

18 **Abstract**

19 Variability in body condition and energy storage has important implications for fish
20 recruitment and ecosystem structure. Understanding strategies for energy allocation to
21 maintenance, reproduction and growth is essential to evaluate the state of the fish
22 stocks. In this study, we address the energetics dynamics of the annual cycle of
23 anchovies (*Engraulis encrasicolus*) and sardines (*Sardina pilchardus*) in the north-
24 western Mediterranean Sea using indirect and direct condition indices. We assessed and
25 validated the use of morphometric, biochemical and energetic indices for both species.
26 Annual patterns of the relative condition index (Kn), gonadosomatic index (GSI), lipid
27 content (% lipids) and energy density (ED) were linked to the energy allocation
28 strategy. Our results highlight that anchovy mainly rely on income energy to reproduce,
29 while sardine accumulate the energy during the resting period to be used in the
30 reproduction period. Consequently, variability in the lipid content and ED between
31 seasons was lower in anchovy than in sardine. In both species, we observed an early
32 decline in energy reserves in late summer-early fall, which may be related to
33 unfavourable environmental conditions during spring and summer. Regarding the use of
34 different condition indices, both direct indices, lipid content and ED, were highly
35 correlated with Kn for sardine. ED was better correlated with Kn than lipid content for
36 anchovy. For the first time, a relationship between ED of gonads and GSI for sardine
37 and anchovy was provided, highlighting the importance of the energy invested in
38 reproduction. This work provides new insights into the energy dynamics of sardine and
39 anchovy. We also demonstrate which are the most suitable indices to measure changes
40 in the physiological condition of both species, providing tools for the future monitoring
41 of the populations of these two commercially and ecologically important fish species.

42

43 **Key-words:** anchovy, sardine, capital breeder, income breeder, condition, energy
44 allocation, energy density, lipids.

45 **1. Introduction**

46 Small pelagic fish are a key component of pelagic ecosystems and support important
47 fisheries worldwide (Cury et al., 2000; FAO, 2018). Their significant biomass at mid-
48 trophic levels makes these forage fish a main prey for numerous marine predators, thus
49 playing a major role in energy transfer from lower to higher trophic levels (Bakun,
50 1996; Cury et al., 2011). The two most important small pelagic fish in the
51 Mediterranean Sea, in terms of biomass and commercial interest, are European anchovy
52 (*Engraulis encrasicolus*, hereafter anchovy) and European pilchard (*Sardina*
53 *pilchardus*, hereafter sardine) (Palomera et al., 2007). However, in recent decades,
54 important changes in abundance, landings and biological features (such as growth and
55 body condition) have been reported for both species in the north-western Mediterranean
56 Sea (Brosset et al., 2017; Quattrocchi and Maynou, 2017). These changes have been
57 partially attributed to variations in particular oceanographic parameters and increases in
58 fishing pressure (Brosset et al., 2017; Coll et al., 2019; Saraux et al., 2019; Van Beveren
59 et al., 2014).

60 Previous studies have highlighted that the decline in body condition of sardine and
61 anchovy observed in the last decade in the Mediterranean Sea may have long-lasting
62 negative effects on their populations (Brosset et al., 2017). Therefore, understanding
63 how these species allocate their energetic resources over the year is fundamental to
64 predict the responses of small pelagic fish to environmental variability and changes, as
65 well as the ultimate effects on marine food webs. These factors hold direct informative
66 value for the management of marine resources and ecosystems.

67 Marine organisms have developed several strategies for energy acquisition and
68 allocation to reproduction related to the annual and seasonal fluctuation of the pelagic
69 marine environment. The classical division of these strategies is made between capital
70 and income breeders (Drent and Daan, 1980; Stearns, 1989). In capital breeders, the
71 primary energy source for reproduction comes from reserves stored prior to the
72 spawning season, while in income breeders, reproduction is fully supplied by concurrent
73 energy intake, i.e. current feeding. In practice, life-history strategies are represented
74 along the whole continuum of these two extremes (McBride et al., 2015).

75 According to previous studies in the Mediterranean Sea, anchovy, which spawns in
76 spring and summer, seems to mainly be an income breeder (Brosset et al., 2015b;
77 Pethybridge et al., 2014; Somarakis, 2005; Somarakis et al., 2004), while sardine, which
78 spawns in fall and winter, seems to mainly be a capital breeder (Ganias, 2009; Ganias et
79 al., 2007; Mustać and Sinovčić, 2009; Pethybridge et al., 2014). In the northwestern
80 Mediterranean Sea, seasonal variability in lipid and energy density has been described
81 in sardine and anchovy with both species presenting higher values in spring and summer
82 (Albo-Puigserver et al., 2017; Brosset et al., 2015a; 2015b; Pethybridge et al., 2014).

83 However, the different breeding strategies lead to a higher energy content and higher
84 seasonal variability in sardine than in anchovy (Albo-Puigserver et al. 2017; Brosset et
85 al., 2015b). Due to their different strategies of energy allocation to growth, reproduction
86 and maintenance, and their opposite reproduction periods, it is plausible to expect that
87 the two species will have different ecological responses to environmental change
88 currently underway in the Mediterranean Sea, such as an increase in sea surface
89 temperature and changes in primary productivity (Giorgi, 2006; Hoegh-Guldberg et al.,
90 2018; Oliver et al., 2018; Piroddi et al., 2017). Yet, it is not well known how these
91 changes affect energy acquisition and allocation in anchovy and sardine populations,

92 ultimately affecting their reproduction and growth (Nunes et al., 2011). Brosset et al.,
93 (2015b) found a change in the annual peak of sardine's condition in the Gulf of Lions,
94 which shifted from the beginning of autumn between 1971 and 1978, to the beginning of
95 summer between 1993 and 2013. The authors hypothesized that this change could be
96 related to a lower quality or quantity of food available in summer (Brosset et al.,
97 2015b). These results highlight the importance of considering the monthly body
98 condition in order to better understand the inter-annual dynamics of these short-lived
99 species.

100 Individuals in better physiological condition, meaning higher nutritional reserves, may
101 have higher growth and survival rates and greater reproductive success (Brosset et al.,
102 2015b). Therefore, the evaluation of the nutritional and physiological state of a
103 population is increasingly used as an indicator of fish stock state (Brosset et al., 2017;
104 Lloret et al., 2013; Rosa et al., 2010). To evaluate the physiological state of fishes,
105 several condition indices are available (Lloret et al., 2013). Fish condition is a measure
106 of stored energy that can be evaluated with direct condition indices (e.g., energy density
107 and lipid content) or indirect condition indices (e.g., morphometric or organosomatic
108 indices) (Gatti et al., 2018; Lloret et al., 2013; Schloesser and Fabrizio, 2017).

109 In general terms, lipids are the preferred source of metabolic energy for growth,
110 reproduction, and swimming in fish and the first macro-molecule to be catabolised
111 (Shulman and Love, 1999; Tocher, 2003). On the other hand, proteins and
112 carbohydrates, which are the main compounds of body structure, usually remain rather
113 constant and are less energetic than lipids (Anthony et al., 2000). However, in cases of
114 high lipid depletion, proteins can be mobilised and used as an energy source (Black and
115 Love, 1986). Although the measurement of lipid content has been preferably used in the
116 study of small pelagic fish condition (Rosa et al., 2010; Pethybridge et al., 2014;

117 Brosset et al., 2015a, 2017), the amount of energy per unit of mass (Energy Density;
118 ED) is the only measure that directly provides information on the average energy of the
119 proximate composition of fish (weighted average of protein, lipid and carbohydrates
120 energy densities; Gatti et al. 2018).

121 When using condition indices, it is important to understand what the index is measuring
122 and to validate it with other measurements (Gatti et al., 2018; McPherson et al., 2011).

123 The use of lipid content, fatmeter (indirect measure of lipids) and morphometric indices
124 (e.g. relative condition factor; K_n), have been recently validated as measures of
125 condition in sardine and anchovy, but there was a weak correlation between
126 morphometric indices and lipid content in certain periods of the year (Brosset et al.,
127 2015a). Different studies have proposed that morphometric indices do not only reflect
128 the quantity of reserves, but also changes in proteins (Brosset et al., 2015a; Schloesser
129 and Fabrizio, 2017, Sutton et al., 2000). Therefore, the validation of morphometric
130 indices with a measure of energy density, that integrates an average of the proximate
131 composition, could be more appropriate for certain species. In sardine and anchovy, the
132 use of ED, has never been compared and validated with indirect and direct condition
133 indices in this study area (Albo-Puigserver et al., 2017; Tirelli et al., 2006).

134 Considering all of the above, the main aims of the present study were (1) to assess
135 seasonal dynamics of the body condition and energy allocation to reproduction in
136 sardine and anchovy in the north-western Mediterranean Sea, and (2) to determine
137 which of the condition measurements better captures the variability in the physiological
138 state of small pelagic fish populations. Specifically, analysis of indirect condition
139 indices and direct condition indices of sardine and anchovy were performed.

140

141 **2. Material and Methods**

142 **2.1. Sampling and study area**

143 Anchovy and sardine samples were
144 collected monthly from purse-seine
145 landings off the Tarragona harbour
146 (Spain; north-western Mediterranean;
147 Fig. 1) which operated in the Ebro
148 Delta continental shelf area from April
149 2012 to March 2014. Due to fishing
150 closures, no samples were collected for
151 January 2013 and January 2014. The

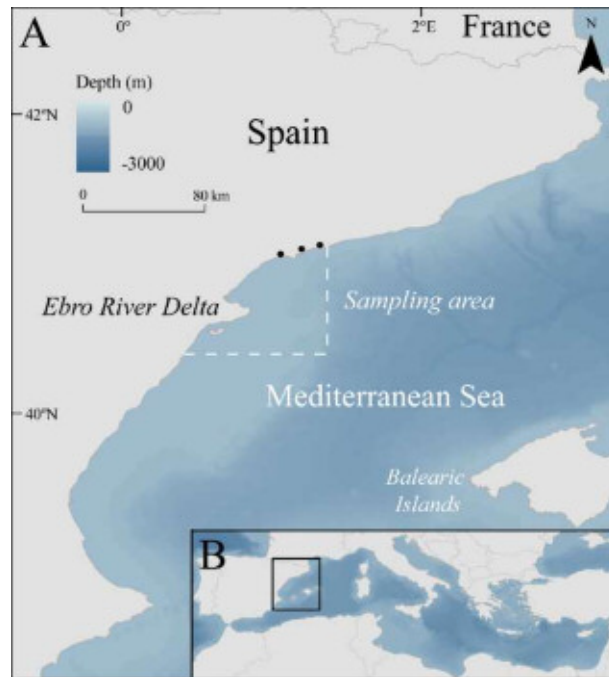


Figure 1. Map of the study area where the individuals were collected (A). The sampling area (dashed line) and the fishing harbors where most of the samples were landed are indicated with black circles. Position of the study area in the Mediterranean Basin is also indicated (B).

152 Ebro Delta continental shelf is a major spawning ground for anchovy and sardine
153 (Giannoulaki et al., 2014; Palomera, 1992; Tugores et al., 2011). The primary
154 productivity in this area is largely subjected to the environmental variations of the
155 region. In this area, there is typically a late winter-early spring phytoplankton bloom,
156 enhanced by strong riverine nutrient inputs (Lloret et al., 2004, 2001; Salat, 1996),
157 followed by a spring increase in zooplankton (Sabatés et al., 2007;). Anchovy spawns in
158 warm waters, with temperatures between 17 and 23 °C. These temperatures are found in
159 the waters of the north-western Mediterranean beginning at the end of spring and
160 extending throughout the summer (April – September) (Palomera, 1992; Palomera et
161 al., 2007). Sardine prefers colder waters to spawn, between 12 and 14°C; therefore, the
162 spawning period of sardine in the north-western Mediterranean is from middle fall until

163 the end of winter (November – March; Palomera & Olivar, 1996; Palomera et al.,
164 2007). All sampled individuals were collected in the harbour, kept in a fridge (4°C) and
165 dissected in the lab within 24-48h after being fished.

166

167 **2.2. Condition indices**

168 A total of 2,078 anchovies and 1,957 sardines were analysed in this study, between 70
169 and 100 individuals per month were dissected between April 2012 and March 2014
170 (Table 1). Total body length (TL, ± 0.1 cm), total weight (TW, ± 0.01 g), gutted weight
171 (GW, ± 0.01 g), sex (M = male, F = female) and gonad weight (W_G , ± 0.1 mg) were
172 recorded for all fish. The macroscopic maturity phase was determined for all individuals
173 using the anchovy and sardine maturity stage keys of (ICES, 2008): 1 = immature; 2 =
174 developing; 3 = spawning capable; 4 = spawning; 5 = post-spawning/spent, 6 = resting.
175 Only individuals with higher TL than the minimum landing size (TL ≥ 9 cm for
176 anchovy and TL ≥ 11 cm for sardine; Ganas et al. 2007) were used in the analysis in
177 order to avoid possible size-related bias due to variation in monthly length frequency
178 distributions of smaller individuals. After dissection, individuals were immediately
179 stored at -20°C. Specifically, from all individuals processed in the first year of sampling
180 (from April 2012 to March 2013), 20 per month were entirely frozen for further
181 calorimetric analysis. Then, from other 20 individuals stored per month a piece of
182 muscle was extracted and frozen at -20°C for lipid extraction of muscle and the gonad
183 of these individuals and other individuals dissected but not used for calorimetry
184 analysis, were frozen separately for calorimetric analysis of the gonad (Table 1).

Table 1. Summary of indirect and direct condition indices measured in European sardine and anchovy. For each index the number of samples analyzed (n), the mean total length (TL mean; cm) of the individuals and minimum and maximum length

(min-max; cm) are reported.

Condition indices		European anchovy			European sardine		
		n	TL mean (cm)	min-max (cm)	n	TL mean (cm)	min-max (cm)
Indirect (monthly)	Kn _{gutt}	2078	12.19	9-16.5	1957	13.42	11-19.6
	GSI _{gonad}	2035	12.20	9-16.5	1924	13.45	11-19.6
Direct (seasonal)	Lipid _{muscle}	75	12.60	9-16.2	74	13.90	11-18.6
	ED _{individual}	80	13.03	9.7-16.1	82	14.34	11.4-17.6
	ED _{gonad}	129	12.35	9.2-16.2	131	14.08	11-18.6

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2.2.1. Indirect condition indices

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The somatic body condition of both species was evaluated by calculating the relative

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condition factor (Kn, Le Cren 1951). The Kn was obtained as the ratio of the gutted

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weight (GW) to the corresponding predicted gutted weight (W_p) for a fish of the same

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length (Le Cren, 1951):

191

$$(1) \quad Kn = \frac{GW}{W_p}$$

192

The W_p was obtained by performing a nonlinear regression of GW as a function of

193

$a \cdot TL^b$, where a and b are coefficients estimated from all fish sampled during the years

194

2012–2014 (with values for anchovies: $a = 0.0029$, $b = 3.2538$; and for sardines; $a =$

195

0.0037 , $b = 3.2309$). We used the Kn index as a proxy of somatic condition for fish.

196

Gutted weight is preferred to the total weight to avoid the influence of gonad

197

development on the true somatic condition of individuals (Millán, 1999; Nunes et al.,

198

2011). The Kn was calculated for all individuals sampled of sardine and anchovy (Table

199

1).

200

To relate the reproductive cycle with the relative condition factors (Kn), the

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gonadosomatic index (GSI) and the percentage of reproductively active individuals

202 were calculated as a measure of reproduction activity (Basilone et al., 2006; Ferrer-
203 Maza et al., 2016; Somarakis et al., 2004). GSI was obtained as the ratio of the gonad
204 weight (W_G) to the gutted weight (GW):

$$205 \quad (2) \quad GSI = \frac{W_G}{GW} \cdot 100$$

206 The proportion of reproductive individuals during the year was obtained considering
207 actively spawning individuals with maturity stages 3, 4 and 5 and those not actively
208 spawning at maturity stages 1, 2 and 6 (ICES, 2008).

209 To relate the Kn and GSI variability with the seasonal environmental changes, monthly
210 satellite-derived sea surface temperatures (SST; °C) and chlorophyll-a concentrations
211 (Chl-a; $\text{mg} \cdot \text{m}^{-3}$, at 2 km resolution) were obtained for the study area during the
212 sampling period (April 2012 to March 2014) from EMIS (Environmental Marine
213 Information System, <https://data.jrc.ec.europa.eu>; Melin, 2013).

214 **2.2.2. Direct body condition indices**

215 We used biochemical and calorimetry analysis to measure two direct condition indices:
216 lipid content (% lipids) and energy density (ED). These indices were measured only in
217 individuals that were also used to estimate Kn and GSI. Lipid content was analysed in
218 75 anchovy individuals and 74 sardine individuals (between 15 and 20 per season,
219 Table 1) from the first year of sampling (spring 2012 to winter 2013). The lipid content
220 of each individual was extracted from a sample of dorsal muscle (200 to 500 mg) using
221 the Folch method (Folch et al., 1957). The total lipids extracted from each sample were
222 weighed (± 0.0001 g) and were expressed as the percentage of wet weight (W_{Wet}, \pm
223 0.0001 g), which was calculated as follows:

$$224$$
$$225 \quad (3) \quad \% \text{ lipids} = \frac{\text{lipids weight (g)}}{\text{sample Ww (g)}} \cdot 100$$

226

227 Analyses of the energy density (ED, $\text{kJ} \cdot \text{g}^{-1} W_{\text{Wet}}$) were performed on anchovy and
228 sardine specimens from the first year of sampling by direct calorimetry using a Parr
229 6725 Semimicro Oxygen Bomb Calorimeter (Moline, Illinois, USA). The ED of the
230 entire individual and the ED of gonads were estimated on different individual fish as
231 follows. We used 80 specimens of anchovy and 82 of sardine previously oven-dried (20
232 per season, Table 1) to estimate the ED of the entire individual using the whole
233 ungutted fish, i.e. including mesenteric fat and gonads. With a different objective, the
234 data on ED of individuals was previously presented aggregated in Albo-Puigserver et
235 al., (2017). ED was determined individually according to the protocol used in previous
236 studies (Albo-Puigserver et al., 2017; Dubreuil and Petitgas, 2009; Tirelli et al., 2006).
237 The oven-dried individuals were mixed to obtain a homogenised powder of each
238 individual, from which pellets of 150 to 200 mg were obtained with a press. Two of
239 these pellets were used for the determination of the ED, and if the values differed by
240 more than 3%, a third pellet was combusted. The average of the two or three samples
241 was used to estimate the ED of each individual. The ED was converted to a wet-weight
242 basis ($\text{kJ} \cdot \text{g}^{-1} W_{\text{Wet}}$) using the proportion of dry weight ($W_{\text{Dry}} = W_{\text{Dry}} / W_{\text{Wet}}$) of each fish.
243 In the case of ED analysis of gonads, if the gonads of an individual fish were not large
244 enough to perform the analysis (the calorimeter can only process samples that range
245 from 25 to 200 mg), they were pooled by sex, body length and maturity stage to obtain
246 an adequate weight for the analysis (gonad weight: W_{G}). The analysis was determined
247 for 129 anchovies (29 from spring, 33 from summer, 37 from autumn, and 30 from
248 winter) and 131 sardines (45 from spring, 27 from summer, 29 from autumn and 30
249 from winter). The same protocol described above for the entire individuals was
250 followed for the gonads' ED determination (from an individual or group).

251 **2.3. Statistical analyses**

252 Differences in Kn and GSI of anchovy and sardine between months and sexes were
253 statistically compared using PERMANOVA tests (two-way semi-parametric
254 permutation multivariate analyses of variance) based on Euclidean distance matrices
255 with a previous square-root transformation (Anderson et al., 2008). The Spearman's
256 rank non-parametric correlation test between pair of variables was used to examine the
257 relationships between Kn, GSI, sea surface temperature (SST; °C) and chlorophyll-a
258 concentrations (Chl-a; $\text{mg} \cdot \text{m}^{-3}$, at 2 km resolution) obtained from EMIS (Environmental
259 Marine Information System, <https://data.jrc.ec.europa.eu>; Melin, 2013).

260 Differences in lipid content and energy density between seasons, sexes or between
261 maturity stages, in the case of gonad analysis, for sardine and anchovy and between
262 species were also tested using PERMANOVA tests based on Euclidean distance
263 matrices with a previous square-root transformation (Anderson et al., 2008). In the case
264 of significant differences, pairwise tests were performed. Analyses were run using
265 PRIMER-E v6 software (Clarke and Gorley, 2006).

266 The correlations between the relative condition index (Kn) and the direct condition
267 indexes (% lipids and ED) were examined using Spearman's rank tests. Relationships
268 between energy density of gonads and the percentage of the gonadosomatic index were
269 explored using logarithmic regressions. Spearman's rank non-parametric correlation
270 tests and linear regression analyses were performed with R v3.3.2. (R Development
271 Core Team, 2018). In all cases, we adopted a significance level of $p < 0.05$.

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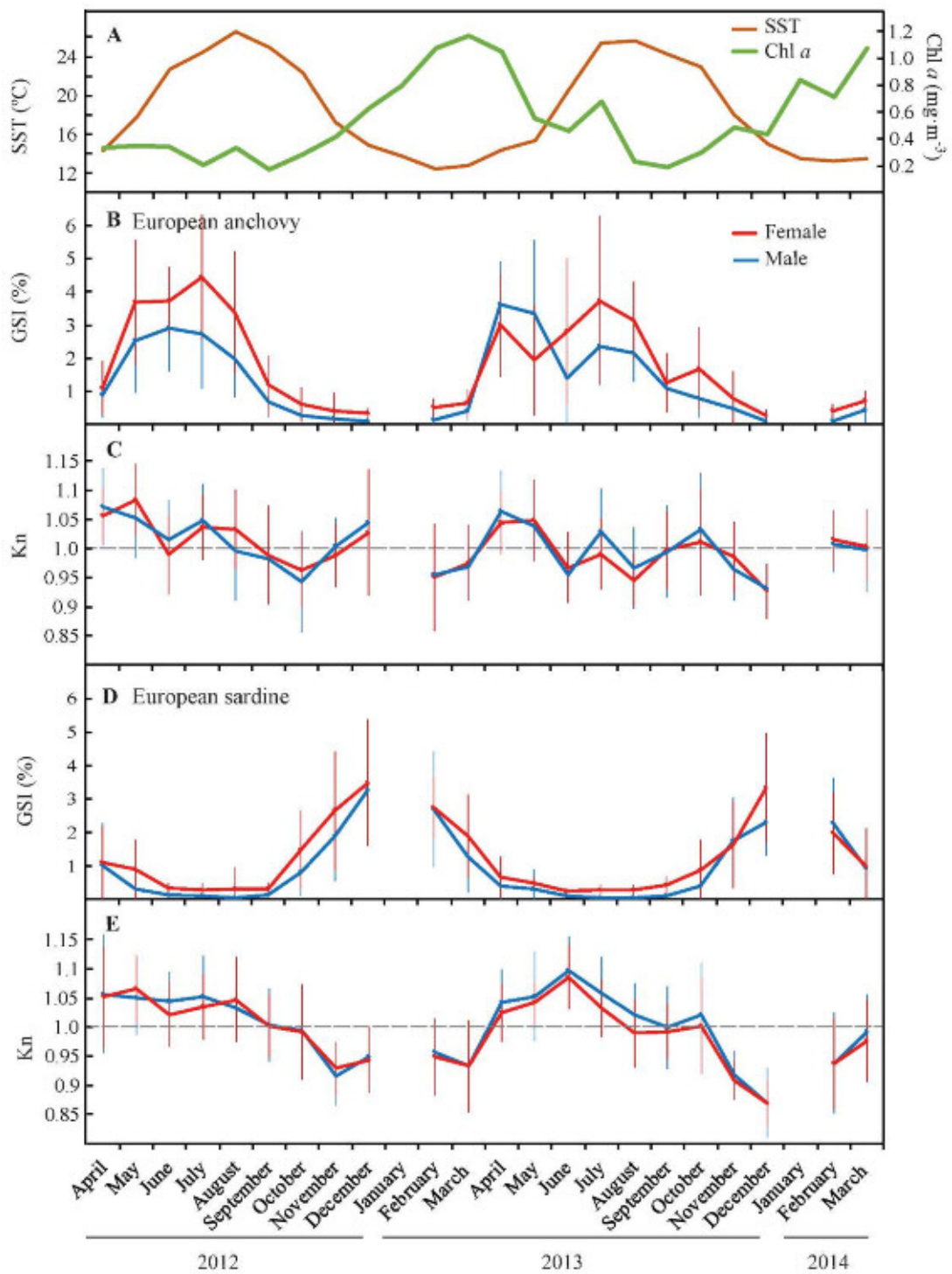
273 **3. Results**

274 **3.1. Variation in the indirect condition indices**

275 Monthly variation in the GSI values throughout the year showed opposite annual
276 patterns for anchovy and sardine for both sexes (Fig. 2). GSI of anchovy reached the
277 maximum values between April and August while sardine reached maximum values
278 between November and March (Fig. 2B and 2D). Differences between sexes in GSI
279 were observed in both species (anchovy: Pseudo- $F_{1,1985}=2760.4$, $p=0.001$; sardine:
280 Pseudo- $F_{1,1866}=105.61$, $p<0.001$).

281 The percentage of active spawning individuals showed similar patterns to GSI and was
282 related to environmental changes (Fig. 3 and 4). Correlation analysis between
283 environmental variables and GSI showed a positive correlation of anchovy and a
284 negative of sardine with SST and the invers pattern with Chl-a (Fig. 3). Active
285 spawning individuals of anchovy were observed from April, one month after the peak of
286 Chl-a and when SST started to increase, to October, when SST started to decrease (Fig.
287 2A and 4). More than 90% of females were actively spawning in June and July of 2012
288 and in July, August and September of 2013, coinciding with the period of higher SST
289 and lower Chl-a concentrations (Fig. 2A and 4).

290 Sardine actively spawned from October to March, coinciding with the decrease in SST.
291 However, in April and May of 2012, the proportion of active spawning individuals
292 reached almost 50% and 40%, respectively. The peak of active spawning individuals of
293 sardine was in December and February of 2012 and December 2013 when SST was at
294 its lowest and Chl-a concentration started to increase (Fig. 2A and 4).

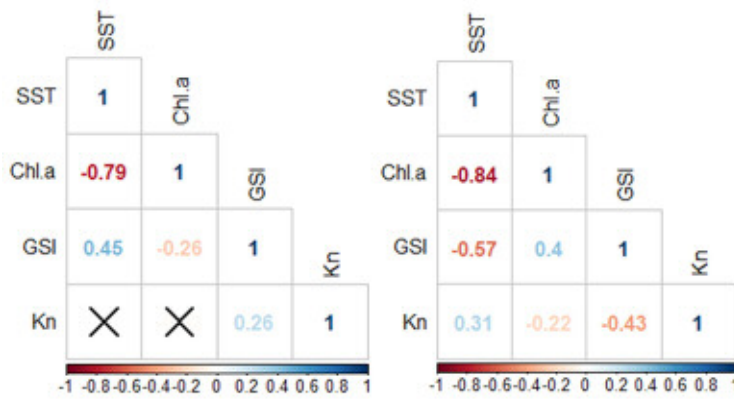


295

296 **Figure 2.** Monthly mean sea surface temperature (SST; orange line) and chlorophyll *a*
 297 concentration (Chl- *a*; green line) of the area of study (source: EMIS JRC,
 298 <https://data.jrc.ec.europa.eu/>) (A). Mean and standard deviation of monthly variation of
 299 gonadosomatic index (GSI) and relative condition index (Kn) for females (red) and
 300 males (blue) of anchovy (B and C) and sardine (D and E), respectively.

A European anchovy

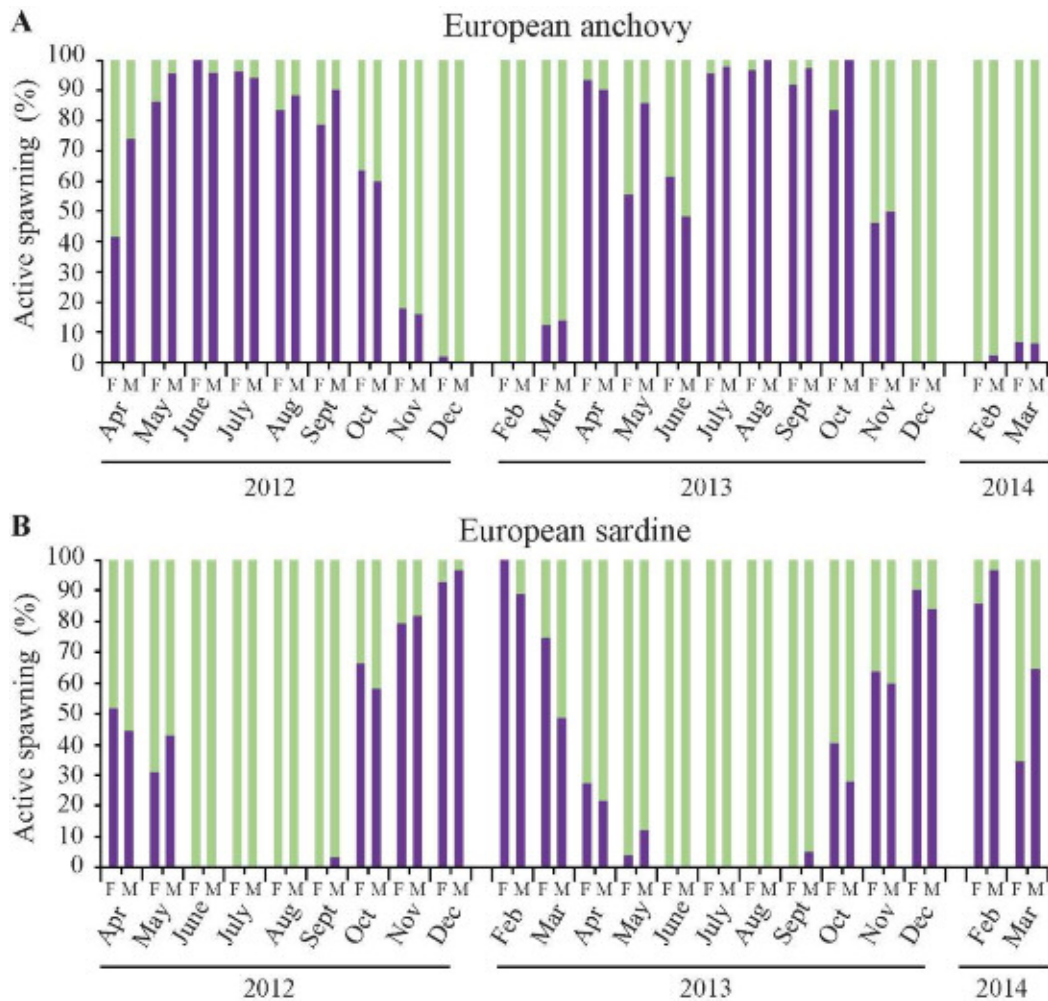
B European sardine



301

302 **Figure 3.** Spearman correlation matrix of the monthly indirect condition indices;
 303 relative condition index (Kn) and gonadosomatic index (GSI), with environmental
 304 variables; sea surface temperature (SST) and chlorophyll-a concentrations (Chl.a) for
 305 anchovy (A) and Sardine (B). ‘X’ represents non-significant correlation parameters
 306 according to a 0.05 p-value significant level. The colour gradient from dark red to dark
 307 blue correspond to negative to positive correlation strength, respectively.

308 The Kn of anchovy exhibited high intra-annual and even intra-seasonal variability and
 309 was synchronous between sexes (Fig. 2C). The correlation between anchovy Kn and
 310 environmental variables was not significant (Fig.3A). There were significant differences
 311 in Kn between months (Pseudo- $F_{21,1999}=27.56$, $p=0.001$), but not between sexes
 312 (Pseudo- $F_{1,1999}=0.18$, $p=0.67$). High values of Kn were observed in spring and low
 313 values in fall. Kn and GSI values exhibited a weak but significant positive correlation
 314 ($r_s= 0.26$, $p < 0.001$; Fig. 3A).



315

316 **Figure 4.** Monthly variation of the percentage of mature active individuals in blue
 317 (maturity stage 3, 4 and 5) and immature and resting individuals in green (maturity
 318 stage 1, 2 and 6) for anchovy (A) and sardine (B). Females (F) and males (M)
 319 proportions are represented separately. Maturity stages were classified following ICES
 320 (2008).

321 For sardine, significant differences in Kn between months and sexes were observed
 322 (Pseudo- $F_{21,1877}=67.45$, $p=0.001$; Pseudo- $F_{1,1877}=5.77$, $p=0.02$, respectively) (Fig. 2E).
 323 However, the differences between sexes were only observed in August 2013 (pairwise
 324 comparison $t=2.36$, $p=0.02$). Individuals had higher Kn values during spring and
 325 summer and lower values during fall and winter and positive correlation between SST
 326 and Kn were found (Fig. 2D, E, 3B). Kn and GSI exhibited a significant negative
 327 correlation ($r_s=0.44$, $p < 0.001$).

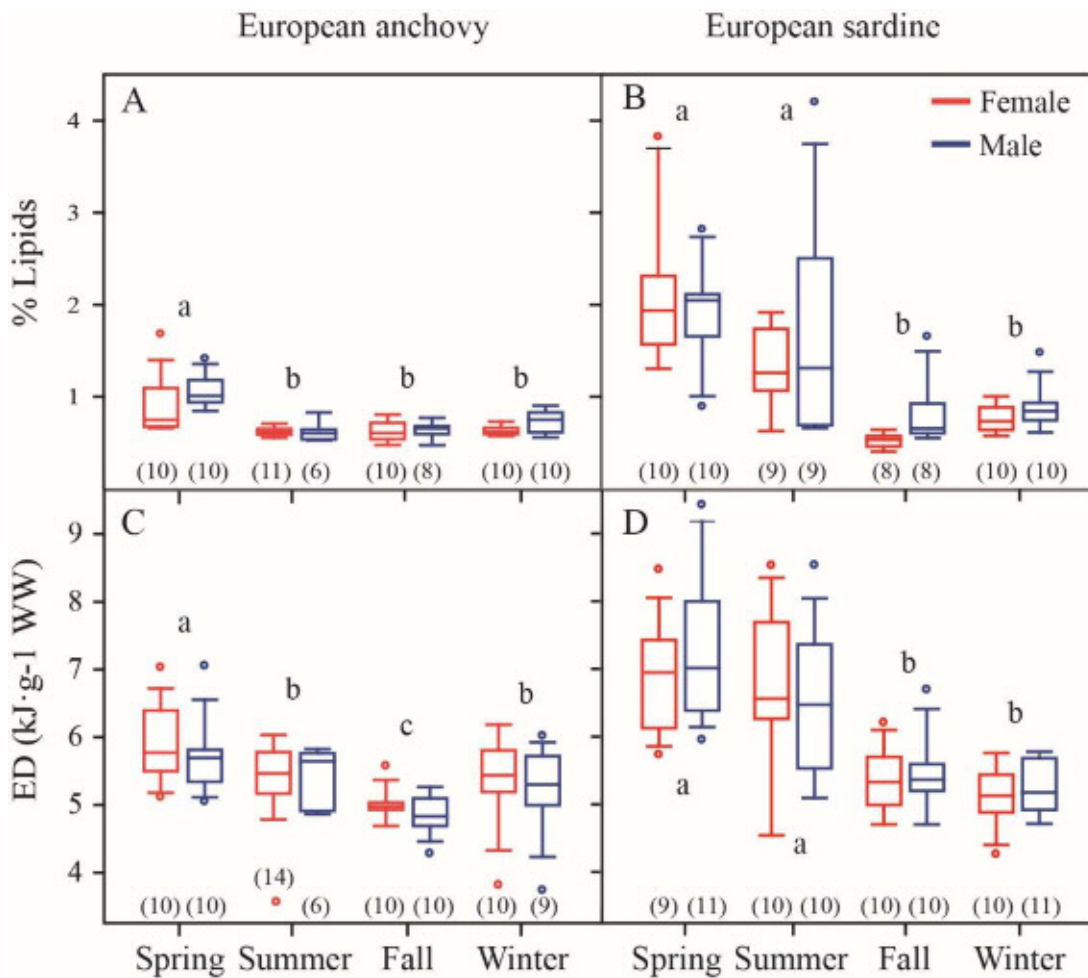
328 **3.2. Variation in the direct condition indices**

329 In both species, significant seasonal variations in lipid content were observed (Pseudo-
330 $F_{3,71}=20.33$, $p=0.0001$; Pseudo- $F_{3,70}=19.15$, $p=0.0001$, for anchovy and sardine,
331 respectively). In the case of anchovy, only spring had significantly higher lipid content
332 (Fig. 5A). Regarding sardine, lipid content in spring and summer was similar and
333 significantly higher than in fall and winter (Fig. 5B). Lipid fraction in the muscle of
334 anchovy and sardine was similar between sexes (Pseudo- $F_{1,73}=3.69$, $p=0.05$; Pseudo-
335 $F_{1,72}=1.44$, $p=0.24$, for anchovy and sardine, respectively).

336 Similar to lipid content, in both species differences in ED were only found between
337 seasons (Pseudo- $F_{3,71}=8.55$, $p=0.0001$ for anchovy and Pseudo- $F_{1,73}=21.21$, $p=0.0001$
338 for sardine) and not between sexes (Pseudo- $F_{2,71}=0.35$, $p=0.67$ for anchovy and Pseudo-
339 $F_{1,73}=1.94$, $p=0.14$ for sardine). In the case of anchovy, the pairwise comparison of ED
340 between seasons showed that ED was at a maximum in spring and declined in summer
341 and fall with significantly different ED values, while in winter the ED of anchovy was
342 similar to the ED levels of summer (Fig. 5C). For sardine, in spring and summer on the
343 one hand and in fall and winter on the other hand the individuals had similar ED values.
344 Between the two periods (spring-summer and fall-winter) significant differences were
345 found in ED, similar to that observed for lipid content (Fig. 5D).

346 Comparing the two species, the lipid content of sardine in spring, summer and winter
347 was significantly higher than in anchovy (Pseudo- $F_{1,141}=64.98$, $p=0.0001$), and no
348 differences in the lipid content was observed in fall between the two species (Figs. 5A
349 and 5B). Similarly, in the case of ED, sardine values were significantly higher in spring,
350 summer and fall than in anchovy (Pseudo- $F_{1,154}=35.18$, $p=0.0001$), and no differences in

351 ED was observed in winter between species (Figs. 5C and 5D).

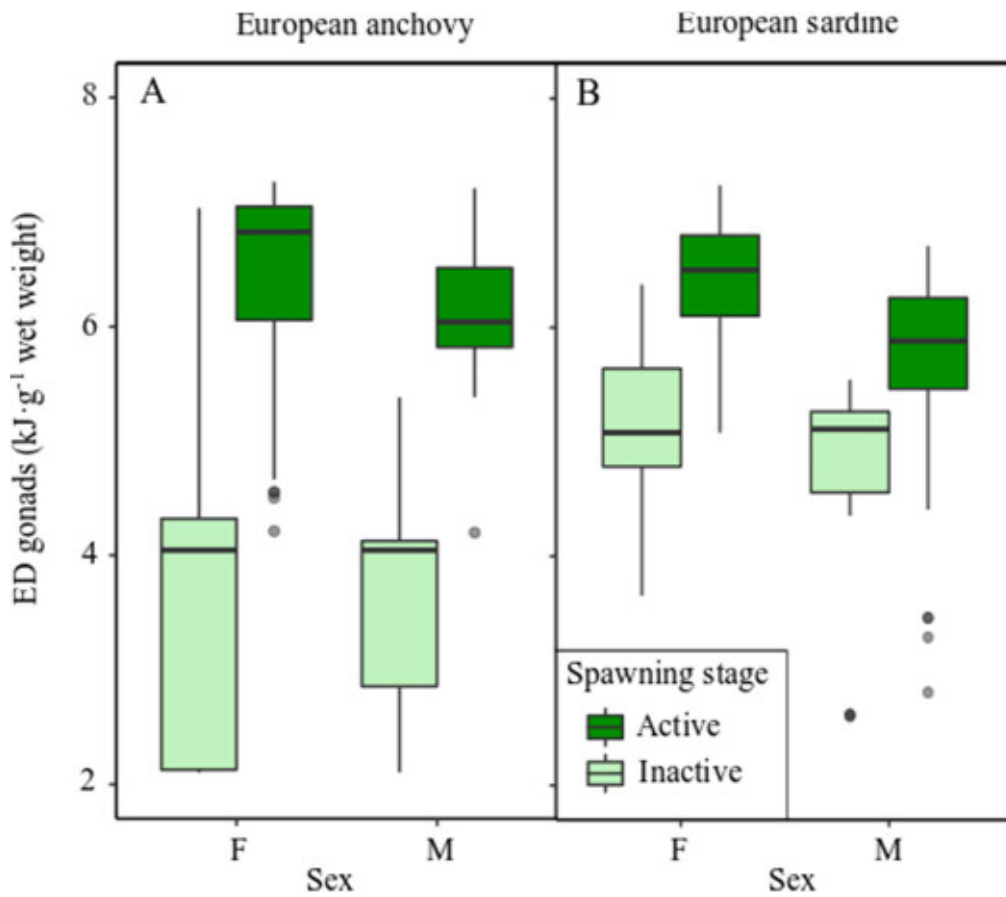


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353 **Figure 5.** Boxplots of seasonal lipid content (A-B) (% lipids·g⁻¹ wet weight) and energy
 354 density (C-D) (kJ·g⁻¹ wet weight) of anchovy and sardine. Female and males individuals
 355 are indicated in red and males, respectively. Box length represent interquartile range,
 356 bar length represent range and horizontal lines represent median values, dots are
 357 outliers. Number in brackets are the sample size of each boxplot. Pairs of means
 358 differing significantly (P < 0.05) by pairwise test between seasons within each graph
 359 and both sexes together are indicated by letters- seasons with the same letter were not
 360 significantly different.

361 In the case of the direct index related to reproduction activity, the calorimetry of gonads,
 362 anchovy and sardine had similar ED values in gonads (Pseudo-F_{1,210}=1.95, p=0.16,
 363 Figure 6). For both species, energy density of gonads varied between reproduction
 364 stages, with higher values of ED_{gonads} in actively spawning individuals (reproduction

365 stage 3, 4 and 5) than for immature or resting individuals (reproduction stage 1, 2 and 6)
 366 (Pseudo- $F_{5,210}=49.18$, $p=0.0001$; Table 2, Figure 6). No significant differences were
 367 detected between sexes in the ED_{gonads} of anchovy (Pseudo- $F_{1,101}=7.29$, $p=0.79$), while
 368 sardine did present differences in ED_{gonads} between sexes (Pseudo- $F_{1,109}=15.07$,
 369 $p=0.0005$).



370
 371 Figure 6. Boxplot of Energy Density (ED; kJ g^{-1} of wet weight) measured in gonads of
 372 active spawning individuals (reproduction stage 3, 4 and 5) and inactive individuals
 373 including immature and resting individuals (reproduction stage 1,2 and 6) for females
 374 (F) and males (M) of European anchovy (A) and sardine (B).

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Table 2. Mean and standard deviation of Energy Density of gonads (ED; kJ g^{-1} of wet weight) and number of individuals analyzed (n) of anchovy and sardine. Cells with no data are indicated (nd).

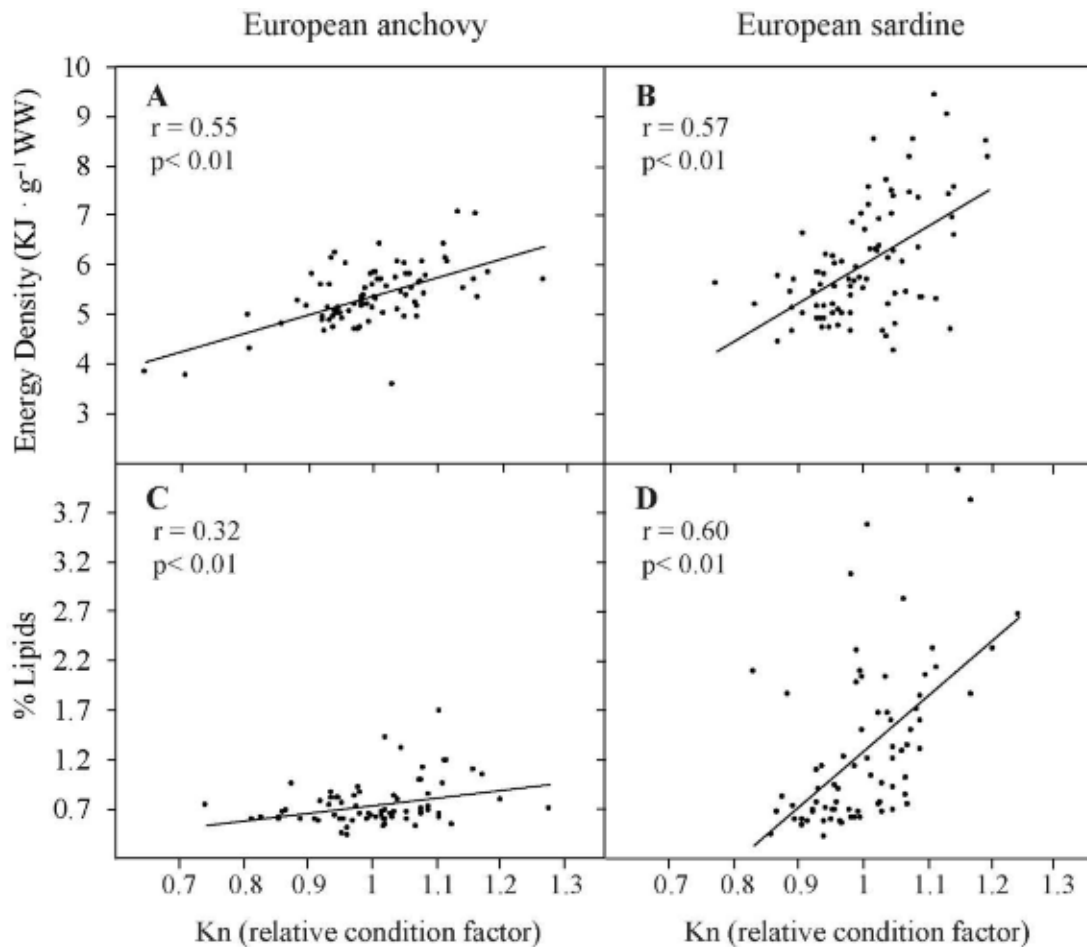
Maturity stage	Sex	European anchovy		European sardine	
		n	ED gonad ($\text{kJ}\cdot\text{g}^{-1}$ WW)	n	ED gonad ($\text{kJ}\cdot\text{g}^{-1}$ WW)
1	F	8	3.14±1.12	11	4.48±0.24
	M	10	3.51±1.26		nd
2	F	4	5.32±1.28	2	5.84±0.22
	M	3	4.81±0.69	6	3.88±1.63
3	F	24	6.77±0.66	22	6.71±0.23
	M	16	6.02±0.54	12	5.79±0.84
4	F		nd	1	6.53
	M	1	7.21	4	6.09±0.72
5	F	11	5.52±1.07	17	5.86±0.48
	M	6	5.92±0.89	8	5.11±1.13
6	F	17	3.56±1.06	20	5.23±0.81
	M	12	3.52±0.74	17	4.99±0.63

380

381

382 3.3. Comparison between direct and indirect condition indices

383 The relationships between the indirect condition index Kn and the two direct indices,
 384 ED and % lipid, were positively correlated for anchovy and sardine (Fig. 7). For
 385 anchovy, the correlation was stronger between Kn and ED (Fig. 7A) than in the
 386 correlation between Kn and % lipid (Fig 7C). For sardine, the higher correlation was
 387 between Kn and % lipid (Fig. 7D) followed by ED (Fig. 7B).

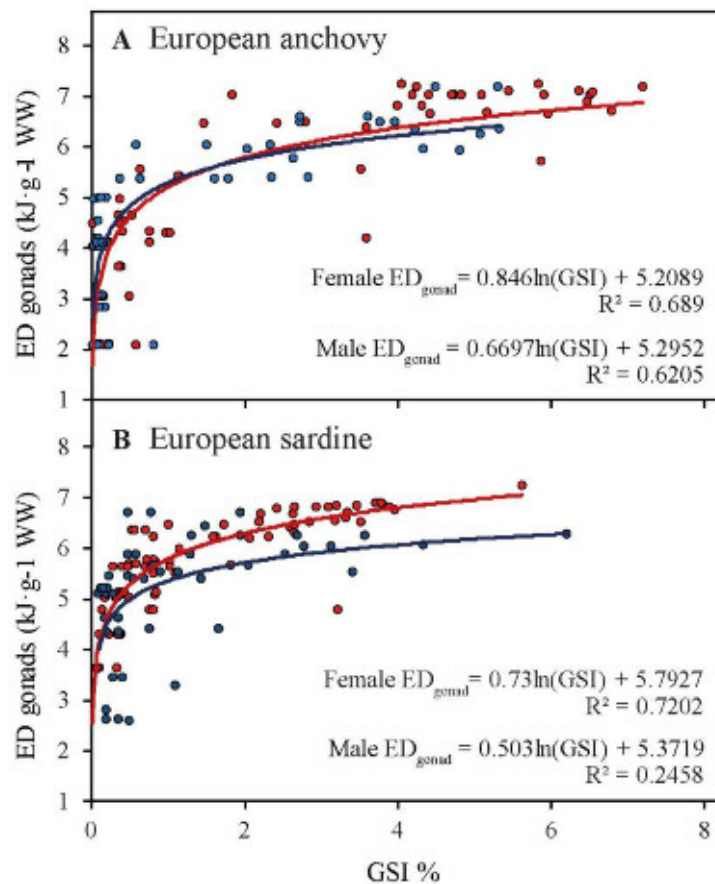


388

389 **Figure 7.** Relationships between the relative condition factor (K_n) and energy density
 390 (ED; KJg^{-1} wet weight) and lipid content (% Lipids) for anchovy (A, C) and sardine (B,
 391 D), respectively. Spearman correlation and the level of significance are indicated (r; p).
 392 Lines indicate significant correlations.

393 When comparing direct and indirect indices of the energy invested in reproduction,
 394 $\text{ED}_{\text{gonads}}$ of anchovy and the GSI showed a strong positive correlation for both sexes
 395 ($r_s=0.85$, $p<0.001$; $r_s=0.80$, $p<0.001$, for females and males, respectively). The
 396 relationship fitted to a logarithmic regression explained 69% and 62% of the variance in
 397 anchovy females and males, respectively (Fig. 8A). Sardine also showed a positive
 398 correlation between $\text{ED}_{\text{gonads}}$ of females and males and GSI ($r_s=0.86$, $p<0.001$; $r_s=0.64$,
 399 $p<0.001$, for females and males, respectively). The logarithmic regression of sardine

400 males explained only 25% of the variance, while the logarithmic regression of sardine
401 females explained 72% of the variance (Fig. 8B).



402

403 **Figure 8.** Relationship between the gonadosomatic index (GSI %) and energy density
404 of gonads (ED gonads; KJg⁻¹ wet weight), for anchovy (A) and sardine (B). Females are
405 represented in red and males in blue. Equation and logarithmic regression lines are
406 represented when the spearman correlations are statistical significant (p<0.05).

407 4. Discussion

408 4.1. Annual body condition and energetic changes of anchovy and sardine

409 Anchovy presented inter- and intra-annual variability in the relative body condition
410 index (Kn). In both years analysed, Kn showed higher values in spring, mainly after the
411 peak in Chl-a and in synchrony with the increase in GSI. However, the absence of
412 correlation observed between Chl-a and Kn in anchovy could be a consequence of the

413 temporal lag of phytoplankton-zooplankton phenology succession. The higher values of
414 Kn at the beginning of spring were in accordance with the higher lipid content and ED
415 values observed for anchovy in spring in the present study. These results seem to
416 indicate that anchovy relied in large part on current food intake for reproduction.
417 Therefore, as described in previous studies, anchovy mainly exhibited an income
418 breeder strategy (Brosset et al., 2017; McBride et al., 2015).

419 Anchovy ED, lipid content and Kn showed the lowest values after the spawning season,
420 suggesting that the final balance between energy intake and reproductive costs was
421 negative and led to a deterioration of anchovy body condition. We observed a depletion
422 in lipid content as early as summer before the end of reproduction activity, whereas the
423 decline in ED showed a progressive change with minimum values after the reproduction
424 period. Taking into account that lipids were measured in the muscle and ED in the
425 entire individual, these results suggest that lipids in muscle were the first source of
426 energy to be mobilized for the development of gonads in spring. In previous studies
427 conducted in the Mediterranean Sea (in the Gulf of Lions and the Strait of Sicily), body
428 condition of small pelagic fish was positively related to river run-off, Chl-a, and
429 diatoms and meso-zooplankton concentrations (Basilone et al., 2006; Brosset et al.,
430 2015b). Basilone et al. (2006) noted that the energy gained and stored before the
431 spawning period could affect the reproductive output of anchovy in the Strait of Sicily.
432 Therefore, the low lipid content and ED observed in our study at the end of the
433 reproduction period could reflect unfavourable environmental conditions during the
434 reproduction period in the spawning season of 2012 and 2013. Although in our study it
435 is not possible to determine if the observed pattern is year-specific or is representative
436 of other years, the low lipid and ED values observed are in line with the decline in body

437 condition observed in anchovy in the last decade in the Mediterranean Sea (Albo-
438 Puigserver et al., 2019; Brosset et al., 2017; Van Beveren et al., 2014).

439 On the contrary, all condition indexes (Kn, ED, lipid) measured in sardine had a highly
440 marked seasonality, with inverse patterns between Kn and GSI. The sardine spawning
441 season covered the colder months of the year, peaking between December and February,
442 as already described in previous studies (Palomera, 1992; Palomera et al., 2007;
443 Palomera and Olivar, 1996). During the reproduction period, Kn, ED and lipids were at
444 their lowest values. Rapidly after the end of the reproduction period, coinciding with the
445 spring increase in zooplankton enhanced by strong riverine nutrient input at the Ebro
446 Delta continental shelf (Lloret et al., 2004; Salat et al., 2002), a strong increase in Kn,
447 ED and lipids was observed for sardine. Similar to previous studies (Brosset et al.,
448 2015a; Gantias et al., 2007; Nunes et al., 2011), sardine accumulated energy during the
449 resting period and seemed to supply reproduction costs with stored resources,
450 presenting a clear capital breeding strategy.

451 Nevertheless, Kn in sardine peaked in June, but was not maintained at high levels until
452 the reproduction period, as would be expected in a capital breeder (McBride et al.,
453 2015). In August 2013, Kn was under one while reproductive activity started in October
454 (GSI). In contrast, previous studies of body condition found, that Kn was maintained at
455 a high level until an increase in GSI due to the mobilization of fat reserves for the
456 development of gonads (Brosset et al., 2015b; Gantias et al., 2007; Nunes et al., 2011).

457 Thus, as in the hypothesis proposed for anchovy, the decrease in Kn in sardine before
458 the reproduction period could also be related to unfavourable environmental conditions
459 preventing an accumulation of sufficient energy reserves during spring and summer.

460 Similar patterns of a decline in condition at the end of summer were described for
461 sardine in the Gulf of Lions, and this was attributed to a change in phenology of primary

462 and secondary production (Brosset et al., 2015b). The low energy reserves observed at
463 the beginning of the reproduction period could suggest that sardine may also rely on
464 direct food intake towards the end of the reproduction period. Therefore, sardine would
465 be able to deploy both capital and income breeder strategies as was previously
466 suggested for sardine in the eastern Mediterranean (Ganias, 2009) and Atlantic (Garrido
467 et al., 2007). Also, the low levels of fat reserves that the sardine accumulated prior to
468 the spawning season during our study years could have had an important effect on the
469 quantity or quality of eggs produced during the spawning season, as was demonstrated
470 for the Iberian sardine in Portugal (Garrido et al., 2007). In both species, ED_{gonads} was
471 high for males and females during reproductively active stages with high GSI values,
472 when female oocytes are hydrated and males produce sperm, highlighting the high
473 energetic investment required by reproduction activity in both sexes and similar
474 (Garrido et al., 2008; Wang and Houde, 1994). Short-lived species, could prioritize
475 energy investment in reproduction instead of growth and maintenance, as it has been
476 suggested for sardine and anchovy in the Gulf of Lions (Brosset et al., 2016). Therefore,
477 assessment of GSI and energy invested in gonads is key to understand the changes in
478 life history traits. Changes in GSI and ED with size in anchovy and sardine have been
479 also described. In the Bay of Biscay, a dome shaped relationship in ED with size was
480 found (Gatti et al. 2018). Although, not evaluated here size could be an important factor
481 to include in future studies, particularly in light of the decline in the body condition of
482 sardine and anchovy in the Mediterranean (Albo-Puigserver et al., 2019; Brosset et al.,
483 2017; Van Beveren et al., 2014), and the importance of large females to replenish fish
484 populations (Berneche et al. 2018).

485 **4.2. Indirect and direct condition indices in small pelagic fish**

486 In sardine, both direct methods (% lipid and ED) were highly correlated to the indirect
487 method Kn, and all of them successfully captured the variability in energy reserves
488 between the reproduction and the resting period of sardine (spring-summer and fall-
489 winter, respectively). In anchovy, ED was better correlated to Kn, than % lipid,
490 suggesting that ED and Kn better captured changes in body condition than lipid content.
491 However, we expected a better correlation between Kn and lipid content in the muscle
492 as Kn was calculated from gutted individuals, whereas ED was measured in entire
493 individuals, including mesenteric fat and gonads. Therefore, these result suggest that Kn
494 reflected other changes rather (e.g. changes in protein content) than changes in lipid
495 content only.

496 The better correlation of lipid content to Kn in sardine is explained by the higher
497 variability of lipids in sardine than in anchovy due to their opposite breeding strategies
498 (Albo-Puigserver et al., 2017). Sardine accumulates a high quantity of lipids in the
499 muscle as well as mesenteric fat during the resting period, which are used subsequently
500 for reproduction (Albo-Puigserver et al., 2017; Brosset et al., 2015a; Pethybridge et al.,
501 2014). On the other hand, anchovy accumulates less energy, since the energy gain is
502 used directly for reproduction and less energy is allocated to reserves and also has
503 smaller size at a given age that could imply less energetic requirements (Albo-
504 Puigserver et al., 2017; Gatti et al., 2018). While lipid content analysis of muscle only
505 measures the bulk of lipids in the muscle of the individual, direct calorimetry analysis of
506 entire individuals measures the mesenteric fat and the lipids in gonads. Moreover, also
507 measures changes in other compounds such as proteins, which are usually mobilized
508 when lipids are low. Thus, in fish species that do not accumulate high quantities of
509 lipids, like anchovy, direct calorimetry analysis to obtain ED measurements could
510 provide a more integrative measure of changes in proximate composition than lipid

511 content analysis. These results highlight the importance of validating the indirect
512 condition indices. Similar to our results, Brosset et al., (2015) found a weak correlation
513 between lipid content and Kn of anchovy in the Gulf of Lions, potentially due to
514 changes in protein composition. In our study, we demonstrated that the morphometric
515 index Kn better reflects the seasonal changes in ED than lipid content, and Kn can be
516 used as an indirect measure of ED for both species. Similar to previous studies on other
517 fish species (Schloesser and Fabrizio, 2017), our results support that in species that store
518 high quantities of energy, such as sardine, both lipid content and direct calorimetry are
519 appropriate methods to study body condition variability.

520 In the case of the evaluation of ED in gonads, we observed high variability in ED_{gonad}
521 depending on reproduction stage and sex. This was expected, because lipid content of
522 the gonad increases when the oocytes are hydrated and also the egg quality depends on
523 female lipid content (Garrido et al., 2007, Brosset et al., 2016). For this reason, the
524 correlation between the GSI and the ED_{gonad} was high for anchovy and sardine females
525 and males. This is the first time that the ED of gonads has been assessed in relation to
526 the GSI, and the equation provided could be used for further studies and for
527 bioenergetics models (Pethybridge et al., 2013). Yet, it is important to note that
528 calculating the energy invested in reproduction is difficult since sardine and anchovy are
529 batch spawner species, and the energy measured at a certain moment in time does not
530 correspond to the total energy that will be invested. Moreover, the energy measured in
531 gonads corresponds to energy invested in reproduction, but also in less proportion to
532 gonad structure (Kooijman, 2010). Thus, gonad ED cannot be directly used as a
533 measure of energy allocated to reproduction, but variation in the ED_{gonad} can be used as
534 an indirect measure of changes in energy invested in reproduction, providing a starting

535 point in bioenergetics model parameterisation (Gatti et al., 2017; Pethybridge et al.,
536 2013).

537

538 **5. Conclusions**

539 This study highlights the importance of seasonal energetic variation in small pelagic
540 fish in understanding their population dynamics and the need to validate the methods
541 used to measure body condition. The annual body condition and energetic cycle of both
542 species were related to the temporal lag between spawning seasons and the late winter-
543 early spring phytoplankton bloom as has been described in other Mediterranean areas
544 (Basilone et al., 2006; Brosset et al., 2015b; Pethybridge et al., 2014). In line with the
545 observed energy dynamics of sardine and anchovy in the Gulf of Lions (Brosset et al.,
546 2016), the populations of the Ebro river Delta area presented low energy reserves at the
547 end of summer and beginning of fall. This could support the hypothesis related to
548 changes in the phenology of plankton, as being an important driver of these species
549 declines (Saraux et al., 2019). Therefore, a continuous monitoring of the monthly
550 variability in body condition over several years in relation to changes in environmental
551 parameters is needed to further explore this hypothesis (Albo-Puigserver et al., 2019;
552 Brosset et al., 2017). In addition, the comparison between direct and indirect condition
553 indexes revealed that ED and Kn are the preferable methods to capture seasonal
554 variability in condition for anchovy. For sardine, all direct and indirect methods
555 assessed are suitable for evaluating condition variability. Considering the likely current
556 overexploited stock status of sardine and anchovy in the northwestern Mediterranean
557 Sea (Coll et al., 2019), and the observed decline of body condition in several areas of
558 the basin in the last two decades (Brosset et al., 2017), the continuous evaluation of the
559 life history traits of small pelagic fish is needed to improve the management advice

560 (Lloret et al., 2012). Our study presents important data in this direction, which can be
561 relevant for future comparison. Of special importance is the monitoring of the energy
562 reserves in critical periods (e.g. before the reproduction period) to detect if it recovers or
563 declines in the northwestern Mediterranean Sea.

564

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