

# An ecophysiological model of plant-pest interactions: the role of nutrient and water availability

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## Abstract

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Empirical studies have shown that particular irrigation/fertilization regimes can reduce pest populations in agroecosystems. This appears to promise that the ecological concept of bottom-up control can be applied to pest management. However, a conceptual framework is necessary to develop a mechanistic basis for empirical evidence. Here we couple a mechanistic plant growth model with a pest population model. We demonstrate its utility by applying it to the peach - green aphid system. Aphids are herbivores which feed on the plant phloem, deplete plants' resources and (potentially) transmit viral diseases. The model

11 reproduces system properties observed in field studies and shows un-  
12 der which conditions the diametrically-opposed plant vigour and plant  
13 stress hypotheses find support. We show that the effect of fertiliza-  
14 tion/irrigation on the pest population cannot be simply reduced as  
15 positive or negative. In fact, the magnitude and direction of any effect  
16 depends on the precise level of fertilization/irrigation and on the date  
17 of observation. We show that a new synthesis of experimental data can  
18 emerge by embedding a mechanistic plant growth model, widely stud-  
19 ied in agronomy, in a consumer-resource modelling framework, widely  
20 studied in ecology. The future challenge is to use this insight to inform  
21 practical decision making by farmers and growers.

22 **Keywords:** agroecology, aphid population model, induced plant defence,  
23 plant growth model, plant stress and plant vigour hypotheses, plant-aphid  
24 interactions

## 25 **Introduction**

26 Chemicals have been widely used in agriculture to control pests since the  
27 middle of the twentieth century, particularly in more economically developed  
28 countries [1]. However, widespread application of agrochemicals carries an  
29 inherent environmental cost. There is also the significant challenge of declin-  
30 ing efficacy due to the emergence and spread of insecticide resistance in pest  
31 populations [2]. In recent decades, agroecology has developed as discipline  
32 which aims to provide alternatives to the use of chemicals in agronomy to  
33 control pest. The rationale is that ecological concepts and principles can be  
34 applied to control pest populations while reducing the use of chemicals [3].  
35 The concept of ‘bottom-up’ control, according to which population dynamics  
36 are driven by quantity and quality of resources, is particularly highlighted by  
37 agroecologists. There are a number of agricultural practices that can affect  
38 plant physiology and alter resources offered by plants to pests [4, 5]. For  
39 example, fertilization modifies nutrient balance in plants, enhancing plant  
40 tissue nutritional status, and influences the synthesis of defence compounds  
41 [6]. Similarly, irrigation controls plant vigour, phloem nutritional quality and  
42 viscosity, possibly regulating pest abundance [7, 8, 9, 10, 11].

43     Unfortunately, how pests might be affected by plant nutrient and irriga-  
44 tion status is far from obvious. Empirical evidence is ambiguous, potentially  
45 supporting diametrically-opposed hypotheses. On the one hand, the Plant  
46 Vigour Hypothesis (PVH) [12] argues that pest populations should increase  
47 most rapidly on vigorously growing plants (or organs), since these habitats  
48 provide more resources. In support of this hypothesis, there is some experi-

49 mental evidence suggesting that practices such as fertilization and irrigation,  
50 or favourable conditions for plant growth such as increased organic soil fertil-  
51 ity, can be associated with abundant pest populations [13, 14]. On the other  
52 hand, the Plant Stress Hypothesis (PSH) [15] argues that pests perform  
53 better on stressed plants that would not have resources to deploy defences  
54 and/or whose nutritional quality might be enhanced. This has been deter-  
55 mined experimentally to be the case for some aphid species feeding on plants  
56 subjected to controlled irrigation deficit [16, 17].

57 In order to efficiently use the concepts of bottom-up control in agroecol-  
58 ogy, it is necessary to shed light on the mechanisms that are responsible for  
59 the observed patterns. We require a unified conceptual framework sufficiently  
60 flexible for both the PVH and PSH hypotheses to find support. Developing  
61 and validating such a framework requires integration of information from field  
62 experiments with mathematical modelling. Experimental data is clearly nec-  
63 essary to test the validity of theoretical hypotheses, but is often extremely  
64 costly and time consuming to obtain. Mathematical modelling, particularly  
65 mechanistic models, represent a useful tool to investigate which processes  
66 can be responsible for the observed patterns and to explore the consequences  
67 of different agricultural practices [18].

68 Here we present an original, explicitly agro-ecological, model synthesising  
69 elements of models as commonly used within the disciplines of agronomy and  
70 ecology. Agronomic models tend to empirically parametrize the detrimental  
71 effects of pests on plant biological rates (*e.g.* photosynthetic, growth, solutes  
72 transport). However such models invariably neglect the dynamical interac-  
73 tion between the plant (or some of its component parts) and the pest (see

74 *e.g.* [19, 20, 21]). That is, the impact of a pest on the plant is modelled  
75 by varying one or more plant parameters, according to the pest disturbance  
76 level with no further interaction or feedback. On the other hand, in ecology,  
77 there is a very broad literature of models on interactions (*e.g.* predation, con-  
78 sumption, competition etc.) between different species or organisms. These  
79 types of models have been widely used to study temporal and spatial dynam-  
80 ics in plant-pest (*e.g.* [22, 23, 24]) and particularly plant-pathogen systems  
81 (*e.g.* [25, 26, 27]). However these types of model usually present a simplistic  
82 description of the plant (but see [28]), which in turn limits the possibility  
83 to consider the effects of agronomic practices. Some authors attempted to  
84 bridge the gap between agronomy and ecology by explicitly integrating pest  
85 dynamics in crop models [29, 30]. However and arguably, past works have  
86 over-emphasised realism and precision at the cost of parsimony, meaning that  
87 general principles cannot be revealed.

88 Here, focusing on parsimony, we couple a relatively simple plant growth  
89 model, that describes carbon and nitrogen assimilation and allocation to  
90 shoot and root compartments of a plant, with a pest population model. With  
91 regard to the plant, we use the modelling framework proposed by Thornley  
92 in the early 70s [31], and refined in the following decades [32, 33, 34, 35],  
93 which represents a cornerstone in plant and crop modelling. With regard  
94 to the pest, we propose a novel population model which includes intraspe-  
95 cific competition in which pest birth and mortality rates depend on resource  
96 availability and quality. Moreover, we assume that the presence of the pest  
97 can induce the plant to produce defensive traits or compounds [36]. We  
98 demonstrate the utility of our model by applying it to the peach (*Prunus*

99 *persica*) - green aphid (*Myzus persicae*) system. Aphids are specialized her-  
100 bivores which feed on the phloem of vascular plants. This depletes plants'  
101 resources, affecting growth and reproduction, as well as eventually impacting  
102 upon yield [37]. Moreover, aphids are the most common vector of plant viral  
103 diseases and so can often cause indirect damage far exceeding direct impacts  
104 via herbivory [38]. We use likelihood-based techniques to calibrate model  
105 parameters and select model assumptions against field data obtained under  
106 different conditions of irrigation and fertilization. The resulting model has  
107 the ability to reproduce different system properties observed in field stud-  
108 ies, as well as showing under which conditions the PVH and PSH find more  
109 support. Our model also provides insights to conceive new targeted exper-  
110 iments to better understand this class of system and rethink the control of  
111 plant-aphid systems.

## 112 **Material and Methods**

### 113 **Model outline and assumptions**

114 The model, which describes the temporal variation, during a growing season,  
115 of plant dry mass (partitioned into shoots and roots, in turn composed of  
116 structural mass, carbon and nitrogen substrates), its induced defensive level  
117 and the aphid population dwelling on the plant, is schematically represented  
118 in Figure 1. According to Thornely et al's seminal works [31, 18, 32, 33],  
119 carbon is assimilated from the atmosphere via photosynthesis and stored in  
120 shoots, as shoot carbon substrate ( $C_S$ ), or transported and then stored in

121 roots as root carbon substrate ( $C_R$ ). Similarly, nitrogen is assimilated from  
122 the soil, stored in roots as root nitrogen substrate ( $N_R$ ), or transported and  
123 then stored in shoots as shoot nitrogen substrate ( $N_S$ ). Carbon and nitrogen  
124 substrates are utilized, in a fixed ratio, to constitute structural shoot (S)  
125 and root (R) dry mass. With respect to the original model of Thornley, we  
126 added the assumption that the constitution of new plant mass is regulated by  
127 changes in the photo-period [39]. Such an assumption permits us to model the  
128 fact that perennial plants suspend growth, in favour of reserve constitution,  
129 before entering winter dormancy [40]. The assimilation of substrate ( $C_S$  or  
130  $N_R$ ) per unit of plant organ ( $S$  or  $R$ ) decreases with organ mass due to shoot  
131 self-shading and root competition for nitrogen and it is inhibited by substrate  
132 concentration in the organ [33].

133 We coupled the plant model of carbon and nitrogen assimilation and par-  
134 titioning with an aphid population model by assuming that aphids, which  
135 penetrate growing shoots of the host plant with a stylet and feed on the  
136 phloem [41], intercept a fraction of the substrates ( $C_S$  and  $N_S$ ) directed to-  
137 wards the shoot structural mass compartment (S) to support their growth  
138 [42]. We assume that aphids act in a scramble competition context [43] and  
139 therefore any aphid ingests its maximum daily amount of food when the  
140 per-capita available resource is sufficient, but that otherwise the resource is  
141 evenly shared among all the individuals: all other things being equal, the  
142 larger the aphid population gets, the lower the per-capita ingested resource.  
143 The aphid birth rate depends on the per-capita ingested food [44]: it is  
144 maximum when aphids have access to their maximum daily amount of food  
145 and decreases when aphids evenly share the limited resource. Whenever the

146 aphid birth rate becomes lower than the mortality rate the aphid population  
147 declines. We assume that crowding can induce aphids to leave the plant [45].

148 We assumed that the infested plant can be induced to use carbon and  
149 nitrogen substrates to defend itself, to the detriment of growth [46, 41, 47].  
150 This can result in the production of chemical and/or in morphological and  
151 physiological changes that can reduce aphid accessibility to the resource (e.g.  
152 by phloem sealing) [48, 49] and/or decrease the rate at which ingested food  
153 is converted into progeny, e.g. by releasing toxic components in the sieve  
154 that can even repel or kill the aphid [36]. We assumed that the production  
155 of induced defence compounds increases with the abundance of aphids [41].

## 156 **Model equations**

157 In quantitative terms, we describe the temporal variation of the plant-aphid  
158 system with the following system of ordinary differential equations.



$$\left\{ \begin{array}{l} \dot{C}_S = \sigma_C S \left[ \left(1 + \frac{S}{\nu}\right) \left(1 + \frac{C_S}{S C_C}\right) \right]^{-1} - \varphi_C \kappa \frac{C_S}{S} \frac{N_S}{S} S - \left(\frac{C_S}{S} - \frac{C_R}{R}\right) (SR)^q \cdot (S^q + R^q)^{-1} - \alpha \frac{C_S}{S} A \quad (1a) \\ \dot{N}_S = \left(\frac{N_R}{R} - \frac{N_S}{S}\right) (SR)^q \cdot (S^q + R^q)^{-1} - \varphi_N \kappa \frac{C_S}{S} \frac{N_S}{S} S - \alpha \frac{N_S}{S} A \quad (1b) \\ \dot{S} = \begin{cases} \Phi \kappa \frac{C_S}{S} \frac{N_S}{S} S \left(1 - \frac{\theta A}{\Phi \kappa \frac{C_S}{S} \frac{N_S}{S} S}\right) & \text{if } \theta \cdot A \leq \Phi \kappa \frac{C_S}{S} \frac{N_S}{S} S \left(1 - \beta_1 \frac{\frac{D}{S} \delta_1}{\pi_1^{\delta_1} + \frac{D}{S} \delta_1}\right) \\ \Phi \kappa \frac{C_S}{S} \frac{N_S}{S} S \left(\beta_1 \frac{\frac{D}{S} \delta_1}{\pi_1^{\delta_1} + \frac{D}{S} \delta_1}\right) & \text{otherwise} \end{cases} \quad (1c) \\ \dot{C}_R = \left(\frac{C_S}{S} - \frac{C_R}{R}\right) (SR)^q \cdot (S^q + R^q)^{-1} - \varphi_C \kappa \frac{C_R}{R} \frac{N_R}{R} R \quad (1d) \\ \dot{N}_R = \sigma_N R \left[ \left(1 + \frac{R}{\nu}\right) \left(1 + \frac{N_R}{R N_C}\right) \right]^{-1} - \varphi_N \kappa \frac{C_S}{S} \frac{N_S}{S} S - \left(\frac{N_R}{R} - \frac{N_S}{S}\right) (SR)^q (S^q + R^q)^{-1} \quad (1e) \\ \dot{R} = \Phi \kappa \frac{C_R}{R} \frac{N_R}{R} R \quad (1f) \\ \dot{D} = (\varepsilon_C \alpha \frac{C_S}{S} + \varepsilon_N \alpha \frac{N_S}{S}) A \quad (1g) \\ \dot{A} = \begin{cases} (\xi \theta \left(1 - \beta_2 \frac{\frac{D}{S} \delta_2}{\pi_2^{\delta_2} + \frac{D}{S} \delta_2}\right) - \mu - \omega \frac{A}{S}) A & \text{if } \theta \cdot A \leq \Phi \kappa \frac{C_S}{S} \frac{N_S}{S} S \left(1 - \beta_1 \frac{\frac{D}{S} \delta_1}{\pi_1^{\delta_1} + \frac{D}{S} \delta_1}\right) \\ (\xi \Phi \kappa \frac{C_S}{S} \frac{N_S}{S} S \left(1 - \beta_1 \frac{\frac{D}{S} \delta_1}{\pi_1^{\delta_1} + \frac{D}{S} \delta_1}\right) \frac{1}{A} \left(1 - \beta_2 \frac{\frac{D}{S} \delta_2}{\pi_2^{\delta_2} + \frac{D}{S} \delta_2}\right) - \mu - \omega \frac{A}{S}) A & \text{otherwise} \end{cases} \quad (1h) \end{array} \right.$$

159 In our model  $C_S$ ,  $N_S$ ,  $S$ ,  $C_R$ ,  $N_R$  and  $R$  are expressed in grams (g);  $D$  is  
160 expressed in an arbitrary defence unit (DU) and  $A$  in individuals (ind.);  $t$  rep-  
161 resents the number of days (d) that have passed since the January 1<sup>st</sup> of the  
162 year of the considered growing season. In equation 1a,  $\sigma_C S \left[ \left(1 + \frac{S}{\nu}\right) \left(1 + \frac{C_S}{S C_C}\right) \right]^{-1}$   
163 is the carbon substrate assimilated in shoots,  $\varphi_C \kappa \frac{C_S}{S} \frac{N_S}{S} S$  is the shoot carbon  
164 substrate allocated to shoot growth or reserves,  $\left(\frac{C_S}{S} - \frac{C_R}{R}\right) (SR)^q \cdot (S^q + R^q)^{-1}$   
165 is the shoot carbon substrate transported toward roots and  $\alpha \frac{C_S}{S} A$  is the  
166 shoot carbon substrate diverted to defences, in a unit of time. In equation  
167 1b,  $\left(\frac{N_R}{R} - \frac{N_S}{S}\right) (SR)^q \cdot (S^q + R^q)^{-1}$  is the nitrogen substrate transported from  
168 roots towards shoots;  $\varphi_N \kappa \frac{C_S}{S} \frac{N_S}{S} S$  is the shoot nitrogen substrate allocated to  
169 shoot growth or reserves, and  $\alpha \frac{N_S}{S} A$  is the shoot nitrogen substrate diverted  
170 to defences, with each of these quantities being measured as rates per unit of

171 time. In equation 1c, the time dependent parameter  $\Phi = \frac{\lambda^\eta}{\lambda^\eta + t^\eta}$  determines  
172 the suspension of plant growth driven changes in the photo-period. The  
173 term  $\Phi \kappa \frac{C_S}{S} \frac{N_S}{S} S$  is the increase in structural shoot dry mass in the absence  
174 of any phloem withdrawal by the aphids, with  $k$  being the maximum rate of  
175 utilization of the substrates. The term  $\frac{\theta A}{\Phi \kappa \frac{C_S}{S} \frac{N_S}{S} S}$  represents the fraction of  
176 substrates diverted from allocation to plant growth, because of ingestion by  
177 aphids, when aphid per-capita intake is limited by aphid maximum daily food  
178 intake,  $\theta$ , and not by resource availability. The term  $\beta_1 \frac{\frac{D}{S}^{\delta_1}}{\pi_1^{\delta_1} + \frac{D}{S}^{\delta_1}}$  indicates the  
179 fraction of phloem that is protected by plant defences and therefore inaccessi-  
180 ble for aphids. When aphid per-capita intake is limited by the resource avail-  
181 ability, aphids ingest all the phloem they can access and the per-capita intake  
182 is reduced. The dynamics of the variables in the root compartments ( $C_R$ ,  $N_R$ ,  
183  $R$ ) follow similar rules as for assimilation of substrates, transport and alloca-  
184 tion to root growth and we assumed that they are not directly affected by the  
185 presence of aphids. In equation 1h, we assume that the aphid birth rate is  
186 proportional to the per-capita food intake ( $\theta$  or  $\Phi \kappa \frac{C_S}{S} \frac{N_S}{S} S (1 - \beta_1 \frac{(\frac{D}{S})^{\delta_1}}{\pi_1^{\delta_1} + (\frac{D}{S})^{\delta_1}}) \frac{1}{A}$ )  
187 and that it can decrease due to a possible action of the defences. In other  
188 words, we assume that plant defences can determine an extra mortality rate,  
189 per unit of ingested food, modelled as  $\beta_2 \frac{\frac{D}{S}^{\delta_2}}{\pi_2^{\delta_2} + \frac{D}{S}^{\delta_2}}$ . We modelled both the  
190 fraction of the phloem that can be protected and the phloem "toxicity" as  
191 an increasing function of the concentration of defences,  $\frac{D}{S}$ . The shape of this  
192 function is given by the value of parameter  $\delta_i$ . Namely, if  $\delta_i > 1$  it is convex  
193 for  $\frac{D}{S} < \pi_i$  and concave for  $\frac{D}{S} > \pi_i$ , if  $0 < \delta_i < 1$ , it is strictly concave.  
194 The parameter  $\omega$  is the strength of possible density dependent mechanisms  
195 inducing aphid migration. Details of the model variables and parameters are

196 reported in Table 1.

## 197 **Model calibration**

198 We apply the model to a system composed of 44 peach plants infested by  
199 green aphid subjected to four different treatments obtained by combining two  
200 levels of fertilization and irrigation. The shoot growth and the aphid infesta-  
201 tion level was measured weekly on each plant: details of the experiment and  
202 of the observations are reported in Rousselin *et al.* [9] and in the Supporting  
203 Information, SI. According to available data, we set initial conditions of the  
204 system at the first observation date (i.e. April 29<sup>th</sup>, 119<sup>th</sup> day of the year  
205 2013) (see SI). We set the value of model parameters according to informa-  
206 tion available from peer-reviewed literature or experimental data whenever  
207 possible (Table 1 and SI). On the other hand, no information was available  
208 to *a priori* derive reliable estimates for parameters  $\sigma_N$  (net N assimilation  
209 rate) and  $\kappa$  (maximum rate of utilization of the substrates), which depend  
210 on environmental conditions that possibly varied in the different treatments;  
211 parameter  $q$ , affecting substrates transport within the plant and depending  
212 on the plant architecture [33], and six parameters relevant to the produc-  
213 tion of defences ( $\alpha$ ) and their effect ( $\pi_1, \delta_1, \beta_2, \pi_2, \delta_2$ ). We estimated these  
214 unknown parameters by minimizing a cost function expressed as the sum of  
215 two negative log-likelihood functions, computed with respect to observations  
216 of shoot dry mass and aphid abundance (see SI for details). We assessed  
217 the empirical distributions of calibrated parameters by making use of the  
218 moving block bootstrap [50]. In particular, we reconstructed bootstrapped

219 time series for each of the observed variables and we fitted the values of the  
220 unknown parameters. We repeated this process 1,000 times and we gener-  
221 ated the 99% confidence intervals CI for each parameter via the percentile  
222 method [51].

## 223 **Model selection**

224 To account for possible different mechanisms regarding aphid ecology, the  
225 plant response to aphid infestation and its consequences, we contrasted the  
226 ‘full’ model reported in eq.1 with a set of nested models lacking some pro-  
227 cesses (Fig. 2). Namely, the full model (M10) assumes that aphid crowding  
228 promotes aphid migration, that the plant produces defences that make a frac-  
229 tion of resources inaccessible to aphids and kill, or repel, aphid if ingested.  
230 Three models nested in M10 assume a crowding effect on aphid migration  
231 and the induced production of defences. Yet, they can differ regarding the  
232 effect of defences: killing/repulsion effect (M9), reduction of phloem acces-  
233 sibility (M8), or no effect (M7). There is also a simpler model that neglect  
234 the production of defences (M6). We also considered five analogous models  
235 ignoring the effect of aphid crowding,  $\omega = 0$ , (M1, M2, M3, M4, M5).

236 We tested if the effect of irrigation and fertilization can be represented in  
237 the models thorough a variation in some parameters  $\kappa$  and  $\sigma_N$ . The rationale  
238 is that the rate of utilization of the substrates (parameter  $k$ ) and the nitrogen  
239 assimilation rate (parameter  $\sigma_N$ ) are expected to decrease in water [52, 8]  
240 and nutrient [53, 35] stress conditions, respectively. We then contrasted each  
241 of the ten models assuming that *i*)  $\kappa$  and  $\sigma_N$  respectively vary with irrigation

242 and fertilization treatments; *ii*)  $\kappa$  varies with irrigation and  $\sigma_N$  does not  
243 vary with fertilization; *iii*)  $\kappa$  does not vary with irrigation and  $\sigma_N$  varies  
244 with fertilization; *iv*) neither  $\sigma_N$  nor  $\kappa$  vary with fertilization and irrigation.  
245 Therefore, we calibrated two values for nitrogen assimilation rate per unit  
246 of root ( $\sigma_N^+$ ,  $\sigma_N^-$ ) in cases *i* and *iii* and a unique value ( $\sigma_N^\pm$ ) in cases *ii* and  
247 *iv*. Analogously, we calibrated two values for the allocation of substrates to  
248 plant growth ( $\kappa^+$  and  $\kappa^-$ ) in cases *i* and *ii* and a unique value ( $\kappa^\pm$ ) in cases  
249 *iii* and *iv*.

250 Overall, we compared 40 different models (Fig. 2), obtained by incorpo-  
251 rating five hypotheses on plant defences, two on density dependence of aphid  
252 migration, and four on the effect of irrigation and fertilization, to one another.  
253 We selected the best model, that is the one providing the best compromise  
254 between goodness of fit to observed data and parsimony, through a model  
255 selection procedure based upon Akaike information criterion [54]. For each  
256 model we computed a value of  $AIC = 2C + 2n_p$ , where  $C$  is the minimum of  
257 the log-likelihood based cost function estimated for the model and  $n_p$  is the  
258 number of calibrated parameters. Then, we ranked the models according to  
259 their  $AIC$  values and we computed the  $AIC$  differences ( $\Delta AIC_i$ ) between  
260 the  $AIC$  value of the  $i^{th}$  model and the minimum  $AIC$  among all considered  
261 models (Table 2). Models with  $\Delta AIC_i < 2$  can be considered as equivalent  
262 [55, 56] and, among equivalent models, we selected the simplest one (i.e. the  
263 one with fewest estimated parameters) as the best.

## 264 Sensitivity Analysis

265 To assess the robustness of model outputs to uncertainty affecting model  
266 parameters, we performed a sensitivity analysis of the model to (small) per-  
267 turbations of the default parameter values reported in Table 1. According  
268 to Thornley and Johnson [18], we computed the sensitivity of the variable  $Y$   
269 (where for  $Y$  we considered the maximum value of  $S$ , of  $A$  and of their ratio  
270  $A/S$  over the growing season), to small variations of parameter  $p_i$  as

$$\psi(Y, p_i) = \frac{\partial Y}{\partial p_i} \frac{p_i}{Y} \simeq \frac{\delta Y}{Y} \frac{p_i}{\delta p_i} \quad (2)$$

271 In practice, after having changed the value of each parameter by +5% [18],  
272 we computed the value of  $\psi$  and if  $\psi(Y, p_i) > 1$  we concluded that parameter  
273 has a more-than-linear effect on the variable.

## 274 The role played by fertilization and irrigation

275 After having ascertained that parameters  $\kappa$  and  $\sigma_N$  are likely to vary with ir-  
276 rigation and fertilization treatments, respectively, we used the selected model  
277 to simulate the temporal dynamics of the system for different values of these  
278 parameters. This allowed us to perform an *in silico* experiment to explore  
279 whether or not the model was able to reproduce the observed empirical pat-  
280 terns that claimed support for the plant vigour or the plant stress hypotheses.  
281 The *in silico* experiment is intended to test if the aphid density is affected by  
282 the fertilization (or irrigation) treatment. We considered five levels for the  
283 fertilization treatment (i.e.  $\sigma_N$  equals to 0.0012, 0.0024, 0.012, 0.06 and 0.12  
284  $d^{-1}$ ) and five levels for the irrigation treatment (i.e.  $\kappa$  equals to 18, 36, 182,

285 910 and 1820  $d^{-1}$ ) corresponding to very low - low - average - high - very  
286 high levels of fertilization (or irrigation). We varied the level of one treat-  
287 ment while keeping the other fixed at its average value thus obtaining nine  
288 different combinations of factorial levels. Since in real factorial experiments  
289 the number of replicates (i.e. different plant individuals) is limited, we chose  
290 to simulate ten replicates for each factors combination, which corresponds  
291 to a realistic experiment with 90 plants being monitored. We simulated ten  
292 possible trajectories of the system variables, for the same factors combina-  
293 tion, by running the model with ten different parameter sets drawn from the  
294 empirical distribution obtained in the calibration process.

## 295 **Results**

### 296 **Model calibration and selection**

297 The best model ('the model', hereafter) assumes that *i*) aphid migration due  
298 to crowding can be neglected; *ii*) aphid presence induces the plant to divert  
299 resources from growth to defence; *iii*) defences reduce phloem accessibility  
300 to aphids and, at higher concentrations, make the phloem sufficiently toxic  
301 to kill or repel aphids (Fig. S1 in the SI); *iv*) the rates of nitrogen assimi-  
302 lation and substrates utilization differ for different levels of fertilisation and  
303 irrigation, respectively.

304 The model fitted all four data sets, reproducing the main observed tem-  
305 poral patterns and differences between treatments (Fig.3). Shoot growth is  
306 enhanced in high fertilization treatments while the water treatment consid-

307 ered here plays only a relatively minor role. The time course for shoot mass  
308 is linear and followed by a stop towards the end of June. This is consis-  
309 tent with a potential exponential course, in the first part of the season [57],  
310 which has been prevented by the presence of the aphids. On the other hand,  
311 the stop in shoot growth at the end of June is induced by changes in the  
312 day-length. Note that parameter  $\phi(t) = 0.5$  for  $t = \lambda = 169$ , corresponding  
313 to June 18<sup>th</sup>. Aphid population growth is initially sigmoidal, followed by a  
314 decay towards the end of June when the plant growth is halted (Fig.3) and  
315 the concentration of defences attains the critical value of  $\pi_2 = 0.03 - 0.08$   
316 which makes ingestion from the phloem detrimental rather than beneficial to  
317 aphids. The initial phase of aphid growth is enhanced in high fertilization  
318 treatments characterized by more vigorous plants.

319 The model gives biologically plausible parameter estimates (Table 1) and  
320 the estimated variability in parameters permits most of the variability ob-  
321 served in the data to be captured. The calibrated values of  $\sigma_n$ ,  $k$  and  $q$  are  
322 consistent with previously published values (i.e.  $\sigma_n = 0.02d^{-1}$ ,  $k = 200d^{-1}$   
323 and  $q = 0.67 - 1$  in [33]). The estimated values of parameters  $\delta_1 < 1$  and  
324  $\delta_2 > 1$  suggest that the fraction of phloem that is protected from aphid  
325 withdrawal quickly increases for low concentrations of defences, whereas the  
326 phloem toxicity is switched-on when the concentration of defences exceeds a  
327 threshold value (Fig. 1 in the SI). On the other hand, the model parameters  
328 relevant to the production of defences and their effect on aphids have no  
329 equivalent in the literature for a direct comparison.



## 330 Sensitivity analysis

331 Ranked values of the sensitivity,  $\psi$ , of shoot production, and maximum aphid  
332 abundance and density to small changes in the parameter values are reported  
333 in Table S1 in the SI. Negative values of  $\psi$  indicate a negative correlation  
334 between a change in a parameter value and the corresponding variable of  
335 interest. As expected, increasing the parameter  $\lambda$  results in an increase  
336 of shoot production, as it determines an increase of the growing season of  
337 8.45 d, being  $0.05\lambda = 8.45$ , and consequently more resources to sustain a  
338 bigger aphid population, maintaining similar aphid densities. Similarly, an  
339 increase of  $q$  results in an increase of both shoot production and in the peak  
340 of aphid abundance and density, as it determines a more efficient transport  
341 of substrates C and N between roots and shoots. This translates into bigger  
342 plants able to sustain higher peaks of aphid population densities.

343 With the exception of  $q$  and  $\lambda$ , our sensitivity analysis indicates none of  
344 the model parameters has important (e.g.  $\psi > 1$ ) consequences, indicating  
345 that the model is robust. However, our sensitivity analysis nevertheless pro-  
346 vides some interesting insights. For instance, it shows that an increase in all  
347 those parameters positively related to the plant growth ( $\sigma_c, \sigma_n, \iota_C, \iota_N, k, \nu$ )  
348 determine an increase in the maximum aphid abundance and, to a lower  
349 extent, in maximum aphid density. If the aphids were more efficient in con-  
350 verting food into progeny (higher  $\xi$ ), aphid density would increase but the  
351 overall population abundance would diminish as the resource would be over-  
352 exploited. An increase of the parameter  $\alpha$ , determining a higher rate of  
353 resources devoted to defences, would have almost no effect on the shoot pro-

354 duction but it would decrease aphid abundance and density. Yet, the plant  
355 could take advantage of a lower aphid abundance, since aphids are important  
356 vectors of viral diseases [41].

## 357 **The role played by fertilization and irrigation**

358 Shoot growth follows a sigmoidal pattern and it increases with fertilization  
359 and irrigation (Fig. 4A-B). The concentration of carbon substrates in shoots  
360 varies between 3-23% during the growing season with peaks at its beginning,  
361 when plant growth is limited by the nitrogen supply, and at its end, when  
362 plant growth halts in response to day length decreases, but carbon assim-  
363 ilation continues. Carbon concentration is enhanced in stressful conditions  
364 (very low to low fertilization/irrigation treatments) that limit plant growth  
365 rather than carbon assimilation (Fig. 4C-D). The concentration of nitrogen  
366 substrates varies between 0.1-1.4 % during the growing season (Fig. 4E-F).  
367 It decreases in the first weeks of growth, but, in the case of very high/high  
368 fertilization, or very low irrigation, it increases until the second week of May.  
369 In fact, for high fertilization treatments, nitrogen is not initially consumed  
370 by plant growth which is limited by carbon supply and, for low watering,  
371 nitrogen concentration increases as plant growth is impaired while N assim-  
372 ilation is not. Peak concentration of defences is delayed in time for higher  
373 fertilization and irrigation (Fig. 4G-H). When the plant is well watered, the  
374 time of the peak aphid population density is delayed by one week. This is  
375 due to the fact that defences need more time to reach significant concen-  
376 trations in bigger plants (Fig. 4I-J). The positive effect of fertilization and

377 irrigation upon aphid abundance becomes evident in the end of May. In the  
378 first part of the season, aphid density is enhanced by a low/average value of  
379 fertilization (or irrigation) while later in the season aphid density is higher  
380 in a well fertilized (irrigated) plant (Fig. 4K-L).

381 The results of our virtual experiment show that one can draw very dif-  
382 ferent conclusions depending on the considered fertilization/irrigation levels  
383 and the date of observations. For instance, one could infer that *i*) fertili-  
384 zation enhances aphid population by observing aphid density in the mid-late  
385 part of the season for very low to average values of fertilization (Fig. 5C-  
386 E); *ii*) decreases it, by observing aphid density in the early-mid season for  
387 average to very high values of fertilization (Fig. 5A-C); *iii*) has no effect,  
388 by observing aphid density early and late in the season, for high to very  
389 high values of fertilization (Fig. 5A-E). Similarly, different conclusions can  
390 be drawn regarding the effect of irrigation: positive (Fig. 5F), negative (Fig.  
391 5B) or null (Fig. 5D, from average to very high values of irrigation). The  
392 explicit consideration of inter-individual variability in growth trajectories,  
393 shows that patterns emerging from a limited (*i.e.* 10) number of replicates  
394 per treatment become less clear at the end of the growing season (see longer  
395 boxes in Fig. 5E-F). We purposefully avoided performing statistical tests on  
396 our results because the number of replicates, which can be easily varied in  
397 a virtual experiment, would have increased the statistical power to detect  
398 changes in aphid density (see [58] for a similar exercise).

## 399 Discussion

400 In this work we showed that embedding a mechanistic plant growth model,  
401 widely studied in agronomy, in a consumer-resource modelling framework,  
402 widely studied in ecology, might be a promising approach for agroecology.  
403 We demonstrated the ability of such a novel approach in understanding the  
404 consequences of irrigation and fertilization treatments in a plant-aphid sys-  
405 tem. Yet, the proposed model has the ambition of being physiologically  
406 rigorous and general enough to be applied to different plant-pest systems  
407 and to incorporate the description of other agronomic practices.

### 408 **The selected model and model calibration and selection**

409 A recent review [41] suggested that infested plants can put in place phloem-  
410 sealing mechanisms to interfere with aphids' access to plant resources and  
411 produce a number of secondary metabolites (e.g. cardenolides, glucosinolates  
412 and benzoxazinoids) which, if ingested, impair aphid viability [41]. Our study  
413 suggests that both defensive mechanisms are at play in the peach-green aphid  
414 system. According to our calibration, impairing phloem accessibility is the  
415 most effective at low defences concentration, while 'intoxicating' aphids is  
416 the most effective at higher concentration. This is in accordance with works  
417 on the arabidopsis-*Myzus persicae* system, for which reductions of aphids  
418 fecundity, up to 100%, have been reported in response to high concentrations  
419 of some plant defensive compounds [59, 60]. The model application to a  
420 real study case subjected to different irrigation  $\times$  fertilization treatments  
421 indicates that parameters relevant to plant nitrogen assimilation ( $\sigma_N$ ) and

422 plant utilization of substrates ( $\kappa$ ), originally proposed within a theoretical  
423 framework [31], can be linked to agronomic practices and then manipulated  
424 by the grower. However, in order to effectively use the proposed model to  
425 define effective agronomic recommendations, further studies on the response  
426 of the model parameters to effective practices are clearly required.

427     One of the main features of the peach-green aphid system is that, at the  
428 beginning of summer, aphid populations dwelling on peach trees drop. This  
429 occurs because aphids die, or abandon their primary host, or give birth to  
430 winged newborns that migrate to secondary herbaceous hosts [61]. However,  
431 the underlying mechanisms triggering these processes are far from being clear.  
432 Our findings suggest that the reduction of resource availability, due to the  
433 investment in defensive traits and to photo-period driven interruption of  
434 shoot growth, along with the reduction of the phloem nutritional value, due  
435 to the accumulation of defensive compounds possibly toxic to the aphid, are  
436 the mechanisms most likely to be responsible for the observed patterns. In  
437 principle, the crash in aphid population could be due to other factors such as  
438 the arrival of predators attracted by high aphid density [62] or the possible  
439 reduction of the phloem nutritional value due to plant ageing [6]. However, if  
440 the aphid population drop were driven by density dependent mechanisms, one  
441 would probably expect to observe fluctuations in the aphid population rather  
442 than a constant decline [63]. Moreover, in previous modelling works, it has  
443 been shown that observed population trends in different aphid species could  
444 be reproduced by considering a per capita death rate positively related to the  
445 aphid cumulative population size [65, 67, 66]. Such a relationship coherently  
446 emerges as a property of our model if the pest presence induces the plant to

447 produce defences that accumulate, and not if the phloem nutritional value  
448 declines throughout the season, independently from aphid presence.

449     Performing experiments to find correct numerical values for parameters  
450 of biological models is virtually impossible because many parameters can-  
451 not be directly measured. For this reason, we were forced to numerically  
452 calibrate nine parameters via our likelihood-based model fitting procedure.  
453 However, biologically plausible parameter estimates and good fitting does  
454 not guarantee that parameter estimates are correct, due to possible corre-  
455 lations among the parameters [68] and model identifiability problems that  
456 can arise due to an imbalance between model complexity and available data  
457 [69]. The proposed modelling framework would therefore enormously ben-  
458 efit from experimental works dedicated to the measurement, or at least a  
459 sound assessment, of some model parameters. Despite the importance of the  
460 parameter  $q$  in Thornley’s models, we found no studies on its assessment.  
461 Similarly, although it is well known that a plant can divert resources from  
462 growth to defence [70], we found no quantitative relationships relevant to  
463 the cost of making defences (parameter  $\alpha$  in our model) in terms of growth  
464 loss, neither between the presence of defences and pest performances. Our  
465 exercise provides a preliminary assessment of these parameters that need to  
466 be confirmed or confuted by dedicated field and/or laboratory works.

## 467 **The role played by fertilization and irrigation**

468 Variations in plant growth, and in the concentration of C and N substrates  
469 in plant tissues, for different levels of fertilization and/or irrigation are well

470 acknowledged [71, 52] and they have already been shown to be emerging  
471 properties of the original model for plant growth used in this work [31]. Our  
472 pest-plant model maintains these properties (Fig. 4A-B-C-D-E-F) and allows  
473 further insights regarding the variations observed in aphid population. The  
474 aphid population response to fertilization and irrigation has been explored in  
475 a number of empirical works not providing a straightforward picture. Some  
476 authors observed no effect of fertilization in the wheat-Russian wheat aphid  
477 system [72], or negative effects of irrigation in the apple-rosy apple aphid  
478 and in the cotton-cotton aphid systems, respectively [73, 74]. Other authors  
479 observed the highest aphid abundance at an average level of fertilization,  
480 and no effect of irrigation, in the chrysanthemum-cotton aphid system [75].  
481 The intrinsic rate of oat aphid population increase in three grass species  
482 was observed to be favoured by irrigation in [76]. On the other hand, aphid  
483 population was observed to be maximal for moderate water stress in the  
484 cabbage-green aphid and cabbage-cabbage aphid systems [17], and in one out  
485 of three genotypes tested for the poplar-wolly poplar aphid system [77]. Our  
486 model, parametrized for the peach-green aphid system, shows that all these  
487 apparently contrasting empirical evidences can emerge from the same bio-  
488 logical principles governing plant-pest dynamics and that both plant vigour  
489 and plant stress hypotheses can find support when observing a plant-pest  
490 system evolving in time and subject to different level of changes in the en-  
491 vironment conditions. The aphid population dynamics reproduced by our  
492 model (Fig. 5) indicate that the effect of fertilization and irrigation on the  
493 pest population cannot be simply reduced as positive or negative. In fact, its  
494 sign and strength depends on the considered levels of fertilization/irrigation

495 and on the date of observation along the growing season. The contribution  
496 of our work is to show how a new synthesis of the experimental data can  
497 emerge by using mechanistic modelling. The challenge for our future work is  
498 to show how this insight – as well as the model developed here – can be used  
499 to inform practical decision making by farmers and growers.

## 500 **Author Contributions**

501 D.B. conceived the study. D.B. and M.Z. designed and implemented the  
502 study. All three authors discussed the results and their implications through-  
503 out the study, and wrote the paper.

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## 506 **Data Accessibility**

507 Datasets for this research are included in Rousselin *et al.* [9] and are reported  
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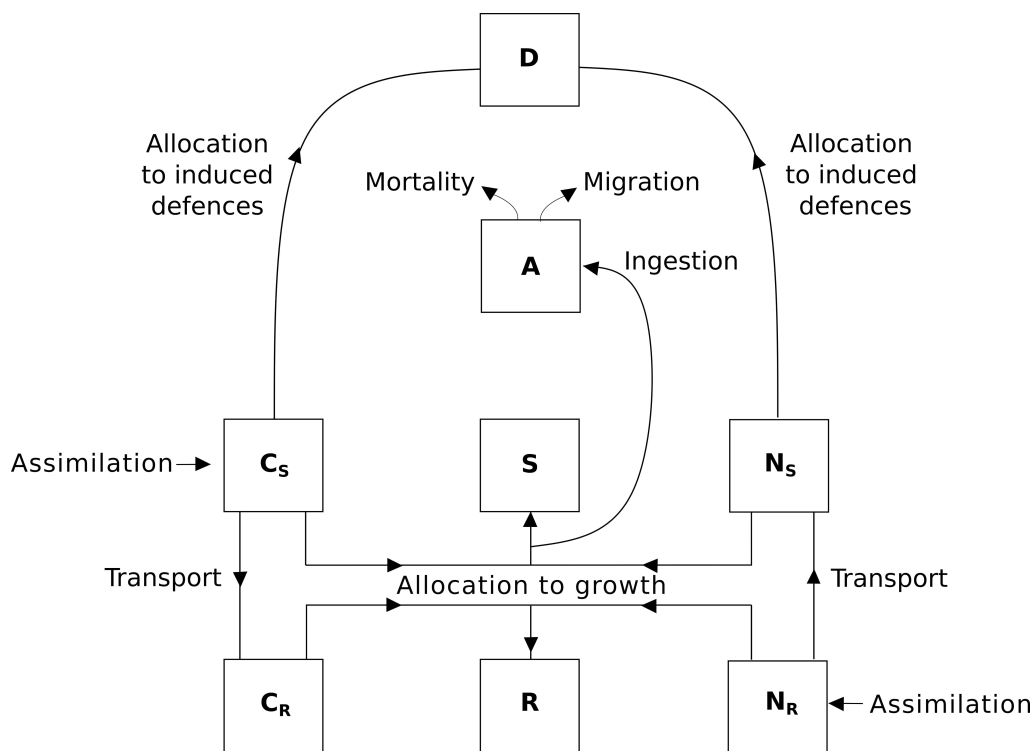


Figure 1: Schematic representation of the plant-aphid model where the plant is constituted by shoot (S) and root (R) structural dry mass, carbon ( $C_i$ ) and nitrogen ( $N_i$ ) substrates in shoots ( $i = S$ ) and roots ( $i = R$ ). The aphid population (A) intercepts a fraction of substrates allocated to constitute shoot structural mass and the plant diverts shoot substrates (carbon and nitrogen) to produce defensive compounds (D). More details are given in the main text.

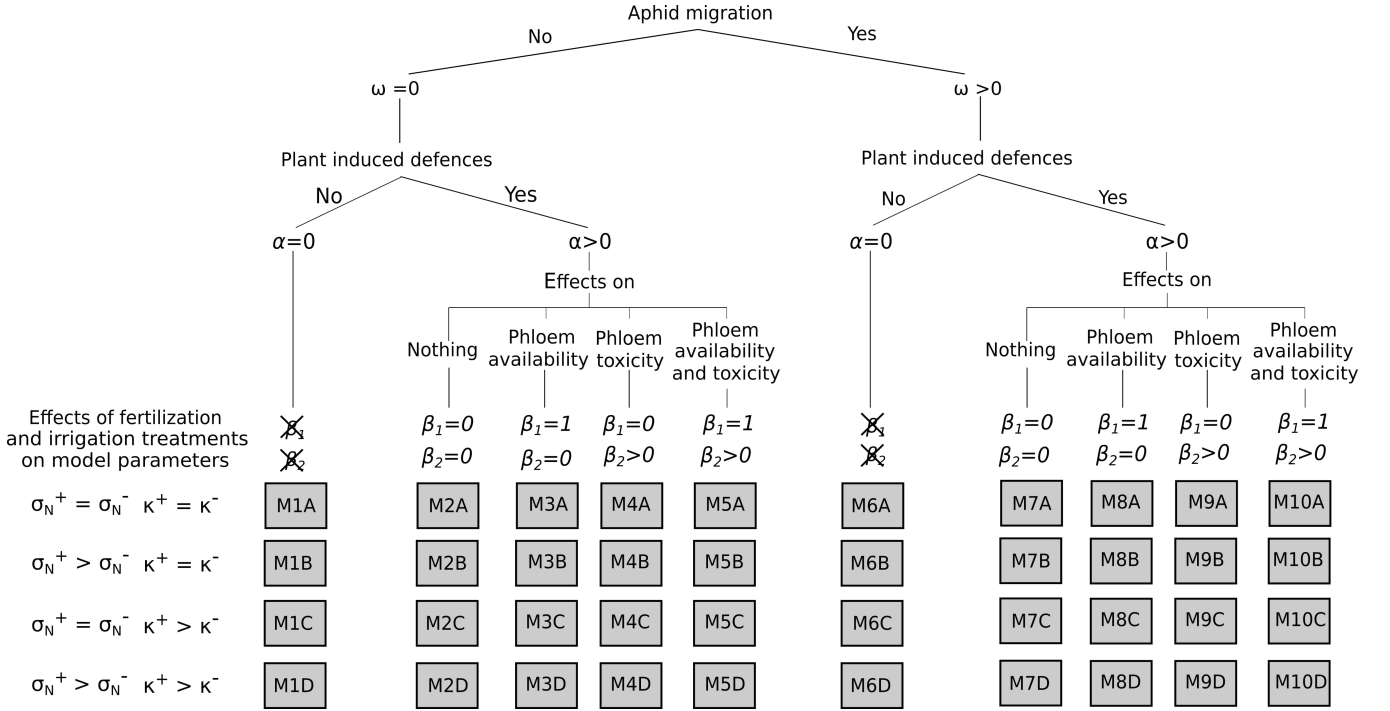


Figure 2: Schematic representation of the mechanisms considered in the different models  $Mi$  ( $i \in [1, 10]$ ) nested in eq.1: *i*) density dependent aphid migration ( $\omega$ ), *ii*) plant induced defences development ( $\alpha$ ) and *iii*) effect of induced defences on phloem availability to aphids ( $\beta_1$ ) and on phloem toxicity ( $\beta_2$ ). When the model parameter is set to zero, the relevant mechanism is ignored. Each model can be based on different hypotheses about the variation of the nitrogen assimilation rate  $\sigma_N$  (equal (A, C) or different (B, D) across fertilization treatments) and the substrates utilization rate  $k$  (equal (A, B) or different (C, D) across irrigation treatments)



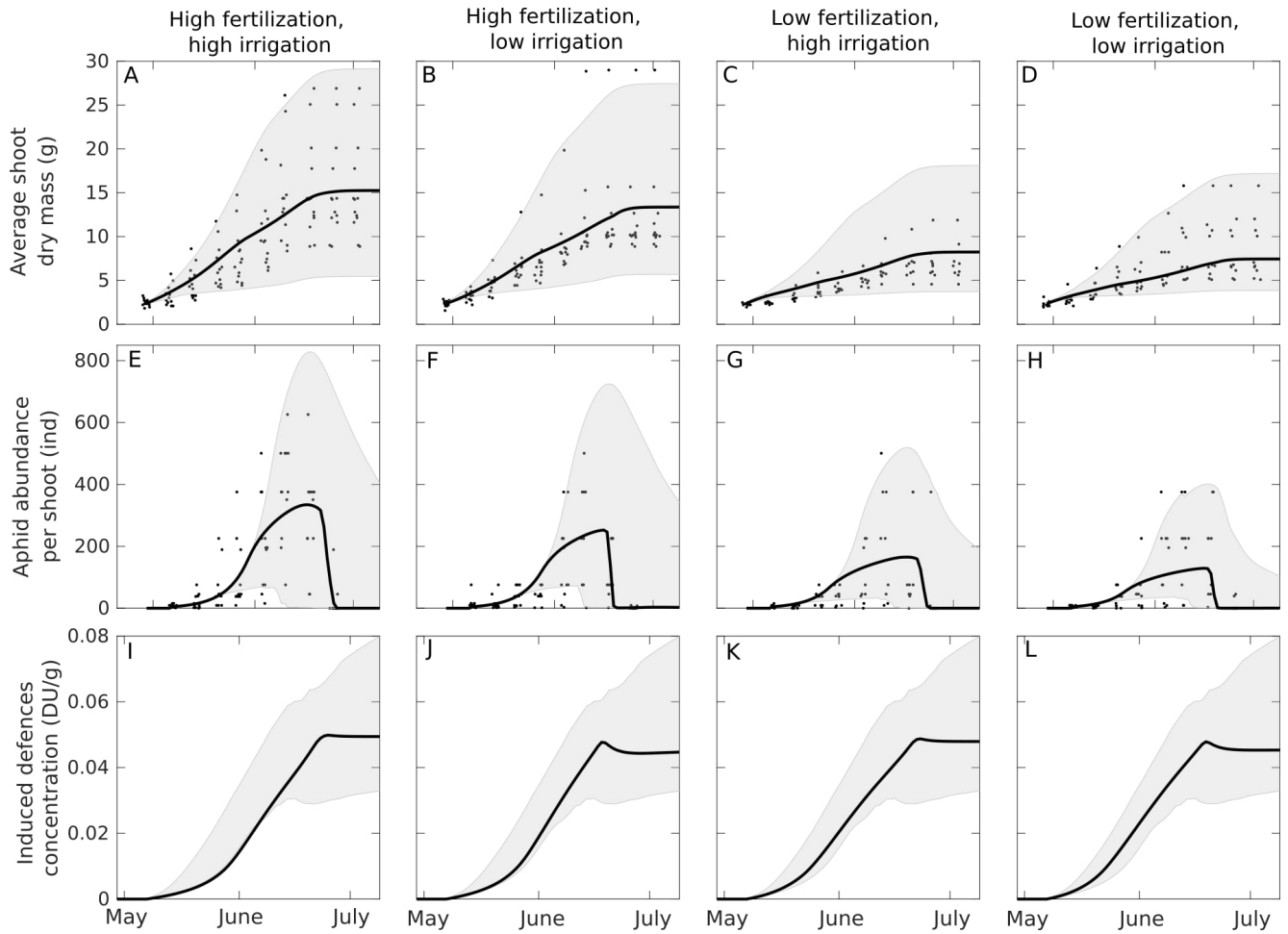


Figure 3: Observed (black points) and predicted (black lines) values of average shoot dry mass (top row), average aphid abundance per shoot (central row) and induced defences concentration (bottom row) under different fertilization and irrigation treatments: high fertilization and irrigation (A-E-I), high fertilization and low irrigation (B-F-J), low fertilization and high irrigation (C-G-K), low fertilization and irrigation (D-H-L). Grey shaded areas indicate the predicted 99% confidence bands.

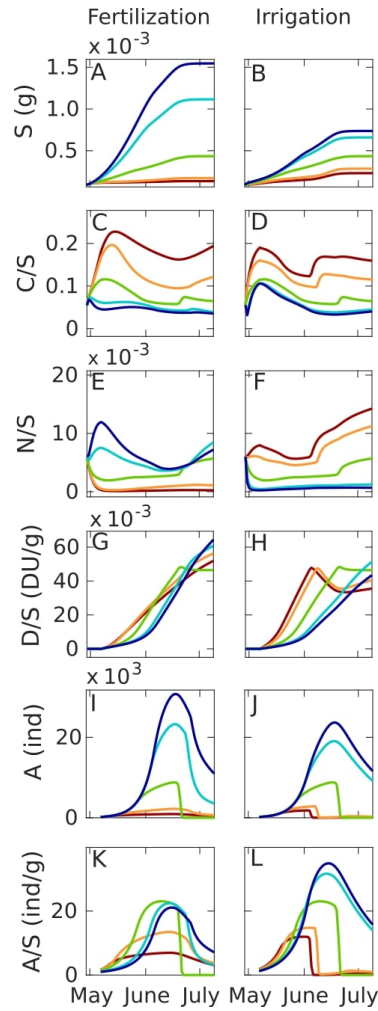


Figure 4: Simulated effect of fertilization (left column) and irrigation (right column) on the plant-aphid system: average shoot dry mass  $S$  (A, B), carbon  $C/S$  (C, D) and nitrogen  $N/S$  (E, F) substrate concentration in shoots, defences concentration in shoot  $D/S$  (G, H), aphid abundance  $A$  (I, J) and density  $A/S$  (K, L). Lines colour identifies fertilization (or irrigation) level: very low (red), low (orange), average (green), high (light blue), very high (blue).

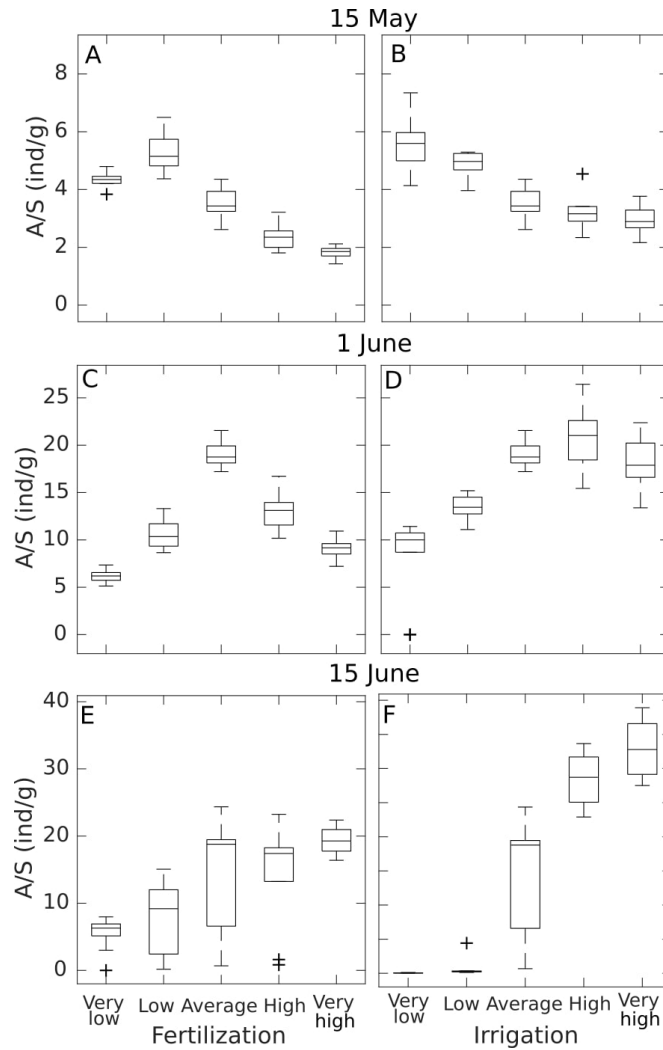


Figure 5: Simulated effect of fertilization (A, C, E) and irrigation (B, D, F) on aphids density on May 15<sup>th</sup>, June 1<sup>st</sup> and June 15<sup>th</sup>. Boxes represent the first and third quartiles [25% and 75%] with a line inside indicating the median of ten simulated replicates of each treatment. The whiskers extend  $\pm 1.5 \times$  the interquartile range (75th percentile – 25th percentile) from the third and first quartiles. Values outside the whiskers are considered outliers and plotted individually using the '+' symbol.

799 **Table**

Table 1: Model variables and parameters. For those parameters calibrated in the present work calibrated confidence interval of 99% are reported in brackets.

Variable	Dim.	Description	Source
S	g	Shoot structural dry mass	
R	g	Root structural dry mass	
$C_S$	g	Shoot carbon substrate	
$C_R$	g	Root carbon substrate	
$N_S$	g	Shoot nitrogen substrate	
$N_R$	g	Root nitrogen substrate	
$D$	DU	Plant induced defences	
$A$	ind.	Aphid population	
Parameter	Value	Description	Source
C and N assimilation			
$\sigma_C$	0.1	Assimilation rate of C	[33]
$\sigma_N$	6.70 - 17.08	Assimilation rate of N	Cal
$l$	1000	Shoot (root) mass halving substrate assimilation due to self shading (competition)	[33]
$l_C$	0.1	Semi-saturation C concentration	[33]
$l_N$	0.01	Semi-saturation N concentration	[33]
C and N substrates allocation to plant growth			
$\varphi_C$	0.50	Unit of substrate C per unit of structural dry mass	[33]
$\varphi_N$	2.50	Unit of substrate N per unit of structural dry mass	[33]
$k$	1.42 - 223	Maximum rate of substrate utilization	Cal
$\eta$	73	Switch-off function of plant growth: steepness	Fix
$\lambda$	169	Switch-off function of plant growth: date of equal partitioning between growth and reserves	Fix
Transport			
$q$	0.86	Plant architecture scaling parameter	Cal
Defences development			
$\alpha$	0.02	Allocation of substrates to defences per unit of aphid	Cal
$\varepsilon_C$	5	Conversion efficiency of C substrate in defences	[78]
$\varepsilon_N$	1	Conversion efficiency of N substrate in defences	[78]
Aphid			
$\theta$	1.12	Maximum food intake per aphid	[79]
$\xi$	171	Maximum conversion efficiency of ingested food into descendants	[80]
$\mu$	0.04	Aphid natural mortality rate	[81]
$\pi_1$	8.52	Switch-on function of defences protected phloem fraction: defences concentration at which defences effect is half-saturated	Cal
$\delta_1$	0.65	Switch-on function of defences protected phloem fraction: steepness	Cal
$\pi_2$	0.05	Switch-on function of defences induced mortality/repulsion rate: defences concentration at which defences effect is half-saturated	Cal
$\delta_2$	118	Switch-on function of defences induced mortality/repulsion rate: steepness	Cal
$\beta_2$	28	Switch-on function of defences induced mortality/repulsion rate: asymptotic value	Cal

Cal: Calibrated in the present work; Fix: Fixed in the present work, see SI.

<sup>a</sup>Values refer to different fertilization treatments.

<sup>b</sup>Values refer to different irrigation treatments

Table 2: Comparison among candidate models for the plant-aphid system. For each model we give its identifier ID (see text and in Fig. 2 for details); its complexity assessed by the number of calibrated parameters  $n_p$ ; its Akaike score  $AIC$ ; its  $\Delta AIC_i$  computed as the difference between its  $AIC$ ; and the lowest obtained from all the models i.e.  $AIC = 6519.0$ .

ID	$n_p$	$AIC$	$\Delta AIC_i$	ID	$n_p$	$AIC$	$\Delta AIC_i$
M10D	12	6519.0	0.0	M8A	7	6751.6	232.6
M5D	11	6520.8	1.8	M2D	6	6756.2	237.2
M8D	9	6570.8	51.8	M4D	9	6762.2	243.2
M10B	11	6576.1	57.1	M3C	7	6773.4	254.4
M5B	10	6590.5	71.5	M2B	5	6775.4	256.4
M3D	8	6624.5	105.5	M4B	8	6781.3	262.3
M7D	7	6628.4	109.4	M4C	8	6785.0	266.0
M6D	6	6632.1	113.1	M3A	6	6786.7	267.7
M9D	10	6634.2	115.2	M6C	5	6794.0	275.0
M3B	7	6641.5	122.5	M7C	6	6795.5	276.5
M8B	8	6641.9	122.9	M6A	4	6798.5	279.5
M7B	6	6646.4	127.4	M7A	5	6800.5	281.5
M9B	9	6651.6	132.6	M2C	5	6865.2	346.2
M6B	5	6696.0	177.0	M2A	4	6871.9	352.9
M9C	9	6708.6	189.6	M4A	7	6877.0	358.0
M10C	11	6712.6	193.6	M9A	8	6878.7	359.7
M8C	8	6721.6	202.6	M1B	4	7216.0	697.0
M5C	10	6727.9	208.9	M1D	5	7228.4	709.4
M10A	10	6742.9	223.9	M1A	3	7241.7	722.7
M5A	9	6746.8	227.8	M1C	4	7262.4	743.4