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20 **Title:**

21 Lithic miniaturization as adaptive strategy: A case study from Boomplaas Cave, South Africa

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24

25 **1 Introduction**

26 Late Pleistocene (c. 125–12 ka) humans evolved in contexts of climatic volatility, episodic
27 intense cooling and landscape reorganization as the globe cycled through relatively warm and
28 cool interglacial-glacial climatic shifts, seas rose and fell, and ancient coastlines advanced
29 and retreated (Marean 2016). These broad climate patterns had dramatic and variable effects
30 on local climate and vegetation with consequences for key resources (plants, animals, and
31 water) upon which foraging societies depended. Patterning in climate and environment that
32 influences resource availability is a key influence on human behavioral variability (Binford
33 2001; Kelly 2013). Shifts in these biogeographic dynamics precipitated significant
34 demographic, genomic, and technological adjustments in foraging societies (Henn et al.
35 2011; Mackay et al. 2014; Soares et al. 2016).

36

37 Archaeological data show that Late Pleistocene *Homo sapiens* pioneered efforts to rapidly
38 generate novel solutions for survival in diverse, alien and often challenging environments,
39 exhibiting adaptive plasticity (e.g. Barker et al. 2007; d'Errico and Stringer 2011). Among
40 such systems are miniaturized lithic technologies, including small cores and flakes, blades
41 (bladelets), small backed and retouched tools, which provide several benefits such as raw
42 material economy, functional flexibility, and replaceability (e.g. Elston & Kuhn 2002;
43 Pargeter & Shea 2019). These economic benefits would have direct payoffs in environments
44 where suitable raw material was scarce, where knappable rock occurred in small package
45 sizes, or where groups had to maintain high levels of mobility/technological readiness (Kuhn
46 1995). Archeologists increasingly observe highly variable patterns of lithic miniaturization
47 through time and across space (Lewis 2017), suggesting that it was not an inevitable
48 consequence of human tool use, but more likely a strategic behavior deployed in specific
49 environments and periods.

50

51 *1.1 Explaining changes in miniaturized lithic systems*

52 Archaeologists propose several models to explain lithic miniaturization including those that
53 emphasize small tools' symbolic, functional, and economic advantages (Elston and Kuhn
54 2002). Models that are currently most amenable to testing emphasize some aspects of lithic
55 miniaturization's adaptive benefits, including its relationship to mobility strategies seen
56 through site occupation intensification patterns and seasonal scheduling (Hiscock et al. 2011).
57 Archaeologists have argued site occupation variability played a significant role in hunter-
58 gatherer technological choices in general (e.g. Binford 1990; Kelly 1983) and lithic
59 miniaturization in particular (e.g. Kuhn 1994; Nelson 1991). Tryon and Faith (2016), for
60 example, argue increasing site occupation intensity at Nasera rockshelter in Tanzania ~40ka
61 through processes connected with wider population pressure resulted in decreased access to
62 raw materials and increased reliance on local rocks. These demographic processes placed
63 greater pressure on humans to conserve raw material by using increasingly miniaturized lithic
64 reduction strategies (cf. Shott 1989). Their model links increased site occupation intensity
65 with resource scarcity and greater lithic miniaturization (small core reduction). It also finds
66 that more efficient technologies (i.e. bipolar reduction related to bladelet production)
67 manifest at times of increased site occupation intensity (cf. Eren et al. 2013).

68

69 Several archaeologists have invoked paleoenvironmental change and seasonal scheduling of
70 resource exploitation to explain lithic miniaturization's variability (e.g. Elston and Kuhn
71 2002; Hiscock 1994; Lombard and Parsons 2008; Petraglia et al. 2009; Clarkson et al.
72 2018a). The argument follows that more mobile populations' exploitation of generally sparse,
73 patchy, or seasonally variable resources would have increased selective pressure for smaller,

74 lighter, more reliable and multi-functional miniaturized toolkits. Miniaturized technological
75 strategies suitable for use on a wider range of rock types and small clast sizes would have
76 further enhanced this strategy's flexibility and utility.

77

78 Mitchell (1988) argues that the shift towards miniaturized lithic strategies was a response to
79 'time-stress' in ecologically challenging or seasonally distinct environments (cf. Torrence
80 1983). He argues that reduced ecological productivity resulted in increased pressures on
81 hunter-gatherers to divide time between finding food, making and maintaining technology,
82 avoiding predators, finding mates, and investing in offspring (Hames 1992). These time costs
83 would increase in contexts where raw material sources are protected, are unpredictable, are of
84 poor quality, where rocks are small, occur in shapes on which reduction is difficult, or a
85 combination of factors (Hayden 1989). One strategy to manage these time costs is to adopt
86 miniaturized technological strategies that maximize flake yield per unit of raw material, that
87 can be used on a range of raw material package sizes, and that reduce the frequency and
88 magnitude of raw material procurement (Mackay and Marwick 2011). Archaeologists argue
89 that small elongated flakes, or bladelets, provide more potential utility per number of artifacts
90 transported and maximize the use of small cores (e.g. Bar-Yosef & Kuhn, 1999; Mackay,
91 2008; Muller & Clarkson, 2016). These observations suggest that when there was strong
92 directional selective pressure encouraging lithic miniaturization, the proportion of the
93 relatively small stone tool evidence referable to bladelet production should increase relative
94 to evidence referable to smaller flake production (Pargeter & Shea, 2019).

95

96 1.2 *Hypotheses and predictions*

97

98 This paper tests two hypotheses to account for the use of lithic miniaturization as an adaptive
99 strategy. The first concerns site occupation intensity- a variable linked to group mobility,
100 population density, and technological organization (Dibble and Rolland 1992). Following
101 Tryon and Faith's (2016) observations at Nasera rockshelter, we expect evidence for
102 increased flaking efficiency (i.e. bipolar reduction and bladelet production) and an uptick in
103 lithic miniaturization (increased core reduction intensity) in periods of increased site
104 occupation intensity. Such a finding would imply that the structure of lithic miniaturization
105 was an adaptive response resulting in part from broader demographic processes and their
106 effects on access to resources.

107

108 The seasonal structure of resources is another key environmental predictor of hunter-gatherer
109 mobility strategies and technological organization (Binford 1982, 2001). Periods of resource
110 abundance become shorter and more sharply defined in more seasonal environments resulting
111 in greater energy expenditure to locate and procure resources (Bousman 1993; Harpending
112 and Davis 1977). Drawing from a worldwide ethnographic dataset, Kelly (1983) confirmed
113 that seasonality was a significant predictor of the number and distance of annual hunter-
114 gatherer moves, especially for groups dependent on large mammal hunting. Factors that
115 affect mobility strategies and foraging behavior will ultimately have consequences for
116 hunter-gatherer technological strategies (Barton and Riel-Salvatore 2014; Kuhn 1995;
117 Mackay et al. 2014; Parry and Kelly 1987; Riel-Salvatore and Barton 2004; Wilkins et al.
118 2017). Extended periods of residential stability in less seasonal environments can stress
119 sources of good quality lithic raw material resulting in more intensive reduction strategies
120 corresponding with more intensively reduced cores and flakes (Dibble 1995). If seasonality
121 does ultimately affect hunter-gatherer mobility and technological organization, then one
122 would expect to see increased flaking intensification in contexts of reduced seasonality.

123

124 *1.3 Background to Boomplaas Cave*

125

126 South Africa's southern Cape landscape is one of a handful regions in Africa with rich inland
127 archaeological deposits covering both the LGM and Late Glacial. Ongoing research in the
128 region has built a rich body of paleoenvironmental evidence (e.g. Bar-Matthews et al. 2010;
129 Braun et al. 2018; Carr et al. 2016; Chase et al. 2018, 2019; Chase and Meadows 2007;
130 Engelbrecht et al. 2019; Talma and Vogel 1992) and abundant well-dated archaeological
131 sequences (e.g. H. J. Deacon and Brooker 1976; Henshilwood 2005; Jacobs et al. 2008;
132 Mackay 2016a; Marean et al. 2010). Together these data provide a rich framework for
133 exploring patterns in the organization of human mobility and environment interactions that is
134 unique in African paleoanthropological research.

135

136 Boomplaas is the southern Cape's richest inland archaeological cave site. The site is a
137 limestone cave with a 225 m² floor area in South Africa's Western Cape Province about 80
138 km inland from the current Indian Ocean coastline (H. J. Deacon 1979) (**Figure 1**).
139 Overlooking the Cango Valley along the flanks of the Swartberg Mountain Range, the site
140 sits between the modern Montane Fynbos/Karoo Biomes at 700 m above sea level (**Figure**
141 **1**). The Swartberg is the Cape Fold Belt's innermost range bordered on the north by the Great
142 Karoo and the south by the Klein Karoo, both of which are arid to semi-arid regions. The
143 region around Boomplaas receives on average 326 mm of annual rainfall across the summer
144 and winter months. The mean annual evapotranspiration (MAE), accounting for the
145 combined process of both evaporation from soil and plant surfaces and transpiration through
146 plant canopies (Irmak and Haman 2003), relative to annual rainfall (MAP / MAE = 0.24; data

147 from Trabucco and Zomer 2009) indicates a semi-arid environment. High evapotranspiration
148 rates and ~80% of the grasses being C₄ make the Congo Valley especially sensitive to climate
149 change and amplified aridity. Despite the general aridity in surrounding areas, the
150 Grobbelaars River drains the Congo Valley and provides permanent freshwater sources.

151

152 Excavated by the late Hilary Deacon between 1974 and 1979, Boomplaas Cave preserves a
153 long occupational sequence extending from c. 60 ka and probably earlier at its base (H. J.
154 Deacon 1979). Deacon's excavations initially uncovered a 20 m² area, but he narrowed them
155 to 7 m² at a depth of 2 m which he excavated to bedrock (H. J. Deacon 1995). Occupation
156 deposits are variably comprised of thin discrete hearths, humic and ash features combined
157 into members approximately 0.05 to 0.2 m thick. All excavated materials were dry-sieved
158 through 3 mm mesh except for areas with high microfauna frequencies, which were sieved
159 through 2 mm mesh.

160

161 The current study focuses on Boomplaas' LGM and Late Glacial deposits dated ~26 – 11
162 kcal BP. Several lines of evidence including charcoal, faunal analyses, stable isotopes, and
163 sediment studies show that Boomplaas' major occupation pulses occurred alongside large-
164 scale LGM and Late Glacial environmental changes with decreased local environmental
165 productivity and increased aridity after the LGM (Chase et al. 2018; H. J. Deacon and
166 Lancaster 1988; H. J. Deacon et al. 1983; Faith 2011, 2013a,b; Faith et al. 2019; Sealy et al.
167 2016; Talma and Vogel 1992; Webley 1978). Humans appear to have chosen the Congo
168 Valley for short-lived, sometimes intensive and repeated habitation in the LGM with the
169 nearest coastline an estimated 180 km away (Fisher et al. 2010). Marine shell first appears in
170 the Boomplaas sequence during the Late Glacial at c. 16 kcal. BP, which corroborates
171 increased contact with coastal contexts at a time of rapid sea level rise along the Paleo-

172 Agulhas plain, either through exchange or group movement (J. Deacon 1984). Together,
173 these factors hint at complex processes of social change in the Cango Valley region in the
174 face of habitat shifts and landscape reorganization across the southern Cape driven in part by
175 rising sea levels. Faith (2013a) argues that the combination of growing population density,
176 coupled with the attendant increase in competition for resources, may have underpinned the
177 expansion of human populations into less favorable inland habitats, including the Cango
178 Valley (cf. Inskeep 1978).

179

180 *1.4 Previous efforts to test the relationship between lithic technology and* 181 *paleoenvironmental changes at Boomplaas Cave*

182 J. Deacon (1984) was the first to test the hypothesis that lithic technological change at
183 Boomplaas Cave was stimulated by environmental change. Her work drew on a set of lithic
184 techno-typological categories generated from over 225,000 lithics at Boomplaas Cave,
185 Nelson Bay Cave, and Kangkara (Fig. 1) combined with paleoenvironmental data from
186 stalagmites, charcoal, micro- and macromammals. J. Deacon's results showed no consistent
187 relationship between changes in the stone tool typology and environmental change.
188 Chase and colleagues (2018) recently revisited the issue of climate change and lithic
189 technology at Boomplaas, drawing on J. Deacon's (1984) lithic data. They combined
190 observations from the Boomplaas faunas with $\delta^{13}\text{C}$ and $\delta^{15}\text{N}$ stable isotopic data from nearby
191 (~70km west of Boomplaas) Seweweekspoort to test J. Deacon's hypothesis that climate
192 change did not affect technological change in the Cango Valley and surrounding areas. Chase
193 and colleagues found strong coupling of environmental, subsistence and technological shifts,
194 but no evidence to directly link specific lithic tool types (blades) with diminished subsistence
195 conditions. Differences between J. Deacon (1984) and Chase and colleagues (2018) arise

196 largely from differences in analytical techniques, theoretical orientations, and newly
197 generated dates and paleoenvironmental data. Chase and colleagues situate their study within
198 a behavioral ecological framework and derive lithic variables relevant to addressing questions
199 of hunter-gatherer technological organization and scheduling (sensu Nelson 1991; Shott
200 1986).

201

202 We aim to refine our understanding of hunter-gatherer technological organization at
203 Boomplaas Cave by overcoming several limitations of the Chase et al. (2018) study that stem
204 from constraints in their lithic dataset. First, given the data at hand (J. Deacon 1984), they
205 focused on the relative frequencies of one miniaturized tool type (bladelets) but not on the
206 strategies used to make these bladelets. Bladelets can be produced using a range of strategies
207 with different costs and benefits (Pargeter and Eren 2017; Pargeter and de la Peña 2017;
208 Pargeter et al. 2019), the least costly of which in terms of time and energy are those involving
209 bipolar (hammer and anvil) reduction. These observations suggest that when there was strong
210 directional selective pressure encouraging low cost lithic miniaturization and bladelet
211 production, the proportion of the relatively small stone tool evidence referable to bipolar
212 percussion should increase relative to evidence referable to other reduction strategies.
213 Second, they were also unable to include a measure of reduction intensity, which Mackay and
214 Marwick (2011) argue is important for connecting changes in technological time costs and
215 provisioning strategies. Third, Chase et al.'s (2018) analysis included data from only the
216 youngest (GWA) of Boomplaas' three LGM members, as their emphasis was on those
217 members that chronologically overlap with the Seweweekspoort hyrax middens. Analysis of
218 the site's earlier LGM members (LPC and LP) is necessary to gain a complete perspective on
219 LGM technological variability.

220

221 This study draws on a newly generated lithic dataset (Pargeter 2017) to address the issues
222 raised above. The dataset describes in greater detail the technological structure of the LGM
223 and Late Glacial lithic assemblages at Boomplaas Cave, providing a more complete picture
224 of LGM and Late Glacial technological variability. It also draws on recent experimental data
225 (Pargeter and de la Peña 2017; Pargeter and Eren 2017; Pargeter et al. 2019) to move beyond
226 typological patterning in the lithic assemblage and to examine variables relevant to the
227 technological time costs associated with different lithic miniaturization strategies. With these
228 data we are able to address the question of whether shifts in lithic reduction are correlated
229 with shifts in site occupation patterns and paleoenvironmental conditions and specifically the
230 degree to which variation in the structure and organization of lithic miniaturization strategies
231 can be considered adaptive strategies.

232

233 **2 Materials and methods**

234 The following sections provide detail on the study's main lithic, occupation intensity, and
235 paleoenvironmental datasets. In the interests of promoting open science initiatives and
236 computational reproducibility in archaeology (e.g., Marwick 2017), the paper's raw data and
237 statistical code are available through the Open Science Framework (osf.io/pr65w).

238 *2.1 Lithic analysis*

239 The lithic analyses reported here aimed to clarify the technological variability across
240 Boomplaas' LGM and Late Glacial occupations. Our sampling focused on two 1m²
241 excavation areas P14/P15. These squares contain representative samples from each of the
242 site's major stratigraphic members and sub-units including LGM members LP, LPC, and
243 GWA as well as Late Glacial member CL (see **Table 1**). A sample size of at least 300
244 flakes/layer was selected (in layers with fewer than 300 lithics/layer, all were measured)

245 without size cut-offs. All cores were analyzed because cores contain greater technological
246 information than flakes. All the assemblages from the levels examined here were made
247 predominantly (>80 %), if not exclusively, on locally abundant quartz (both hydrothermal
248 vein quartz and crystal quartz). To control for the effects of raw material variability, our
249 analysis focuses solely on Boomplaas' quartz assemblage.

250

251 2.1.1 Lithic attributes

252 The study used a standardized attribute-based framework from which we have selected
253 variables to track changes in reduction strategies and reduction intensity. The variables also
254 help clarify two important aspects of lithic technology – the organization of technology and
255 the strategic use of raw materials.

256

257 We derived three summary lithic variables from these data that describe aspects of the
258 structure and organization of lithic miniaturization at Boomplaas Cave (see **Table 2**). The
259 variables include bipolar core frequencies, bladelet core frequencies, and an assemblage
260 reduction intensity index (ARI) calculated as the ratio between the average flake length and
261 average core length in each layer (Olszewski et al., 2011) (see **Table 2** for definitions of each
262 variable). Bipolar core frequencies and bladelet core frequencies track technological
263 differences between assemblages. Following Low & Pargeter (2020), bladelet cores must
264 preserve evidence for the removal of at least one bladedelet in the form of a flake scar with
265 parallel scar ridges, a flake scar length at least twice as long as it is wide, and a width <
266 12mm. The ARI is used to gauge the intensity of raw material use and reduction. Cores from
267 which only few flakes have been struck should be larger (on average) than the flakes
268 indicating a low reduction intensity (ARI <1). On the other hand, cores that have been
269 heavily reduced on site will be smaller than the initial flakes (ARI >1) and, if reduction

270 proceeded on site and was intensive enough, even smaller than the average size of
271 flakes/blades. The ARI is probably a sensitive measure of mobility patterns given the
272 expectation that archaeologists predict mobility distance and site occupation intensity affects
273 core reduction intensity (Barton & Riel-Salvatore, 2014). These data provide a broad
274 referential framework against which to assess patterning in our paleoenvironmental data and
275 to test the hypothesized relationships between technological organization, site occupation
276 intensity, and paleoenvironmental variability.

277

278 2.2 *Site occupation intensity*

279 While Boomplaas Cave was excavated with tight stratigraphic control and to an exceptionally
280 high standard, limitations in the then current methods precluded the use micro-stratigraphic
281 techniques or micromorphological analyses. As a result, we rely on broader descriptions of
282 deposit accumulation, subsistence practices, and artefact discard trends to interpret site
283 occupation patterns (see **Table 3**).

284

285 We track site occupation intensity using taphonomic signals on the large mammalian fauna.
286 This taphonomic measure is derived from the proportion of bone surface modifications on
287 long-bone midshaft fragments that can be attributed to human activity (cut-marks and
288 percussion marks) relative to modifications related to non-human bone accumulators
289 (carnivore tooth-marks and gastric etching). This provides a coarse proxy for the human
290 versus non-human (e.g., carnivores and large raptors) contribution to the accumulation of the
291 bone assemblage (Thompson et al. 2017a), which we interpret in terms of human occupation
292 intensity. For example, a low frequency of anthropogenic bone modifications (equated with a
293 high frequency of non-human modifications) implies ephemeral occupation of the cave by

294 hunter-gatherers, allowing other taphonomic agents to introduce bone into the assemblage.
295 Conversely, a dominance of anthropogenic bone modifications (equated with increased
296 human modifications) implies intensive human occupation with fewer opportunities for
297 carnivores or raptors to accumulate faunal remains in the cave.

298

299 2.3 Seasonality data

300 To test for the influence of seasonality on technological organization, we focus on recent data
301 for Boomplaas' micromammal assemblages. Though there are other sources of
302 paleoenvironmental information available from Boomplaas Cave (e.g., large mammals,
303 charcoal), we focus on the micromammals because they represent an environmental archive
304 that accumulated independent of human behavior.

305

306 The Boomplaas sequence contains a rich microfauna assemblage thought to have been
307 accumulated by barn owls (*Tyto alba*) (Avery 1982). Barn owls prey on a range of
308 micromammalian species within a small radius (c. 2-3 km) of their roost (Andrews 1990).
309 This small foraging radius (e.g., compared to human foragers) implies that micromammals
310 provide a localized representation of the micromammal community and the environments in
311 which they are found.

312

313 We use the index of rainfall seasonality (summer versus winter rainfall) provided by Faith
314 and colleagues' (2019) analysis of the Boomplaas microfauna. Their index is derived from
315 analysis of 100+ modern barn owl-accumulated micromammal assemblages from across
316 southern Africa. They employed canonical correspondence analysis (CCA) to establish
317 relationships between modern species composition and estimates of rainfall seasonality

318 provided by the WorldClim global climate database (Hijmans et al. 2005). These
319 relationships were then used to interpret CCA ordination scores for the Boomplaas fossil
320 micromammal assemblages in terms of precipitation seasonality (cf. Thackeray and Fitchett
321 2016). In the southern Cape, variations in microfauna seasonality largely track the changing
322 influence of summer rains and their effect on the severity of the dry season (cf. Chase et al.
323 2015). Greater seasonality in this region reflects increased contributions from warmer season
324 rains. Faith and colleagues' data show that the LGM at Boomplaas is characterised by a high
325 proportion of winter rainfall, with seasonality index values matching those from modern and
326 fossil sites at the core of South Africa's modern winter rainfall zone (Faith et al. 2019). The
327 following Late Glacial shows a shift towards overall less seasonality—related to increased
328 summer rainfall coupled with reduced winter rainfall (i.e., a more equable distribution of
329 rainfall).

330

331 **3 Results**

332 Table 3 presents summaries for the three lithic variables at Boomplaas.

333 Reduction intensity values remain relatively low for much of the LGM with values increasing
334 more dramatically during the Late Glacial. All of member CL's sub-units show high average
335 reduction intensity values around 1.4 (95% CI's: 1.9-0.8) while LGM members GWA and LP
336 show the lowest average reduction intensity values ranging between 0.7 and 0.8 (95% CI's:
337 0.9-0.4). Overall, patterning in these lithic variables show heightened reduction intensity in
338 Boomplaas' Late Glacial assemblages.

339

340 Bipolar core frequencies in the CL sub-members show consistently frequencies (range: 96 %
341 - 100 %) (see Figures 2 & 3). The LGM members show much lower bipolar core frequencies

342 ranging from 45 % in member LPC to 81 % in member LP. Bladelet core frequencies track
343 the bipolar core patterns with higher overall frequencies in the Late Glacial members and
344 lower values during the LGM. Member LPC shows the greatest deviation within the LGM
345 members with a relatively low bladelet core frequency of 12 % [95% CI: 5-22]). Together
346 these data show that toolmakers likely used bipolar cores to make small elongated flakes
347 more so during the Late Glacial than during the LGM. Table 3 presents summary data on the
348 anthropogenic input index (bone surface modification frequencies). These values are low
349 during the LGM in members LP, LPC, and GWA (range: 25 % - 30 %) with marked
350 increased across the Late Glacial member CL (range: 87 % - 94 %). The results provide
351 strong evidence for a shift towards greater site occupation intensity during the Late Glacial.
352

353 Figure 4 compares patterns across our suite of lithic, macrofauna, and microfauna variables.
354 The data show clear and tightly correlated changes across all of these variables. Boomplaas'
355 anthropogenic fauna markers track closely with the microfauna seasonality index
356 demonstrating that the site underwent more intensive use as rainfall shifted to the warmer
357 months, reducing its overall seasonal variability. The three lithic patterns parallell closely the
358 trends in microfauna seasonality and site occupation intensity. Reduction intensity, bladelet
359 and bipolar technology increased at times of increased site occupation intensity and
360 decreased rainfall seasonality. These results support both our hypotheses and show that at
361 Boomplaas, lithic technological organization is associated both with site occupation intensity
362 and rainfall seasonality.

363

364 **4 Discussion**

365 Boomplaas Cave's archaeological record contributes to an increasingly coherent picture
366 concerning Late Pleistocene human environment interactions in the southern Cape. Here we
367 draw on several lines of evidence including lithic technology, taphonomic data on
368 macrofauna, and micromammalian evidence to test the hypotheses that differences in lithic
369 technological organization across the LGM to Late Glacial reflect changes in the site's
370 occupation intensity and the local region's rainfall seasonality. Our data support both of our
371 test hypotheses showing that lithic miniaturization strategies are linked to site occupation
372 intensification patterns and seasonal scheduling. In particular, we find that decreasing
373 seasonality is associated with greater occupation intensity, coupled with dramatically
374 increased core reduction intensity, bladelet and bipolar core frequencies.

375

376 Several paleoenvironmental proxies including stable isotopes, macrofaunal species
377 composition, hyrax midden data, and micromammalian data point to a major reorganization
378 in precipitation seasonality and ecology in the southern Cape across the LGM to Late Glacial
379 transition (Avery 1982; Chase et al. 2018; Faith 2013a; Faith et al. 2019; Klein 1978; Sealy et
380 al. 2016; Talma and Vogel 1992; Thackeray and Fitchett 2016). These shifts would have
381 impacted hunter-gatherer technology, mobility, and foraging strategies. Chase and colleagues
382 (2018) draw on optimal foraging theory to examine zooarchaeological measures of foraging
383 efficiency in Boomplaas' Late Glacial member CL. They show a strong and positive trend
384 towards increased relative abundance of small-bodied and presumably low-ranked prey (i.e.
385 tortoises) and the mean food utility index of large mammal skeletal elements (Metcalf and
386 Jones 1988) across sub-members CL 3 – 1. This decline in foraging efficiency tracks our data
387 on increased reduction intensity across the same interval. The pattern of increased
388 technological efficiency coupled with degraded subsistence base matches with expectations

389 of optimal foraging theory (e.g. Broughton 1994) and with theoretical work linking
390 ecological changes and hunter-gatherer technological organization (Harpending and Davis
391 1977; Kelly 1983; Mishra et al. 2013; Clarkson et al. 2018b).

392

393 Previous research has considered ecological parameters, mobility, and population
394 demography as competing hypotheses to explain changes in technological organization (e.g.,
395 Collard et al. 2013; Thompson et al. 2017b). While considering each of these factors
396 separately is more straightforward, it is more realistic to view them as interrelated phenomena.
397 For example, group size, is determined by availability and distribution of resources, and
398 equally the size and structure of a group can affect how resources are acquired and
399 technologies are transmitted. Marean (2014) argues that the consistent use of marine
400 resources should result in reduced mobility, larger group size, population packing, smaller
401 territories, complex technologies, increased economic and social differentiation, and more
402 intense and wide ranging gifting and exchange. Drawing on data from the Indian
403 subcontinent, Petraglia and colleagues (2009) maintain that decreased ecological productivity
404 at the onset of the LGM led to population reorganization (recorded in mtDNA haplogroups)
405 and increased emphasis on miniaturized technological systems. Mackay and colleagues
406 (2014) hypothesize that population coalescence and fragmentation events between ~130 – 12
407 ka in southern Africa and related shifts in subsistence and technology were driven largely by
408 underlying environmental conditions. We find similar evidence suggesting that demographic
409 processes impacted choices of technology within a rapidly shifting biogeographical context.

410

411 The Late Glacial period in the southern Cape was marked by dynamic social and
412 environmental changes, reduced bio-productivity, as the broader region shifted away from a
413 productive C₃ grassland habitat to a more fragmented mixed C₃/C₄ environment (Faith 2011;

414 Chase et al. 2017, 2018). This was also a period of large-scale landscape reorganization as
415 post-glacial sea-level rise caused the coastline to advance up to 120 km inland (Fisher et al.
416 2010). Marean and colleagues (2014) argue that the primary driver of Pleistocene change in
417 the southern Cape was the expansion and contraction of the Paleo-Agulhas Plain, which
418 resulted in changes in the size and structure of resources in an area that once supported
419 productive grassland ecosystems. These processes displaced grazing species and the hunter-
420 gatherer groups who organized their land-use strategies around their movements. Faith
421 (2013) proposes that increased population densities stemming from the post-LGM marine
422 transgression fueled competition for resources and may have provided the impetus to expand
423 into less productive (non-coastal) habitats, potentially accounting for evidence for more
424 intensive Late Glacial occupation at Boomplaas Cave. The earliest appearance of marine
425 shell at Boomplaas in member CL corroborates Faith's hypothesis (Deacon 1984).
426 These paleoenvironmental signals overlap with a period of major change in the organization
427 of lithic miniaturization and occupation intensity at Boomplaas. During the cooler, more
428 humid, and productive LGM climates, lithic miniaturization emphasized lower bipolar core
429 frequencies, greater core masses, more retouched tool production, and greater frequencies of
430 minimally-worked nodules. These patterns occurred in contexts of relatively low occupation
431 intensity with Boomplaas being one of the few sites in the region with occupation horizons
432 dating ~30 – 18 kcal BP. At these times, Boomplaas was not a focal point for human activity.
433 Humans amplified and reorganized technological strategies as they occupied the site more
434 intensively in member CL. Concurrent ratcheting of occupation signals at several southern
435 Cape sites links the site's occupation with wider demographic changes across the southern
436 Cape (H. J. Deacon 1976; J. Deacon 1978, 1984; Mackay 2016b; Opperman 1978; Porraz et
437 al. 2016; Schweitzer and Wilson 1982).

438

439 With such widescale changes in geography, ecology, and population demography one would
440 also expect to find evidence for shifts in social relations amongst hunter-gatherer groups (cf.
441 Whitehead 2007). Anthropologists predict that increased information exchange and
442 interaction between groups living in diverse and rapidly evolving landscapes helps buffer the
443 effects of environmental change and scarce or unpredictable resources (Ambrose and Lorenz
444 1990; Wiessner 1982). Worked and decorated ostrich eggshell fragments and beads, widely
445 argued to be tokens of social relations and exchange networks (cf. Mitchell 1996; Parkington
446 et al. 2005), appear for the first time in Boomplaas' member CL rising threefold between sub-
447 member CL 3 (~17 – 15 kcal BP) and CL 1 (~12 kcal BP) (H. J. Deacon 1983).
448 Archaeologists report similarly aged geometrically engraved ostrich eggshell fragments and
449 marine shell at Klipfonteinrand (~350 km from Boomplaas), Byneskranskop 1 (~280 km
450 from Boomplaas), and Nelson Bay Cave (~130 km from Boomplaas), signaling the possible
451 growth of region-wide social networks in the face of rising sea-levels and rapid climate
452 change (Bluff 2017; J. Deacon 1984). These patterns conform to expectations from cultural
453 evolution and ethnographic research that show cultural conformity and social network
454 formation can have substantial fitness benefits especially in contexts where environments are
455 spatially variable (i.e. Boyd & Richerson 1988).

456

457 **5 Conclusion**

458 We show that the structure and organization of lithic miniaturization processes involving
459 high core reduction intensity coupled with increased bipolar and bladelet core frequencies
460 and unretouched tool use strongly related to precipitation seasonality. Drawing on the broader
461 Boomplaas archive we show that during the Late Glacial, humans converged on a small
462 number of high payoff strategies drawing on increased technological efficiency. This is

463 associated with greater production of ostrich eggshell ornaments and water containers, and a
464 reorganized subsistence strategy geared towards greater exploitation of low-ranked prey.
465 Concurrent ratcheting of occupation signals at several southern Cape sites links the
466 Boomplaas occupation with wider coupled environment-demographic changes and sea level
467 rise across the southern Cape at this time. Our data show that global climatic events such as
468 the LGM manifest in different ways in different regions. Not all manifestations were
469 universally harsh, or risky, and not all resulted in the same lithic technological responses.
470 Considered as a suite of predictors, aridity, climatic unpredictability, and reduced bio-
471 productivity, on the other hand, appear to link lithic technologies with adaptive strategies in
472 several regions including Australia, Southern Africa, and South Asia.

473

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475

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482

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772

773

774 **8 FIGURE CAPTIONS**

775

776 **Figure 1: Map showing the location of Boomplaas Cave and other sites mentioned in the text. Green dots**
777 **mark paleoenvironmental sources, white dots mark archaeological sites. Dotted line marks the extent of**
778 **glacial coastline -120m from the current shoreline. BPA: Boomplaas, CC: Cango Caves; SWP:**
779 **Seweweekspoort; NBC: Nelson Bay Cave; KAN: Kangkara; BNK 1: Byneskranskop 1; KFR:**
780 **Klipfonteinrand.**

781

782 **Figure 2: Quartz flakes from Boomplaas' LGM and Late Glacial members.**

783

784 **Figure 3: Quartz cores from Boomplaas' LGM and Late Glacial members. A: Quartz radial core; B:**
785 **Quartz anvil-assisted freehand bladelet core; C & D: crystal quartz bipolar bladelet cores.**

786

787 **Figure 4: Comparison of LGM and Late Glacial lithic variables, anthropogenic input into the faunal**
788 **assemblage, and the microfauna seasonality index.**

789