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2	Oyashio waters of the western North Pacific during early spring
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20 Correspondence to K. Yoshida (kyoshida711@ees.hokudai.ac.jp) and K. Suzuki 21 (kojis@ees.hokudai.ac.jp) 22 23 Keywords: coastal Oyashio, spring diatom bloom, P-E curve, Diatom-specific rbcL, Chlorophyll 24 *a* fluorescence 25 26 Abstract 27 Within the world ocean, the western subarctic Pacific is known as the region with the largest 28 seasonal drawdown in the partial pressure of CO₂ due to biological activity, i.e., high spring primary production and particulate organic carbon flux. These distinctive features are mainly 29 30 caused by intense spring diatom blooms in coastal Oyashio (COY) and Oyashio (OY) waters. Although phytoplankton assemblages in OY waters are rather well studied, little is known about 31 32 COY waters. In this study, photophysiological properties and phytoplankton community composition in COY waters were investigated during the pre-bloom and bloom periods from 33 34 March to April 2015. Next-generation sequencing targeting the 18S rRNA gene revealed that the 35 diatom Thalassiosira generally dominated the phytoplankton community and showed distinct 36 differences in the diatom communities in shelf and offshore waters of the COY. Additionally, the

37	relative contribution of Thalassiosira to the total diatom assemblages showed a positive
38	correlation with maximum photosynthetic rates (P^{B}_{max}) occurring throughout this study.
39	Chlorophyll a concentration and primary productivity were also positively correlated with sea
40	surface temperature, suggesting that temperature was a critical factor for bloom development.
41	Short-term on-deck incubation experiments were carried out to examine the role of temperature
42	in determining planktonic photosynthetic processes. Our results showed an increase in P^{B}_{max} with
43	rising temperature in assemblages from the shelf COY waters. Similarly, transcription levels of
44	the diatom-specific <i>rbcL</i> gene, which encodes the large subunit of RuBisCO, also increased with
45	rising temperature in the shelf assemblages. In contrast, temperature had little effect on the
46	maximum photochemical quantum efficiency (F_v/F_m) of photosystem II. The results suggested
47	that the transcription activity of the diatom-specific <i>rbcL</i> gene was upregulated by the increase in
48	temperature, and that led to the higher P^{B}_{max} values and the spring diatom bloom in the shelf COY
49	region.
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55 1. Introduction

56 Photosynthetic marine phytoplankton are responsible for about half of the global primary production (Field et al., 1998; Behrenfeld et al., 2001) and are one of the principal drivers for the 57 58 global carbon cycle (e.g. Sarmiento and Siegenthaler, 1992; Falkowski, 1994; Smetacek, 1999). 59 The western subarctic Pacific has one of the highest transport efficiencies of particulate organic carbon (POC) in the water column (Honda et al., 2003; Kawakami et al. 2004; 2015) and the 60 61 largest biological effect on seasonal changes in surface pCO_2 drawdown in the world ocean 62 (Takahashi et al., 2002). These remarkable biogeochemical features are partly caused by large to vast spring diatom blooms observed in Oyashio (OY) and coastal Oyashio (COY) waters (Chiba 63 et al., 2004; Hattori-Saito et al., 2010; Yoshie et al., 2010; Suzuki et al., 2011), which are 64 65 biologically highly productive during spring (Isoda and Kishi, 2003; Isada et al., 2010). The OY 66 is the westernmost current of the Western Subarctic Gyre (WSG) and is influenced by both the 67 Eastern Kamchatka current and the Okhotsk Mode water (Yasuda, 2003; Oguma et al., 2008). The COY, on the other hand, is also derived from sea ice meltwater within the Sea of Okhotsk 68 69 (Sugiura 1956; Ohtani 1971; Ogasawara 1990) flowing along the southeastern coast of Hokkaido 70 in spring (Kono, 1997; Kono et al., 2004; Oguma et al., 2008; Kusaka et al., 2013). Consequently, 71 COY waters can generally be distinguished from the OY by their lower salinity (< 33) and lower 72 temperature (-1.8 to 2 °C) as the result of the sea ice meltwater in spring (Ohtani, 1971).

73	A number of studies have addressed the bloom dynamics in the OY region. For example,
74	Suzuki et al. (2011) studied the community composition and bloom dynamics in OY waters and
75	revealed that the annual spring bloom was often dominated by large, including chain-forming
76	diatoms such as Thalassiosira, Chaetoceros and Fragilariopsis species. It was pointed out that
77	development of steep density gradients in the water column (i.e., stratification) in spring can be
78	associated with the initiation of the spring blooms in OY waters following the high nutrient supply
79	into the surface mixed layer by winter deep mixing (Yoshimori et al., 1995; Kasai et al., 1997).
80	Yoshie et al. (2003) noted that the deep mixing can significantly affect the amplitude of the spring
81	OY bloom not only by the supply of nutrients but also by the dilution of predators, which
82	drastically reduces grazing pressure (see Behrenfeld, 2010). Indeed, macrozooplankton grazing
83	has a great impact on phytoplankton abundance, influencing the dynamics of the spring blooms
84	in OY waters (Kasai et al., 1997; Saito et al., 2002; Kono and Sato, 2010). Saito et al. (2002) and
85	Saito and Tsuda (2003) also proposed that light and silicate limitations could control the bloom
86	dynamics in the OY region, particularly the initiation and termination of the spring diatom blooms.
87	The spring diatom blooms in the OY waters can effectively foster the high productivity of higher
88	trophic levels in this region (Taniguchi, 1999; Sakurai, 2007; Ikeda et al., 2008).
89	In contrast, fewer studies have been conducted in COY waters (Yoshimori et al., 1995; Kasai
90	et al., 1997), even though the spring diatom blooms in COY waters have generally greater

91	magnitude than those in OY waters (Isada et al., 2010; Okamoto et al., 2010) and contributes
92	considerably to fisheries and aquaculture in this region (Nishimura et al., 2002; Isoda and Kishi,
93	2003). Like in OY waters, water column stratification may be highly relevant to the initiation of
94	the bloom in COY waters (Kasai et al., 1997). Yoshimori et al. (1995) noted that the blooms in
95	COY waters can exist for a longer time than those in OY waters due to continuous nutrient supply
96	with its weaker vertical stability. The phytoplankton bloom in COY waters would significantly
97	affect primary production in surrounding waters including the OY by physical processes such as
98	advection and eddy diffusion (Shinada et al., 1999; Okamoto et al., 2010). These pilot studies on
99	the spring blooms in COY waters investigated relationships among physical and biological
100	parameters for estimating the bloom dynamics, but no study has been conducted to investigate
101	the photophysiology and community composition of phytoplankton in COY waters. Low
102	temperatures observed in COY waters can decrease growth rates and the photosynthesis of
103	phytoplankton (Eppley, 1972; Raven and Geider et al., 1998). In addition, low temperatures in
104	COY waters could be far below the thermal optimum for the carbon fixing enzyme of the Calvin
105	cycle, Ribulose 1, 5- bisphosphate carboxylase/oxygenase (RuBisCO) (Descolas-Gros and de
106	Billy, 1987; Young et al., 2015). In spite of low temperatures, however, intense and extensive
107	diatom blooms are observed in COY waters every spring. Corresponding to the different origins
108	of COY and OY waters, it can be expected that the composition of diatoms may also be distinct

between these two water masses. Regarding zooplankton community, significant differences in
community composition were reported at the species level between these water masses
(Yamaguchi et al., 2003; Abe et al., 2014), however, information about possible differences in
phytoplankton community composition are still missing.

From the aforementioned results, we hypothesized that (i) phytoplankton community 113 114 composition in COY waters is distinctly different from offshore regions, and (ii) low temperatures 115 in COY waters inhibit phytoplankton photosynthesis, specifically carbon fixation processes. In 116 regards to the latter, we expect the subsequent warming of the water column during spring 117 enhances photosynthetic activity. In this study, phytoplankton community composition in COY 118 waters during spring was assessed with both phytoplankton pigment signatures and DNA-based 119 next-generation sequencing (NGS) technology. Pigment analysis using the Suzuki et al. (2015) 120 method of ultra-high performance liquid chromatography (UHPLC) allowed us to estimate the 121 community composition of phytoplankton assemblages at the class level, whereas the relative 122 contributions of each diatom genus to the total biomass of diatoms were quantified with the NGS 123 method. In addition, the photophysiology of phytoplankton in COY waters during the pre-bloom 124 and bloom periods were investigated using the following: a) active chlorophyll fluorescence 125 techniques, e.g., pulse amplitude modulated (PAM) and fast repetition rate (FRR) fluorometry; 126 b) the transcription activity of the diatom-specific *rbcL* gene, which encodes the large subunit of

127	RuBisCO; c) carbon-based photosynthesis-irradiance (P-E) curve experiments; and d) 77K
128	emission spectroscopy. The active chlorophyll fluorescence techniques enabled us to measure
129	photosynthetic activities (i.e., the maximum quantum yield of photochemistry) in photosystem II
130	(hereafter PSII). On the other hand, transcription levels of the diatom-specific rbcL gene
131	determined by reverse transcribed quantitative PCR can be used as an indicator for the activity of
132	the light independent reactions of photosynthesis because RuBisCO encoded by the <i>rbcL</i> gene is
133	the rate-determining enzyme of the process (John et al., 2007). Moreover, carbon-based P-E
134	curves provide powerful insights into photophysiological states such as photoacclimation and
135	nutrient stress (Sakshaug et al., 1997; MacIntyre et al., 2002) through the whole photosynthetic
136	processes from light absorption to carbon fixation. Emission spectroscopy also provided
137	semiquantitative information about the presence of major taxonomic groups and the physiological
138	status of phytoplankton. The combination of these techniques provides us with holistic
139	information on the photosynthetic processes of phytoplankton in COY waters. To elucidate the
140	photophysiological responses of phytoplankton to temperature, we also performed, for the first
141	time ever, temperature-controlled bottle incubation experiments in COY waters.
142	
143	2. Materials and methods
144	2-1. Water sampling and optical observation

145 Seawater samples were collected from the western subarctic Pacific off the southeastern

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146	Hokkaido (Japan) coast as part of the R/V Hakuho Maru KH15-1 expedition during 6–26 March
147	2015 and the TR/V Misago Maru field study (hereafter AK15 expedition) during 16-17 April
148	(Fig. 1). Prior to water sampling during the KH15-1 expedition, vertical profiles of
149	photosynthetically available radiation (PAR) spanning 400–700 nm, $E_d(PAR)$ and spectral
150	downward irradiance, $E_d(\lambda)$, were obtained with the Compact-Optical Profiling System (C-OPS)
151	co-developed by Biospherical Instruments Inc. and NASA (Hooker et al., 2013). Vertical
152	attenuation coefficients of downward PAR, K_d (PAR) were determined as the slopes of a least-
153	squares regression of the natural-log transformed $E_d(PAR)$ profiles using Processing of
154	Radiometric Observation of Seawater using Information Technologies (PROSIT) software
155	(Hooker, 2014). Based on the derived K_d (PAR) value, euphotic zone depth (Z_{eu}) was calculated
156	as the depth with 1% of the surface PAR remaining (Kirk, 2010). The incident PAR above the sea
157	surface (E_0) was measured on-deck continuously with an LI-190SB air quantum PAR sensor and
158	recorded by a LI-1400 data logger (LI-COR, Inc.) every 5–10 min. Surface (~5 m depth) seawater
159	samples were obtained using a CTD carousel multi-sampler system (CTD-CMS) with acid-
160	cleaned Niskin bottles. Upon collection, seawater was poured into a 9 L polycarbonate (PC)
161	carboy, four 300 mL PC bottles for the measurements of primary productivity, and two
162	polystyrene tubes for nutrient analyses. Concentrations of nutrients (nitrate + nitrite, ammonia,
163	phosphate, and silicate) were determined with a BRAN-LUEBEE autoanalyzer (QuAAtro).

165	density anomaly ($\Delta \sigma_{\theta}$) of the water column increased by 0.125 kg m ⁻³ relative to the layer at 10
166	m (Monterey and Levitus, 1997).
167	
168	2-2. Phytoplankton pigment composition
169	Seawater was dispensed into two 1 L PC bottles and these subsamples were filtered onto GF/F
170	filters using a gentle vacuum (< 0.013 MPa). Filters were then blotted dry between filter papers,
171	placed into cryovials, and immediately frozen in liquid nitrogen. Frozen filters were stored at -
172	80 °C until further analysis. Pigments were extracted with the N, N-dimethylformamide (DMF)
173	sonication method of Suzuki et al. (2002). Pigment concentrations were then determined by high-
174	performance liquid chromatography (HPLC) or UHPLC following Van Heukelem and Thomas
175	(2001) with a few modifications and Suzuki et al. (2015), respectively. To estimate phytoplankton
176	community composition at the class level, multiple linear regression analyses based on major
177	diagnostic pigment signatures and chlorophyll a (Chl a) were performed following Suzuki et al.
178	(1997) and Obayashi et al. (2001), wherein fucoxanthin (Fuco) and peridinin (Peri) are
179	representative algal marker pigment for diatoms and dinoflagellates, respectively. Although Fuco
180	can also be observed in other phytoplankton taxa, e.g., chrysophytes, haptophytes and some

Mixed layer depths (MLD) at all stations were calculated as the depth at which the potential

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181 dinoflagellates, we assumed Fuco was solely derived from diatoms in this study. This assumption

can be justified with the results of Suzuki et al. (2011) who found a significant relationship 182 183 between Fuco and diatom carbon during a spring bloom study in the study area. The following 184 multiple linear regression of pigment markers was used: 185 [Chl a] = A[Fuco] + B[Peri] + C,(1)186 wherein [Chl a], [Fuco], and [Peri] are the concentrations of each pigment; A and B are partial 187 regression coefficients for each concentration of the pigment markers; and C is a constant term of the multiple linear regression. The multiple linear regression analysis, and its validation with 188 189 a *t*-test for each coefficient plus *F*-test, were performed with SigmaPlot software program ver. 190 11.0 (System Software). After this procedure, the contributions of each phytoplankton taxon to 191 the total Chl a level were calculated by dividing a product of each concentration of pigment and 192 its coefficient value by [Chl a] for each station. 193 194 2-3. Size-fractioned Chl *a* concentration 195 Seawater samples were filtered onto a 47 mm nylon mesh (20 µm pore size), a 47 mm Nuclepore 196 membrane (10 or 2 µm pore size) and a 25 mm Whatman GF/F filter (nominal pore size 0.7 µm) 197 using a gentle vacuum (<0.013 MPa). After filtration, filters were placed in cryovials, 198 immediately flash frozen in liquid nitrogen, and stored at -80 °C until further analysis. After 199 thawing, the filters were transferred into glass cuvettes and soaked in 6 mL DMF at -20 °C for at

200	least 24 h	to extract p	ohytoplankton	pigments	(Suzuki and	Ishimaru,	1990).	Chl a concentration
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- 201 were determined with a Turner Designs 10-AU fluorometer using the non-acidification method
- 202 of Welschmeyer (1994). The three size classes were defined as microphytoplankton (>20 μ m),
- 203 nanophytoplankton (2–20 μ m), and picophytoplankton (0.7–2 μ m).

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205 2-4. Ion Torrent next-generation sequencing (NGS)

Seawater samples for DNA analysis were collected sequentially on 25 mm polycarbonate 206 Isopore filters (Millipore, $2 \mu m$ pore size) with a gentle vacuum (< 0.013 MPa) and then stored at 207 - 80 °C until further analysis. DNA samples were extracted using the method of Endo et al. 208 (2013). Extracted DNA samples were purified using a NucleoSpin® gDNA Clean-up (Macherey-209 Nagel) following the manufacturer's protocols. The extracted DNA was sequenced with an Ion 210 211 Torrent Personal Genome Machine (PGM) targeting the diatom-specific 18S rRNA gene V4 region. NGS libraries of DNA were constructed for each sample obtained from all stations and 212 213 from the temperature-controlled incubation experiments. Gene fragments of the diatom-specific 214 18S rRNA V4 region sequences were amplified with the Takara Ex Taq Hot Start Version 215 (Takara) and diatom-specific fusion primer pairs with 12 barcodes: 216 Forward primer: 5'-GATGATGARAAYATTAACTCW-3'

217 Reverse primer: 5'- TAWGAACCTTTWACTTCWCC-3'

218	The	forward	primer	included	the	A-adapter	sequence	(5'-
219	CCATC	TCATCCCTC	GCGTGTCT	CCGAC-3'), t	ne key se	equence (5'-TC	AG-3'), the b	arcode
220	sequence	es set by the 1	nanufacturer	(Thermo Fish	er Scienti	fic) and a barc	ode adapter see	quence
221	(5'-GAT	[-3') upstream	of the forw	vard primer. T	he reverse	e primer includ	led the truncat	ted Pi-
222	adapter	(trP1: 5'-CCT	CTCTATGO	GGCAGTCGG	TGAT-3') sequence ups	stream of the 1	reverse
223	primer. I	PCR mixtures	consisted of 1	×Ex Taq Buff	er, 0.2 mN	/I dNTP, 0.4 μN	l of the fusion p	orimers,
224	0.625 ur	nit of Taq poly	ymerase, and	2 µL DNA te	mplate fo	r a 25 μL total	volume. PCR	cycles
225	within a	thermal cycle	r were perfor	med using the	following	conditions: 94	°C for 60 s, 30	cycles
226	under 98	3 °C for 10 s, 5	6 °C for 30 s,	, and 72 °C for	60 s. Afte	r the final cycle	e, the temperatu	ire was
227	held at 7	72 °C for 10 r	nin to compl	ete the PCR re	eactions. 1	PCR products v	were purified v	vith an
228	Agencou	urt AMPure X	P Kit (Beckr	nan Coulter) a	nd 70 % e	ethanol followir	ng the manufac	turer's
229	protocol	s. The concer	tration of th	e purified amp	olicons wa	as determined v	with an Agilen	t 2100
230	Bioanaly	yzer (Agilent	Technologie	es) using an	Agilent	1000 Assay K	it according	to the
231	manufac	cturer's protoc	ol. Based on	the results of	the Bioar	nalyzer, the pur	ified amplicon	s were
232	diluted t	o a concentrat	tion of 13 pN	I. Once NGS	libraries v	vere constructed	d, emulsion PC	CR was
233	conducte	ed with an Ior	One Touch	2 system and	an Ion PO	GM Template (DT2 400 kit (T	ĥermo
234	Fisher S	cientific). The	resultant em	ulsion PCR pro	oducts we	re then enriched	l with Ion One	Touch
235	ES (The	rmo Fisher Sci	ientific) acco	rding to the ma	nufacture	r's protocols. T	he enriched ten	nplates

236	were loaded onto an Ion 318 v2 chip (Thermo Fisher Scientific) and amplicon libraries were
237	sequenced with an Ion Torrent PGM system using the Ion PGM sequencing 400 kit v2 (Thermo
238	Fisher Scientific) following the manufacturer's protocols.
239	To remove sequences with low quality and polyclonal sequences which did not match the A-
240	adapter, quality filtering was initially performed with the Torrent Suite TM Software (Thermo
241	Fisher Scientific). Additionally, inapplicable sequencing reads which unmatched the trP1 adapter
242	sequence and the reverse primer sequence were removed with the FASTX-Toolkit
243	(http://hannonlab.cshl.edu/fastx_toolkit/). After removal of forward and reverse primers, reads
244	between 18 and 270 bp were extracted as 18S rRNA V4 regions. In addition, reads with a quality
245	score of less than 23 were also excluded from the analysis. The obtained sequences were exported
246	as FASTQ files and then converted to FASTA files with the mothur v. 1.25.0 (Schloss et al., 2009)
247	software.
248	Using the FASTA files obtained for taxonomic classification based on the diatom-specific 18S
249	rRNA V4 region, representative 10,000 reads were deposited to the SILVAngs web interface
250	(https://www.arb-silva.de). The reads were classified with >93% classification similarity to
251	SILVA SSU Ref dataset 123.1. Data which did not match diatoms were excluded from the
252	classification results. For full details of the sequencing methods, see Endo et al. (2016).
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254 2-5. Variable Chl *a* fluorescence by PAM and FRR fluorometry

255 Seawater was dispensed in a 30 mL amber bottle and stored in the dark at ambient temperature 256 for 30 min for dark acclimation to ensure fully open PSII reaction centers. After acclimation, 257 samples were transferred to a quartz cuvette (15 mm pathlength) in a dark environment and placed 258 inside a pulse amplitude modulation (PAM) fluorometer (Walz, Germany) and a fast repetition 259 rate (FRR) fluorometer (Chelsea Technologies Group, West Mosley, UK) to determine the maximum quantum yield of photochemistry in PSII defined as F_v/F_{mPAM} and F_v/F_{mFRRf} for 260 measurements made with the PAM and FRR fluorometers, respectively. In addition to $F_{\sqrt{F_{mERRf}}}$ 261 262 the FRRf also provided measurements of the effective absorption cross sections of PSII, σ_{PSII} , and the concentration of functional PSII reaction centers, [RCII]. PAM and FRR fluorometry 263 measurements were conducted on multiple subsamples from each sample (i.e., pseudo-264 265 replication) following Liu et al. (2009) for PAM fluorometry, and Kolber et al. (1998) and 266 Oxborough et al. (2012) for FRRf fluorometry.

267

268 2-6. Light absorption coefficient of phytoplankton

Seawater was filtered onto Whatman GF/F filters using a gentle vacuum (< 0.013 MPa). After
filtration, filters were carefully wrapped in aluminum foil to avoid any creases and then stored at

-80 °C until further analysis. Following Kishino et al. (1985), the optical density of particles and

272 detritus on the filters (OD_{fp} and OD_{fd}, respectively) was measured with a multipurpose scanning 273 spectrophotometer (MPS-2450, Shimadzu) equipped with an end-on type photomultiplier tube in 274 1 nm steps from 350 to 800 nm before and after soaking filters in methanol for 15 min to remove 275 phytoplankton pigments. Measurements before methanol extraction corresponded to the total 276 absorption, those after methanol extraction to OD_{fd} and the difference between the two to OD_{fp} . All spectra were scatter corrected by subtracting the average value from 730 nm to 750 nm across 277 278 the visible range (Babin and Stramski, 2002). Both OD_{fp} and OD_{fd} were converted to absorption 279 coefficients, $a_{\rm p}(\lambda)$ and $a_{\rm d}(\lambda)$, respectively, using an appropriate path length amplification factor 280 (Cleveland and Weidemann, 1993). The resultant $a_p(\lambda)$ values were then averaged from 400-700 nm and weighted to the spectral irradiance of the incubator lamp, $E_{\text{PARinc}}(\lambda)$ used for 281 282 photosynthesis versus irradiance measurements to give mean absorption coefficients of 283 phytoplankton (\bar{a}_{ph}) and mean Chl *a*-specific absorption coefficient of phytoplankton, $\bar{a}^*{}_{ph}(\lambda)$ 284 following Isada et al. (2013).

285

286 2-7. Photosynthesis vs. Irradiance (P-E) curves and photosynthetic parameters

For each curve, seawater samples were dispensed into twelve 275 mL polystyrene bottles and inoculated with ca. 0.1 mg of NaH¹³CO₃ (99 atom% ¹³C purity, Cambridge Isotope Laboratories, Inc.). Two bottles at time zero remained without any isotope. Samples were then incubated in a 290 temperature-controlled incubator under 10 different light intensities between 1.44 and 1800 µmol 291 photons m⁻² s⁻¹ for 2 h under both, ambient or an altered temperature (see details below under 292 point 2-9). After incubation, samples were filtered onto pre-combusted 25 mm GF/F glass fiber 293 filters (Whatman), which were stored at -80 °C until further analysis. Photosynthetic rates were calculated from ¹³C uptake rates measured with an on-line element analyzer (FlashEA1112, 294 295 Thermo Finnigan)/isotope ratio mass spectrometer (Delta-V, Thermo Finnigan) (EA/IRMS) following Hama et al. (1983), normalized to Chl a and plotted versus irradiance. The resultant 296 P^{B} -*E* curves were fitted to the model of Platt et al. (1980): 297

298
$$P^{\rm B} = P_{\rm s}^{\rm B} \left[1 - \exp\left(-\alpha^{\rm B} \cdot E_{\rm PAR \, inc}/P_{\rm s}^{\rm B}\right) \right] \cdot \exp\left(-\beta^{\rm B} \cdot E_{\rm PAR \, inc}/P_{\rm s}^{\rm B}\right), \text{ and}$$

299
$$P_{\text{max}}^{B} = P_{s}^{B} \cdot \left[\alpha^{B} / (\alpha^{B} + \beta^{B})\right] \cdot \left[\beta^{B} / (\alpha^{B} + \beta^{B})\right]^{(\beta^{B} / \alpha^{B})}$$
(2)

where, the superscript B denotes the biomass parameter used for normalization (here Chl a): P^{B}_{max} 300 [mg C mg Chl a^{-1} h⁻¹] is the maximum photosynthetic rate; α^{B} [(mg C mg Chl a^{-1} h⁻¹) (µmol 301 photons $m^{-2} s^{-1})^{-1}$] is the initial slope of the curve, or light utilization efficiency; $E_{PAR inc}$ [µmol 302 photons m⁻² s⁻¹] is the PAR at each bottle in the incubator; β^{B} [(mg C mg Chl a^{-1} h⁻¹) (µmol 303 photons $m^{-2} s^{-1})^{-1}$] is the photoinhibition index; and P^{B}_{s} the maximum photosynthetic rate in the 304 305 absence of photoinhibition. The light saturation index, E_k [µmol photons m⁻² s⁻¹] was calculated 306 as $E_{\rm k} = P^{\rm B}_{\rm max}/\alpha^{\rm B}$ and the maximum quantum yield for carbon fixation, $\Phi_{\rm Cmax}$ [mol C mol photons⁻ 307 ¹] was also calculated as:

308

$$\Phi_{\rm Cmax} = 0.0231 a^{\rm B} / \bar{a}^{*}{}_{\rm ph}, \tag{3}$$

309 with further details provided by Isada et al. (2013).

310

311 2-8. Primary productivity

Seawater was dispensed into four acid-cleaned 300 mL PC bottles, inoculated with ~0.1 mg of NaH¹³CO₃ and incubated for 24 h in an on-deck incubator at ambient temperature, either at ambient irradiance (3 replicate samples) or in darkness (1 sample). After incubation, samples were filtered onto pre-combusted 25 mm GF/F glass fiber filters (Whatman) and stored at -80 °C until further analysis as described above. Primary productivity, *PP* in the units of mg C m⁻³ day⁻¹ for each sample was then calculated following Hama et al. (1983).

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319 2-9. Temperature-controlled incubation experiments

To assess the effects of temperature on phytoplankton assemblages in COY waters, on deck temperature-controlled bottle incubation experiments were conducted using surface seawater collected from ca. 5 m depth, and dispensed into three 9 L PC carboys at stations Bio-6, Bio-7, Bio-10, and Bio-13 (Fig. 1). One of the three carboys was used for time zero samples, the remaining two were used to test for the effect of temperature, with ambient temperature being the control and +7 °C being the high temperature treatment. To avoid any interference from possible

329	experiments (Sections 2-5 and 2-7) combined with analyses of the transcription level of the
330	diatom-specific <i>rbcL</i> gene by qRT-PCR(see following).
331	
332	2-10. Transcription level of diatom-specific <i>rbcL</i> with qRT-PCR method
333	Sampling and extraction of DNA were performed as described above (Section 2-4). Seawater

light effects, bottles were covered with black foil during the entire 24 h incubation. After

incubation subsamples were collected to determine diatom community composition by NGS

(Section 2-4) and to measure photosynthetic physiology by variable fluorescence and P-E

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334 for RNA samples was filtered onto 25 mm polycarbonate Isopore filters (Millipore, 2 µm pore size) with a gentle vacuum (< 0.013 MPa). Filters for RNA analysis were placed in cryotubes 335 containing 0.2 g of pre-combusted 0.1 mm glass beads and 600 µL RLT buffer (Qiagen), to which 336 337 10 μL β-mercaptoethanol (Sigma-Aldrich) were added. After filtration, RNA samples were immediately frozen in liquid nitrogen and stored at -80 °C until further analysis. RNA retained 338 339 on the filters were extracted following Endo et al. (2015). The extracted RNA was then reverse 340 transcribed into cDNA with the PrimeScriptTM RT Master Mix (RR036, Takara) according to 341 the manufacturer's specifications. Copy numbers of the diatom-specific rbcL gene in extracted 342 DNA and transcribed cDNA samples were quantified by quantitative PCR (qPCR) with standards 343 of the diatom-specific *rbcL* gene, which were produced from the diatom *Thalassiosira weissflogii* 344 (CCMP1336) in accordance with Endo et al. (2015).

345

346	2-11. Low temperature (77K) emission spectra
347	The emission spectra at 77K were measured on board using a custom-built portable emission
348	spectrometer (Prášil et al., 2009) and measuring procedures described in Hill et al. (2012) with
349	the following exceptions: the volume of seawater filtered on the 25 mm Whatman GF/F filters
350	was 1.2 L. For each sample, six emission spectra were collected, using LEDs with different
351	excitation wavelengths (390, 455, 470, 505, 530 and 590 nm). From each spectrum, a blank
352	spectrum (measured using filter soaked in distilled water) was subtracted.
353	
354	2-12. Statistical analyses
355	Statistical analyses were conducted using the SigmaPlot software program ver. 11.0 (SystStat
356	Software, Inc.) except for the cluster analysis evaluating diatom community composition, which
357	was carried out in the statistical software R (<u>http://www.r-project.org</u>). Spearman's correlation
358	
	analysis was used to assess relationships between variables. Multiple linear regression analysis
359	analysis was used to assess relationships between variables. Multiple linear regression analysis was conducted to determine the contributions of each diatom group to total Chl <i>a</i> . Cluster analysis
359 360	analysis was used to assess relationships between variables. Multiple linear regression analysis was conducted to determine the contributions of each diatom group to total Chl <i>a</i> . Cluster analysis was performed to investigate differences in community composition of diatoms using Bray-Curtis

362

clusters were assessed with a multivariate analysis of variance (MANOVA) test and the Wilks'

363 lambda discriminant analysis.

364

365 3. Results

366 3-1. Hydrographic conditions

Sea surface temperatures (SST) observed during the KH15-1 expedition were generally low 367 ranging from 0.0-1.6°C whereas higher SST between 2.4-3.8 °C were observed during the AK15 368 cruise (Table 1). Values of sea surface salinity (SSS) were lower than 33 throughout both 369 370 expeditions with the lowest SSS value of 32.1 being observed at Station AK15-1 (Table 1). 371 Nutrients in surface waters were generally abundant during the KH15-1 expedition. The deepest mixed layer depth (MLD) of 28.6 m was found at Station Bio-10 whereas the shallowest MLD of 372 373 15.8 m was found at Station Bio-6 (Table 1). During the KH15-1 expedition, the depth (z) of the 374 euphotic zone (Z_{eu}) was generally shallower than the MLD with Station Bio-6 being an exception 375 (Table 1). 376 Stations on the shelf ($z\leq 100$ m) were classified as shelf COY, whereas those in rather oceanic 377 regions (z>100 m) were defined as offshore COY (Fig. 1 and Table 1). Warm water masses with a relatively high temperature of ~4 °C intruded into offshore COY waters from the western part 378

of the coast between the isopycnal surfaces ($\sigma_{\theta} = 26.7 - 26.8$; Appendix A). Thus, we refer to these

380 stations as "Tokachi" to distinguish them from the other offshore and shelf sites.

381

382	3-2. Phytoplankton pigments
383	Concentrations of Chl <i>a</i> were generally <1 mg m ⁻³ except at bloom stations Station Bio-14,
384	AK15-1 and AK15-2 (hereafter bloom stations) (Fig. 2a). Chl a concentrations were positively
385	correlated with SST, but negatively with SSS (Table 2). Fuco, Peri, and diadinoxanthin (DD)
386	were the predominant carotenoids (Appendix B) and were used in the following multiple
387	regression to derive total Chl a according to:
388	[Chl a] = 2.00 [Fuco] + 1.78 [Peri] + 0.107, (4)
389	wherein $n = 21$, $r^2 = 0.994$, <i>t</i> -value for Fuco = 56.0 ($p < 0.001$), <i>t</i> -value for Peri = 2.85 ($p < 0.05$),
390	<i>t</i> -value for the constant = 2.12 ($p < 0.05$), and $F = 367$ ($p < 0.001$). Diatoms were predominant at
391	all sampling stations contributing between 54–96% of total Chl a and > 90% at the bloom stations
392	(Fig. 3a).
393	
394	3-3. Size-fractioned chlorophyll <i>a</i> concentration
395	Nanophytoplankton dominated the phytoplankton community during the KH15-1 expedition

- 396 with the exception of the bloom stations and Station Bio-7, where microphytoplankton (>20 μ m)
- 397 dominated the phytoplankton assemblages. The lowest contribution (8.36 % of total Chl *a*) of

398 microphytoplankton was found at the farthest offshore Station Bio-10 (Fig. 3b).

399

- 400 3-4. Diatom community composition
- 401 The genera Thalassiosira, Minidiscus, Skeletonema, Fragilariopsis, and Pseudo-nitzschia 402 dominated the diatom assemblages (Fig. 4) with minor contributions of diatoms belonging to the 403 subdivision of Coscinodiscophytina. The genus Thalassiosira contributed >40% to the total Chl 404 a at the bloom stations (Bio-14, AK15-1 and AK15-2) and stations Bio-6 and Bio-7 (Fig. 4). Thus, 405 the composition of the diatom community at these shelf COY stations was significantly different 406 from that at other stations (p < 0.01, One-way MANOVA, Wilk's lambda; Fig. 5). At the offshore 407 COY and Tokachi stations, diatom communities were characterized by the dominance of 408 Thalassiosira, Minidiscus and/or Fragilariopsis.

409

410 3-5. Variable chlorophyll *a* fluorescence

411 $F_{\rm v}/F_{\rm m PAM}$ values determined with the PAM fluorometer varied between 0.17 - 0.55 (Fig. 2b),

- 412 giving an average of 0.35 \pm 0.11 across all sites. The highest and lowest $F_{\nu}/F_{m PAM}$ values were
- 413 observed at Station AK15-1 and Bio-10, respectively. Also, $F_{\sqrt{F_{mPAM}}}$ was significantly correlated
- 414 with Chl a, P^{B}_{max} , Φ_{Cmax} , and PP, (p < 0.01, < 0.01 < 0.05, and < 0.001, respectively; Spearman-
- 415 Rank correlation, Table 3). During the KH15-1 expedition, F_v/F_m measured by FRR fluorometry

 $(F_v/F_{m \, \text{FRRf}})$ (Appendix C) showed a similar spatiotemporal variation as $F_v/F_{m \, \text{PAM}}$ ($\rho = 0.483$, n =416 417 20, p < 0.05, Spearman-Rank correlation), although a large difference between $F_v/F_{m \ FRRf}$ and $F_{\rm w}/F_{\rm m PAM}$ was observed at Station Bio-1. Values of the functional absorption cross-section for 418 419 PSII (σ_{PSII}) varied between 2.43 and 3.78 nm² PSII⁻¹. The lowest and highest values were observed 420 at Stations Bio-14 and Bio-2, respectively, which deviated from values at the other stations. A 421 much larger variability, however, was observed in the concentration of functional PS II centers 422 [RCII], which varied between 0.49×10^{-9} and 5.57×10^{-9} mol m⁻³ at Station Bio-1 and Bio-14, 423 respectively (Appendix C). The latter was also the site with the highest Chl *a* concentration. 424 3-6. Light absorption coefficient of phytoplankton 425

- 426 The Chl *a*-normalized light absorption coefficient for phytoplankton (\bar{a}^*_{ph}) at the bloom stations
- 427 as well as at Station Bio-6 were relatively low compared to the other sites of this study (Fig. 2c).
- 428 In particular, values of \bar{a}^*_{ph} obtained during the AK15 cruise were one order of magnitude smaller
- 429 than those during the KH15-1 expedition.
- 430
- 431 3-7. Low temperature (77K) emission spectra
- We have observed significant variability among the 77K emission spectra collected at eachstation. The variability can be linked to species composition and the physiological state of

434	phytoplankton. An example of emission spectra in the $660 - 700$ nm region is given in Appendix
435	D, panels A and B. The phytoplankton emission in this spectral region is composed of several
436	overlapping bands: the major band peaks at 685 nm and originates from PSII reaction centers, the
437	band at 695 nm originates from active PSII containing CP47 (Suggett et al., 2009, D'Haene et al.,
438	2015) and in some cases less intense bands at 675 and 680 nm were observed. In eukaryotic
439	phytoplankton, the 680 nm band originates from loosely coupled antenna pigments with less
440	efficient energy transfer to the PSII reaction center. The 675 nm band (Appendix D, panel B) is
441	specific for emission from Peri containing peripheral peridinin-chlorophyll a-protein (PCP)
442	antenna proteins in dinoflagellates and is excited only by the 500-600 nm wavelengths absorbed
443	by PCP (Hill et al., 2012). Using simple analysis of the emission spectra based on the measured
444	emission intensities at given wavelength, we have observed correlation ($r^2 = 0.598$) between the
445	relative content of Peri (determined by UHPLC) and the increase of emission at 675 nm
446	(Appendix D, panel C) or between the functional cross-section of PSII measured by FRR
447	fluorometry and the intensity of the emission in the $675 - 680$ nm region ($r^2 = 0.732$, see Appendix
448	D, panel D). The increased emission band around 695 nm, indicating phytoplankton with active
449	PSII (Suggett et al., 2009), was observed only at station Bio-14 (Appendix D, panel B).
450	

451 3-8. *P-E* curves and their photosynthetic parameters 452 Values of α^{B} varied between 0.00949 – 0.0383 (mg C mg Chl a^{-1} h⁻¹) (µmol photons m⁻² s⁻¹)⁻¹ (Fig. 2d), and the β^{B} values ranged between 0.000628 – 0.00912 (mg C mg Chl a^{-1} h⁻¹) (µmol 453 photons $m^{-2} s^{-1}$)⁻¹ (Fig. 2e). P^{B}_{max} values, however, were relatively constant and ranged between 454 $1-2 \text{ mg C mg Chl } a^{-1} \text{ h}^{-1}$ except at the bloom stations (Fig. 2f). The E_k values varied from 83.2 455 to 235.0 μ mol photons m⁻² s⁻¹ giving an average value with standard deviation of 131.8 \pm 53.2 456 μ mol photons m⁻² s⁻¹ (Fig. 2g). Values of Φ_{Cmax} were rather constant (0.0068 – 0.0275) except at 457 the bloom stations (Fig. 2h). The P^{B}_{max} and E_{k} showed negative correlations with respect to SSS 458 (Table 2). 459

460

462 Primary productivity (*PP*) at all sampling stations ranged between $3.56 - 255.40 \text{ mg C m}^{-3} \text{ d}^{-1}$

463 (Fig. 2i) being highest at the bloom stations. Lowest PP was observed at Station Bio-1, which

464 also had the lowest SST values (Fig. 2i, Table 1). Also note that *PP* was significantly correlated

465 with SST and Chl *a* (*p*<0.05 and <0.001, respectively, Spearman-Rank correlation, Tables 2, 3).

466

467 3-10. On-deck temperature-controlled bottle incubation experiments

- 468 Phytoplankton pigment signatures revealed that algal community composition calculated from
- 469 Eq. 4 changed relatively little (< 5%) during our incubations, except at Station Bio-6 where the

470 contribution of dinoflagellates increased from 39.7% to 47.7 % in the 7 °C treatment (Fig. 6).
471 Similarly, little changes in the composition of diatoms occurred throughout all experiments except

- 472 at Station Bio-13 (Tokachi waters) where growth of Minidiscus was stimulated in the 7 °C
- 473 treatment (Fig. 7).

474 At the end of the incubation, the *P*-*E* curve parameters varied differently between incubations at different stations (Fig. 8). Values of α^{B} increased by ca. three-fold in the 7 °C treatment during 475 476 the Bio-7 experiment, while changes at the other sites were much less pronounced (Fig. 8a). The 477 $P^{\rm B}_{\rm max}$ values increased in both treatments at most sites. The exception was Station Bio-10, where 478 P^{B}_{max} showed a slight decrease in both the control and 7 °C treatment (Fig. 8c). Changes in E_k 479 were variable increasing after incubation at 7 °C during experiments at stations Bio-6 and Bio10, but decreasing at Station Bio-7 (Fig. 8d). Φ_{Cmax} was highest in the +7 °C treatment at Stations 480 481 Bio-7 and Bio-13 (Fig. 8f). At the shelf COY (Bio-6 and Bio-7) and Tokachi (Bio-13) stations where the water was <100 m deep, values of the diatom-specific *rbcL* gene expression for the +7 482 °C treatments were higher than those of the controls (Fig. 8g). Values of $\bar{a}^*_{\rm ph}$ and $F_v/F_{\rm mPAM}$ varied 483 484 little among treatments at all stations (Fig. 8e and h). 485

486 4. Discussion

487 4-1. Hydrography

488	Cold, low-salinity waters extended completely across our sampling sites throughout the
489	observation period i.e., early spring (Table 1). Following the water mass definitions in this study
490	area proposed by Ohtani (1971), OY waters were not detected. The results were similar to
491	observations of Kasai et al. (1997) who demonstrated that COY waters extended from the coast
492	off Hokkaido to the offshore station at 42° 40′ N, 144° 55′ E in March 1990, 1991, and 1992.
493	
494	4-2. Abundance and community composition of spring phytoplankton in the COY
495	The temporal variation in Chl a concentration suggests that the sampling period covered both,
496	the pre-bloom and bloom phase (Fig. 2a), with the former being characterized by low Chl a
497	concentrations (<1 mg m ⁻³). The subsequent increase in Chl a concentration during the AK15
498	expedition represented the spring bloom at the shelf COY stations (Fig. 2a), which matches the
499	observations of Kasai et al. (1997) who also reported peaks in Chl a in COY waters in April.
500	Kasai et al. (1997) also proposed that shoaling of the MLD due to water-column stratification
501	may be an important factor for bloom initiation. In this study, however, there was no significant
502	relationship between any of the photosynthetic parameters (including Chl <i>a</i>) and MLD (Table 2).
503	The substantial increases in Chl a and Fuco at the bloom stations indicated that the blooms on the
504	COY shelf were dominated by diatoms (Fig. 3a and Appendix B). The large increase in the
505	relative contribution of microphytoplankton to the total phytoplankton also supports this notion

506	(Fig. 3b). Interestingly, our DNA sequencing analysis showed that the composition of the diatom
507	assemblages in most of the shelf COY stations differed from those of other water masses (Figs. 4
508	and 5). Because the shelf COY stations were located in relatively shallow waters, resuspension
509	of benthic diatoms from shelf sediments might affect either or both community composition and
510	bloom formation (e.g. McQuoid and Godhe, 2004), although no information on the composition
511	of the benthic algal community is available for our study area. The discrimination between the
512	shelf COY and other waters masses was based mainly on the presence and dominance of
513	Thalassiosira (Fig. 4). Shinada et al. (1999) observed Thalassiosira blooms in Funka Bay when
514	COY waters intruded into the bay in early spring. In this study, the genus Thalassiosira was the
515	bloom-forming species in the shelf COY waters indicated by the contribution of Thalassiosira
516	becoming high at the bloom stations (Fig. 4). The genera Fragilariopsis and Minidiscus were
517	relatively abundant in offshore COY and Tokachi waters (Fig. 4). Suzuki et al. (2011) reported
518	that the genus Fragilariopsis was abundant in OY waters. The substantial abundance of
519	Minidiscus, however, has not been reported in both COY and OY waters likely because the genus
520	Minidiscus can be overlooked with conventional light microscopy due to its small size, i.e.,
521	nanophytoplankton (Hasle and Syvertsen, 1996). Although blooms of the genus Chaetoceros are
522	commonly and annually observed during the bloom period (Mochizuki et al., 2002; Hattori-Saito
523	et al, 2010; Suzuki et al., 2011), the contributions of <i>Chaetoceros</i> to the diatom assemblages (as

524	determined with the next-generation sequencing method) were generally low and negligible
525	(<4%) (Fig. 4). Hattori-Saito et al. (2010) also observed the predominance of <i>Thalassiosira</i> at the
526	pre-bloom station at COY and OY regions, whereas Cheatoceros outcompeted other taxa at the
527	OY bloom station. Both pigment analysis (presence of peridinin, Appendix B) and 77K emission
528	spectroscopy (Appendix D, panel C) indicated increased presence of dinoflagellates at near-coast
529	stations Bio-6, 7 and 4.

530

4-3. Photosynthetic physiology of spring phytoplankton in the COY

532 At the bloom stations with high Chl *a* concentrations, we also observed high P^{B}_{max} , Φ_{Cmax} , and 533 PP values (Fig. 2a, f, h, and i), suggesting that the photophysiological states of phytoplankton had improved from the pre-bloom period. In addition, P^{B}_{max} showed a significant correlation with 534 other photosynthetic parameters, i.e. F_{ν}/F_{mPAM} , Φ_{Cmax} , and *PP* (Table 3). In turn, it should be noted 535 that the F_v/F_{mPAM} values correlated with PP and Chl a concentration as well (Table 3). 536 537 Consequently, light reaction processes in PSII are important for the underlying mechanisms such as coupling of antenna pigments to PSII reaction centers (discussed below) to control PP and 538 539 phytoplankton biomass during the observation period. Indeed, the increase in [RCII] (i.e., the 540 concentration of PSII reaction centers) at Station Bio-14 indicated the significance of light 541 reaction processes in the bloom development (Appendix C). We also observed, for the first time,

542	correlations between the effective absorption cross-section of PSII ($\sigma_{PSII})$ and the relative intensity
543	of the emission band at 680 nm that forms a low-wavelength shoulder of the main emission band
544	assigned to PSII (see Appendix D, panels A and D). We assign this band to emission from antenna
545	chlorophylls that are not tightly coupled to PSII reaction centers. We note that phytoplankton with
546	high σ_{PSII} also shows a higher proportion of loosely coupled antenna pigments. Interestingly, at
547	the high-chlorophyll station Bio-14 we detected both, low values of σ_{PSII} , a low proportion of
548	uncoupled antenna and a significant increase in active PSII (see emission at 695nm, Appendix D,
549	panels A and B). This enhanced coupling of antenna pigments at Station Bio-14 could lead the
550	high $F_{\rm v}/F_{\rm m}$, $P^{\rm B}_{\rm max}$ and PP values (Fig. 2b, f, and i), which might be associated with bloom
551	development in COY waters. Interestingly, the SSS showed significant negative correlations with
552	the photosynthetic parameters including P^{B}_{max} values and Chl <i>a</i> concentration (Table 2), which
553	implies the surface phytoplankton assemblages in low saline shelf COY waters had relatively
554	higher carbon fixation rates. Co-variations of the P^{B}_{max} and α^{B} values were not found in this study
555	(Table 3), indicating E_k -dependent variability (Behrenfeld et al., 2004) in photosynthesis.
556	According to Behrenfeld et al. (2004), the physiological mechanisms responsible for E_k -
557	dependent variability generally involve acclimation strategies aimed at maximizing growth under
558	variable light conditions. On the other hand, in the OY region, E_k -independent variability, which
559	is the result of co-variation in P^{B}_{max} and α^{B} , was observed from March to May by Isada et al.

(2009) and in the post-bloom phase by Yoshie et al. (2010). Additionally, Yoshie et al. (2010) 560 561 pointed out that water temperature and ammonium levels significantly affected the E_{k} independent variability. In this study, water temperatures of COY remained relatively low (< 4°C; 562 563 Table 1) and ammonia did not influence any photosynthetic parameters (Table 2). The SST values, 564 however, showed significant positive correlations with Chl a and PP, suggesting that temperature was a significant driver for biomass and primary production. The contribution of 565 microphytoplankton to total Chl a correlated positively with the photosynthetic parameters 566 including Chl a, F_v/F_{mPAM} , P^B_{max} , and PP (Table 2), indicating that microphytoplankton 567 568 contributed considerably to bloom formation in this area. The contributions of diatoms to Chl a concentrations, however, did not show any significant correlation with these photosynthetic 569 570 parameters (Table 2). The results imply that some specific diatoms had different photosynthetic 571 strategies and formed the bloom in April. In fact, the contributions of *Thalassiosira* to the total diatoms showed a positive relationship with P^{B}_{max} among the diatom groups (Table 2), which 572 573 suggests the genus Thalassiosira bloomed in COY waters.

574

4-3. Physiological response of phytoplankton to temperature in COY waters

576 In the temperature-controlled experiments, the phytoplankton assemblages showed different 577 responses among stations. Changes in the P^{B}_{max} values with the increase in temperature were

578	prominent for the phytoplankton assemblages in the shelf COY (Stations Bio-6 and -7) and the
579	Tokachi (Station Bio-13) waters, whereas no increase in P^{B}_{max} was observed for those in the
580	offshore COY at Station Bio-10 (Fig. 8c). Similarly, the diatom-specific rbcL cDNA copies
581	normalized by their DNA copies for the shelf COY and Tokachi assemblages also notably
582	increased after the temperature change (Fig. 8g), suggesting that the transcriptional levels of the
583	diatom-specific <i>rbcL</i> gene could be upregulated by the increase in temperature at these stations.
584	Interestingly, the offshore COY assemblages showed little change in the transcription level of
585	diatom-specific $rbcL$ as well as P^{B} max (Fig. 8c and g). These concomitant increases indicate that
586	the enhancement of the P^{B}_{max} for the shelf COY and Tokachi assemblages could be caused by the
587	increases in the transcription level of the large subunit of RuBisCO with temperature. This
588	hypothesis is supported by the fact that P^{B}_{max} values are controlled by the activity of RuBisCO
589	(Li et al., 1984; Descolas-Gros and De Billy, 1987; Raven and Geider, 1998). In general, the
590	optimal temperature of RuBisCO (40 – 50 °C) (Descolas-Gros and de Billy, 1987; Young et al.,
591	2015) was far above the <i>in situ</i> SST (0–4°C) observed in this study, and, thus, its activity would
592	increase exponentially with temperature up to a certain point (Descolas-Gros and de Billy, 1987;
593	Crafts-Brandner and Savucci, 2000). The temperature increase, therefore, enhanced both the
594	expression of the <i>rbcL</i> gene and the catalytic efficiency of RuBisCO, which eventually led to high
595	carbon fixation rates. The genus <i>Thalassiosira</i> became the bloom-forming group in the shelf COY

596	(Fig. 4), so the genus <i>Thalassiosira</i> might be capable of responding rapidly to environmental
597	changes such as an increase in temperature. Their rapid growth, however, was not observed in the
598	short-term incubation experiments. On the other hand, relative contributions of the genus
599	Minidiscus to the diatom assemblages increased at the Tokachi station (Bio-13) after the
600	temperature increase (Fig. 7). Because the physiological and phylogenic information on
601	Minidiscus were limited, further studies on this group are needed to understand their rapid
602	increase.
603	Among the other photosynthetic parameters from <i>P</i> - <i>E</i> curve analyses, α^{B} was considered to have
604	little variation with temperature because α^{B} is an index for the capability of carbon fixation in
605	light-limited environments and, thus, reflects mainly the light-dependent reactions of
606	photosynthesis (Platt and Jassby, 1976; Kiefer and Reynolds, 1992; Kolber and Falkowski, 1993).
607	In the incubation experiments at Stations Bio-7 and Bio-13, however, increases in α^{B} values were
608	observed with temperature (Fig. 8a). Although similar results were also observed in the previous
609	studies (e.g. Verity 1981; Palmisano et al., 1987), the mechanisms are still unclear. As estimated
610	from the small variations in F_v/F_{mPAM} (Fig. 8h), temperature negligibly affected the maximum
611	quantum yield of PSII for the phytoplankton assemblages at all stations in this study, but the light-
612	harvesting property of PSII could be affected by temperature. Because α^B values relate to σ_{PSII}
613	and the number of photosynthetic antennae (n) with varying non-photochemical quenching (e.g.,

614 Sakshaug et al., 1997), temperature might affect the light harvesting property of PSII i.e., *n* and

 σ_{PSII} (e.g., Mock and Hoch, 2005; Ralph et al., 2005).

616

615

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Figure captions

Figure 1. Seawater sampling stations during the KH15-1 and AK15 expeditions off the coast of Hokkaido, Japan. Stations for the KH15-1 expedition are denoted as B plus station numbers. Stations Bio-6, Bio-7, and Bio-11 overlap as well as stations AK15-1 and AK15-2. Red circles denote shelf COY stations, blue circles denote offshore COY stations, and green closed denote Tokachi stations.

Figure 2. Photosynthetic parameters obtained from *P-E* curve experiments at *in situ* sampling stations.

Chl *a*: Chl *a* concentration [mg m⁻³]; F_{v}/F_{mPAM} : Maximum quantum yield of PSII obtained by PAM fluorometry; $\bar{a}^*{}_{ph}$: Chl *a*-normalized light absorption coefficient of phytoplankton [m² mg Chl *a*]; a^B : Initial slope of *P*-*E* curve [mg C mg Chl a^{-1} h⁻¹ (µmol photons m⁻² s⁻¹)⁻¹]; β^B : Photoinhibition index [mg C mg Chl a^{-1} h⁻¹ (µmol photons m⁻² s⁻¹)⁻¹]; β^B : Photoinhibition index [mg C mg Chl a^{-1} h⁻¹ (µmol photons m⁻² s⁻¹)⁻¹]; β^B : Maximum photosynthetic rate [mgC mgChl a^{-1} h⁻¹]; E_k : Light saturation index [µmol photons m⁻² s⁻¹]; Φ_{Cmax} : Maximum quantum yield for carbon fixation [mol C mol photons⁻¹]; *PP*: Primary productivity [mg C m⁻³ day⁻¹]. Error bars are standard deviations, $n \ge 3$.

Figure 3. Relative contribution of phytoplankton groups to total Chl *a* (a) at the class level class at the different sampling stations determined by multiple regression analysis based on diagnostic pigment signatures and (b) at the level of size class of phytoplankton determined by size-fractioned Chl *a* measurement.

Figure 4. Relative contribution of diatom community composition to total number of sequences determined by the next-generation sequencing method at *in situ* sampling stations.

Figure 5. Dendrogram of the cluster analysis of diatom community composition determined with the next-generation sequencing method. Clustering is based on Bray-Curtis distance and the group average method. The cluster on the right-hand side includes samples from shelf COY water, which had a community composition significantly different from the other sites (One- way MANOVA, Wilk's lambda, p < 0.01).

Figure 6. Relative contribution of phytoplankton groups to total Chl *a* at the class level during the temperature-controlled incubation experiments determined by the multiple regression analysis based on diagnostic pigments.

Figure 7. Relative contribution of diatom community composition to total number of sequences during the temperature-controlled incubation experiments determined with the next-generation sequencing method.

Figure 8. Photosynthetic parameters during the temperature-controlled incubation experiments. The open bars indicate values of initial bottles, shaded bars indicate values of control treatments, and closed bars show values of the +7 °C treatment.

(a) α^{B} : Initial slope of *P*-*E* curve [mg C mg Chl a^{-1} h⁻¹ (µmol photons m⁻² s⁻¹)⁻¹]; (b) β^{B} : Photoinhibition index [mg C mg Chl a^{-1} h⁻¹ (µmol photons m⁻² s⁻¹)⁻¹]; (c) P^{B}_{max} : Maximum photosynthetic rate [mgC mgChl a^{-1} h⁻¹]; (d) E_{k} : Light saturation index [µmol photons m⁻² s⁻¹]; (e) \bar{a}^{*}_{ph} : Chl *a*-normalized light absorption coefficient of phytoplankton [m² mg Chl *a*]; (f) Φ_{Cmax} : Maximum quantum yield for carbon fixation [mol C mol photons⁻¹]; (g) Diatom-specific *rbcL*: Transcription level of the diatom-specific *rbcL* gene (cDNA copies/DNA copies); (h) F_{v}/F_{mPAM} : Maximum quantum yield of PSII obtained by PAM fluorometry. Error bars are standard deviations, $n \ge 3$.



Fig. 1









Fig. 4



Fig. 5





Fig. 7



Table captions

Table 1. Sampling conditions and hydrographic and optical data during the KH15-1 and AK15 expeditions.

SST: Sea surface temperature; SSS: Sea surface salinity; NO₃, NO₂, NH₄, PO₄, and SiO₂: Concentration of nitrate, nitrite, ammonium, phosphate, and silicate; K_d (PAR): Vertical attenuation coefficient of downward photosynthetically available radiation (PAR); Z_{eu} : Euphotic layer depth; MLD: Mixed layer depth

Table 2. Spearman-rank correlation coefficients between photosynthetic, environmental and community composition data.

Bold values indicate significant relationships with asterisks denoting different significance levels: * = 0.05, ** = 0.01, *** = 0.001, n = 13

SST: Sea surface temperature; SSS: Sea surface salinity; NO₃, NO₂, NH₄, PO₄, and SiO₂: Concentration of nitrate, nitrite, ammonium, phosphate, and silicate; MLD: Mixed layer depth; *rbcL*: Diatom-specific *rbcL* gene expression, Micro: microphytoplankton, Nano: nanophytoplankton, Pico: Picophytoplankton, Dino: dinoflagellates, Other: other functional groups than diatoms and dinoflagellates. The % denotes relative contribution of given phytoplankton groups.

Table 3. Spearman-rank correlation coefficients between *P*-*E* curve parameters. Bold numbers indicate significant relationship between given parameters. Significance levels are denoted by numbers of asterisks: * = 0.05, ** = 0.01, *** = 0.001, *n* = 13. α^{B} : Initial slope of *P*-*E* curve [mg C mg Chl a^{-1} h⁻¹ (µmol photons m⁻² s⁻¹)⁻¹]; β^{B} : Photoinhibition index [mg C mg Chl a^{-1} h⁻¹ (µmol photons m⁻² s⁻¹)⁻¹]; β^{B} max: Maximum photosynthetic rate [mgC mgChl a^{-1} h⁻¹]; *E*_k: Light saturation index [µmol photons m⁻² s⁻¹]; Chl *a*: Chl *a* concentration [mg m⁻³]; \bar{a}^{*}_{ph} : Chl *a*-normalized light absorption coefficient of phytoplankton [m² mg Chl *a*]; Φ_{Cmax} : Maximum quantum yield for carbon fixation [mol C mol photons⁻¹]; *PP*: Primary productivity [mg C m⁻³ day⁻¹]

Sampling date	Station	Water mass	Bottom depth	SST	SSS	NO ₃	NO_2	$\rm NH_4$	PO_4	SiO ₂	K _d (PAR)	Z_{eu}	MLD
			(m)	(°C)		(µM)	(µM)	(µM)	(µM)	(µM)	(m^{-1})	(m)	(m)
2015.03.08	Bio-1	Offshore	852	0.03	32.58	21.29	0.18	0.69	1.81	35.50	0.105	44.1	26.6
2015.03.09	Bio-2	Offshore	564	0.39	32.71	22.20	0.11	0.44	1.93	35.38	0.12	38.5	29.9
2015.03.13	Bio-4	Tokachi	103	0.42	32.36	18.43	0.23	0.71	1.71	33.73	0.291	15.8	27.3
2015.03.14	Bio-6	Shelf	100	0.93	32.17	19.77	0.18	1.43	1.54	39.24	0.363	12.7	15.8
2015.03.15	Bio-7	Shelf	97	0.67	32.55	20.64	0.18	1.02	1.85	36.25	0.145	31.7	20.2
2015.03.17	Bio-9	Offshore	1477	0.64	32.38	18.13	0.23	0.54	1.76	32.19	0.159	28.9	19.5
2015.03.18	Bio-10	Offshore	4100	1.60	32.78	24.70	0.17	UD	2.21	43.22	0.087	52.6	28.6
2015.03.19	Bio-11	Shelf	100	0.85	32.71	23.60	0.16	0.27	2.10	41.01	0.119	38.9	20.1
2015.03.20	Bio-12	Offshore	529	0.63	32.62	27.21	0.21	0.35	2.45	48.93	0.137	33.9	23.6
2015.03.21	Bio-13	Tokachi	1084	1.47	32.62	24.53	0.27	0.29	2.30	46.03	0.131	35.0	18.9
2015.03.22	Bio-14	Shelf	100	0.56	32.52	31.43	0.33	0.27	2.94	59.28	0.143	32.1	19.5
2015.04.16	AK15-1	Shelf	33	3.84	32.06	0.83	0.05	UD	0.34	4.12	ND	ND	27.8
2015.04.17	AK15-2	Shelf	32	2.41	32.22	2.01	0.08	UD	0.48	1.78	ND	ND	21.4

Table 1

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	Chl a	$F_{\rm v}/F_{ m mPAM}$	$\alpha^{\rm B}$	β^{B}	P ^B _{MAX}	$E_{\rm k}$	$\bar{a}^*{}_{\mathrm{ph}}$	$\Phi_{\rm cm}$	PP
SST	0.57*	0.52	0.22	0.27	0.35	0.26	-0.14	0.28	0.57*
SSS	-0.66*	-0.50	-0.20	-0.83***	-0.76**	-0.65*	0.70**	-0.55	-0.50
NO_3	-0.29	-0.13	0.12	-0.57*	-0.48	-0.60*	0.45	-0.20	-0.17
NO_2	0.08	-0.10	-0.14	-0.03	-0.08	0.07	0.28	-0.32	-0.04
\mathbf{NH}_4	-0.36	-0.33	-0.51	0.15	-0.15	0.20	0.19	-0.41	-0.45
PO_4	-0.26	-0.13	0.08	-0.52	-0.33	-0.51	0.56*	-0.34	-0.12
SiO ₂	-0.12	0.00	0.11	-0.30	-0.25	-0.43	0.39	-0.23	-0.03
MLD	-0.49	-0.32	-0.15	-0.31	-0.24	-0.12	-0.02	-0.09	-0.44
rbcL	0.41	0.29	0.19	0.15	0.33	0.27	0.00	0.20	0.47
%Micro	0.57*	0.61*	0.46	0.77**	0.91***	0.44	-0.59*	0.59*	0.66*
%Nano	-0.31	-0.63*	-0.33	-0.44	-0.53	-0.23	0.28	-0.35	-0.47
%Pico	-0.69*	-0.49	-0.58*	-0.60*	-0.77**	-0.27	0.80**	-0.80**	-0.61*
%Diatom	0.61*	0.40	0.53	0.34	0.54	0.17	-0.57*	0.61*	0.64*
%Dino	-0.35	-0.22	-0.52	-0.19	-0.35	0.04	0.52	-0.59*	-0.30
%Thalassiosira	0.70*	0.76**	0.34	0.84***	0.74**	0.48	-0.51	0.47	0.65*
%Minidiscus	-0.80	-0.75**	-0.37	-0.67*	-0.59*	-0.39	0.52	-0.51	-0.66*
%Skeletonema	-0.21	-0.29	-0.45	-0.17	-0.25	0.17	0.60*	-0.64*	-0.27
%Fragilariopsis	-0.45	-0.65*	-0.45	-0.73**	-0.77**	-0.32	0.65*	-0.61*	-0.49
%Pseudo-Nitzschia	-0.45	-0.41	-0.18	-0.83***	-0.72**	-0.50	0.25	-0.29	-0.47
%Coscinodiscophytina	0.52	0.53	0.53	0.42	0.69**	0.21	-0.59*	0.58*	0.62*

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	Chl a	$ar{a*}_{ m ph}$	$F_{\rm v}/F_{\rm mPAM}$	$\alpha^{\rm B}$	$eta^{ ext{B}}$	$P^{\rm B}_{\rm max}$	$E_{ m k}$	Φ_{Cmax}
$F_{\rm v}/F_{\rm mPAM}$	0.80**	_						
$ar{a}*_{ m ph}$	-0.62*	-0.53	_					
$\alpha^{ m B}$	0.60*	0.54	-0.55	_				
$eta^{ ext{B}}$	0.56*	0.58*	-0.40	0.09	_			
$P^{\rm B}_{\rm max}$	0.72**	0.72**	-0.48	0.50	0.84***	_		
$E_{ m k}$	0.33	0.28	-0.09	-0.42	0.75**	0.51	_	
Φ_{Cmax}	0.69*	0.62**	-0.83***	0.87***	0.34	0.61*	-0.15	_
PP	0.92***	0.88***	-0.53	0.64*	0.52	0.75**	0.25	0.68**

Appendices

Appendix A. Potential temperature (θ) between the isopycnal surfaces $\sigma_{\theta} = 26.7$ and 26.8 at all sampling stations.

Appendix B. Pigment composition determined with the UHPLC analysis method (Suzuki et al., 2015) at all stations.

Chl: chlorophyll, Chlide: chlorophilide, Peri: peridinin, 19'-BF: 19'-butanoyloxyfucoxanthin, Fuco: fucoxanthin, Neo: neoxanthin, Prasino: prasinoxanthin, Viola: violaxanthin, 19'-HF: 19'hexanoyloxyfucxanthin, DD: diadinoxanthin, Allo: alloxanthin, DT: diatoxanthin, Zea: zeaxanthin, Lut: lutein, Caro: carotene

Appendix C. FRRf parameters obtained at all sampling stations \pm standard deviations, n = 2. F_v/F_{mFRRf} : Maximum quantum yield of PSII obtained by FRR fluorometer. σ_{PSII} : Functional absorption cross-section for PSII [RCII]: Concentration of functional PSII reaction center

Appendix D. Low temperature (77K) emission spectra. Panels A and B show part of the emission in the range 660-700nm of selected samples from different stations. (A) shows spectra excited by 390 nm, (B) shows spectra excited at 530 nm. The arrows and lines indicate the positions of individual emission bands (675, 680, 685, 695 nm). (C) shows relationship between the relative content of peridinin (% of all pigments) and the increase of the 675 nm emission when excited at 530 nm (calculated as difference between emission at 675 nm when excited at 530 and 390 nm). (D) shows the relationship between PSII effective cross-section (σ_{PSII}) and the emission band intensity at 678nm when excited at 390 nm.

Appendix E. A relationship between F_{ν}/F_{mPAM} and F_{ν}/F_{mFRRf} . The parameters were significantly correlated each other (ρ =0.483, n=20, p<0.05, Spearman's Rank correlation) E_{ν}/F_{ν} is Maximum quentum yield of DSU obtained by DAM fluorometry.

 F_v/F_{mPAM} : Maximum quantum yield of PSII obtained by PAM fluorometry.

 $F_{\rm v}/F_{\rm mFRRf}$: Maximum quantum yield of PSII obtained by FRR fluorometry.

Appendix A

Station	θ between $\sigma_{\theta} = 26.7-26.8$
Bio-1	0.6
Bio-2	0.6
Bio-4	3.5
Bio-6	2.3
Bio-7	1.1
Bio-9	1.3
Bio-10	1.0
Bio-11	0.9
Bio-12	1.3
Bio-13	4.0
Bio-14	0.5
AK15-1	ND
AK15-2	ND

Appendix B

Station	Chl c_3	Chl c_2	Chlide a	Peri	19'-BF	Fuco	Neo	Prasino	Viola	19'-HF	DD	Allo	DT	Zea	Lut	Chl b	Chl a	α, β-caro
Bio-1	0.0324	0.0467	0.0240	0.0248	0.0286	0.138	0.0060	0.0114	0.0104	0.0210	0.0536	0.0015	0.0037	0.0041	0.0016	0.0393	0.286	0.0144
Bio-2	0.0321	0.0522	0.0213	0.0327	0.0337	0.113	0.0061	0.0094	0.0104	0.0314	0.0473	UD	0.0034	0.0042	0.0010	0.0365	0.248	0.0169
Bio-4	0.0336	0.0907	0.0411	0.0725	0.0208	0.281	0.0103	0.0155	0.0131	0.0163	0.0755	UD	0.0059	0.0090	0.0026	0.0600	0.371	0.0310
Bio-6	0.0231	0.0900	0.0428	0.113	0.0156	0.232	0.0086	0.0112	0.0124	0.0093	0.0820	UD	0.0104	0.0091	0.0016	0.0470	0.639	0.0321
Bio-7	0.0199	0.0555	0.0185	0.0522	0.0132	0.160	0.0056	0.0098	0.0089	0.0086	0.0593	UD	0.0094	0.0047	0.0016	0.0459	0.280	0.0240
Bio-9	0.0323	0.0951	0.0500	0.0687	0.0238	0.277	0.0099	0.0175	0.0186	0.0167	0.0822	0.0018	0.0071	0.0076	0.0032	0.0667	0.438	0.0285
Bio-10	0.0257	0.0354	0.0251	0.0202	0.0254	0.0839	0.0038	0.0087	0.0038	0.0300	0.0379	UD	0.0116	0.0072	0.0023	0.0306	0.256	0.0112
Bio-11	0.0360	0.0828	0.0380	0.0371	0.0344	0.219	0.0077	0.0128	0.0157	0.0386	0.0624	0.0006	0.0052	0.0061	0.0031	0.0559	0.386	0.0273
Bio-12	0.0408	0.0985	0.0499	0.0706	0.0388	0.265	0.0095	0.0182	0.0216	0.0288	0.0902	0.0016	0.0089	0.0087	0.0032	0.0753	0.404	0.0314
Bio-13	0.0743	0.1506	0.0686	0.0964	0.0841	0.282	0.0104	0.0169	0.0238	0.0784	0.127	UD	0.0098	0.0077	0.0058	0.0761	0.647	0.0421
Bio-14	0.0537	0.2949	0.1635	0.0556	0.0389	1.13	0.0148	0.0202	0.0210	0.0249	0.162	0.0018	0.0153	0.0097	0.0043	0.100	1.28	0.0679
AK15-1	0.0233	0.0064	UD	0.0469	UD	2.11	0.0060	UD	UD	0.0106	0.219	0.110	0.0108	0.0083	UD	0.0631	4.76	0.0794
AK15-2	0.0195	0.0068	UD	0.0880	UD	3.08	0.0101	UD	UD	UD	0.218	0.0661	0.0222	UD	UD	0.0634	6.22	0.0920
Bio-6_Ini	0.0231	0.0900	0.0428	0.113	0.0156	0.232	0.0086	0.0112	0.0124	0.0093	0.0820	UD	0.0104	0.0091	0.0016	0.0470	0.639	0.0321
Bio-6_Con	0.0113	0.0113	UD	0.143	0.0139	0.245	UD	0.0124	UD	0.0132	0.0627	0.0506	UD	UD	UD	0.0361	0.801	0.0194
Bio-6_+7°C	UD	UD	UD	0.164	0.0130	0.201	0.0042	0.0111	UD	0.0123	0.0534	0.0481	UD	UD	UD	0.0308	0.740	0.0180
Bio-7_Ini	0.0199	0.0555	0.0185	0.0522	0.0132	0.160	0.0056	0.0098	0.0089	0.0086	0.0593	UD	0.0094	0.0047	0.0016	0.0459	0.280	0.0240
Bio-7_Con	0.0103	0.0146	UD	0.0170	0.0053	0.0867	0.0024	0.0047	UD	0.0047	0.0138	0.0101	UD	UD	UD	0.0136	0.222	0.0054
Bio-7_+7°C	UD	UD	UD	0.0219	0.0056	0.0870	0.0029	0.0050	UD	0.0049	0.0133	0.0094	UD	UD	UD	0.0152	0.229	0.0053
Bio-10_Ini	0.0257	0.0354	0.0251	0.0202	0.0254	0.0839	0.0038	0.0087	0.0038	0.0300	0.0379	UD	0.0116	0.0072	0.0023	0.0306	0.256	0.0112
Bio-10_Con	UD	UD	UD	0.0267	0.0274	0.0933	0.0033	0.0048	0.0055	0.0300	0.0289	0.0124	UD	UD	UD	0.0272	0.353	0.0073
Bio-10_+7°C	0.0210	0.0227	UD	0.0189	0.0214	0.0761	UD	0.0075	UD	0.0225	0.0157	0.0076	UD	UD	UD	0.0369	0.324	0.0101
Bio-13_Ini	0.0743	0.151	0.0686	0.0964	0.0841	0.2818	0.0104	0.0169	0.0238	0.0784	0.127	UD	0.0098	0.0077	0.0058	0.0761	0.647	0.0421
Bio-13_Con	0.0930	0.0827	UD	0.111	0.0695	0.2465	0.0068	0.0164	0.0148	0.0632	0.0658	0.0598	UD	UD	UD	0.0544	0.857	0.0241
Bio-13_+7°C	UD	0.0440	UD	0.112	0.0638	0.2145	0.0062	0.0147	0.0127	0.0569	0.0538	0.0541	UD	UD	UD	0.0524	0.774	0.0197

Appendix C

Station	$F_{\rm v}/F_{ m mFRRf}$	σ_{PSII}	[RCII]	
	_	\times nm ² PSII ⁻¹	$\times ~10^{-9}~mol~m^{-3}$	
Bio-1	0.582	2.69	0.49	
Bio-2	0.322 ± 0.064	3.78 ± 0.64	1.54 ± 0.84	
Bio-4	0.421 ± 0.024	2.79 ± 0.16	1.04 ± 0.20	
Bio-6	0.467 ± 0.068	2.56 ± 0.19	1.50 ± 0.31	
Bio-7	0.515 ± 0.064	2.85 ± 0.15	0.76 ± 0.33	
Bio-9	0.394 ± 0.026	2.91 ± 0.16	1.23 ± 0.25	
Bio-10	0.377 ± 0.050	3.06 ± 0.30	0.62 ± 0.11	
Bio-11	0.504 ± 0.033	2.78 ± 0.14	1.24 ± 0.12	
Bio-12	0.475 ± 0.049	3.01 ± 0.08	1.15 ± 0.27	
Bio-13	0.432 ± 0.020	3.13 ± 0.19	1.94 ± 0.16	
Bio-14	0.477 ± 0.005	2.43 ± 0.15	5.57 ± 0.22	
AK15-1	ND	ND	ND	
AK15-2	ND	ND	ND	



Appendix D



Appendix E.