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1 **Seismic assessment of wind turbines:**
2 **how crucial is rotor-nacelle-assembly numerical modeling?**

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5
6

7 **Abstract**

8
9 The cross-section and the structural twist angle of a typical wind turbine blade vary along its
10 span. This complicates its realistic modeling in nonlinear dynamic analysis of wind turbines
11 when seismic performance estimates are sought. As a result, the lumped mass approach is most
12 commonly used to model the rotor-nacelle-assembly (RNA). The RNA is eccentric to the tower
13 top, and the blades tend to induce rotary inertia on the tower. The exclusion of this rotary inertia
14 and the rotor eccentricity can impact the structural response of the wind turbines as the RNA
15 contributes significantly to the total mass of the system. Moreover, the blades are long, slender
16 structural components that can vibrate and deform independently under seismic excitation. The
17 lumped mass approach intrinsically considers the rigid-body inertia for the RNA, which
18 inevitably acts as a part of the tower top. This can affect the seismic vulnerability estimation
19 of the offshore wind turbines (OWT) at a degree that has not yet been properly quantified. To
20 explore this issue, the present study discusses the effects of the three key RNA parameters, i.e.,
21 (i) rotary inertia of the blades, (ii) rotor eccentricity, and (iii) blades' flexibility, on the seismic
22 failure and fragility of OWT under shallow crustal earthquakes. Results show that the rotary
23 inertia affects the higher modes, which in turn influence the height of the tower failure zones.
24 It is also shown that different levels of RNA modeling refinement affect the predicted failure
25 probabilities, particularly under pulse-like ground motions, while the same estimates are
26 overestimated if the conventional rigid body lumped mass rotary inertia is used. Even worse,
27 they can be underestimated (thus less safe) when the rotary inertia is completely ignored,
28 compared with the refined modeling of flexible turbine blades. These results are revealing as
29 they highlight that seismic hazard can indeed pose a significant design issue for OWTs in some
30 regions.

31
32
33 **Keywords**

34
35 Renewable energy; Offshore wind turbines; Blade deformability; Tower failure zones; Seismic
36 vulnerability
37

38 **1. Introduction**

39
40 The development of a reliable computational model is of paramount importance for structural
41 analysis in a probabilistic seismic risk assessment (PSRA) framework. The complexity of the
42 numerical model depends on the required structural response and the desired computational
43 efficiency. The modeling approach can vary from a simple one-dimensional (1D) beam element
44 model [1,2] to a complex 3D finite element model (FEM) [3,4]. One-dimensional beam
45 element models are suitable for simulating the global structural response [5]. At the same time,

46 a detailed 3D FEM is efficient for investigating the local yielding/instabilities in structural
47 components [6–8], e.g. plastic hinges, buckling ovalisation effects, etc. Similarly, 1D FEMs
48 are developed to study various soil-structure interaction problems, where details for
49 considering soil nonlinearity, soil impedance, as well as kinematic interaction are often
50 approximated through simplified material laws, springs, and dashpots, respectively [9–12]. For
51 more insightful quantification on wave propagation effects, soil fatigue, discontinuity at the
52 soil-pile interface, advanced nonlinear 3D soil models with detailed material constitutive laws
53 are often preferred [13–20]. Independently of the modeling approach, each computational
54 model must be defined in terms of structural parameters such as mass, stiffness, damping,
55 strength, boundary conditions, etc. The reliability of the structural response depends on how
56 rigorously the uncertainties in these parameters are considered [21]. The ground motion
57 variability (i.e. the aleatory uncertainty component) is usually deemed more significant to
58 affect the structural response [22], therefore, structural parameters are often treated in a
59 simplified manner to reduce the computational effort.

60 The rotor-nacelle-assembly (RNA) is a combination of essential structural components, i.e.
61 nacelle, gearbox, and rotor (i.e., hub and blades combined) that transform wind energy to
62 electrical power. The general details on an RNA, such as the rotor diameter, nacelle, hub, and
63 the blades' mass are easily available [2,23,24] and as such, quite simple to model. The local
64 details of the blades, however, including their shape profile, material distribution, and strength
65 are often difficult to find because the aerodynamic profile of the blades is patented and
66 represents an offshore industry asset. As a result, it is a common practice to simplify the RNA
67 atop the tower as a point mass [2,5–8,25]. This is a robust and time-efficient choice for the
68 RNA modeling, particularly when a nonlinear numerical analysis is performed and an extensive
69 set of earthquake ground motions are used [2,6,25]. Notwithstanding the above advantages, the
70 lumped mass approach makes a major simplification: it considers the RNA as a rigid body,
71 ignoring the effects of the blade deformability as well as that of local blade modes and their
72 modal damping on the global structural response of the turbine.

73 In a typical RNA, nacelle and rotor are generally eccentric to the tower, both horizontally and
74 vertically. The nacelle dominates the total RNA mass (M_{RNA}) which can be up to half of the
75 total wind turbine's mass [23], and it is shown to be one of the driving parameters to identify
76 the nature and location of tower failure in offshore wind turbines (OWT) under crustal
77 earthquakes [5]. Besides, blades are long, slender structures that tend to induce rotary inertia
78 effects at the tower due to their ability to vibrate individually. Such an effect can be generically
79 considered into a numerical analysis if the full blades are modeled [3]. However, it has to be
80 invoked through analytical computations into a structural model, if a lumped mass is used [2].
81 In this regard, there is a variety of modeling methods to capture the three main RNA
82 parameters, i.e., (i) rotary inertia of the blades, (ii) the rotor/RNA eccentricity, and (iii) the
83 deformability of the blades. Ideally, the first and the third parameter represent the same
84 quantity, i.e., the rotary inertia of the blades. However, in this study, the first parameter is
85 assumed to relate to the lumped mass approach, whereas the third parameter corresponds to the
86 explicit modeling of the blades. This conceptual distinction aims to serve the comparative study
87 of their effect on the prediction of seismic performance at a structural (i.e. OWT) level.
88 Depending on the modeling approach, both these parameters can be considered in conjunction
89 with the second parameter. There has been a limited number of studies that consider the rotary
90 inertia and the rotor eccentricity simultaneously, where the latter is taken as either only vertical
91 [2,5] or in both horizontal and vertical directions [3,7,8,26]. But, so far, it is unclear how the

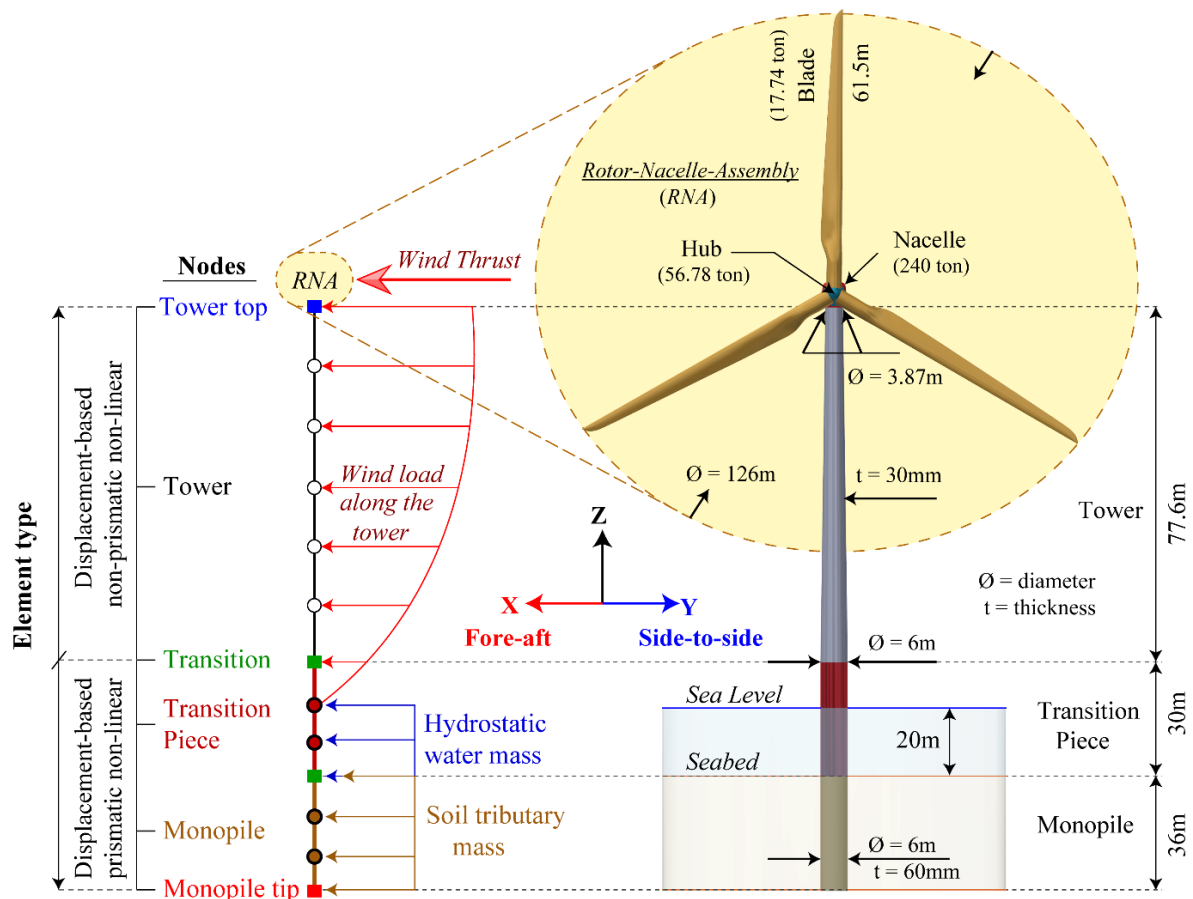
92 interaction of these RNA parameters impacts the structural behavior and vulnerability of wind
93 turbines under seismic actions.

94 **1.1. Novelty and scope of this work**

95
96
97 This study aims at evaluating the effects of rotary inertia of the blades and the rotor eccentricity
98 on the nonlinear structural response and failure probabilities of OWTs. The rotor inertia of the
99 blades is considered using the conventional lumped mass approach and the explicit modeling
100 of the full blades. This is done to further examine the influence of blades' deformability and
101 their local modes on the failure and seismic fragility of OWT towers. An important point here
102 is that OWTs are shown to be vulnerable to crustal earthquakes [2,5]. Therefore, a bin (subset)
103 of 100 shallow crustal earthquake records is selected for nonlinear dynamic analysis, split into
104 50 pulse-like and 50 non-pulse-like records. The changes in the tower failure modes, their
105 location, and their failure probabilities [27] are examined using five different combinations of
106 the rotary inertia and the rotor eccentricity. First, the rotary inertia of the blades (I_R) is ignored
107 and the M_{RNA} is assigned to the tower top. Second, the I_R is also applied at the tower top, which
108 is computed assuming a uniform distribution of the blade mass (m_B) across the blade length
109 (r_B). Third, a vertical eccentricity of M_{RNA} and I_R above the tower top is considered. Fourth,
110 the nacelle (m_N) and the rotor mass (m_R) are considered with a vertical and a horizontal
111 eccentricity from the tower top. m_N and m_R are placed at their center of mass locations, whereas
112 the I_R is applied at m_R . Fifth, the blades are modeled explicitly where the rotary inertia is
113 induced using their cross-section properties. The modal, nonlinear dynamic, and fragility
114 analysis results are then discussed to point out the implications of the different pairs of RNA
115 parameters on the high-order vibration modes, tower failure modes, their location, and the
116 failure probabilities predicted for OWTs under pulse-like and non-pulse-like crustal
117 earthquakes.

118 **2. Structural model**

119
120
121 The structural specifications of the NREL 5MW reference offshore wind turbine (OWT) [23],
122 supported on a monopile foundation [28], are considered. The numerical model of the 5MW
123 OWT is developed using the OpenSees software [29]. Figure 1 shows the schematic description
124 of the OWT geometry, the global axis, and the finite element model (FEM). The main tower,
125 transition piece, and the monopile are modeled using nonlinear beam-column elements. It is a
126 robust and time-efficient choice for the supporting tower, especially when an extensive bin of
127 earthquake time histories are involved to analyze the global system behavior, including
128 buckling [2,5]. The Batdorf curvature parameter for thin cylindrical shells is used for element
129 discretization [30]. The main tower, transition piece, and the monopile have an element length
130 of 0.7 m, 0.9 m, and 1.2 m, respectively. This size assures that the elements act as intermediate
131 shells where the section's strength is mobilized in the event of global tower buckling [5]. It is
132 noted that the effects of bolts, welds, and flanges are not considered in the tower. Therefore,
133 an increased steel density of 8500 kg/m³ is used, following recommendations from the
134 literature [23]. Moreover, the presence of the doorway and production imperfections are
135 excluded, which may slightly underestimate the structural response [7].



a) Finite element model (in OpenSees)

b) Global axis & geometry description

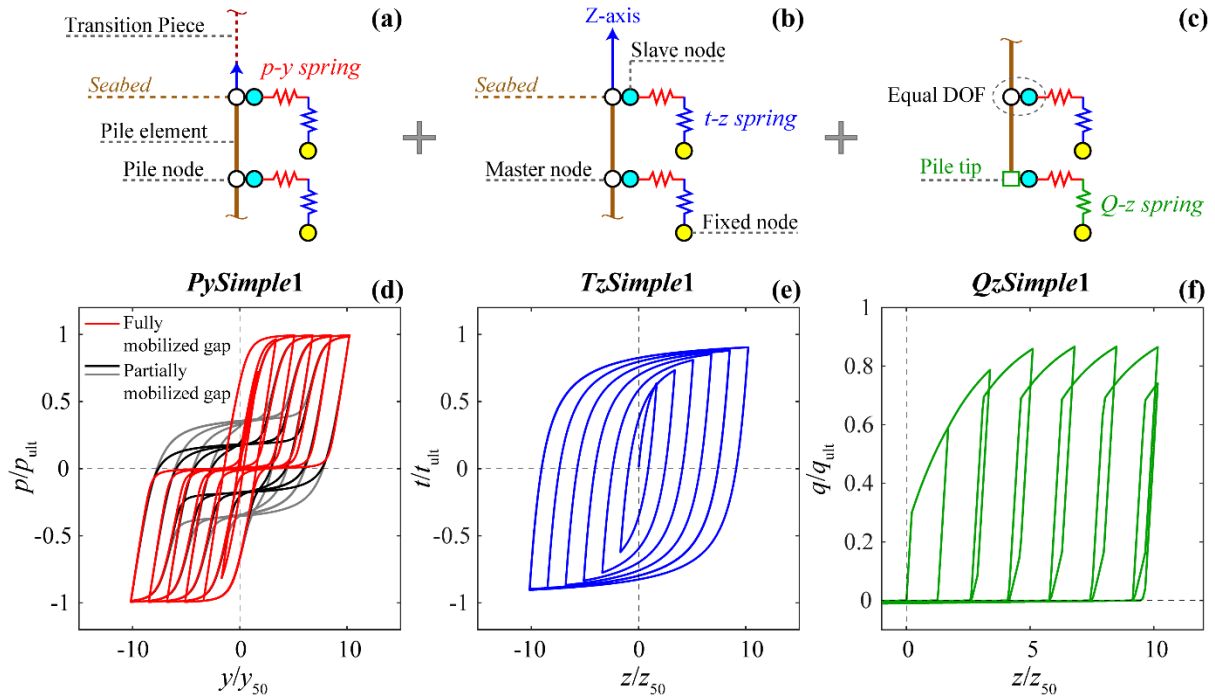
Figure 1 Schematic representation of the OWT (a) Finite element model; (b) geometry, and reference global axis

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142 The soil-monopile interaction is modeled as a beam on nonlinear springs to represent the
143 mechanical behavior of the soil around the monopile¹. The input geotechnical properties
144 include soil's unit weight (10 kN/m³), internal friction angle (35°), and soil shear modulus (250
145 MPa) at the pile tip [5,28]. Figure 2(a-c) explains the modeling schematics of the soil springs,
146 generated using zero-length elements. Each spring element includes two nodes where one is
147 fully constrained (fixed node), while the other (slave node) is connected to the monopile
148 (master node) having an equal degree of freedom, i.e. free translations and constrained rotations
149 [2]. Soil resistance is modeled in the horizontal and vertical directions through independent
150 uniaxial materials. *p-y* springs through *PySimple1* [10] material object in OpenSees [29], where
151 the ultimate load curves and subgrade reaction coefficient along the monopile depth follow the
152 recommendations of the American Petroleum Institute (API) [31]. Similarly, the vertical soil
153 resistance along the monopile is modeled through *TzSimple1* material by means of a *t-z*
154 backbone relation for axially loaded piles in the sand as proposed by Mosher [32]. *Q-z* spring
155 is another vertically-oriented spring to model the soil behavior at the monopile tip using
156 *QzSimple1* material with *q-z* relation for compression versus uplift given by Vijayvergiya [33].

¹ https://opensees.berkeley.edu/wiki/index.php/Laterally-Loaded_Pile_Foundation

157 Figure 2(d-f) shows the hysteretic behavior of $PySimple1^2$, $TzSimple1^3$, and $QzSimple1^4$
 158 material under cyclic loading. It is possible to consider the gapping effects on the monopile
 159 [10,11], and use dashpots coupled with nonlinear soil springs to emulate radiation damping
 160 [9,10,12]. However, such details are neglected in this study due to the limited available
 161 geotechnical details. Advanced nonlinear models are required for better quantification of
 162 discontinuity at the soil-pile interface, seismic wave propagation, and energy dissipation [14–
 163 19,34–37], however, this remains out of the present scope.
 164



165
 166
 167 Figure 2 Modeling schematics and material hysteretic behavior of nonlinear soil springs; (a,
 168 d) horizontal p - y spring with $PySimple1$ material [10,31]; (b, e) vertical t - z spring with
 169 $TzSimple1$ material [32]; (c, f) pile tip Q - z spring with $QzSimple1$ material [33].
 170

171 2.1. Load modeling

172
 173 Apart from the self-weight, four types of loadings are considered in this study, i.e., the wind
 174 load, the effect of water mass around the monopile, the effect of the tributary soil mass
 175 surrounding and inside the monopile, and the seismic loads. All the loads are modeled
 176 statically, except the earthquake loads which are modeled in a dynamic regime for nonlinear
 177 response history analysis.

178 All structural and non-structural masses, including the main tower, transition piece, monopile,
 179 rotor-nacelle-assembly (RNA), hydrostatic water, and the soil, are applied at the structural
 180 nodes as shown in Figure 1. The water mass is calculated as 80% of the transition piece mass
 181 under the sea level. The tributary area to compute the soil mass inside and around the monopile
 182 is considered equivalent to the monopile area [2,5]. The wind load is considered as the
 183 concentrated nodal loads, applied along the supporting tower above the sea level, following the

² https://opensees.berkeley.edu/wiki/index.php/PySimple1_Material

³ https://opensees.berkeley.edu/wiki/index.php/TzSimple1_Material

⁴ https://opensees.berkeley.edu/wiki/index.php/QzSimple1_Material

184 normal wind profile from the ASCE/SEI [38] and IEC [39] regulations, for a reference wind
 185 speed of 15 m/s. Also, a thrust force arising from the influence of the wind on the blades is
 186 applied at the rotor hub; refer to [40,41] for details on its evaluation procedure.
 187 The earthquake loads are applied in all three directions, i.e., two horizontal (X, Y) and one
 188 vertical (Z), using acceleration time histories. To maximize the loading effects, the stronger of
 189 the two horizontal ground motions, in terms of the peak ground acceleration (PGA), is applied
 190 in the direction of the wind load, i.e., fore-aft (X) direction. The load combination factors, of
 191 course, should be appropriately quantified in a design situation, however, this is not deemed
 192 necessary herein given that the focus is on the relative effect of different degrees of FE
 193 modeling refinement. Ground motions are applied uniformly along the pile length (i.e., at the
 194 pile spring supports). This is a simplification given that the frequency and amplitude of seismic
 195 waves tend to alter while propagating through the soil media [19]. Vertical propagation of S-
 196 waves may induce additional bending moments through soil-pile kinematic interaction [42–
 197 44]. Given the lack of stratigraphic geotechnical details, it is neither possible to perform a site
 198 response and wave propagation analysis nor to develop a free-field soil column connected to
 199 soil springs, therefore, excitations are deemed uniform along the pile length. It should be noted
 200 that this may lead to a slight underestimation of the response in OWTs, especially for the case
 201 of layered [45] or liquefiable soils [46].
 202

203 2.2. Rotor Inertia Modeling

204
 205 This study uses five different RNA configurations for the OWT numerical model. These
 206 configurations are selected to capture the influence of the blades' rotary inertia and rotor
 207 eccentricity on the dynamic behavior and seismic fragilities of OWTs. Figure 3 shows the
 208 schematics of a typical RNA and sketches the five rotor-inertia modeling configurations,
 209 studied here. These configurations are termed as C1 to C5, where the first-four uses the lumped
 210 mass approach with different assumptions and the last considers the full three-blades to model
 211 the rotary inertia. More specifically, C1 considers the total RNA mass (M_{RNA}) at the tower top,
 212 while ignoring the rotor eccentricity and inertia (I_R) (Figure 3c). C2 extends C1 by considering
 213 I_R , such that I_R is transferred to the M_{RNA} node by an amount of e_{XR} , which is the horizontal
 214 distance between the hub and the tower (Figure 3d). C3 further introduces the vertical
 215 eccentricity (e_{zS}) of M_{RNA} , where e_{zS} is the location of the rotor shaft above the tower top
 216 (Figure 3e). C4 disintegrates the RNA mass, such that the nacelle mass (m_N) is separately
 217 placed at a horizontal (e_{xN}) and a vertical distance (e_{zN}) away from the tower top. Similarly,
 218 the rotor mass (m_R) is eccentric to the tower by an amount e_{xR} and e_{zR} . In this case, m_R is the
 219 combined mass of the hub (m_H) and three blades ($3 \times m_B$), where the I_R is directly applied at the
 220 m_R node (Figure 3f).

221 The I_R for C1 to C4 is calculated using equations (1) to (3), assuming uniform mass distribution
 222 along the length (r_B) of each blade [2]. I_x , I_y , and I_z refer to the moment of inertia about the
 223 global X, Y, and Z-axis, respectively. C5 is an extension to C4, and it refers to a blade-
 224 integrated configuration, as shown in Figure 3g. The hub and blades are modeled separately.
 225 Thus, the contribution of I_R comes from the cross-sectional stiffnesses of the blade (I_B) _{$i=1, \dots, n$} ,
 226 where n is the total number of the blade's cross-sections. Equation (4) is used to estimate the
 227 torsional ($I_x = J$), flapwise (I_y), and edgewise (I_z) moments of inertia of an i th section of the
 228 blade about its local x , y , and z -axis, respectively [47]. A refers to the area of a hollow thin-

229 walled blade section (airfoil), t is its wall thickness, l is its perimeter length, c is its chord length
 230 or the width, and dA is its differential area.

231

$$\text{C1: } I_{\text{R}} = \{I_{\text{X}} = I_{\text{Y}} = I_{\text{Z}} = 0 \quad (1)$$

$$\text{C2, C3: } I_{\text{R}} = \begin{cases} I_{\text{X}} = m_{\text{B}}r_{\text{B}}^2 \\ I_{\text{Y}} = I_{\text{Z}} = 0.5m_{\text{B}}r_{\text{B}}^2 + 3m_{\text{B}}ex_{\text{R}}^2 \end{cases} \quad (2)$$

$$\text{C4: } I_{\text{R}} = \begin{cases} I_{\text{X}} = m_{\text{B}}r_{\text{B}}^2 \\ I_{\text{Y}} = I_{\text{Z}} = 0.5m_{\text{B}}r_{\text{B}}^2 \end{cases} \quad (3)$$

$$\text{C5: } (I_{\text{B}})_{i=1,\dots,n} = \begin{cases} I_{x,i} = J_i = (4A^2t/l)_i \\ I_{y,i} = (\int_0^c z^2 dA)_i \\ I_{z,i} = (\int_0^c y^2 dA)_i \end{cases} \quad (4)$$

232

233 For tower-eccentric RNA configurations, rigid links are used to achieve a rigid kinematic
 234 coupling between the RNA nodes. Only one rigid link is used to connect the vertically-eccentric
 235 M_{RNA} (master node) to the tower top (slave node), as shown in Figure 3e for C3. However, for
 236 a more discrete RNA, i.e., C4, a total of three rigid links are used to connect the rotor shaft
 237 node (master) with the tower top nacelle (m_{N}) and rotor (m_{R}) nodes, as shown in Figure 3f. In
 238 the case of C5, all three blades are tied to the hub node (m_{H}), and the kinematic coupling among
 239 the nacelle, rotor shaft, hub, and the tower top is identical to C4 [48].

240

241 **2.2.1. Blade FEM and properties**

242

243 Figure 3g also shows the OpenSees FEM details of a 61.5 m blade used in this study. The blade
 244 discretization, cross-sectional shape type and distribution, structural twist, and elastic center is
 245 taken after Jonkman et al. [23]. The blades are developed using elastic beam-column elements
 246 that require cross-sectional area (A), young's modulus (E), shear modulus (G), and moments
 247 of inertia (I_y , I_z , and J) to define the structural mass and stiffness.

NREL 5MW

Nacelle location

$ex_N = 1.90 \text{ m}, ez_N = 1.75 \text{ m}$

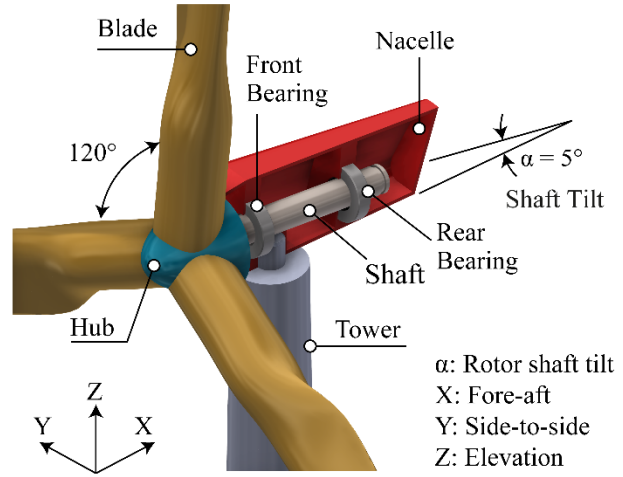
Rotor/Hub location

$ex_R = -5.00 \text{ m}, ez_R = 2.40 \text{ m}$

Shaft location above tower top

$ex_S = 0.00 \text{ m}, ez_S = 1.9626 \text{ m}$

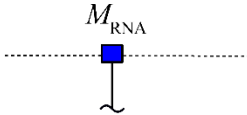
- Tower top ● Hub/Rotor
- Rotor shaft ◆ Nacelle
- Tower — Rigid Link



(a) Nomenclature

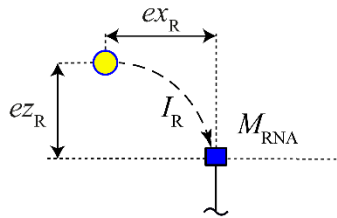
(b) Schematics of the rotor-nacelle-assembly

$(I_R \rightarrow I_X, I_Y, I_Z) = 0$



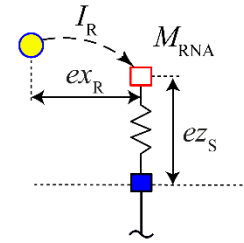
■ RNA mass node
 $M_{RNA} = m_N + m_H + 3m_B$

(c) C1



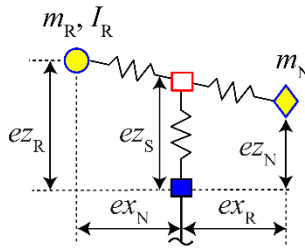
■ RNA mass node
 $I_R \rightarrow \blacksquare$, given ex_R
 where, $ez_R = 0$ (No Eccentricity)

(d) C2



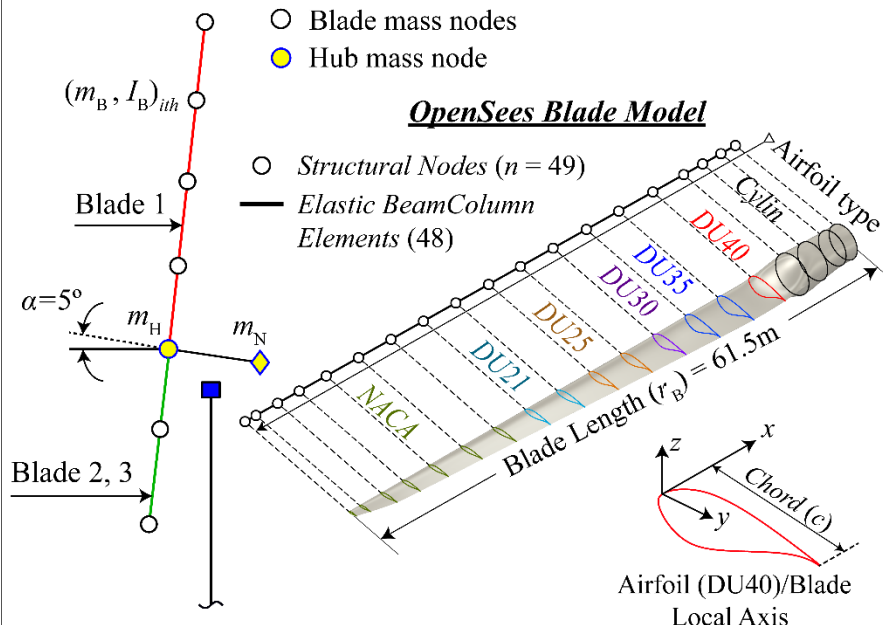
□ RNA mass node
 $I_R \rightarrow \blacksquare$, given ex_R
 (Vertical eccentricity = ez_S)

(e) C3



● Rotor mass node
 $m_R = m_{Hl} + 3m_B$

(f) C4



(g) C5

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250

251

Figure 3 (a) Nomenclature of the quantities used for rotor-inertia modeling; (b) Schematic description of rotor-nacelle-assembly (RNA); RNA configuration with (c) no eccentricity and

no rotary inertia of blades; (d) rotary inertia but no eccentricity; (e) rotary inertia with vertically-eccentric RNA; (f) disintegrated nacelle and rotor with horizontal and vertical eccentricity; (g) disintegrated nacelle, hub, and fully modeled blades

I_y and I_z is the moment of inertia about the local y -axis and z -axis of the blade, respectively, and J is its torsional moment of inertia. The difference between the global axis of the wind turbine and the local axis of the blade is further clarified in Figure 4.

A typical design of a wind turbine blade involves a complex fusion of composite materials [49–51]. In this study, a uniform material is assumed for the blade. The input parameters for the blade elements are obtained using a genetic algorithm-based optimization [52–54]; an efficient method adopted in various studies for the shape and performance optimization of the composite blades [55] and OWT towers [56], respectively. The objective and outcome of the optimization process are summarised here for brevity. The blade optimization is performed to obtain cross-sectional thickness (t), the slope of thickness change along the blade (S), material density (ρ), Young’s modulus of a uniform-anisotropic material (E_y and E_z), and shear modulus (G). It may be noted that OpenSees does not allow the use of bi-directional E in the elastic beam elements, therefore, the material anisotropy is introduced by scaling I_z of each blade element with a ratio E_z/E_y . The optimized properties are used to calculate the mass, stiffness, and in turn, the modal frequencies of the blade that are similar to the NREL 5MW blade [23]. The values of t , S , ρ , E_y , E_z , and G used in this study are 26.79 mm, 3.156×10^{-4} , 1893 kg/m³, 40.015 GPa, 9.203 GPa, and 6.053 GPa, respectively [48].

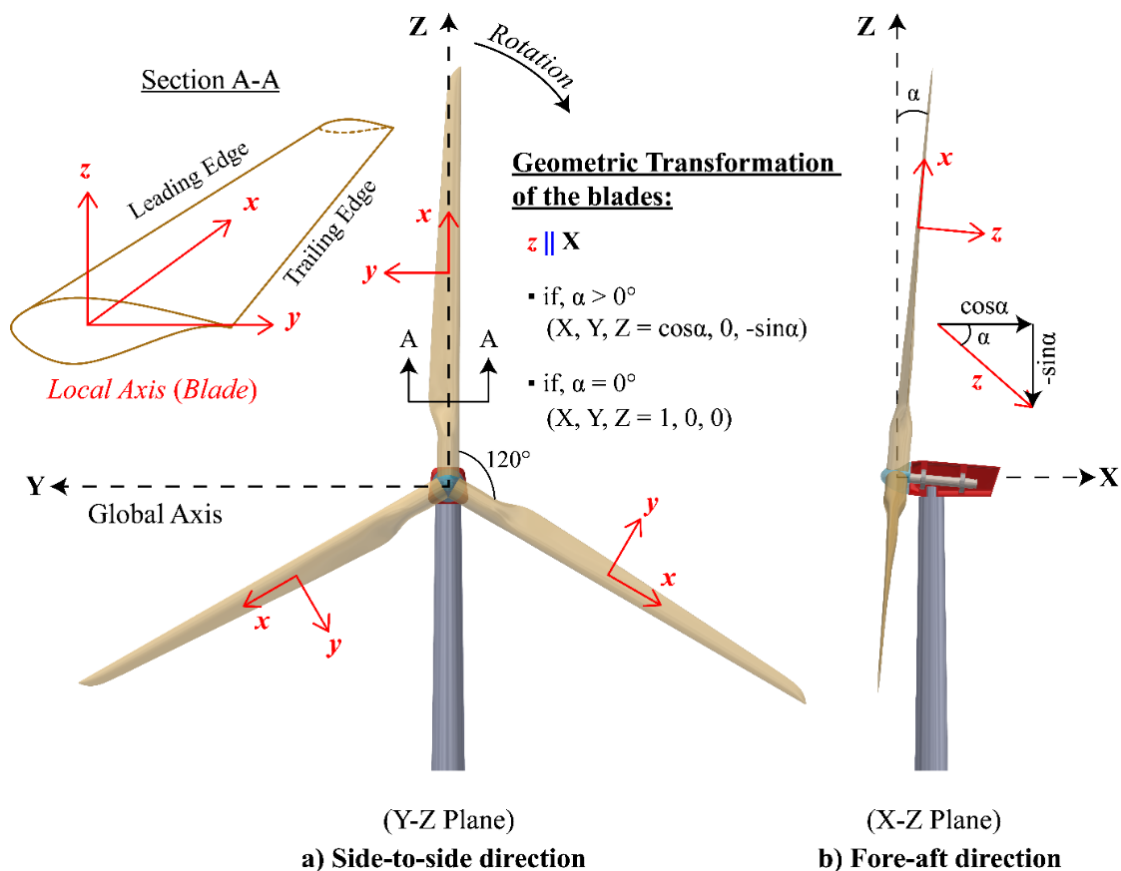


Figure 4 Geometric transformation of the blade’s local axis to the global axis, (a) side-to-side; (b) fore-aft direction

278

279 2.2.2. Geometric transformation of the blades

280

281 Figure 4 shows the local and global coordinate systems used for the blades and the wind
 282 turbine, respectively. Element stiffness and resisting forces must be transformed from the local
 283 axes to the global system, for all structural components, for a reliable numerical analysis. This
 284 is achieved by using appropriate coordinate-transformation in OpenSees [29,57]. The
 285 geometric transformation is straightforward for the supporting tower. For blades, the
 286 orientation of the local axes may depend on the angle of the shaft tilt (α). For instance, the local
 287 z-axis of each blade will be parallel to the global X-axis if α is zero. But, if α is greater than
 288 zero, the local system of the axis will be rotated accordingly, shown in Figure 4. In this study,
 289 the rotor shaft tilt (α) of 0.5° is used [23], forming the coordinate transformation shown in
 290 Figure 4b and thus, applied in the blade-integrated rotor configuration of the OWT, i.e., C5 as
 291 discussed in the previous section.

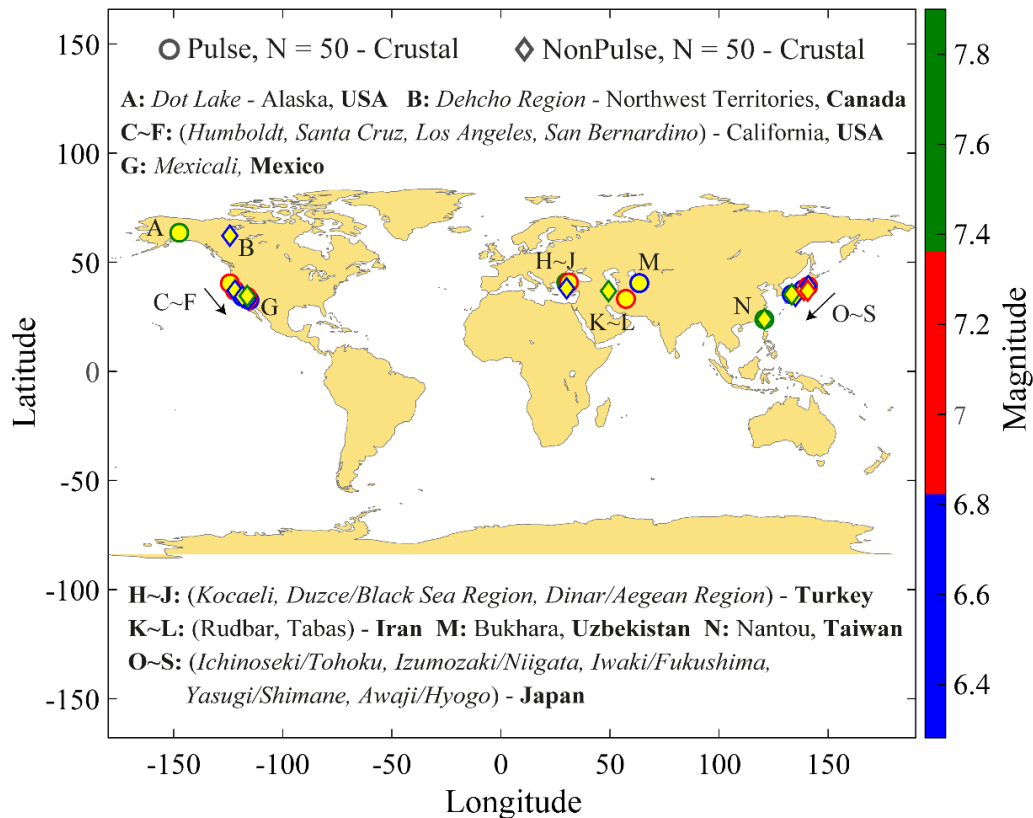
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293 3. Vulnerability assessment

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295 3.1. Earthquake records

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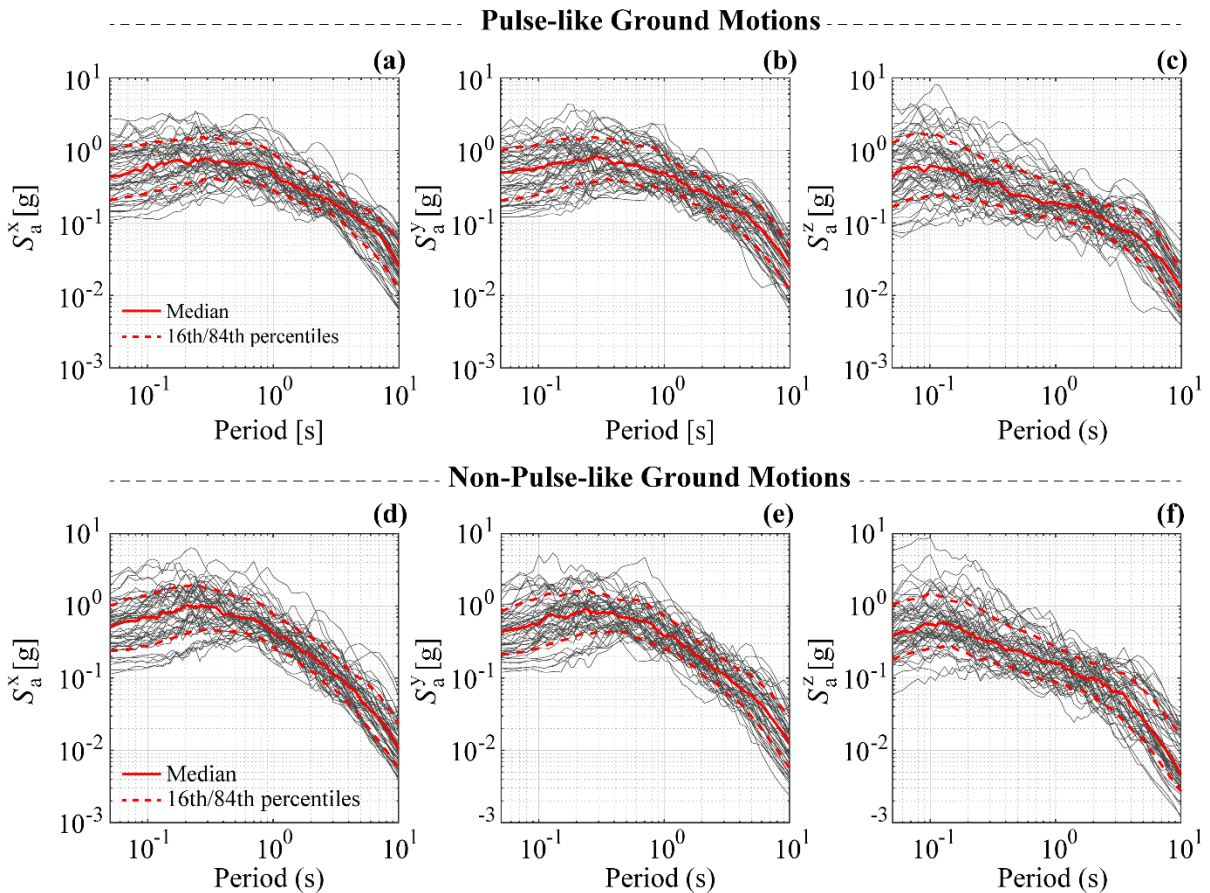
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299 Figure 5 Epicentral locations of crustal records, selected for nonlinear dynamic analysis

300

301 Earlier research has shown the prominent seismic vulnerability of offshore wind turbines to
 302 crustal earthquakes, having peak ground velocity (PGV) > 30 cm/s, and strong vertical
 303 accelerations [5]. Such records will probably be a viable choice to achieve the system collapse

304 in this study and quantify the seismic fragilities as a function of rotor-inertia configurations,
 305 explained earlier in Section 2.2. Figure 5 shows the epicentral locations of the selected shallow
 306 crustal records that include Dot Lake Alaska United States (US), Petrolia California (US), Los
 307 Angeles (US), Kocaeli (Turkey), Rasht (Iran), Nantou (Taiwan), Osaka (Japan), Tohoku
 308 (Japan), etc. Most of these locations are potential sites for offshore wind farm installations.
 309 Thus, a total of 100 shallow crustal records are selected from the Next Generation Attenuation
 310 (NGA) database and the strong motion records set developed by Foulser-Piggott and Goda
 311 [58]. The selected records are further sub-divided into pulse-like and non-pulse-like categories
 312 following the pulse identification method proposed by Chang et al. [59,60]. This is done to
 313 further understand whether the pulse energy influences the failure probabilities of OWTs
 314 analyzed under different levels of rotor simplifications.
 315 The records are selected within 6.0 - 8.0 magnitude (M) and source-to-site distance (R) of up to
 316 35km, having a minimum PGA and PGV of 0.1 g and 30 cm/s, respectively (refer to
 317 Appendix for more details). The mean PGA and PGV for the pulse-like recordset is 0.452 g
 318 and 68.38 cm/s, whereas these values correspond to 0.465 g and 46.64 cm/s for the non-pulse-
 319 like records. Figure 6 shows the log-scale response spectra of the three components (two
 320 horizontal and one vertical) of the two recordsets along with their median and the 16th and 84th
 321 percentiles.
 322



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 325 Figure 6 Response spectra of the horizontal and vertical crustal ground motions, (a-c) pulse-
 326 like ground motions; (d-f) non-pulse-like ground motions
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329 3.2. Structural analysis

330

331 Two types of structural analyses are performed for the five structural models (C1 to C5), i.e.,
332 modal analysis, and nonlinear time history analysis. The modal analysis is performed to
333 evaluate their vibration periods and to understand their dynamic behavior. The nonlinear time-
334 history analyses are conducted to determine the structural response under static and dynamic
335 loads considered in this study.

336

337 3.2.1. Nonlinear time-history analysis

338

339 The unscaled ground motions are applied in conjunction with the static wind, wave, and inertial
340 loads during the nonlinear dynamic analysis. For the given sets of the selected records, 100
341 response history analyses are performed for each structural model, thus a total of 500 analyses
342 in this study. The total system damping of 3% is often adopted for nonlinear dynamic analysis
343 of OWTs in the earlier studies [2,5]. Using the same damping value, the present work utilizes
344 the Rayleigh damping model for the first three bending modes of the tower, which is analogous
345 to the choice for conventional structures, where the first three modes are in pairs. Moreover,
346 the Newton-Raphson method is used for numerical integration. The initial time increment is
347 set according to the ground motion time-step, which is allowed to iteratively decompose into a
348 smaller value to achieve convergence [57].

349

350 3.3. Fragility Analysis

351

352 In performance-based earthquake engineering, the structural responses are estimated in terms
353 of an engineering demand parameter (EDP) via nonlinear response history analysis for a
354 representative set of earthquake intensities [61]. The probabilities of an EDP exceeding a
355 prescribed damage level, i.e., limit state (LS), as a function of a ground motion intensity
356 measure (IM) is obtained by fragility analysis [27,62,63].

357

358 3.3.1. EDP and limit states

359

360 In this study, the demand-to-capacity ratio (Y) is defined as the EDP of interest and is evaluated
361 for two limit states, i.e., the serviceability limit state (SLS) and the ultimate limit state (ULS).
362 The SLS is taken as the maximum tower top rotation of $\pm 0.5^\circ$, which is the recommended
363 guideline by Det Norske Veritas (DNV) for monopile foundations [64]; however, it is also
364 described as a criterion in the literature for the tower or nacelle level rotations [2,5,65]. The
365 ULS is followed after the strength and stability check for thin-walled shells in Annex D of the
366 Part 1-6 of the Eurocode 3 [66]. The tower strength is assured as the maximum of the von-
367 mises equivalent design stress (σ_{eq}) and the buckling strength through stress limitation,
368 expressed as demand (D) to capacity (C) ratios Y_v and Y_b , respectively, as below.

369

$$Y_{ULS} = \max \{Y_v, Y_b\} \quad (5)$$

$$Y_v = D/C = \sigma_{eq}/f_y = \sqrt{\sigma^2 + 3 \cdot \tau^2}/f_y \leq 1 \quad (6)$$

$$Y_b = (\sigma/\sigma_{x,Rd})^{k_x} + (\tau/\tau_{x\theta,Rd})^{k_\tau} \leq 1 \quad (7)$$

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where, f_y is the steel yield strength (S355 = 355 MPa). σ and τ are the meridional (compressive) and planar shear stress demands on the tower, transition piece, and the monopile (see De Risi et al. [2] for more details). $\sigma_{x,Rd}$ and $\tau_{x\theta,Rd}$ are the corresponding capacities which along with the factors k_x and k_τ are detailed in Annex D of the Eurocode 3 [66].

3.3.2. Intensity measure and fragility modeling

376
377 In this study, fragility curves are obtained by estimating the conditional probabilities (p) of the
378 EDP at the desired limit states ($Y_{LS} > 1$), described above, as a function of an earthquake
379 intensity measure (IM) using the following expressions.

380

$$p[Y_{LS} > 1|IM, \chi] = \Phi[\ln \eta_{Y_{LS}|IM} / \beta_{Y_{LS}|IM}] \quad (8)$$

$$\ln \eta_{Y_{LS}|IM} = \ln a + b \ln IM \quad (9)$$

$$\beta_{Y_{LS}|IM} = \sigma_{\ln Y_{LS}|IM} = \sqrt{\sum_{i=1}^N (\ln Y_{LS,i} - \ln \eta_{Y_{LS}|IM_i})^2 / (N - 2)} \quad (10)$$

$$IM = S_a^{xyz}(T_1) = [S_a^x(T_1^x) \cdot S_a^y(T_1^y) \cdot S_a^z(T_1^z)]^{1/3} \quad (11)$$

381

382 Equation 8 describes a three-parameter fragility model including Φ , χ , and $\beta_{Y_{LS}|IM}$ [27]. $\Phi(\cdot)$
383 is the standardized cumulative distribution function (CFD). The second parameter is a vector,
384 $\chi = [\ln a, b, \beta_{Y_{LS}|IM}]$, comprising of statistical elements that help analyse the efficiency of a
385 potential IM . The values of these elements result from the probabilistic linear regression,
386 defined using equation (9) and (10), on the calculated response $[Y_{LS,i}, i = 1:N]$ for a suite of
387 N ground motions and corresponding values of the $IM = [IM_i, i = 1:N]$. The third parameter,
388 $\beta_{Y_{LS}|IM}$, is the standard deviation of Y_{LS} at the given IM . In this study, the geometric mean of
389 the first mode spectral accelerations in all three directions, $S_a^{xyz}(T_1)$, is used as the IM due to
390 its efficiency in representing the structural response for onshore [7] and offshore wind turbines
391 [5], particularly when earthquake records contain significant vertical accelerations.

392

393 4. Results and discussions

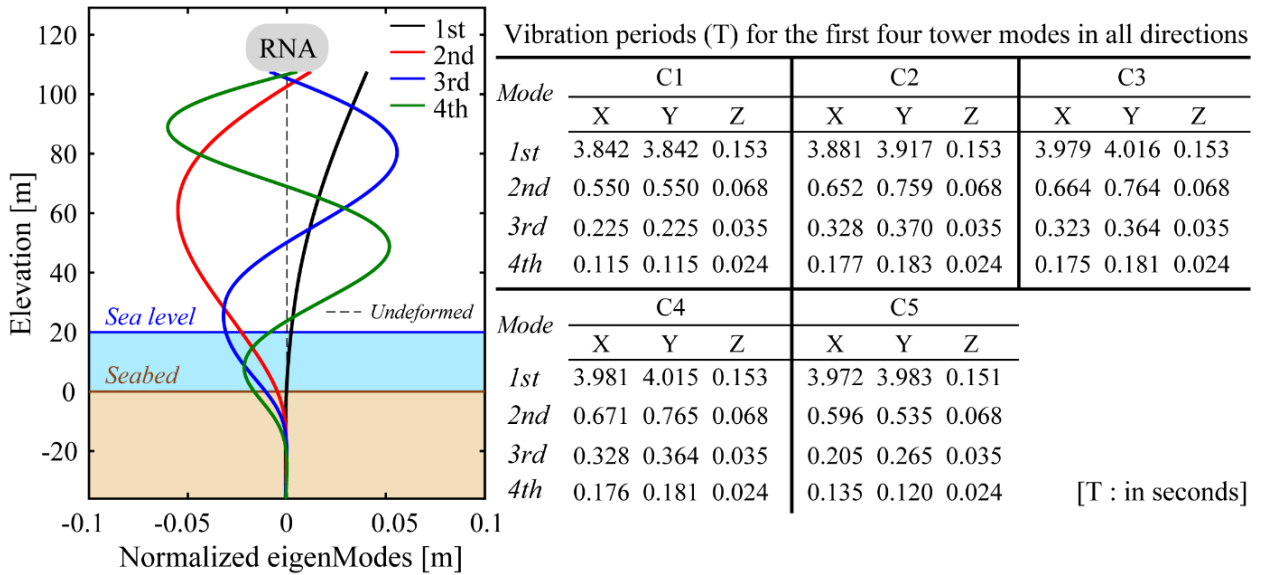
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395 4.1. Mode shapes and participation masses

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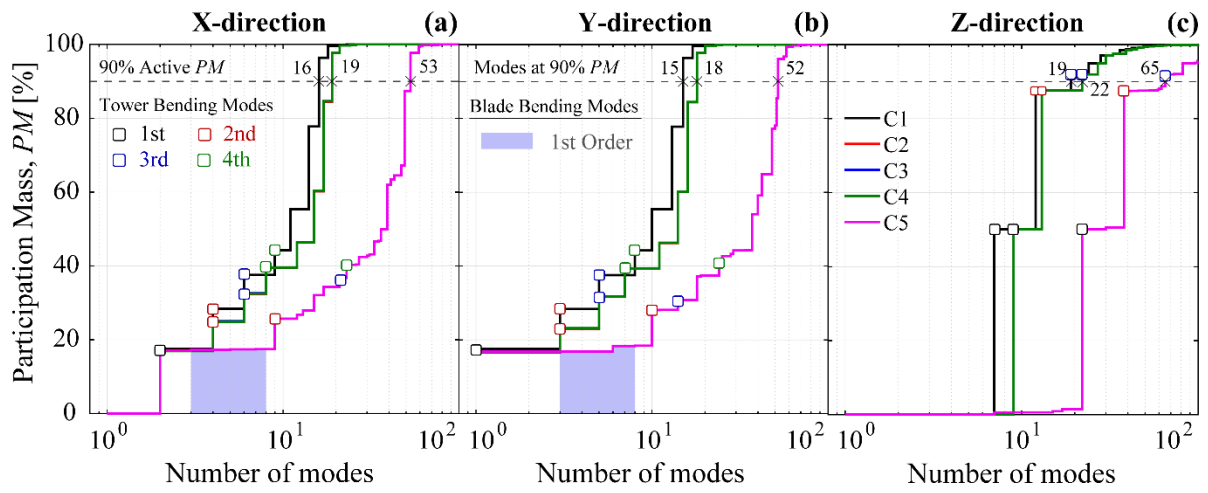
397 Eigenvalue analysis is conducted to identify the difference in dynamic characteristics of OWTs
398 with various RNA configurations (C1 to C5), as explained earlier in Section 2.2. The tower
399 mode shapes are identical for all RNA models, as shown in Figure 7, along with the vibration
400 periods (T) in all three directions for the first-four tower modes. In the case of lumped mass
401 RNA models, i.e., C1 to C4, the inclusion of rotary inertia increases the T -values (X and Y),
402 more prominently at higher modes. C5 undergoes a mixed dynamic behavior. The first mode
403 (T_1) response is similar to C3 and C4, whereas the higher modes are closer to C1. This may be
404 due to the effects of blades' deformability on the tower modes, which is absent in a lumped
405 mass model that intrinsically considers blades as a rigid body. As shown earlier in Figure
406 3(c&g), C1 ignores the rotational inertia (I_R) of the RNA, and C5 introduces it explicitly as the

407 cross-sectional inertia of the blades (I_B) that decreases gradually away from the blade root,
 408 leading to a non-uniform distribution of the inertia along the blade length (r_B). Thus, the higher
 409 I_B at the blade root in C5 tends to imitate the tower response that is similar to C3 and C4. Also,
 410 the lowering of I_B towards the blades' tip may cause rotary inertia at the tower in C5 closer to
 411 C1, resulting in nearly identical higher-mode dynamic response.
 412



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 415 Figure 7 First four normalized tower mode shapes, generic to all RNA configurations, and
 416 their natural periods in all three directions
 417

418 This analogy is further explained in terms of participation masses (PM), shown in Figure 8. C1
 419 to C4 shows a uniform distribution of active PM in translational X, Y, and Z-direction, where
 420 the X and Y tower modes are coincident in pairs. The effects of rotary inertia and rotor
 421 eccentricity reduce the active masses at each mode and delay achieving over 90% PM in all
 422 three directions. In the case of C5, the active PM at each tower mode is analogous to the other
 423 cases; however, their occurrence is significantly delayed due to the presence of local blade
 424 modes. The first-order tower and blade mode shapes and vibration periods (T) are further
 425 shown in Figure 9, which are in good agreement with [23,28]. Thus, it takes 19, 22, and 65
 426 modes to obtain nearly 100%, 100%, and 90% PM in X, Y, and Z-direction for C1, C2 to C4,
 427 and C5, respectively. Besides, the vertical vibrations of OWTs are insensitive to the effects of
 428 rotary inertia and rotor eccentricity, in this study.
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Figure 8 Distribution of the participation masses for the five RNA configurations, (a) X-direction; (b) Y-direction; (c) Z-direction

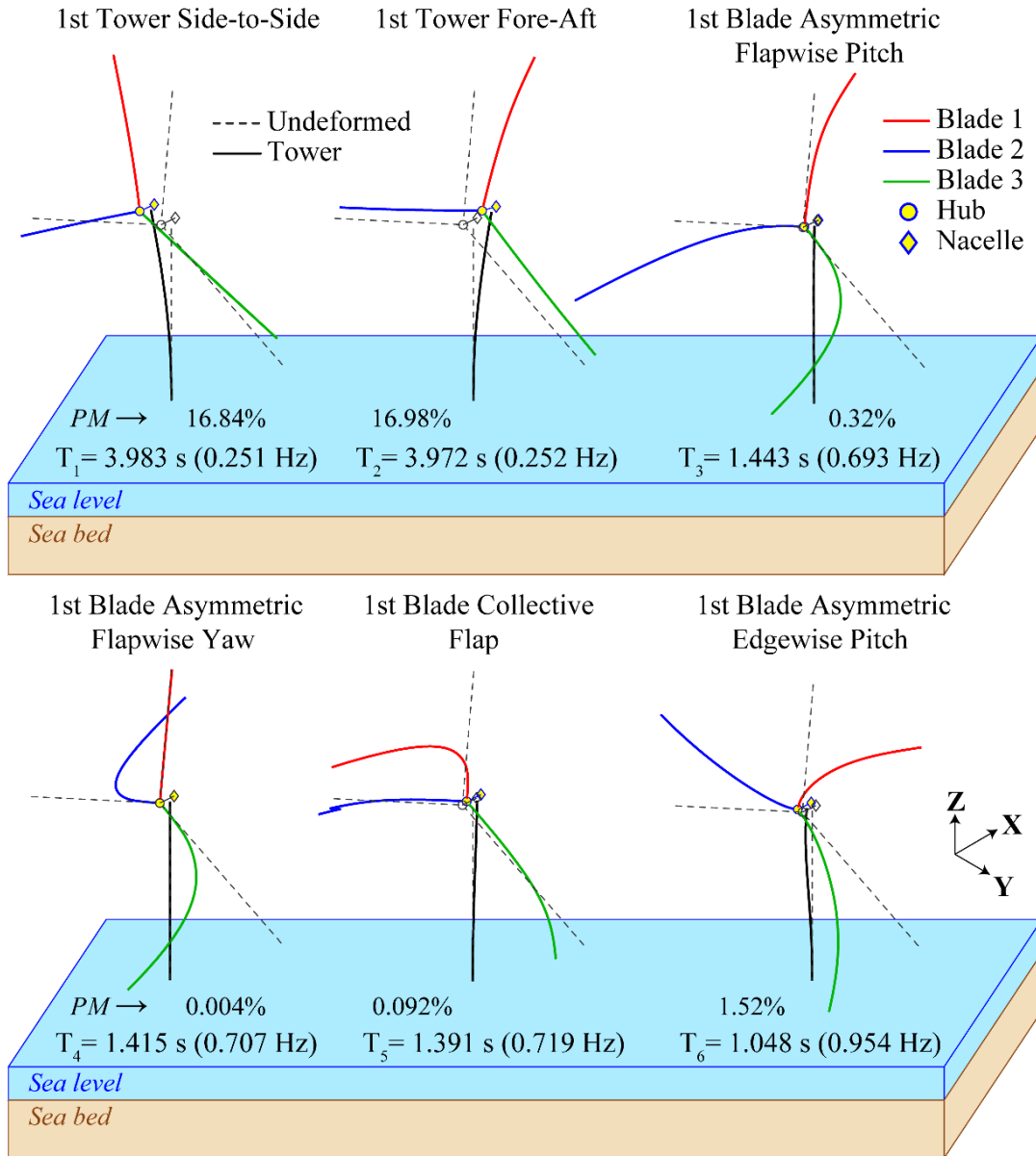


Figure 9 First-order tower and blade modes

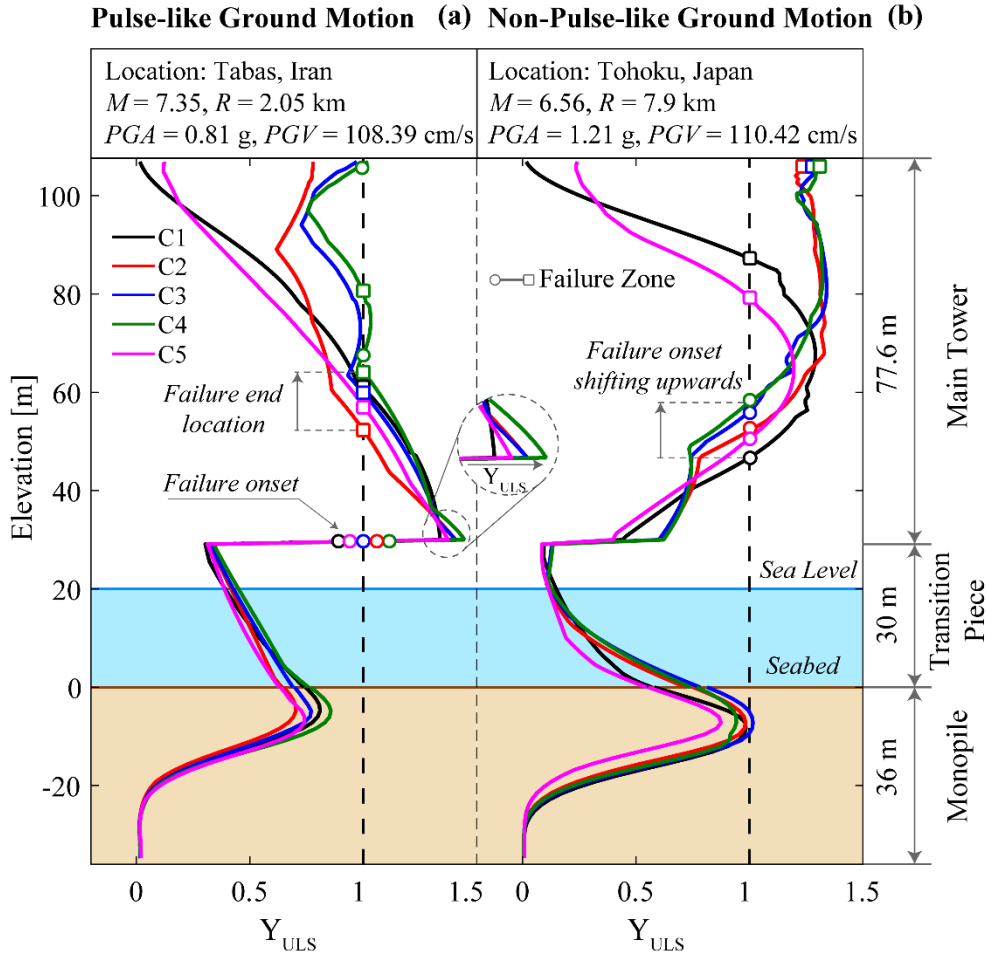
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4.2. Tower stress and displacement response

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441 The effects of rotary inertia and rotor eccentricity on the tower failure are examined based on
 442 the normalized tower stress (Y_{ULS}) profiles. Figure 10 shows the Y_{ULS} profiles for a pulse-like
 443 and a non-pulse-like record, as representative of those, that exceeded the ULS. These have been
 444 recorded in Tabas (Iran) and Tohoku (Japan) and their magnitude (M), rupture distance (R),
 445 peak ground acceleration (PGA) as well as peak ground velocity (PGV) are shown in Figure
 446 10 (a) and (b), respectively. Since the ULS criterion (Equation (5)) is driven by the buckling
 447 strength of a hollow cylindrical shell, the zones of the tower length, where $Y_{ULS} > 1$, represent
 448 a failure by compression (buckling) [2,5].

449



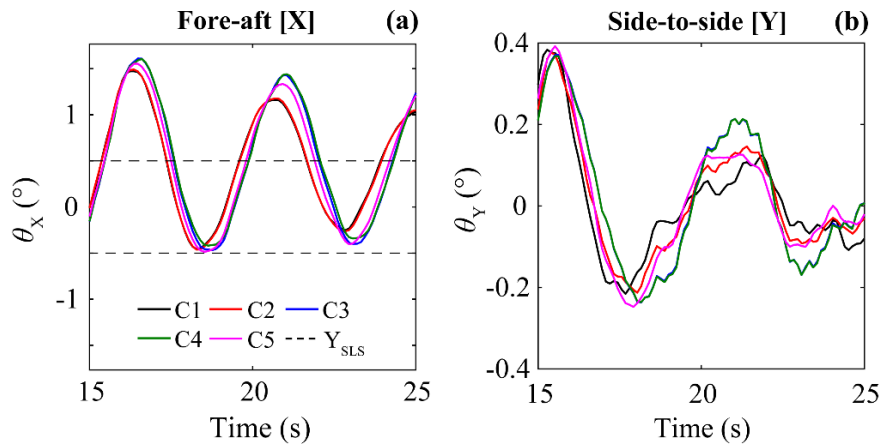
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Figure 10 Normalized tower stress profiles, showing failure zones at ULS, under crustal records, (a) pulse-like ground motion; (b) non-pulse-like ground motion

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Figure 10(a & b) shows that the tower failure zones can vary under different earthquake records. This is in agreement with previous studies and has been associated with the strength of vertical excitations in a record, regardless of the pulse presence [5]. It is noteworthy that for all RNA configurations (C1 to C5), the failure occurs at the main tower base above the transition piece Figure 10(a). The Y_{ULS} profiles for C1 and C5 show a first-mode response, where C1 ignores the rotary inertia (I_R) and the RNA eccentricity and C5 considers fixed root flexible blades. However, for other lumped mass RNA models (C2 to C4), the tower tends to depict a higher mode response and additionally fails near the upper-mid and the top. Moreover, the effects of I_R and eccentricity increases the Y_{ULS} value at the failure onset and changes the failure end location. In the case of Tohoku, Figure 10(b), the failure initiates below the mid-tower length, and it appears to shift towards the slenderer part of the tower, i.e., upwards, due to rotary inertia and rotor eccentricity. Once again, the stress profiles for C1 and C5 are similar but differ from C2 to C4 that are mutually comparable. The failure zone for C1 is larger than C5, and it lies between the lower-mid to upper-mid tower length. The failure zone for C2 to C4 ranges from the lower-mid tower length and leads up to the top which can be attributed to the difference in their high-mode natural frequencies of vibration from C1 and C5 [6]. It can also be observed that the tower response for C5, under both records, lies between the C1 and C4 which infers that tower stresses are partially dissipated by the flexibility of the blades.

473 This is due to the presence of the local blade modes in C5 that gradually activate the inertia of
 474 the flexible blade at higher modes; a phenomenon that is discussed earlier in Section 4.1 using
 475 the modal analysis results. This is further explained in terms of tower displacement. Figure 11
 476 shows the tower chord rotation (θ) history in the fore-aft (X) and side-to-side (Y) direction,
 477 under the record for which the Y_{ULS} profile is shown in Figure 10(a). For clarity, θ_x and θ_y
 478 time-history are shown between 15 and 25 seconds of the record. In both directions (X and Y),
 479 the C5 remains between the non-eccentric (C1 and C2) and eccentric RNA models (C3 and
 480 C4), where the latter has achieved the highest values of θ . This also indicates that the
 481 deformability of the blades helps damp out some displacement response of the tower under
 482 crustal earthquakes.
 483



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 485
 486 Figure 11 Displacement response of the OWT tower top, (a) fore-aft direction; (b) side-to-
 487 side direction
 488

489 4.3. Seismic fragility curves

490
 491 Figure 12 shows the seismic fragility curves of the OWT with five RNA configurations under
 492 pulse-like and non-pulse-like ground motions. The probabilities of the Y exceeding unity,
 493 ($p[Y_{LS}>1]$), at the SLS and ULS are shown. As shown in Figure 12(a & c), at a given value of
 494 $S_a^{xyz}(T_1)$ e.g., 0.1 g, $p[Y_{SLS}>1|0.1 \text{ g}]$, the probability of exceeding the SLS is higher under pulse-
 495 like ground motions, where the rotary inertia has a slight-to-negligible influence on the seismic
 496 vulnerability of OWTs at the SLS. The fragility curves represent identical, yet high
 497 probabilities of reaching the SLS at relatively lower values of $S_a^{xyz}(T_1)$ than the ULS. It is
 498 shown in Figure 12(a & b) that the seismic vulnerability of OWTs at ULS is generally higher
 499 under pulse-like ground motions. However, it differs significantly when the rotary inertia is
 500 considered. The difference is more obvious under non-pulse-like ground motions, where at a
 501 given value of $S_a^{xyz}(T_1)$ e.g., 0.75 g, $p[Y_{ULS}>1|0.75 \text{ g}]$ for C1 is 0.58, which increases to 0.75
 502 when rotary inertia is introduced in C2. The value of $p[Y_{ULS}>1|0.75 \text{ g}]$ further increases to 0.88
 503 due to the influence of the vertical eccentricity (e_{zS}) of the RNA (C3), which remains
 504 unaffected by the additional horizontal eccentricity of the nacelle (e_{zN}) and the rotor (e_{zR}) in
 505 C4. Regardless of the ground motion type, the vulnerability of OWT with flexible blades (C5)
 506 is greater than C1 but less than C2 to C4. Thus, like the tower stress and displacement response,
 507 C5 offers an intermediate estimate of the failure probabilities of the OWT in shallow crustal
 508 regions.

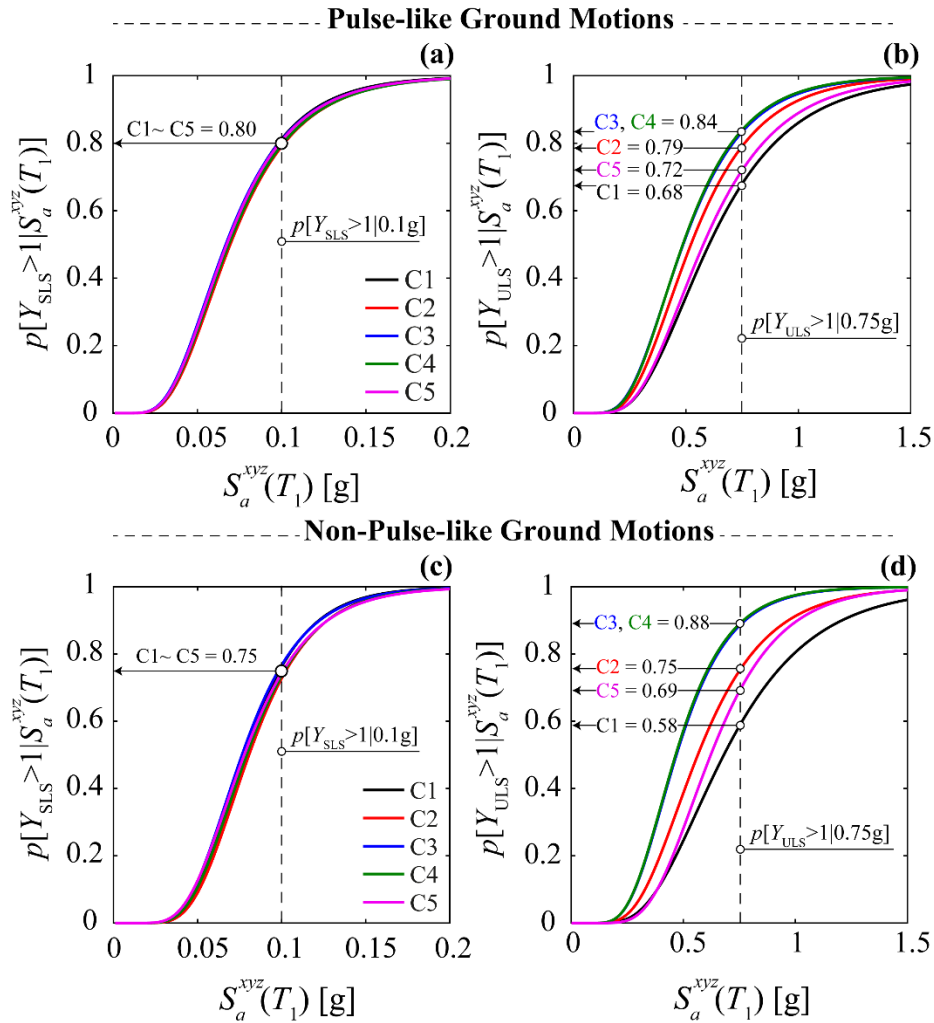


Figure 12 Influence of the earthquake type, rotary inertia, rotor eccentricity and blade flexibility on the failure probabilities of the 5MW OWT, (a,c) SLS; (b,d) ULS

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5. Conclusions

517 Numerical simulations are vital to understanding the response of complex energy-related
518 structures and are precursors to their reliable design. The rotor-nacelle-assembly (RNA), even
519 though it is a critical component of a functional wind turbine, is often (over)simplified as a
520 lumped mass for numerical seismic analysis of wind turbines. Such simplifications may include
521 the exclusion of the rotary inertia effects of blades on the tower and RNA eccentricity, which
522 can, in turn, affect our estimates for the seismic vulnerability of wind turbines, as RNA
523 contributes significantly to the total system mass. Also, the lumped approach assumes the RNA
524 as a single rigid body, ignoring the effects of the blades' deformability on the dynamic behavior
525 that arises from their length and slender structural characteristics.

526 The present study discusses the effects of the three key RNA parameters, i.e., (i) rotary inertia
527 of the blades, (ii) rotor eccentricity, and (iii) blades' flexibility, on the seismic performance of
528 OWTs. Numerical analysis of the different degrees of refinement is implemented to investigate
529 the influence of these parameters on the failure modes, their locations, and failure probabilities

530 of OWT towers under pulse-like and non-pulse-like shallow crustal earthquakes. The
531 conclusions drawn from this study are as follows:

- 532 1. The rotary inertia of the blades and the rotor eccentricity lengthens the natural periods
533 of vibration of the OWT and influences the modal participation factors, particularly that
534 of the higher modes, in comparison to the model that neglects these two parameters, i.e.
535 C1.
- 536 2. The vibration periods of the OWT with the full blades (C5) are greater than C1 but
537 lower than the ones with rotary inertia (C2) and the vertical (C3) and the additional
538 horizontal (C4) eccentricity of the RNA. This is due to the presence of the local blade
539 modes in C5 that gradually activate the inertia of the flexible blade at higher modes.
- 540 3. The rotary inertia and rotor eccentricity tend to induce higher mode behavior in the
541 tower and affect the failure onset and end locations, i.e., the failure zones in the tower.
- 542 4. When the failure initiates from the main tower base, the effects of rotary inertia and
543 eccentricity increase the intensity of the failure (Y_{ULS}), and failure leads up to the mid-
544 tower length. For the C3 and C4 cases, the tower damage can be localized at two
545 additional locations due to the higher mode behavior, i.e., either at the tower top or at
546 upper-mid tower length.
- 547 5. In case that the failure initiates around the mid-tower length, the onset location tends to
548 shift up towards the slenderer section of the tower due to the inclusion of the blades'
549 rotary inertia and the rotor eccentricity. Failure for C1 and C5 can last up to upper-mid
550 tower length, whereas for C2 to C4 it can lead up to the tower top. This can be crucial
551 for the design evaluations of the OWT towers in areas susceptible to shallow crustal
552 earthquakes.
- 553 6. The tower failure profiles and displacement response of C5 lie within the range of C1
554 and C4. This is because the higher mode behavior in C5 is not as pronounced as the
555 rigid-body inertia RNA configurations (C2 to C4), due to the presence of local blade
556 modes.
- 557 7. The allowable tower top deformations are insensitive to the consideration of rotary
558 inertia, the rotor eccentricity, and the blade deformability. However, at the ultimate
559 limit state (ULS), the OWT that considers the rotary inertia with eccentric RNA (C3,
560 C4) shows the highest probability of the tower failure, which is considerably lower
561 when the combined effect of these two parameters is ignored (C1).
- 562 8. The effects of deformable blades reduce the estimated seismic vulnerability of OWT,
563 but it is considerably greater than C1. This is because the blades' flexibility damps out
564 the seismic demand on the tower. Thus, it can be anticipated that the blades may damage
565 if subjected to excessive excitations. This requires the use of nonlinear blade material
566 and should be treated as a potential future development.
- 567 9. Moreover, OWTs have shown a higher probability of failure under pulse-like ground
568 motions; however, it can be slightly lower than non-pulse-like ground motions for C3
569 and C4.

570
571 The above observations lead to the general conclusion that the lack of accurate consideration
572 of blades and the RNA mass eccentricity may dominate the prediction of failure modes at
573 different tower sections. The seismic vulnerability of the OWTs can be underestimated, at least
574 in areas of shallow crustal earthquakes, if these parameters are ignored. The failure
575 probabilities may also be overestimated if the deformability of the blades is ignored. In this

576 study, a constant damping value of 3% is adopted for all structural modes, which can be higher
577 for the local blade modes, and it may further influence the structural response of OWTs. Further
578 studies are required, encompassing more refined models to examine the effects of modal and
579 radiation damping, kinematic soil-pile interaction, as well as the effect of potential blade
580 damage on the seismic vulnerability of OWTs.

581

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583

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586 ground motion data, used in this study, were obtained from the K-NET and KiK-net
587 database (<http://www.kyoshin.bosai.go.jp/>), the SK-net (<http://www.sknet.eri.u-tokyo.ac.jp/>), and the PEER-NGA database (<http://peer.berkeley.edu/nga/index.html>). The
588 numerical simulations were carried out using the computational facilities of the Advanced
589 Computing Research Centre, University of Bristol (<http://www.bris.ac.uk/acrc/>).

591

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Table A.1. Pulse-like crustal records

Record #	Record ID	Event ID	M	R (km)	V_{s30} (m/s)	Average PGV (cm/s)	Pulse / Characteristic period (T_p)			Pulse indicator (IsP)		
							X	Y	Z	X	Y	Z
1	126	41	6.80	5.46	659.60	65.03	4.2	0.6	0.7	1	0	0
2 ^{1 to 5}	143	46	7.35	2.05	766.77	108.39	2.2	5.3	2.8	1	1	0
3	170	50	6.53	7.31	192.05	49.02	1.4	3.5	1.4	0	1	0
4	171	50	6.53	0.07	186.21	70.28	2.5	2.8	2.2	1	1	1
5	173	50	6.53	6.17	202.85	46.42	3.2	1.7	6.1	1	1	0
6	179	50	6.53	7.05	208.91	69.89	2.0	4.4	2.1	0	1	0
7	180	50	6.53	3.95	205.63	72.15	2.6	3.9	2.0	1	1	1
8 ^{1 to 4}	181	50	6.53	1.35	203.22	83.89	2.6	3.5	0.1	1	1	0
9	182	50	6.53	0.56	210.51	78.29	3.1	3.4	2.0	1	1	1
10	183	50	6.53	3.86	206.08	52.90	1.6	4.1	4.5	0	1	1
11	184	50	6.53	5.09	202.26	56.21	3.2	1.0	4.6	1	0	1
12	185	50	6.53	7.65	202.89	47.47	4.4	3.5	4.2	1	1	0
13	802	118	6.93	8.50	370.79	46.13	1.6	5.4	1.5	1	1	1
14	825	123	7.01	6.96	513.70	84.38	0.2	2.1	4.5	0	1	1
15	828	123	7.01	8.18	712.82	69.59	0.8	0.7	5.3	0	1	1
16	879	125	7.28	2.19	684.94	97.16	4.1	3.3	1.6	1	1	1
17	983	127	6.69	5.43	525.79	74.57	3.2	1.5	2.1	1	0	1
18	1004	127	6.69	8.44	380.06	72.55	0.8	3.1	0.8	1	0	0
19	1045	127	6.69	5.48	285.93	78.15	2.1	1.8	0.9	1	1	1
20	1063	127	6.69	6.50	282.25	109.32	1.1	2.4	0.2	1	1	0
21	1086	127	6.69	5.30	440.54	94.35	1.8	1.8	0.9	1	1	0
22	1158	136	7.51	15.37	276.00	54.08	4.6	1.5	3.2	1	0	1
23	1193	137	7.62	9.64	427.73	51.37	3.9	5.6	4.4	1	1	0
24	1197	137	7.62	3.14	542.61	73.64	2.2	2.3	3.4	1	0	1
25	1231	137	7.62	2.69	553.40	103.46	1.0	1.1	1.0	0	1	0
26 ^{1 to 5}	1244	137	7.62	9.96	258.89	90.70	3.4	5.0	5.9	1	1	0
27	1476	137	7.62	28.05	473.90	48.47	7.8	4.9	6.0	1	1	1
28	1480	137	7.62	19.84	272.60	54.03	5.9	5.8	4.5	1	1	0
29	1481	137	7.62	25.44	272.60	45.45	8.3	6.6	5.0	1	1	1
30	1482	137	7.62	19.90	540.66	55.53	8.3	5.4	4.9	1	1	1
31	1489	137	7.62	3.78	487.27	53.87	9.8	5.1	6.3	1	1	1
32	1498	137	7.62	17.13	272.60	58.12	6.6	6.7	4.0	1	0	0
33	1501	137	7.62	9.80	272.60	66.24	6.2	4.2	4.2	0	1	1
34	1502	137	7.62	16.62	272.60	47.76	4.6	7.6	6.3	0	1	0
35 ^{1 to 5}	1503	137	7.62	0.59	305.85	101.64	4.8	2.3	4.2	1	0	1
36	1515	137	7.62	5.18	472.81	52.69	8.2	7.7	5.5	1	0	0
37	1528	137	7.62	2.13	272.60	57.12	6.0	5.6	4.5	1	1	1
38	1535	137	7.62	13.08	473.90	51.59	6.5	4.0	6.7	1	0	0
39	1542	137	7.62	25.44	215.00	58.49	5.8	4.9	7.2	1	0	0
40	1548	137	7.62	13.15	599.64	66.12	8.1	4.3	3.8	1	1	1

41	1549	137	7.62	1.84	664.43	47.32	1.1	6.1	0.9	0	1	0
42	1550	137	7.62	8.29	473.9	48.42	4.4	8.4	5	1	1	1
43 ^{3,4}	1595	137	7.62	9.96	258.89	71.77	3.5	5.2	2.7	1	1	0
44	1602	138	7.14	12.04	326	59.17	5.4	1	0.1	0	1	0
45	1605	138	7.14	6.58	276	69.57	5	5.1	7.4	1	1	1
46	2114	169	7.90	2.74	329.4	98.51	2.3	4.9	3.7	1	1	1
47 ^{1 to 5}	37326	792	6.65	25.75	310.2	103.76	0.8	0.9	0.8	1	0	0
48	140627	1937	6.28	6.83	333.6	58.09	0.6	1.1	1.7	1	0	0
49	232727	2910	6.62	11.82	226.9	95.86	2.6	2.1	2.2	1	1	0
50	260367	3196	6.87	8.74	371.1	49.86	3.3	0.4	0.1	1	0	0

779 ¹ Records leading to Y_{ULS} for C1.

780 ² Records leading to Y_{ULS} for C2.

781 ³ Records leading to Y_{ULS} for C3.

782 ⁴ Records leading to Y_{ULS} for C4.

783 ⁵ Records leading to Y_{ULS} for C5.

784 IsP = 1; refers pulse-like ground motion component.

785 IsP = 0; refers non-pulse-like ground motion component.

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Table A.2. Non-pulse-like crustal records

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Record #	Record ID	Event ID	M	R (km)	V_{s30} (m/s)	Average PGV (cm/s)	Pulse / Characteristic period (T_p)			Pulse indicator (IsP)		
							X	Y	Z	X	Y	Z
1	160	50	6.53	2.68	223.03	49.83	2.3	0.8	0.9	0	0	0
2	174	50	6.53	12.45	196.25	36.72	3.6	0.5	3.9	0	0	1
3	495	97	6.76	9.60	659.60	44.10	0.2	0.9	0.7	0	0	0
4	721	116	6.54	18.20	192.05	42.81	1.6	2.2	4.3	0	0	0
5	753	118	6.93	3.85	462.24	47.94	0.6	0.7	0.9	0	0	0
6	768	118	6.93	14.34	221.78	35.80	0.5	1.4	1.8	0	0	0
7	776	118	6.93	27.93	370.79	45.28	1.1	5.3	3.1	0	0	0
8	778	118	6.93	24.82	215.54	39.90	2.1	1.0	3.1	0	0	0
9	806	118	6.93	24.23	267.71	35.78	3.3	3.2	2.9	0	0	0
10	864	125	7.28	11.03	379.32	34.71	1.4	1.0	1.3	0	0	0
11	949	127	6.69	8.66	297.71	30.53	0.9	0.7	2.7	0	0	0
12	959	127	6.69	14.70	267.49	47.13	0.7	2.0	2.4	0	0	0
13	963	127	6.69	20.72	450.28	49.31	1.0	0.7	6.1	0	0	1
14	1044	127	6.69	5.92	269.14	83.75	1.6	1.4	0.2	0	0	0
15	1052	127	6.69	7.26	508.08	42.33	1.0	0.7	2.1	0	0	0
16	1077	127	6.69	26.45	336.20	32.06	2.0	2.7	2.2	0	0	0
17 ^{1 to 4}	1087	127	6.69	15.60	257.21	90.20	0.4	0.5	0.3	0	0	0
18	1111	129	6.90	7.08	609.00	36.05	0.7	0.8	0.5	0	0	0
19	1116	129	6.90	19.15	256.00	33.87	0.9	1.8	1.8	0	0	0
20	1141	134	6.40	3.36	219.75	32.76	2.7	2.1	1.6	0	0	0
21	1180	137	7.62	24.98	235.13	49.37	7.2	6.9	6.4	0	0	0
22	1203	137	7.62	16.06	233.14	38.37	4.0	6.9	2.6	0	0	0
23	1246	137	7.62	18.04	223.24	54.19	6.0	4.8	4.1	0	0	0
24	1495	137	7.62	6.36	272.60	37.03	2.5	8.0	5.5	0	0	1

25	1507	137	7.62	5.31	624.85	56.79	0.3	8.3	5.6	0	0	1
26	1508	137	7.62	7.03	468.14	60.33	0.9	0.7	8.2	0	0	1
27	1509	137	7.62	13.46	549.43	54.14	1.5	1.2	3.1	0	0	0
28	1512	137	7.62	8.20	443.04	34.93	0.9	0.5	6.2	0	0	0
29	1513	137	7.62	10.97	363.99	50.34	0.7	1.0	0.3	0	0	0
30	1517	137	7.62	11.24	553.40	90.31	1.9	2.7	0.8	0	0	0
31	1521	137	7.62	8.88	553.40	30.95	4.7	4.1	4.5	0	0	0
32	1532	137	7.62	17.18	473.90	38.74	5.9	9.0	5.4	0	0	0
33	1534	137	7.62	16.01	473.90	41.47	7.0	1.7	6.5	0	0	0
34	1536	137	7.62	11.60	212.72	57.91	2.5	2.0	4.6	0	0	0
35	1545	137	7.62	7.41	459.34	47.87	2.2	5.7	4.3	0	0	0
36	1547	137	7.62	14.93	272.60	40.25	7.0	3.8	4.7	0	0	0
37	1596	137	7.62	1.84	664.43	52.37	1.1	5.6	4.4	0	0	0
38	1633	144	7.37	12.56	723.95	47.29	2.8	2.5	1.8	0	0	1
39	1787	158	7.13	11.66	684.94	34.11	0.1	1.5	3.3	0	0	0
40	37157	792	6.65	15.07	167.70	43.87	1.5	1.4	1.6	0	0	0
41	37158	792	6.65	27.30	510.5	31.61	0.3	0.3	4.7	0	0	1
42	136605	1927	6.56	10.67	302.6	33.27	1.3	3.8	1.4	0	0	0
43 ^{1 to 5}	136607	1927	6.56	7.90	333.6	110.43	0.8	0.9	4.7	0	0	0
44	136608	1927	6.56	9.91	359.8	28.70	1	0.2	0.3	0	0	0
45	136609	1927	6.56	15.43	433.7	52.02	0.3	0.4	1.9	0	0	0
46	260166	3196	6.87	16.08	389.3	47.95	3.1	1.8	4.1	0	0	0
47	260167	3196	6.87	34.63	175.2	37.80	4.1	5.1	2.7	0	0	0
48	260365	3196	6.87	18.01	486.4	31.92	3.3	3.5	2.2	0	0	0
49	260366	3196	6.87	2.42	506.4	66.65	1.4	1.2	0.1	0	0	0
50	393116	4359	6.65	12.35	292.4	40.11	0.8	0.7	1	0	0	0

789 ¹ Records leading to Y_{ULS} for C1.

790 ² Records leading to Y_{ULS} for C2.

791 ³ Records leading to Y_{ULS} for C3.

792 ⁴ Records leading to Y_{ULS} for C4.

793 ⁵ Records leading to Y_{ULS} for C5.

794 IsP = 1; refers pulse-like ground motion component.

795 IsP = 0; refers non-pulse-like ground motion component.

796