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4

5 **Environmental Assessment of Different Cement Manufacturing Processes** 6 **Based on Emergy and Ecological Footprint Analysis**

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16 **Abstract**

17 Due to its high environmental impact and energy intensive production, the cement
18 industry needs to adopt more energy efficient technologies to reduce its demand for fossil
19 fuels and impact on the environment. Bearing in mind that cement is the most widely used
20 material for housing and modern infrastructure, the aim of this paper is to analyse the Emergy
21 and Ecological Footprint of different cement manufacturing processes for a particular cement
22 plant. There are several mitigation measures that can be incorporated in the cement
23 manufacturing process to reduce the demand for fossil fuels and consequently reduce the CO₂
24 emissions. The mitigation measures considered in this paper were the use of alternative fuels
25 and a more energy efficient kiln process. In order to estimate the sustainability effect of the
26 aforementioned measures, Emergy and Ecological Footprint were calculated for four different
27 scenarios. The results show that Emergy, due to the high input mass of raw material needed
28 for clinker production, stays at about the same level. However, for the Ecological Footprint,
29 the results show that by combining the use of alternative fuels together with a more energy
30 efficient kiln process, the environmental impact of the cement manufacturing process can be
31 lowered.

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1 *Keywords:* Sustainable cement production; Cement industry; Mitigation scenario,
2 Energy; Ecological Footprint

4 **1. Introduction**

5 Climate change problems are addressed by two major international agreements: the 1992
6 United Nations Framework Convention on Climate Change (UNFCCC) and the 1997 Kyoto
7 Protocol (IPCC, 2013). The ultimate objective of these agreements is to stabilise greenhouse
8 gas - GHG concentrations in the atmosphere at a level that would prevent dangerous
9 anthropogenic interference with the global climate system. The latest report from the scientific
10 panel on anthropogenic global warming indicates that substantial and joint global action is
11 required to reduce carbon dioxide - CO₂ emissions. Meaning the longer we wait to address
12 this issue, the more difficult, technologically challenging and expensive it becomes (IPCC,
13 2014).

14 It is well known that over 80 % of global CO₂ emissions are caused by transport activities
15 and industry due to this reason, there is a need to decarbonize transport and industrial
16 production (Klemeš et al., 2012). In 2008, the electricity and heat generation sector was
17 responsible for 41 %, transport sector for 22 %, and industry for 20 % of anthropogenic CO₂
18 emissions (Benhelal et al., 2013). From these 20 % of global CO₂ emissions related to
19 industry, the cement industry accounts for approximately a quarter of total CO₂ emissions in
20 industry (Marques and Neves-Silva, 2015). This means that cement industry as an energy
21 intensive industrial sector, alone generates approximately 5 % of anthropogenic CO₂ in the
22 world, and this figure is given in several studies (Mikulčić et al., 2013a; Usón et al., 2013).
23 Due to its significant environmental impact, over the past decades several CO₂ emissions
24 mitigation measures have appeared. The main objective of these measures is environmental
25 conservation in terms of reducing CO₂ emissions.

26 In recent years, there have been numerous studies worldwide discussing energy
27 conservation policies, estimating the CO₂ mitigation potential, and considering technology
28 evaluation for the cement industry. Some of these studies investigated the effect of mitigation
29 measures at the global level, such as the study conducted by the International Energy Agency
30 - IEA (IEA, 2009). However, the majority of these studies evaluated the environmental impact
31 of cement production at national and regional levels. The effect of mitigation measures on the
32 regional level, like those in the European Union - EU were analyzed in Pardo et al. (2011) and
33 Moya et al. (2011). The United States' cement industry was analysed in the study by Xu et al.

1 (2013). However due to the rapid economic growth and vast urbanization, the majority of the
2 studies related to the cement industry are for the developing countries like China (Li et al.,
3 2014; Wang et al., 2014; Xu et al., 2014), South Africa (Swanepoel et al., 2014), Turkey
4 (Ekincioglu et al., 2013), Iran (Ostad-Ahmad-Ghorabi and Attari, 2013), India (Morrow et al.,
5 2014), Thailand (Hasanbeigi et al., 2010), and Vietnam (Nguyen and Hens, 2013). The reason
6 for these is most easily seen in Table 1 where the global cement production for 2012 is given.
7 Table 1 shows that the vast majority of cement production is located in developing countries,
8 especially in Asia. The importance of cement production in these developing economies can
9 also be observed when comparing the annual CO₂ emissions from cement production in
10 industrialised countries and developing countries. In the EU, the cement industry contributes
11 to about 4.1 % of total CO₂ emissions (Mikulčić et al., 2013b). This share varies from one EU
12 country to another, in EU's most developed country Germany, this share is even lower, and
13 the cement industry accounts for 2.9 % of Germany's CO₂ emissions (Brunke and Blesl,
14 2014). This is similar for the cement industry in United States, where cement production is
15 responsible for about 2 % of total CO₂ emissions (Worrell and Galitsky, 2008). Whereas in
16 China, the world's largest cement producing country and the world's largest emitter of GHG
17 emissions, 15 % of total CO₂ emissions are related to cement production (Chen et al., 2014;
18 Wang et al., 2013). All of these studies stated that there is a great challenge in attempting to
19 approach sustainability in the cement industry. Due to this reason and the increased social
20 awareness in fighting climate changes, eco-labelling of companies, products, lifestyle,
21 services, etc., is becoming an element of decision making (Čuček et al., 2012a).

22
23 Over the years, Ecological Footprint has arisen as a simple, yet effective tool that can
24 serve as an indicator of environmental impact, and eco-labelling (Cagiao et al., 2011; Čuček et
25 al., 2012b). However, Huijbregts et al. (2008) in their study show that the usefulness of the
26 Ecological Footprint as a stand-alone indicator for the environmental impact is limited. Based
27 on their observations, they concluded that the Ecological Footprint should be used together
28 with other indicators to estimate in detail the impact of human activities on the environment.
29 This observation was even more highlighted recently by Rugani et al. (2013), where it was
30 concluded that the use of the Carbon Footprint in combination with other single-issue
31 indicators would be recommended to increase transparency and impacts coverage.

32 As cement manufacturing is an energy intensive process, Emergy was used as an
33 indicator for sustainability in some studies. Pulselli et al. (2008) in their study through an
34 Emergy evaluation assessed the sustainability of building materials, including cement. Zhang

1 et al. (2011) showed that Emergy analysis provides results that measure the resource input in
2 the cement industry. These results can be further used for process performance analysis. Liu et
3 al. (2014) used emergy analysis and evaluated the environmental effect of using sewage
4 sludge as an alternative raw material or fuel in clinker production. The study showed that the
5 use of emergy accounting may provide quantitative metrics of eco-industrial sustainability. In
6 a recent study by Jamali-Zghal et al. (2013), Emergy and Carbon Footprint were used together
7 to study to which extent, replacing fossil fuel with biomass for heating is an environmentally
8 friendly solution. In relation to this study, Andrić et al. (2014) using the same approach for
9 electricity production determined the maximum supply distance of biomass that allows the co-
10 firing of coal and biomass to be more environmentally efficient than the pure coal
11 combustion. The study showed that the Carbon Footprint and Emergy method are used
12 together to cover all, or at least most, of the significant aspects of the electricity production
13 process that may influence the environment.

14 To date, to the knowledge of the authors, there have been no studies that used the Emergy
15 and Ecological Footprint together as environmental indicators, to investigate the sustainability
16 of cement manufacturing processes. For that reason in this study, in order to help cement
17 manufactures to operate in a more environmental friendly way, and to assess which
18 manufacturing process is more sustainable, the environmental impact of four different cement
19 manufacturing processes is estimated. Actual cement plant data is used in order to correctly
20 study the impact of different processes. The results shown in this study highlight potential
21 modifications and improvements in the manufacturing process, regarding its sustainability.

22

23 **2. Methodology**

24 Sustainability is essentially about finding ways to meet the material and energy needs of
25 human society within the limits of planet Earth over the long term (WCED, 1987). In the case
26 of manufacturing activities, sustainability goes beyond pollution prevention by extending the
27 time frame and the functional scope of the analysis. Extending the time frame implies that
28 processes must be capable of functioning in an environmentally acceptable manner for a very
29 long time. Extending the functional scope of the analysis means that the manufacturing
30 activity must be able to function without seriously impairing the natural processes of the
31 environment in which it is imbedded. These natural processes include things such as waste
32 dissipation, nutrient cycling, oxygen production, and many others. For these processes to
33 function there are some conditions that must be maintained such as having: (1) as small of a

1 footprint on the environment as possible and (2) as small of an appropriation of the energy
2 resources of the environment as possible. These two are not necessarily the only two issues of
3 concern. But they are important for technological processes, and they can be expressed quite
4 generally as will be shown shortly. They also can inherently incorporate many specific
5 sustainability issues such greenhouse gas emissions, land use, and water depletion.

6 It is very important, however, that environmental issues not be ported from one media or
7 area to another. For example, reducing energy consumption at the cost of increased water
8 usage, thus reducing one kind of environmental impact only to increase another. To mitigate
9 this problem, we use integrated sustainability metrics where many effects across the life-cycle
10 are incorporated into common measures. Hence, we account for the use of land with the
11 Ecological Footprint – EF (Wackernagel and Rees, 1996) and for energy resources using
12 Emergy Analysis - Em (Odum and Nilsson, 1996) as will be discussed further in the following
13 sections. The application of Ecological Footprint (Vance et al., 2013) and Ecological
14 Footprint and Emergy Analysis (Vance et al., 2015) to the design of energy supply chains can
15 be found in the literature.

16 Ecological Footprint and Emergy Analysis speak to sustainability because, when
17 comparing two options, the more sustainable one is the one with the smallest Ecological
18 Footprint and the lowest input of Emergy. Further, for an option to be considered more
19 sustainable, it must meet both criteria simultaneously. In mathematical form the criteria
20 indicate that option one is more environmentally sustainable than option two when: $EF_1 \leq EF_2$
21 and $Em_1 \leq Em_2$.

23 **2.1. Emergy**

24 Emergy is generally meant to represent the sum total of the thermodynamic work done in
25 producing a product or maintaining an operation using solar energy along with tidal and
26 geothermal energy as energy sources. Note that solar energy input is by far the largest
27 contributor to Emergy. For purposes of this work, Emergy is the sum of the total
28 thermodynamic work done in producing a specific mass of cement. Emergy is expressed in
29 solar energy Joules or seJ. The conversion factor between different kinds of energy in Joules
30 or J and Emergy in seJ is called a transformity. The application of Emergy theory also known
31 as Energy Systems Theory is often known as Emergy accounting. In essence, Emergy
32 accounting tries to transform all mass and energy flows into solar energy Joules.

33 Transformities are in general very specific to the material, e.g. the seJ of, for example, a
34 kilogram of a particular type of coal. For practical use, it is easier to rely on unit Emergy

1 values which can often be found in the literature (Rugani et al., 2011). These are
 2 proportionality constants which convert a defined unit of a substance or a form of energy
 3 produced at a specific location by a particular process into the equivalent seJ. For example,
 4 the unit Energy value of hard wood in Europe is approximately $1.16 \cdot 10^{14}$ seJ/m³ where the
 5 unit of wood is meters cube (Rugani et al., 2011). Unit Energy values aggregate most of the
 6 contributions from the lifecycle of the product or process, and they differ in that respect from
 7 transformities which do not attempt to integrate the over life-cycle. For a product, for example
 8 cement, the Energy is calculated from the expression,

$$9 \quad Em_{CP} = \sum_m Em_{m,CP} + \sum_e Em_{e,CP} \quad (1.)$$

10 where Em_{CP} is the Energy in seJ needed to produce cement “C” by process “P,” $Em_{m,CP}$ is the
 11 Energy of material input m in seJ into process “P”, $Em_{e,CP}$ is the Energy of energy input “e”
 12 in seJ into process “P”, and the sum “m” is taken over all of the material inputs and the sum
 13 “e” is taken over all energy inputs into the process.

14 The Energy of each material input is computed from,

$$15 \quad Em_{m,CP} = b_{m,S} \bullet K_{m,S} \quad (2.)$$

16 where $b_{m,S}$ is the unit Energy in seJ of one kilogram of input “m” obtained or produced from
 17 source “S”, and $K_{m,S}$ is the necessary number of kilograms of input “m”. Input “m” can be any
 18 material input needed, including manufactured products, e.g. chemicals, metals, waste, or
 19 agricultural products, e.g. wood, agricultural residue. However, care must be taken to match
 20 as closely as possible the actual process under study to the process used to derive the unit
 21 Energy values. This includes matching as well as possible the geographical location, raw
 22 materials and their sources, technology used, etc.

23 When the input is a type of energy, the form of the expression is the same, but the
 24 interpretation of the terms is now different. For example,

$$25 \quad Em_{e,CP} = b_{e,S} \bullet K_{e,S} \quad (3.)$$

26
 27 where $b_{e,S}$ is the unit Energy in seJ of one kilowatt hour of energy of form “e” obtained or
 28 produced from source “S”, and $K_{e,S}$ is the necessary number of kilowatt hours of energy “e”.
 29 The energy “e” can be any form of energy, e.g. electricity, heat, mechanical energy, generated
 30 by any process, e.g. solar electricity, thermo-electric generation, and waste heat. However,

1 again, care must be taken to match as closely as possible the energy generation processes to
2 geographical location, raw materials and their sources, technology used, etc.

3 **2.2. Ecological Footprint**

4 Ecological Footprint theory attempts to estimate the area needed to support a given human
5 population or human activity such as a manufacturing process. This is the area needed to
6 provide the required resources and dissipate the resulting waste. Ecological Footprint is
7 measured in global hectares or gHa. For this purpose the required land area is divided into six
8 kinds of land: arable land, forest land, pasture land, sea, energy land, and built land. The
9 procedure to compute the Ecological Footprint is basically to estimate the amount of land area
10 needed for each type of land and sum them up to obtain the Ecological Footprint. As Emergy
11 accounting attempts to estimate the solar energy Joules corresponding to different products or
12 to maintain processes, the Ecological Footprint tries to estimate the area in global hectares
13 needed for producing different products or maintaining different processes.

14 As already mentioned, cement production is an energy intensive process, and the dominant
15 component of the Ecological Footprint is due to the area needed to absorb the carbon dioxide
16 emitted by the process. It is assumed that the carbon dioxide is absorbed by forest and the
17 ocean. Hence, the Ecological Footprint EF_C for cement production is calculated from:

$$18 \quad EF_C = \frac{P_C \cdot (1 - S_{Ocean})}{Y_C} \cdot EQF \quad (4.)$$

19 where P_C is the kilograms of carbon dioxide emitted by the cement production process, S_{Ocean}
20 is the fraction of the carbon dioxide sequestered by the ocean, Y_C is the average global yield of
21 forest land sequestration in kilograms per hectare, and EQF is the equivalence factor. This
22 factor has units of maximum productivity of forest land sequestration in kilograms divided by
23 the average productivity of all land types in kilograms as well. It is used to convert hectares of
24 forest land to global hectares (Ewing et al., 2010; Galli, 2015). Lastly, it is assumed that the
25 ocean can sequester about 28 % of the carbon dioxide so that $S_{Ocean}=0.28$. Global yields Y_C
26 and equivalence factors can be obtained from the Global Footprint Network – GFN (GFN,
27 2008; GFN, 2015) or from the literature (Hopton and White, 2012).

28 **3. Mitigation scenarios**

29 Due to the energy intensity involved in cement manufacture, we focus on mitigation efforts
30 that reduce CO_2 emissions. However, for the reduction in CO_2 emissions to represent an

1 improvement towards sustainability, the aforementioned criteria of reducing the Ecological
2 Footprint and Energy input must also be met. There are different effective mitigation
3 measures to reduce CO₂ emissions from cement manufacturing (Mikulčić et al., 2013b): (1)
4 carbon capture and storage (CCS) technology; (2) reduction of clinker to cement ratio with the
5 addition of different additives; (3) improving the energy efficiency of the kiln process; and (4)
6 replacing fossil fuels with alternative fuels of predominately biomass origin. As most of the
7 named measures are to a large extent affected by environmental policy and legal frameworks,
8 the integration of these measures will only be possible under incentives and policies that
9 foster the deployment of these measures in cement manufacturing. This applies in particular to
10 carbon CCS technology due to its high cost.

11 The projected cement production of a particular cement plant is presented through four
12 different scenarios. The first scenario, business-as-usual or BAU, can be considered as a
13 reference scenario since the actual cement plant Energy and Ecological Footprint are
14 calculated. The other three are mitigation scenarios that integrate appropriate measures that
15 will lower the fuel consumption and lessen CO₂ emissions from cement production.

16 In these four scenarios an assumption was made that the same amount of cement is
17 produced with the same hydraulic quality, meaning that the reduction of clinker to cement
18 ratio with the addition of different additives is not considered as a mitigation measure in these
19 scenarios. The improvement of the energy efficiency of the kiln process, and the replacement
20 of fossil fuels with alternative fuels of predominately biomass origin are the two mitigation
21 measures considered in the four scenarios. In this study, the CO₂ emissions from the cement
22 production have been calculated according to the IPCC methodology (IPCC, 2001).

23 **3.1. Business as usual scenario**

24 The BAU scenario is based on the exploitation of existing resources, needed for stable
25 cement production. The studied cement plant uses a dry kiln with a four stage preheating
26 tower. The specific thermal energy consumption of this cement plant is 3.67 GJ/t clinker. The
27 BAU scenario does not include the implementation of any mitigation measure beyond those
28 already in use. This scenario represents a reference level for the Energy and Ecological
29 Footprint analysis. This includes alternative fuels, such as discarded tyres, already used in the
30 production process. The list of fuels can be found in Table 2.

31 **3.2. Scenario 1 – Energy Efficient Kiln Process**

32 This first scenario assumes the inclusion of the most energy efficient kiln process in the
33 cement manufacturing industry. Currently, the most energy efficient technology for cement

1 production is a dry rotary kiln process with a multi-stage preheater and a cement calciner, which
2 has a specific energy consumption of 3 GJ per ton of clinker (Mikulčić et al. 2015). Here as in
3 the BAU scenario, alternative fuels already used are included.

4 **3.3. Scenario 2 – Alternative Fuel**

5 In this second scenario, the assumption is made that the fraction of thermal energy
6 produced by alternative fuels is 30 %. The value of 30 % is chosen since no major capital
7 investments are needed to substitute fossil fuels with alternative fuels up to this level. The
8 alternative fuel considered in this scenario, in addition to those already used, is solid
9 recovered fuel (SRF). SRF is defined as solid fuels prepared from non-hazardous waste
10 materials intended for firing in industrial furnaces. The assumption is made that 60 % of SRF
11 is of biogenic origin, i.e. CO₂ neutral (Mikulčić et al. 2014).

12 **3.4. Scenario 3 – Alternative Fuel and Efficient Kiln**

13 In this third scenario both the energy improvement of the kiln process and the use of
14 increased share of SRF are considered. An assumption is made that the most energy efficient
15 kiln process together with 30 % of thermal energy fraction produced by SRF is used in the
16 manufacturing process.

17 **4. Results and discussion**

18 In Table 2 the actual plant data and the corresponding calculated Energy values for the
19 BAU scenario are shown. The unit Energy values listed in the table were taken from
20 literature (Rugani et al. 2011). It can be observed that 60 % of input Energy ends up in the
21 final product, i.e. cement. Furthermore, from the table, it can be seen that the biggest
22 contributor (~ 44 %) to the input Energy of the overall cement manufacturing process comes
23 from the high and low grade marl. This is due to their large input mass - several orders of
24 magnitude higher than of other input materials - and relatively large unit energy values. In
25 Table 3 the Energy values for three mitigation scenarios are shown. As already discussed, it
26 can be observed that Energy values do not differ much. This presents a challenge to
27 sustainability improvements because marl, a calcium carbonate sedimentary rock which
28 contains variable amounts of clays, is not easy to substitute in cement production. This brings
29 up the possibly obvious but very important point that when attempting to improve the
30 sustainability of the process by reducing the input of energy, one has start with the
31 numerically largest contribution, then the second largest, and so on down. This focuses

1 attention on the inputs that really matter. For instance, taking the otherwise intuitive step of
2 reducing the input of coal and petrol coke is likely to lead only to a modest reduction in
3 Energy input, because the total Energy values of both are almost two orders of magnitude
4 smaller than the total Energy input. Lastly, it should be noted that the calculated Energy of
5 cement corresponds well to the value reported in the literature (Pulselli et al. 2008) giving
6 credence to our calculation procedure.

7
8
9 In Table 4 the calculated masses of different fuels for all four scenarios are given. For the
10 BAU scenario, the actual mass of the used fuels is given. For three other scenarios, masses of
11 the fuels were calculated according to the previously specified assumptions. Again, Scenario
12 1 involves the use of the most efficient kiln process in the industry, Scenario 2 involves the
13 production of 30 % of the thermal energy from alternative fuels, and Scenario 3 uses an
14 efficient kiln and alternative fuels both exactly as in Scenarios 1 and 2. As expected, the
15 thermal energy needed is reduced only by the use of a more efficient kiln process. However,
16 the consumption of coke and coal is significantly reduced by both the use of the more efficient
17 kiln process and alternatives fuels, with the greatest reduction in Scenario 3 where both are
18 combined. Compared to BAU, Scenario 3 reduces thermal energy needs by -18 %, the
19 thermal energy produced from coke by -32 %, and the thermal energy produced from coal by -
20 32 % as well. This is significant, because under the assumption that alternative fuels are
21 carbon neutral, this represents very roughly a 1/3 reduction in carbon dioxide emissions.
22 Alternative fuels are often, in any case, low value materials with low economic value and not
23 too many other uses. Hence, their use in this application is probably environmentally and
24 economically advantageous (Benhelal et al., 2013; Andrić et al., 2014).

25
26
27 In Table 5 the CO₂ emissions and the Ecological Footprint for all four scenarios are
28 shown. As can be seen the Ecological Footprint, as opposed to the Energy, is a better
29 indicator of the influence that different cement manufacturing processes have on the
30 environment. This probably indicates the fact that for cement production the ecological
31 footprint is dominated by the land area required to sequester carbon dioxide emissions.
32 Further, as already discussed, cement production is an energy intensive process that is heavily
33 dependent on fossil fuels. Hence, a reduction in fossil fuel use is most likely to be seen first
34 in the ecological footprint rather than the energy. The reduction in the ecological footprint

1 compared to the BAU Scenario is as follows: Scenario 1 with the more efficient kiln
2 approximately -8 %, Scenario 2 with the use of alternative fuels approximately -4 %, and
3 Scenario 3 using both the more efficient kiln and alternative fuels approximately -10 %. As
4 expected, the lowest Ecological Footprint, and thus the lowest environmental impact
5 corresponds to Scenario 3. Note, however, that the reductions are not quite additive, i.e. a
6 more efficient kiln plus alternative fuels does not give about a 12 % reduction in the
7 ecological footprint. The complete explanation can be complex, but basically the more
8 efficient kiln reduces the need for energy so there is opportunity to reduce the foot print with
9 an alternative fuel.

11 **5. Conclusion**

12 In this study a combined Emergy and Ecological Footprint analysis along with an effort
13 to reduce CO₂ emissions was investigated. The combination of these two environmental
14 indicators is used, in order to explore improving of the sustainability of cement manufacturing
15 processes. Reduction of CO₂ emissions was considered due to concerns over the exacerbation
16 of climate change. To assess which manufacturing process is more sustainable at the lowest
17 level of CO₂ emissions, the environmental impact of four different cement manufacturing
18 scenarios was considered: business as usual BAU, Scenario 1 - energy efficient kiln process,
19 Scenario 2 – 30 % of thermal energy produced by alternative fuel, and Scenario 3 - energy
20 efficient kiln process together with 30 % of thermal energy produced by alternative fuel.
21 Actual cement plant data were used to correctly study the environmental impact of these four
22 processes. The input of Emergy did not vary significantly among the four scenarios, but it
23 certainly did not increase. Hence, the criterion that Emergy input should not increase for
24 sustainability was met. The Ecological Footprint did decrease from the BAU scenarios by 8 %
25 for Scenario 1, 4 % for Scenario 2, and 10 % for Scenario 3. The emissions of CO₂
26 correspondingly decreased by 8 %, 4 %, and 10 % respectively. Note that due to the
27 dominance of CO₂ emissions in the Ecological Footprint calculation, reductions in the
28 Ecological Footprint mirror decreases in CO₂ emissions, but this should by no means be taken
29 as anything other than incidental event and certainly not a general rule. Finally, it can be
30 argued that all three alternative scenarios are more sustainable than the BAU case. However,
31 it seems clear that Scenario 3, the combination of a more energy efficient kiln process together
32 with the increased use of solid recovered fuel, is more sustainable, decreasing most significantly
33 the impact that cement production has on the environment.

1 Another important point when assessing sustainability and changes in the sustainability of
2 manufacturing processes is the need to use multiple and reasonably independent metrics rather
3 than combining everything into a single quantity. There are two reasons for this: (1) different
4 metrics have different sensitivity to specific changes on the process, and (2) not all changes map
5 with equal fidelity into different metrics. In our case for cement manufacturing, the ecological
6 footprint directly translates the changes in the manufacturing process into a land area, and the
7 signal from this metric is clear. On the other hand, emergy is dominated by the emergy in the
8 marl which came from the sun long ago. Hence we see little change with our modifications of
9 the manufacturing process. This does not mean that emergy is unimportant, rather it means that it
10 is insensitive to these specific set of changes. It also illustrates the principle that less
11 sustainability with respect to any single metric usually implies that the process is less sustainable
12 with respect to the other metrics, even though for some metrics the signal may not observable
13 because it does not rise above the uncertainty.

14 Lastly, the sustainability improvements that were explored in the present work are based
15 on accessible modifications to an existing cement plant. As mentioned, much more could be
16 achieved with the consideration of novel or revolutionary technologies, albeit at substantially
17 higher capital investment. It is important, however, that the methodology being present here
18 for the quantitative assessment of sustainability improvements is applicable regardless of the
19 technology being used. It is, therefore, a generic and scientifically based assessment method
20 useful beyond the current specific case.

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28 **Table captions**

29 **Table 1** Global cement production in 2012 (Oh et al., 2014).

30 **Table 2** Emergy calculation for the BAU scenario.

31 **Table 3** Emergy calculation for the three mitigation scenarios.

32 **Table 4** Calculation of the fuel masses according to the thermal energy need for three mitigation
33 scenario.

34 **Table 5** CO₂ emissions and Ecological Footprint calculation.

Table 1

Global cement production in 2012 (Oh et al., 2014).

Country	Production (million metric tonnes)	Share in the world production
China	2150	58.1%
India	250	6.7%
United States	74	2.0%
Brazil	70	1.9%
Iran	65	1.8%
Vietnam	65	1.8%
Turkey	60	1.6%
Russian Federation	60	1.6%
Japan	52	1.4%
South Korea	49	1.3%
Egypt	44	1.2%
Saudi Arabia	43	1.2%
Mexico	36	1.0%
Germany	34	0.9%
Thailand	33	0.9%
Pakistan	32	0.9%
Italy	32	0.9%
Indonesia	31	0.8%
Spain	20	0.5%
Other (rounded)	500	13.5%
World total (rounded)	3700	-

Table 2

Energy calculation for the BAU scenario.*

Cement plant technical data for BAU scenario						
	Quantity	Unit	Unit Emergy Values	Units & Details	Emergy Calculation	Units & Details
MAIN DATA						
Clinker Production	3.15E+05	t	1.28E+13	seJ/kg (Switzerland)	4.02E+21	seJ
Specific Thermal Energy Consumption	3.67E+03	MJ/t clinker				
Cement Production	3.91E+05	t	1.07E+13	seJ/kg (Switzerland)	4.17E+21	seJ (Cement produced)
Specific Electrical Energy Consumption	9.03E+01	kWh/t cement				
Total Electrical Energy Consumption	3.82E+07	kWh	8.43E+11	seJ/kWh (Austria mix)	3.22E+19	seJ
Electrical Energy per Ton of Clinker	121	kWh/t clinker				
INPUT						
<i>Raw Materials for clinker production</i>						
High grade marl	1.90E+05	t	7.61E+12	seJ/kg (Switzerland)	1.45E+21	seJ
Low grade marl	3.18E+05	t	7.61E+12	seJ/kg (Switzerland)	2.42E+21	seJ
Quartz sand	1.89E+03	t	1.13E+14	seJ/kg (subsoil)	2.14E+20	seJ
Iron oxide	1.78E+03	t	1.06E+13	seJ/kg (Iron, element mass ratio in Taconite minerals; {Fe2O3, Fe3+2Fe2+O4, and Fe2+CO3}; 0.1 g/g in ground)	1.88E+19	seJ
<i>Fuels for clinker production</i>						
Coal	2.16E+04	t	3.74E+12	seJ/kg (Austria Hard Supply Mix)	8.06E+19	seJ
Petrol Coke	1.36E+04	t	6.57E+12	seJ/kg (Europe petroleum coke at refinery)	8.92E+19	seJ
Heavy fuel oil	369	t	5.76E+12	seJ/kg (Europe regional storage)	2.13E+18	seJ
Tyres	2.59E+03	t	3.39E+12	seJ/kg (Switzerland rubber municipal incineration)	8.79E+18	seJ
Waste Oil	1.29E+03	t	9.07E+12	seJ/kg (Europe lubricating oil at plant)	1.18E+19	seJ
SRF	4.18E+03	t	9.45E+11	seJ/kg (Europe 60% paper @ 3.11E5 SEJ/kg + Switzerland 40% mixed plastics @ 1/2[2.46E5+1.69E6])	3.95E+18	seJ
<i>Mineral components for cement production</i>						

Chemical Gypsum	1.33E+04	t	1.65E+14	seJ/kg (Switzerland, mineral at mine)	2.19E+21	seJ
Blast Furnace Slag	2.34E+04	t	5.98E+12	seJ/kg (Switzerland, blast furnace cement plant)	1.40E+20	seJ
Limestone	2.51E+04	t	9.09E+12	seJ/kg (Switzerland milled loose at plant)	2.29E+20	seJ
Fly ash	4.87E+04	t	4.66E+11	seJ/kg (Austria disposal of hard coal ash to landfill)	2.27E+19	seJ
<i>Fuel for drying in cement production</i>						
Light oil	459	t	5.88E+12	seJ/kg (Europe light fuel oil at regional storage)	2.70E+18	seJ
<i>Air mass</i>						
Air for burning	5.27E+05	t	2.58E+07	seJ/kg	1.36E+16	seJ
Air excess at preheater outlets	6.41E+05	t	2.58E+07	seJ/kg	1.65E+16	seJ
<i>Water mass</i>						
Water for cooling of hot gases before main filter	4.42E+04	t	1.22E+09	seJ/kg (Europe completely softened at plant)	5.40E+16	seJ
Water for equipment cooling	2.50E+03	t	1.22E+09	seJ/kg (Europe completely softened at plant)	3.05E+15	seJ
					6.85E+21	seJ (Sum of input)
OUTPUT						
<i>Air mass</i>						
Air at chimney	1.12E+06	t	1.29E+09	seJ/kg	1.44E+18	seJ
Absolute Gross CO ₂ Emissions	2.78E+05	t	6.26E+07	seJ/kg	1.74E+16	seJ
<i>Thermal losses</i>						
Radiation losses	173	MJ/t clinker	9.90E+11	seJ/kg clinker	3.12E+20	seJ
					3.14E+20	seJ (Sum of output)

* To simplify the formatting of tables, in Tables 2-5 the E is used as a replacement for 10, meaning that if as an example a value of 2.58E+07 is given in the table, the actual value is $2.58 \cdot 10^7$.

Table 3

Energy calculation for the three mitigation scenarios.

	Scenario 1	Scenario 2	Scenario 3	Unit	Scenario 1	Scenario 2	Scenario 3
	Quantity				Energy Calculation (seJ)		
MAIN DATA							
Clinker Production	3.15E+05			t	4.02E+21		
Specific Thermal Energy Consumption	3.00E+03	3.67E+03	3.00E+03	MJ/t clinker			
Cement Production	3.91E+05			t	4.18E+21 (Cement produced)		
Specific Electrical Energy Consumption	90.3			kWh/t cement			
Total Electrical Energy Consumption	3.82E+07			kWh	3.22E+19		
Electrical Energy per Ton of Clinker	121			kWh/t clinker			
INPUT							
<i>Raw Materials for clinker production</i>							
High grade marl	1.90E+05			t	1.45E+21		
Low grade marl	3.18E+05			t	2.42E+21		
Quartz sand	1.89E+03			t	2.14E+20		
Iron oxide	1.78 E+03			t	1.88E+19		
<i>Fuels for clinker production</i>							
Coal	1.68E+04	1.79E+04	1.46 E+04	t	6.27E+19	6.69E+19	5.45E+19
Petrol Coke	1.06E+04	1.13E+04	9.19E+03	t	6.94E+19	7.41E+19	6.04E+19
Heavy fuel oil	369			t	2.13E+18		
Tyres	2.59E+03			t	8.79E+18		
Waste Oil	1.29E+03			t	1.18E+19		
SRF	4.18E+03	1.37E+04	9.90E+03	t	3.95E+18	1.30E+19	9.36E+18
<i>Mineral components for cement production</i>							
Chemical Gypsum	1.33E+04			t	2.19E+21		
Blast Furnace Slag	2.34E+04			t	1.40E+20		

Limestone	2.51E+04			t	2.29E+20		
Fly ash	4.87E+04			t	2.27E+19		
<i>Fuel for drying in cement production</i>							
Light oil	459			t	2.70E+18		
<i>Air mass</i>							
Air for burning	5.27E+05			t	1.36E+16		
Air excess at preheater outlets	6.41E+05			t	1.65E+16		
<i>Water mass</i>							
Water for cooling of hot gases before main filter	4.42E+04			t	5.40E+16		
Water for equipment cooling	2.50E+03			t	3.05E+15		
					6.82E+21 (Sum of input)	6.82E+21 (Sum of input)	6.80E+21 (Sum of input)
OUTPUT							
<i>Air mass</i>							
Air at chimney	1.12E+06			t	1.44E+18		
Absolute Gross CO ₂ Emissions	2.56E+05	2.67E+05	2.42E+05	t	1.60E+16	1.67E+16	1.52E+16
<i>Thermal loses</i>							
Radiation loses	173			MJ/t clinker	3.12E+20		
					3.14E+20 (Sum of output)		

Table 4

Calculation of the fuel masses according to the thermal energy need for three mitigation scenario.

	BAU Scenario	Scenario 1	Scenario 2	Scenario 3
Clinker production (t/y)	3.15E+05			
Specific Thermal Energy Consumption (GJ/t)	3.67	3.00	3.67	3.00
Thermal energy needed (GJ/y)	1.16E+06	9.46E+05	1.16E+06	9.46E+05
Petrol coke - NCV (GJ/t)	31.8			
Petrol coke - used (t/y)	1.36E+04	1.056E+04	1.13E+04	9.18E+03
Thermal energy produced from Petrol coke (GJ/y)	4.32E+05	3.36E+05	3.58E+05	2.92E+05
Coal - NCV (GJ/t)	24.3			
Coal - used (t/y)	2.16E+04	1.68E+04	1.79E+04	1.46E+04
Thermal energy produced from Coal (GJ/y)	5.25E+05	4.08E+05	4.36E+05	3.55E+05
Heavy fuel oil - NCV (GJ/t)	40.8			
Heavy fuel oil - used (t/y)	369			
Thermal energy produced from Heavy fuel oil (GJ/y)	1.51E+04			
Tyres - NCV (GJ/t)	26.4			
Tyres - used (t/y)	2.59E+03			
Thermal energy produced from Tyres (GJ/y)	6.84E+04			
Waste Oil - NCV (GJ/t)	35.9			
Waste Oil - used (t/y)	1.29E+03			
Thermal energy produced from Waste oil (GJ/y)	4.66E+04			
SRF - NCV (GJ/t)	16.9			
SRF - used (t/y)	4.18E+03		1.37E+04	9.90E+03
Thermal energy produced from SRF (GJ/y)	7.11E+04		2.33E+05	1.68E+05

Table 5CO₂ emissions and Ecological Footprint calculation.

	BAU Scenario	Scenario 1	Scenario 2	Scenario 3
Petrol coke - used (t/y)	1.36E+04	1.06E+04	1.13E+04	9.19E+03
Petrol coke - NCV (GJ/t)	33.5			
Emission factor (kgCO ₂ /GJ)	94.0			
Oxidation factor	1.00			
CO ₂ Emissions Petrol coke - calculated (kg/y)	4.27E+07	3.33E+07	3.55E+07	2.89E+07
Coal - used (t/y)	2.15E+04	1.68E+04	1.79E+04	1.46E+04
Coal - NCV (GJ/t)	24.3			
Emission factor (kgCO ₂ /GJ)	9..3			
Oxidation factor	1.00			
CO ₂ Emissions Coal - calculated (kg/y)	5.16E+07	4.01E+07	4.28E+07	3.49E+07
Heavy fuel oil - used (t/y)	369			
Heavy fuel oil - NCV (GJ/t)	40.8			
Emission factor (kgCO ₂ /GJ)	77.4			
Oxidation factor	1.00			
CO ₂ Emissions Heavy fuel oil - calculated (kg/y)	1.17E+06			
Tyres - used (t/y)	2.59E+03			
Tyres - NCV (GJ/t)	35.9			
Emission factor (kgCO ₂ /GJ)	85.0			
Oxidation factor	1.00			
CO ₂ Emissions Tyres - calculated (kg/y)	7.92E+06			
Waste Oil - used (t/y)	1.29E+03			
Waste Oil - NCV (GJ/t)	35.9			
Emission factor (kgCO ₂ /GJ)	80.0			
Oxidation factor	1.00			
CO ₂ Emissions Waste Oil - calculated (kg/y)	3.73E+06			

SRF - used (t/y)	4.18E+03	4.18E+03	1.37E+04	9.90E+03
SRF - NCV (GJ/t)	16.9			
Emission factor (kgCO ₂ /GJ)	95.7			
Biogenic fraction (%)	60.0			
Oxidation factor	1.00			
Fossil Fraction Emission factor (kgCO ₂ /GJ)	37.4			
CO ₂ Emissions SRF - calculated (kg/y)	2.66E+06	2.66E+06	8.71E+06	6.29E+06
Total Fuel CO ₂ Emissions - calculated (kg/y)	1.10E+08	8.89E+07	9.99E+07	8.29E+07
Process Plant CO ₂ Emissions - given from plant (kg/y)	1.67E+08			
Annual Plant CO ₂ Emissions (kg) [P _C]	2.78E+08	2.56E+08	2.67E+08	2.50E+08
Fraction of CO ₂ sequestered by the ocean [S _{Ocean}]	0.28			
Average global yield (kg/gHa) [Y _C]	3.59			
Equivalence factor [EQF]	1.26			
Ecological Footprint (gHa) [EF _C]	7.01E+04	6.47E+04	6.75E+04	6.32E+04