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- 22 Abstract
- 23 Cardiovascular magnetic resonance (CMR) radiomics is a novel technique for advanced cardiac 24 image phenotyping by analyzing multiple quantifiers of shape and tissue texture. In this paper, we 25 assess, in the largest sample published to date, the performance of CMR radiomics models for
- 26 identifying subclinical changes in cardiac structure and tissue due to cardiovascular risk factors.
- 27 We evaluated five risk factor groups from the first 5,065 UK Biobank participants: hypertension
- 28 (n=1,394), diabetes (n=243), high cholesterol (n=779), current smoker (n=320), and previous smoker
- 29 (n=1,394). Each group was randomly matched with an equal number of healthy comparators (without
- 30 known cardiovascular disease or risk factors). Radiomics analysis was applied to short axis images of
- 31 the left and right ventricles at end-diastole and end-systole, yielding a total of 684 features per study.

- 32 Sequential forward feature selection in combination with machine learning (ML) algorithms (support
- 33 vector machine, random forest and logistic regression) were used to build radiomics signatures for
- 34 each specific risk group. We evaluated the degree of separation achieved by the identified radiomics
- 35 signatures using area under curve (AUC), receiver operating characteristic (ROC), and statistical
- 36 testing.

37 Logistic regression with L1-regularization was the optimal ML model. Compared to conventional 38 imaging indices, radiomics signatures improved the discrimination of risk factor vs. healthy 39 subgroups as assessed by AUC [diabetes: 0.80 vs. 0.70, hypertension: 0.72 vs. 0.68, high cholesterol: 40 0.71 vs. 0.65, current smoker: 0.68 vs. 0.65, previous smoker: 0.63 vs. 0.60]. Furthermore, we 41 considered clinical interpretation of risk-specific radiomics signatures. For hypertensive individuals 42 and previous smokers, the surface area to volume ratio was smaller in the risk factor vs. healthy 43 subjects; perhaps reflecting a pattern of global concentric hypertrophy in these conditions. In the 44 diabetes subgroup, the most discriminatory radiomics feature was the median intensity of the 45 myocardium at end-systole, which suggests a global alteration at the myocardial tissue level.

46 This study confirms the feasibility and potential of CMR radiomics for deeper image phenotyping of 47 cardiovascular health and disease. We demonstrate such analysis may have utility beyond 48 conventional CMR metrics for improved detection and understanding of the early effects of 49 cardiovascular risk factors on cardiac structure and tissue.

50

51 **1 Introduction**

52 Cardiovascular magnetic resonance (CMR) is the reference standard for assessment of cardiac 53 structure and function and is used widely in both research and clinical settings. Routine assessment is 54 reliant on visual inspection of CMR images for identifying global and local abnormalities; this is 55 both labor-intensive and reader dependent (1-4). Existing quantifiers, such as ejection fraction and 56 chamber volumes, are overly simplistic and often do not capture subtle and complex changes that 57 affect the myocardium at early disease stages (5). Current approaches are thus suboptimal for early 58 disease detection and outcome prediction. Therefore, there is need for novel, more advanced 59 quantitative approaches to CMR image analysis to improve clinical diagnosis and risk prediction.

60 CMR radiomics is a novel image quantification technique whereby pixel-level data is analyzed to derive multiple quantifiers of tissue shape and texture (6). Technological advancements and the 61 62 availability of high computational power has allowed deployment of machine learning (ML) methods 63 with radiomics features to discriminate disease or predict outcomes (7). A distinct advantage of 64 radiomics modelling over unsupervised algorithms is the potential for explainability through identification of the most defining radiomic features in the model. It is thought that radiomics 65 66 features correspond to alterations at both the morphological and tissue levels and thus, the most 67 defining features of a particular condition (or its radiomics signature) may provide insights into its 68 pathophysiology (8). Within oncology, where radiomics is most well-developed, the incremental 69 value of radiomics models for diagnosis and prognosis have been widely reported (8-14). In 70 cardiology, early studies have shown promising results from CMR radiomics models for 71 discrimination of important conditions such as myocarditis, hypertrophic cardiomyopathy, and 72 ischemic heart disease (15–18).

73 While existing works have mostly focused on image phenotyping of established cardiovascular 74 diseases, CMR radiomics may also provide incremental information to conventional approaches for

75 improved quantification of cardiac alterations related to cardiovascular risk factors at the subclinical

76 stage. We thus present the largest and most comprehensive assessment of the performance of CMR

- 77 radiomics for image phenotyping of important cardiovascular risk factors including diabetes,
- 78 hypertension, high cholesterol, and smoking status, by using a large annotated CMR dataset from the
- 79 UK Biobank (UKB).
- 80

81 2 Methods

82 2.1 Population and setting

83 UKB is a large-scale population health resource aimed at enhancing biomedical research and 84 ultimately improving prevention, diagnosis, and treatment of a wide range of serious and life-85 threatening illnesses (19). Over 500,000 participants aged 40-69 years old were recruited from around the UK between 2006 and 2010. The UK Biobank holds an exceptional amount of data 86 87 including detailed lifestyle information, medical history, serum biomarkers, physical measures, and 88 multi-modal imaging including magnetic resonance imaging of the abdomen, brain, and heart (20). 89 Thus, UKB provides the ideal platform for assessment of the performance characteristics of novel 90 quantitative biomarkers, such as radiomics, in discriminating common cardiovascular risk factors.

91 2.2 CMR imaging protocol

92 CMR cine images were acquired using a standardized UKB protocol, which is detailed in a dedicated 93 publication (21). In brief, all scans were performed with a 1.5 Tesla scanner (MAGNETOM Area, 94 Syngo Platform VD13A, Siemens Healthcare, Erlangen, Germany), with typical cine parameters as 95 follows: TR/TE (repetition time/echo time)= 2.6/1.1 ms, flip angle 80°, Grappa factor 2, voxel size 96 1.8 mm \times 1.8 mm \times 8 mm, and a slice gap of 2.0mm. The actual temporal resolution of 32ms was 97 interpolated to 50 phases per cardiac cycle (~20 ms). The protocol includes a complete cine short-98 axis ventricular stack with base to apex coverage acquired using balanced steady state free procession 99 (bSSFP) with one breath-hold per image slice.

100 2.3 CMR image segmentation

CMR scans of the first 5,065 UKB participants that completed the imaging study were manually 101 102 analyzed across two core laboratories (London, Oxford) using a pre-defined standard operating 103 procedure, which is detailed elsewhere (22). In brief, left and right ventricular (LV, RV) endocardial 104 contours and LV epicardial contours were drawn in end-systole and end-diastole on the short axis 105 stack images using the CVI42 post-processing software (Version 5.1.1, Circle Cardiovascular 106 Imaging Inc., Calgary, Canada). These contours were used to define three regions of interest (ROIs) 107 for radiomics analysis: RV blood pool, LV blood pool, and LV myocardium. All acquisitions were 108 ECG gated and thus end-diastole was defined as the first phase in the sequence. End-systole was 109 defined as the frame with smallest LV cavity area by visual assessment detected at the mid-cavity 110 level. Papillary muscles were considered part of the blood pool. Slices with more than 50% 111 circumferential LV myocardium were included in LV contours. RV volume was defined as areas 112 below the pulmonary valve plane identified by visual assessment.

113

114 **2.4** Selection of study sample

115

[Figure 1 about here.]

116 We considered the first 5,065 UKB participants to complete CMR imaging. We excluded 174 117 individuals due to incomplete segmentations (having either one or more cardiac structures missing in 118 the segmentations). From the remaining 4,891 individuals, a healthy cohort (n=1,394) was defined 119 by considering participants without known cardiovascular disease or risk factors. Diabetes (n=224), 120 hypertension (n=1,394) and high cholesterol (n=779) were taken from self-reported conditions. 121 Smoking status was taken as self-report of current (n=320) or previous (n=1,394) tobacco smoking. 122 Participants positive for each risk factor were compared with an equal number of randomly selected 123 reference healthy subjects to eliminate bias in the machine learning models due to class imbalance 124 (Figure 1).

125 **2.5 Conventional CMR indices**

For comparison and quantification of the added value of CMR radiomics, conventional CMR indices were also assessed, specifically: LV end-diastolic volume (LVEDV), LV end-systolic volume (LVESV), RV end-diastolic volume (RVEDV), RV end-systolic volume (RVESV), LV stroke volume (LVSV), RV stroke volume (RVSV), LV ejection fraction (LVEF), RV ejection fraction (RVEF), LV mass (LVM).

131 **2.6 Radiomics analysis**

132

[Figure 2 about here.]

133 The overall radiomics workflow is depicted in Figure 2. Radiomics shape and signal intensity-based 134 features were extracted from the three segmented ROIs (LV blood pool: LV, LV myocardium: MYO, 135 RV blood pool: RV) in end-diastole (ED) and end-systole (ES). The analysis of the radiomics 136 features in the myocardium may enable identification of tissue-level changes due to the 137 cardiovascular risk factors. The inclusion of the LV and RV cavities is aimed at identifying changes 138 in the shapes of each ventricle, or in the patterns of the trabeculation and papillary muscles. 139 Automated extraction of radiomics features was performed using the open source python-based radiomics library Pyradiomics (version 1.3.0, October 2017)¹ (23). The customization of image 140 141 preprocessing and feature extraction was performed with Pyradiomics default settings, including a 142 gray value discretization with a bin width of 25 to extract the intensity-based and texture radiomics 143 features. In total, 684 radiomics features were extracted per study (consisting of 114 radiomics 144 features per cardiac structure: LV, RV and MYO at two time-points of the cardiac cycle: ED and ES).

145 Shape-based radiomics features

146 16 radiomics shape features were extracted per ROI at ED and ES (see Supplementary table). 147 Radiomics shape features describe geometrical properties of the defined ROI, such as volume, 148 maximal diameter, minor/major axis, surface area volume ratio, elongation, flatness and sphericity.

148 maximal diameter, minor/major axis, surface area volume ratio, elongation, flatness and sphericity. 149 Radiomics shape features may provide incremental value to existing CMR indices as they include

150 conventional shape indices (e.g. cavity volumes) as well as more advanced geometric quantifiers (e.g.

¹ https://www.radiomics.io/pyradiomics.html

151 sphericity, flatness). They also have the potential to define disease-specific patterns of cardiac 152 alterations beyond those possible with existing CMR indices.

153 Signal intensity-based radiomics features

Signal intensity-based radiomics features may have the potential to decode variations in cardiac tissue due to abnormalities induced by disease processes. They are commonly grouped into two categories, namely first-order and texture features. First-order features are histogram-based statistics describing the global distribution of signal intensities within the defined ROI without consideration to their spatial relationships. These include simple measures such as the mean intensity or standard deviation, as well as more advanced measures such as skewness, uniformity or entropy (see full list

160 in Supplementary table).

161 Texture-based radiomics features

In contrast, texture radiomic features allow the quantification of spatial inter-pixel relationships using more advanced matrix analysis methods (24,25). Through this, signal intensities patterns within the ROI may be numerically quantified using pre-agreed mathematical definitions. Many texture patterns may be considered to quantify characteristics such as the complexity, heterogeneity, coarseness or repeatability of the building blocks of the tissue. The idea is that these texture features may reflect myocardial tissue characteristics which in turn reflect underlying disease processes. In this study, 19

168 first-order features and 79 texture features were extracted from each ROI per cardiac phase.

169 **2.7 Identification of optimal radiomic signatures**

170 The goal of the study is to leverage feature selection and machine learning techniques to identify 171 radiomics signatures that best describe the structural and tissue differences between risk factor (at-172 risk) and healthy (no-risk) groups in CMR imaging. To this end, we use the risk factors as "proxy" 173 output variables and build multiple machine learning models by varying the combinations of input 174 radiomic features through systematic feature selection. We obtain multiple models (and thus multiple 175 candidate radiomic signatures) and through statistical testing one can select the best model and 176 therefore the radiomic signature that best separate the at-risk and no-risk groups. Because these 177 selected radiomics signatures differentiate at-risk from healthy individuals, they can be considered and analyzed as potential descriptors of the cardiac alterations due to the risk factors in question. 178 179 Importantly, we use machine learning as a more advanced means to combine multiple radiomic 180 features into risk-specific signatures, while taking into account non-linear complementarities between 181 the parameters.

182 For feature selection, we used the sequential forward feature selection (SFFS) method as it has 183 demonstrated good performance in previous CMR radiomics studies (15.26). The termination 184 criterion was set to 2% in all experiments following literature standards, i.e. the process was stopped 185 if an added feature did not increase model performance beyond the termination criterion. To obtain 186 more robust estimates and improve generalizability, ten-fold cross-validation was used in the feature 187 selection process, rotating training and validation folds (80% and 20% of the dataset, respectively). 188 We combined SFFS with classical ML algorithms [support vector machines (SVM), random forests 189 (RF), logistic regression (LR)] to identify the combination of radiomics features that best define each 190 studied cardiovascular risks/subgroups. For each ML method, hyperparameter optimization was 191 performed to enhance the discrimination between no-risk and at-risk subgroups [Supplementary 192 material]. Implementation of the SFFS and the ML techniques was based on the mlxtend (version 193 0.17.0) (27) and scikit-learn (version 0.20.3) (28) python-based libraries, respectively.

194 The selected radiomics features resulting from the SFFS algorithm and ML techniques were 195 combined to create the radiomics signature that best encode the changes in CMR induced by the different cardiovascular risk factors. To quantify the added value of the proposed radiomics 196 approach, we built similar ML models/risk signatures using conventional CMR indices as input 197 198 variables. Note that all radiomics features and cardiac indices were normalized (to a mean of zero and 199 standard deviation of one) to ensure they are equally weighted in all analyses. Note that individuals 200 with multiple risk factors were not excluded. In the machine learning models, we set the outcome to 201 each risk factor individually, which enabled the identification of the radiomics signatures specific to 202 that risk factor.

203 In this work, we assess model performance (i.e. the ability of the radiomics signatures to discriminate 204 at-risk vs. no-risk subjects) using receiver operating characteristic (ROC) curve and area under the 205 curve (AUC) scores. We also report model accuracy, defined as number of correctly discriminated 206 no-risk vs. at-risk cases based on the radiomics signatures, divided by the total number of cases. 207 Additionally, statistical tests were performed to assess the statistical significance of the differences 208 between the various ML models, by using the McNemar's test for pairwise comparisons, as well as 209 the Cochran's Q test, which is an extension of the McNemar's test for the comparison of more than 210 two models (29,30).

211 3 Results

212 **3.1** Summary of subgroups and conventional CMR indices

213 The subjects included in the analysis are summarized in Table 1. Across all risk factor groups there 214 was higher proportion of male participants (between 52.3% and 60.1% depending on the risk factor), 215 whereas in the healthy cohort, there were fewer men (42.5%). Average age across the risk groups was 216 between 59 (\pm 8) and 65 (\pm 6) years, while it was equal to 60 (\pm 7) years for the healthy cohort. As expected, there were differences in conventional CMR between the at-risk subgroups and healthy 217 218 subjects. In particular, all risk groups had on average greater indexed left ventricle mass (LVMi) in 219 comparison to the healthy cohort with the greatest difference in the hypertensive group (50.3 g/m2 vs 220 46.3 g/m2). All risk factor groups had lower indexed left ventricle stroke volume (LVSVi) and 221 indexed right ventricle stroke volume (RVSVi) in comparison to the healthy cohort. There were also 222 variations in chamber volumes, with different directions of difference depending on the risk category. 223 Finally, it is worth noting that no statistically significant differences (Welch's t-test) in the 224 conventional indices were found between the healthy and each at-risk subgroups, except for LVEF in 225 diabetes and LVSVi values in hypertension and current smokers (see Table 1).

3.2 Radiomics signatures have superior discriminatory performance over conventional CMR indices

In comparison to conventional indices, radiomics signatures provided better discrimination between healthy and at-risk subjects for diabetes (0.80 AUC for radiomics vs 0.70 for conventional indices), hypertension (0.72 vs 0.69), high cholesterol (0.71 vs 0.65), and previous smokers (0.63 vs 0.60) (Figure 3). The obtained models with radiomics vs. conventional indices were also compared using the McNemar's test; the differences were found to be statistically significant for diabetes, hypertension, high cholesterol, and previous smokers but not for current smokers.

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238 **3.3** Comparison of the degree of discrimination achieved for each subgroup

The degree of discrimination (no-risk vs. at-risk hearts) achieved using radiomics models varied between the different cardiovascular risks, as these have different effects on the heart. The highest degree of discrimination with radiomics models was seen in diabetes (0.78), suggesting that radiomics features are particularly important in distinguishing diabetes-related cardiac changes. The smallest degree of separation was seen in previous smokers (0.61). High cholesterol, hypertension and current smokers achieved similar degrees of separation by the radiomics models (i.e. 0.68, 0.68 and 0.67, respectively).

246 **3.4** The identified radiomics signatures for each cardiovascular risk factor

The identified radiomics signatures for each risk factor are described in Table 2. Overall, there was a more prominent role for shape and texture features than first-order features. For instance, in diabetics, five of the eleven features included in the model were shape-based and in the hypertension group, no first-order feature was selected. As expected, radiomics features from the LV blood pool and LV myocardium were the most relevant regions, with the RV blood pool having a minor role for the risk factors studied in this paper.

In Table 3, we consider the most discriminative radiomics feature for each risk factor, i.e. the feature assigned the most importance in the model, and compare it with the most discriminative conventional CMR measure, which was LVM for all risk groups.

For all the subgroups, the mean value of the most important radiomics features and conventional CMR indices was significantly different in the risk factor vs. healthy cohorts (p<0.001, Table 3). In addition, the single best radiomics feature outperformed the conventional CMR indices in its relevance for all risk factors. However, it was the combination of several radiomics features into a radiomic signature (Table 4) that provided the best overall discriminative power.

261 **4 Discussion**

262 **4.1 Summary of findings**

263 This paper described a methodology based on radiomics, machine learning and feature selection to 264 discover new discriminatory signatures in CMR. Based on over 5,000 datasets, we presented the 265 largest and most comprehensive study to demonstrate the feasibility and performance of CMR 266 radiomics for identifying new imaging signatures associated with important cardiovascular risk 267 factors such as diabetes, hypertension, cholesterol and smoking. Over conventional indices, we 268 showed that radiomics enable improved quantification of alterations in both cardiac structure and 269 tissue due to the effects of these risk factors. From the statistical tests performed in Table 1, it can be 270 seen that the conventional indices do not capture statistically significant differences between the 271 healthy vs. at-risk subgroups, with very few exceptions (LVEF values in diabetes, LVSVi values in 272 hypertension and current smokers). In contrast, the McNemar's statistical tests comparing the 273 radiomics models and the conventional indices show statistically significant differences between the 274 two approaches for all cardiovascular risk factors, except for current smokers. This indicates that for 275 diabetes, hypertension, high cholesterol and previous smokers, radiomics models provide incremental 276 value in identifying structural and textural differences between healthy and at-risk subgroups.

277 **4.2** Clinical interpretation of the radiomics signatures

278 A distinct advantage of radiomics modeling over black-box techniques such as deep learning is the 279 potential interpretability of the obtained results. Therefore, we can attempt to reason the prominence 280 of certain radiomics features in disease discrimination models. Shape features were highly featured in 281 all models and indicate subtle patterns of ventricular remodeling that are specific to conditions under 282 study. For instance, spherical disproportion (i.e. the inverse of sphericity) of the myocardium at end-283 diastole was lower in participants with high cholesterol compared with healthy individuals, indicating 284 that the overall shape of the LV is elliptical and more spherical in this risk factor group. Similarly, for 285 hypertensive individuals and previous smokers, the surface area to volume ratio was smaller in the 286 risk subgroups vs healthy subjects; this may reflect a pattern of concentric LV hypertrophy in these 287 conditions. For certain risk factors, intensity/texture features seemed more important, such as median 288 intensity for diabetes. As this was a retrospective study, we can only speculate as to the cause of this 289 association. One hypothesis is that diabetes leads to a global alteration of the myocardial tissue and 290 thus of the overall myocardial appearance in CMR images, resulting in higher median intensities 291 compared to non-diabetic subgroups. However, testing this hypothesis is beyond the scope of this 292 study.

293 As another example of a prominent textural feature, the most important feature identified for current 294 smokers in this study was gray level non uniformity. In a previous study (31), the very same radiomic feature was identified as the most important radiomic feature in hypertrophic cardiomyopathy 295 (HCM). However, as the authors pointed out in their paper, the intensity heterogeneity of myocardial 296 297 tissue is not unique to HCM and it might be of importance for other conditions. As smoking is a well-298 known cause for such cardiovascular diseases (32), there may be some commonality in the patterns 299 of myocardial hypertrophy and tissue fibrosis in these cardiovascular conditions that is being 300 reflected in the observed texture features. Indeed, the increased heterogeneity in grey level intensities 301 for current smokers as found in our study supports the potential effects on the myocardium for these 302 subjects.

Thus, radiomics allows more granular distinctions between health and disease in comparison to conventional CMR indices where, rather crudely, the single most discriminatory feature for all risk factors was higher LVM. These findings indicate the potential clinical utility of radiomics in improving understanding of the effects and pathophysiology of important cardiovascular risk factors.

307 4.3 Comparison with the existing literature

308 Literature in support of the superior diagnostic performance of CMR radiomics models over 309 conventional image analysis is slowly gaining momentum. Several studies have shown the feasibility 310 and clinical utility of CMR radiomics for distinguishing important disease entities. A small study by 311 Baeßler et al. (31) demonstrates the superior performance of CMR radiomics in discriminating 312 hypertrophic cardiomyopathy (n=32) from healthy comparators (n=30). The most discriminative 313 feature was grey level non-uniformity, a radiomics texture feature representing heterogeneity. It 314 seems intuitive that this feature would be defining of the irregular myofibrillar architecture of 315 hypertrophic cardiomyopathy. Similar to our observations, in particular with diabetes, it appears that 316 the observed radiomics signatures may reflect clinically meaningful information about significant 317 tissue level changes. Furthermore, studies have demonstrated the ability of CMR radiomics to 318 distinguish important conditions that appear morphologically similar with conventional image 319 analysis. For instance, Neisius et al. (15) demonstrated high performance of CMR radiomics models 320 applied to native T1 images to distinguish hypertensive heart disease (n=53), hypertrophic

- 321 cardiomyopathy (n=108), and healthy volunteers (n=71). There is also emerging work on using CMR
- radiomics to identify areas of myocardial infarction from non-contrast cine image (16,33,34) and to
- 323 identify acute from chronic myocardial infarction (33).

324 Our paper constitutes the most comprehensive study to assess the relationship between CMR 325 radiomics and cardiovascular risk factors. However, the concept of utilizing information from CMR 326 to obtain more complex geometric information has been addressed previously using atlas-based 327 shape measures. Cardiac atlases produce statistical shape models, giving highly detailed 328 morphometric information (35-37). Directly comparable to our findings, Gilbert et al. (38) 329 demonstrate unique morphometric variations associated with individual risk factors (high blood 330 pressure, smoking, high cholesterol, diabetes, angina), which could be quantified and visualized on 331 constructed atlases. The derivation of radiomics shape features is methodologically different from 332 cardiac atlases, however there are conceptual similarities about the type of information they provide. 333 Both seem to suggest that geometric features not captured by current image analysis approaches may 334 be extracted from existing CMR images and that this information seems to provide additional insight 335 into patterns of cardiac remodeling. CMR radiomics has several advantages over cardiac atlas 336 models. The signal intensity based radiomics features (first-order, texture) have great potential for not 337 only better disease discrimination and outcome prediction, but also gaining deeper insights into 338 disease processes at the tissue level; such information is not provided by cardiac atlas 339 morphometrics. CMR radiomics analysis does not require any dedicated acquisitions or post-340 processing and the extraction of radiomics features and model building are computationally simpler 341 than atlas models. Therefore, there is real potential for radiomics to enter the clinical workflow as a 342 very high yield and complementary image analysis tool.

Note that in this study we chose to select a different healthy subsample than in Petersen et al. (22). This is due to the differences in the objectives of the papers. While Petersen et al. (22) focused on the estimation of normal ranges of cardiac indices of structure and function and thus used very strict inclusion criteria, we are concerned with the study of cardiovascular risk factors and therefore we excluded subjects with known cardiovascular risk factor or disease.

348 **4.4 Limitations and future work**

349 To the best of our knowledge, this is the largest study to assess the performance of CMR radiomics 350 model in discriminating several important cardiovascular risk factors. Our findings demonstrate the 351 feasibility of CMR radiomics models to identify cardiac changes related to important cardiovascular 352 risk factors (diabetes, hypertension, high cholesterol, and smoking) with greater accuracy than 353 conventional indices. The UKB provides an excellent platform for this study with a large sample of 354 well characterized participants with linked CMR imaging. However, the data collection was 355 conducted through a combination of a touchscreen questionnaire and a face-to-face nurse interview, 356 and thus there remains some concerns about the accuracy and objectivity of the self-reported 357 conditions. Studies with consideration of more sophisticated statistical methods to better account for 358 confounding factors, as well as with inclusion of external validation cohorts, are needed to produce 359 and validate more disease-specific and generalizable models. In particular, there is a need for 360 prospective studies to determine the clinical utility of these models in providing incremental 361 cardiovascular risk information.

As for the pipeline implemented in this paper, alternative approaches may merit exploration, such as testing different methods for feature selection (e.g. LASSO (39), combination of filter and wrapper-

based methods (40)), or applying extensive hyper-parameter optimization for each risk group. Also,

365 while cross-validation was performed in the feature selection process to reduce the instability of radiomics features, other strategies have been proposed such as prior clustering of redundant features 366 367 (41), or using a concordance correlation coefficient (42). Additionally, there is need for proper 368 evaluation of the reproducibility of radiomics features across segmentation protocols and also across 369 imaging acquisitions, which is important due to non-standard pixel values and large variation in 370 signal intensities (43). Wider use of radiomics quality scores (44) would also enable better quality 371 and more uniform reporting of radiomics studies and foster research reproducibility. Finally, as a 372 common problem of artificial intelligence-based radiomics approaches, we have not assessed the 373 practical value of the present results since there is no comparative gold standard that can be used for 374 comparison.

375 **5** Conclusions

376 CMR radiomics is an emerging technique for deeper and more accurate cardiac phenotyping in 377 comparison to conventional image analysis. Our preliminary results based on a large sample from the 378 UKB indicates the feasibility of CMR radiomics analysis and potential clinical utility in superior 379 image phenotyping of major cardiovascular risk factors, including diabetes, hypertension, high 380 cholesterol, and smoking. The clinical value of these radiomics signatures for prediction of 381 downstream events warrants further investigation in prospective cohorts.

382 6 Abbreviations

383 ACC: Accuracy; AUC: Area under the curve; bSSFP: Balanced steady state free procession; Conv: 384 Conventional cardiovascular magnetic resonance indices; CMR: Cardiovascular magnetic resonance; 385 CV: Cardiovascular; ECG: Electrocardiogram; ED: End-diastole; ES: End-systole; F: First-order; 386 radiomics feature; LV: Left ventricle; LVEDV: Left ventricle end-diastolic volume; LVEDVi: 387 Indexed left ventricle end-diastolic volume; LVEF: Left ventricle ejection fraction; LVESV: Left 388 ventricle end-systolic volume; LVESVi: Indexed left ventricle end-systolic volume; LVM: Left 389 ventricle mass; LVMi: Indexed left ventricle mass; LR: Logistic regression; LVSV: Left ventricle 390 stroke volume; LVSVi: Indexed left ventricle stroke volume; ML: Machine learning; MYO: Left 391 ventricle myocardium; RF: Random forest; Rad: Radiomics features; ROI: Region of interest; RV: 392 Right ventricle; RVEDV: Right ventricle end-diastolic volume; RVEDVi: Indexed right ventricle 393 end-diastolic volume; RVEF: Right ventricle ejection fraction; RVESV: Right ventricle end-systolic 394 volume; RVESVi: Indexed right ventricle end-systolic volume; RVSV: Right ventricle stroke 395 volume; RVSVi: Indexed right ventricle stroke volume; S: Shape-based radiomics features; SFFS: 396 Sequential forward feature selection; SVM: Support vector machines; T: Texture-based radiomics 397 features; TE: Echo time; TR: Repetition time; UKB: UK Biobank

398 7 Competing interests

399 The authors declare that they have no competing interests.

400 8 Consent for publication

- 401 All participants in this study gave written consent to participate and to publish as part of the UK
- 402 Biobank recruitment process.
- 403 9 Author Contributions

All authors participated in the analysis of the data, critical revision of the manuscript, and final
approval of the submitted manuscript. SEP, SKP and SP contributed to study concepts, methods and
underlying data collection. SEP, SKP, SN and ZR provided support on clinical aspects of the study.
IC, ZR, OC and KL drafted the manuscript. IC, KL, SN, OC and MAGB designed the machine
learning methods. IC performed the data pre-processing and data analysis.

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427 **11** Availability of data and materials

This research was conducted using the UK Biobank re-source under Application 2964. UK Biobank will make the data available to all bona fide researchers for all types of health-related research that is in the public interest, without preferential or exclusive access for any person. All researchers will be subject to the same application process and approval criteria as specified by UK Biobank. For the detailed access procedure see <u>http://www.ukbiobank.ac.uk/register-apply/</u>.

433 **12 Ethical approval**

This study complies with the Declaration of Helsinki; the work was covered by the ethical approval for UKB studies from the NHS National Research Ethics Service on 17th June 2011 (Ref 11/NW/0382) and extended on 10th May 2016 (Ref 16/NW/0274) with informed consent obtained from all participants. Data access was granted through UK Biobank access application 2964.

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- 568
- 569
- 570 Tables
- 571
- Table 1: Summary of conventional CMR indices for the risk and healthy groups included in theanalysis.

	Diabetes	Hypertension	High cholesterol	Current smoker	Previous smoker	Healthy
	<i>n</i> =243	<i>n</i> =1,394	<i>n</i> =779	<i>n</i> =320	<i>n</i> =1,394	<i>n</i> =1,394
Male n(%)	146 (60.1%)	786 (56.4%)	460 (59.1%)	172 (53.8%)	729 (52.3%)	592 (42.5%)
Age mean(sd)years	64 (±7)	64 (±7)	65 (±6)	59 (±8)	63 (±7)	60 (±7)
LVEDVi (ml/m ²)	73.4 (±13.8)	76.7 (±14.2)	75.0 (±13.9)	77.2 (±15.1)	76.9 (±14.8)	77.9 (±14.7)
LVESVi (ml/m ²)	30.8 (±9.2)	31.6 (±9.3)	30.8 (±8.8)	32.5 (±9,4)	31.9 (±10.5)	31.6 (±8.8)
LVMi (g/m ²)	49.1 (±9.6)	50.3 (±10.2)	48.6 (±9.7)	49.3 (±9.9)	48.3 (±10.1)	46.3 (±9.7)
LVEF (%)	58.5 (±7.3)*	59.2 (±6.9)	59.3 (±6.7)	58.3 (±6.9)	59.0 (±6.7)	59.7 (±5.9)
LVSVi (ml/m ²)	42.7 (±8.3)	45.2 (±8.4)*	44.2 (±8.3)	44.7 (±8.9)*	45.1 (±8.2)	46.3 (±8.8)
RVEDVi (ml/m ²)	77.2 (±14.5)	80.1 (±14.9)	79.1 (±14.9)	81.2 (±16.1)	80.8 (±14.8)	83.1 (±16.2)
RVESVi (ml/m ²)	34.3 (±9.6)	34.8 (±9.7)	34.7 (±9.7)	36.3 (±10.4)	35.6 (±9.5)	36.8 (±10.5)
RVEF (%)	56.0 (±6.9)	56.9 (±6.7)	56.5 (±6.8)	55.7 (±6.9)	56.3 (±6.4)	56.2 (±6.3)
RVSVi (ml/m ²)	42.9 (±8.2)	45.3 (±8.4)	44.4 (±8.5)	44.9 (±8.9)	45.2 (±8.3)	46.3 (±8.5)

574 LV: left ventricle, RV: right ventricle, EDV: end-diastolic volume, ESV: end-systolic volume, SV:

575 stroke volume, EF: ejection fraction, LVM: left ventricle mass, i: indexed, absolute values divided by

- 576 body surface area (calculated according to Du Bois formula). Values are given as mean ± standard
- 577 deviation for continuous variables, and count (%) for categorical variables. *: Indicates statistical
- 578 differences with respect to the healthy subgroup according to Welch's t-test.
- 579
- 580 Table 2: Radiomics features selected for each risk factor. Features are presented in order of 581 importance (accuracy using only one feature) in the model for each risk factor.
 - Phase CV risk factor **Radiomics signature** Feature type ROI Alone **High cholesterol** Spherical disproportion Shape MYO ED 0.61 Compactness Shape MYO ED 0.60 Skewness First-order LV ED 0.59 Informal measure of correlation Texture LV ES 0.57 Gray level non-uniformity Texture RV ED 0.55 0.52 Contrast Texture RV ES Diabetes Median First-order MYO ES 0.65 Surface area to volume ratio Shape MYO ED 0.61 Energy First-order LV ED 0.61 Surface area Shape MYO ES 0.58 Dependence variance Texture LV ED 0.57 Large area high gray level emphasis Texture MYO ED 0.57 Energy First-order LV ES 0.57 Flatness Shape RV ED 0.56 LV Surface area Shape ES 0.55

	Max 2D diameter column	Shape	RV	ED	0.50
	Difference average	Texture	LV	ES	0.44
Hypertension	Surface area to volume ratio	Shape	МҮО	ED	0.61
	Percentile 10	First-order	RV	ES	0.58
	Informal measure of correlation	Texture	LV	ES	0.55
	Dependence non-uniformity normalized	Texture	LV	ED	0.54
	Size zone non-uniformity normalized	Texture	RV	ED	0.54
Current smokers	Gray level non-uniformity	Texture	МҮО	ES	0.60
	Dependence entropy	Texture	LV	ED	0.57
	Standard deviation	First-order	МҮО	ED	0.53
	Max 2D diameter column	Shape	RV	ED	0.50
	Large dependence low gray level emphasis	Texture	RV	ED	0.45
Previous smokers	Surface area to volume ratio	Shape	МҮО	ED	0.57
	Busyness	Texture	LV	ES	0.54
	Run entropy	Texture	МҮО	ES	0.50
	Skewness	First-order	RV	ES	0.50
	Run length non-uniformity	Texture	RV	ED	0.49
	Zone variance	Texture	LV	ED	0.49

ROI: region of interest, Alone: model performance using each radiomic feature individually, LV:
left-ventricle, RV: right-ventricle, MYO: left ventricle myocardium, ED: end-diastolic.

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- 586 Table 3: Values of the best radiomics features (Rad) and the conventional CMR indices (Conv).
- 587 Feature values from risk groups and healthy individuals were statistically significantly different for
- all selected features (Bonferroni adjusted p-value < 0.05/684).

CV risk factor	Single most defining feature	CV risk	CV risk cohort		Healthy cohort	
		Mean	SD	Mean	SD	
High cholesterol	Rad: Spherical disproportion MYO ED (S)	3.631	0.290	3.779	0.311	0.611
	Conv: LVM (g)	93.493	24.199	85.667	24.104	0.576
Diabetes	Rad: Median MYO ES (F)	67.887	9.058	74.652	10.514	0.658
	Conv: LVM (g)	97.856	24.250	85.931	25.024	0.605
Hypertension	Rad: Surface area to volume ratio MYO ED (S)	0.390	0.054	0.425	0.06	0.618
	Conv: LVM (g)	97.131	25.849	85.623	24.101	0.593
Current smokers	Rad: Gray level non uniformity MYO ES (T)	573.448	134.355	515.789	140.307	0.609
	Conv: LVM (g)	93.614	24.804	84.549	25.426	0.564
Previous smokers	Rad: Surface area to volume ratio MYO ED (S)	0.405	0.058	0.425	0.062	0.574
	Conv: LVM (g)	91.902	24.896	85.623	24.101	0.552

589 S: shape, F: first-order, T: texture, SD: standard deviation, ACC: accuracy, CV: cardiovascular,

590 MYO: LV myocardium, ED/ES: end-diastole/systole, LVM: left ventricular mass (in grams, g).

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- 597 Table 4: Selected number of radiomic features used for each risk factor and their discriminative
- 598 accuracy, and results obtained based on conventional imaging indices and size information.

Risk factor	Radiomics features				Clinical indices			
	#	S/F/T	LV/RV/MYO	ED/ES	ACC/AUC	#	LV/RV	ACC/AUC
High cholesterol	6	2/1/3	2/2/2	4/2	0.682/0.712	2	1/1	0.626/0.645
Diabetes	11	5/3/3	5/2/4	6/5	0.782/0.803	4	3/1	0.681/0.704
Hypertension	5	2/0/3	2/2/1	3/2	0.682/0.721	2	1/1	0.646/0.690
Current smokers	5	1/1/3	1/2/2	5/0	0.675/0.675	3	2/1	0.628/0.648
Previous smokers	6	1/1/4	2/2/2	3/3	0.612/0.626	2	1/1	0.579/0.599

- 599 #: total selected number of features, S: shape features, F: first-order radiomics, T: texture features,
- 600 LV: left ventricle, RV: right ventricle, MYO: Myocardium, ED: end-diastole, ES: end-systole, ACC:

601 accuracy (prediction performance), AUC: area under the curve.

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603 Figures

- 604 Figure 1: The data selection process.
- 605 Figure 2: The proposed radiomics workflow
- 606 Figure 3: Receiver operating characteristic curves for radiomics and conventional CMR indices
- 607 models for the cardiovascular risk factor subgroups. AUC: area under the curve.





1. CMR segmentation





