



# Temporal stability of the maturation schedule of capelin ([[*Mallotus villosus* Mueller]]) in the Barents Sea

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## Interim Report

IR-12-021

### **Temporal stability of the maturation schedule of capelin (*Mallotus villosus* Müller) in the Barents Sea**

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#### **Approved by**

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1 **Temporal stability of the maturation schedule of capelin (*Mallotus villosus***  
2 **Müller) in the Barents Sea**

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10

11 **Abstract**

12 Capelin in the Barents Sea are primarily harvested in a terminal fishery that targets  
13 maturing individuals. Theory predicts that in a semelparous population, an unselective,  
14 terminal fishery does not generate strong selection for changed age and size at  
15 maturation. The probabilistic maturation reaction norms (PMRNs) methodology was  
16 applied to test this prediction and to detect possible temporal changes in length at  
17 maturation of Barents Sea capelin between 1978 and 2008. Maturation reaction norms  
18 suggest that maturation is age-independent in capelin, but that males require a larger size  
19 to attain the same maturation probability as females. No temporal trends in length at  
20 maturation could be detected, thus confirming the theoretical prediction. Furthermore,  
21 none of the candidate environmental variables selected to explain the temporal variability  
22 in length at maturation (water temperature and capelin biomass) did consistently show a  
23 significant correlation with the PMRN midpoints.

24 KEYWORDS: probabilistic maturation reaction norms, fisheries-induced evolution,  
25 semelparous life-history, sub-Arctic fish, Osmeridae.

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1        **1. Introduction**

2            Harvesting a fish stock, by adding an extra source of mortality, usually leads to  
3 evolutionary selection with respect to age and size of sexual maturation. Increasing the  
4 mortality rate indiscriminately over the whole population increases the relative fitness of  
5 early-maturing individuals, even though their fecundity during a single spawning season is  
6 lower compared to their larger, late-maturing conspecifics. Provided that such precocious  
7 maturation is heritable, this trait will spread in the population and a reduction of the average  
8 age and size at maturation can be observed. Such evolutionary changes have been suggested  
9 for numerous fish species and populations (reviewed by Jørgensen et al. 2007; Heino and  
10 Dieckmann, 2008; Sharpe and Hendry, 2009). However, before concluding that fisheries-  
11 induced evolution has occurred, it is necessary to consider the influence of other factors  
12 acting on maturation through phenotypic plasticity. These may, for example, be water  
13 temperature (e.g. Kraak 2007), habitat characteristics (Morita et al. 2009) or food abundance  
14 (e.g. Trippel 1995; Uusi-Heikkilä et al. 2011). It is crucial to identify the nature of a  
15 maturation trend for predicting the future development of a population. A change driven by  
16 phenotypic plasticity alone implies reversibility of the trend, in the case the environmental  
17 conditions conducive to late maturation would prevail again. On the other hand, if a  
18 maturation trend includes a genetic component, recovery to late maturation is expected to be  
19 slow (Law and Grey 1989; Conover et al. 2009; Dunlop et al. 2009; Enberg et al. 2009).

20            Probabilistic maturation reaction norms (PMRNs) offer a methodology that helps  
21 disentangling the influence of growth-related phenotypic plasticity and evolutionary changes  
22 in age and size at maturation (Heino et al. 2002; Dieckmann and Heino 2007; Heino and  
23 Dieckmann 2008). Typically, a PMRN describes the probability for an immature individual to  
24 mature as a function of its age and size. The utility of this simple description of maturation

1 tendency in disentangling plastic and genetic effects relies on the postulate that variations in  
2 growth is the single most important source of plasticity in maturation (Alm 1959), and that  
3 growth itself integrates many environmental influences on the maturation “decision” (Heino  
4 et al. 2002). However, this disentanglement can never be perfect, and PMRN results should be  
5 interpreted judiciously as they can show plastic changes. One reason is that other variables  
6 than those included in the PMRN may also influence maturation. Another reason is that  
7 PMRNs may be influenced by growth: a recent experimental study conducted on zebrafish  
8 (*Danio rerio*) has shown that PMRNs were unable to fully account for very large,  
9 experimentally induced variations in somatic growth (Uusi-Heikkilä et al. 2011).

10 In this study, we use probabilistic maturation reaction norms to study maturation in  
11 Barents Sea capelin (*Mallotus villosus*).

12 Capelin is a short-lived pelagic fish inhabiting northern circumpolar latitudes both in  
13 the Atlantic and Pacific Oceans (Stergiou 1989; Dodson et al. 2007). The Barents Sea (Fig. 1)  
14 hosts several capelin populations: a number of small populations inhabiting fjords of the  
15 northern coast of Norway, and a large oceanic population with maturing individuals only  
16 migrating to the coast for spawning. Despite clear differences in life-history traits between  
17 coastal populations and the large oceanic population (Christiansen et al. 2008), no obvious  
18 genetic segregation between them has been detected (Mork and Friis-Sørensen 1983; Præbel  
19 et al. 2008).

20 The oceanic population in the Barents Sea constitutes potentially the largest capelin  
21 population in the world (Gjøsæter 1998). Capelin is also a forage fish that is a key component  
22 of the Barents Sea ecosystem. In particular, the size of this stock influences the abundance of  
23 other fish species in the Barents Sea, especially Atlantic cod (*Gadus morhua*) that predate  
24 upon capelin (Dolgov 2002; Hjermann et al. 2004; Olsen et al. 2010). Capelin is an important

1 food source for other fish species too (Dolgov 2002), as well as for marine mammals and sea  
2 birds (Gjøsæter 1998; Gjøsæter et al. 2009). Capelin is also a major consumer of zooplankton  
3 (Panasenko 1981, 1984), competing with herring and blue whiting (*Micromesistius*  
4 *poutassou*) where their distribution areas overlap (Huse and Toresen 1996; Dolgov et al.  
5 2010). Since capelin consume much of the production in the area where the ice seasonally  
6 recedes (Wassmann et al. 2006), capelin play a crucial role in the transfer of energy to higher  
7 trophic levels, particularly during the spawning migration in late winter towards the coasts of  
8 northern Norway and Russia (Sætre and Gjøsæter 1975; Gjøsæter 1998).

9 Unlike coastal capelin populations where at least females can survive to spawn again  
10 (Christiansen et al. 2008), virtually no capelin of the large oceanic population survive till a  
11 second spawning opportunity, even though females seem to remain physiologically capable of  
12 spawning a second time (Forberg 1982). Spent individuals usually die from exhaustion or  
13 wounds incurred during spawning (Christiansen et al. 2008; Møller and Olsen 1962; Sætre  
14 and Gjøsæter 1975) or are eaten by predators that have followed them during their spawning  
15 migration. The life history in this population can hence be considered as virtually  
16 semelparous.

17 The proportion of capelin maturing a given year can be deduced mainly from the  
18 distribution of body length (Gjøsæter 1999b). Individuals having reached a minimum body  
19 length of 14 cm in September are usually assumed to spawn in the next spring (ICES 2009),  
20 and the proportion of individuals maturing at a given age appears to depend on the strength of  
21 the cohort considered (Gjøsæter 1998). Males caught on spawning grounds are usually larger  
22 than females at a given age. This is at least partly due to the sexual dimorphism in body size  
23 (Møller and Olsen 1962; Vilhjálmsson 1994).

1 Growth fluctuates greatly in the Barents Sea capelin, both temporally (Fig. 2) and  
2 spatially. It is affected, among other things, by the abundance and distribution of capelin's  
3 zooplanktonic prey and by the size of the capelin population (Gjøsæter 1999a; Gjøsæter et al.  
4 2002b). Changes in growth also influence capelin dynamics by altering the age of sexual  
5 maturation. This metric has generally decreased over the period covered by this study (-0.02  
6 year/year in the data used here, ANCOVA  $P = 0.02$  for males and  $P < 0.01$  for females) and is  
7 usually similar in males and females for a given year (Fig. 3). Correspondingly, the  
8 contribution of the youngest age groups to the spawning biomass has gradually increased  
9 (Tereshchenko 2002).

10 The oceanic capelin stock in the Barents Sea has been exploited for centuries, earlier  
11 on mainly to provide bait for the cod fisheries (Møller and Olsen 1962). Starting in 1920s, the  
12 fishery for industrial extraction of oil from capelin developed in Norway. The capelin fishery  
13 really took off toward the late 1950s with an increase in size and modernization of the  
14 Norwegian fleet targeting capelin, a development that was facilitated by the decline and  
15 collapse of the Norwegian spring-spawning herring (Møller and Olsen 1962; Gjøsæter 1998).  
16 The Soviet fishing fleet experienced a comparable development, contributing to the strong  
17 increase in total capelin landings that peaked in 1977 (Gjøsæter 1998).

18 The Barents Sea capelin fishery is characterized by strong fluctuations in the landings;  
19 in the 1970s, it supported the largest single single-species fishery in Europe (Gjøsæter 1998;  
20 ICES 2009). In earlier times, the capelin fishery essentially took place in winter during the  
21 spawning migration and on the spawning grounds. However, beginning in 1968, a summer  
22 offshore fishery developed (Gjøsæter 1998). This practically ceased in 2002, despite small  
23 catches by Russian fishers in 2005 and 2008 (ICES 2009). Since 1978, when the first  
24 exploitation regulations by Norway and the USSR were established, the stock has been



1 managed based on spawning biomass targets. A fishing moratorium has been enacted three  
2 times when the population has collapsed below the target escapement. The influence of  
3 fishing pressure seems to have been negligible in explaining the stock collapses which,  
4 instead, have been primarily attributed to natural predation (Gjøsæter 1998; Hallfredsson and  
5 Pedersen 2009; Hjermann et al. 2004, 2010). Indeed, the larval stage seems to be the most  
6 critical phase determining future capelin biomass (Gjøsæter and Bogstad 1998; Hjermann et  
7 al. 2010). Fishing mortality is low compared to natural mortality (Ushakov and Prozorkevich  
8 2002), and most of the catch is realized during a terminal fishery (spent individuals have a  
9 very short life expectancy, even in the absence of harvesting).

10 We use probabilistic maturation reaction norms to assess whether the variations in age  
11 and size at maturation of the Barents Sea capelin from 1978 to 2008 (Fig. 1) might have a  
12 genetic component. The Barents Sea capelin is an interesting case study because, i) the dataset  
13 analyzed here begins soon after the start of the large-scale fishery, and ii) we expect that  
14 selection on maturation has been weak over the period considered, and therefore, this stock  
15 should show no or at most minor changes in its PMRN. The reason for the latter prediction  
16 lies in the combination of the semelparous life history, the dominance of the winter fishery  
17 targeting maturing fish (ICES 2009, 2010), and the relatively low exploitation rate ( $F/Z = 5\%$ ;  
18 Ushakov and Prozorkevich 2002): because Barents Sea capelin would die anyway after  
19 spawning, earlier sexual maturation will not reduce the mortality risk associated with the  
20 spawning migration, even when accounting for the terminal fishery targeting maturing  
21 capelin. Capelin have also been exposed to a summer fishery where immature capelin were  
22 caught, potentially favouring earlier maturation because exposure time to fishing could thus  
23 be reduced, but fishing mortality has been relatively low compared to natural mortality, on  
24 average  $0.04 \text{ yr}^{-1}$  over the period 1978–2000 (ranging from 0 to  $0.14 \text{ yr}^{-1}$ ) (Ushakov and

1 Prozorkevich 2002). Furthermore, the minimum landing size of 11 cm has created an  
2 incentive to target schools of larger individuals (and hence with a higher probability, those of  
3 maturing the next year), which are also more valued (Bogstad and Gjørseter 2000),  
4 contributing to a weak selection on maturation. At the same time, the high inter-cohort  
5 variability in growth in this population makes it a good case to evaluate whether the PMRN  
6 methodology is prone to show spurious patterns in presence of large growth variations (cf.  
7 Uusi-Heikkilä et al. 2011).

8

## 9 **2. Material and Methods**

### 10 **2.1 Sampling and data set**

11 The data used for this study were collected during joint scientific surveys by the Institute of  
12 Marine Research (IMR, Norway) and the Polar Research Institute of Marine Fisheries and  
13 Oceanography (PINRO, Russia) in the Barents Sea, initiated in the early 1970s. The last  
14 available sampling year was 2008. In order to avoid bias in terms of sample  
15 representativeness, only autumn surveys were considered here—winter surveys were  
16 excluded because the mature and immature parts of the stock are segregated at that time of the  
17 year. The period August–October, before the segregation of mature and immature individuals,  
18 is the most suitable to get reliable estimates of population size (Gjørseter et al 1998). The  
19 autumn surveys were carried out jointly from 1972 in order to estimate the size of the Barents  
20 Sea oceanic capelin stock. Yet, due to insufficient coverage of the population during the first  
21 surveys, only years from 1978 onwards were considered here. The data analyzed here  
22 constitute of random samples collected using pelagic trawls only as capelin are seldom found  
23 close to the bottom in autumn (Gjørseter 1999b). Moreover, the samples collected with

1 bottom trawls unlikely reflect the composition of the capelin population encountered in a  
2 given area (S. Tjelmeland, IMR, *pers. comm.*), and no acoustic abundance estimation is  
3 available for capelin near or in the acoustic dead zone (Gjøsæter et al. 1998). Pelagic trawl  
4 hauls were carried out every 30 nautical miles or when the echograms suggested changes in  
5 the school composition. Details about the surveys and the sampling procedures can be found  
6 in Gjøsæter et al. (1998).

7         Sampled individuals were sexed, length-measured (total length down to the nearest 0.5  
8 cm), weighed, aged by otolith reading, and their maturity stage was determined. The length of  
9 individuals in the samples ranged from 6.0 cm to 20.5 cm. Sexual maturation has been  
10 described according to two different scales: a purely macroscopic five-stage classification  
11 based on the visual examination of gonads (Monstad 1971) and, for females only, a more  
12 elaborated scale combining macro- and microscopic criteria, so-called Forberg maturity  
13 stages; (Forberg 1982, 1983). Between 1989 and 2005, the maturity stage of each sampled  
14 female was defined on either one of the two scales. Outside this period, maturation stages  
15 were identified only macroscopically. On the purely macroscopic scale, individuals having  
16 reached maturity stage 3 (“maturing”; opaque but developed gonads with distinct veins) were  
17 considered maturing. On the Forberg scale, females in maturity stage 31 (“maturing”; fat  
18 vacuoles visible in the cytoplasm of at least 10% of the oocytes in the second growth phase)  
19 and more advanced maturity stages were considered maturing.

## 20 **2.2 The logistic maturation model**

21 The essentially semelparous life history of Barents Sea capelin makes identifying first-time  
22 maturing capelin trivial, therefore allowing the use of the direct estimation method to derive  
23 probabilistic maturation reaction norms (Heino et al. 2002; Dieckmann and Heino 2008). The  
24 direct method amounts to a logistic regression to describe the maturation status of individuals

1 as a function of their size and other attributes. In this study, the high number of individuals  
2 collected (108 396 individuals between age 1 and 4, 48% of which were females and 52%  
3 males), led to the statistical significance of all the explanatory variables tested (sex, age,  
4 cohort, body length) and their interactions. Models were hence fitted independently for males  
5 and females, as well as for each age (age 1 to 4 years) and cohort considered. The general  
6 formulation of the models is thus  $\text{logit}(p_{mat}) \sim a + b \cdot \text{length}$ , where  $p_{mat}$  is a binary response  
7 variable describing the maturity status (0 for immature, 1 for mature) and  $a$  and  $b$  are the  
8 parameters to be estimated. We did not use weight as an explanatory variable because only  
9 measurements of total weight, affected by gut content and gonad weight, were available.

10 The body length corresponding to a maturation probability of 50% at a given age, also  
11 called the PMRN midpoint ( $L_{p50}$ ), was used to summarize the reaction norm, together with the  
12 width of the PMRN, here measured as the difference between body lengths at which  
13 maturation probability was equal to 25% ( $L_{p25}$ ) and 75% ( $L_{p75}$ ). The narrower this envelope  
14 width, the stronger the linkage between body length and maturation “decision” is. The body  
15 lengths corresponding to these specific maturation probabilities were derived by solving the  
16 equation  $\text{logit}(p_{mat}) \sim a + b \cdot \text{length}$  for length and replacing the term  $p_{mat}$  by the desired  
17 probability (i.e., 0.25, 0.5 or 0.75) and parameters  $a$  and  $b$  by their fitted values.

18 In order to focus on cases (a combination of sex, age and cohort) where the data were  
19 most informative, thus avoiding very noisy and uncertain PMRN estimations, we dropped  
20 cases where either maturing or immature weighted individual numbers (calculated as  
21 explained below) constituted less than 5% of the total weighted numbers, or where less than  
22 three mature or immature fish were present. After this filtering, 30 565 females from age 1 to  
23 4 years and 28 706 males from age 2 to 4 years remained.

### 1 **2.3 The weighting of observations**

2 Capelin are not uniformly distributed in the Barents Sea regarding their age, size, and  
3 maturity (Gjøsæter 1999a; Gjøsæter et al. 2002b). Samples had then to be weighted in order  
4 to obtain a representative image of the population. Because catch per unit effort in a pelagic  
5 trawl is a poor quantitative indicator of capelin density, acoustic estimates of the capelin  
6 biomass were used to weight samples in the analyses. This weighting was done at the level a  
7 spatial grid where each grid cell, here referred to as “divisions”, usually extending one degree  
8 of latitude by two degrees of longitude (and hence an area that declines with increasing  
9 latitude). The length distribution of capelin in each division is available from the acoustic  
10 estimations. Using a length-age key, estimates of biomass per length class were transformed  
11 into biomass estimates per age class. Each individual of a given sex and age, and sampled in a  
12 given division for a given year was first weighted by the total acoustic biomass of that age  
13 class and division. This weight was then divided by the number of trawl hauls carried out that  
14 particular year in the division and the number of fish of the same age in a sample. In other  
15 words, the sum of the weights of the individuals sampled in a haul within a division was equal  
16 to the biomass of a certain age class in the division. By using this weighting, an assumption is  
17 made that the size distribution of mature and immature capelin in a trawl sample is  
18 representative for the division used in the acoustic biomass estimation. Because acoustic  
19 biomass estimation is only carried out by a subset of vessels taking part in the IMR–PINRO  
20 joint surveys, a number of trawl hauls are made outside divisions for which acoustic biomass  
21 estimates are available. In order to base the analyses on a larger number of samples, some of  
22 these hauls were allocated to a division for which acoustic biomass estimation has been made,  
23 provided their position is not too far from the centre of this same division. For this purpose, a  
24 maximum distance of 50 km was chosen. This corresponds to a distance slightly over half of  
25 the maximal longitudinal extension of a division (41.5 km) in the concerned area and below

1 its maximal latitudinal extension (55.5 km). In case of trawl hauls using multiple codends, an  
2 additional weighting was applied reflecting the proportion of the total catch caught in each  
3 individual codend.

#### 4 **2.4 Assessing model and data uncertainty**

5 Bootstrapping with random draws of individuals within each sample (identified by a trawl  
6 haul or a bag in the case of multiple codends, and an age class) was used to define confidence  
7 intervals around estimates of PMRN midpoints. The resampling was repeated 500 times.

8 To assess temporal changes in PMRN midpoints in the period considered and to  
9 identify correlates of their variability, linear regression models using the midpoint as the  
10 response variable were used. Capelin population biomass and two time series of water  
11 temperature were considered as potential explanatory variables (Fig. 4). The temperature time  
12 series were the average annual temperature over the depth range 0–200 m along the Kola  
13 section (meridian 33°30' E) and the average temperature measured in August (only month for  
14 which all years are available) along the Vardø-North transect (meridian 31°13' E); this  
15 transect covers a more central part of the capelin distribution. Temperature and population  
16 biomass during the sampling year as well as the year before were considered. The selection of  
17 explanatory variables was performed in a stepwise forward process, based on the outcome of  
18 the associated analyses of variance (ANOVA). The year of sampling, capturing possible  
19 temporal trends, was added to the models in a subsequent step, after the inclusion of all  
20 significant environmental variables. A significant linear effect of time would be interpreted as  
21 support for evolutionary change in maturation. The reason why time was included last is that  
22 the possible effects of environment on age and size at maturation mediated through  
23 phenotypic plasticity have to be accounted for before considering potential evolutionary  
24 trends. In order to reduce the influence of low-precision PMRN estimates on the parameters

1 of the linear regressions, PMRN midpoints estimates were weighted by the inverse of their  
2 standard deviation obtained by bootstrapping. The same weighting was applied to PMRN  
3 width when regressed against time.

4 In addition, because it is not known with certainty whether some females who have  
5 not reached Forberg maturity stage 31 at the time of the sampling (September–October) can  
6 still become mature by the next spawning season (March–April), the same regression analyses  
7 as presented before were also performed using Forberg maturity stage 21 (“early maturation  
8 I”) as the threshold.

9

### 10 **3. Results**

11 Age range of maturing capelin in the data was from 1 to 5 years (Fig. 3). Because 1- and 5-  
12 year-old capelin were very infrequent, no estimates of maturation reaction norm midpoints  
13 were derived for these ages. Similarly, 4-year-old capelin were rare, and the computation of  
14  $L_{p50}$  yielded estimates for three and six cohorts for females and males of this age,  
15 respectively, usually associated with large error bars. The series of midpoints at this age for  
16 females were hence not used in regression analyses.

17 Male capelin in the Barents Sea exhibited higher reaction norm midpoints ( $L_{p50}$ ) than  
18 females (Fig. 5) (two-sided paired Student’s *t*-test:  $P < 0.001$  for both age 2 and 3): given the  
19 same growth trajectory, male capelin would on average mature at a larger size and an older  
20 age than female. Maturation was virtually age-independent in both sexes (Fig. 6): two-sided  
21 paired Student’s *t*-tests comparing  $L_{p50}$  between two ages within a single sex revealed no  
22 significant differences either between ages 2–3 years in females or between ages 2–4 years in  
23 males ( $P > 0.109$  in all cases). The probabilistic maturation reaction norms were narrow, with

1 the envelope width (distance between  $L_{p75}$  and  $L_{p25}$ ) on average less than 2 cm, except for fish  
2 maturing as four-year olds.

3 Table 1 summarizes the results from regressing PMRN midpoints for 2- and 3-year-  
4 old capelin against environmental variables. Of the six explanatory time series, only  
5 temperature measured along the Vardø-North section in the year preceding the sampling  
6 turned out to have a significant effect ( $P \leq 0.05$ ), and only for females maturing at the age of  
7 3 years. The analyses suggested a negative effect of temperature on maturation, if any. No  
8 influence of capelin biomass on maturation could be detected.

9 All environmental variables associated with a significance  $P < 0.1$  were kept in models  
10 describing PMRN midpoints as a function of sampling year. This high significance threshold  
11 allowed insuring that none of the potentially significant variables was left aside due to type-II  
12 errors. For both sexes and all ages,  $L_{p50}$  were relatively stable over time, without any apparent  
13 directional change (Fig. 5); the linear regressions on PMRN midpoints in models including  
14 the sampling year showed no significant temporal trends (Table 2). No significant temporal  
15 trends appeared in the regression of PMRN width against the sampling year either (results not  
16 shown), except for 4-year-old males ( $P = 0.010$ ), but this result was caused by a single  
17 observation, the particularly wide PMRN in the last year (see Fig. 5).

18 In the period 1989–2005, Forberg maturity stages were routinely determined for  
19 female capelin. Using Forberg stage 21 to discriminate between immature and maturing  
20 females led to somewhat lower estimates of PMRN midpoints than when using stage 31 (Fig.  
21 7). None of the candidate environmental variables turned significant at  $P < 0.05$  when Forberg  
22 stage 21 was used as the maturation threshold (Table 3). This alternative classification did not  
23 change the sign of the temporal trends (Table 4) when compared to the results obtained with  
24 stage 31 above. However, unlike for the previous analysis, the temporal trend was significant



1 in one of the two cases tested (females maturing at age 2,  $P = 0.027$ ). The associated  
2 downward slope was, however, weak (Table 4).

3

#### 4 **4. Discussion**

5 Despite large fluctuations in age at maturity (Tereshchenko 2002 and Fig. 3), we could not  
6 detect any significant temporal trends in maturation tendency of Barents Sea capelin, except  
7 when females at Forberg maturity stage 21 and later were assumed to be maturing. Instead,  
8 our results suggest that fluctuations in age at maturity have primarily represented growth-  
9 driven phenotypic plasticity. In addition, the width of PMRN did not change, implying that  
10 the strength of the influence of body length on maturation was stable throughout the study  
11 period. These results are in line with what one would expect in a population that has not been  
12 subject to strong fisheries-induced selection.

13 Naturally, our results cannot prove that maturation tendency has not evolved in  
14 Barents Sea capelin during the period of large-scale fisheries. Indeed, there are several  
15 reasons for why we might have failed to detect evolutionary changes in age and size at  
16 maturation. First, evolution might have occurred before the period covered in this study.  
17 There is a gap of one decade between the start of the summer fishery in 1968 and the period  
18 covered in this study (1978–2008). This corresponds to about three generations, which under  
19 strong selection could result in significant evolutionary changes (cf. Conover and Munch  
20 2002). However, given that fishing mortality in capelin is low compared to natural mortality,  
21 it is unlikely that the fishing selection was strong during this period. Second, the study period  
22 itself may have been too short to reveal significant fisheries-induced changes. However, our  
23 study period was of significant duration, covering about ten generations. Third, and most  
24 importantly, our results are influenced by which Forberg maturity stage is used to distinguish

1 capelin that will spawn next year from those that stay immature longer. When Forberg stage  
2 21 (“early maturing I”) is used, the analysis suggests a significant trend in one occasion.  
3 However, we consider the results obtained with Forberg stage 31 (“maturing”) as the  
4 maturation threshold more reliable for the following reasons: using Forberg stage 21 led to  
5 fewer and more uncertain PMRN midpoint estimates (i.e., larger confidence intervals), and  
6 perhaps more importantly, to a more heterogeneous time series. Indeed, the large variations of  
7 PMRN midpoints at the boundaries of the period of routine use of the Forberg scale (1989–  
8 2005) suggest that Forberg stage 21 is less homologous to stage 3 on the macroscopic scale  
9 than Forberg stage 31. This interpretation is reinforced by the results of a comparison of the  
10 classification of female capelin according to the two different scales carried out by Forberg  
11 (1983), which indicates that the majority of individuals classified in Forberg stage 21 are  
12 identified as “immature” on the purely macroscopic scale (Forberg 1983).

13 Forberg and Tjelmeland (1985) developed a model for maturation in female Barents  
14 Sea capelin during the period 1978–1983, using the Forberg scale to characterise the  
15 maturation process. They constructed a length-based maturation function—essentially a  
16 PMRN, before the concept was formally introduced—that described the maturation fairly  
17 well, but found that length at 50% maturity ( $L_{50}$ ) varied somewhat with age (3-year-olds  
18 matured at lower lengths than 2-year-olds) and with geographical area. The  $L_{50}$  was fairly  
19 constant from year to year. The estimated  $L_{50}$  lay in the range 12–15 cm, dependent on which  
20 Forberg stage was used as threshold (stage 21, 22, 31, 32, 33). A different but still a length-  
21 based approach for splitting the total stock into an immature and a maturing part was used by  
22 Hamre and Tjelmeland (1982). This approach gave a mean  $L_{50}$  normally around 14–15 cm.  
23 This is close to our cohort- and age-dependent estimates of  $L_{p50}$  based on Forberg stage 31 as

1 the threshold (when available), suggesting that this threshold was a better choice than the  
2 alternative.

3 An additional reason for the lack of statistical significance of temporal trends in  
4 PMRN midpoints is the potential inadequacy of the approach in use to detect evolutionary  
5 changes in age and size at maturation. This issue has been raised in several instances (see for  
6 example Kraak 2007; Kuparinen and Merilä 2007; Marshall and Browman 2007) and is based  
7 on different arguments. The most obvious one is that only evidence of changes at the genetic  
8 level can be considered as proof for evolution, which the PMRN approach, being solely based  
9 on the phenotypic expression of genes, does not provide (Kuparinen and Merilä 2007).  
10 Moreover, restricting oneself to the age- and length-based PMRN approach assumes that the  
11 main part of phenotypic plasticity in age and size at maturation is captured by accounting for  
12 average growth. This is acceptable if other factors acting on the maturation “decision” are  
13 stable in time or their influence is negligible. We considered two of these potentially  
14 influential factors in this study, namely water temperature and capelin biomass, but this did  
15 not challenge our conclusions. Yet, other potentially important factors could not be taken into  
16 account due to the absence of relevant data. Among these are energetic condition (e.g. Rowe  
17 et al. 1991; Silverstein et al. 1997; Duston and Sanders 1999) and growth at critical times  
18 during the juvenile stage (e.g. Bromage et al. 2001; Morita and Fukuwaka 2006; Shearer et al.  
19 2006). Because distinct growth rates during these stages can lead to identical average growth  
20 until maturation is detected, this factor is not accounted for in the classical two-dimensional  
21 PMRN approach. Besides, the timing of observation traditionally associated with the  
22 derivation of PMRN has often been pointed out as non-relevant because age and size at  
23 maturation are distinct from the age and size at which the maturation “decision” is made  
24 (Wright 2007).

1           The absence of significant temporal trends in PMRN midpoints is in agreement with  
2 our prior expectations based on life-history theory, which suggests no or little fisheries-  
3 induced evolution in maturation tendency of semelparous fish that are unselectively harvested  
4 in the end of their normal life, corresponding to the winter fishery in the case of Barents Sea  
5 capelin (e.g., Hard et al. 2008). A possible exception is females of age 2 years when the  
6 alternative maturation threshold was used (see Table 4), but as discussed above, we consider  
7 these results as less credible. Yet, selection pressures on maturation in Barents Sea capelin are  
8 not entirely clear-cut: some selection towards maturity at younger ages and at smaller body  
9 sizes might have occurred owing to some features of the Barents Sea capelin fisheries. First,  
10 the summer fishery that took place between 1968 and 2002 was catching both immature and  
11 maturing fish. This should have favoured earlier maturation; we note, however, that the  
12 landings from the summery fishery were usually lower than those from the winter fishery  
13 (ICES 2009, 2010). Second, if the winter fishery were size-selective, the prediction of  
14 evolutionary neutrality would no longer hold. Some size-selection is likely to have occurred  
15 because of the minimum size limit of 11 cm (total length) imposed on the capelin fishery  
16 since 1978. This is a lesser concern in the winter fishery (maturing capelin are generally  
17 larger than the limit). However during the feeding season, capelin tend to form schools of  
18 individuals of similar body size (Gjøsæter and Korsbrekke 1990). In order to reduce the  
19 catches of under-sized fish, it was advantageous for fishers to target schools of large fish  
20 (Gjøsæter 1999a). Nevertheless, fishing mortality appears to be quite low compared to the  
21 mortality exerted by predators, particularly Atlantic cod (Dolgov 2002): over the period  
22 1973–2000, the fishing mortality was on average only 5% of the total mortality of capelin  
23 (Ushakov and Prozorkevich 2002). Our results are thus in agreement with the theory.

1           The current size at maturation of Barents Sea capelin has most likely been shaped by  
2 natural and sexual selection only. It has been influenced, among others, by the positive effect  
3 of body size on fecundity in females (Huse and Gjøsæter 1997), the particular diet and  
4 feeding mode of the species (Panasenکو 1981, 1984; Huse and Toresen 1996), competition  
5 for food with herring (Huse and Toresen 1996), male-male competition for mates  
6 (Vilhjálmsson 1994; Huse 1998), and the predation risk exerted mainly by cod late in life and  
7 from which capelin may have a higher chance to escape at a small size (Blanckenhorn 2000).  
8 Our results confirm that the maturation “decision” is primarily determined by body length and  
9 not by age in Barents Sea capelin, as previously shown by Forberg and Tjelmeland (1985);  
10 this is also an underlying assumption in the assessment model used for capelin (Gjøsæter et al.  
11 2002a; ICES 2010). Accordingly, the PMRN for capelin is almost horizontal, as illustrated by  
12 Fig. 6. Furthermore, our results suggest that male capelin have to grow to a larger size than  
13 female capelin to reach the same maturation probability. This is the first demonstration of  
14 such sex difference in PMRNs: usually, there is no sex difference or males have a tendency to  
15 mature at a smaller size than females (Heino and Dieckmann 2008).

16           The effect of biomass on PMRN midpoints was never significant, its influence on  
17 maturation appearing to be mediated through growth instead in Barents Sea capelin (Gjøsæter  
18 et al. 2002b). The PMRN method thus appeared to effectively remove growth and density  
19 effects on sexual maturation. In contrast, the effect of water temperature measured during the  
20 summer of the year preceding the sampling reached the 5% significance level once (out of 16  
21 cases), and was marginally significant (i.e.  $0.05 < P < 0.1$ ) in four other instances.  
22 Considering further that the estimated relationships between water temperature and length at  
23 maturation were exclusively negative, whereas Carscadden et al. 1997 have shown a positive  
24 effect of temperature on the timing of spawning in Newfoundland capelin, we suggest that the

1 influence of temperature on the maturation “decision” in Barents Sea capelin may not be real.  
2 This conclusion is reinforced by a known correlation between water temperature and  
3 abundance of krill (Eriksen and Dalpadado 2011), upon which large capelin predate. A  
4 positive relationship between PMRN midpoints and water temperature measured the same  
5 year could then be expected through the influence of krill abundance on capelin nutritional  
6 status. Moreover, even when statistically significant, temperature explained only little of the  
7 observed variability in length at maturation (18.7% in females maturing at the age of 3 years).

8 Capelin is an interesting case in the study of fisheries-induced evolution because here  
9 we did not expect to see marked evolutionary changes, despite a declining trend in age at  
10 maturation. This prior expectation was confirmed by our results, showing that the PMRN  
11 approach was successful in capturing growth-induced plastic variability in maturation.  
12 However, more in general, PMRNs might not capture all growth-related plasticity, at least  
13 when very large differences in growth occur, as in the zebrafish experiment by Uusi-Heikkilä  
14 et al. (2011). Nevertheless, growth in capelin has fluctuated substantially (Fig. 2), suggesting  
15 that within the range of growth variability typically observed in the wild, the capacity of  
16 PMRNs to capture growth-related plasticity may well be sufficient. Our study thus supports  
17 the utility of probabilistic maturation reaction norms in assessing fisheries-induced evolution  
18 with phenotypic data.

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## Figure captions

**Fig. 1.** The distribution area and migrations of Barents Sea capelin. Source: Institute of Marine Research, Norway.

**Fig. 2.** Annual distribution of the body length of Barents Sea capelin at age 2 years in samples from the IMR-PINRO autumn surveys. Horizontal lines represent the medians while grey boxes indicate the interquartile ranges and whiskers extend to the most extreme observations.

**Fig. 3.** Observed changes in the age of mature individuals along the study period, for females and males sampled during the IMR-PINRO autumn surveys. Black circles represent the mean age of sampled fish while minimum and maximum observed ages are shown as white-filled triangles.

**Fig. 4.** Time series of environmental variables: capelin biomass, water temperature in the Kola transect and water temperature in the Vardø-North transect.

**Fig. 5.** Year-specific estimates of PMRN midpoints ( $L_{p50}$ ), for maturation probabilities of 0.25 ( $L_{p25}$ ) and 0.75 ( $L_{p75}$ ) at age 2 (top panels) to 4 years (bottom panel) in females and males. Black-filled circles are  $L_{p50}$ , upward and downward triangles are  $L_{p25}$  and  $L_{p75}$  respectively, while vertical bars indicate 90% confidence intervals obtained by bootstrapping around point estimates of  $L_{p50}$ .

**Fig. 6.** Maturation reaction norms for females and males. Data allow the derivation of PMRN midpoints from age 2 to 4 for one cohort in each sex only. Females: 1981 cohort; males: 1980 cohort.

**Fig. 7.** Year-specific estimates of PMRN midpoints ( $L_{p50}$ ) at age 2 and 3 years in female capelin when using Forberg stage 21 as the threshold maturity stage. These estimates are only available for the period 1989–2005; PMRN midpoints for the remaining years are derived based on the purely macroscopic maturity scale. Black-filled circles are  $L_{p50}$ , upward and downward triangles are  $L_{p25}$  and  $L_{p75}$  respectively, while vertical bars indicate 90% confidence intervals obtained by bootstrapping around point estimates of  $L_{p50}$ . Midpoints

obtained with Forberg stage 31 as the threshold maturity stage (grey dashes) are displayed for comparison.

**Table 1.** Summary of linear regression of PMRN midpoints (in cm) against environmental variables (temperature and capelin biomass). The models for each explanatory variable were estimated independently. When available, Forberg stage 31 is used as the threshold maturity stage for females.

|                  |             |        | Females |          |        |          | Males  |          |        |          |
|------------------|-------------|--------|---------|----------|--------|----------|--------|----------|--------|----------|
|                  |             |        | 2       |          | 3      |          | 2      |          | 3      |          |
| Age (yr)         |             |        | Slope   | <i>P</i> | Slope  | <i>P</i> | Slope  | <i>P</i> | Slope  | <i>P</i> |
| Temperature (°C) | Kola        | no lag | -0.358  | 0.087    | -0.399 | 0.195    | -0.250 | 0.405    | -0.692 | 0.051    |
|                  |             | lag 1  | -0.300  | 0.161    | -0.534 | 0.060    | -0.123 | 0.687    | -0.531 | 0.064    |
|                  | Vardø-North | no lag | -0.266  | 0.200    | -0.208 | 0.501    | -0.195 | 0.505    | -0.400 | 0.303    |
|                  |             | lag 1  | -0.353  | 0.076    | -0.658 | 0.039    | -0.269 | 0.331    | -0.655 | 0.056    |
| Biomass (Mt)     | no lag      |        | -0.022  | 0.683    | 0.009  | 0.914    | 0.029  | 0.629    | 0.031  | 0.729    |
|                  | lag 1       |        | -0.005  | 0.918    | -0.125 | 0.135    | 0.003  | 0.969    | -0.121 | 0.184    |

**Table 2.** Summary of linear regressions of PMRN midpoints in models with the year of sampling alone and models including both year effect and environmental variables with  $P < 0.1$ . When available, Forberg stage 31 is used as the threshold maturity stage for females.

|         | Age (yr) | Model                                            | $n$ | Slope $\alpha$ (cm yr <sup>-1</sup> ) | $P$   |
|---------|----------|--------------------------------------------------|-----|---------------------------------------|-------|
| Females | 2        | $\alpha \cdot year$                              | 28  | -0.018                                | 0.173 |
|         | 2        | $\beta \cdot T_{Kola} + \alpha \cdot year$       | 28  | -0.010                                | 0.506 |
|         | 2        | $\beta \cdot T_{Vardø\_lag} + \alpha \cdot year$ | 28  | -0.011                                | 0.420 |
|         | 3        | $\alpha \cdot year$                              | 22  | -0.019                                | 0.361 |
|         | 3        | $\beta \cdot T_{Kola\_lag} + \alpha \cdot year$  | 22  | 0.037                                 | 0.086 |
|         | 3        | $\beta \cdot T_{Vardø\_lag} + \alpha \cdot year$ | 22  | 0.018                                 | 0.536 |
| Males   | 2        | $\alpha \cdot year$                              | 21  | -0.003                                | 0.870 |
|         | 3        | $\alpha \cdot year$                              | 23  | 0.023                                 | 0.242 |
|         | 3        | $\beta \cdot T_{Kola} + \alpha \cdot year$       | 23  | 0.038                                 | 0.078 |
|         | 3        | $\beta \cdot T_{Kola\_lag} + \alpha \cdot year$  | 23  | 0.037                                 | 0.086 |
|         | 3        | $\beta \cdot T_{Vardø\_lag} + \alpha \cdot year$ | 23  | 0.023                                 | 0.242 |
|         | 4        | $\alpha \cdot year$                              | 6   | -0.095                                | 0.158 |

**Table 3.** Summary of linear regressions of PMRN midpoints (in cm) in female capelin against environmental variables (temperature and capelin biomass) when using Forberg stage 21 as the threshold maturity stage for females in 1989–2005. The models for each explanatory variable were estimated independently.

|                  |             | Age (yr) | 2      |          | 3      |          |
|------------------|-------------|----------|--------|----------|--------|----------|
|                  |             |          | Slope  | <i>P</i> | Slope  | <i>P</i> |
| Temperature (°C) | Kola        | no lag   | -0.322 | 0.465    | -0.562 | 0.181    |
|                  |             | lag 1    | -0.417 | 0.325    | -0.748 | 0.054    |
|                  | Vardø-North | no lag   | -0.091 | 0.836    | -0.470 | 0.291    |
|                  |             | lag 1    | -0.329 | 0.427    | -0.848 | 0.059    |
| Biomass (Mt)     | no lag      | -0.031   | 0.768  | 0.048    | 0.675  |          |
|                  | lag 1       | -0.031   | 0.741  | -0.159   | 0.188  |          |

**Table 4.** Summary of linear regressions of PMRN midpoints in models with the year of sampling alone and models including both year effect and environmental variables with  $P < 0.1$ . Forberg stage 21 is set as the threshold maturity stage for females. These estimates are only available for the period 1989–2005. However, the regression is made over the entire time series.

|         | Age (yr) | Model                                           | <i>n</i> | Slope $\alpha$ (cm yr <sup>-1</sup> ) | <i>P</i> |
|---------|----------|-------------------------------------------------|----------|---------------------------------------|----------|
| Females | 2        | $\alpha \cdot year$                             | 26       | -0.056                                | 0.027    |
|         | 3        | $\beta \cdot T_{Kola\_lag} + \alpha \cdot year$ | 20       | -0.030                                | 0.272    |

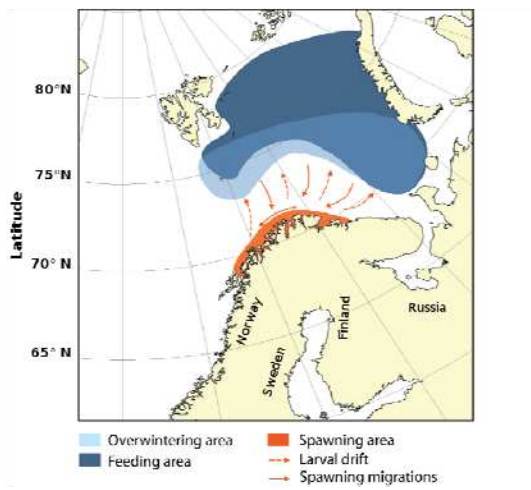


Fig. 1.

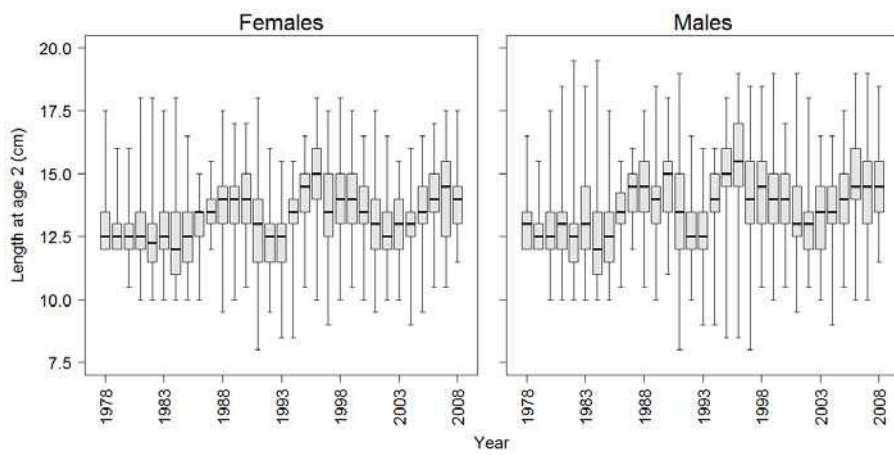


Fig. 2.



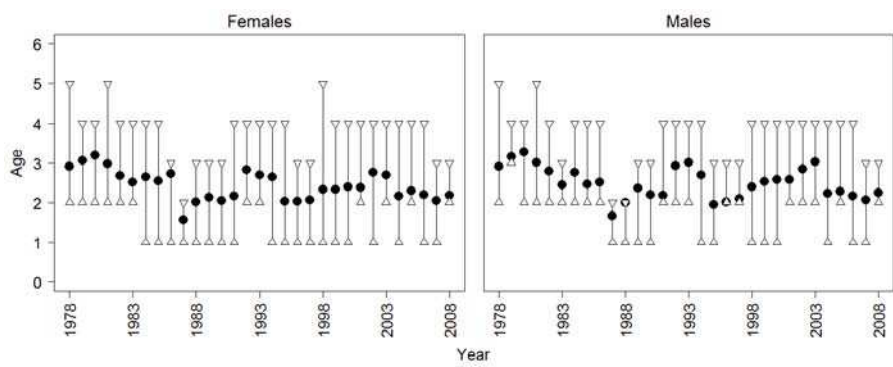


Fig. 3.

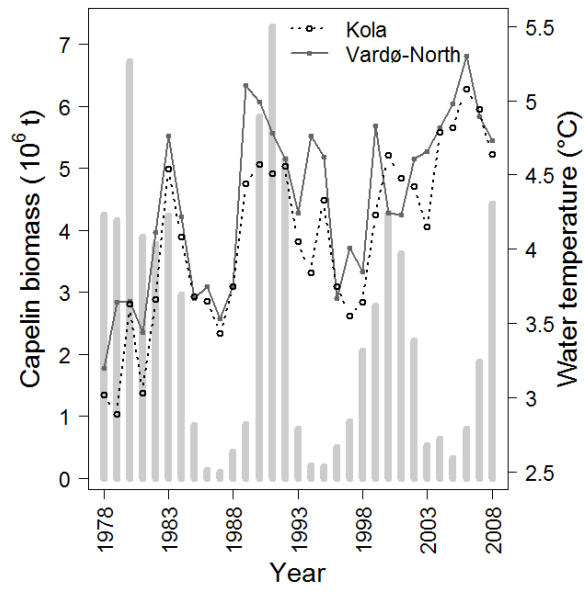


Fig. 4.

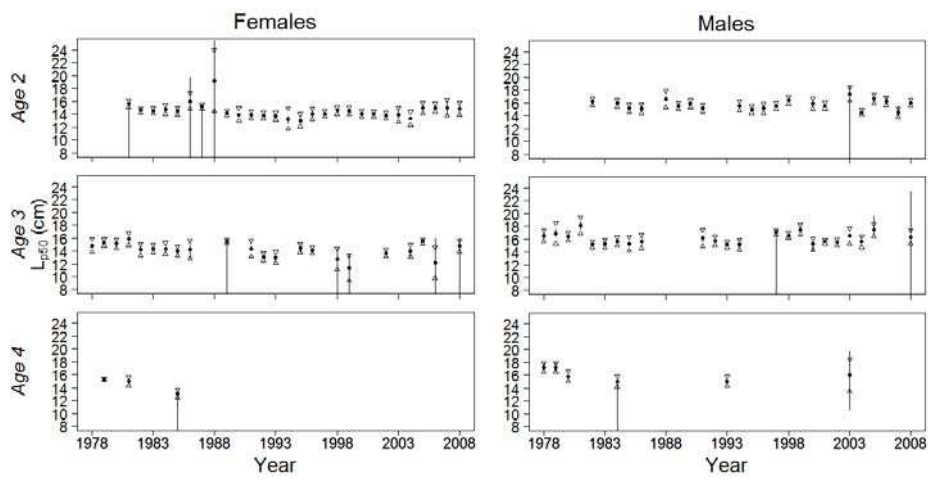


Fig. 5.

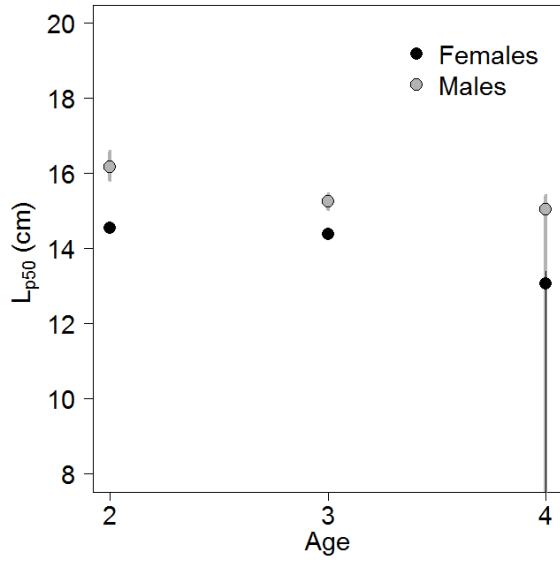


Fig. 6.

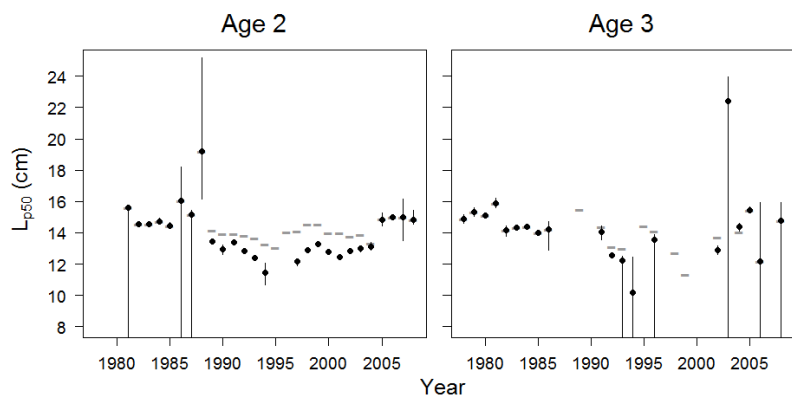


Fig. 7.

