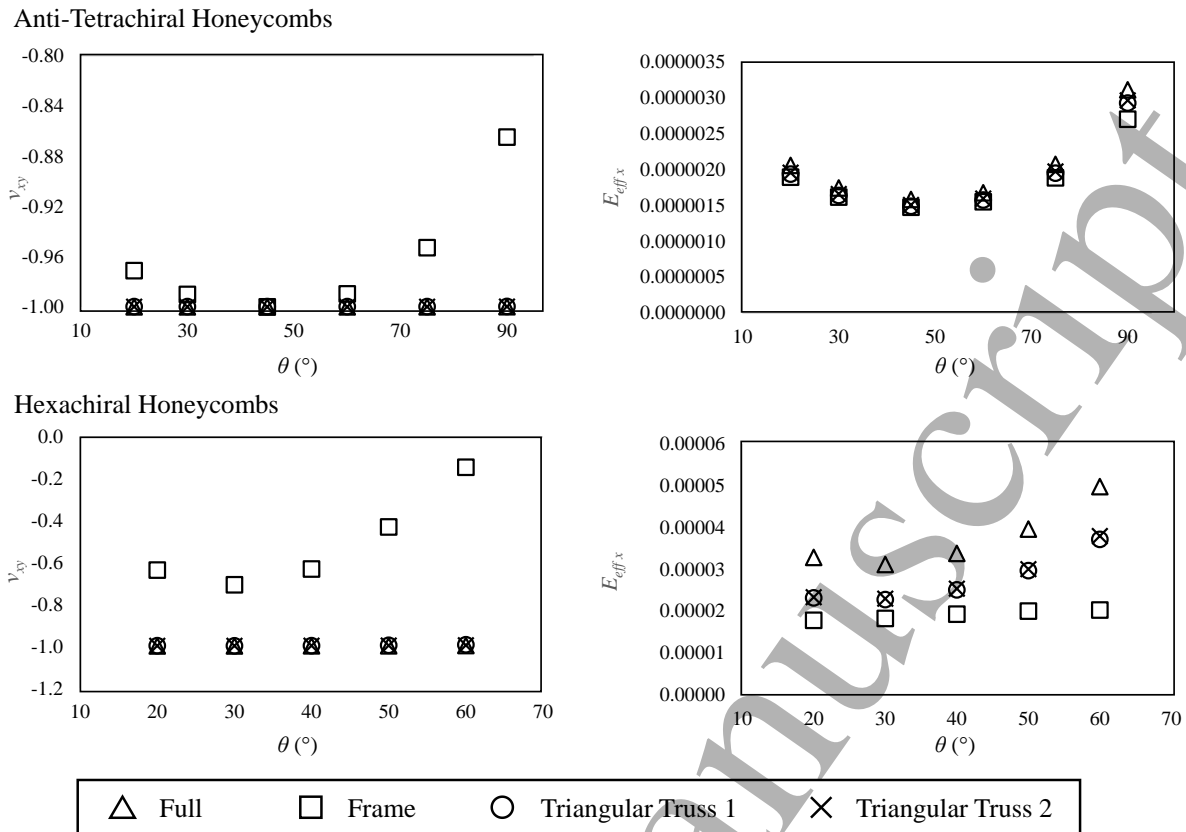


Figure 8: Plots showing the Poisson's ratio and effective Young's moduli for the anti-tetrachiral and hexachiral systems with $t = a/48$ loaded in the x -direction.

The internal geometry of the rotating unit in chiral honeycombs appears to have a less significant effect on the overall mechanical properties of the system than in the rotating rigid unit systems. In fact, the drastic changes observed for the Young's moduli of the previous structures when comparing full and truss systems were not seen for the chiral systems, with the full structures only being slightly more rigid than their truss counterparts. Moreover, although the use of a frame truss geometry resulted in a decrease of auxeticity in comparison to the full and triangular truss geometries, the large positive Poisson's ratios observed for the rotating squares, rectangles and parallelogram systems were also not evident in these systems. The anti-tetrachiral system, in particular, seems to be the least affected by rotating unit geometry despite having a square rotating component similar to the rotating square system, with the worst-case scenario observed here being a decrease of auxeticity from the -1 to -0.86 for the frame system with the largest θ angle. This is due to the fact that, as shown in **Figure 9** below, the bulk of deformation is absorbed by the ligament connecting the rotating units together rather than the joint region, as is the case for the rotating quadrilateral and triangle systems. Since this mode of deformation is very pliant (in fact chiral honeycomb systems with long ligaments are

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3 characterized with a very low effective Young's modulus), the lowering of rotating unit (i.e.
4 chiral node) rigidity by the introduction of a truss geometry does not result in a large shift of
5 deformation mechanism as in the rotating unit systems connected *via* joints. This means that
6 flexure of the connecting ligaments is still the predominant mode of deformation and hence the
7 mechanical properties of the anti-tetrachiral systems do not change drastically upon changing
8 the internal geometry of the rotating component (see **Figure 9**). However, a similar trend to
9 that observed for the rotating square system is expected to appear should the anti-tetrachiral
10 systems be connected by ligaments having extremely small length to thickness ratios due to the
11 increased rigidity of the connecting ligaments.
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19 The effect of the internal geometry of the rotating unit on the overall mechanical properties is
20 more pronounced for the hexachiral systems for this reason. In these systems, the use of the
21 frame geometry results in a significant decrease in auxeticity, particularly in the case of the
22 system with $\theta = 60^\circ$, where the Poisson's ratio varies from -1 for the full and triangular truss
23 systems down to *ca.* -0.1 for the frame system. This drop in magnitude is primarily due to the
24 loss of rigidity of the hexagonal rotating unit (see **Figure 9**), which results in deformations
25 typical of a regular hexagonal honeycomb, which is characterized by a positive Poisson's ratio.
26 This deformation mechanism, in conjunction with the rotation of the chiral node, results in an
27 overall slightly negative Poisson's ratio for the entire system.
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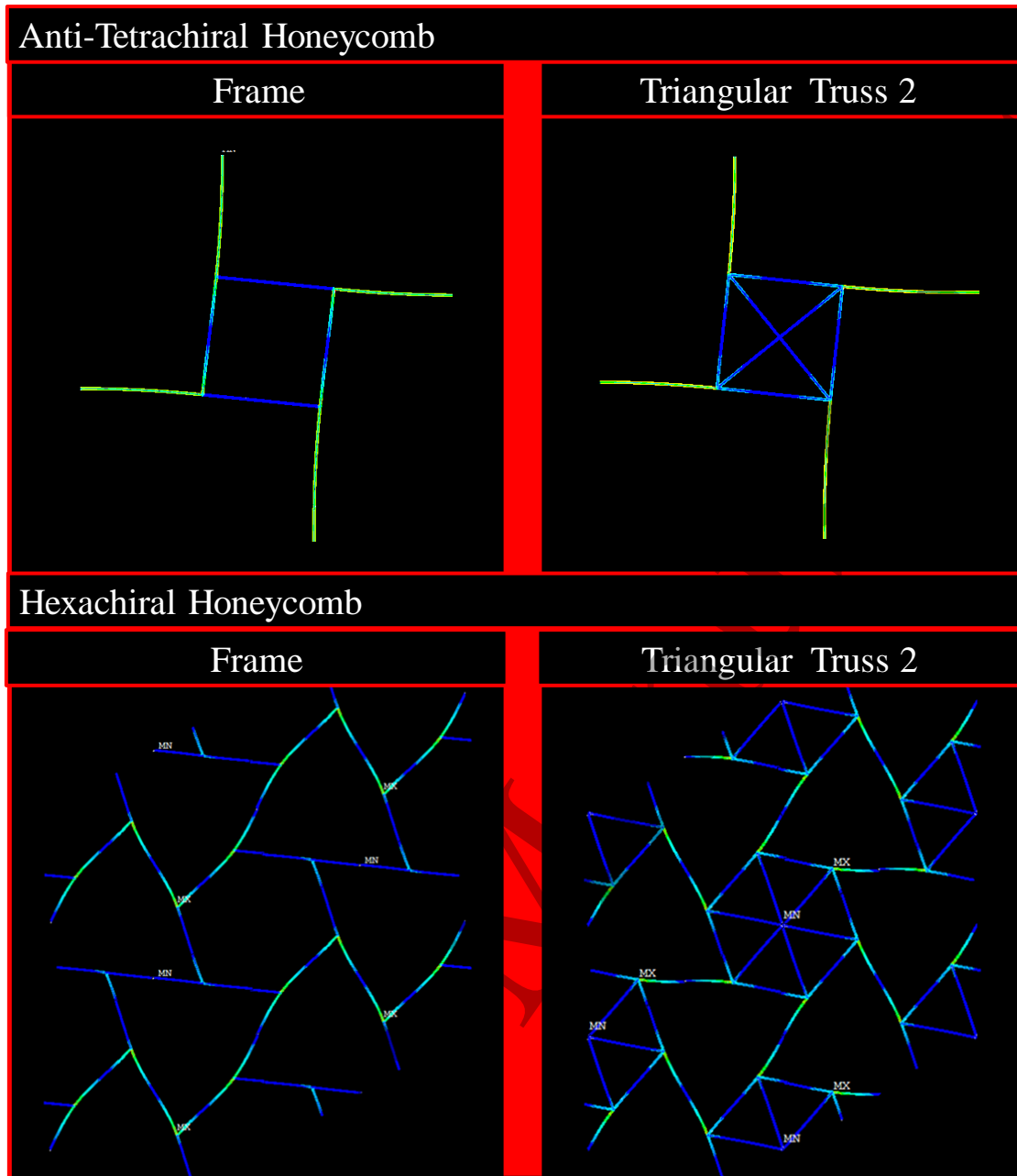


Figure 9: Relative stress intensity diagrams (Tresca stress) showing the deformation (with displacement scaling) of the anti-tetrachiral and hexachiral frame and triangular truss 2 systems with a θ angle of 90° and 60° respectively with $t = a/48$ for loading in the x -direction. The colour blue denotes regions with minimal stress while the red regions denote maximal stress. Note, that for the sake of clarity, only a quarter of the anti-tetrachiral unit cell is shown while for the hexachiral system four adjoining unit cells are presented.

Effect of Truss Thickness on Mechanical Properties

Ligament thickness also has a significant effect on the mechanical properties of a number of systems considered here as evident from the plots shown in **Figure 10**. As expected, systems with thicker ligaments possess a higher effective Young's modulus than systems with thin

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3 ligaments regardless of the truss geometry employed. In addition, the Poisson's ratio of the
4 truss systems generally tends towards the value of the corresponding full system as ligament
5 thickness increases.
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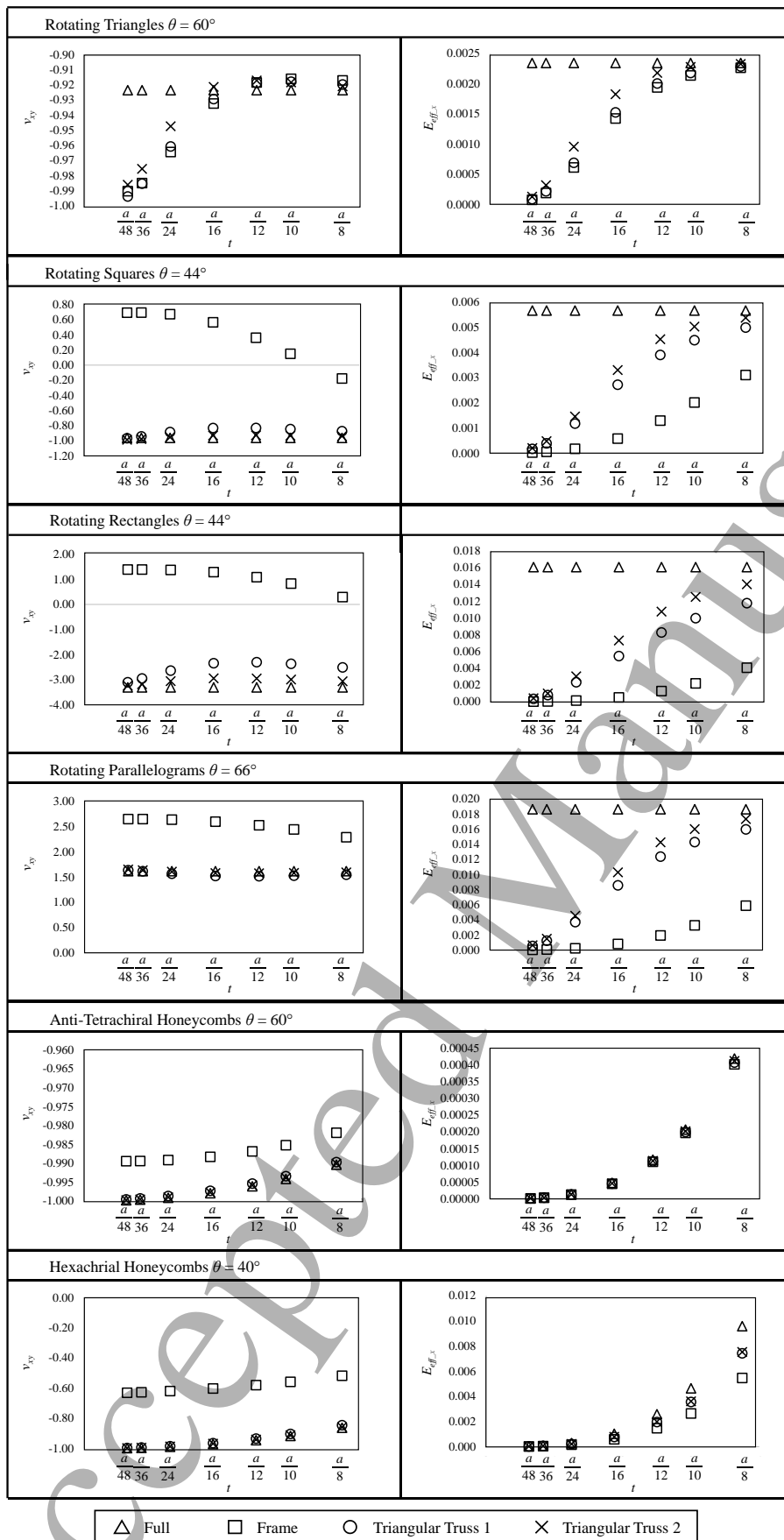


Figure 10: Plots showing how the Poisson's ratio and effective Young's moduli for all systems with one set of θ values (the intermediate value) changes with variation in t when loaded in the x -direction.

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5 In the rotating ‘rigid’ unit systems, namely the rotating triangle and rotating quadrilateral
6 systems, this trend is due to the fact that as the truss ligaments get thicker and, thus stiffer, they
7 behave less like ligaments and instead of undergoing flexural deformation have a tendency to
8 deform as rigid units. This is particularly evident in the case of the frame rotating square system
9 with a ligament thickness of $a/8$ (see **Figure 10**). This system exhibits a slightly negative
10 Poisson’s ratio of *ca.* -0.2, while its counterparts with thinner ligaments exhibit a positive
11 Poisson’s ratio. This indicates that the rotating unit is sufficiently rigid so as to deform to some
12 extent by rotation of the quadrilateral unit rather than primarily by internal deformations which
13 lead to a positive Poisson’s ratio.
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21 For the chiral systems, ligament thickness has an effect on the Poisson’s ratios and Young’s
22 moduli of all systems, including the full systems. This is due to the fact the fact that the main
23 deformation mechanism of these systems is dependent on the flexural deformation of the
24 external ligaments and therefore upon increasing the thickness of all ligaments within the
25 system, the effective Young’s modulus increases and the Poisson’s ratio decreases in
26 magnitude for all truss and full geometries. In the case of the chiral systems, there is also very
27 little difference between the mechanical properties observed for the Triangular Truss 1,
28 Triangular Truss 2 and Full geometries. This is particularly evident in the case of the hexachiral
29 systems where the linear Poisson’s ratios of these three configurations are almost identical for
30 each ligament thickness value investigated.
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41 3.2 Experimental Results and Nonlinear Simulations

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43 Four rotating unit systems corresponding to each of the four structure types investigated in the
44 linear numerical study were fabricated using 3D stereolithography printing and subjected to
45 a small tensile load in the y -direction. The results of the strain vs strain analysis of the central
46 representative unit cell of the system evaluated using DIC are presented in **Figure 11a** while
47 images showing the undeformed and deformed forms of these systems are presented in **Figure**
48 **12**. The engineering Poisson’s ratio of the central repeating unit was calculated from the
49 engineering strains of the system, which were in turn found from the measured displacements
50 of the edges of unit cell. The displacements were obtained by point tracking of the eight edge
51 corners which make up the repeating unit. The results of the corresponding nonlinear
52 simulations are presented in **Figure 11b**, while images of the deformed structures are shown
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in **Figure 12**. The Poisson's ratio of the central repeating unit was measured in an identical method to that employed for the experimental results.

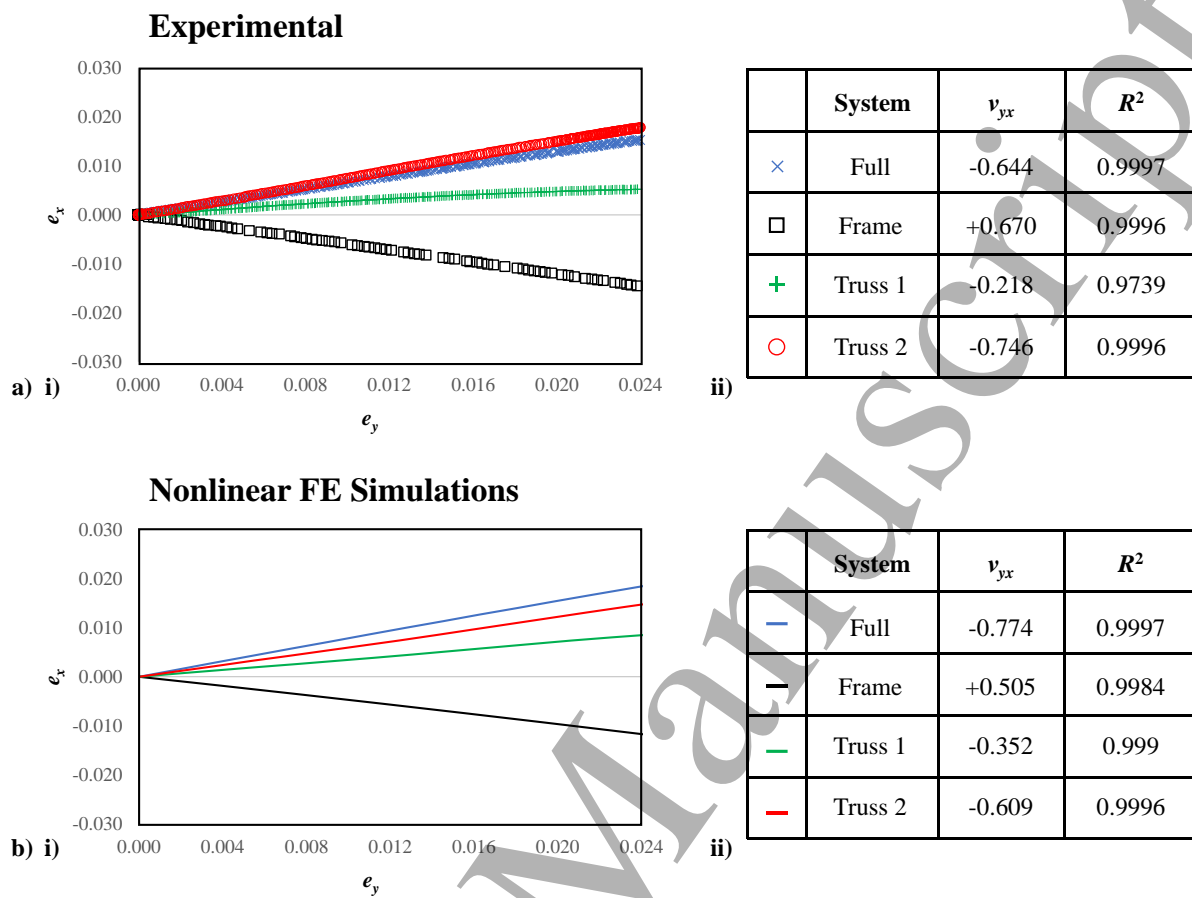


Figure 11: Results for the a) experimental prototypes and b) equivalent nonlinear FE simulations. i) Plots showing the engineering strain in the y-direction, e_y , vs the engineering strain in the x-direction, e_x , of the central representative unit cell over an applied tensile and ii) table showing the engineering Poisson's ratios obtained by fitting a linear model over the engineering strain data shown in the plot.

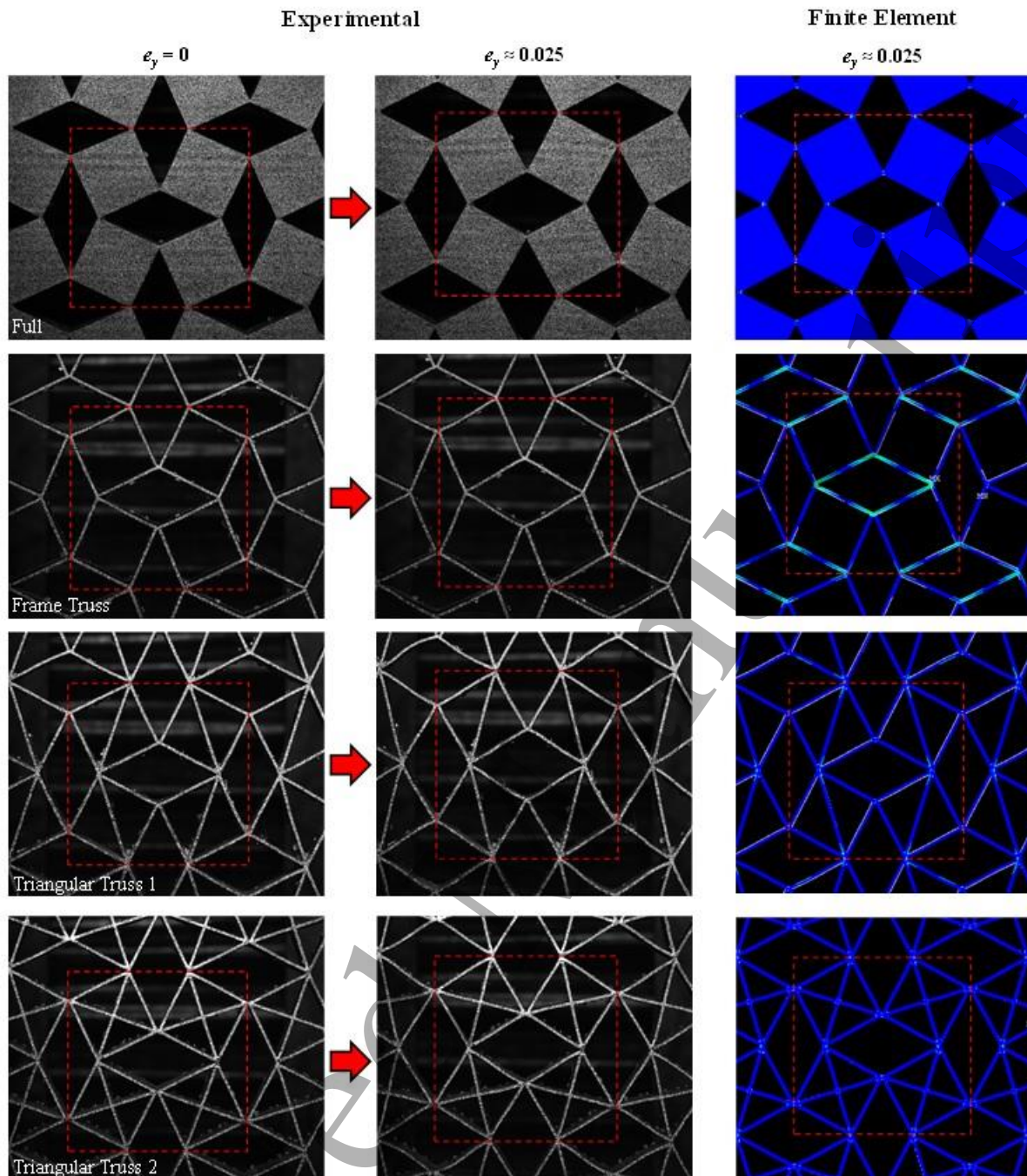


Figure 12: Images showing the undeformed and deformed states ($\epsilon_y \approx 0.025\%$) of the central representative unit cell of each system for the experimental and nonlinear FE simulations.

As one may observe from the plots in **Figure 11**, the small strain deformation behaviour of each system changes in a mainly linear manner and thus it is possible to obtain the linear Poisson's ratio for strains less than 2.5%. The Poisson's ratios obtained from the experimental tests and the equivalent nonlinear simulations are extremely similar and as predicted earlier by the linear numerical simulations, the Frame system showed a positive Poisson's ratio, while the other systems each possess a negative Poisson's ratio. The deformation profile of both sets of systems are also nearly identical. The magnitudes of the Poisson's ratios are lower than those

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3 obtained from the equivalent periodic linear simulations in all cases (Full = -0.958, Frame =
4 0.715, Triangular Truss 1 = -0.912 and Triangular Truss 2 = -0.939); this was to be expected
5 since the experimental and nonlinear FE models contained only 3×3 repeating units (due to 3D
6 printing constraints), which are not sufficient to completely eliminate boundary effects. This
7 edge effect has been previously observed in a range of other metamaterial geometries [37,40]
8 and the discrepancy between the periodic and experimental models (as well as the non-periodic
9 FE simulations) can be reduced by using a larger number of representative units in the latter
10 systems.

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12 The experimental Full and Triangular Truss 2 systems exhibited very similar Poisson's ratios
13 of -0.64 and -0.75 respectively, while the Triangular Truss 1 showed a significant decrease in
14 magnitude in comparison, with a Poisson's ratio of -0.22 being observed for this system. While
15 the similarity between the auxeticity of the Full and Triangular Truss 2 system was expected,
16 in view of the numerical simulation results presented in the previous sections, the Poisson's
17 ratio value observed for the Triangular Truss 1 system was surprising. However, the reason for
18 this discrepancy can be explained by observing the deformed state of this system. As is evident
19 from **Figure 12**, the square 'rotating units' of the Triangular Truss 1 system are observed to
20 distort significantly at 2.5% strain in comparison to those in the Triangular Truss 2 system,
21 although not to the same extent as the Frame Truss structure. This behaviour, which was also
22 observed, albeit to a much lesser extent, in the linear FE simulations, appears to indicate that
23 the additional reinforcement and retention of symmetry of the Triangular Truss 2 system is
24 necessary over larger strains in order for the rotating unit to retain its original polygonal shape.
25 Moreover, the significant amount of flexural deformation observed in both triangular truss
26 systems suggests that at higher strains the global deformation and mechanical properties of
27 these systems are expected to differ significantly from those of their full counterpart, which
28 has no ligaments. This hypothesis is based on the well-known propensity of the effective
29 Young's modulus of rotating rigid unit mechanisms to increase as the systems approach their
30 fully-opened state and therefore, one would expect alternative deformation mechanism to play
31 a more significant role in the global deformation of these systems at higher strains. In the case
32 of the full systems, the alternative mechanism involved is typically stretching of the 'joint'
33 regions connecting the rotating units [40–42], however in the case of the truss networks it is
34 envisaged that this could take the form of flexural deformation of ligaments. Further studies on
35 the high strain behaviour of these systems are required in order to confirm this point.

3.3 Overall Discussion

The results obtained from both the FE simulations and experimental tests show that the introduction of a triangular truss system in place of rotating units made up of a solid ‘filled’ block of material is a viable route towards the design of lightweight ligament-based auxetic systems. The systems investigated here involved a wide range of auxetic metamaterials which fall under the classification of chiral and rotating ‘rigid’ unit systems and range from symmetric isotropic geometries, namely hexachiral honeycombs, rotating triangles and squares, to highly anisotropic ones, i.e. rotating rectangle and parallelogram systems. In each case, it was observed that the on-axis small-strain Poisson’s ratios of the triangular truss systems were comparable to those of their full counterparts, indicating that the introduction of a Level 0 triangular truss-based hierarchical element does not result in a significant loss of auxeticity. Furthermore, as shown in the plot in **Figure 13**, the proposed truss-based systems can be constructed using at least 80% less material for systems with a ligament thickness of $a/48$ (i.e. the systems which mechanical properties are presented in **Figures 4, 6 and 8**) in comparison to the full systems. Obviously, for systems with thicker ligaments, the relative surface coverage, and hence the mass, of the truss systems is higher. In each case, as expected, the frame truss systems possessed the least amount of material, however as shown previously, this comes at the cost of a considerable reduction or loss of auxeticity in all cases except for the rotating triangle systems. However, this is not the case for the triangular truss systems which are composed of a comparable amount of material.

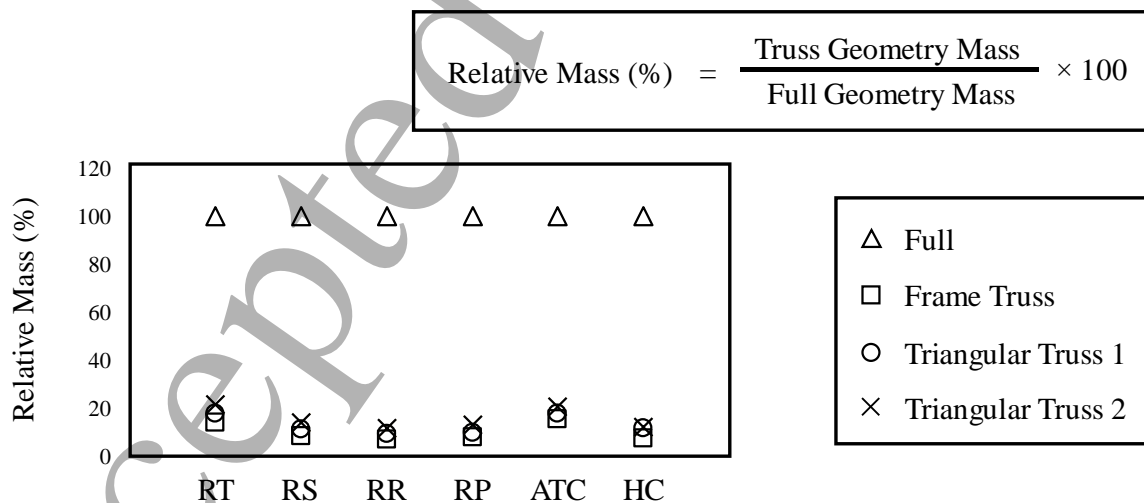


Figure 13: Plot showing the relative mass of each truss configuration (in terms of surface area coverage) for every metamaterial system in comparison with that of the corresponding full version. The points represent systems with a truss thickness of $a/48$ and are given for rotating triangle (RT), rotating square (RS), rotating rectangle (RR), rotating parallelogram (RP) systems, anti-tetrachiral (ATC) and hexachiral (HC) honeycombs.

One of the main consequences of introducing a hierarchical truss-based configuration into rotating unit metamaterials is a significant decrease in the stiffness of the system. This is particularly significant in the case of systems made from extremely thin ligaments where a drop of two orders of magnitude may be observed in the cases of rotating ‘rigid’ unit-type systems. As mentioned previously, this is mainly a result of the change in deformation mechanism from rotation-dominated deformation to concurrent rotation and flexure of ligaments making up the rotating unit. This, in turn, results in a less localized concentration of stresses within the geometry (as shown in **Figures 5 and 7**), with the deformation response to loading of the system being dispersed throughout the flexing ligaments and the ‘joint’ regions. While this causes a significant drop in structural stiffness, this effect is also expected to enhance the deformability and fatigue performance of these systems for a given applied strain. This factor has been observed in previous studies for optimization of the ‘joint’ regions of rotating unit auxetics, where a decreased concentration of stress at these regions results in a lower effective Young’s modulus and increased strain tolerance [33,43].

In order to analyze closely the trade-off between mass and resultant stiffness of the metamaterial geometries studied here, we conducted an additional investigation on the truss systems which possess nearly identical Poisson’s ratios to their full counterparts. This analysis was quantified in terms of the resultant apparent Young’s modulus, E^* , to apparent density, ρ^* , ratio of the full and truss equivalents of these systems. This relationship may be determined by the equation below:

$$\frac{E^*}{\rho^*} = \frac{E_{eff}}{V_f} \frac{E_{mat}}{\rho_{mat}}$$

where E_{eff} represents the effective Young’s modulus, V_f , the volume fraction of the material and E_{mat} and ρ_{mat} , the material Young’s modulus and density respectively. The volume fraction is defined as the ratio of material volume in a repeating unit divided by the volume of the entire repeating unit including void volume. The terms E_{eff}/V_f determine the structural/geometric constant of the E^*/ρ^* relationship for a given geometry while E_{mat}/ρ_{mat} define the material constant (which is typically found from Ashby plots [44]). In **Figure 14**, a comparison of the geometric ratio of Full, Triangular Truss 1 and Triangular Truss 2 systems for rotating triangles, squares and hexachiral honeycombs is presented with a thickness of $a/48$ and $a/8$ is presented (frame structures were excluded due to the fact that they do not retain the same

Poisson's ratios in the case of rotating squares and hexachiral honeycombs). As one may observe from these plots, the trends observed for the systems with thick ligaments differ significantly from those observed for the thin ligament structures. While for systems with thick ligaments, the truss geometries exhibit larger E_{eff}/V_f ratios in comparison to their full counterparts, the opposite is observed for the systems with thin ligaments, where the highest ratios were observed for the full systems (except in the case of the hexachiral system where comparable values were observed for all three types). This indicates that the introduction of the hierarchical triangular truss geometry within the rotating unit also allows one to tailor the stiffness/density ratio of the metamaterial structure whilst retaining the same Poisson's ratio simply by changing the thickness of the ligaments and that one can decrease or increase this ratio with respect to the full system by design.

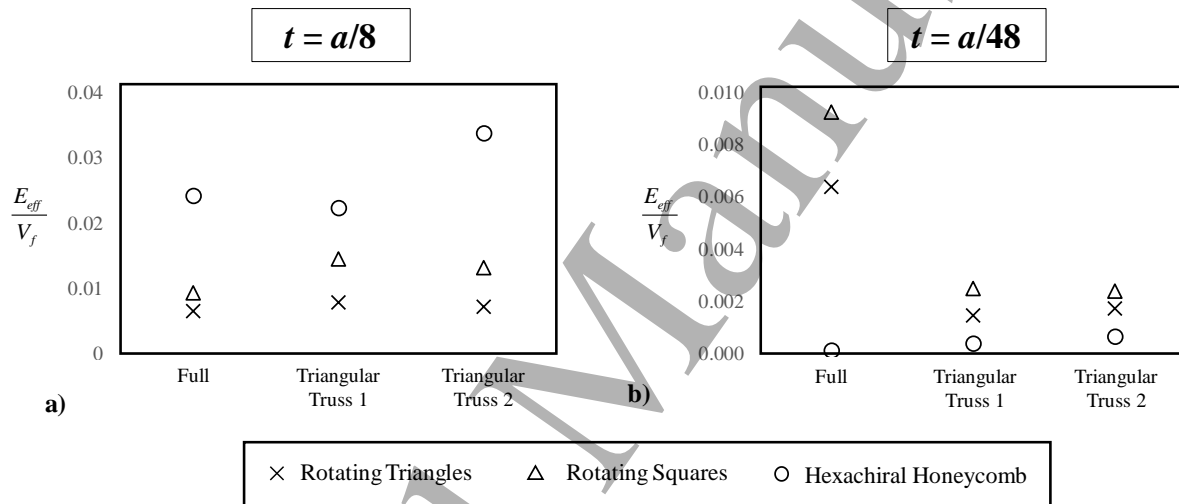


Figure 14: Plot showing changes in E_{eff}/V_f ratios observed for a set of rotating triangles ($\theta = 60^\circ$), rotating squares ($\theta = 44^\circ$) and hexachiral honeycombs ($\theta = 40^\circ$) with ligament thickness values of a) $t = a/8$ and b) $t = a/48$ upon changing the geometry of the rotating unit (i.e. full, triangular truss 1 and triangular truss 2)

At this point it is important to highlight the fact that the analysis conducted here was concerned primarily with the small-strain deformation and mechanical properties of these systems. While the findings obtained in this work indicate that the Poisson's ratio of a rotating system may be retained through the introduction of a hierarchical triangular truss geometry, further studies are required in order to confirm whether this is the case at higher strains, with deformations such as buckling of ligaments expected to play a more prominent role under these conditions. In addition, another point of interest is that the fact that many of the truss systems have a very low effective Young's modulus in comparison to their full counterparts. While this factor provides additional versatility to the design of these systems by allowing one to customize the stiffness

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3 of these metamaterials whilst retaining the Poisson's ratio, it would also be of interest to
4 analyse under what geometric conditions (i.e. ligament thickness, triangular truss system, etc.)
5 can a hierarchical system possess an identical effective Young's modulus with respect to its
6 full counterpart. Further studies, including geometry optimization studies and a detailed high
7 strain analysis, need to be conducted in order to analyse these factors and understand the full
8 potential of these systems.
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14 Before concluding, it is necessary to mention the advantages and potential applicability of the
15 systems proposed here. Lightweight auxetic metamaterials have been proposed for a variety of
16 uses including cores in sandwich systems [45,46], damping systems in airfoils [47,48] and as
17 deployable structures [49,50]. Most of these applications incorporate the use of ligament-based
18 systems such as re-entrant hexagonal honeycombs and chiral systems and thus the systems
19 proposed here could potentially be used as an additional option. Full rotating 'rigid' unit
20 auxetics are in general known to possess higher effective Young's moduli in comparison to
21 chiral and re-entrant geometries and hence, if the correct balance of geometric parameters is
22 maintained, the introduction of truss-geometries could provide an alternative route for the
23 design of light metamaterials based on these mechanisms which still retain a considerable level
24 of stiffness. In addition, the truss-based systems proposed here could also be utilised in
25 applications where a high degree of porosity is required such as in biomedical scaffolds and
26 stents. At this point it is also imperative to mention that while in this work truss-based
27 configurations were applied to a wide range of 2D auxetic systems only, the same concept
28 could also be potentially extended to 3D rotating unit auxetic systems where the reduction in
29 material volume used and increase in porosity is expected to be considerably greater than in
30 the cases proposed here. An analysis of such 3D systems along with an investigation on the
31 high strain properties of truss-based rotating unit systems is the next step towards the eventual
32 implementation of such systems in real-life applications.
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50 **4. Conclusion**

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52 In this work a wide range of rotating unit auxetic systems were designed using three types of
53 hierarchical truss-based geometries and evaluated using a numerical FE approach and
54 experimental testing on 3D printed prototypes. The results obtained show that while the use of
55 frame-based geometries is inadmissible in most cases since it leads to a drastic change in
56 deformation mechanism and a loss of auxetic behaviour, the Poisson's ratios of systems
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possessing triangular truss-based configurations are almost identical to those of their full counterparts, making them ideal candidates for the design of lightweight auxetic systems. In addition, most of the triangular truss systems were shown to be less stiff than corresponding full systems. It is envisaged that such systems could be utilised in the future in applications requiring lightweight highly porous metamaterial structures.

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