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Parker, P.J. and Brown, S.J. and Calleja, V. and Chakravarty, P. and Cobbaut, M. and Linch, M. and Marshall, J.J.T. and Martini, S. and McDonald, Neil Q. and Soliman, T. and Watson, L. (2021) Equivocal, explicit and emergent actions of PKC isoforms in Cancer. Nature Reviews Cancer 21, pp. 51-63. ISSN 1474-175X.

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Equivocal, Explicit and Emergent Actions of PKC isoforms in Cancer

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Abstract

The maturing mutational landscape of cancer genomes, the development and application of clinical interventions, and evolving insights into tumour-associated functions, reveal unexpected features of the protein kinase C (PKC) family of serine/threonine protein kinases. These advances include recent work showing gain or loss-of-function mutations relating to driver or bystander roles, how conformational constraints and plasticity impact this class of proteins and how emergent cancer-associated properties may offer opportunities for intervention. The profound impact of the tumour microenvironment, reflected in the efficacy of immune checkpoint interventions, further prompts to incorporate PKC family actions and interventions in this eco-system, informed by insights into the control of stromal and immune cell functions. Drugging PKC isoforms has offered much promise, but the when and how is not obvious.

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[H1] Introduction

The protein kinase C (PKC) family of serine/threonine protein kinases, comprising the 'classical' PKC (cPKC), 'novel' PKC (nPKC), 'atypical' PKC (aPKC) and PKN

subfamilies, are one of the defining families of the AGC kinase class¹. They retain a modular structure, consisting of domain permutations in their N-terminal regulatory regions, linked via variable sequences to highly conserved C-terminal kinase domains². In *Saccharomyces cerevisiae*, the singular *PKC1* gene encodes a protein retaining domains characteristic of the greatly expanded mammalian family³. A subset of PKCs (cPKCs, nPKCs) are responsive to the second messenger 1,2-diacylglycerol [G] (DAG) and feature in many signalling cascades downstream of the broad class of phosphoinositide-specific phospholipases (reviewed⁴), which are themselves linked to a spectrum of G-protein and tyrosine kinase associated receptors (see⁵). Other family members respond directly (PKNs) or through partner proteins (aPKCs) to membrane active, small G-proteins, downstream of the exchange factors that control them (recently reviewed⁶).

The potential impact of PKCs on cancer has been the subject of extensive investigation, greatly influenced by the pioneering work from Nishizuka's laboratory that identified 'PKC' as a target for certain tumour promoters⁷. What has emerged in the intervening decades informed by cancer genomics, *ex vivo* studies and *in vivo* models, is a complex picture that presents practical and conceptual challenges to the field. Here, we will provide an overview of PKC functional attributes, elaborating on properties that influence target validation in cancer. The review will then focus on cPKC and nPKC families as DAG and/or tumour promoter responsive kinases, discussing promoter and suppressor activities in experimental studies and associated with cancer genomics. Finally, we comment on PKC pharmacology and clinical trials. To note, there are over 12,000 publications in the PKC-cancer area and not all will be referenced, rather exemplars of critical findings and commentaries will be featured, so we beg indulgence of those in the field who have contributed greatly, but are conspicuous by their absence.

[H1] Regulation and function of PKCs

[H2] Turning PKCs on

Canonical activation of cPKCs, which include PKCα, β and γ and nPKCs, which include PKC δ , ϵ , η and θ , involves the binding of membrane resident DAG, inducing conformational changes and the release of the autoinhibitory pseudosubstrate site, triggering catalytic activity-dependent downstream events⁸ (Figure 1A). A similar conformational principle operates for aPKCs, which include PKCζ and ι, albeit effected physiologically through the protein binding of CDC42 and PAR6 or p629 to their regulatory domains in a spatially constrained manner (see recent review¹⁰). A related scenario pertains to the activation of proteins of the PKN subfamily, which include PKN1-3, responding to RHO or RAC¹¹, however this is likely complicated by autoinhibitory dimerization in the basal state as reported for PKN2¹². In all cases the membrane recruited PKCs take on a de-inhibited, open conformation, competent to phosphorylate substrates and associate with conformation-dependent partners 13,14. There is the potential for dissociation from the membrane of scaffold-bound, active PKC, but evidence for this is scarce¹⁵. Experimentally, cPKC, aPKC or nPKC isoforms can be expressed as open-conformer, gain-of-function mutants through mutation of the gene regions encoding their autoinhibitory pseudosubstrate sites (see¹⁶).

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The catalytic potential of PKCs is dictated by 'priming' phosphorylations in their catalytic domains that are largely conserved in AGC family members and executed by common PDK1 and mTORC2 pathways¹⁷⁻²⁰ (see animated model for PKCε; supplementary video). Autophosphorylation of the hydrophobic priming site has been proposed also (reviewed in²¹), but this does not appear to dominate behaviour in cells²². Integrity of the kinase domain for priming is nevertheless a necessity, requiring competence to bind nucleotide which acts to protect the phosphorylated kinase domain from dephosphorylation ²²⁻²⁴.

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Open PKC conformers are required for the upstream kinases to act upon them, for example, the action of PDK1 on PKN1 requires RAC and/or RHO in cells²⁵ and similarly PKC recruitment to membranes appears critical for PDK1 input (reviewed in²⁶). PKC kinase domain priming phosphorylations are typically retained under autoinhibited conditions, such that PDK1 and/or mTORC2 activity is not required to impact short-term actions. Acute inhibition of these upstream kinases has limited

effect on PKC isoform phosphorylation, however knock-out of the gene encoding PDK1 has a more profound effect²⁷, as does prolonged inhibition of mTORC2 function²⁰. This relative stability of priming phosphorylation contrasts starkly with the related AGC kinases of the AKT-PKB family (see²⁸) and makes these priming modifications poor readouts of PKC activity (see 2.3 below).

PKCs are basophilic kinases²⁹ with overlapping substrate recognition as demonstrated in *drosophila*³⁰ and in mammalian cells³¹. This overlapping specificity has profound functional consequences as evident in a double *Prkce* (encoding PKCε) and *Prkcd* (encoding PKCδ) knockout mouse, which is embryonic lethal, while neither individual knockout displays a developmental phenotype³². Beyond their intrinsic specificities, many isoforms have extensive interactomes, associating with scaffolds and partners that impact localisation (reviewed^{13,14}) as well as substrate docking, as documented for aPKC³³.

[H2] Turning PKCs off

The inactivation of PKC is in part dictated by the loss of the typically transient triggers that switch them on. Metabolism of DAG will lead to membrane dissociation of cPKC or nPKC, then the regulatory domain will re-associate with the catalytic domain through interaction between the pseudosubstrate and substrate binding pocket ³⁴ and likely other inter-domain interactions³⁵, leading to the accumulation of the primed, latent protein in the cytosol. Beyond this simple reversal of activation, activation-associated downregulation of PKC protein levels has been characterised for the DAG-responsive cPKC and nPKC isoforms. However, how acute or chronic activation impacts regulation of protein levels of aPKC and PKN isoforms is not clear. Downregulation of PKC isoform protein levels is associated with cell-type specific patterns of endomembrane trafficking, dephosphorylation, ubiquitination and degradation of the respective PKC isoform (Figure 1B). The extent to which one or other degradative pathway dominates, the activity of specific protein phosphatases, E3 ligase(s) and endocytic requirements, reflects the cell model and the PKC isoform that is affected.

Activation-induced downregulation of PKC α protein levels was originally linked to degradation of PKC protein³⁶. Subsequently, evidence indicated that PKC downregulation (α , δ , ϵ) was associated with ubiquitination³⁷⁻³⁹ and also with dephosphorylation and caveolin-dependent endocytosis^{40,41}. Two distinct pathways acting in parallel were later reported for PKC α , one involving the ubiquitination of plasma membrane active, primed protein and its degradation through the proteasome; the second engaging caveolin-dependent traffic and non-proteasomal degradation⁴². Two separate endocytic pathways were reported by Lum and colleagues⁴³ and the sequential operation of cholesterol-dependent endocytosis of ubiquitinated PKC α with delivery to the proteasome provides yet another route to downregulation⁴⁴.

Various E3 ligases have been proposed to drive PKC ubiquitination and proteasomal degradation in different contexts, including RINCK, LUBAC and MDM2⁴⁵⁻⁴⁷. Interestingly, the LUBAC complex preferentially bound activated cPKC, consistent with the observed activation-induced ubiquitination⁴⁷. Contrasting with these emerging players, molecular details of membrane traffic-dependent, non-proteasomal degradation are limited.

Priming site dephosphorylation of PKCs is a prelude to degradation in many contexts. In the inactive state, PKC priming site dephosphorylation is limited by the interaction between the regulatory and catalytic domains as indicated by the finding that the phosphatase PHLPP1 suppresses the accumulation of primed PKCβ when there are mutations in the inhibitory pseudosubstrate site⁴⁸. In the membrane-associated active state, dephosphorylation is governed by nucleotide pocket occupation²². cPKCs may require peptidyl-prolyl isomerisation of the turn motif priming site (phosphoThr-Pro) by PIN1, to enable dephosphorylation and ubiquitination⁴⁹. The often transient nature of DAG production physiologically means that under many circumstances, activation-induced dephosphorylation may have a limited impact on cPKCs and nPKCs. However there are contexts in which dephosphorylated PKCs accumulate, reflecting either reduced action of upstream kinases or the increased dephosphorylation of primed PKCs under conditions of protection from degradation (see for example^{40,50,51}).

[H2] Challenges for target validation

Consideration of PKC isoforms as drug targets sits squarely with the generic demands of any intervention programme – what is the clinical evidence for action or inaction playing a critical role in a given disease setting and what is the expectation of a suitable therapeutic index? For cancer patients, target validation draws in part upon the evidence of observed somatic changes impacting function (Section 4), transcriptional/protein level changes that also may reflect gain or loss of function and evidence of downstream pathway dysregulation. For PKC genes, like any other, this patient-derived data needs interpretation in the context of our understanding of the intrinsic isoform properties, their physiological roles and experimental tumour models (Section 3).

A substantial gap in addressing these validation issues is the lack of biomarker evidence that speaks to PKC (in)activation in tumour settings (see Figure 2A). In an experimental context, isoform activation has been monitored through rapid fractionation protocols (e.g.⁵²), fluorescently tagged isoforms as initially reported by Saito and colleagues⁵³ and direct compartment-directed activity monitors⁵⁴. However these approaches do not lend themselves to pathology. As detailed above, the levels of priming phosphorylations required for function do not typically correlate with levels of activation and chronic activation can actually induce dephosphorylation and degradation. Thus, measurements that are related to PKC protein levels do not of themselves provide insight into pathway function.

Intramolecular events have been investigated as activation markers, specifically autophosphorylation $^{55-58}$. This has been exploited in pathological samples for PKC α using imaging methodologies not easily adapted to routine use 56 . It also transpires that in cells, "autophosphorylation" for PKC ϵ while potentially dependent upon membrane recruitment and conformational activation, is executed in *trans*, limiting biomarker utility 57 .

There is a wealth of data on higher or lower levels of expression of PKC isoforms in cancers (Supplementary Figure 1), but this is not coupled to defined downstream events that provides insight into (in)action. For these highly regulated signalling proteins that do not themselves appear to signal via concentration-dependent oligomerisation (aPKC might be an exception in some circumstances 59), variations in expression alone may not impact signal output without other contributing factors that influence signal input or downstream signal termination. Is the increased expression of PKC1 and ζ , and the reduction of PKC β and θ meaningful in pancreatic ductal adenocarcinoma and is a reverse functional interpretation valid for the inverse pattern of expression reported for renal clear cell carcinoma (Supplementary Figure 1), or are these in fact bystander transcriptomic changes that reflect programming within the tumour, which might be prognostic signatures, but do not assert gain/loss-of-function?

Ultimately, understanding the context-dependent molecular mechanisms of PKC isoform action will provide the much-needed biomarkers that give insight into pathway operation in tumours and pharmacodynamic biomarkers for trials; specifically, pathophysiological mechanisms, which do not always reflect amplified or muted physiology. This is well exemplified by PKC1 which is an established regulator of cell polarity, a property considered tumour suppressive and characteristically lost in transformed cells⁶⁰. PKC1 operates in a sweet spot to control polarity, too little or too much activity prevents polarisation; this is not a concentration dependent titration of interacting partners, but a property that can be reversed by catalytic inhibitors⁶¹. Such behaviour likely underlies the aPKC suppressor - promoter question (see Box 1).

[H1] cPKC and nPKC in tumour models

Against a backdrop of cPKC and nPKC roles as mediators of downstream signalling for tumour growth promoting signals, or tumour promoters, numerous cell transformation and *in vivo* mouse models have been assessed for the tumour promoters' dependence upon PKC family members. This has created a varied and sometimes conflicting profile of promoter and suppressor actions.

[H2] Phorbol ester-mediated tumour promotion

In mouse skin pretreated with a sub-threshold dose of a carcinogen such as DMBA, phorbol esters will promote the formation of papillomas followed by conversion to overt carcinomas on continued exposure (reviewed in ⁶²). The initiation event is stable, requiring DMBA metabolism to a genotoxic form (reviewed in ⁶³) and it has been established that this genotoxic form frequently induces *Ras* mutations ⁶⁴. The tumour promotion process elicited by phorbol esters itself has multiple stages, with an irreversible first step, a chronic phase that is at least initially reversible and a progression phase that is irreversible ⁶³. Phorbol esters represent only one class of tumour promoters and impact both the presumptive tumour as well as the tumour microenvironment where a clear inflammatory driver is involved ⁶⁵.

The DAG-responsive cPKC and nPKC isoforms are the founding members of the class of targets for the phorbol esters^{7,66}. Molecularly, phorbol esters act in a membrane context by mimicking DAG to engage the C1 domains of cPKCs and nPKCs causing activation⁶⁷. Underlining their importance as targets, structurally unrelated tumour promoters also act on PKCs, including mezerin, teleocidin and aplysiatoxin⁶⁸⁻⁷⁰. However, not all tumour promoters in this particular mouse skin model target PKC; additional targets of mouse skin tumour promoters include the ER Calcium-ATPase (the target of thapsigargin)⁷¹ and protein phosphatase 1/2A (inhibited by okadaic acid⁷²).

Phorbol ester-mediated downregulation of PKC protein levels in the mouse skin promotion model has been documented ^{73,74}. That phorbol esters induced acute activation of PKCs, followed by chronic downregulation of PKC protein levels, begs the question of whether cPKC and nPKCs in this context function as oncogenic drivers and/or as tumour suppressors. This complexity in interpreting causation is heightened by two further considerations. Firstly, PKC isoforms are not the only C1 domain containing proteins in the human genome (discussed in⁷⁵) and although not all C1 domains bind phorbol esters with high affinity, the tumour promotion response to these C1-binding promoting agents is likely a complex pattern of action on multiple targets. Secondly, the behaviour of these initiation-promotion models reflects an interplay of both the somatically altered target cell (e.g. H-RAS mutant target cell⁶⁴)

and the inflammatory cellular environment elicited by these promoters (discussed⁶⁵). Notably, PKC isoforms and other tumour promoter targets are expressed both in the emerging tumour and in the infiltrating inflammatory cells, stroma and vasculature, questioning the combinatorial nature of C1 domain protein engagement in these individual cell types and making resolution of essential promoter or suppressor actions more difficult to dissect.

[H2] Distinguishing suppressors and promoters

Constitutive knock-out of genes encoding PKC isoforms in mice (all are viable except *Prkci* or *Pkn2* knockout mice^{76,77}) do not predispose to cancer in the manner of a classic tumour suppressor (e.g. p53 or APC), although in *Prkca*^{-/-} mice, an increase in spontaneous colorectal lesions has been reported⁷⁸. The impact of changes in PKC activity or expression has been assessed more widely in mouse models of cancer in the context of other treatments/driver mutations and here, gain-of-function and loss-of-function alterations indicate a mixed pattern of behaviours, as exemplified below.

For *Prkca*, transgenic expression in the basal layer of the epidermis sensitises to phorbol ester driven inflammatory responses and to papilloma-carcinoma conversion in mice^{79,80}. However, in the *Prkca* knock-out mouse, while the absence of PKCα reduces the inflammatory response to phorbol ester promotion, the knock-out also leads to enhanced tumour formation⁸¹. These somewhat contradictory observations likely reflect the complex interplay of diverse cellular responses and that the altered PKCα expression impinges on different cell types in these models. In the *Apc*^{+/Min} mouse model of CRC, *Prkca* knock-out increases tumour growth rate and aggressiveness but not incidence⁷⁸. It would be of interest to determine whether this effect of PKCα deficiency is dependent on its specific loss in the follicle-derived tumour cells or impacts through the microenvironment.

A tumour suppressive role for PKCδ has been reported⁸². In the mouse skin promotion context, transgenic expression of *Prkcd* has a selective effect in suppressing phorbol ester induced tumour formation but not that promoted by UV⁸³, suggesting that there are distinct PKCδ dependent and independent signalling

pathways operating in this model. It would be informative to determine whether the phorbol ester effect (i.e. PKCδ activation) is dominant over UV action when co-administered in this model. Knock-out of *Prkcd* in mice leads to a lymphoproliferative response with altered B-cell self-tolerance^{84,85}. Interestingly, in a patient with an autoimmune lymphoproliferative syndrome-like disease, a mutation in *PRKCD* was identified associated with a substantial loss of protein expression⁸⁶. The lymphoproliferation phenotype of this germline alteration indicates specificity in the wiring of B-cell controls, with PKCδ acting in a tolerogenic, physiological feedback to promote B-cell anergy and in this cellular context to be proliferation-suppressive. However, suppressive actions cannot be attributed exclusively to PKCδ as shown in an MMTV-ErbB2 transformation model [G] ⁸⁷ and also in urethane-induced lung tumours in mice⁸⁸ where PKCδ plays promoting roles.

[H2] Tumour or microenvironment action

The extent to which PKC activation or absence impacts the stroma, the innate, or the adaptive immune system, is germane to defining promotion and/or suppressor functions. These latter terms typically refer to the tumour autonomous behaviour and not to the tumour microenvironment (TME) dependencies, however experimentally we do not often distinguish the site of action.

Many isoforms control aspects of immune cell function. PKC β is known to influence B-cell responses in mice⁸⁹ and was recently shown to regulate mTORC1 signalling in mouse B-cells, influencing gene expression and metabolic reprogramming⁹⁰. PKC α regulates T-cell dependent interferon production and B-cell IgG2a/b class switching⁹¹; PKC ϵ influences T-cell differentiation⁹² and macrophage function⁹³. PKC θ regulates T-cell receptor induced NFAT and NF κ B activation^{94,95} and prevents stabilisation of regulatory T-cells (Tregs)^{96,97} supporting tumour immune recognition. Conversely, PKC η associates with cytotoxic T-lymphocyte-associated protein 4 (CTLA-4) at the Treg immune synapse enabling immune suppression⁹⁸. This likely relates to the reported tumour suppressive effects of PKC η ⁹⁹ and its broader regulation of adaptive and innate immune cell functions^{100,101}.

The influence of PKCs on immune cells and more generally the TME, questions where experimental organismal inactivation impacts tumourigenesis and there are few examples where this issue has been addressed directly. In the MMTV-PyMT model of breast cancer, PKC β has been found to promote tumour formation ¹⁰². Allograft of an MMTV-PyMT tumour (PKC β replete) into a Prkcb^{-/-} recipient mouse has shown that the requirement for PKC β for tumour growth in this model operates through its expression in tumour-associated cells ¹⁰². A similar tumour conducive effect of PKC β in stroma has recently been described in a model of B-cell malignancy ¹⁰³.

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Allograft experiments have shown that the seeding of melanoma-derived lung tumours is compromised in *Pkn3* knockout mice¹⁰⁴, consistent with the siRNA-mediated knock-down of *Pkn3* inhibiting metastasis in vivo¹⁰⁵, although contrasting with the tumour-directed effects observed for *Pkn3* knockdown in an orthotopic prostate cancer mouse model¹⁰⁶.

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Evidently the vasculature and tumour niche can be impacted by PKC isoform (in)action and this may also contribute to the distinctive responses observed with C1 domain targeting PKC activators employed clinically (see below), the bryostatins [G] and epoxytiglianes [G]. The bryostatins are PKC activators 107 with a contextdependent, variable ability to invoke PKC downregulation in cell culture 108,109. Remarkably, bryostatin 1 can protect from phorbol ester-induced tumour promotion¹¹⁰. The target cell type(s) that mediate this tumour suppressive behaviour is not known. Using intratumoural injection, the PKC activators belonging to epoxytiglianes has been shown to have efficacy in treating mouse cancer models¹¹¹ and also in treating canine mast cell tumours 112. As such, tigilanol tiglate has been approved for the treatment of canine mast cell tumours by the European Medicines Agency (EMA). Intratumoural injections produce high local concentrations and the extent to which the responses to epoxytiglianes are PKC-dependent rather than acting through other C1 domain targets and physicochemical effects remains to be seen. It is also noted that there is evidence of vasculature targeted effects for the haemorrhagic necrosis observed in response to tiglianes¹¹¹.

[H1] PKC gene mutations in cancer

The mutational landscape of human cancer, has provided some profound insights into drivers of disease exemplified by the penetrant mutation of *BRAF* in melanoma¹¹³. For PKC genes there is a spectrum of patient specific, private mutations [G] across cancer genomes and some rare penetrant mutations.

[H2] Private mutations in PKCs

Recent studies have addressed the breadth of mutations found in PKC genes in human cancers and concluded that these proteins play a suppressive role (reviewed in 21). Direct analysis of a number of the private *PRKCB* mutations indicated that they are loss-of-function mutations and one studied in detail (A509T) was shown to be dominant, rationalising the heterozygous nature of these mutations 114 . The reversion of this *PRKCB*A509T mutation in a naturally occurring cancer cell setting (DLD1 colon cancer cells) and the associated tumour growth rate reduction, supports a tumour suppressive role of PKC β and reinforces the idea that specific genetic context is critical in these functional assessments.

While consistent with a tumour suppressor role, the penetration and pattern of these diverse PKC mutations begs the question of whether, in patients, these are bystander events or contributors to disease and/or disease progression. The penetrance of cancer-associated mutations for *PRKCA* (encoding PKCa) is similar to non-synonymous mutations seen in correspondingly sized genes from the clotting cascade (for example, genes encoding Protein S and Protein C, based on data from cBioPortal). Aggregating data from fifteen tumour groups, there is no significantly greater frequency of non-synonymous mutations in *PRKCA*, that would reflect a selective advantage, nor is there any pattern of mutational change that indicates a tissue specific behaviour, rather a higher incidence for one gene in a particular tumour type reflects a higher incidence for all genes. So, is there mutation selection or are these bystander events? This remains to be resolved and will require further analysis alongside a wider assessment of the dominance or recessive behaviour of these heterozygous mutations that are predicted to confer a loss-of-function.

[H2] cPKC mutations in rare cancers

High penetrance somatic variants provide robust evidence for their role in diseases.

For PKC this is a small collection of smoking guns with just two relatively rare tumour types where cPKC gene mutations are highly penetrant, ATLL and chordoid gliomas.

The issue here is how we interpret the functionality of these somatic variants.

[H3] PRKCB mutation in ATLL

Adult T-Cell Leukemia Lymphoma (ATLL) is associated with HTLV-1 infection, a retrovirus endemic in certain areas of the world. The virus establishes lifelong latency in T-cells leading to an ATLL lifetime risk of 4-7% 115 . In a comprehensive survey of the ATLL mutational landscape, somatic changes were documented along the T-cell receptor (TCR)-NF κ B pathway, including frequent mutations in genes encoding phospholipase C γ (PLC γ ; 36%) and PKC β (33%) 116 . Mutations along this pathway have been predicted as gain-of-function mutations including those found in *PRKCB*; in the case of inhibitory inputs to this pathway, somatic changes have been assigned as loss-of-function providing a consistent view of pathway activation 116 .

The most penetrant ATLL mutation in PRKCB results in an amino acid substitution at D427 in the kinase domain (Figure 2B), typically D427N. Both the pattern of mutations in genes of the TCR pathway and the limited functional data available suggest that this D427 mutation is an activating mutation. Based upon homology modelling informed by a substrate peptide bound kinase domain structure of $PKC\iota^{117}$, it is inferred that the D427 residue lies proximal to the substrate binding pocket of $PKC\beta$, such that substitution may compromise binding of the autoinhibitory pseudosubstrate. While these interactions are not the totality of the regulatory domain-catalytic domain interface³⁵, it is the case that point mutations and deletions in the inhibitory pseudosubstrate sequence lead to a more active and open conformer [G] in cells³⁴. The implication is that as an open conformer, the mutated $PKC\beta$ is activated and/or downregulated (see above). This has yet to be resolved directly, although it has been reported for B-cells that $PKC\beta$ is required to support the NFxB pathway through CARD11 and IKK^{118} consistent with the gain-of-function analysis predicted in T-cells¹¹⁶. If $PKC\beta$ activation is causative in driving tumour

growth, might current PKC β directed drugs work? Not necessarily for this D427 mutation, as manipulation of the homologous region of PKC ι has been shown to influence substrate interactions¹¹⁹ and pharmacology¹²⁰.

The specific nature of these effects in PKCβ will require further analysis. It will also be of interest to understand whether this hotspot mutation is associated with a particular clinical course, segregating with one of the four ATLL subtypes originally defined¹²¹. Might D427 mutations generate unique actions distinct from that consequent to PLCγ gene mutation, or other ATLL-associated *PRKCB* mutations?

[H3] PRKCA mutation in chordoid glioma

Chordoid gliomas, are rare, slow growing, low grade tumours originating in the third ventricle of the brain¹²². Although well circumscribed, access and precise location mean surgical intervention can be associated with a high risk of morbidity¹²³. Notably, in two recent publications, it was found that there was an essentially fully penetrant, heterozygous mutation in *PRKCA* associated with these tumours^{124,125}. This consistent D463H mutation is at the highly conserved aspartate residue that is responsible for positioning the incoming substrate sidechain hydroxyl and is a residue essential for catalytic activity as originally defined for the analogous aspartate 166 residue in PKA¹²⁶ (Figure 2B).

At face value, the chordoid glioma-associated mutation in PRKCA is a simple, dominant loss-of-function mutant. This is supported by the predicted loss of catalytic potential, reduced half-life and altered subcellular distribution of the D463H mutant¹²⁴. There are four considerations that suggest this is an over-simplistic interpretation. Firstly, there are many routes to a loss-of-function in these proteins and the singular mutation identified in these chordoid tumours (always histidine to date) clearly does not reflect an entirely random process. Secondly, it is known that mutations at this aspartate residue of $PKC\alpha$ and the equivalent in other family members, whilst blocking catalytic activity, serves to maintain kinase domain conformation, as judged by priming phosphorylations; this contrasts with the experimentally more commonly used kinase inactivating mutation at the conserved lysine 368 residue²². The implication is that the D463H mutation will specifically (but

possibly not uniquely) permit a retention of conformation and priming site phosphorylations in the absence of activity. Thirdly, whilst acknowledging the limitations of mouse models for slow growing tumours, tumour formation in the central nervous system (CNS) of *Prkca* knock-out mice has not been reported ¹²⁷. Evidently simple loss-of-function is not a tumour driver or mice are poor surrogates of humans in this context. Finally, there is an interesting precedent set for distinctive scaffolding behaviour of PKCα in another CNS tumour. In glioblastoma cell models, PKCα expression is associated with protection from apoptosis, with survival compromised on inhibiting expression below a threshold level ¹²⁸. This behaviour is not phenocopied by catalytic site inhibitors, but is blocked by the C1 domain directed inhibitor Calphostin C. These observations suggest that PKCα plays some scaffold role in a survival pathway independent of catalytic activity ¹²⁸.

It appears that in chordoid glioma, one allele of *PRKCA* encodes a catalytically incompetent enzyme, but one which may retain partner interaction capabilities. This may be a dominant effect on the wildtype protein encoded by the second allele or related to pathway operation through scaffolding functions. A definitive view on gain or loss-of-function and their effect on tumour growth will be derived from unravelling mechanism(s), which in turn should inform on interventions in this difficult to treat disease – either way, the potential drug candidate is unlikely to be a catalytic inhibitor of PKCa.

[H1] Emergent dependencies and their origins

[H2] PKC and cell cycle controls

Echoing what is described above regarding the landscape of PKC action in transformation, there is a related complexity to the reported actions of PKC isoforms across the breadth of cell cycle controls (reviewed in ^{129,130}). This complexity is particularly well illustrated in the review from Black and Black where the positive and negative proliferative impacts of PKC family members and their cell-type specific behaviours are clearly illustrated ¹²⁹.

With respect to cell cycle entry (G0>G1 transition) of arrested cells in culture, a great deal of evidence exists for the engagement of PKC isoforms in response to growth factor and hormone action (Figure 3A). However, excepting some haematopoietic cell types¹²⁹, there is little clarity over whether these specific responses play out as critical to cell cycle progression in vivo, reflected in the generally normal development of individual PKC gene knock-out mice (see above). Belying this developmental normality of murine knockouts, there is published evidence for the involvement of specific PKC isoforms in aspects of cell cycle progression including both positive effects on CDKs via the inhibitor p27kip1 131 and negative effects on CDKs as observed for PKCn association with the CyclinE-Cdk2-p21 complex acting via p21¹³² (Figure 3B). There are also observations relating to the organisational requirements associated with cell cycle progression as reported for the DAGdependent disassembly of nuclear lamin B1 during the cell cycle¹³³, consistent with the observation that lamins are targets for PKC ^{134,135}, although it is noted that DAG also modifies intrinsic membrane behaviour associated with nuclear envelope formation 136. The extent to which these influences of PKC on cell cycle progression reflect the nutrient rich, over-indulged, stressed and/or transformed state of the cell culture models remains to be determined. However, it would provide a rationalisation of observations if for example the controls exerted on CDKs reflected responses to covert stress inherent in cell culture models. This brings us to the third class of controls where stress is definitively involved.

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[H2] PKC ε dependency in transformed cells

There is an emergent property associated with PKC ϵ that is linked to a distinct subset of transformed cells¹³⁷. This manifests as a requirement for PKC ϵ to alleviate the threat of sister chromatid non-disjunction in these particular cells (Figure 3C). The subset of transformed cells where PKC ϵ is engaged has been defined experimentally as those cell types that do not arrest in G2 in response to the Topoisomerase 2α [G] (Topo 2α) catalytic inhibitor, ICRF193¹³⁸. This arrest pathway has long been known, but until recently there was a somewhat limited description of its requirements^{139,140}.

When prompted, the failure of this G2 arrest leads to engagement of PKC ϵ where it influences prometaphase-metaphase transition ¹⁴¹, the metaphase-anaphase transition ^{137,142} and finally the abscission checkpoint ¹⁴³. During transit through M-Phase, PKC ϵ exerts control on centrosome separation ¹⁴¹ as also reported for PKC β II ¹⁴⁴. At the metaphase-anaphase transition and at cytokinesis, PKC ϵ has been shown to act via phosphorylation of Aurora B ^{142,143}. In both contexts, the PKC ϵ phosphorylation of Aurora B at S227 switches its specificity towards critical sites on Topo2 α and Borealin [G] ¹⁴³. The engagement of PKC ϵ in these cancer genome protective processes suggests that PKC ϵ offers an interventional opportunity in the context of a subset of tumours – defining which tumours should be tractable through mechanism-specified biomarkers.

[H1] The PKC pharmacopoeia and cancer trials

There is a long history of small molecule inhibitors of PKC dating back to the mid-80's and the work of Hidaka and colleagues who recognised the drugability of kinases¹⁴⁵. Many chemotypes followed over the years including the notoriously non-specific indolocarbazole, staurosporine¹⁴⁶ and the somewhat more selective bisindolylmaleimides¹⁴⁷, alongside many other inhibitors as reviewed elsewhere^{148,149}. There are also multiple pharmacological activators of cPKCs and nPKCs as noted above, including the tiglianes¹⁵⁰ and bryostatin 1¹⁵¹; these agents as well as a number of catalytic site inhibitors have been used clinically with broadly but not exclusively disappointing outcomes.

[H2] Drugs, trials and tribulations

The extent to which there is a need for exquisite drug specificity is moot, however for targeted therapeutics the line of sight into the clinic is inevitably focused through the lens of the target. For PKC there have been some significant specificity challenges, clouding interpretation of many preclinical and clinical studies exploiting the PKC inhibitor inventory. This is reflected in the wealth of literature around the effects of 'PKC inhibitors' such as rottlerin and chelerythrine which actually target other cellular functions (see recent examples 152,153). For the staurosporine derivative midostaurin

(PKC412) originally developed as a more selective PKC inhibitor¹⁵⁴, the evolving clinical history has led to US Federal drug administration (FDA) approval for its use in AML, albeit through its action on FLT3 (reviewed¹⁵⁵). There are a variety of trials investigating PKC412 in <u>AML</u> and <u>MDS</u>. A second staurosporine derivative, potent against PKC isoforms, UCN-01 (7-hydroxystauropsorine) was subsequently identified as a potent CHK1 inhibitor¹⁵⁶, but unlike midostaurin has not fared well in clinical trials.

Enzastaurin is a PKC β preferential inhibitor and has been employed in many clinical trials (reviewed¹⁵⁷). Its ineffectiveness to date is hard to interpret with the lack of molecular data from these clinical studies. Even the original dose escalation Phase I trial failed to report any pharmacodynamic data, did not reach dose-limiting toxicity and settled on pharmacokinetic behaviour to define the 525mg daily dose for the expansion cohort¹⁵⁸. There is no data to indicate whether PKC β or other targeted PKCs in the tumour (or stroma) are blocked at this dose.

In respect of cPKC and nPKC activators there is limited specificity for cPKC or nPKC isoforms and other binding-competent C1 domain proteins⁷⁵. With no pharmacodynamic data it is hard to assess actions in terms of targeting PKC clinically. Nevertheless, the protection from phorbol ester-induced tumour promotion¹¹⁰ led to early phase oncology trials of bryostatins (reviewed¹⁵⁹). FDA orphan status was designated to bryostatin in combination with paclitaxel for esophageal cancer in 2001, however subsequent trials did not support further development¹⁶⁰. A Phase I trial has been completed for tigilanol tiglate, a second class of activator¹⁶¹. As noted above defining the target(s) of action for these agents introduced intratumourally is complex, nevertheless following the recent approval of this PKC activator in a veterinary setting, evidence from efficacy studies in patients is eagerly awaited.

[H2] 6.2 Uveal melanoma

Intraocular melanoma (uveal melanoma) is associated with penetrant driver mutations in the *GNAQ*, *GNA11*, *BAP1*, *EIF1AX* and *SF3B1* genes¹⁶². *GNAQ* and *GNA11* encode the heterotrimeric G-protein α-subunits that trigger activation of the

β-class of phosphoinositide-specific phospholipase C proteins¹⁶³, elevating DAG levels and hence recruiting and activating cPKC and nPKC (and other DAG-responsive targets). In this context and with the to date intractability of phosphoinostide-specific phospholipase C inhibitors, there has been interest in targeting PKC isoforms. Currently, among the 33 active trials in uveal melanoma there are three targeting PKC. The first employs the orally available drug sotrastaurin (AEB071)¹⁶⁴ a maleimide derivative with potent PKC inhibitory activity¹⁶⁵. This agent was well tolerated in Phase I studies and showed modest activity, principally stable disease¹⁶⁶. The other active uveal melanoma trials (Phase I/II) involve another orally available drug, IDE196 also known as LXS196^{167,168}. IDE196 was well tolerated and showed modest activity in a reported Phase I trial¹⁶⁹. The outcomes of further efficacy trials and combination studies are awaited.

[H2] PKC inhibitors in other cancers

There have been a number of trials for other PKC inhibitors in a variety of cancers. PKC β up-regulation in diffuse large B-cell lymphoma (DLBCL) has prompted a series of trials. The PKC β discriminating drug enzastaurin has shown some limited single agent efficacy in DLBCL¹⁷⁰, and is currently in a trial in combination with the standard of care treatment R-CHOP¹⁷¹. A second PKC β selective drug, MS-533, is in trials in chronic lymphocytic leukaemia and small lymphocytic leukaemia¹⁷². There is no published information on the specificity of this agent. There is an active trial for auranofin in combination with sirolimus¹⁷³; a related cysteine-alkylating gold compound has been reported to specifically target aPKCt¹⁷⁴.

[H1] Concluding remarks

Preclinical investigation has yielded a complex landscape of PKC family actions in experimental cancers the translation of which into the clinical setting is generally hampered by a lack of mechanistic insights that afford robust biomarkers for pathological settings. Reciprocally, the unbiased 'omics data derived from patient tumour biopsies has yielded limited insights to distinguish driver from by-stander

events and where providing clear direction, leave open significant issues in relation to interpretation of gain-, change- or loss-of-function.

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The lack of straightforward correlations reflects the ambiguity of isoform steady state concentration as a marker for anything other than perhaps a complete absence. Similarly, the priming phosphorylation state of isoforms is not simply reflective of their action, at most this reveals latent potential. There is need for insight into the non-redundant pathological mechanisms at play and for this to be understood both in a tumour cell context as well as in the TME. Mechanisms will afford the biomarkers required to address the (in)action of isoforms clinically and importantly resolve where gain, change or loss of function operates guiding the nature of any intervention.

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Decades on from PKC's linkage to the action of tumour promoters⁷, the drugging of these kinases still offers much promise, but when and how remains moot and there is much to be done to resolve this.

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1214	Ackr	nowledgements. We are grateful to Alan Fields and Mary Reyland for	
1215	comr	nenting on the manuscript. We also acknowledge support from the Francis	
1216	Crick	Institute, which receives its core funding from Cancer Research UK	
1217	[FC0	01130]; UK Medical Research Council [FC001130]; Wellcome Trust	
1218	[FC0	01130]. ML is supported by the National Institute for Health Research, the	
1219	Unive	ersity College London Hospitals Biomedical Research Centre (no grant numbers	
1220	apply	')	
1221			
1222	Auth	or contributions PJP contributed to all aspects of the article. VC, ML and PC	
1223	contr	ibuted to researching data for the article and reviewing and/or editing the	
1224	manı	uscript before submission. SB, MC, JJTM, SM, NM, TNS, and LW contributed to	
1225	writin	g the article and reviewing and/or editing it before submission.	
1226		sher's note ger Nature remains neutral with regard to jurisdictional claims in published maps and	
1227 1228		itional affiliations.	
1229		review information	
1230 1231 1232		re Reviews Cancer thanks M. G. Kazanietz, M. Leitges and the other, anonymous, wer(s) for their contribution to the peer review of this work.	
1233	Com	peting interests	
1234	The a	authors declare no competing interests.	
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1236		lementary information is available for this paper at	
1237 1238	nttps	://doi.org/10.1038/s415XX-XXX-XXXX-X	
1239	Related links		
1240	Tigila	nol tiglate: https://www.ema.europa.eu/en/medicines/veterinary/EPAR/stelfonta	
1241	cBioPortal: https://www.cbioportal.org		
1242	FDA	FDA orphan status was designated to bryostatin:	
1243	https	://www.accessdata.fda.gov/scripts/opdlisting/oopd/listResult.cfm	

Trials investigating PKC412 in AML and MDS:

https://clinicaltrials.gov/ct2/results?cond=AML&term=PKC412

https://clinicaltrials.gov/ct2/results?cond=MDS&term=PKC412

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Figure Legends

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Figure 1. Domain Organisation, activation and downregulation pathways for the PKC 1250 Family. A. Domain organisation and activation. For cPKCs, calcium increases 1251 membrane association through C2 domains, promoting C1A/B sensing and 1252 engagement of diacylglycerol (DAG) at the membraneThis leads to dissociation of 1253 1254 the pseudosubstrate site from the catalytic domain permitting substrate engagement. For the nPKCs, C2 domain interactions with partner proteins recruit isoforms to the 1255 membrane. Membrane occupancy enables efficient C1A/B-mediated DAG 1256 monitoring and binding, pseudosubstrate release, enablingcatalysis. Some nPKCs 1257 are subject to caspase-dependent V3 domain cleavage, leading to kinase activation. 1258 1259 For aPKC isoforms, Par6 interacts with the N-terminal PB1 domain enabling membrane recruitment through Par6-Cdc42 binding. The single C1 domains of aPKCs do not bind DAG but have non-specific membrane binding activity, and 1261 possibly enabling release of the pseudosubstrate site and activation of kinase 1262 function. aPKCs are held in membrane compartments by other proteins in addition to 1263 these core functions. For the PKNs, extrapolating from the PKN2 behaviour, the 1264 cytosolic autoinhibited dimer is activated by recruitment to the membrane through its 1265 HR1a/b domains at the N-terminus. These make a bivalent contact with 1266 isoprenylated, GTP bound (active), Rac or Rho family proteins at the membrane 1267 leading to dissociation of the dimer And activation The additional input from the C2 1268 domain is likely to be through supplementary membrane/partner interactions. B. Activation-induced degradation pathways for PKC. In some cell types, 1270 degradation proceeds through the loss of nucleotide pocket occupation through ATP 1271 or ADP, altered conformation of the kinase domain and efficient dephosphorylation. This is followed by ubiquitination and proteasomal degradation. Alternatively, activation induced endocytosis leads to degradation in lysosomes (can facilitate 1274 ubiquitination-dependent degradation, possibly involves dephosphorylation). 1275

Figure 2. Biomarkers of PKC action and inaction

Panel A illustrates the PKC isoform attributes that have been considered biomarkers to inform on roles in pathological settings. These are: genomic alterations, transcriptional and translational changes, the extent of priming phosphorylation, subcellular localisation, complex formation, conformation, self phosphorylation (autophosphorylation) and substrate phosphorylation (transphosphorylation). There is richness in the concentration data (mRNA in particular) but paucity of functional data (eg substrate phosphorylation) and development of this latter, functional information would be of significant value. The value of priming phosphorylation data as a PKC functional readout is doubtful. In panel B, the sites of penetrant mutations in the kinase domains of PKC α and PKC β are indicated in the context of their solved kinase domain structures, alongside the hotspot but infrequent kinase domain mutation in the PKC α substrate docking

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Figure 3. Cell Cycle controls and PKC. A. A variety of growth promoting stimuli acting through their cell surface receptors (GPCRs, tyrosine kinase associated/linked receptors), can act on different members of the PLC gene family to trigger signalling cascades through PKC family members. These events are circumstantially linked to entry into cell cycle, i.e. a G0 to G1 transition and early G1 progression. Ligands engaging GPCRs (7-transmembrane receptors) act through activated heterotrimeric G-protein subunits ($G\alpha_g$.GTP, $G\alpha_{11}$.GTP, $\beta\gamma$) to activate members of the phospholipase Cβ class of phosphodiesterases, responsible for the hydrolysis of PI4,5P₂ and the generation of IP₃ and DAG; the latter activating PKC isoforms. For ligands acting on receptor tyrosine kinases (RTK) or receptor linked tyrosine kinases (RLTK), SH2 domain-dependent recruitment and phosphorylation of PLCγ proteins will also lead to IP₃ and DAG production and consequent PKC activation. B. During G1 progression, and entry into and progression through S-phase, there are a series of interconnected events that sequentially cause activation of Cyclin/CDK complexes. These events have been reported to be influenced by PKC isoforms in various cellular settings, including: Cyclin D expression, PKC $\alpha,\beta,\delta,\epsilon,\eta,\zeta$; CDK4,6 activity, PKC α ; Cyclin E expression, PKC $\delta,\epsilon,\eta,\iota$; CDK2 activity, PKC α,δ,η ; CDK inhibitor (CIP/KIP) expression, PKC $\alpha, \beta, \delta, \epsilon, \eta, \theta, \zeta$; Cyclin A expression, PKC δ ; cdc25

activity, PKC β . C. Progression through M-Phase is impacted by PKC β and PKC ϵ as indicated.

BOX 1 The DAG non-responsive, atypical PKC isoforms in cancer.

aPKC isoforms, which include PKC ζ and PKC ι , are involved in a wide range of cellular functions including the maintenance of polarity, proliferation, cytoskeletal functions, apoptosis and growth factor signalling ¹⁷⁵⁻¹⁷⁸. Unsurprisingly, there are numerous reports associating aPKC deregulation to cancer.

Patient tumour profiling, while of uncertain interpretation for PKC (see text), has generally implicated PKC1 as pro-oncogenic. Chromosome 3q26, where the *PRKC1* gene is located, is commonly amplified in human cancer and both the transcript and protein have been inversely correlated with patient outcomes $^{179-186}$. Infrequent, hotspot mutation of the gene region encoding the polarity-required substrate docking site in PKC1 has also been observed 33 (Figure 2B). By contrast PKC ζ has been implicated as a tumour suppressor in colon cancer, correlating with reduced expression 187 .

In several cancer models, a body of literature has accumulated from the Moscat laboratory indicating that PKC ι has a suppressive role in tumourigenesis (recently reviewed ¹⁸⁸). In prostate cancer cell lines PKC ι knockout induced a neuroendocrine phenotype, increased proliferation and tumour growth, an effect mediated by increased serine biosynthesis ¹⁸⁹. Combined knockout of *Prkcz* (encoding PKC ζ) and *Prkci* in the mouse intestine led to the formation of serrated colon tumours with impaired IFN γ expression and decreased CD8+ infiltration suggestive of deficient immune surveillance ¹⁹⁰. In studies of human peripheral blood mononuclear cells, PKC ζ was shown to modulate the activation of NF κ B in monocytes and macrophages ¹⁹¹ with an anticipated impact on the behaviour of the tumour niche. Juxtaposed to these experimental observations is the requirement for PKC ι in mutant-RAS induced lung, colon and pancreatic tumours ^{185,192,193} and the *ex vivo* reversal of RAS-transformed phenotype with PKC ι -selective inhibition ⁶¹.

For aPKC isoforms the contrasting literature prescribes the need for direct insight into the roles of aPKC in tumour growth in patients through application of biomarkers informing on aPKC action/inaction.

Glossary

Borealin is one of the components of the Chromosome Passenger Complex (CPC), alongside INCENP and survivin, regulating the localisation and activity of the coassociated Aurora B which completes the CPC.

Bryostatins are trace bioactive cyclic polyketides first identified in marine bryozoan bugula neritina; they likely originate from the symbiont B. neritina.

Conformer is used in a generic manner to indicate a particular protein conformation.

Diacylglycerol. (DAG). DAG is a neutral lipid component of membranes, serving in the biosynthesis of more complex lipids and as a signalling lipid.

Epoxytiglianes are bioactive compounds originally identified in the kernels of *F. picrosperma* fruits and are related to phorbol esters (tigliane family of diterpenes).

MMTV-ErbB2 transformation model is a transgenic mouse model with expression of the receptor tyrosine kinase ErbB2 under the control of the mammary gland selective MMTV promoter.

Private mutations refer to those rare mutations that appear only once in cancer genomes, i.e. are private to that patient.

Topoisomerase 2α is one of two genes in mammals that catalyse the resolution of intertwined, catenated DNA, through double strand cutting, strand passage and religation reactions.

Supplementary video 1. An animated life cycle of PKCε.

A scaled structural model of PKC ϵ is shown and the domains noted. As a primary translation product, the kinase lacks modification and the initial step in its life cycle is phosphorylation by the upstream kinases TORC2 and PDK1. This is illustrated as taking place at the plasma membrane (no details of upstream co-recruitment are shown). Stable phosphorylation is seen to proceed under conditions of nucleotide pocket occupation (green, ATP molecule) since it has been shown that pocket occupation is key to prevent dephosphorylation (as originally shown for PKC δ (REF 1) and recently confirmed with inhibitors *in vitro* and in cells^{2,3}). Fully phosphorylated PKC ϵ is active and can cycle through substrate and product binding and release;

here illustrated by ATP/ADP and a scale model of the AuroraB kinase a PKCɛ substrate (see text). The loss of membrane interaction leads to a switch in conformation, where the pseudosubstrate site occupies the substrate binding pocket of the kinase domain yielding an autoinhibited, closed conformer. In this conformation the phosphorylations remain stable but the protein is inactive. Diffusion and sensing of DAG in the membrane allows the kinase to re-establish its open active conformation. In this state, the turnover of ATP can lead to the apo form (nucleotide unbound form) being dephosphorylated and this form of the protein is susceptible to degradation; see Figure 1B. Animation by Phospho; https://www.phospho.co.uk/.

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Toc blurb

This Review discusses protein kinase C (PKC) isoforms in cancer, in particular focusing on their functional properties in the context of tumour suppression or promotion, target validation, PKC pharmacology and therapeutic exploitation.