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Outbreak response intervention models of vaccine-preventable diseases in humans and foot-and-mouth disease in livestock: a protocol for a systematic review

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ABSTRACT

Introduction Outbreaks of vaccine-preventable diseases continue to threaten public health, despite the proven effectiveness of vaccines. Interventions such as vaccination, social distancing and palliative care are usually implemented, either individually or in combination, to control these outbreaks. Mathematical models are often used to assess the impact of these interventions and for supporting outbreak response decision making. The objectives of this systematic review, which covers all human vaccine-preventable diseases, are to determine the relative impact of vaccination compared with other outbreak interventions, and to ascertain the temporal trends in the use of modelling in outbreak response decision making. We will also identify gaps and opportunities for future research through a comparison with the foot-and-mouth disease outbreak response modelling literature, which has good examples of the use of modelling to inform outbreak response intervention decision making.

Methods and analysis We searched on PubMed, Scopus, Web of Science, Google Scholar and some preprint servers from the start of indexing to 15 January 2020. Inclusion: modelling studies, published in English, that use a mechanistic approach to evaluate the impact of an outbreak intervention. Exclusion: reviews, and studies that do not describe or use mechanistic models or do not describe an outbreak. We will extract data from the included studies such as their objectives, model types and composition, and conclusions on the impact of the intervention. We will ascertain the impact of models on outbreak response decision making through visualisation of time trends in the use of the models. We will also present our results in narrative style.

Ethics and dissemination This systematic review will not require any ethics approval since it only involves scientific articles. The review will be disseminated in a peer-reviewed journal and at various conferences fitting its scope.

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Strengths and limitations of this study

► To the best of our knowledge, this is the first systematic review to examine studies that use mechanistic models to assess the relative benefit of vaccination compared with other outbreak interventions, and to ascertain the impact of modelling studies on policy making and decision making.

► The detailed search strategy used in this systematic review captures all human vaccine-preventable diseases.

► This review protocol is developed according to the Preferred Reporting of Items in Systematic Reviews and Meta-Analyses guidelines, hence, reported in a standard manner.

► This review will only consider studies published in English and may miss any studies written in other languages, but our initial search results show that only a few relevant studies were published in non-English languages.

INTRODUCTION

Great progress has been made globally in reducing the high rates of child mortality and morbidity attributed to vaccine-preventable diseases.[1] However, outbreaks of these diseases continue to threaten global health and well-being. When these outbreaks occur, outbreak response interventions may be organised to control or halt disease spread. There are numerous interventions for preventing and controlling outbreaks of vaccine-preventable diseases. Immunisation is one of the most cost-effective.[2] Additionally, a diversity of other interventions exist for complementing vaccination, but their implementation depends on the disease type, epidemic size, intervention timing and budget allocation.[3] For instance, during outbreaks of diseases like smallpox and Ebola, a combination of contact tracing, isolation, quarantine and vaccination have been employed to effectively control the pathogen.[4–6] More generally, case

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management and vaccination are used concurrently to reduce transmission and disease-related mortality during outbreaks of vaccine-preventable diseases.[7]

Outbreak response interventions have many public health and economic benefits. Vaccination particularly helps increase population-level immunity, preventing illness and death, and reduces productivity losses due to illness.[8] For outbreaks of diseases like measles, whose control through vaccination is part of the routine immunisation schedule, outbreak response vaccination campaigns serve as an opportunity to immunise individuals who were missed by routine vaccination.[9]

Mathematical models are useful for understanding many aspects of outbreaks.[10–12] Particularly, outbreak response intervention models are an application of mathematical models for studying efficient ways of controlling outbreaks. They have three general applications, namely forecasting of epidemic spread, analysing of disease surveillance, and assessment of intervention impact.[13] They are widely employed for investigating the potential impact of reactive interventions, identifying and assessing strategies that help achieve efficient interventions, and considering future intervention decisions.[12 14] Over the past few decades, there has been a rise in the use of outbreak response intervention models for informing response strategies, decision making, and policy making.[11 15] In fact, a recent theme issue by the *Philosophical Transactions of the Royal Society* acknowledged this rise in their use and highlighted some current modelling work with regard to our understanding and control of outbreaks of infectious diseases of humans, animals, and plants.[13] However, we are unaware of any systematic review that has examined this increase in trend for all human vaccine-preventable diseases. Moreover, it is common for models to be described in the literature as being useful for informing outbreak response decision making,[16] but to the best of our knowledge, no systematic review has evaluated the degree to which this assertion is true. Hence, an objective of this review will be to assess whether models are increasingly being used to inform outbreak response decision making and policy making.

It is clear in the outbreak response literature that a wealth of policy-relevant models have amassed from previous efforts to control outbreaks of foot-and-mouth disease (FMD).[17–19] In fact, models of foot-and-mouth disease were the first to be used for outbreak response decision making.[16 20] Additionally, FMD outbreak response models are well studied in epidemiological modelling and are often used to illustrate the usefulness of models in outbreak response decision making.[21] We will, therefore, include eligible FMD modelling studies to help us to compare the current practice of outbreak response modelling for intervention impact assessment and decision making in the human vaccine-preventable diseases literature.

Several systematic reviews have been conducted to describe the use of models to assess the impact of interventions on outbreaks of infectious diseases and to ascertain their impact on policy making and decision making.[20 22] However, these reviews are often focused on a few diseases. One systematic review, for instance, explored models that assessed the impact of future vaccines on tuberculosis (TB) infection.[23] Additionally, few reviews have attempted to assess the conclusions of models on the relative benefit of vaccination compared with other outbreak interventions during outbreaks of human vaccine-preventable diseases. For example, a systematic review by Lee *et al*[24] compared the effectiveness of combination strategies with single strategies but the baseline intervention was not vaccination and the disease scope was pandemic influenza. Hence, this systematic review will identify the overall conclusion on the relative impact of vaccination compared with other outbreak interventions, when models are used as the assessment tool. This systematic review will also highlight research gaps and opportunities for future research. The main objectives for this review are informed by that of a larger project, which involves the formulation and use of models to evaluate alternative intervention strategies for responding to measles outbreaks. This review will be useful to infectious disease modellers, both novice and expert, and policy makers who may already be using or considering the use of models for decision making.

Objectives
Our main objectives are:
1. To assess the relative impact of vaccination compared with other reactive interventions during outbreaks of human vaccine-preventable diseases.
2. To determine whether mathematical modelling is increasingly impacting on the policy making and decision making process during outbreak response.
   Additionally, our secondary objectives are:
1. To summarise similarities and differences in modelling approaches of included studies.
2. To identify knowledge gaps in modelling approaches and opportunities for advancement.
3. To identify and summarise parallels and contrasts between the outbreak response modelling literature for vaccine-preventable diseases in humans, and foot-and-mouth disease in livestock.

METHODS
In conducting this review, we will adhere to the criteria listed in the Preferred Reporting of Items in Systematic Reviews and Meta-Analyses statement.[25 26] A supplementary file contains the populated checklist for the protocol (see online supplemental file 1).

For this systematic review, we will consider a model as mechanistic if it describes the disease's individual-level or population-level transmission dynamics by capturing its biological mechanisms or natural history with some form of mathematical equation[22 27]. Consequently, we describe as outbreak response intervention models, all mechanistic models that have been developed to investigate the

Table 1	WHO list of diseases with an available vaccine
Cholera	Mumps
Dengue	Pertussis
Diphtheria	Pneumococcal disease
Hepatitis A	Poliomyelitis
Hepatitis B	Rabies
Hepatitis E	Rotavirus
Haemophilus influenzae type b	Rubella
Human papillomavirus	Tetanus
Influenza	Tickborne encephalitis
Japanese encephalitis	Tuberculosis
Malaria	Typhoid
Measles	Varicella
Meningococcal meningitis	Yellow fever

impact of any intervention to the outbreak of a vaccine-preventable disease affecting humans.

Patient and public involvement
This research will not require the involvement of patients as the review will involve the use of secondary information collected from modelling studies.

Eligibility criteria
Here, we describe the criteria for article selection.

Type of studies
We will consider studies containing a mathematical model, which is mechanistic based on our earlier definition, and is used for assessing vaccination and/or other interventions mounted during an outbreak of any of the human vaccine-preventable diseases listed in table 1 below. Table 1 contains WHO's published list of human vaccine-preventable diseases.[28] Even though Ebola is not on the list provided by WHO, we will include it in our search because there is a vaccine, which has been used for outbreak response in Central, East and West Africa[29] and has been modelled in the literature.

We will limit the studies to those published in English. For the search period restriction, the beginning date limit will be based on how far back the database can be searched and the upper limit will be 15 January 2020.

Type of intervention
We will consider outbreak response vaccination and other outbreak interventions, that is, any responses mounted because of an outbreak, such as social/physical distancing, quarantine, isolation, palliative care, media coverage /information campaigns, education and others indicated in the articles.

Outcomes
The two main outcomes will be a conclusion on the temporal trends in the use of modelling as a decision-making tool during outbreak response of human vaccine-preventable diseases, and the overall conclusion on the relative benefit of vaccination and non-vaccination interventions mounted in response to outbreaks of human vaccine preventable diseases, with modelling as the tool of assessment. The secondary outcomes will include a summary of the outbreak response modelling landscape. We will obtain this in terms of the diseases and interventions studied, classes of models used, mathematical or statistical approaches for incorporating the intervention(s), and method used to analyse/evaluate the model and intervention. Other outcomes will be the types of equations used, the conclusions drawn from the models, study limitations stated and recommendations provided.

Information sources
We will search through the following sources:
1. Bibliographic databases: Scopus, PubMed and Web of Science.
2. Preprint: bioRxiv.org, and medRxiv.org.
3. Grey literature: Google Scholar.

Search strategy
With feedback from the Stellenbosch University Faculty of Science Librarian, we have developed search strings for the three bibliographic databases and Google Scholar. Details of the search strings can be found in the online supplemental file 2. To validate the search string, we used a list of known references from the literature and found that the strings capture all the relevant articles.

Preprint servers do not support Boolean searches, making it difficult to predefine the exact search procedure. We will, therefore, hand search the preprint servers with keywords such as 'outbreak response', 'model', and their synonyms. The final procedure will be reported in the systematic review.

To identify relevant grey literature, we will search through Google Scholar, which supports Boolean searches, and websites of epidemic response organisations that are known (or likely) to use modelling in understanding outbreaks, for example, the Centers for Disease Control and Prevention. We will also contact authors from cited unpublished literature in the studies we will identify from the peer-reviewed and preprint literature.

STUDY RECORDS
Data management
The initial search results will be imported into EndNote X7.8 (endnote.com) for deduplication. Following that, the Rayyan web tool[30] will be used for the study selection. The KoboToolbox web tool (https://www.kobotoolbox.org/) will be used to extract the data from included studies. The extracted data will be exported in a comma-separated values format for further analyses. All postprocessing of the exported data, including visualisations, will be performed with the R language.[31]

Selection process

In the first stage, one reviewer will examine the preprints and grey literature search results to check whether any have been published as peer-reviewed articles. The reviewer will achieve this using the author names and working titles. If any of such exist, the reviewer will remove the preprint/grey literature version from the search results and record the number of removed records. If any uncertainties arise, the reviewer will consult the other reviewers. Following that, the reviewer will remove the duplicates from the total resulting records, using EndNote X7.8. With the aid of the Rayyan web tool the reviewers will screen the titles and abstracts, and if necessary, full text of resulting articles in duplicate using the inclusion/exclusion criteria listed below.

Inclusion

1. Diseases are either listed in table 1, Ebola or foot-and-mouth disease.
2. Mathematical modelling studies.
3. The mathematical model is mechanistic, that is, its structure is represented with at least one mathematical equation informed by explicit assumptions about the natural history of the disease.[22]
4. The modelling study assesses the impact of an intervention during an outbreak of one of the eligible diseases.
5. The study is written in English.

Exclusion

1. Reviews, whether peer-reviewed or not.
2. Not a human vaccine-preventable disease listed in table 1, Ebola or foot-and-mouth disease.
3. Not describing an outbreak.
4. Does not formulate or use a model.
5. Model is not mechanistic according to our definition above.
6. Not written in English.
7. Full-text unobtainable after contacting the school librarian, and the corresponding author.

Data collection process

We will develop a data extraction form according to the items in online supplemental file 3. The reviewers will initially pilot the form with an article on each of the distinct diseases from the included articles to resolve any confusion. The pilot phase will help ensure we capture any form of non-standard practice across the various disease models. Following that, the reviewers will split the data collection task among themselves and work independently. We will combine the resulting data after a set number of articles, and clarify any confusions further encountered, through discussion.

DATA ITEMS

Three reviewers will independently extract the data from their share of included articles according to the data items

outlined in the online supplemental file 3 provided. If any disagreements arise from the data extraction process, we will resolve it through discussions with the other two reviewers.

QUALITY ASSESSMENT

It is not the objective of this systematic review to assess the quality of the included models or to select a best or worst model or model design. We will, therefore, not be assessing the quality of the included modelling studies.

DATA
Synthesis

We will report in a narrative style, comparing groups of articles sharing common approaches and themes. The themes will include diseases modelled, classes of models, categories of objectives, and so forth. For example, we will compare which articles employed deterministic models vs stochastic models. These groupings will also be summarised in a citation table. In addition, we will study the included studies from the outbreak response modelling literature for foot-and-mouth disease in livestock, and the human vaccine-preventable disease outbreak modelling literature to highlight their commonalities and differences in approach, objectives, and so on. This will help highlight any gaps and opportunities as well as recommendations we will provide as an outcome of this review for the human vaccine-preventable disease outbreak response modelling community.

ETHICS AND DISSEMINATION

This study does not require any ethics approval as we will not be collecting any primary data. We will disseminate our results through a peer-reviewed journal and conferences.

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Patient and public involvement Patients and/or the public were not involved in the design, or conduct, or reporting, or dissemination plans of this research.

Patient consent for publication Not required.

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