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Inhalational delivery of induced pluripotent stem cell secretome

postpneumonectomy lung structure and function

improves

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28 Abstract

29 Cell-free secretory products (secretome) of human induced pluripotent stem cells (iPSCs) have 30 been shown to attenuate tissue injury and facilitate repair and recovery. To examine whether 31 iPSC secretome facilitates mechanically-induced compensatory responses following unilateral 32 pneumonectomy (PNX), litter-matched young adult female hounds underwent right PNX 33 (removing 55-58% of lung units) followed by inhalational delivery of either the nebulized 34 conditioned media containing iPSCs secretome (iPSC CM) or control cell-free media (CFM); inhalation was repeated every 5 days for 10 treatments. Lung function was measured under 35 36 anesthesia pre-PNX and 10 d after the last treatment (8 weeks post-PNX); detailed quantitative 37 analysis of lung ultrastructure was performed postmortem. Pre-PNX lung function was similar 38 between groups. Compared to CFM control, treatment with iPSC CM attenuated the post-PNX 39 decline in DL_{CO} and DM_{CO} , accompanied by a 24% larger postmortem lobar volume and distal 40 air space enlargement. Alveolar double-capillary profiles were 39% more prevalent consistent 41 with enhanced intussusceptive angiogenesis. Frequency distribution of the harmonic mean 42 thickness of alveolar blood-gas barrier shifted towards the lowest values while alveolar septal 43 tissue volume and arithmetic septal thickness were similar, indicating septal remodeling and 44 reduced diffusive resistance of the blood-gas barrier. Thus, repetitive inhalational delivery of 45 iPSC secretome enhanced post-PNX alveolar angiogenesis and septal remodeling that are 46 associated with improved gas exchange compensation. Results highlight the plasticity of the 47 remaining lung units following major loss of lung mass that are responsive to broad-based 48 modulation provided by the iPSC secretome.

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50 **Key words:** induced pluripotent stem cells, secretome, compensatory lung growth, alveolar 51 remodeling, lung diffusing capacity.

52 Noteworthy:

To examine whether the secreted products of human induced pluripotent stem cells (iPSC) facilitate innate adaptive responses following loss of lung tissue, adult dogs underwent surgical removal of one lung, then received repeated administration of iPSC secretory products via inhalational delivery compared to control treatment. Inhalation of iPSC secretory products enhanced capillary formation and beneficial structural remodeling in the remaining lung, leading to improved lung function.

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61 Major lung resection by pneumonectomy (PNX) mimics the consequences of destructive lung 62 disease regardless of specific etiology and is a useful model for studying the mechanisms and 63 adaptive potential of the remaining functioning units. Following right PNX, the markedly 64 increased supra-threshold mechanical stresses on the remaining lung units result in vigorous 65 expansion and compensatory alveolar tissue-capillary growth (5, 7, 37, 38), leading to balanced 66 generation of new acinar structural components, progressive remodeling of existing structure, 67 and eventually augmentation of lung function. Robust post-PNX responses have been 68 documented in multiple species and in both young and adult animals (18); compensation is 69 more complete in young than adult animals, suggesting a need for exploring interventions to 70 amplify the innate response and fully harness the potential plasticity in the adult lung. In the 71 presence of sufficient mechanical signals, active compensatory responses may be 72 pharmacologically augmented; supplementation with individual growth promoters in adult 73 canines enhanced selected aspects of post-PNX structural growth, angiogenesis and acinar and 74 alveolar septal remodeling but not global lung function (4, 6, 34, 51). This "structure-function 75 gap" in response may be the result of a) supra-physiologic and/or skewed pharmacological 76 stimulation, b) inadequate tissue protection from mechanically-induced oxidative stress damage 77 and c) inadequate architectural remodeling. These considerations suggest a need for a broad 78 panel of factors such as those produced by stem cells capable of modulating the myriad 79 homeostatic pathways involved in a balanced interactive response, to maximize the innate 80 potential for compensation and improve lung function.

The role of stem cells during post-PNX compensation remains poorly understood (26, 30). In addition to alveolar type-2 epithelial cells classically considered to be progenitors, putative distal airway progenitor cells also increase in number post-PNX (9) and exhibit age-related proliferative and reparative potential (31). Delivery of exogenous stem cells including induced 85 pluripotent stem cells (iPSCs) has been reported to alleviate acute lung injury and facilitate 86 repair and regrowth (3, 11, 15, 29, 42, 47, 53). However, in vivo delivery of intact stem cells has 87 been limited by a low rate of engraftment and retention, and risks of immunogenicity and 88 tumorigenicity (1, 16, 45). Instead, the modest beneficial effects of stem cell delivery are thought 89 to be mediated mainly through the production of growth factors, cytokines, exosomes and 90 microvesicles, that constitute the secretome (2); therefore, an alternative approach is targeted 91 local delivery of cell-free conditioned media (CM) containing the stem cell secretome. We have 92 shown that tracheal delivery of iPSC CM containing the secretome alleviated bleomycin- and 93 hyperoxia-induced acute lung injury (10, 11); treatment activated endogenous antioxidant 94 proteins, enhanced antioxidant capacity and ameliorated oxidative damage to DNA, lipid, and 95 protein (11).

96 Based on the above observations, we hypothesized that pulmonary delivery of cell-free iPSC 97 secretome protects lung tissue from damage arising from post-PNX mechanical stress and 98 facilitates compensatory growth and remodeling, leading to enhanced function of the remaining 99 lung. To test this hypothesis and establish the feasibility of pulmonary delivery of stem cell 100 products in a large animal model, we nebulized the CM containing well-characterized iPSC 101 secretome (10, 11) for repeated inhalational delivery into the lungs of adult canines over ~8 102 weeks following right PNX. Control animals received cell-free media (CFM) in a similar fashion. 103 Blood oxidative damage markers and lung function were measured before and after PNX, and 104 detailed postmortem lung morphometry was performed. Results show that inhalation of iPSC 105 secretome enhanced post-PNX alveolar angiogenesis and septal remodeling, leading to 106 reduced alveolar-capillary diffusion resistance and improved diffusing capacity in the remaining 107 lung.

108 Methods

109 Animals and experiments The Institutional Animal Care and Use Committee of the University 110 of Texas Southwestern Medical Center approved all procedures. Litter-matched young adult 111 mixed-breed female hounds (total n=13, 10 months old, body weight 18.3 ± 1.1 kg, mean \pm SD), 112 were obtained from approved vendor (Marshall Farms, Rose, NY). A flowchart of experimental 113 design is shown in **Figure 1**.

114 Right pneumonectomy Following completion of baseline (pre-PNX) measurements, the 115 animal underwent right PNX following established procedures (5, 7). Briefly, the animal was 116 premedicated, anesthetized, intubated, and mechanically ventilated. Rectal temperature, heart 117 rate, blood pressure, and transcutaneous O_2 saturation were continuously monitored. Through a 118 small right lateral fifth intercostal space thoracotomy, individual hilar vessel ligation and stapling 119 of the bronchus was performed, covering the bronchus by oversewing adjacent healthy regional 120 tissue. The bronchial stump was checked for leaks and then oversewn with loose hilar tissue for 121 added protection. Lidocaine (1%) was applied to the intercostal nerves and the chest wall 122 closed in layers. Residual thoracic air was evacuated to underwater seal. Supplemental O_2 was 123 administered as needed. Intraoperative fluid administration was minimized (<50 mL). Analgesia 124 (Buprenorphine) was administered postoperatively for 48 h and then as needed. The animal 125 was monitored daily; skin stitches were removed in 7-10 days. The remaining left lobes were 126 estimated to comprise on average 42% of the pre-PNX total lung volume (38).

iPSC conditioned media Production of iPSC CM followed established procedures (10, 11).
Human foreskin dermal fibroblasts were reprogrammed into iPSCs using established protocols
(10). For producing the cell-free iPSC conditioned media (CM), iPSCs (1x10⁶) were grown in
Corning ultra-low attachment flask (75cm²); once the cells formed spheres the culture media
was changed to a serum free media without additional supplements and the cells were grown
for 24 hours. Cell-free CM containing the iPSC secretome was harvested and characterized,

then kept deeply frozen (-80°C) until use. An aliquot (10 mL) was defrosted (4°C) overnight and
 gently vortexed before use. Control cell-free media (CFM) was similarly processed.

135 Inhalational delivery Following PNX and chest wall closure, animals received the nebulized 136 compound (iPSC CM or CFM) before recovery from anesthesia; subsequent treatments were 137 given every 5 days for a total of 10 treatments. For each treatment (6), the animal was fasted 138 overnight and premedicated with acepromazine (0.05 mg/kg IM) and atropine (0.04 mg/kg IM). 139 Anesthesia was induced with propofol (4 mg/kg IV) and maintained with propofol as needed 140 (approximately 0.5-1.0 ml/min). Each animal was intubated and mechanically ventilated in the 141 supine position (16-18 breaths/min, 50/50 inspiration/expiration ratio, tidal volume 10-12 ml/kg). 142 Mouth pressure, O₂ saturation and heart rate were monitored. The animal pre-breathed 100% 143 oxygen in an open circuit for 1-2 min and then were switched to a closed circuit connected to a 144 reservoir bag and a nebulizer (Aerogen Aeroneb Pro, Tri Anim, Sylmar CA). The desired media 145 (10 mL) was nebulized (4 µm droplets) into the inspiratory limb of the breathing circuit and 146 delivered via the tracheal tube (average flow rate 0.4 mL/min, minimum flow rate 0.2 mL/min), 147 followed by nebulization of two 1 mL saline rinses to ensure complete delivery. Oxygen was 148 added to the circuit as needed to keep O_2 saturation above 90%. The entire procedure was 149 complete in 20-30 min.

Biochemical assays Peripheral venous blood (2 mL each) was collected before, during, and after the first two treatments and then at every other treatment. Plasma was used for measurement of oxidative stress markers 8-hydroxy-2'-deoxyguanosine (8-OHdG, Cell Biolabs, San Diego, CA) and 8-isoprostane (Cayman Chemical, Ann Arbor, MI). Serum was used for measurement of total antioxidant capacity (OxiSelect STA-360, Cell Biolabs). Complete blood counts and biochemical panels (Superchem + CBC) were measured pre-PNX and at 1 h, 5 d, 30 d and 55 d post-PNX.

157 Lung function was measured pre-PNX and 55 d post-PNX (10 d Physiological studies 158 after completion of inhalation treatments) (6, 7). The animal was fasted overnight, pre-159 medicated with acepromazine (0.05 mg/kg IM) and atropine (0.04 mg/kg IM). Anesthesia was 160 induced with propofol (4 mg/kg IV) and maintained with intravenous ketamine and diazepam 161 infusion at a dose titrated to effect. Animal was intubated with a cuffed endotracheal tube and 162 mechanically ventilated supine (tidal volume 10-12 ml/kg, 16-18 breaths/min) to eliminate 163 spontaneous breathing effort. Rectal temperature, heart rate, transcutaneous O₂ saturation, and 164 mouth and esophageal pressures, were monitored. Static transpulmonary pressure-lung volume 165 (PV) curves were measured using a calibrated syringe inflating the lungs to 15, 30,45, and 60 166 ml/kg above end-expiratory lung volume (EELV), or up to a transpulmonary pressure of 30 cm 167 H₂O, in increasing and then decreasing order. End-inspiratory and end-expiratory lung volume 168 (EILV), pulmonary blood flow, lung diffusing capacity for carbon monoxide (DL_{co}) and nitric 169 oxide (DL_{NO}), and septal tissue (including microvascular blood) volume were measured 170 simultaneously using an established rebreathing technique (4, 23) at two inspired O₂ 171 concentrations (21% and 99%) and a lung volume of 45 ml/kg above EELV. The components of 172 DL_{co} : membrane diffusing capacity (DM_{co}) and pulmonary capillary blood volume (V_c), were calculated from DL_{CO} measurements obtained at the two alveolar O₂ levels using established 173 174 methods (19, 39). Duplicate measures under each condition were averaged. PV curves were 175 analyzed using established methods (32, 41). Specific lung compliance was calculated from the 176 changes in lung volume and transpulmonary pressure between 10 and 30 cm H₂O and 177 normalized by the lung volume at 10 cm H_2O_{-}

Lung fixation Under deep anesthesia, a tracheostomy was performed; a cuffed endotracheal tube was inserted and tied securely. The chest was opened via a left lateral thoracotomy. An overdose of pentobarbital (120 mg/kg IV) was administered and the remaining lung re-inflated within the thorax by tracheal instillation of 2.5% buffered glutaraldehyde at a hydrostatic pressure of 25 cmH₂O above the sternum. After the flow of fixative ceased, the tracheal tube was closed to maintain airway pressure. The lungs were removed intact, immersed in buffered 2.5% glutaraldehyde, floated on a water bath, and stored at 4°C for at least 4 weeks before processing.

186 *Lung morphometry* Volume of the left caudal lobe was measured by saline immersion; then 187 the lobe was serially sectioned (2 cm thickness). Volume of the sectioned stress-free lobe was 188 measured using the Cavalieri Principle, an established method of measuring the volume of 189 irregularly shaped objects. The total area of serial slices is estimated by point counting, then 190 multiplied by slice thickness to yield lobe volume (52). An unbiased systematic random sampling 191 scheme was used to select 8 blocks per lobe (21). Sectioned slices were arranged on a tray in 192 the same orientation with a grid overlay. From a random start, tissue samples (1.5 cm in each 193 dimension) were systematically selected at a fixed interval along grid points (4 each at 194 subpleural and interior locations). Tissue blocks were post-fixed with 1% osmium tetroxide in 195 0.1M cacodylate buffer, treated with 2% uranyl acetate, dehydrated through graded alcohol, and 196 embedded in Spurr resin (Electron Microscopy Sciences, Hartfield, PA). The other remaining 197 lobes were processed separately for other studies.

198 An established stratified analytical scheme (21) was used under low and high power light 199 microscopy (LM; 275x and 550x) and transmission electron microscopy (TEM; ~16,000x). For 200 LM, each block was sectioned (1µm) and stained (toluidine blue). One section per block was 201 overlaid with a test grid. At 275x, at least 20 non-overlapping fields were systematically sampled 202 from a random start. Excluding the structures between 20 µm and 1 mm in diameter; the volume 203 densities of fine parenchyma, alveolar sac and alveolar duct were estimated using point 204 counting. At 550x, at least 20 non-overlapping fields were systematically sampled to estimate 205 the volume density of alveolar septa. For TEM, each block was sectioned (70 nm) and mounted 206 on copper grids. Each grid was examined at ~16,000x (JEOL EXII). At least 30 non-overlapping

fields per grid were systematically sampled. Volume densities of epithelium type I and II, interstitium, endothelium and capillaries were estimated using point counting with alveolar septum as the reference space. Surface densities of alveolar epithelial and capillary were estimated using intersection counting (21). At least 300 points or intersections were counted per grid. Harmonic mean barrier thickness of the blood-gas barrier (τ_{hb}) was measured from the lengths of intercept lines between alveolar surface and erythrocyte membrane.

Absolute volumes and surface areas of individual structures were calculated from the products of fractional quantities estimated at each level. Prevalence of double capillary profiles, an index of intussusceptive capillary formation (8, 22, 38), was calculated by completely sampling 2 grids under TEM (~2,500x) and expressed as a ratio of (double capillaries)/(total number of capillaries).

Statistical analysis Results (mean \pm SD) were normalized by body weight where appropriate. Pressure-volume curves and temporal changes (pre- to post-PNX) between treatment groups were compared by factorial and/or repeated measures ANOVA with post hoc test by Fisher's protected least significant difference (STATVIEW v.5.0). Morphometric parameters were compared between groups by unpaired t test. A p value ≤ 0.05 was considered significant.

223 Results

One animal in in iPSC CM group died from acute post-operative pulmonary bleeding; one animal in CFM group was terminated due to post-operative vomiting and weight loss. The remaining 11 animals (6 iPSC CM, 5 CFM) completed the study without complications. Physiological data are summarized in **Table 1 and Figures 2-3**. Body weight, systemic hematocrit and pre-PNX lung function were similar between groups (**Table 1**). In both groups, post-PNX static lung volume at a given transpulmonary pressure was lower (**Figure 2**) while specific lung compliance was similarly unchanged compared to pre-PNX (**Table 1**). During

231 rebreathing, mean alveolar O₂ tension and septal tissue volume were unchanged pre- to post-232 PNX. Mean lung volumes were similarly maintained post-PNX in both groups (Figure 3A-B). 233 Pulmonary blood flow decreased post-PNX in CFM group and changed variably in iPSC CM 234 group (Figure 3C). Post-PNX DL_{CO} declined in both groups; the magnitude of decline from pre-235 PNX in iPSC CM group (29%) was attenuated compared to that in the CFM group (48%, 236 p=0.026) by paired analysis (**Figure 3D**). In a similar pattern, DM_{CO} declined pre- to post-PNX in 237 CFM group (42%, p=0.04) whereas the post-PNX decline in iPSC CM group was less and not 238 significantly different from pre-PNX (14%, p=0.19) (Figure 3E). Baseline Vc was highly variable 239 in CFM group and pre-to-post-PNX paired comparison did not reach significance (p=0.19) 240 (Figure 3F). In iPSC group, pre-PNX Vc was less variable and declined consistently post-PNX 241 (p=0.03). The average Vc magnitude did not differ significantly between groups pre- or post-242 PNX (p=0.15 and 0.44, respectively) and the average decline (post/pre-PNX ratio) also did not 243 differ (0.68 vs. 0.60 in CFM and iPSC groups, respectively, p=0.69).

244 Plasma oxidative damage markers and total antioxidant capacity (Figure 4A to 4C) increased 245 post-operatively then declined at different rates. Plasma 8-OHdG, a marker of DNA oxidative 246 damage, steadily increased up to four-fold post-PNX, peaking around day 10 then slowly 247 declined but still remained elevated at 55 d (Figure 4A). Plasma 8-isoprostane, a marker of lipid 248 oxidation, increased only mildly post-PNX then declined below pre-PNX baseline (Figure 4B). 249 Total antioxidant capacity also increased modestly post-PNX then returned to baseline in ~10 250 days. At this arbitrary secretome dose these profiles did not differ significantly between 251 treatment groups.

Morphometric results are available in 5 animals per group (**Tables 2-4** and **Figures 5-7**). The lung from one animal (iPSC CM group) was excluded due to inadequate inflation and fixation. In the iPSC CM group, volume of the remaining left caudal lobe was 26% larger (p<0.05) compared to the control group (p=0.04) due to larger alveolar ducts and sacs (**Table 2, Figure**

256 6A-6B) as shown by representative micrographs (Figure 5). Volume and surface densities 257 (Table 3) and absolute volumes and surface areas (Table 4) of septal tissue and capillary 258 components did not differ between groups. With iPSC the alveolar surface area was 21% higher 259 but did not reach statistical significance (p=0.09) (Table 3, Figure 6C). The prevalence of 260 double capillary profiles was significantly (39%) higher in the iPSC CM group (p=0.006) (Table 261 4, Figure 6D), consistent with enhanced intussusceptive capillary formation. The frequency 262 distribution of harmonic mean blood-gas barrier thickness (τ_{hb}) shifted significantly towards the 263 lowest value category (Figure 7), suggesting remodeling and re-arrangement of septal 264 constituents and reduced barrier resistance to diffusion while the arithmetic thickness of alveolar 265 septum was similar between groups (Table 2). The combined results indicate larger volume and 266 air spaces, enhanced intussusceptive alveolar capillary formation, and septal remodeling that 267 reduced barrier resistance to diffusion in secretome-treated lungs. These changes are 268 associated with a 25% higher estimated morphometric diffusing capacity of the tissue-plasma 269 barrier (DB₀₂) (p=0.068) (**Table 4**) and correspond to the better preservation of post-PNX whole 270 lung DL_{CO} measured by a physiological method (Figure 3D).

271 **Discussion**

272 This is the first report to establish the feasibility and Summary of the main findings 273 efficacy of inhaled iPSC-derived cell-free secretome for enhancing post-PNX compensation in a 274 large animal model. Pre-PNX lung function was similar between groups. Post-PNX plasma 8-275 OHdG level remained elevated for 55 d post-PNX, indicating persistent DNA oxidative stress 276 while plasma 8-isoprostane and total antioxidant capacity levels increased mildly and 277 transiently; the temporal profiles did not differ between groups at this treatment dose. In 278 secretome-treated compared to control animals, physiologic whole lung volume measured at 279 near total lung capacity was similar. However, postmortem stress-free volume of the caudal lobe 280 was 26-30% larger in secretome-treated animals. Differences between antemortem and

281 postmortem volumes suggest dynamic extra-pulmonary factors, e.g., thoracic and/or 282 diaphragmatic restriction, that limited lung expansion in the living animal. Secretome-treated 283 animals exhibited increased intussusceptive alveolar angiogenesis (double capillaries) and 284 septal remodeling with enlarged terminal airspaces and reduced barrier resistance to diffusion; 285 absolute alveolar surface area was also higher without reaching statistical significance. These 286 structural changes resulted in a 25% higher conductance of the blood-gas barrier (borderline 287 significance), which is consistent with physiological findings of better preservation of DM_{CO} and 288 a 23% higher physiologic DL_{co} in post-PNX animals treated with iPSC CM. We conclude that 289 repetitive inhalational delivery of iPSC secretome enhanced endogenous post-PNX 290 angiogenesis and acinar and alveolar septal remodeling leading to modest and significant 291 improvement in gas exchange compensation. These findings highlight the plasticity of adult lung 292 units that remain following destructive processes and the responsiveness of these units to 293 broad-based modulation provided by iPSC secretome.

294 Critique of the methods Both iPSCs (10, 11, 33, 44) and mesenchymal stem cells (MSCs) 295 (12, 27-29, 49) or their secretome possess injury-alleviating and tissue regenerative potential. A 296 Phase I trial of systemic infusion of allogenic MSCs on aging frailty showed promising results 297 compared to placebo (13); however, more studies are needed in this and other conditions. Here 298 we chose to test a cell-free iPSC secretome preparation for enhancing post-PNX compensation; 299 the preparation has been characterized and shown to alleviate experimental lung injury (10, 11, 300 44). The iPSCs may be derived from readily available sources, e.g., human dermal fibroblasts, 301 and can differentiate into a variety of cell types including organ-specific MSCs (43). Other cell 302 types, e.g., fibroblasts, may secrete some of the same ingredients but lack the overall injury-303 alleviating capacity of iPSCs (10, 11). There are no direct comparisons between iPSCs and 304 MSCs for post-PNX compensation; this comparison is beyond the scope of our report but may 305 be pursued in the future. The inhalational approach (6) and physiologic and morphometric assessment (21) are established. An earlier canine study of post-PNX inhalation treatment of exogenous erythropoietin documented the delivery of exogenous protein to the caudal lobe (6) and showed that alveolar septal changes and the magnitude of enhancement of angiogenesis were comparable among all post-PNX remaining lobes; structural response in the caudal lobe was representative of that of the remaining lung (6, 7). In the current study, the largest remaining lobe (left caudal, ~55% of total left lung volume) was sampled for morphometry. Both subpleural and central lobar regions were sampled.

313 The derived components of DL_{CO} (DM_{CO} and Vc) are interdependent quantities that typically 314 exhibit larger variability than DL_{co}. In CFM group post-PNX DM_{co} declined 42% (p=0.04 vs. pre-315 PNX) whereas in iPSC CM group the decline was less (14%) and the pre-to-post-PNX paired 316 values were not significantly different (p=0.19), suggesting that iPSC CM minimized post-PNX 317 decline in DM_{co}, i.e., a similar pattern as DL_{co}. Vc is sensitive to hemodynamic fluctuations. 318 exhibiting a variable baseline in CFM group that hampered inter-group comparisons. 319 Nevertheless, post-PNX Vc was less variable and reached similar levels in both groups 320 consistent with morphometric results where the average postmortem alveolar capillary blood 321 volume also did not differ significantly between groups (p=0.82) (**Table 4**). Variability in baseline 322 Vc does not alter the conclusion that DL_{co}, the primary measure of diffusive gas exchange, was 323 better preserved in post-PNX animals treated with iPSC CM.

Two plasma biomarkers, 8-isoprostane and 8-OHdG, that reflect oxidative stress damage to lipid and DNA, respectively, and are known to increase post-PNX (6), were measured along with total antioxidant capacity. Plasma 8-OHdG was persistently elevated at 55 d post-PNX (**Figure 4A**), indicating ongoing DNA oxidative stress most likely related to the still increased mechanical stresses on the remaining lung. Pulmonary delivery of iPSC secretome attenuates oxidative damage in acute hyperoxic lung injury (11); a higher dose or more frequent dosing may be needed to attenuate persistent post-PNX mechanically induced oxidative stress. The optimal 331 dose-response relationships remain to be determined. Treatment duration (~8 weeks) spanned 332 the early post-PNX period marked by active cell proliferation and matrix deposition; progressive 333 architectural remodeling and further gains in lung function continue well beyond this period (20, 334 55, 56). Therefore, a longer period of therapy and monitoring will be needed to assess the 335 ultimate outcome. Physiological assessment was made under anesthesia; the responses while 336 awake or during exercise may differ. This study used female animals; sex differences in 337 response to PNX are largely explained by body size (Dane, Kernstine, Hsia, unpublished data). 338 Further studies will be required to determine any sex differences in response to iPSC secretome 339 treatment.

340 Mechanically induced post-PNX lung growth and remodeling Post-PNX increases in lung 341 volume, perfusion and blood volume of the remaining lobes transduce compensatory responses 342 (5, 7, 37, 59). We previously documented a threshold, optimal range and upper limit of post-343 PNX compensatory response, summarized in (38). Following left PNX (42% of lung units 344 removed), most of the remaining lobes compensated via recruitment of existing alveolar-345 capillary reserves without new tissue growth, except the right infracardiac lobe that underwent 346 the largest expansion across the midline anterior and caudal to the cardiac fossa with nearly 347 two-fold increases in tissue-capillary volumes. Following right PNX (58% resection), mechanical 348 stress on the remaining lobes exceeded a growth-stimulating threshold and all the remaining 349 lobes exhibited 2 to 2.5 fold increase in alveolar septal components. Following bilateral 350 resection removing up to 70% of lung units (~35% each side) the remaining lobes exhibited 351 significant though diminished alveolar-capillary growth than that after 58% resection, suggesting 352 that an optimal stimulus-response range was exceeded.

353 *Interventions to augment compensatory lung growth* Attempts to enhance innate post-354 PNX responses using individual growth promoters in rodent models led to mixed cellular and 355 structural effects (14, 24, 25, 40, 57) and few studies assessed the functional outcome on gas 356 exchange. In our earlier canine studies (4, 6, 34, 51, 54), oral all-trans retinoic acid significantly 357 enhanced active alveolar re-growth after right PNX (34, 51) but had no effect after left PNX (50), 358 suggesting that pharmacological agents modify active mechanically-induced lung growth but 359 cannot re-initiate growth de novo in the absence of sufficient mechanical stimuli. Delivery of 360 retinoic acid or recombinant erythropoietin enhanced post-PNX alveolar double-capillary 361 formation, consistent with the notion of intussusceptive angiogenesis as an essential event in 362 compensatory lung growth (22). Retinoic acid augmented post-PNX volumes of alveolar type-1 363 epithelium, interstitial collagen and matrix, endothelium and pulmonary capillary blood, but the 364 volume increase in type-2 epithelium lagged behind; structural distortion developed with thicker 365 alveolar septa and basement membrane and smaller air spaces indicating inadequate 366 remodeling (4, 34, 51). Erythropoietin possesses potent cytoprotective and pro-angiogenic 367 properties; inhaled recombinant human erythropoietin improved post-PNX in vivo distribution of 368 pulmonary blood flow (54) and abrogated oxidative stress damage (6), but exerted only minor 369 effects on extravascular alveolar tissue compartments. In spite of enhancing certain aspects of 370 the post-PNX response, neither of the above agents augmented lung function above that in 371 vehicle-treated post-PNX control animals. This "structure-function gap" in response to 372 pharmacological intervention is not surprising as each agent can stimulate only a subset of 373 interacting growth-related pathways, and may elicit supra-physiologic responses causing 374 distortion at micro- and macro-scopic levels. Furthermore, the selective structural alterations 375 were not accompanied by appropriate acinar or alveolar septal remodeling to optimize gas 376 exchange efficiency. This structure-function gap provides rationale for a cocktail approach such 377 as that offered by the iPSC secretome consisting of a broad panel of counter-acting mediators 378 capable of supporting balanced modulation of post-PNX adaptation without distortion to 379 ultimately attain functional benefit.

380 The iPSC secretome Delivery of stem cell secretome has been called an empirical "shotgun" 381 approach; yet this approach directly and mechanistically addresses the pre-requisite for useful 382 lung growth and remodeling leading to functional compensation, i.e., the need for balanced 383 physiologic modulation of all relevant mediator pathways and gas exchange structures with 384 minimal distortion. Few studies focused on iPSC secretome; our preparation has been 385 characterized by proteomic analysis, and found to contain >1,200 proteins (10, 11) including a 386 markedly enriched α Klotho content (10-25 fold of that in normal serum). α Klotho is an essential 387 cell maintenance and cytoprotective protein with pleiotropic actions including anti-apoptosis and 388 potent antioxidation via activation of the nuclear factor (erythroid 2)-related factor 2 (Nrf2) 389 network of endogenous antioxidant proteins (11, 35, 36). The lung normally does not express 390 α Klotho (58) but depends on kidney-derived circulating α Klotho for cytoprotection (35, 36). 391 Immunodepletion of a Klotho from iPSC CM reduced in vitro cytoprotective effects of iPSC CM 392 by ~50% (11). Tracheal delivery of this secretome preparation alleviated bleomycin and 393 hyperoxia induced acute lung injury compared to fibroblast conditioned media (10, 11), 394 enhanced total antioxidant capacity, ameliorated oxidative damage to DNA, lipid, and protein, 395 and broadly activated endogenous antioxidant proteins (11). Others reported that iPSCs or their 396 secretory products reduced pro-inflammatory and pro-fibrotic cytokines and chemokines (17) 397 and iPSC-derived exosomes/microvesicles protected against cardiac ischemia/reperfusion 398 injury (46). Gene network analysis of lung interstitial macrophages from bleomycin-injured rats 399 treated with this secretome preparation demonstrated modulation of multiple pathways involved 400 in immunomodulation, branching morphogenesis and canonical Wnt signaling (44). Our data 401 support the benefit of *intact iPSC secretome* in modulating the manifold adaptive mechanisms 402 required for compensatory alveolar angiogenesis and remodeling to facilitate more efficient 403 diffusive gas exchange. iPSC-derived cytoprotective factors such as α Klotho may have 404 permitted fuller expression of the innate compensatory potential of the remaining lung.

405 Comparisons between the single-agent and our broad-based interventional approaches offer 406 several useful insights:

407 1) Pharmacological augmentation of the formation of new alveolar tissue-capillary elements
 408 alone is insufficient for achieving functional benefit unless the increase in these elements is
 409 accompanied by appropriate architectural remodeling to optimize gas exchange.

Since lung growth and regeneration involve multiple dynamic processes, a broad-based cocktail approach is superior to single or a few agents for bridging the structure-function gap in attempts to amplify innate adaptive responses. This general concept is analogous to the "cocktail" therapy routinely used in cancer chemotherapy to broadly target the myriad factors that promote tumor growth and spread.

415 3) Individual secretome-induced structural modulations may be modest or borderline 416 significant, but assume cumulative significance with respect to global lung function. For 417 example, the 5% lower (p<0.05) average harmonic mean barrier thickness (τ_{hb}) in secretome-418 treated lungs was due to a preferential shift in the frequency distribution of τ_{hb} to the thinnest 419 part of the barrier (a 9% increase, p=0.02, Figure 7). This modest shift disproportionally 420 increases gas transfer efficiency, because alveolar gas conductance is proportional to the 421 reciprocal of τ_{hb} (48) and >90% of alveolar gas exchange takes place across the thinnest part of 422 the septa while the thicker parts mainly provide physical support. Combined with the 17-20% 423 higher (p=0.09) alveolar-capillary surface areas, these changes could account for a 25% higher 424 morphometric estimate of barrier conductance (p=0.068) and a 23% higher physiologic DL_{CO} 425 (p=0.04) in animals treated with iPSC CM compared to CFM over ~8 weeks. These are very 426 reasonable rates of improvement considering the need to maintain balanced structure-function 427 responses and minimize distortion throughout all regions of a large stratified lung.

428 Conclusions Previous attempts to amplify post-PNX compensatory responses were partially 429 successful but identified an important challenge of structure-function dissociation, i.e., individual 430 exogenous growth promoters augmented selective aspects of tissue-capillary growth but not 431 architectural remodeling or functional outcome. We report here that inhalation of a well-432 characterized cell-free iPSC secretome preparation overcame this dissociation by enhancing 433 post-PNX angiogenesis and alveolar remodeling leading to more efficient gas exchange. These 434 novel findings reinforce the concept that post-PNX compensation is a highly orchestrated multi-435 phasic process involving myriad pathways and mediators many of which are not classically 436 considered to be growth promoters. In addition to mechanically stimulated cell proliferation and 437 tissue-capillary growth, progressive structural remodeling minimized resistance of the diffusion 438 barrier, a critical requirement for achieving functional compensation. Innate post-PNX 439 compensation may be augmented by supplementing with iPSC secretome composed of broad-440 based mediators in a physiologically relevant cocktail. These results established the feasibility 441 and efficacy of inhalational delivery of iPSC secretome in the canine model.

442 Many aspects of this emergent approach require further investigation, including characterization 443 and optimization of secretome composition and bioactivity, elucidation of the mechanisms of 444 action and interaction among the components, and determination of the degrees to which the 445 major components contribute to the observed effects. Our premise is that the entire secretome 446 is responsible for coordinated enhancement of post-PNX angiogenesis and remodeling required 447 for translating post-PNX tissue growth into functional gain; future studies may compare intact 448 secretome with subfractions such as exosomes and microvesicles. Unlike the short-term use of 449 secretome in acute lung injury, the post-PNX remaining lung undergoes progressive remodeling 450 over many months with gradual functional improvement (56), and may be susceptible to 451 secretome action throughout this period as the existing acinar scaffold is slowly modified. 452 Therefore, sustained modest and balanced modulation is preferable to acute supra-physiologic

453 skewed stimulation. Prolonged secretome therapy may be needed to maximize long-term 454 structural and functional gain and facilitate realization of the full innate compensatory potential. 455 Measurement of lung function during exercise may accentuate treatment effects. Impact of the 456 secretome delivery approach extends beyond the PNX model to regenerative therapy for 457 parenchymal lung diseases irrespective of the specific etiology.

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464 **Conflict of interest**

465 The authors have no conflict of interest to declare.

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Figure 1. Timeline of the studies. CFM: cell-free media; iPSC CM: iPSC conditioned media.

Figure 2. Lung volume-transpulmonary pressure relationship pre- and post-PNX. Lung
volume at a given transpulmonary pressure was similarly lower post-PNX compared to pre-PNX
in animals treated with cell-free media (CFM) or iPSC conditioned media (iPSC CM). Mean ±
SD. Repeated measures ANOVA. Number of animals: 5 CFM, 6 iPSC CM.

664 Figure 3. Lung function pre- and post-PNX in animals treated with cell-free media (CFM) or 665 iPSC conditioned media (iPSC CM). A. End-inspiratory lung volume (EILV). B. End-expiratory 666 lung volume (EELV). C. Pulmonary blood flow. D. DL_{CO} measured at an inflation volume of 45 667 ml kg⁻¹ and inspired O_2 concentration of 21% was expressed under standard conditions 668 (hematocrit = 0.45, alveolar PO_2 =120 mmHg). E. Membrane diffusing capacity (DM_{CO}). F. 669 pulmonary capillary blood volume (Vc). Box: Mean ± SD; whiskers extend to maximum and 670 minimum values. Factorial and repeated measures ANOVA. Number of animals: 5 CFM, 6 iPSC 671 CM.

Figure 4. Changes (post/pre-PNX ratio) in plasma biomarkers. (A) 8-hydroxy-2'deoxyguanosine (8-OHdG), (B) 8-isoprostane, and (C) total antioxidant capacity (copper reducing equivalents) in animals treated with cell-free media (CFM) or iPSC conditioned media (iPSC CM). Mean \pm SD. Symbols for p<0.0.5 with respect to time post-PNX: \dagger vs. Pre-PNX, \ddagger vs. 1 h post-PNX (0 d), § vs. 5 d, # vs. 10 d, @ vs. 20 d, ¶ vs. 30 d, \$ vs. 40 d by factorial ANOVA. Overall comparison between treatment groups by repeated measures ANOVA: (A) p=0.69, (B) p=0.39, and (C) p=0.25. Number of animals: 5 CFM, 6 iPSC CM.

Figure 5. Representative distal lung morphology under light and electron microscopy in postPNX animals treated with cell-free media (CFM) or iPSC conditioned media (iPSC CM),

illustrating a thinner septal tissue layer in iPSC CM group on the "thin side" of the blood-gas barrier where the bulk of alveolar gas exchange takes place. Top panels: bar = 50 μ m. Lower panels: bar = 2 μ m.

Figure 6. Morphometric results in the left caudal lobe in post-PNX animals treated with cellfree media (CFM) or iPSC conditioned media (iPSC CM). (**A**) Total lobe volume (intact or serial sectioned). (**B**) Volume of alveolar sacs and ducts. (**C**) Alveolar surface area. (**D**) Prevalence of alveolar double capillary profiles. Box: Mean ± SD; whiskers extend to maximum and minimum values. P values indicate iPSC CM vs. CFM by unpaired t-test. Five animals per group.

689 Figure 7. Frequency distribution of harmonic mean thickness of the tissue-plasma barrier

690 in post-PNX animals treated with cell-free media (CFM) or iPSC conditioned media (iPSC CM).

691 Box: Mean ± SD; whiskers extend to maximum and minimum values. P values shown indicate

692 iPSC CM vs. CFM in each barrier thickness category by unpaired t-test. Overall comparison

693 between treatment groups by repeated measures ANOVA (p=0.16). Five animals per group.

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Table 1. Lung function

| Group | СҒМ | | | | | iPSC CM | | | | | | P value | | |
|--|-------|--------|-------|-----|--------|---------|---|------|----------|---|---------|--------------|------------|-----------|
| PNX | PRE- | PNX | PO | ST- | -PNX | PRE-PNX | | | POST-PNX | | | vs. Group | vs. PNX | Group*PNX |
| Ν | 5 | | 5 | | | 6 | | | 6 | | | | | • |
| Body weight, kg | 18.4 | ± 1.6 | 18.5 | ± | 2.1 | 18.2 | ± | 0.3 | 18.5 | ± | 1.8 | 0.87 | 0.78 | 0.83 |
| Hematocrit, %, sedated | 42.8 | ± 1.8 | 39.9 | ± | 3.2 | 42.0 | ± | 2.7 | 40.0 | ± | 2.8 | 0.78 | 0.048 | 0.68 |
| Specific lung compliance, $mL \cdot (cmH_2O \cdot L)^{-1}$ | 23.0 | ± 5.8 | 27.7 | ± | 5.9 | 22.0 | ± | 2.4 | 24.5 | ± | 5.1 | 0.32 | 0.13 | 0.63 |
| Alveolar PO_2 breathing 21% O_2 , mm Hg | 125.3 | ± 36.4 | 129.3 | ± | 19.5 | 128.8 | ± | 30.9 | 135.9 | ± | 18.5 | 0.70 | 0.60 | 0.88 |
| Alveolar PO_2 breathing 99% O_2 , mm Hg | 664.7 | ± 14.3 | 669.9 | ± | 4.0 | 663.1 | ± | 4.8 | 670.1 | ± | 4.4 | 0.86 | 0.12 | 0.77 |
| End-expiratory lung volume, mL·kg ⁻¹ | 55.7 | ± 11.9 | 49.0 | ± | 9.1 | 52.5 | ± | 10.6 | 50.1 | ± | 16.4 | 0.85 | 0.38 | 0.67 |
| End-inspiratory lung volume, mL·kg ⁻¹ | 113.8 | ± 12.0 | 100.2 | ± | 6.8 # | 110.7 | ± | 10.6 | 108.4 | ± | 15.6 | 0.68 | 0.08 | 0.19 |
| Pulmonary blood flow, mL·(min·kg) ⁻¹ | 184.5 | ± 35.8 | 129.2 | ± | 11.6 † | 146.8 | ± | 28.8 | 140.4 | ± | 70.8 | 0.45 | 0.17 | 0.26 |
| DL _№ , mL·(min·mm Hg·kg) ⁻¹ | 3.46 | ± 1.25 | 2.61 | ± | 0.46 | 3.22 | ± | 0.54 | 2.58 | ± | 0.64 | 0.65 | 0.07 | 0.78 |
| DL _{co} measured, mL·(min·mm Hg·kg) ⁻¹ | 1.01 | ± 0.16 | 0.50 | ± | 0.06 † | 0.87 | ± | 0.04 | 0.58 | ± | 0.11 † | 0.60 | <.0001 † | 0.004 ‡ |
| DL _{CO-std} , mL·(min·mm Hg⋅kg) ⁻¹ | 1.08 | ± 0.14 | 0.56 | ± | 0.12 † | 0.99 | ± | 0.13 | 0.69 | ± | 0.10 *† | 0.71 | <.0001 † | 0.04 ‡ |
| DM _{co} , mL·(min·mm Hg·kg)⁻¹ | 1.68 | ± 0.35 | 0.93 | ± | 0.44 † | 1.53 | ± | 0.28 | 1.25 | ± | 0.20 | 0.51 | 0.01 † | 0.17 |
| Capillary blood volume, mL·kg ⁻¹ | 6.00 | ± 3.34 | 3.03 | ± | 0.91 | 4.82 | ± | 1.38 | 2.65 | ± | 0.69 † | 0.22 | 0.02 † | 0.68 |
| Septal tissue volume, mL·kg ⁻¹ | 6.62 | ± 1.70 | 6.60 | ± | 2.65 | 5.96 | ± | 3.01 | 6.14 | ± | 3.85 | 0.66 | 0.95 | 0.94 |

Mean±SD. Repeated measures ANOVA: * p<0.05 vs. CFM group post-PNX. † p<0.05, # p=0.06 vs. corresponding Pre-PNX group. ‡ p<0.05 Group*PNX interaction. Specific lung compliance was measured at transpulmonary pressure between 10 and 30 cm H₂O, normalized by lung volume at 10 cm H₂O. DL_{CO} was measured at 45 mL/kg inflation volume. DL_{CO-std}: results were expressed at standardized conditions (hematocrit = 0.45 and alveolar PO₂ =120 mmHg).

Table 2. Morphometric Data

| | CFM | iPSC CM | P value |
|--|-------------|-----------------|---------|
| Number of animals | 5 | 5 | |
| Terminal body weight (kg) | 18.5 ± 2.1 | 18.6 ± 1.9 | 0.902 |
| Total lobar volume, mL·kg ⁻¹ | | | |
| Intact (Immersion method) | 38.2 ± 6.2 | 47.0 ± 4.0 | * 0.028 |
| Sectioned (Cavalieri method) | 30.9 ± 3.9 | 38.5 ± 4.3 | * 0.020 |
| Morphometric hematocrit, % | 43.0 ± 2.4 | 44.4 ± 2.4 | 0.357 |
| Arithmetic mean septal thickness, µm | 4.73 ± 0.56 | 4.41 ± 0.49 | 0.356 |
| Harmonic mean barrier thickness, ($	au_{hb}$), μm | 0.97 ± 0.03 | 0.92 ± 0.03 | * 0.046 |
| Double capillary profiles, % | 3.52 ± 0.70 | 4.90 ± 0.44 | * 0.006 |

Mean \pm SD. * p<0.05 iPSC CM vs. CFM by unpaired t test.

| | C | FM | iPSC CM | p value | | | | |
|---|--------|----------|---------------------|---------|--|--|--|--|
| | | | | | | | | |
| Coarse parenchyma | 0.8870 | ± 0.0234 | 0.9039 ± 0.0154 | 0.213 | | | | |
| Fine parenchyma | 0.8723 | ± 0.0225 | 0.8914 ± 0.0133 | 0.141 | | | | |
| Respiratory bronchioles | 0.0286 | ± 0.0096 | 0.0292 ± 0.0049 | 0.904 | | | | |
| Alveolar sac | 0.6370 | ± 0.0165 | 0.6620 ± 0.0148 | * 0.035 | | | | |
| Alveolar duct | 0.1211 | ± 0.0175 | 0.1267 ± 0.0079 | 0.536 | | | | |
| Septum (tissue+blood) | 0.0982 | ± 0.0095 | 0.0886 ± 0.0141 | 0.246 | | | | |
| Total epithelium | 0.0166 | ± 0.0011 | 0.0156 ± 0.0027 | 0.467 | | | | |
| Type I epithelium | 0.0101 | ± 0.0012 | 0.0094 ± 0.0022 | 0.555 | | | | |
| Type II epithelium | 0.0065 | ± 0.0005 | 0.0062 ± 0.0015 | 0.677 | | | | |
| Interstitium | 0.0232 | ± 0.0048 | 0.0210 ± 0.0037 | 0.438 | | | | |
| Collagen fibers | 0.0184 | ± 0.0031 | 0.0163 ± 0.0032 | 0.320 | | | | |
| Cells and matrix | 0.0049 | ± 0.0022 | 0.0048 ± 0.0011 | 0.924 | | | | |
| Endothelium | 0.0118 | ± 0.0022 | 0.0122 ± 0.0031 | 0.828 | | | | |
| Septal Extravascular tissue | 0.0517 | ± 0.0068 | 0.0489 ± 0.0087 | 0.583 | | | | |
| Capillaries | 0.0465 | ± 0.0136 | 0.0398 ± 0.0093 | 0.391 | | | | |
| Surface area per unit lung volume, cm ⁻¹ | | | | | | | | |
| Alveolar Surface | 416 | ± 18 | 403 ± 57 | 0.643 | | | | |
| Capillary Surface | 387 | ± 24 | 366 ± 51 | 0.428 | | | | |

Table 3. Volume-to-Volume and surface-to-volume ratios of alveolar structures

Mean±SD. * p<0.05 IPS vs. CFM by unpaired t test.

| | C | CFN | | iPS | сс | P value | | | | | | |
|--|---------|-----|---------|-----------------|----|---------|---|-------|--|--|--|--|
| Volume, ml·kg ⁻¹ | | | | | | | | | | | | |
| Coarse parenchyma | 27.41 | ± | 3.87 | 34.80 | ± | 4.16 | * | 0.020 | | | | |
| Fine parenchyma | 26.96 | ± | 3.80 | 34.32 | ± | 4.09 | * | 0.018 | | | | |
| Alveolar sac | 19.67 | ± | 2.64 | 25.49 | ± | 3.16 | * | 0.013 | | | | |
| Alveolar duct | 3.75 | ± | 0.78 | 4.86 | ± | 0.41 | * | 0.023 | | | | |
| Respiratory bronchioles | 0.89 | ± | 0.31 | 1.13 | ± | 0.24 | | 0.212 | | | | |
| Septum | 3.04 | ± | 0.58 | 3.40 | ± | 0.54 | | 0.348 | | | | |
| Total epithelium | 0.51 | ± | 0.08 | 0.61 | ± | 0.15 | | 0.253 | | | | |
| Туре І | 0.31 | ± | 0.06 | 0.36 | ± | 0.10 | | 0.369 | | | | |
| Туре II | 0.20 | ± | 0.02 | 0.24 | ± | 0.08 | | 0.260 | | | | |
| Interstitium | 0.72 | ± | 0.18 | 0.81 | ± | 0.14 | | 0.409 | | | | |
| Collagen fibers | 0.57 | ± | 0.13 | 0.62 | ± | 0.12 | | 0.496 | | | | |
| Cells and matrix | 0.15 | ± | 0.07 | 0.18 | ± | 0.04 | | 0.385 | | | | |
| Endothelium | 0.36 | ± | 0.06 | 0.47 | ± | 0.14 | | 0.155 | | | | |
| Extravascular tissue | 1.59 | ± | 0.28 | 1.88 | ± | 0.41 | | 0.224 | | | | |
| Capillaries | 1.45 | ± | 0.54 | 1.51 | ± | 0.29 | | 0.825 | | | | |
| | Surface | are | a, m²∙k | g ⁻¹ | | | | | | | | |
| Alveolar surface | 1.28 | ± | 0.16 | 1.55 | ± | 0.27 | | 0.093 | | | | |
| Capillary surface | 1.20 | ± | 0.19 | 1.41 | ± | 0.23 | | 0.158 | | | | |
| O₂ conductance of tissue- plasma barrier (Db _{O2}), mL·(min·mmHg·kg) ⁻¹ | 1.95 | ± | 0.29 | 2.46 | ± | 0.46 | | 0.068 | | | | |

Table 4. Absolute volumes, surface areas, and conductance for oxygen

Mean±SD. * p<0.05 iPSC CM vs. CFM by unpaired t test.