

1 **Virology, Transmission and Pathogenesis of SARS-CoV-2**

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38 **Introduction**

39 Since the emergence of SARS-CoV-2 in December 2019, there has been an unparalleled
40 global effort to characterise the virus and the clinical course of disease. SARS-CoV-2 is an
41 enveloped β -coronavirus, with a genetic sequence very similar to SARS-CoV (80%) and bat
42 coronavirus RaTG13 (96.2%).¹ Coronavirus Disease (COVID-19), caused by SARS-CoV-2,
43 has demonstrated a biphasic pattern of illness, which is likely due to a combination of an
44 early viral response phase and an inflammatory second phase. Most of the clinical
45 presentations are mild and the typical pattern of COVID-19 is more like an influenza-like
46 illness that includes fever, cough, malaise, myalgia, headache, and taste and smell
47 disturbance rather than severe pneumonia.² In this review, we provide a broad update on the
48 emerging understanding of SARS-CoV-2 pathophysiology, including virology, transmission
49 dynamics, and the immune response to the virus.

50

51 **VIROLOGY**

52

53 **What we know about the virus itself (Figure 1)**

54 Mutation rates in CoV are lower than other RNA viruses because they have the capacity for
55 proof-reading during replication. As SARS-CoV-2 has spread globally, like other viruses, it
56 has accumulated some mutations in the viral genome which contains geographic signatures
57 that help researchers with virus characterisation and understanding of epidemiology and
58 transmission patterns. In general, these mutations have not been attributed to phenotypic
59 changes impacting viral transmissibility or pathogenicity. G614 variant in the S protein has
60 been postulated to increase infectivity and transmissibility of the virus.³ Higher viral loads
61 were reported in clinical samples with virus containing G614 than previously circulating
62 variant D614, although there was no association with severity of illness measured by
63 hospitalisation outcomes.³ However, these findings have yet to be confirmed in regards to
64 natural infection.

65 **Why is SARS-CoV-2 more infectious than SARS-CoV-1?**

66 The modelling studies estimate that SARS-CoV-2 has a higher reproductive number (R_0)
67 than SARS-CoV, indicating much more efficient spread.² Multiple characteristics of SARS-
68 CoV-2 may help explain this enhanced transmission. While both SARS-CoV-1 and SARS-
69 CoV-2 preferentially interact with the angiotensin-converting enzyme 2 (ACE2) receptor,
70 SARS-CoV-2 has structural differences in its surface proteins, which allow stronger binding
71 to the ACE2 receptor,⁴ and greater efficiency at invading host cells.² SARS-CoV-2 also has

72 greater affinity for the upper respiratory tract and conjunctiva,⁵ both of which are entry points
73 for the virus, thus, infecting the upper respiratory tract and conducting airways more easily.⁶

74 **Viral load dynamics and duration of infectiousness**

75 Viral load kinetics could also explain some of the differences between SARS-CoV-2 and
76 SARS-CoV-1. In the respiratory tract, peak SARS-CoV-2 load observed at the time of
77 symptom onset or in the first week of illness with subsequent decline thereafter, indicating
78 highest infectiousness potential just before or within the first 5 days of symptom onset
79 (Figure 2).⁷ In contrast, in SARS-CoV-1 highest viral loads were detected in the upper
80 respiratory tract in the second week of illness, which explains its minimal contagiousness in
81 the first week after symptom onset, enabling early case detection in the community.⁷

82 qRT-PCR (which detects viral SARS-CoV-2 RNA) can remain detectable for a mean of 17
83 days (max 83 days) after symptom-onset in the upper respiratory tract.⁷ However, detection
84 of viral RNA by qRT-PCR does not necessarily equate to infectiousness and viral culture
85 from PCR positive upper respiratory tract samples has been rarely positive beyond 9 days of
86 illness.^{5,7} This corresponds to what is known about transmission based on contact tracing
87 studies which is maximal in the first week of illness, and no late transmission have been
88 documented.⁸ More severely ill or immune-compromised patients may have prolonged virus
89 shedding, or some patients may have intermittent RNA shedding; however, low level results
90 close to the detection limit may not constitute infectious viral particles. While asymptomatic
91 individuals (those with no symptoms throughout the infection) can transmit the infection, their
92 contribution to the spread seems to be limited.^{9,10} Whereas pre-symptomatic transmission, 1-
93 2 days before symptom onset, occurs and likely contributes to the spread of SARS-CoV-2.¹⁰

94 ¹¹

95

96 **Route of transmission and transmission dynamics**

97 Like the other CoVs, the primary mechanism of transmission of SARS-CoV-2 is via infected
98 respiratory droplets, with viral infection occurring via direct or indirect contact with nasal,
99 conjunctival or oral mucosa. Target host receptors are mainly found in the human respiratory
100 tract epithelium, including the oro-pharynx and upper airway. The conjunctiva and
101 gastrointestinal tracts are also susceptible to infection and may also serve as portals of
102 entry.⁶

103

104 The transmission risk depends on many factors such as contact pattern, environment,
105 infectiousness of the host and socio-economic factors, as described elsewhere.¹¹ The
106 majority of transmission occurs through direct close contact (15 min face to face or within 2

107 metres), especially efficient spread has been seen within households, family and friend
108 gatherings.¹¹ Household attack rates ranges from 4-35%.¹¹ While sleeping in the same room
109 or being a spouse increases the risk of infection, isolation of the infected case away from the
110 family is related to lower risk of infection.¹¹ In addition, dining in close proximity or sharing
111 food and group activities such as board games identified as high-risk activities.¹¹ The
112 infection risk significantly increases in enclosed environments compared to outdoor
113 settings.¹¹ Although aerosol transmission can still factor in during prolonged stay in crowded,
114 poorly ventilated indoor settings (meaning transmission could occur at a distance), in the
115 absence of aerosol-generating procedures, the data are inconsistent with regards to
116 aerosols being a major route of transmission. ^{11 12}

117
118 The role of faecal shedding in SARS-CoV-2 transmission and the extent of fomite (through
119 inanimate surfaces) transmission also remains to be fully understood. Both SARS-CoV-2
120 and SARS-CoV-1 remain viable for many days on smooth surfaces (stainless steel, plastic,
121 glass) and at lower temperature and humidity (i.e. air-conditioned environments).^{13 14} Thus,
122 transferring infection from contaminated surfaces to the mucosa of eyes, nose and mouth via
123 unwashed hands is a possible route of transmission. This route of transmission may
124 contribute especially in facilities with communal areas, with increased likelihood of
125 environmental contamination. However, both coronaviruses are readily inactivated by
126 commonly used disinfectants, emphasising the importance of surface cleaning and
127 handwashing. While SARS-CoV-2 RNA has been found in stool samples and RNA shedding
128 often persists for longer than in respiratory samples,⁷ virus isolation has rarely been
129 successful from the stool.^{5 7} There are no published reports of faecal-oral transmission. In
130 SARS, faecal-oral transmission was not considered to occur in most circumstances; but, one
131 explosive outbreak was attributed to aerosolization and spread of the virus across an
132 apartment block via a faulty sewage system.¹⁵ An indirect evidence of similar transmission
133 has been reported for SARS-CoV-2 in China, although no direct evidence has been
134 presented, except for the positive surface samples in the bathrooms.¹⁶ It remains to be seen
135 if this is a common occurrence.

136 **PATHOGENESIS**

137 **Viral entry and interaction with target cells**

138 SARS-CoV-2 binds to heparin sulphate¹⁷ and ACE2, the host target cell receptor, which is
139 principally expressed in the airway epithelial cells, vascular endothelial and intestinal
140 epithelial cells among others.² Active replication of the virus and release of virus in the lung
141 cells leads to non-specific symptoms such as fever, myalgia, headache and respiratory

142 symptoms.² In an experimental hamster model, the virus causes transient damage to the
143 cells in the olfactory epithelium, leading to olfactory dysfunction, which may explain
144 temporary loss of taste and smell commonly seen in COVID-19.¹⁸ The distribution of ACE2
145 receptors in different tissues may explain the sites of infection and patient symptoms. For
146 example, the ACE2 receptor is found on the epithelium of other organs such as the intestine
147 and endothelial cells in the kidney and blood vessels, which may explain gastrointestinal
148 symptoms and cardiovascular complications.¹⁹ For example, overexpression of human
149 ACE2 was associated with SARS-CoV-2 neuroinvasion in a mouse model, suggesting that
150 neurological presentation seen in some patients might be related to direct viral invasion of
151 central nervous system.²⁰ Lymphocytic endothelitis has been observed in post-mortem
152 pathology examination of the lung, heart, kidney, and liver as well as liver cell necrosis and
153 myocardial infarction in patients who died of COVID-19.²¹

154

155 Much remains unknown. Are the pathological changes in the respiratory tract or endothelial
156 dysfunction due to direct viral infection, cytokine dysregulation, coagulopathy or is it
157 multifactorial? And does direct viral invasion or coagulopathy directly contribute to some of the
158 ischemic complications such as ischaemic infarcts? These and more, will require further work
159 to elucidate.

160

161 **Immune response and disease spectrum (Figure 2 and Box 1)**

162 After viral entry, the initial inflammatory response attracts virus-specific T cells to the site of
163 infection, where the infected cells are eliminated before the virus spreads, leading to
164 recovery in most patients.²² In patients who develop severe disease, SARS-CoV-2 elicits an
165 aberrant host immune response.^{22 23} For example, post mortem histology of lung tissues of
166 patients who died of COVID-19 have confirmed the inflammatory nature of the injury, with
167 features of bilateral diffuse alveolar damage, hyaline-membrane formation, interstitial
168 mononuclear inflammatory infiltrates, and desquamation consistent with acute respiratory
169 distress syndrome (ARDS), and is similar to the lung pathology seen in severe MERS and
170 SARS.^{24 25} A distinctive feature of COVID-19 is the presence of mucus plugs with fibrinous
171 exudate in respiratory tract, which may explain the severity of COVID-19 even in young
172 adults.²⁶ This is potentially due to the overproduction of pro-inflammatory cytokines that
173 accumulate in the lungs eventually damaging the lung parenchyma.²²

174

175 Some patients also experience septic shock and multi-organ dysfunction.²² For example, the
176 cardiovascular system is often involved early in COVID-19 disease and is reflected in the
177 release of highly sensitive troponin and natriuretic peptides.²⁷ Consistent with the clinical
178 context of coagulopathy focal intra-alveolar haemorrhage and presence of platelet-fibrin

179 thrombi in small arterial vessels is also seen.²⁵ Cytokines normally mediate and regulate
180 immunity, inflammation and haematopoiesis; however, further exacerbation of immune
181 reaction and accumulation of cytokines in other organs in some patients may be causing
182 extensive tissue damage, and in some patients, a cytokine release syndrome (cytokine storm),
183 resulting in capillary leak, thrombus formation and organ dysfunction.^{22 28}

184

185 **The mechanisms underlying the diverse clinical outcomes**

186 Clinical outcomes are influenced by host factors such as older age, male gender and
187 underlying medical conditions,²⁹ as well as factors related to the virus (such as viral load
188 kinetics), host-immune response, and potential cross-reactive immune memory from
189 previous exposure to seasonal coronaviruses. (Box 1)

190

191 Gender related differences in immune response has been reported revealing that that male
192 patients had higher plasma innate immune cytokines and chemokines at baseline.³⁰ In
193 contrast female patients had significantly more robust T cell activation than male patients
194 and among male participants T cells activation declined with age, which was sustained
195 among female patients. These findings suggest that T cell response is important in defining
196 the clinical outcome.

197

198 Increased levels of pro-inflammatory cytokines correlate with severe pneumonia and
199 increased ground-glass opacities within the lungs.^{28 31} In cases with severe illness, increased
200 plasma concentrations of inflammatory cytokines and biomarkers were observed compared
201 to those with non-severe illness.^{28 32}

202

203 Emerging evidence suggests there may be a correlation between viral dynamics, the
204 severity of illness, and disease outcome.⁷ Longitudinal characteristics of immune response
205 showed a correlation between the severity of illness, viral load and IFN- α , IFN- γ and TNF- α
206 response.³⁰ In the same study many interferons, cytokines, and chemokines were elevated
207 early in disease for patients who had severe disease and higher viral loads. This
208 emphasizes that viral load may drive these cytokines and the possible pathological roles
209 associated with the host defence factors. This is in keeping with the pathogenesis of
210 influenza, SARS, MERS whereby prolonged viral shedding was also associated with severity
211 of illness.^{7 33}

212

213 Given the substantial role of immune response in determining clinical outcomes, several
214 immunosuppressive therapies aimed at limiting immune-mediated damage are currently in
215 various phases of development (Box 2). For instance, glucocorticoids (dexamethasone).

216 suppress immune response by inhibiting lymphocytes including a range of cytokines, and the
217 RECOVERY trial has demonstrated mortality benefit in patients with hypoxemia especially
218 among those admitted to ICU or with >7 days of symptoms.³⁴ Although early evidence
219 suggested host-targeted therapeutic options, such as inhibition of human cytokine
220 interleukin-6, Tocilizumab may have survival benefit,³⁵ a press release suggests no survival
221 benefit demonstrated by the COVACTA study, though the findings have not been formally
222 published.³⁶

223

224 **Immune response to the virus and its role in protection**

225 COVID-19 leads to an antibody response to a range of viral proteins, but the spike (S)
226 protein and nucleocapsid are those most often used in serological diagnosis. There is little
227 detectable antibody in the first four days of illness, but patients progressively develop
228 antibody with most achieving detectable response after 4 weeks.³⁷ A wide range of virus
229 neutralizing antibodies (nAb) have been reported, and emerging evidence suggests nAbs
230 may correlate with severity but wane over time.³⁸ The duration and protectivity of antibody
231 and T cell responses remain to be defined through studies with longer follow up. CD-4 T cell
232 responses to endemic human coronaviruses appear to manifest cross-reactivity with SARS-
233 CoV-2, but their role in protection remains unclear.³⁹

234

235 **Unanswered questions**

236 Further understanding the pathogenesis for SARS-CoV-2 will be vital in developing
237 therapeutics, vaccines, and supportive care modalities in the treatment of COVID-19. More
238 data is needed to understand the determinants of healthy versus dysfunctional response and
239 immune markers for protection and the severity of disease. Neutralising antibodies are
240 known correlates of protection, but there may be other protective antibody mechanisms.
241 Similarly, the protective role of T cell immunity and duration of both antibody and T cell
242 responses and the correlates of protection need to be defined. In addition, optimal testing
243 systems and technologies to support and inform early detection and clinical management of
244 infection will be needed. It is worth noting that any of the mechanisms and assumptions
245 discussed in the article and in our understanding of COVID-19 may be revised as further
246 evidence emerges.

247

248 **Authors contributions**

249 MC, KK, JK, MP drafted the first and subsequent versions of the manuscript and all authors
250 provided critical feedback and contributed to the manuscript.

251

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254

255 **Conflicts of interest**

256 MC, KK, JK, MP have nothing to disclose.

257

258 **Figures**

259 Figure 1 legend: The viral envelope is coated by spike (S), a glycoprotein, envelope (E) and
260 membrane (M) proteins. Host cell binding and entry are mediated by the S protein. In SARS-
261 CoV2 the S2 subunit is highly preserved and is considered a potential antiviral target. The
262 S1 subunit of S protein contains the receptor binding domain (RBD) that binds to the
263 peptidase domain (PD) of angiotensin-converting enzyme 2 (ACE2). The first step in
264 infection is virus binding to a host cell through its target receptor and it likely follows these
265 steps. The virus binds to binds to heparin sulphate and ACE2 as the host target cell receptor
266 in synergy with the host's TMPRSS2 (1) which principally expressed in the airway epithelial
267 cells and vascular endothelial cells, which leads to membrane fusion and releases the viral
268 genome into the host cytoplasm (2). Viral replication requires following steps in the viral
269 cycle (3-7) and finally reaching final stages of viral assembly, maturation and virus release.
270 (This figure is created by the authors based on available literature about SARS-CoV-2
271 considering viral cycle of CoVs)

272 Figure 2 legend: After the initial exposure, patients typically develop symptoms within 5-6
273 days (incubation period). SARS-CoV-2 generates a diverse range of clinical manifestations,
274 ranging from mild infection to severe disease accompanied by high mortality. Often in
275 patients with mild infection, initial host immune response is capable of controlling the
276 infection, but in others there is a risk of severe disease. In severe and critical patients,
277 excessive immune response leads to organ damage, necessitating ICU admission. In
278 addition, the viral load peaks in the first week of infection, declines thereafter gradually, while
279 the antibody response gradually increases and often detectable by day 14. (This figure is
280 created by the authors with Biorender.com Figure adapted using DOI:
281 10.1016/j.cell.2020.04.013; DOI:[https://doi.org/10.1016/S2213-2600\(20\)30230-7](https://doi.org/10.1016/S2213-2600(20)30230-7).)

282 **Boxes**

283

284 **a) What You Need to Know**

- 285 1. SARS-CoV-2 binds to the host cell through ACE 2 that is mainly expressed in the
286 upper and lower respiratory epithelium, primarily leading to respiratory symptoms and
287 generalized systemic illness.

- 288 2. The predominant driver of viral transmission is droplet transmission. Viral particles
289 cause infection by either direct or indirect contamination of mucous membranes
290 (nose, eyes, mouth).
291 3. While increased risk of infection has been observed in crowded indoor settings, in the
292 absence of aerosol-generating procedures, the data is inconsistent with aerosol
293 transmission being a major route of transmission
294 4. Most of the clinical presentations are mild and the typical pattern of COVID-19 is a
295 flu-like illness rather than a severe pneumonia.
296 5. The mechanisms underlying the diverse clinical outcomes are unclear but may be
297 related to infectious dose, viral load kinetics, dysfunctional immune responses, older
298 age and underlying medical conditions.
299

300 **b) How patients were involved in the creation of this article**

301 No patients were involved in the creation of this article
302

303 **c) Education into practice**

304 Why SARS-CoV-2 is more infectious and capable of community spread compared to SARS-
305 CoV-1?

306 How would you describe to a patient why the symptoms of cough, anosmia and fever occur
307 in covid-19?
308

309 **d) How this article was created**

310 Authors searched PubMed from 2000 to 18th July 2020, limited to publications in English.

311 Our search strategy used a combination of key words including “COVID-19” “SARS-CoV-2”
312 “SARS” “MERS” “Coronavirus” “Novel Coronavirus” “Pathogenesis” “Transmission”
313 “Cytokine Release” “immune response” “antibody response”. These sources were
314 supplemented with systematic reviews. We also reviewed technical documents produced by
315 the Centers for Disease Control and World Health Organization technical documents.
316

317 **e) Questions for Future Research**

- 318 1- What is the the role of the cytokine storm and how it could inform the development of
319 therapeutics, vaccines, and supportive care modalities.
320 2- What is the window period the patients are most infectious?
321 3- Why some patients develop severe disease while others, especially children, remain
322 mildly symptomatic or do not develop symptoms?
323 4- What is the determinants of healthy versus dysfunctional response, and biomarkers
324 to define immune correlates of protection and disease severity for the effective triage
325 of patients?
326 5- What is the protective role of T cell immunity and duration of both antibody and T cell
327 responses and the correlates of protection need to be defined?

328

329 **f) Additional Educational Resources**

330 **g) Information Resources for Patients**

331

332 **h) Practical boxes**

Practical box 1: Risk factors associated with the development of severe disease, ICU admission, and Mortality		
Underlying condition	Presentation	Laboratory markers
Older age	Higher fever (≥ 39 °C on admission)	Neutrophilia/lymphopenia
Hypertension	Dyspnoea on admission	Raised Lactate and LDH
Cardiovascular disease	Higher qSOFA score	Raised CRP
COPD		Raised Ferritin
Diabetes		Raised IL-6
Obesity		Raised ACE2
Malignancy		D-dimer > 1 $\mu\text{g/mL}$

333

334

Practical box 2: Therapeutics currently under investigation		
Entry to the cell	Viral replication	Host immune response
ACE receptor inhibitors	RNA polymerase inhibitors	Immunomodulators
Angiotensin II receptor blockers	Remdesivir	Tocilizumab
Fusion inhibitors	Ribavirin	Sarilumab
Iminefovir	Favipravir	Adalimumab (TNF inhibitor)
Baricitinib	Protease inhibitors	IFN
	Lopinavir	Corticosteroids
	Darunavir	

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