1	Cocoa diet modulates gut microbiota composition and improves intestinal health in			
2	Zucker diabetic rats			
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4	David Álvarez-Cilleros ¹ , Sonia Ramos ¹ , María Elvira López-Oliva ² , Fernando Escrivá ^{3,4} , Carmen			
5	Álvarez ^{3,4} , Elisa Fernández-Millán ^{3,4*} , María Ángeles Martín ^{1,4*}			
6				
7	¹ Instituto de Ciencia y Tecnología de Alimentos y Nutrición (ICTAN-CSIC), Madrid, Spain.			
8	² Departamento de Fisiología. Facultad de Farmacia, Universidad Complutense de Madrid, Madrid,			
9	Spain.			
10	³ Departamento de Bioquímica y Biología Molecular, Facultad de Farmacia, Universidad Complutense			
11	de Madrid, Madrid, Spain.			
12	⁴ CIBER de Diabetes y Enfermedades Metabólicas Asociadas (CIBERDEM), ISCIII, Madrid, Spain.			
13				
14	* Corresponding authors:			
15	María Ángeles Martín. Instituto de Ciencia y Tecnología de Alimentos y Nutrición (ICTAN-CSIC).			
16	José Antonio Novais 10, 28040 Madrid, Spain. e-mail: amartina@ictan.csic.es			
17	Elisa Fernández-Millán. Departamento de Bioquímica y Biología Molecular, Facultad de Farmacia,			
18	Universidad Complutense de Madrid, Ciudad Universitaria s/n, 28040 Madrid, Spain. e-mail:			
19	<u>elfernan@ucm.es</u>			
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Abbreviations used: AUC, area under the curve; GM, gut microbiota; GTT, Glucose tolerance test; HOMA-IR, homeostasis model assessment of insulin resistance; IL-6, interleukin-6; MCP-1, monocyte chemoattractant protein 1; PCNA, anti-proliferating cell nuclear antigen; SCFA, short chain fatty acids; STZ, streptozotocin; T2D, type 2 diabetes; TNF- α , tumour necrosis factor- α ; ZDF, Zucker diabetic fatty; ZL, Zucker lean; ZO-1, Zonula occludens-1

22 Abstract

Cocoa supplementation improves glucose metabolism in Zucker diabetic fatty (ZDF) rats via multiple mechanisms. Furthermore, cocoa rich-diets modify the intestinal microbiota composition both in humans and rats in healthy conditions. Accordingly, we hypothesized that cocoa could interact with the gut microbiota (GM) in ZDF rats, contributing to their antidiabetic effects. Therefore, here we investigate the effect of cocoa intake on gut health and GM in ZDF diabetic rats.

28 Male ZDF rats were fed with standard (ZDF-C) or 10% cocoa-rich diet (ZDF-Co) during 10 29 weeks. Zucker Lean animals (ZL) received the standard diet. Colon tissues were obtained to determine 30 the barrier integrity and the inflammatory status of the intestine and faeces were analysed for microbial 31 composition, short-chain fatty acids (SCFA) and lactate levels. We found that cocoa supplementation 32 up-regulated the levels of the tight junction protein Zonula occludens-1 (ZO-1) and the mucin 33 glycoprotein and reduced the expression of pro-inflammatory cytokines such as tumour necrosis factor-34 α (TNF- α), interleukin-6 (IL-6) and monocyte chemoattractant protein 1 (MCP-1) in the colon of ZDF 35 diabetic animals. Additionally, cocoa modulated the microbial composition of the ZDF rats to values 36 similar to those of the lean group. Importantly, cocoa treatment increased the relative abundance of 37 acetate-producing bacteria such as *Blautia* and prevented the increase in the relative amount of lactate-38 producing bacteria (mainly Enterococcus and Lactobacillus genera) in ZDF diabetic animals. 39 Accordingly, the total levels of SCFA (mainly acetate) increased significantly in the faeces of ZDF-Co 40 diabetic rats. Finally, modified GM was closely associated with improved biochemical parameters 41 related to glucose homeostasis and intestinal integrity and inflammation.

These findings demonstrate for the first time that cocoa intake modifies intestinal bacteria composition towards a healthier microbial profile in diabetic animals and suggest that these changes could be associated with the improved glucose homeostasis and gut health induced by cocoa in ZDF diabetic rats.

- 47 Keywords: Diabetes type 2; Cocoa flavanols; Gut microbiota; Gut barrier; Gut inflammation; Glucose
 48 homeostasis.

50 Highlights.

- 51 Intestinal barrier integrity is improved in diabetic rats submitted to cocoa diet
- 52 Cocoa diet prevents intestinal inflammation in diabetic rats
- 53 Cocoa diet modifies gut microbiota to a healthier microbial profile in diabetic rats
- 54 Total levels of short-chain fatty acids increases in diabetic rats fed on cocoa

56 **1.- Introduction**

57 Diabetes is a complex metabolic disorder characterized by hyperglycaemia resulting from 58 defects in insulin secretion and insulin action (ADA, 2017). At present, prevalence of type 2 diabetes 59 (T2D) is reaching epidemic proportions, becoming a serious threat to public health worldwide mainly 60 due to the associated complications (WHO, 2016). The growing prevalence of T2D is positively 61 related to harmful lifestyles, in particular the reduced levels of physical activity and increasingly 62 unhealthy eating habits, indicating that diet plays a crucial role in the onset and progression of T2D 63 (WHO, 2016). More importantly, an increasing body of evidence suggests that certain dietary 64 compounds may attenuate the risk of T2D by their ability to modulate gut microbiota (GM) 65 composition (Nie et al., 2019).

The GM comprises a complex community of bacteria that colonizes the surface and the lumen 66 67 of the gastrointestinal tract. Microbiota transform food components and produce a wide range of 68 derived metabolite that impact host's physiology and health in many ways, from the maintenance of 69 intestinal homeostasis to energy metabolism (Van Treuren & Dodd, 2020). Indeed, many disease states 70 have been associated with alterations in microbiota composition and consequently on their 71 functionality, indicating that GM could be involved in the development of numerous pathologies 72 (Danneskiold-Samsoe et al., 2019). In particular, microbiota function has been identified as a relevant 73 and potentially modifiable factor that contributes to the development of metabolic diseases, including 74 T2D (Li, Watanabe & Kimura, 2017). Therefore, interventions targeting GM are emerging as 75 promising effective strategies for the prevention and management of T2D.

Polyphenols are a large group of phytochemical compounds that have attracted much interest due to their beneficial properties. Accumulating evidences suggest that dietary polyphenols may interact with GM (Nash et al., 2018; Tomás-Barberán & Espín, 2019). Bioavailability of dietary

79 polyphenols in the digestive tract is highly variable. Aglycones, monomeric and dimeric structures can 80 be absorbed in the small intestine. However, most of polymeric structures reach the colon intact where 81 they are metabolized by GM producing small microbial derived metabolites which are absorbed more 82 efficiently and therefore may contribute to the beneficial health effects of polyphenols (González-83 Sarrías, Espín, & Tomás-Barberán, 2017). In addition, these natural compounds modulate the 84 composition and function of GM exhibiting prebiotic effects and antimicrobial action against 85 pathogenic intestinal microbiota (Marchesi et al., 2016; Singh et al., 2019). In this way, polyphenols 86 can influence the bacterial production of fermented or degraded metabolites (short chain fatty acids – 87 SCFA-) which can modulate multiple physiological pathways in several tissues, affecting gut health, 88 glycaemic control, lipids profile and insulin resistance (Morrison, & Preston, 2016). Therefore, a more 89 complete understanding of this bidirectional interaction between polyphenols and GM should help to 90 explain the beneficial health effects of these natural compounds.

91 Cocoa is considered a rich source of dietary polyphenols, mainly flavanols such as epicatechin 92 and procyanidins. Cocoa flavanols can exert antidiabetic effects via multiple mechanisms, including 93 antioxidant and anti-inflammatory effects, as well as by increasing insulin secretion and insulin action 94 (Martín, Goya, & Ramos, 2016). In addition, a cocoa rich diet has been described as able to modify the 95 intestinal microbiota composition in healthy rats (Massot-Cladera et al., 2012; Massot-Cladera et al., 96 2014), pigs (Jang et al., 2016; Magistrelli et al., 2016) and humans (Tzounis et al., 2011). Therefore, it 97 is probable that interaction of cocoa components with GM actively contributes to the antidiabetic 98 effects of cocoa. However, to date, the influence of cocoa feeding on intestinal health and on the 99 composition of GM in diabetes remains to be considered. Accordingly, the aim of the present study was 100 to investigate whether cocoa supplementation modulate intestinal dysbiosis induced by diabetes in an 101 in vivo model of T2D, using Zucker diabetic fatty (ZDF) rats. To this end, the effect of a cocoa richdiet on glucose homeostasis and biomarkers of gut health in diabetic ZDF rats was evaluated. In
addition, the compositional changes in GM and SCFAs induced by cocoa in diabetic animals were also
determined.

106 **2. Material and Methods**

107 **2.1. Diets, animals and experimental design**

Diets were prepared from an AIN-93G formulation (Panlab S.L., Barcelona, Spain). Cocoa richdiet (10%) was produced by adding 100 g/Kg of natural Forastero cocoa powder (a kind gift from Idilia Foods, Barcelona, Spain) to AIN-93G diet. It contains epicatechin (382 mg/100 g), catechin (115 mg/100 g) and procyanidins (167 mg/100 g) and non-flavonoid compounds such as theobromine (742mg/100 g). The resulting cocoa diet was isoenergetic and its composition is given in Table 1.

113 Male Zucker diabetic fatty (ZDF) rats (n=16) and their Zucker lean controls (ZL) (n=6) were 114 obtained from Charles River Laboratories (L'arbresle, France) at 9 weeks of age. Animals were placed 115 under standard controlled conditions (21 °C ± 1 °C; 12 h day/night cycle). After one week of 116 acclimation, ZDF diabetic rats were randomly divided into two groups of eight animals that received 117 the standard AIN-93G diet (ZDF-C) or the same control diet supplemented with 10 % of cocoa (ZDF-118 Co) for 10 weeks. The lean Zucker rats (ZL) received the standard AIN-93G diet. During the 119 experiment, food and water were available *ad libitum*. Food intake was monitored daily and animal 120 weight and glycaemia was weekly followed. Animals were treated according to the European 121 (2010/63/EU) and Spanish (RD 53/2013) legislation on Care and Use of Experimental Animals and the 122 experiments were approved by the Ethics Committee from Comunidad de Madrid (PROEX 304/15).

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124 **2.2. Biochemical determinations**

At 20 weeks of age, animals were fasted overnight and were scarified by exsanguination under anaesthesia ketamine/xylazine (80 mg/8 mg Kg⁻¹, i.p.). Blood samples were collected for biochemical analysis. Glucose was determined using an Accounted Glucose Analyzer (LifeScan España, Madrid, Spain) and insulin and glycosylated haemoglobin (HbA1c) were quantified by ELISA kits (Rat Insulin,

129 Mercodia, Uppsala, Sweden; HbA1c Kit Spinreact, BioAnalitica, Madrid, Spain). Fasting plasma 130 concentrations of both glucose and insulin were used to calculate indices of insulin resistance 131 [homeostasis model assessment (HOMA)-IR] and secretion (HOMA-B) using the following formulae: 132 HOMA-IR = fasting insulin (mU/ml) X fasting glucose (mM)/22.5; HOMA-B = 20 X fasting insulin 133 (mU/ml)/[fasting glucose (mM)-3.5]. Triacylglycerols (TG), HDL-Cho and LDL-Cho were determined 134 in serum by kits (BioSystems, Madrid, Spain) as described elsewhere (Álvarez-Cilleros et al., 2019). 135 Tumour necrosis factor- α (TNF- α) and interleukin-6 (IL-6) levels were quantified in serum samples by 136 specific rat TNF-alpha Quantikine ELISA Kit (RTA00, R&D System, USA) and IL-6 DuoSet ELISA 137 Kit (DY506, R&D System, USA) according to the manufacturer's instruction.

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139 **2.3. Glucose tolerance test (GTT)**

GTTs were performed one week before the end of the study. Briefly, an overload of glucose (2g/Kg body weight) (Sigma Chemical, Madrid, Spain) was ip administered in animals subjected to overnight fasting. Blood samples were collected from the tail vein at five different time points (0, 30, 60, 90, 120 and 180 min) and glucose levels were measured using an Accounted Glucose Analyzer (LifeScan España, Madrid, Spain). The integrated glucose response (area under the curve, AUC) over a period of 180 min after glucose overload was also calculated.

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147 **2.4. Histologic and immuno-histochemical analysis**

Rats were sacrificed at 20 weeks of age and the entire colon was resected and cleaned with PBS. Sections (2 cm) from the most distal portion of the colon were routinely processed and paraffin embedded for histological and immune-histochemical analyses. Sections were cut, stained with haematoxylin–eosin (H&E) or periodic-acid-Schiff (PAS) according to the manufacturer's instructions.

Images were obtained under light microscopy (Leica DM LB2) and a digital Leica DFC 320 camera (Leica, Madrid, Spain) and quantified with ImageJ software (Fiji image J; 1.52i, NIH, USA). The crypt depth was measured from H&E slices and was determined as number of cells per hemi-crypt. Only crypts with an open longitudinal crypt axis were analysed. The tissue expression level of the neutral mucin glycoprotein was determined by means of PAS and it was calculated as the number of PAS positive cells per crypt.

158 For the immuno-histochemical staining, antibodies against monoclonal anti-proliferating cell 159 nuclear antigen (PCNA; PC-10) (Lab Vision Corporation and Bionova- Científica SL), Cyclin E (sc-160 247, Santa Cruz Biotechnology), p21 (sc-6246, Santa Cruz Biotechnology), Zonula occludens-1 (ZO-161 1) (sc-10804, Santa Cruz Biotechnology), tumour necrosis factor- α (TNF- α) (sc-133192, Santa Cruz 162 Biotechnology), interleukin-6 (IL-6) (sc-57315, Santa Cruz Biotechnology), monocyte chemoattractant 163 protein 1 (MCP-1) (sc-52701, Santa Cruz Biotechnology) and CD45 (ab-10558, Abcam) were used. 164 After deparaffinization and endogenous peroxidase quenching, serial colonic sections were incubated 165 with the primary antibodies overnight at 4°C. Secondary antibodies were used to detect primary 166 antibodies, followed by streptavidin-tagged horseradish peroxidase and visualized by 3,3'-167 diaminobenzidine (DAB) substrate (Sigma Chemical, Madrid Spain). The sections were counterstained 168 with Harris's haematoxylin, dehydrated and mounted. Brown colour indicates specific protein 169 immunostaining and light blue colour indicates nuclear haematoxylin staining. Positive and negative 170 controls were used during the optimization of the methods.

171 At least 20 perpendicular well-oriented crypts were examined in each animal under light 172 microscopy at x400 magnification. The proliferative labelling index (LI) (%) was calculated as the 173 number of positive nuclei \times 100/total number of cells per crypt column height. ZO-1, TNF- α , IL-6,

- MCP-1 and CD45 protein expression level was evaluated as percentage of the stained area to the total
 area per crypt by using the colour deconvolution plug-in from ImageJ v1.52j software.
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177 **2.5.** Terminal deoxynucleotidyl transferase dUTP nick end labelling (TUNEL) assay.

178 Apoptotic colonic epithelial cells were labelled *in situ* by identifying DNA fragmentation on 179 paraffin embedded sections using the terminal deoxynucleotidyl transferase UTP nick end labelling 180 (TUNEL) assay. After deparaffinization and rehydration tissue sections were permeabilized with 181 proteinase K (20 µg/mL) for 15 min at 37°C, and then treated with 3% hydrogen peroxide for 5 min to 182 quench endogenous peroxidase activity. After sections were incubated with equilibration buffer for 10 183 min, followed by immediate application of TdT-enzyme working for 1 h at 37°C. Slices were incubated 184 with peroxidase conjugated streptavidin and subsequent staining with DAB and counterstaining with 185 methyl green. The apoptotic index represents the proportion of cells undergoing apoptosis within a 186 crypt column (x400) and was calculated as the ratio of TUNEL-positive cells to the total number of 187 cells counted within 50 full-length well orientated crypts.

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189 **2.6. Faecal samples.**

Fresh faecal samples were collected at the end of the intervention period, early in the morning,
by abdominal massage in sterilized tubes and immediately frozen at -80°C for future analyses.

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193 2.7. DNA extraction, and 16S gene PCR amplification. Illumina Mi-Seq sequencing.

DNA was extracted from faecal samples using G-spin columns (INTRON Biotechnology). DNA concentration was determined using Quant-IT PicoGreen reagent (ThermoFisher Scientific, Inc., Waltham, MA, USA) and around 3 ng were used to amplify the V3-V4 region of 16S rRNA gene (Caporaso et al., 2011). PCR products (approx. 450 bp) included extension tails, which allowed sample barcoding and the addition of specific Illumina sequences in a second low-cycle number PCR. Individual amplicon libraries were analysed using a Bioanalyzer 2100 (Agilent Technologies, Palo Alto, CA, USA) and a pool of samples was made in equimolar amounts. The pool was further cleaned, quantified and the exact concentration estimated by real time PCR (Kapa Biosystems). Finally, DNA samples were sequenced on an Illumina MiSeq instrument with 2 x 300 paired-end read sequencing at the Unidad de Genómica (Parque Científico de Madrid, Spain).

204 We used the BIPES pipeline to process the raw sequences (Zhou et al., 2011) and we performed 205 UCHIME (implemented in USEARCH, version 6.1) to screen out and remove chimeras in the *de novo* 206 mode (Edgar, & Flyvbjerg, 2015). In each sample between 90,000 and 220,000 sequences were 207 identified. All subsequent analyses were performed using 16S Metagenomics (Version: 1.0.1.0) from 208 Illumina. The sequences were then clustered to an operational taxonomic unit (OTU) using USEARCH 209 with default parameters (USERACH61). The threshold distance was set to 0.03. Hence, when the 210 similarity between two 16S rRNA sequences was 97%, the sequences were classified as the same OTU. 211 QIIME-based alignments of representative sequences were performed using PyNAST, and the 212 Greengenes 13_8 database was used as the template file. The Ribosome Database project (RDP) 213 algorithm was applied to classify the representative sequences into specific taxa using the default 214 database (Edgar, & Flyvbjerg, 2015). The Taxonomy Database (National Center for Biotechnology Information) was used for classification and nomenclature. Bacteria were classified based on the SCFA 215 216 end-product as previously described (Wang, Garrity, Tiedje, & Cole, 2007).

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218 **2.8. Measure of SCFAs and lactate in faeces**

219 Faecal samples were weighed and suspended in 1 mL of water with 0.5% phosphoric acid per 220 0.1 g of sample and frozen at -20° C immediately after collection. Once thawed, the faecal suspensions 221 were homogenized with a vortex for about 2 min and centrifuged for 10 min at 17949 g. Aliquots of 222 400 μ L of supernatans were diluted with 100 μ L of 4-methyl valeric acid used as internal standard at a 223 final concentration of 788 µM and then the suspension was extracted with 1 mL of n-butanol for 21 224 min and centrifuged for 105 min at 16000 g. A stock solution containing the mixture of standards 225 (WSFA-2; Sigma-Aldrich, Madrid, Spain) was treated as samples and diluted to obtain a calibration 226 curve ranging from 2 to 10000 μ M. Internal standard was also added to the mixture of standards.

227 The analytes (2 µL) were injected in the splitless mode into an Agilent 7890A gas 228 chromatography (GC) system (Agilent Technologies, Palo Alto, CA, USA) equipped with a 5975C 229 mass spectrometer (MS) detector and an Agilent DB-WAXtr column (100% polyethilen glycol, 60 m, 230 0.325 mm, 0.250 µm). Helium was used as a carrier gas at 1.5 mL/min. The column temperature was 231 initially 50°C, then increased to 150°C at 15°C/min, to 200°C at 5°C/min, and finally to 240°C at 232 15°C/min and kept at this temperature for 20 min (total time 41.3 min). The MS was tuned during all 233 experiments; the signal acquisition for quantification was done in the single-ion monitoring (SIM) 234 mode. The temperature of the ionization source and the quadrupole were 230°C and 150°C, 235 respectively. The electron-impact ionization energy was 70 eV. Concentrations of acetate, propionate 236 and butyrate were expressed as $\mu M/g$ of sample.

For lactate determination faecal samples were suspended in MilliQ water. After homogenization
with an ultrasonic liquid processor Vibra-Cell CV18 (Sonics & Materials, Connecticut, Unites States),
faecal suspensions were centrifuged at 1000 g for 5 min. The aqueous phase was filtered through a 0.2
µm cellulose acetate syringe filter (VWR International, Barcelona, Spain). After filtration, lactate was
measured with an Advanced Compact Ion Chromatographic instrument IC867 (Metrohm AG, Herisau,

Switzerland). Sodium L-lactate (Sigma-Aldrich, Madrid, Spain) was used as standard. Concentrations
of lactate were expressed as µM/g of sample.

244 **2.9. Statistical Analysis**

245 Data from biochemical and immune-histochemical parameters, relative abundances of taxa and 246 SCFA levels were tested for normality and homogeneity of variances by the D'Agostino and Pearson 247 and Levene tests, respectively; for multiple comparisons, one-way ANOVA was followed by a Tukey 248 test when variances were homogeneous or by the Tamhane test when variances were not homogeneous. 249 The level of significance was P < 0.05. A GraphPad Prism version 7.00 (GraphPad software, Inc., La 250 Jolla, California) was used.

251 Shannon, Chao and Simpsons indexes were calculated to analyse α -diversity using QIIME. 252 Reads in each OTU were normalized to total reads in each sample. Unsupervised classification studies 253 with Principal Components Analysis (PCA) were carried out to analyse the differences between groups. 254 Relationship strength between parameters was assessed using the two tailed Pearson's correlation test. 255 The correlation was considered significant only when the absolute value of Pearson's correlation 256 coefficient r was > 0.5.

3. Results

259 **3.1. Physiological parameters**

260 At the beginning of the study, ZDF animals showed marked increases in body weight as 261 compared to ZL rats (210.0 \pm 9.0 vs 284.4 \pm 10.6 g, respectively; P < 0.05). However, fasted glycaemia 262 was not significantly different between ZL and ZDF animals (84.6 ± 6.0 vs 102.2 ± 13.1 mg/dL, 263 respectively; p < 0.05), which indicates that at this time point animals were at a pre-diabetic stage. 264 Then, ZDF rats were randomly assorted to ZDF-C or ZDF-Co groups. The administration of cocoa for 265 10 weeks reduced body weight in diabetic ZDF rats while daily food intake remained constant (Figures 266 1A and 1B). Moreover, the increase in glycaemia, insulinaemia and HbA1c that is characteristic of 267 ZDF rats was significantly reduced in those feed with cocoa diet (ZDF-Co) (Figures 1C-1D). Likewise, 268 there was a significant reduction in insulin resistance state (HOMA-IR) and a significant increase in 269 beta-cell function (HOMA-B) in ZDF-Co rats as compared to ZDF (Fig. 1D). Finally, the glucose 270 tolerance test showed that diabetic ZDF-Co rats were less intolerant than ZDF-C rats and, 271 consequently, their AUC value was significantly reduced by the cocoa rich diet (Figure 1G). In 272 contrast, HDL-Cho, LDL-Cho and TG levels were significantly elevated in both diabetic ZDF groups 273 in comparison to the ZL group and cocoa diet only was able to partly reduce the levels of LDL-Cho in 274 ZDF animals (Figure 1H). Altogether, these results indicated that cocoa intake was able to improve 275 glycemic control but not lipid profile in diabetic and obese ZDF rats.

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277 **3.2. Intestinal integrity and inflammation**

Next, we investigated the effect of cocoa treatment in the intestinal barrier integrity and colonic inflammation in diabetic rats. Morphological modifications at a scale larger than crypts were not observed. As shown in Figure 2A, diabetic ZDF-C rats revealed a similar crypt depth to the ZL lean 281 group whereas rats fed with cocoa presented a significantly larger crypt depth. Accordingly, colonocyte 282 proliferation and apoptosis (Figures 2B and 2C) were similar in diabetic and lean animals while both 283 processes were significantly increased in ZDF-Co animals, indicating that cocoa intake induced a faster 284 renewal rate of the colonic epithelium. To deepen the mechanism by which cocoa intake increases the 285 colon mucosa and its renewal, we also evaluated the expression patterns of cell cycle proteins such as 286 cyclin E, a late G1 phase cyclin and CDK p21 inhibitors as indicator of cell proliferation activity in 287 colonic mucosa. ZDF-Co rats showed increases in the cytosolic and diffuse distribution of positive 288 nuclei expression of cyclin E, compared to ZL and ZDF-C groups. The high levels of cyclins E induced 289 by cocoa might be explained, at least in part, by a coordinate decrease in p21, reducing their association 290 with cyclins and finally aiding the progression of cell cycle (Supplementary Figure 1). Likewise, levels 291 of the mucin glycoprotein, a crucial component of the mucus layer expressed in goblet cells, were also 292 slightly but significantly increased in the diabetic animals fed with cocoa (Figure 2D). In addition, 293 control diabetic animals showed a significant decrease in the levels of one of the main tight junction 294 proteins Zonula occludens-1 (ZO-1) in comparison with control lean group; interestingly, diabetic 295 animals fed with cocoa not only prevented the ZO-1 decrease induced by diabetes but also showed ZO-1 296 levels significantly higher than those of the lean group (Figure 2E). Finally, the expression of pro-297 inflammatory cytokines (TNF α , IL-6, and MCP-1) and the levels of CD45 (marker of immune cell 298 infiltration) were significantly increased in the colonic mucosa of diabetic rats and they were partly prevented in those fed with cocoa (Figure 3A-D). The circulating levels of the cytokines IL-6 and TNF-299 300 α in serum were not significantly different among ZL, ZDF-C and ZDF-Co rats (Figure 3E). Overall, 301 these results indicate that cocoa diet to a large degree greatly maintains the intestinal integrity and 302 generally reduced intestinal inflammation in diabetic rats.

304 **3.3. Bacterial diversity and taxa composition**

305 To analyse the effect of diabetes on gut microbial composition and the influence of a cocoa rich 306 diet, we performed a metagenomic DNA sequencing of bacterial 16S rRNA gene regions V3-V4 of 307 faecal samples. The total number of species identified was higher in ZDF-C rats when compared with 308 ZL or ZDF-Co rats (Figure 4A). Likewise, Shannon, Simpsons and Chao indexes representing the 309 richness and evenness of species diversity within each sample (α -diversity), were markedly augmented 310 in control diabetic rats (Figures 4B-D). We also performed a bi-dimensional PCA of the bacterial 311 community, which measures microorganism diversity between samples (\beta-diversity) in an 312 unsupervised manner. The analysis revealed distinct clustering in each group (Figure 4E).

313 Microbiota in diabetic animals (ZDF-C) were characterized by a significant increase in the 314 relative abundance of Proteobacteria (3.6 fold increase), Tenericutes (2.8 fold increase) and 315 Actinobacteria (2.6 fold increase) phyla and a reduction of Verrucomicrobia phylum (by 76.9%) when 316 compared with ZL non-diabetic animals (Figure 4F). Notably, with the exception of Verrucomicrobia 317 phylum, the microbial changes induced by diabetes were totally prevented in animals that were fed 318 with cocoa. In addition, cocoa significantly increased the relative percentages of *Firmicutes* (1.4 fold 319 increase) and *Deferribacteres* phyla (9.3 fold increase) and decreased the relative abundance of 320 *Cyanobacteries* phylum (by 74.9%) when compared to the ZDF group. Overall, colonic microbiota was 321 dominated by the Firmicutes, Bacteroidetes, Proteobacteria and Verrumicrobia phylum which 322 accounted for over 96.8%, 96.7% and 95.8% of total bacteria in ZL, ZDF-C and ZDF-Co groups, 323 respectively. Interestingly, the most important and recognized biomarker of dysbiosis, the 324 Firmicutes/Bacteroidetes (F/B) ratio, was significantly increased in both ZDF-C and ZDF-Co rats 325 (Figure 4G).

At the family level, twenty families were identified with a relative abundance greater than 1% 326 327 (Figure 5A). Eleven of these families significantly modified their relative abundance with diabetes or 328 cocoa (Figure 5B). In particular, in ZDF-C diabetic animals, the relative abundance of 329 Enterobacteriaceae (a family of the Proteobacteria phylum) and of Enterococcaceae and 330 Lactobacillaceae (families of the Firmicutes phylum) increased whereas the abundance of 331 Ruminococcaceae (of the Firmicutes phylum) and Verrucomicrobiaceae (of the Verrucomicrobia 332 phylum) decreased. Interestingly, except for the *Verrucomicrobiaceae* family, cocoa diet significantly 333 prevented all these microbial changes induced by diabetes. Moreover, cocoa supplementation increased 334 the abundance of Flavobacteraceae, Prevotellaceae and Sphingobacteriaceae (families from 335 *Bacteroidetes* phylum) and *Lachnospiraceae* (*Firmicutes* phylum) in diabetic animals.

336 Figure 6 shows the changes found at genus and species level. Twenty one genera were 337 identified with abundance greater than one per cent and eleven of these were significantly modified by 338 either diabetes or the cocoa diet. The major differences found in diabetic ZDF-C animals were 339 observed in the genera Escherichia (mainly E. alberti species), Tepidibacter, Lactobacillus (with L. 340 antri, L. hayakitensis and L. johmsonii as the most prevalent species) and Enterococcus (mainly E. 341 lactis specie) that where significantly higher compared with non-diabetic ZL.Conversely, 342 Faecalibacterium and Oscillospira genera were significantly lower in the ZDF-C group. Once again, 343 cocoa diet was able to prevent all these intestinal microbiota changes in diabetic animals. However, 344 both ZDF-C and ZDF-Co showed significantly decreased levels of Akkermansia genus when compared 345 with ZL group, a finding that was also noticeable at the species levels (A. Muciniphila). Finally, cocoa 346 treatment induced Blautia (mainly B. hansenii and B. wexleare species) and Flavobacterium and 347 reduced Parabacteroides (mainly P. goldsteinii and P. distasonis) and Sutterella genera in diabetic 348 animals.

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3.4. SCFA- and lactate-producing bacteria and levels of lactate and SCFA in faeces

351 Next, we analysed the changes in the relative abundance of lactate- and SCFA-producing 352 bacteria (Figures 7A and 7C-F) as well as the lactate and SCFA levels in faeces (Figure 7B). The 353 relative abundance of butyrate-producing bacteria was essentially unchanged in all groups (Figure 7A). 354 However, we found that cocoa feeding significantly increased the relative abundance of acetate-355 producing bacteria (mainly due to an induction in *Blautia* genus) (Figure 7C) that was accompanied by 356 higher levels of acetate in faeces (Figure 7A). On the other hand, diabetic animals showed a significant 357 increase in the amount of lactate-producing bacteria that was driven primarily by changes in 358 Enterococcus and Lactobacillus genera (Figure 7E) and a significant reduction in the relative amount 359 of propionate-producing bacteria that was reproduced for Akkermansia genus (Figure 7F). Accordingly, 360 the levels of lactate were significantly higher in the faeces of diabetic animals while the levels of 361 propionate were significantly lower. Notably, cocoa treatment partly prevented the increase in lactate-362 producing bacteria and as a result the levels of lactate and propionate were ower than those found in 363 diabetic animals.

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365 **3.5. Correlation of gut microbiota and disease biomarkers**

Finally, to further explore the relationship between the significantly altered genera in the microbiota of diabetic animals and clinical parameters related to glucose and lipid metabolism as well as intestinal integrity and inflammation, we performed a Person correlation analysis (Figure 8). A strong positive association was found between the relative abundance of *Enterococcus, Escherichia*, *Lactobacillus* and *Tepidibacter* genera and the increase in body weight and in several biomarkers of glucose homeostasis and inflammation. However, the genera *Oscillospira* and *Akkermansia* showed

372	significantly negative correlations with all these parameters. Likewise, Fecalibacterium showed
373	significantly negative correlations with increase in body weight, insulinaemia, HbA1c, LDL, TG, IL-6,
374	CD45and MCP-1. Finally, the presence of Flavobacterium was also negatively associated with,
375	glycaemia, TNF- α and CD45. On the other hand, increased ZO-1 levels were positively associated with
376	Blautia and Flavobacterium and negatively linked with Enterococcus, Escherichia, Parabacteroides,
377	Sutterella and Tepidibacter.

381 **4. Discussion**

In the present study, we show for the first time that cocoa intake modifies the intestinal bacteria composition in ZDF diabetic animals towards a healthier microbial profile. Interestingly, some of the gut microbiota modifications induced by cocoa are closely associated with improved glucose homeostasis and gut health, suggesting that the beneficial effects of cocoa in diabetes could be mediated, at least in part, by modulation of the microbiota.

387 Early reports have revealed the potential anti-diabetic properties of cocoa both in vivo and in 388 vitro (Martin, Goya, & Ramos, 2016). In agreement with this, here we show that cocoa 389 supplementation partially alleviated glucose and lipid control. More importantly, in this study we 390 found that dietary cocoa was able to improve the structure and the barrier integrity of the colon mucosa 391 in diabetic rats. The expression of the intestinal tight junction protein ZO-1 and the intestinal mucus 392 levels are extremely important in maintaining intestinal barrier function (Dhar & McAuley, 2019; 393 Andrade et al., 2015). Accordingly, in the present study, ZO-1 and mucin levels were significantly 394 reduced in the colon of control ZDF rats. However, diabetic animals fed on cocoa showed significantly 395 higher expression of mucin and ZO-1 than those of the lean group. These results suggest that cocoa diet 396 may improve the barrier function and integrity of diabetic animals through enhancing the protein 397 expressions of mucin and ZO-1. Supporting this it has been shown that the intake of Salvia 398 miltiorrhiza, a natural source of phenolic acids, ameliorates the damaged barrier function of diabetic 399 mice through enhancing the expressions of tight junction proteins decreased by streptozotocin (STZ) 400 (Gu et al., 2017). Likewise, it has been proved that long term intake of anthocyanims promoted 401 intestinal integrity in healthy mouse (Peng et al, 2019). Notably, the tight junction of intestinal mucosal 402 cells prevents excessive entrance of endotoxins and other noxious agents into the circulation system 403 and therefore attenuates the activation of local and systemic inflammatory responses (Balakumar et al.,

404 2018). Accordingly, diabetic animals showed a significantly increase in inflammatory cytokines such 405 as IL-6, TNFa and MCP-1 as well as in the levels of CD45 (marker of immune cell infiltration) in the 406 colonic mucosa that were significantly reduced by cocoa intake. Although the levels of LPS coud not 407 be assayed in the plasma of these animals, it could be highlighted that no significant differences in the 408 levels of IL-6 and TNF α were found in plasma, which is consistent with previous data in ZDF rats at 409 this age and fed on standard diet (Morales-Cano et al., 2019). Therefore, it could be suggested that the 410 altered intestinal barrier function we described in ZDF rats is not sufficiently damaged to cause an 411 overt metabolic endotoxemia. Overall, here we show that cocoa intake has the potential to improve gut 412 barrier integrity in diabetic animals and to reduce colon inflammation emerging as an additional tool to 413 ameliorate diabetes. Although the precise molecular mechanism behind this protective effect is still 414 unclear, we hypothesize that it could be related in part to the potential changes induced by cocoa in 415 intestinal bacteria of diabetic animals due to its prebiotic activity (Singh et al., 2019).

416 Metabolic disorders such as diabetes have been associated with altered microbiota composition 417 (Li, Watanabe & Kimura, 2017). In this study, the composition of the GM in diabetic rats (ZDF-C) was 418 significantly different compared with lean animals (ZL). At the phylum level, the main changes 419 observed in diabetic animals were an increase in Proteobacteria and a decrease in Verrucomicrobia 420 phyla whereas no significant differences were found in the relative abundance of Firmicutes and 421 Bacteroidetes. Interestingly, microbiota in diabetic animals treated with cocoa differed between the 422 diabetic control and the lean groups, suggesting that cocoa may have specific effects on the microbial 423 community of diabetic animals. The reduction in Proteobacteria abundance in the ZDF-Co group can 424 be attributed to the potential of cocoa polyphenols to modulate intestinal microbiota, These results are 425 in concordance with those reported by Araujo et al. (Araujo et al., 2019), who observed a decrease in 426 the abundance of the phyla Proteobacteria in the faeces of obese rats fed with an ethanolic extract of

427 bacupari rich in phenol derivatives. However, cocoa supplementation failed to correct the increase in 428 the Firmicutes/Bacteroidetes (F/B) ratio that is characteristic of murine genetic obese models 429 (Vallianou et al., 2019). It has been recently suggested that the regulation of microbiota by polyphenols 430 could be independent of the decrease in the F/B ratio (Yang et al., 2019). More importantly, a 431 systematic review has revealed that this relationship between F/B ratio and obesity is not always 432 consistent, suggesting changes in specific microbiota as main responsible for metabolic outcomes (Sze, 433 & Schloss, 2016). According to that, we found that cocoa intake modified some key bacterial groups 434 which may be related to the beneficial improvement on the glucose homeostasis and gut health induced 435 in diabetic animals.

436 The relative abundance of *Proteobacteria* in diabetic ZDF-C animals was partly ascribed to a 437 significant increase in bacteria that belong to *Escherichia* genus (*Enterobacteriaceae* family) that are 438 widely known to cause intestinal pathologies in humans and animals (Shin, Whon, & Bae, 2016; Allen-439 Vercoe & Jobin, 2014). Likewise, although the absolute abundance of the Firmicutes phylum was 440 unchanged in diabetic rats, certain genera were significantly modified when compared with the control 441 lean group. Particularly, Enterococcus and Lactobacillus genera were increased in diabetic animals 442 whereas Oscillospora genus was decreased. Enterococcus is a bacterial group which includes potential 443 pathogens that have been associated with gut dysfunction and inflammatory diseases (Lo Presti et al., 444 2019). In contrast, Lactobacillus is classically considered a beneficial group of bacteria for their 445 favourable effects on host metabolism; however, recent studies have indicated that the increase of this 446 genus might be related to obesity and inflammatory conditions (Zeng et al., 2013; Ge et al., 2018), 447 which is in concordance with our findings in diabetic animals. Similarly, Oscillospira genus is 448 associated with anti-inflammatory effects in the gut and it has been reported to be less abundant in T2D 449 and obese patients (Del Chieirico et al., 2017; Liu et al., 2018). Interestingly, cocoa diet strongly

450 prevented all these harmful changes observed in the gut microbiota of diabetic animals. These findings 451 are in agreement with previous studies showing that quercetin and resveratrol attenuate serum 452 inflammatory cytokines and improve glucose metabolism in high-fat diet-fed rats by modulating 453 bacterial species associated with diseases and inflammation (Zhao et al., 2017). In the same way, 454 modifications induced by cocoa intake on the GM of diabetic animals may play a key role in the 455 beneficial effects on glucose metabolism and gut health.

456 It is interesting to note that the abundance of Akkermansia genus (phylum Verrucomicrobia) is 457 low during obesity and diabetes (Vallianou et al., 2019). In addition, it has been demonstrated that 458 polyphenol treatment induces the expression of A. muciniphila which correlated with improved body 459 weight and glucose tolerance (Anhê et al., 2017). However, we found that cocoa supplementation failed 460 to restore the reduced abundance of A. muciniphyla in diabetic animals even though glucose 461 metabolism and gut health were significantly improved. Similar results have been reported in obese 462 mice treated with resveratrol (Sung et al., 2017) or with polyphenol-containing extracts from cinnamon 463 bark and grape pomace (Van Hul et al., 2018) showing improved glucose tolerance with reduced 464 abundance of Akkermansia. As suggested by the authors (Van Hul et al., 2018), it is possible that 465 polyphenols have varied prebiotic potential for A. muciniphila, In addition, several study design 466 differences (origin of polyphenols, type of diet, animal model, age or pathological status) may also 467 contribute to explain the divergence in results regarding the gut microbiota composition. Thus, further 468 studies are required to explore the role of this bacterial group in type 2 diabetes.

Microbiota modulate the production of SCFA (mainly acetate, butyrate and propionate) which can modify the concentrations of several gut peptides involved in glucose metabolism, gut barrier function and energy homeostasis (Parada et al., 2019). Accordingly, cocoa as a prebiotic food can positively affect the growth of beneficial bacterial species (Singh et al., 2019). In particular, it has been

473 shown that a cocoa rich-diet promotes the growth of butyrogenic-type bacteria such as *Roseburia* in 474 pigs (Solano-Aguilar et al., 2018). However, we found that the levels of butyrate-producing bacteria 475 were essentially unchanged amongs all experimental groups while the abundance of acetate producing 476 bacteria was significantly increased in cocoa fed rats. In addition, cocoa feeding increased the relative 477 abundance of *Blautia*, a bacterial group that has been negatively correlated with obesity and T2D 478 (Rondanelli, et al., 2015; Inoue et al., 2017). This different prebiotic effect could be attributed to 479 significant modifications in the gut environment during the diabetic milieu which lead to the dissimilar 480 composition and distribution of the intestinal microbiota in healthy compared to diabetic animals.

481 Acetate can improve gut barrier function either by stimulating goblet cell differentiation or by 482 the reinforcement tight junctions of epithelial cells (Morrison & Preston, 2016). In this regard, it has 483 been recently shown, in an animal model of intestinal inflammation that the supplementation with 484 polyphenols from grape peel significantly increased the production of SCFA (mainly acetate and 485 butyrate) and the colonic protein levels of ZO-1 (Maurer et al., 2019). Similarly, in this study, the 486 relative abundance of *Blautia* was directly associated with increased levels of ZO-1 and mucin. 487 Moreover, cocoa diet also decreased the abundance of lactate producing bacteria (mainly Lactobacillus 488 and *Enterococcus*) and thus the level of lactate (precursor of SCFAs) in faeces. Interestingly, 489 correlation analysis showed that both genera were positively associated with body weight and 490 biochemical parameters related to glucose homeostasis and intestinal integrity and inflammation. 491 Increased lactate levels have been observed in both humans and animal models of T2D and obesity and 492 have been also associated with inflammation (Wu et al., 2016; Nishitsuji et al, 2017). However, 493 microbial produced lactate is generally converted into propionate or butyrate by a subset of lactate-494 utilizing bacteria and it is unclear whether bacterial derived lactate contributes to the high levels of 495 plasma lactate in diabetics. Additionally, it should be taken into account that gut microbiota produces

496 many other classes of metabolites such as SCFAs, bile acids and amino acid derivatives that may also 497 have essential signaling functions (Van Treuren & Dodd, 2019). Altogether, here we show that cocoa 498 could modulate GM and SCFAs production, contributing to the recovery of colon barrier function, 499 attenuating inflammation and eventually improving glucose metabolism. Therefore, these results 500 suggest that the modulation of GM might be one of the mechanisms involved in the antidiabetic effects 501 of cocoa. Although we do not know whether the changes observed in fecal SCFAs concentration in 502 both ZDF and ZDF-Co reflect similar variations in circulating SCFAs, it is reasonable to hypothesize 503 that potential changes in systemic SCFAs levels could also contribute to the observed phenotypes of 504 the animals.

505 One limitation of this study is the different levels of starch and fibre between control and cocoa 506 diet. However, it has been shown that the intake of polyphenols improve the health effects of the 507 intestinal microbiota by activating SCFA excretion, intestinal immune function, and other 508 physiological processes (Kawabata et al., 2019). Therefore, after cocoa intake, the increase in SCFAs is 509 not only due to its fibre content but also to other bioactive compounds mainly polyphenols and 510 theobromine (Martín-Peláez et al., 2017). Further studies will clarify if the effect of cocoa on intestinal 511 microbial populations can be ascribed to cocoa polyphenols and/or theobromine, to dietary fibre or to a 512 possible synergistic activity of all of these dietary components.

In summary, the present study demonstrates for the first time that cocoa supplementation improves intestinal integrity and inflammation in ZDF diabetic rats. Moreover, cocoa intake modifies gut microbiome in ZDF diabetic rats towards a healthier profile and these changes have been closely associated with the improved glucose homeostasis and gut health found in the diabetic animals. Consequently, we suggest that modulation of GM by cocoa may be an important mechanism that could partly mediate beneficial metabolic effects in diabetic animals. Future studies using faecal

519 transplantation from cocoa fed donors could help to address whether or not the alterations in the gut 520 microbiota found in ZDF-Co rats play a pivotal role in mediating the beneficial metabolic effects of 521 cocoa. Likewise, the application of metabolomics to microbiota could provide a more complex analysis 522 to finally advance in the knowledge of the ultimate causality.

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537 **Conflict of interest**

538 The authors declare that there are no conflicts of interest.

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715 **Figure captions**

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717 Figure 1.- Effect of cocoa diet in glucose and lipid homeostasis in Zucker lean (ZL), Zucker 718 Diabetic rats fed control diet (ZDF-C) and Zucker Diabetic rats fed cocoa diet (ZDF-Co). (A) 719 Food intake. (B) Body weight. (C) Plasma glucose levels. (D) Plasma insulin levels. (E) Glycosylated 720 haemoglobin (HbA1c). (F) Homeostasis model assessment (HOMA)-IR and HOMA-B. (G) Plasma 721 glucose levels during GTT and total area under the curve calculated from the GTT data. (H) Levels of 722 HDL, LDL and TG in serum. Data represent the means \pm SD of 6-8 animals. Means sharing the same 723 letter are not significantly different from each other (P<0.05) 724 725 Figure 2.- Effects of cocoa diet on colon mucosa of Zucker lean (ZL), Zucker Diabetic rats fed 726 control diet (ZDF-C) and Zucker Diabetic rats fed cocoa diet (ZDF-Co). (A) Representative 727 haematoxylin-eosin (H&E) stained sections and crypts depth measured as cells number per hemicrypt 728 of the distal colon mucosa (scale bar 10 µm). (B) Colonic epithelial apoptosis as revealed by terminal 729 deoxynucleotidyl transferase dUTP nick end labelling (TUNEL) assay (brown-positive nuclei) (scale 730 bar 10 µm) and quantification of apoptotic cells by TUNEL labelling index (%). (C) Representative 731 photographs for immunohistochemical staining of proliferating cell nuclear antigen (PCNA) (brown-732 positive nuclei) (scale bar 10 µm) and PCNA labelling index (%) in colonic mucosa. (D) Representative images of mucine glycoprotein by PAS staining (magenta) and quantitative analysis of 733 734 positive PAS staining cells (%) (scale bar 10 µm). (E) Representative IHC photographs of ZO-1 protein 735 expression and the positive area staining (%) (brown-stained) (scale bar 10 µm). Means sharing the 736 same letter are not significantly different from each other (P < 0.05)

Figure 3.- Effects of cocoa diet on intestinal inflammation of Zucker lean (ZL), Zucker Diabetic rats fed control diet (ZDF-C) and Zucker Diabetic rats fed cocoa diet (ZDF-Co). Representative immunohistochemistry photomicrograph of TNF- α (A), IL-6 (B), MCP-1 (C) and CD45 (D) (brownstained) in distal colon and inmunoreactive score (scale bar 10 µm). Levels of TNF- α and IL-6 in plasma (E). Values are expressed as mean \pm SD (n = 6-8). Means sharing the same letter are not significantly different from each other (P<0.05)

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746 Figure 4.- Bacterial diversity and taxa composition in Zucker lean (ZL), Zucker Diabetic rats fed 747 control diet (ZDF-C) and Zucker Diabetic rats fed cocoa diet (ZDF-Co). (A) Number of species 748 identified. (B) Shannon, (C) Simpsons and (D) Chao indexes were measured to evaluate the a-749 diversity. (E) Unsupervised PCA were carried out to analyse the β -diversity. Each principal component 750 describes most of the variation between samples. (F) Composition of the most abundant bacterial phyla 751 (>0.1%) expressed as a percent of total bacteria and pie graphs of most abundant phyla. (G) *Firmicutes* 752 to *Bacteroidetes* ratio (F/B). Data represent means \pm SD of 6–8 animals per condition. Means sharing 753 the same letter are not significantly different from each other (P<0.05)

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Figure 5.- Bacterial families composition in Zucker lean (ZL), Zucker Diabetic rats fed control diet (ZDF-C) and Zucker Diabetic rats fed cocoa diet (ZDF-Co). (A) Distribution bar-plot of families with relative abundance greater than 1%. (B) Composition of the most abundant families modified with diabetes or with cocoa expressed as a percent of total bacteria. Data represent means \pm SD of 6–8 animals per condition. Means sharing the same letter are not significantly different from each other (P<0.05)

Figure 6.- Genera and species composition in Zucker lean (ZL), Zucker Diabetic rats fed control diet (ZDF-C) and Zucker Diabetic rats fed cocoa diet (ZDF-Co). (A) Distribution bar-plot of genera with relative abundance greater than 1%. (B) Composition of the most abundant bacterial genera modified with diabetes or with cocoa expressed as a percent of total bacteria. (B) Composition of the most abundant bacterial species modified with diabetes or with cocoa expressed as a percent of total bacteria. Data represent means \pm SD of 6–8 animals per condition. Means sharing the same letter are not significantly different from each other (P<0.05)

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Figure 7.- SCFA- and lactate-producing bacteria and SCFA and lactate faeces levels in Zucker lean (ZL), Zucker Diabetic rats fed control diet (ZDF-C) and Zucker Diabetic rats fed cocoa diet (ZDF-Co). (A) Sum of all SCFA- and lactate-producing genera expressed as a percent of total bacteria. (B) Acetate, butyrate, propionate and lactate levels in faeces. (C–F) Most abundant acetate-, butyrate-, propionate- and lactate-producing genera expressed as a percent of total bacteria. Data represent means \pm SD of 6–8 animals per condition. Means sharing the same letter are not significantly different from each other (P<0.05)

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Figure 8.- Correlation analysis between gut microbiota and host parameters in diabetic rats. (A) Heatmap of correlation between the main significantly altered genera in the gut microbiota and host parameters related to diabetes and intestinal integrity and inflammation. Pearson correlation values were used for the matrix. The intensity of the colour represents the degree of association. *Denotes adjusted P < 0.05.

84	Table 1.	Composition	of the ex	perimental	control an	d cocoa-rich	diets.
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Component (g/Kg dry weight)	Control	Cocoa
Casein	140	140
Dextrose	155	155
Sucrose	100	100
Fat	40	40
t-BHQ (tert-butylhydroquinone)	0.008	0.008
Mineral mix.	35	35
Vitamin mix.	10	10
L-Cys	1.8	1.8
Cholin bitartrate	2.5	2.5
Cellulose	100	66
Starch	415.7	349.7
Cocoa podwer	-	100
Energy (kJ/kg diet)	15048	15048