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# BALANCING EXPLORATION AND EXPLOITATION IN ACS ALGORITHMS FOR DATA CLUSTERING

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#### ABSTRACT

Ant colony optimization (ACO) is a swarm algorithm inspired by different behaviors of ants. The algorithm minimizes deterministic imperfections by assuming the clustering problem as an optimization problem. A balanced exploration and exploitation activity is necessary to produce optimal results. ACO for clustering (ACOC) is an ant colony system (ACS) algorithm inspired by the foraging behavior of ants for clustering tasks. The ACOC performs clustering based on random initial centroids, which are generated iteratively during the algorithm run. This makes the algorithm deviate from the clustering solution and performs a biased exploration. This study proposes a modified ACOC called the population ACOC (P-ACOC) to address this issue. The proposed P-ACOC allows the ants to process and update their own centroid during the algorithm run, thereby intensifying the search at the neighborhood before moving to another location. However, the algorithm quickly produces a premature convergence due to the exploitation of the same clustering results during centroid update. To resolve this issue, this study proposes a second modification by adding a restart strategy that balances between the exploration and exploitation strategy in P-ACOC. Each time the algorithm begins to converge with the same clustering solution, the restart strategy is performed to change the behavior of the algorithm from exploitation to exploration. The performance of the proposed algorithm is compared with that of several common clustering algorithms using real-world datasets. The results show that the accuracy of the proposed algorithm surpasses those of other algorithms.

Kevwords: Data Clustering, Optimization Clustering, Swarm Clustering, Exploration, Exploitation.

clustering. The partitional clustering approach

#### 1. INTRODUCTION

clustering

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Clustering is a means to organize data as clusters without predefined information.	produces a spherical clustering result wherein each object belongs to a single cluster, whereas the hierarchical clustering approach produces
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indirect data mining approach that uses unlabeled	the deterministic approach is that the number of
data to determine relationships among the data,	clusters is required as a predefined value by the
whereas a direct approach called a classification	user, as in the partitional clustering approach. In the
approach requires predefined classes [1]. Data	hierarchical clustering approach, the difficulty lies
clustering tasks can be categorized as deterministic	in determining the location of data in different
and stochastic approaches. The deterministic	density levels using a horizontal cut of the
approach includes partitional and hierarchical	dendrogram [5-8]. Although validity indexes are

approach includes partitional and hierarchical used to determine the number of clusters, these clustering approaches [2, 3]. A partitional indexes are sensitive to data when the data are in approach contains three major approaches, namely, density-, model-, and griddifferent density levels [9–11]. Given this disadvantage, researchers have focused on using the based clustering, whereas the hierarchical clustering approach includes divisive and agglomerative stochastic approach, which is inspired by insects

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and their natural behavior and in which the clustering problem is formulated as an optimization problem [10, 12, 13]. This approach minimizes or maximizes an objective function to find the maximum similarity among data [14]. The stochastic approach comprises three algorithms, namely, exact, estimation, and approximation. The exact algorithm is good for an optimization problem within a dependent runtime instance [15]. However, exponential time is required by the algorithm to solve a difficult optimization problem. The estimation approach uses a predefined range of inputs to produce results, such as in clustering when the number of clusters is defined as a range of inputs [16], [17]. Likewise, the approximation approach does not promise an optimal clustering solution, but it can find good solutions in a relatively short time. This approach performs clustering based on a single or population approach [17, 18]. The single approach, such as simulated annealing, tracks the improvement of a single solution candidate, whereas the population approach iteratively modifies a set of candidate solutions based on algorithm feedback [20].

Ant colony optimization (ACO) is a population approach inspired by the foraging behavior of ants, and it can be applied in different data mining application domains [21]-[24]. The success of ACO lies in its two main components: exploration and exploitation [23, 24]. Exploration refers to the global processing of the search space diverse solutions. Meanwhile, to produce exploitation identifies high-quality regions in a search space that has high-quality solutions and intensifies the search in these regions [25]. The balance between the two components affects the performance of the algorithm.

ACO for clustering (ACOC) uses the concept of an ant colony system (ACS) to solve clustering problems. Kao and Cheng [26] introduced dynamic cluster centers so that the ACO for partitional clustering (ACOC) assumes the number of clusters as a fixed parameter. The algorithm performs high exploration towards a clustering solution without searching towards the promising region of the best solution. This activity is a drawback because such a large amount of exploration does not guarantee that the neighborhoods of the best regions are revisited, thus causing difficulty in decision-making during the run. In the ACOC algorithm, ants generate a random centroid in each iteration, disabling the algorithm to transfer the current clustering solution to the next iteration. Therefore, the history of the search is lost during the algorithm run.

This study aims to solve the shortcoming of ACOC by presenting a new modified algorithm called a population ant colony optimization for clustering (P-ACOC) task. The modification is designed to make ants exploit the search in a region before moving to a new region without changing the centroid in each iteration. In other words, each ant will attempt to improve its clustering solution during the iterations so that the current neighborhood structure is transferred to the next iteration using the current clustering solution. The proposed algorithm has a new parameter for each ant called the convergence limit. This parameter measures the diversity of the clustering solution and represents the number of solutions that does not improve during the algorithm run. The convergence to the local minima transpires, and the current ant will produce the same clustering result. Thus, the current clustering solution is abandoned. A restart strategy is added to control the exploitation rate based on the amount of similarity of solutions calculated by the convergence limit. When the exploitation rate is high, the algorithm is forced to explore in a new region for more optimal clustering solutions using random and mating search strategies.

The remainder of this paper is organized as follows: Section 2 presents the literature review on ACO based clustering algorithms. Section 3 illustrates the proposed methodology and Section 4 discusses the proposed algorithm. Section 5 shows the evolution task of the proposed modification while conclusions and future works are provided in Section 6.

#### 2. RELATED WORK

Substantial research on the performance of ACO in solving clustering tasks has been published where approaches have been classified into antbased by Deneubourg et al. [27], and ACO-based clustering, introduced in the original Dorigo framework [28]. The clustering problem is considered as an optimization problem and implicitly defined as ant-based clustering [3]. The basic idea of ant-based clustering is that isolated items should be picked up and dropped at another location where more similar items are present. This approach includes three procedures. First, random project data objects are placed in a low-dimension space (e.g., a plane). Then, simple agents perceive the swarm similarity of the current object within the local region. Finally, the agents compute the picking-up or dropping probability using the probability conversion function. Ant-based

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clustering does not produce the actual number of clusters because objects may not be grouped when the execution of the algorithm is completed [29]. Therefore, other algorithms are combined with antbased clustering to minimize error. In ACO-based clustering, ants exchange indirect connections with other ants [30]. ACO-based clustering can construct and modify the solutions iteratively to produce more optimal solutions. In addition, this approach can learn over time using the pheromone of the colony. Moreover, researchers have improved the algorithm to make it strong and suitable for different areas. Regardless of the placement of the deterministic and stochastic clustering approaches in the taxonomy, this research focuses on ACObased clustering due to its advantages and ability to produce near-optimal clustering solutions.

The first research in ACO-based clustering was published in 2004 [31]. The proposed algorithm belongs to partitional clustering, where the number of clusters is known to the user. The algorithm is based only on pheromone trails in which each instance is assigned to a cluster according to the amount of pheromones. The local optima is easily determined because the algorithm produces empty sub-clusters. Hence, the initial pheromone allows the algorithm to group the instances into a single cluster. The algorithm requires a long time to distribute the instances to the right clusters.

The algorithm for text and numerical datasets in [32][26] were modified to extend the Shelokar algorithm [31] as a dynamic cluster center. The proposed algorithm considers the number of clusters predefined by the user. The pheromone and heuristic information serve as a guide for the clustering problem. The algorithm generates random clustering centroids in each iteration to find the optimal clustering configuration using the pheromone and heuristic information. However, the algorithm depends greatly on the exploration towards a clustering solution. The ability of the algorithm to search many spaces reduces its capacity to exploit the best neighborhood solution. Thus, the learning process is not effective to obtain the best solution at the right time [26].

The medoid ACOC (M-ACOC) is an extension of ACOC that uses the medoid to improve the algorithm performance against outlier objects [33]. The algorithm generates a random medoid in each iteration, and each medoid is used to achieve optimal clustering based on pheromone and heuristic information. However, this algorithm also generates the medoids in a random and

iterative manner, thereby rendering the exploitation strategy ineffective in searching the search space.

Other research has proposed an automatic grouping of instances as partitional clustering using a silhouette index [34, 35]. The proposed algorithm starts partitional clustering with a random number of clusters using a predefined range of clusters in a dataset. The objective is clustering with high-value indexes so that the grouping of instances will reflect the real distribution of the instances in the dataset. However, the algorithm is based on the predefined range of clusters; thus, the algorithm cannot produce optimal clustering results if the dataset has an unknown clustering number and forms in different density levels.

A hybrid spectral-based ACO clustering algorithm is proposed to group instances in a dataset according to their density. The algorithm uses a user-defined number of clusters in which each cluster considers one kernel instated on the centroid. Results show that the algorithm is better than K-means when the dataset forms in different density levels. However, the number of clusters has to be predefined and the algorithm suffers from memory consumption, thereby restricting the suitability of the algorithm to disparate distributions of instances. Comparison using K-means is ineffective to this kind of data but is faster in processing as a whole [36].

Similar research has proposed an automatic grouping of instances based on the external validity measurement of the density of instances in the dataset [3]. Two objective functions, namely, adjusted compactness and relative separation, are proposed. Each objective function evaluates the clustering solution considering the local characteristics of the neighborhoods. However, the algorithm requires high consumption time, and the number of clusters is measured in the range predefined by the user; hence, if the dataset has an unknown clustering number, the algorithm cannot easily determine the right number.

The multi-objective clustering algorithm (MACC) is proposed as an extension of the ACOC. Each colony has its own objective function, and the algorithm attempts to optimize each objective function simultaneously [37]. The MACC has two colonies. The first aims to minimize an objective function called clustering compactness, whereas the second maximizes the connectivity of clusters. The main drawback of this method is that the algorithm requires several clusters inputted by the user, thereby causing the centroids to be iteratively and randomly generated during the algorithm run. The

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iteration.

algorithm produces a highly explored clustering

solution because it changes its centroids in every

clustering algorithm considers a predefined number

of clusters, thereby causing the algorithm to

perform clustering with the centroid randomly

changing with each iteration. This phenomenon

disables the exploitation of the current clustering

solution. The algorithm forgets the history of the

search and produces the same clustering result during the algorithm run. The ability of the

algorithm to search substantial data from the search

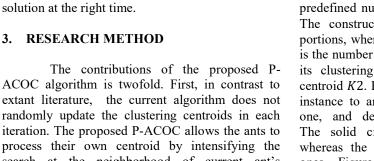
space reduces its capacity to exploit the best neighborhood solution; thus, the learning process

becomes ineffective in converging with the best

In conclusion, the majority of the

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iteration. The proposed P-ACOC allows the ants to process their own centroid by intensifying the search at the neighborhood of current ant's centroided before moving to another location. The algorithm exploits the neighborhood of each ant to find improved clustering solutions. However, the algorithm may represent premature convergence due to the exploitation of the same clustering results during centroid update. Second, this work adds a restart strategy, wherein the search restarts when the premature convergence occurs. The strategy changes the behavior of the algorithm from exploitation to exploration to find other promising regions of the search space. Each ant starts with a random centroid, which will not be iteratively updated until the current centroid remains unchanged in each iteration (first modification). This modification causes premature convergence on the clustering results during the early stages. This problem is solved by applying the second modification in which the algorithm will produce a random clustering solution and jump off the current stagnation when the algorithm converges with the clustering solution. Two jumping strategies are used. The first involves the restart of the algorithm with a random clustering solution to escape the early convergence. This process is important if the randomly generated solution comes from the global region (i.e., the strategy is used for global search). If the random clustering solution is worse than the current solution, the restart algorithm becomes

ineffective because the algorithm will research for the optimal solution and may require more time to produce an optimal solution. The second strategy is mating the current clustering solution with a random clustering solution using crossover and mutation operators to ensure that the produced clustering solution is at the neighborhood of the current solution. The algorithm intensifies the search in the best clustering solution at the current algorithm run.

#### 4. ALGORITHM DETAIL

The proposed algorithm follows the framework of the ACOC algorithm. The algorithm starts with a random number of centroids and a predefined number of clusters known to the users. The constructed graph is divided into K \* Mportions, where K is the number of clusters, and Mis the number of instances (Figure 1). The ant starts its clustering from instance M1 to the nearest centroid K2. Each ant moves sequentially from one instance to another, assigns the instances one by one, and deposits pheromones on the nodes. The solid circles represent the visited nodes, whereas the hollow circles denote the unvisited ones. Figure 1 presents a clustering solution example (2-1-2-2-3). The first instance (M1) of the solution is assigned to cluster number 2, the second instance (M2) is assigned to cluster number 1, and so on.

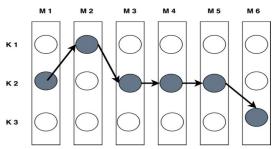


Figure 1: Graph for P-ACOC Algorithm

Each ant r has its own centroid; clustering is based on the ant's centroid and pheromone and heuristic information. The assignment of each instance M to the nearest ant centroid is based on the amount of pheromone between the instance and the heuristic value, which is represented by the Euclidean distance between M and the ant r centroid, where  $c^r$  is the current ant r centroid.

$$d(M,c^r) = \sqrt{(M-c^r)^2} \tag{1}$$

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The algorithm performs clustering on instances based on either an exploitation or exploration strategy. Each strategy has its own effect on the clustering results, especially at the start of the algorithm run. Exploitation is a greedy strategy, which drives the clustering solution toward regain with a high amount of pheromone, whereas exploration is a stochastic search that allows the algorithm to consider the solution with results worse than the current clustering solution or drives the algorithm toward regain with insufficient information. The goal of the algorithm is to obtain various solutions which prevent the algorithm from being trapped in the local optima especially at the start of the algorithm run at which the acceptance of the solutions is allowed in the primitive research stages while intensifying the algorithm research regions that contain the best quality solutions in the advanced research stages. Exploitation and exploration operate according to a predefined static parameter  $q_0$  and a random parameter q, which are generated in each selection. Exploitation occurs if  $q < q_0$  to select the cluster k for instance m.

$$k = \begin{cases} \arg \max_{c^r} \in \left\{ \left[ \tau(m, c^r)^{\alpha} \right] \left[ \eta(m, c^r)^{\beta} \right] \right\} if \ q < q_0, \\ S & otherwise \end{cases}$$
(2)

where  $\tau(m, c^r)^{\alpha}$  is the amount of pheromones between ant centroid  $c^r$  and instance m;  $\eta(m, c^r)^{\beta}$ is the heuristic information calculated as the distance between ant centroid  $c^r$  and instance m; and  $\beta$  and  $\alpha$  are the controller parameters, which are important for the control of the pheromone and distance value. If q is greater than  $q_0$ , the algorithm selects the cluster k according to the probability selection shown below.

$$p(k) = \frac{[\tau(m, c^{r})^{\alpha}] [\eta(m, c^{r})^{\beta}]}{\sum_{i=1}^{k} [\tau(m, c^{r})^{\alpha}] [\eta(m, c^{r})^{\beta}]}$$
(3)

The assignment process is performed until no more instances are left. The best clustering solution in the current iteration is improved through an applied simple local search by which each single component of clustering solution can be changed from its current cluster value into another value depending on the predefined parameter  $p_{ls}$  $(p_{ls}=0.01)$  within the range  $0 \le p_{ls} \le 1$ . Therefore, only the random number with values less than  $p_{ls}$ will be assigned a different cluster number. This process allows the algorithm to improve the clustering solution, especially at the start of the run when clustering solutions are not yet acceptable. In each step, clustering solutions are evaluated using the minimum error between each instance and the ant centroid  $c^r$ . N is the total number of instances.

$$f_r = \sum_{i=1}^{K} \sum_{j=1}^{N} d(c^r, \mathbf{m})$$
 (4)

The next process selects the best solution obtained by either the local search process or the iteration based on the fitness function. This solution is updated by the amount of pheromone and the evaporation process on all entries of the pheromone matrix performed (Equation [5]). The evaporation process is based on the value of the evaporation factor  $\rho$ , which is known to the user. After the evaporation process, each ant updates its centroids to use them in the next stage similar to the K-means algorithm.

$$\tau_{ij}(t + 1) = (1 - \rho)\tau_{ij}(t) + \left(\frac{1.0}{f}\right)$$
(5)

The modification of the algorithm is performed after the evaporation process, and the convergence limit is introduced. As discussed, the algorithm converges with the local minima when the update of centroids remain unchanged, thus ants will produce the same clustering solution; therefore, the current clustering solution is abandoned. A new clustering solution and jump from the local optima solution is achieved by applying a restart strategy. The algorithm produces a new random clustering solution for each ant, and this solution is accepted regardless of whether it is better or worse than the current solution. This strategy helps the algorithm jump from the local optima by exploring a new region. However, the algorithm may jump in regions with an unacceptable clustering solution, thereby disabling the algorithm's ability to produce improved clustering results.

A second strategy is formulated using the current abandoned solution so that the algorithm will avoid being trapped in the local optima in the early stages. The abandoned solution is improved by exploring the neighborhood using crossover and mutation operators between the current abandoned solution and the generated random clustering solution, thereby ensuring that some components of the abandoned solution are changed. An offspring is produced in the crossover operation. The mutation operator is applied by increasing the diversity of the solution to avoid producing an old clustering solutions. Both operators are illustrated in Figure 2. During the crossover operation, some solutions become unfeasible (e.g., a clustering solution that covers only one clustering label). In

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such cases, the original solution is again subjected to crossover operation. The probability of using the crossover and mutation operations is based on the crossover and mutation rates, which are 0.8 and 0.001, respectively. Both operations are performed if the crossover and mutation rates are less than the random numbers respectively generated by the algorithm.

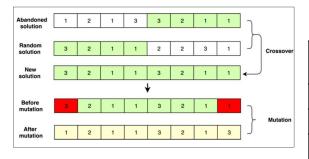


Figure 2: Crossover and Mutation Operators

The convergence limit is set to 30. Each ant performs this strategy based on its predefined convergence limit. Small values of the convergence limit will lead the algorithm search toward exploration; otherwise, the search is led toward exploitation. Therefore, the convergence limit must be tuned to produce a more optimal clustering result. The P-ACOC algorithm is illustrated in Figure 3.

Step 1:	Initialize all parameters
Step 2:	Iteration $\leftarrow 0$
Step 3:	While Iteration < Max
Step 4:	While $r < R$ do
Step 5:	Construct clustering solution using each ant centroids
Step 6:	Update Pheromone
	Update ant centroids
	Evaporation process
Step 7:	Increases limit counter if current solution same previous one
Step 8:	If limit exceeds the allowed value, the algorithm one of the strategies
Step 9:	r ++
Step 10:	Iteration ++
Step 11:	Print best ant result

Figure 3: Proposed P-ACOC Algorithm

#### 5. EXPERIMENTAL RESULTS

The proposed P-ACOC is performed to solve data clustering problems on ten (10) datasets from the University of California (UCI) Irvine Machine Learning Repository [38]. These benchmark datasets are for clustering and classification tasks. The datasets include different features of problem space such as sample dimension, feature diversity, size (small, medium, large). The datasets are from different problems such as disease which includes breast cancer (BC), breast tissue (BT), hepatitis and E.coli (Ec), analysis of hand movement (Libras (Li)), finding the survival of patients (Haberman (Hb)), image analysis of ionosphere (Io), metal signals in mining operation (sonar (So)), iris flower (Ir) and chemical analysis in wine (Wi). The description of the datasets is as depicted in Table 1. The datasets differ from each other, thereby making the comparison of the proposed algorithm against other swarm clustering algorithms challenging.

Table 1:	Description	of UCI Datasets

Name	Dataset & attribute characteristics	Attribute number	Class (number of clusters)	Instance
BC	Multivariate Integer	9	2	699
BT	Multivariate Real	9	6	106
Ec	Multivariate Real	7	6	336
Li	Multivariate Real	90	15	360
Hb	Multivariate Integer	3	2	306
Нр	Multivariate Categorical, Integer, Real	19	2	155
Lo	Multivariate Integer, Real	34	2	351
So	Multivariate Real	60	2	208
Ir	Multivariate Real	4	3	150
Wi	Multivariate Integer, Real	13	3	178

The results of the P-ACOC were compared with five (5) algorithms, namely, ACOC, M-ACOC, genetic algorithm (GA), simulating annealing algorithm (SA), and K-means (KM) algorithm. The parameters of each algorithm are listed in Table 2. The parameter settings follow the literature of these algorithms in the clustering domain [39]. The parameter of clustering algorithm that belongs to ACO-based clustering are number of ants sets to 50, probability threshold either exploration or exploitation equals 0.001, local search rate is 0.01 and evaporation rate equals 0.001. Note that the number of iterations is 1000 and the execution time is 10 times for each algorithm. The K-means algorithm is the only algorithm that has a different number of iterations and different number of runs, where the number of iterations equals 50000 and the number of executions is 25 times because the algorithm easily converges to local optima solution. This makes it easier to ensure that algorithms are compared fairly.

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Table 2: Parameters of Algorithms					
GA	SA	ACOC / M- ACOC / P-ACOC I, II	K- MEANS		
Population 50	Probability threshold <b>0.98</b>	Ants 50	Iterations 50000		
Crossover 0.8	Initial temperature 5	Probability threshold <b>0.001</b>			
Mutation rate 0.001	Temperature multiplier <b>0.98</b>	Local search rate 0.01			
Iterations 1000	Final temperature <b>0.01</b>	Evaporation rate 0.001			
	Iterations 1000	Iterations 1000			

Good clustering can be judged using the internal and external criteria in the clustering domains. The criteria can assess clustering performance based on static value. Each criterion measures the distribution of a single cluster and the connectivity and distances among the cluster's members. The best clustering performance is represented by the minimum distance among the members and the maximum distance of the cluster from others.

The evaluation of the algorithms focuses on the internal measurement, which is the error, and external information such as F-measure and entropy, where the calculation for the measurements are based on realistic information extracted by the user from labeled data. The metrics for comparison are the average of intra-cluster distance, Calinski-Harabasz (CH) measurement, Fmeasure and entropy measurement. The internal criteria are the sum of intra-cluster distances (Equation [4]) and Calinski-Harabasz (CH) measurement [16], whereas the external criteria include the F-measure and entropy measurement [40], [41]. The sum of the intra-cluster distances measures the summation of error within each cluster. CH measures the quality of results based on overall within-cluster variance (SSW) and betweencluster variance (SSB), as shown in the Equation (6), where N is the number of instances in the dataset, and K is the number of clusters. A higher CH signifies a better result.

$$\frac{SSB}{SSW} * \frac{N-K}{K-1} \tag{6}$$

F-measure is applied to identify the quality of the clustering results and the best value if maximized.

It requires two additional measure criteria to calculate its results as shown in Equation (7). These measures are called precision and recall, which can be calculated as shown in Equations (8) and (9), respectively [42].

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$$F - measure = \frac{2*Precision*Recall}{Precision+Recal},$$
(7)

$$Precision = \frac{TP}{TP+FP},$$
(8)

$$Recall = \frac{TP}{TP + FN},\tag{9}$$

where TP means true positive, FP is false positive, and FN is false negative.

Equation (10) demonstrates how the entropy for single clustering w is calculated [43].

$$H(w) = -\sum_{c \in C} P(w_c) \log_2 P(w_c), \qquad (10)$$

where c is a classification in set C, and  $P(w_c)$  is the probability of a data point being classified as c in cluster w. The total entropy of a cluster is

$$H(\Omega) = \sum_{w \in \Omega} H(w) \frac{N_w}{N},$$
(11)

where H(w) is the single cluster's entropy,  $N_w$  is the number of points in cluster w, and N is the total number of points. A low total entropy indicates better clustering results.

The experimental results are depicted in Figures 4, 5, 6 and 7 with Tables 3, 4, 5 and 6. The proposed algorithm is evaluated using the mating (P-ACOC I) and random (P-ACOC II) strategies. The analysis for each performance metric is divided into three (3) aspects (1) the best performance with best-known clustering algorithms, (2) comparison between P-ACOC I, P-ACOC II, ACOC and M-ACOC, and (3) comparison between P-ACOC I and P-ACOC II which aims to identify the best strategy.

Table 3 shows the results of clustering algorithms for sum of intra-cluster distances. The best results are highlighted. P-ACOC II achieved better results (7 out of 10 datasets) with lower minimum average for the sum of intra-clustering distances. For the remaining three (3) datasets, P-ACOC I obtained the best results for BT and Li datasets while M-ACOC performed the best for the Hb dataset. The good performance of P-ACOC II was because of the strategy incorporated in P-

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ACOC II that searches for global optimal solutions. It can also be seen in Figure 4 (diamond shapes are for disease related datasets and circle shapes are for non-disease datasets), that P-ACOC I and P-ACOC II outperformed two (2) other ACO-based clustering algorithms for all datasets except the Hb datasets where M-ACOC performed the best. It is also noted that P-ACOC II managed to outperform P-ACOC I on seven (7) datasets which shows that a random strategy is better in terms of sum of intracluster distances. In conclusion, P-ACOC I and P-ACOC II outperform other clustering algorithms because both strategies keep track of the best solution (so far) while changing the neighbourhood of the best clustering region. The algorithms were also able to avoid local optima solution by moving the search into global optima solution.

Dataset	GA	SA	ACOC	M-ACOC	K-means	P-ACOC I	P-ACOC II
BC	3055.4205	3061.3448	4196.0555	3213.6519	3055.6440	3047.6036	3047.3872
BT	7130.3520	7054.0887	7222.3375	8081.8647	7082.3945	7034.0568	7037.1041
Ec	69.8018	69.8018	71.5502	74.1364	67.9538	67.8260	67.6949
Li	369.7560	370.5856	418.1424	380.0242	321.0657	316.9682	317.0435
Hb	2625.5858	2625.1075	2625.9182	2601.1552	2625.2906	2623.7201	2620.4190
Нр	8853.4920	8853.4920	8831.9529	8948.9498	8854.8549	8732.7646	8721.4906
Lo	796.0441	796.0441	796.3362	803.2807	796.0556	795.5047	795.4785
So	234.7402	234.7402	234.7530	258.3635	234.7420	234.6563	234.6243
Ir	97.3322	97.2221	97.1676	99.1937	97.2294	96.9534	96.8703
Wi	16530.5373	16530.5373	16525.2144	16427.7498	16540.3282	16318.9343	16301.9347

Table 3: Average Results of Sum Intra-Cluster Distance for All Clustering Algorithms

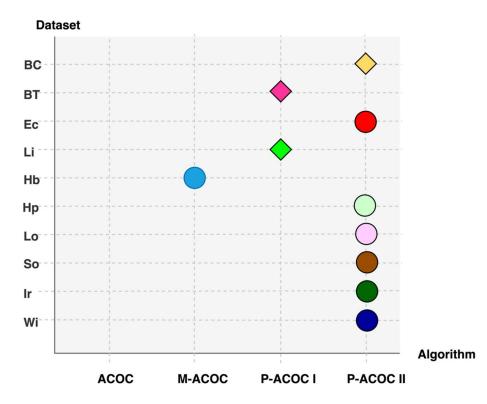


Figure 4: Average Result of Sum of Intra-Cluster Distances for ACO-based Clustering Algorithms

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Table 4 shows the results of clustering algorithms for CH measurement. The proposed P-ACOC I has obtained good results on four datasets (BT, Li, Hb, and Ir) while P-ACOC II only managed to obtain good results on two (2) datasets (BT and Ec). K-means has secured good results on four (4) datasets (BC, Hp, Lo and So) as well. Figure 5 shows the comparison of all four (4) ACO- based algorithms. Both P-ACOC I and P-ACOC II outperformed ACOC and M-ACOC on eight (8) datasets because both ACOC and M-ACOC algorithms randomly generate clustering centroids which increase the error in clustering. Mating strategy seems to be a better strategy than random strategy in terms of CH metric. This can be seen when P-ACOC I has better results than P-ACOC II.

			0	5	0 0		
Dataset	GA	SA	ACOC	M-ACOC	K-means	P-ACOC I	P-ACOC II
BC	1037.3525	1028.0012	918.7035	1032.1596	1039.8662	1039.0430	1039.1302
BT	12.9674	13.6737	12.0905	10.5126	12.31930	14.0240	14.0240
Ec	144.6957	139.9484	142.3530	141.0125	153.3272	159.3317	159.8686
Li	33.6332	32.5390	21.0945	45.2097	49.7054	56.0269	55.59839
Hb	239.6843	239.9218	239.7359	236.8510	232.4738	239.9218	239.1396
Нр	117.5595	117.5595	118.6960	123.6394	128.6346	120.7601	120.3596
Lo	118.4701	118.4701	118.3696	118.4263	118.8242	118.7017	118.6338
So	59.1919	59.1919	58.9205	55.2992	59.5830	59.1718	59.1919
Ir	555.0277	558.9161	559.9799	558.6531	509.0713	560.2599	558.9999
Wi	556.1460	556.1459	555.2400	547.4481	539.7797	537.6950	538.9061

Table 4: Average CH Result for All Clustering Algorithms

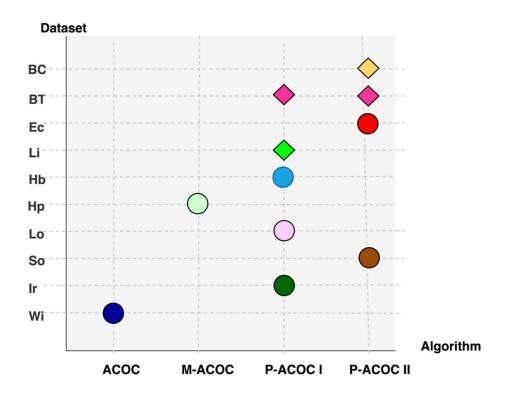


Figure 5: Average CH Result for ACO-based Clustering Algorithm

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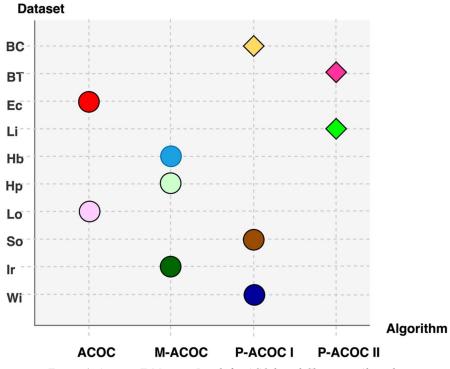
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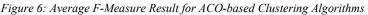
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Table 5 depicts the results of clustering algorithms for F-measure measurement which indicates the clustering accuracy. The K-means algorithm performed the best as compared to other algorithms. P-ACOCII, ACOC and SA clustering algorithms performed equally well on the ten (10) datasets better than M-ACOC. There is no dominant algorithm because F-measure is an external clustering measure which is based on information that reflects the real classification of the data, while the objective function is an internal clustering and based on internal information recording the minimum intra-clustering variance on finding the optimal centroids. Thus, even though the algorithm can find better centroids than other algorithms, in some cases it produces the same groups. P-ACOC I had better results when compared to P-ACOC II but had the same results with M-ACOC as shown in Figure 6.

			-	-	-	-	
Dataset	GA	SA	ACOC	M-ACOC	K-means	P-ACOC I	P-ACOC II
BC	0.9394	0.9365	0.9277	0.9161	0.9273	0.9361	0.9358
BT	0.2536	0.2733	0.2406	0.2307	0.2488	0.2622	0.2635
Ec	0.5287	0.4973	0.6770	0.5335	0.5775	0.5802	0.6099
Li	0.2530	0.2485	0.2096	0.3096	0.3355	0.3571	0.3885
Hb	0.5481	0.5479	0.5478	0.5487	0.5561	0.5479	0.5480
Нр	0.6628	0.6628	0.6681	0.6826	0.7244	0.6697	0.6681
Lo	0.5996	0.5996	0.5997	0.5995	0.6042	0.5975	0.5959
So	0.5059	0.5059	0.5023	0.5006	0.5034	0.5060	0.5059
Ir	0.8334	0.8293	0.8203	0.8217	0.7850	0.8205	0.8195
Wi	0.5833	0.5833	0.5878	0.5925	0.5869	0.5962	0.5946

Table 5: Average F-Measure Result	for All Clustering Algorithms





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Table 6 highlights the results of the entropy measurement. The comparison on entropy value determines the information contained in the cluster. The lower the value, the more accurate the clustering result. K-means, P-ACOC I and SA performed the best results in this comparison followed by P-ACOC II and GA. The best results among the ACO-based algorithms were obtained by P-ACOC II and P-ACOC I followed by ACOC (refer to Figure 7). However, random strategy is superior than mating strategy where P-ACOC II has obtained better results on four (4) datasets which reflects a more robust and accurate clustering result.

Dataset	GA	SA	ACOC	M-ACOC	K-means	P-ACOC I	P-ACOC II
BC	0.2142	0.2216	0.2240	0.2808	0.2496	0.2242	0.2249
BT	2.0494	1.9814	2.0520	2.1049	2.0600	2.0490	2.0315
Ec	0.8127	0.8374	0.8058	0.8095	0.7472	0.7128	0.6482
Li	2.1534	2.2240	2.4232	1.8638	1.7223	0.3949	1.4971
Hb	0.8329	0.8329	0.8333	0.8330	0.8308	0.8329	0.8328
Hp	0.7340	0.7340	0.7336	0.7338	0.7320	0.7334	0.7336
Lo	0.8144	0.8144	0.8161	0.8169	0.8119	0.8193	0.8211
So	0.9909	0.9909	0.9909	0.9964	0.9884	0.9920	0.9909
Ir	0.3806	0.3798	0.3969	0.3907	0.4668	0.3949	0.4040
Wi	0.9091	0.9091	0.8894	0.8913	0.9115	0.8832	0.8863

Table 6: Average Entropy Result for All Clustering Algorithms

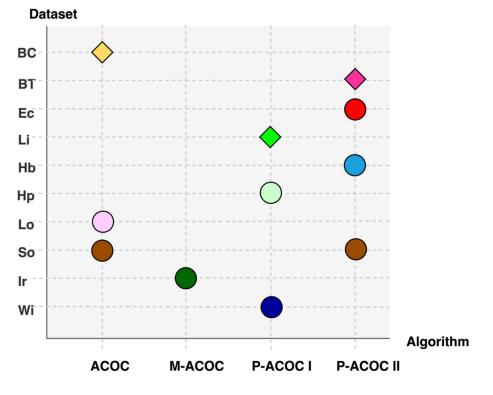


Figure 7: Average Entropy Result for ACO-based Clustering Algorithms

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datasets.

significantly

6. CONCLUSION

In the comparison of all ACO-based

results

This research aims to improve the ACOC

algorithm to produce more optimal clustering

results. We also intend to determine the effects of

algorithm performance, including high exploration,

which disables the algorithm in order to intensify

the search in the best region of the best clustering

solution. This study improves the ACOC algorithm

by proposing a modification in which each ant is

allowed to process and update its own centroid

during an algorithm run. Once the ant has obtained

the same clustering solution, it will move to another

region based on either a random or mating strategy.

The random strategy will trigger the algorithm to

search for the global optimal solution. In the mating

strategy, which includes crossover and mutation

operators, the strategy allows the algorithm to

improve the same clustering solution through a

deep intensification of the best obtained solution.

The P-ACOC is compared with five clustering

algorithms using ten datasets. The results show that

a P-ACOC with both strategies outperforms

classical clustering algorithms in terms of intra-

cluster distance, CH, entropy, and F-measure

results. In the future, it would be better if the

algorithm can automatically produce the number of

clusters without any user involvement and evaluate

the algorithm using more datasets with different

distributions and density levels. Automatic

clustering using an internal measurement such as

for

algorithms, we can see that the proposed P-ACOC I

and P-ACOC II produced better results than ACOC

and MACOC algorithms on disease-related

The proposed algorithms

measurements (CH and sum of intra-cluster

distances) while for the external measurements (F-

measure and entropy), the results are comparable.

better

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produce

internal

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# CH criteria can be used to measure the performance for better clustering solutions.

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