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Max Oke Kluger

M. Ehsan Jorat

Vicki G. Moon

Stefan Kreiter

Willem P. de Lange

Tobias Mörz

Thomas Robertson

David J. Lowe

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RAINFALL THRESHOLD FOR THE BEGINNING OF EFFECTIVE STRESS DECREASE IN WEATHERED TEPHRA SLOPES

Max Oke Kluger^{1*}, M. Ehsan Jorat², Vicki G. Moon³, Stefan Kreiter⁴, Willem de Lange⁵, Tobias Mörz⁶, Thomas Robertson⁷, David J. Lowe⁸

¹MARUM – Research Faculty, University of Bremen, Leobener Straße 8, 28359 Bremen, Germany, Orcid:0000-0001-9130-8948

²School of Applied Sciences, Abertay University, Dundee, DD11HG, United Kingdom, Orcid: 0000-0001-6972-5921

³School of Science, University of Waikato, Hamilton, New Zealand, Orcid:0000-0001-7378-8490

⁴MARUM – Research Faculty, University of Bremen, Leobener Straße 8, 28359 Bremen, Germany, Orcid:0000-0002-1110-7765

⁵School of Science, University of Waikato, Hamilton, New Zealand, Orcid: 0000-0002-6467-0308

⁶MARUM – Research Faculty, University of Bremen, Leobener Straße 8, 28359 Bremen, Germany, Orcid:0000-0002-6865-7864

⁷School of Science, University of Waikato, Hamilton, New Zealand

⁸School of Science, University of Waikato, Hamilton, New Zealand, Orcid: 0000-0002-2547-6019

*Corresponding author, Email: mkluger@marum.de

1 Abstract

2 Rainfall is one of the most important triggers of slope failure. Altered pyroclastic (tephra) de-
3 posits are especially vulnerable to slope failure because they commonly form slopes of high
4 porosity and high clay content. Empirically-derived thresholds for the triggering of landslides
5 are commonly based on rainfall conditions and have been widely applied in volcanic soils.
6 However, so far only few researchers utilized pore water pressure in the slope as additional
7 variable for the threshold calibration. Here we derived a new rainfall threshold for the beginning
8 of effective stress decrease in the slope by analyzing a long-term record of rainfall and piezom-
9 eter data from a slide-prone coastal area in northern New Zealand that consists of clayey, hal-
10 loysitic tephra deposits. We further found that rainfall events that triggered landslides during
11 the investigation period were well constraint by the global rainfall intensity-duration threshold
12 of Sidle and Ochiai (2006) and the local rainfall duration threshold by Wei et al. (2018). We
13 observed the highest effective stress decrease during the rainfall events that caused landslides.
14 Therefore, the new effective stress threshold may be a beneficial contribution to better predict
15 rainfall induced landslides in the future.

16
17 **Keywords** landslides; rainfall threshold; altered tephra; spheroidal halloysite; rainfall-in-
18 duced slope failure; rotational landslides

19

20 1. INTRODUCTION

21 Rainfall-induced landslides occur globally in all types of environments, posing a major natural hazard
22 to people and infrastructure. Coastal environments are especially vulnerable to landslides because they
23 often exist of highly populated terrain with steep slopes (Agostini et al., 2014; Assier-Rzadkiewicz et al.,
24 2000; Longva et al., 2003; Rösner, 2016). The severity of landslides is further controlled by the presence
25 of sensitive soils in the slope. The special role of sensitive soils is their pronounced post-failure softening
26 behavior, which may lead to progressive landslides with long runout distance difficult to predict
27 (Demers et al., 2014; Kvalstad et al., 2005; L'Heureux et al., 2014). Tephra deposits, encompassing all
28 pyroclastic materials of any grain size (Lowe, 2011), are highly susceptible to slope failure and respon-
29 sible for catastrophic landslides (Chigira, 2014; Sidle and Ochiai, 2006). Halloysite is a common weather-
30 ing product of rhyolitic (silica-rich) tephra (Churchman and Lowe, 2012) and has been found to domi-
31 nate slide surfaces of rainfall-induced landslides in Hong Kong, Japan, New Zealand, Hawaii, and the
32 conterminous United States (Chigira, 2014; Chigira and Yokoyama, 2005; Irfan, 1992, 1998; Kirk et
33 al., 1997; Kluger et al., 2017; Parry et al., 2000, 2001; Shallier et al., 2016; Taskey, 1977; Wen and
34 Aydin, 2003; Yamao et al., 2016). Halloysite is known to form low-permeability soils with high sensi-
35 tivity (Kluger et al., 2017; Moon et al., 2015b; Smalley et al., 1980). Reviews by, e.g., Chigira (2014)
36 highlighted the importance of better understanding the triggering mechanisms and landslides dimensions
37 in halloysitic soils.

38
39 The most important trigger of landslides is effective stress decrease due to rainfall-induced excess pore
40 water pressure in the slope. Rainfall thresholds are widely used to forecast the likely occurrence of
41 landslides by defining the minimum rainfall necessary to trigger landslides (Segoni et al., 2018a). Caine
42 (1980) developed a first global rainfall threshold, which links rainfall intensity and duration to the oc-
43 currence of landslides by means of a simple power law function. Since then, a large variety of rainfall
44 thresholds have been derived at different scales (global, regional, local), by using different rainfall pa-
45 rameters, and for different types of landslides (Guzzetti et al., 2007, 2008; Segoni et al., 2018a). In their
46 recent review, Segoni et al. (2018a) summarized that most rainfall thresholds are derived for shallow
47 landslides and debris flows, whereas only few studied rainfall thresholds for deep-seated landslides,
48 rockslides, and earthflows. Furthermore, Segoni et al. (2018a) recognized that most studies solely rely
49 on rainfall data when calibrating rainfall thresholds and found only two case studies (Baum and Godt,
50 2010; Napolitano et al., 2016) in which pore water pressure data provided additional insight into the
51 triggering of landslides. Knowledge about pore water pressure is useful to calculate the change in effec-
52 tive stress in the soil slope due to rainfall (Duncan et al., 2014) and would therefore add a new beneficial
53 perspective in the determination of rainfall thresholds (Segoni et al., 2018a).

54
55 Our study area is the Omokoroa Peninsula (Omokoroa hereafter), located in the central part of Tauranga
56 Harbour, New Zealand's largest barrier-enclosed estuarine lagoon (Fig. 1A-B). Omokoroa's geology

3

57 consists mainly of a thick succession of Quaternary-aged rhyolitic tephra-fall deposits derived largely
58 from eruptions in the Taupo Volcanic Zone, together with intercalated paleosols, underlain by ignimbrite
59 (Briggs et al., 2005). One specific composite unit within the siliceous tephra succession, the Pahoia
60 Tephra sequence (Pahoia Tephra hereafter), has been highly altered to form clay-rich deposits domi-
61 nated by halloysite and has very high sensitivities (Kluger et al., 2017; Smalley et al., 1980). These
62 highly sensitive Pahoia Tephra were involved in the deep-seated Bramley Drive landslide, located on
63 the northwest coast of Omokoroa (Kluger et al., 2017; Moon et al., 2015b). The main failure occurred
64 in 1979 CE after prolonged heavy rainfall and was reactivated by smaller retrogressive failures in 2011,
65 and 2012. The Pahoia Tephra, acting as an aquiclude as well as sliding material, were transported over
66 long runout distances into Tauranga Harbour. During two rainfall events associated to cyclones Debbie
67 and Cook in 2017, 27 new landslides occurred along the coast of Omokoroa causing damage to numer-
68 ous houses and properties (Tab. 1C-E). This study area is therefore ideal for investigating triggering
69 mechanisms and landslide dimensions of rainfall-induced landslides in sensitive halloysitic tephra, and
70 demonstrably important also for informing landslide hazard analysis and potential mitigation (Basher,
71 2013; Crozier, 2005).

72
73 In this study, we used field observations including mapping, and a combination of bathymetry and seis-
74 mic data, to identify the failure dimensions and geological preconditioning factors of the landslides at
75 Omokoroa. We then compare these features with those of landslides in various material types and envi-
76 ronments worldwide. By analyzing 4.5 years of rainfall and pore water pressure data recorded at the
77 Bramley Drive landslide since 2014, we calibrate and validate empirical rainfall thresholds for the oc-
78 currence of landslides and for the beginning of effective stress decrease in the slope.

80 2. GEOLOGICAL SETTING

81 The Tauranga basin extends along the Bay of Plenty coastline, in the northeastern part of New Zealand's
82 North Island (Briggs et al., 1996) (Fig. 1B). The Waiteariki Ignimbrite, deposited c. 2.09 Ma (Briggs et
83 al., 2005), forms the local basement. Its eruption initiated a period of rapid subsidence (Houghton and
84 Cuthbertson, 1989). The ensuing basin was filled in part by volcanogenic sediments, ignimbrites and
85 silica-rich tephra-fall deposits originating initially from eruptions in the Coromandel Volcanic Zone and
86 then the Taupo volcanic zone. The post-glacial marine transgression (attaining near-modern sea level c.
87 7,500 years ago), which followed the lowered sea level associated with the Last Glacial Maximum,
88 formed a large barrier-enclosed mesotidal estuarine lagoon, the Tauranga Harbour (Briggs et al., 1996).
89 The lagoon is blocked from the sea by two tombolos at Bowentown and Mt Maunganui, and the dia-
90 chronous Pleistocene and Holocene barrier island of Matakana Island (Shepherd et al., 2000). Most of
91 the lagoon comprises extensive estuaries and mudflats that are exposed at low tides. A number of ter-
92 races extend into Tauranga Harbour as northeast- to north-northeast-trending peninsulas, which

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93 commonly have near-level surfaces 20 to 40 m above sea level and steep slopes or cliffs on their coasts
 94 that are prone to landslides (Briggs et al., 1996; Chappell, 1975).
 95
 96 One of these peninsulas is that at Omokoroa (Fig. 1A), which has experienced numerous coastal land-
 97 slides (Fig. 1C-E). Omokoroa comprises a ~40-m-thick succession of mainly Quaternary rhyolitic
 98 tephra (Fig. 2A); the Pahoia Tephra sequence includes (from base to top) lignite, paleosol P1, unwelded
 99 pumiceous deposits of the Te Puna Ignimbrite (c. 0.93 Ma), and a series of altered clay-rich halloysitic
 100 tephra beds, which are divided into lower and upper Pahoia Tephra based on two distinct paleosols P2
 101 and P4. All of these deposits and intercalated paleosols are overlain by successions of younger, strongly-
 102 altered tephra called Hamilton Ashes (c. 0.35 to c. 0.05 Ma) and late Quaternary tephra (\leq c. 0.05 Ma).
 103 Apart from the near-surface late Quaternary tephra (which are mainly allophanic), the tephra have
 104 been altered to halloysites with different morphologies, ranging from mainly tubular in the Hamilton
 105 Ashes and Upper Pahoia Tephra, to mainly spheroids in the lower Pahoia Tephra, and to mainly poly-
 106 hedral and books in the Te Puna Ignimbrite (Cunningham et al., 2016; Kluger et al., 2017). The lower
 107 Pahoia Tephra include the highly sensitive halloysitic layer that was involved in the failure of the 1979
 108 Bramley Drive landslide (Fig. 2B-C). Kluger et al. (2017) reported a new mushroom cap-shaped variety
 109 of the spheroidal halloysite morphology (Fig. 2D), which, through its surface-charge characteristics,
 110 causes the layer to be highly sensitive and thus contributes to the landslide susceptibility at Omokoroa.
 111 The highly sensitive halloysitic layer is porous (Smalley et al., 1980), but has also low permeability
 112 (Moon et al., 2015b), affecting the hydrogeology of the tephra successions at Omokoroa. Based on pie-
 113 zometer recordings at the Bramley Drive landslide, an aquiclude located in the lower Pahoia Tephra
 114 prohibits downward directed drainage of rainwater, while an overlying aquifer provides sufficient drain-
 115 age to cause fluctuation of the effective stresses in the slope (Moon et al., 2015a).
 116

117 3. MATERIALS AND METHODS

118 3.1. Landslide characterization

119 The landslides that occurred at Omokoroa's coast since the 1979 Bramley Drive landslide were charac-
 120 terized according to material composition, type, volume, and travel angle. We performed a detailed
 121 landslide survey after the 2017 cyclone season in April 2017. The survey included the following steps.
 122 The lithological compositions of the slides were identified by descriptions of samples taken from the
 123 landslide scarp and slide debris, or alternatively through observation of exposed scarp faces and corre-
 124 lations to the known regional stratigraphy at Omokoroa. The landslides were classified according to
 125 Cruden and Varnes (1996) being either deep-seated (with rotational slip surface), shallow or composite
 126 earth slides. The composite earth slides always resulted from both deep-seated and shallow failures and
 127 are hereafter attributed to the deep-seated and shallow earth slides on the basis of the dominant mode of
 128 failure. The decision about which mode of failure dominated the landslide was made by the authors'

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129 expert judgements. We calculated volumes of deep-seated landslides V_{ds} by assuming the slip surfaces
 130 followed the shape of half an ellipsoid with semi-axes D_s , $W_s/2$, and L_s (Cruden and Varnes, 1996):

$$132 V_{ds} = \frac{1}{6} \pi D_s \cdot W_s \cdot L_s \quad (1)$$

133
 134 Where L_s is the distance from toe of slip surface to crown of the main scarp. W_s is the maximum width
 135 between flanks of the landslide perpendicular to L_s . D_s is the maximum depth of the slip surface below
 136 original ground surface, measured perpendicular to the plane containing W_s and L_s . The orientation of
 137 the original ground surface was derived from a 1-m-resolution LIDAR digital elevation model of Omo-
 138 koroa, which was recorded before the landslides in 2015. It is widely accepted practice to estimate vol-
 139 umes of shallow landslides V_s from landslide area A_L and two empirically derived parameters, the scaling
 140 exponent c and intercept a (Guzzetti et al., 2009; Larsen et al., 2010; Malamud et al., 2004).

$$142 V_s = a A_L^c \quad (2)$$

$$143 A_L = W_s \cdot L_s \quad (3)$$

144
 145 In our study we used a scaling exponent of $c = 1.332$ and an intercept of $\log a = -0.836$ to estimate
 146 volumes of shallow landslides. These values are derived from an international data base of soil land-
 147 slides (Larsen et al., 2010). The travel angle β of landslides is calculated following Cruden and Varnes
 148 (1996) as

$$150 \tan \beta = H/L \quad (4)$$

$$151 \beta = \tan^{-1} \left(\frac{H}{L} \right) \quad (5)$$

152
 153 Where H/L is the height-to-length ratio (Cruden and Varnes, 1996), which is calculated from the vertical
 154 H and horizontal distances L between the crown and the tip of the landslide, respectively. The volume
 155 and travel angle of the 1979 Bramley Drive landslide were estimated from Gulliver and Houghton
 156 (1980).
 157

158 We further studied the volumes and travel angles of historical landslides that occurred at Omokoroa in
 159 the past by surveying the geological record of the sediments deposited in the subtidal area close to
 160 Omokoroa's coast. By using a 200/400 kHz shallow water multibeam echosounder (Reson Seabat
 161 7125) onboard vessel *Pandora*, and a 3.5 kHz seismic system (Knudsen Pinger CHIRP SBP) mounted
 162 on the vessel *Tai Rangahau*, we mapped the seafloor morphology and sedimentary subsurface structures
 163 of potential remnant landslide deposits. The bathymetric and seismic data cover an elongated northeast

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164 trending area c. 1,300 m long and c. 250 m wide (Fig. 1A). The bathymetric data have a grid resolution
165 of 1 m by 1 m and the seismic data form a grid of approximately parallel profiles with c. 50 m spacing.

166

167 3.2. Evaluation of rainfall and pore water pressure

168 We consider our study area at Omokoroa to be of local scale, with maximal length of 1,800 m, maximal
169 width of 300 m, and an area of c. 0.5 km². Rainfall that occurred from January 2014 to June 2018 was
170 therefore recorded by a single tipping bucket rain gauge (Ogawa Seiki Co.) located close to the Bramley
171 Drive landslide (Fig. 1A) (Moon et al., 2015a). According to Segoni et al. (2018a) a rain gauge density
172 of 200 per 100 km², as used in our study, is within the middle range considered in recent studies about
173 rainfall thresholds. The maximal distance between the rain gauge and landslides was c. 1000 m. The rain
174 gauge at the Bramley Drive landslide exhibited time periods with data gaps (Fig. 3B). We therefore
175 supplemented the missing record with rainfall data collected from the nearest rain gauge at Goodall
176 Road, Whakamarama, being located c. 6.5 km SW of Omokoroa (Fig. 1B). Because rainfall within
177 Tauranga Harbour has been observed to be variable (Quayle, 1984), we confirmed the accordance be-
178 tween both rainfall regimes by statistical correlation (Fig. 3C-D). For this purpose, we identified rainfall
179 events that were recorded by both rain gauges and found that cumulated rainfall and rainfall duration at
180 the Bramley Drive landslide are both well represented by the rain gauge at Goodall Road, Whaka-
181 marama. The sampling rates for the rainfall gauges were every 10 minutes at the Bramley Drive land-
182 slide and every 60 minutes at Goodall Road, Whakamarama. Because of that we resampled the rainfall
183 data of both stations to hourly rainfall.

184

185 Pore water pressure changes were recorded every 10 minutes from January 2014 to June 2018 by three
186 piezometers (Glözl PP-3). The piezometers have been installed in a borehole close to the rain gauge of
187 the Bramley Drive landslide at depths of 12, 21, and 27.5 m (Moon et al., 2015a). The depths were
188 chosen to record the pore water pressure in the aquifer (above and below the mean ground water level)
189 and in the confined aquifer below the aquiclude and are hereafter referred to as upper, middle, and lower
190 piezometers, respectively (Fig. 2C). Due to technical issues, the piezometers did not record any data
191 from March to July 2016 (indicated by 'data gap' in Fig. 3A).

192

193 We analyzed the rainfall and piezometer data to relate rainfall parameters (cumulated rainfall, rainfall
194 intensity, and duration) of individual rainfall events to pore water pressure increase and to the triggering
195 of landslides. The extraction of rainfall parameters from the pluviograph and the separation of individual
196 rainfall events was based on defining a standard time period without rainfall. The duration of dry periods
197 commonly chosen for separating individual rainfall events varies from six hours (Tiranti and Rabuffetti,
198 2010) to four days (Brunetti et al., 2010). In our study, the pore water pressure from rainfall dissipated
199 within a couple of hours after the rainfall stopped and never exceeded six hours (Fig. 3E). Therefore,
200 we defined an individual rainfall event as continuous rainfall being separated from the next rainfall event

7

201 by a dry period of at least six hours following the suggestions made by Tiranti and Rabuffetti (2010).
202 For each rainfall event, we calculated the cumulated rainfall, duration, and rainfall intensity. We classi-
203 fied the rainfall events that caused an increase in pore water pressure in at least one of the piezometers
204 as 'large rainfall events', and those with no impact on the pore water pressure as 'small rainfall events.'
205 Destabilization of the soil slope because of large rainfall events was assessed by considering the decrease
206 in effective stress due to pore water pressure. The effective stress σ' was calculated as:

207

$$208 \sigma' = \sigma - u \quad (6)$$

209

210 where σ is the total overburden stress, which we estimated from long-spaced gamma density logging in
211 the slope of the Bramley Drive landslide (Kluger et al., 2018), and u is the pore water pressure measured
212 by the piezometers.

213

214 All 27 landslides since January 2014 were observed shortly after two large rainfall events during cy-
215 clones Debbie and Cook in 2017. The timings of these landslides were solely based on eye witness
216 reports of residents living close to the coast of Omokoroa, yielding a temporal resolution of landslide
217 occurrence of 1 day. The attribution of rainfall events to the triggering of landslides was therefore per-
218 formed manually, without any measurement of soil displacement during landslides or the like. Because
219 of the low number of rainfall events ($N=2$) that triggered landslides, we did not derive own rainfall
220 thresholds for the occurrence of landslides, but compared the two large rainfall events during cyclones
221 Debbie and Cook that triggered landslides at Omokoroa to the global rainfall intensity-duration thresh-
222 old suggested by Caine (1980), which was later updated by Sidle and Ochiai (2006) (Eq. 7).

223

$$224 I = \alpha D^{-\gamma} \quad (7)$$

225

226 Where I is the rainfall intensity (mm/h), D is the duration of rainfall (h), and $\alpha = 13.58$ and $\gamma = 0.38$
227 are the intercept and slope of the power law function, respectively. Additionally, we adopted the Fre-
228 quentist method to statistically analyze rainfall events that caused an effective stress decrease (i.e. large
229 rainfall events) and calibrated a rainfall intensity-duration threshold for the beginning of effective stress
230 decrease. The Frequentist method was first described by Brunetti et al. (2010) and has since been applied
231 in several study areas in Italy (Peruccacci et al., 2012; Segoni et al., 2018a). Following Brunetti et al.
232 (2010), we applied frequency analysis on the large rainfall events and calibrated a rainfall threshold (Eq.
233 7) for the beginning of effective stress decrease at the 5% confidence interval. Assuming the catalogue
234 of large rainfall events sufficiently represents the rainfall conditions at Omokoroa, we can state that the
235 probability of effective stress decrease by rainfall below this threshold is less than 5% (Brunetti et al.,
236 2010).

237

8

238 We validated the predictive capability of the global rainfall threshold by Sidle and Ochiai (2006) and
239 our new effective stress threshold by compiling contingency matrices (one for each threshold) that clas-
240 sify rainfall events as either true positives (TP), true negatives (TN), false positives (FP), or false nega-
241 tives (FN) (Tab. 2). The validation was performed using the entire data set of rainfall events between
242 January 2014 and June 2018. The contingency matrices were used to calculate different skill scores
243 (sensitivity, specificity, positive prediction power, negative prediction power), which are common stati-
244 stical parameters to evaluate predictive capability (Martelloni et al., 2012; Rosi et al., 2015; Segoni et
245 al., 2018a).

246

247 4. COASTAL LANDSLIDES IN HALLOYSITIC TEPHRA DEPOSITS

248 4.1. Preconditioning factors

249 A large number of landslides occurred along the northwest coast of Omokoroa as consequence of two
250 large rainfall events during cyclones Debbie and Cook in 2017. We counted a total of 27 coastal land-
251 slides and overlaid the topography in Fig. 1A with our mapping results of main scarps and dimensions
252 of landslide deposits. The landslides, having an average spacing distance of 80m between each other,
253 affected the highly populated coastal residential area of Omokoroa (Fig. 1C-E). The majority of land-
254 slides resulted from shallow slope-parallel failures within the upper unwelded pumice layers of the Te
255 Puna Ignimbrite and overlying halloysitic tephra deposits of the Pahoia Tephra (c.f. Fig. 1C, Tab. 1).
256 Shallow landslides occur occasionally along the entire coastline and become dominant at the eastern
257 coast along Myrtle Drive and Crapp Reserve.

258

259 We counted ten deep-seated landslides. One of these, the McDonnell Str landslide (L-9), followed a
260 similar failure and sliding mechanism than the 1979 Bramley Drive landslide (L-11). Both landslides,
261 depicted in Figs. 1D-E, resulted from an initial rotational failure that reached into the highly sensitive
262 lower Pahoia Tephra. The landslide masses then eroded the upper parts of the Te Puna Ignimbrite until
263 they reach sea level and formed flow slides into the lagoon of Tauranga Harbour. The benches of the
264 initial rotational failures are highlighted by white dashed lines in Figs. 1D-E. The other deep-seated
265 landslides resulted from rotational failures, which were sometimes associated with minor shallow slides.

266

267 Preconditioning factors for landslides have been widely discussed in the literature (Cruden and Varnes,
268 1996; Duncan et al., 2014; Varnes, 1978). We consider that the most important preconditioning factors
269 for the coastal landslides at Omokoroa lie in a combination of low permeability, being in the order of
270 $5 \cdot 10^{-10} \text{ m/s}$, and high sensitivity of the halloysitic tephra layers within the lower Pahoia Tephra
271 (Kluger et al., 2018; Kluger et al., 2017; Moon et al., 2015b). Low-permeability clay layers interbedded
272 in soil slopes can occur in all types of deposits and environments worldwide and these situations prob-
273 ably represent the most intensely studied preconditioning factor for pore water pressure-induced land-
274 slides (Zaruba and Mencl, 2014). Regardless of the mineralogy of the clay layers, their low-permeability

9

275 effected the water infiltration into the slope, therefore affecting pore water pressure in the overlying
276 aquifer. Following the effective stress principle (Eq. 3) and the Mohr-Coulomb failure theory, an in-
277 crease in pore water pressure causes a reduction in effective stress, and hence a reduction in shear
278 strength (Labuz and Zang, 2012). Where pore water pressure reduces the shear strength of the slope
279 material to a critical level, landslides are triggered.

280

281 Sensitivity is the ratio of maximum shear strength to post-failure residual shear strength, where a value
282 of more than 30 is defined as highly sensitive (Norsk Geoteknisk Forening, 1974; Skempton and
283 Northey, 1952). Kluger et al. (2017) studied the relationship between halloysite clay morphology and
284 sensitivity in intact tephra from the Bramley Drive landslide and measured the highest sensitivities of
285 $S = 55$ in mushroom cap-shaped (MCS) spheroidal halloysite, whereas sensitivity development was
286 lower ($S \leq 10$) in tubular halloysite. The MCS spheroidal halloysite is most abundant in the lower Pa-
287 hoia Tephra, which have been involved in the failure of the 1979 Bramley Drive landslide (Figs. 1E
288 and 2). Our observations of the compositions of the slide material show that some of the deep-seated
289 landslides initially failed within the lower Pahoia Tephra. Furthermore, a number of shallow-transla-
290 tional landslides also involved failure within the Pahoia Tephra. These observations highlight the spe-
291 cial role of spheroidal halloysite in landslides at Omokoroa. Similar observations have also been re-
292 ported in Japan, where ‘ball-shaped’ halloysite was associated with slip surfaces in altered tephra
293 (Tanaka, 1992).

294

295 Spheroidal halloysite is commonly formed by rapid precipitation from silicon-rich and aluminum-bear-
296 ing solutions derived from the dissolution of volcanic glass shards and primary mineral grains (Cravero
297 and Churchman, 2016; Joussein et al., 2005). Researchers have reported the occurrence of spheroidal
298 halloysites in cold to tropical regions around the world within various volcanogenic host materials of
299 rhyolitic, andesitic, and basaltic composition (Adamo et al., 2001; Askenasy et al., 1973; Birrell et al.,
300 1955; De Oliveira et al., 2007; Jeong and Kim, 1996; Kirkman, 1977; Loughnan and Roberts, 1981;
301 Parham, 1970; Quantin and Rambaud, 1987; Romero et al., 1992; Saigusa et al., 1978; Sieffermann and
302 Millot, 1969; Singer et al., 2004; Wada and Kakuto, 1985). Furthermore, neoformation of spheroidal
303 halloysite was also observed in tephra layers offshore from Sumatra and Peru (Imbert and Desprairies,
304 1987; Poulet et al., 1990). This global occurrence shows the importance of better understanding the
305 role of spheroidal halloysite in sensitivity development and its role in preconditioning large landslides
306 with a progressive failure mechanism.

307

308 4.2. Landslide dimensions

309 We evaluated the dimensions of the landslides that have occurred along the coast of Omokoroa since
310 1979 (Fig. 4). The majority of landslides are of relatively small volumes, ranging between 100 and 4,500
311 m^3 , and steep travel angles between 17° and 60° (Tab. 1). Notable exceptions are the McDonnell Str

312 landslide (L-9) and the 1979 Bramley Drive landslide (L-11), having volumes of c. 11,000 and 60,000
313 m³ and travel angles of 15° and 9°, respectively. The travel angles of landslides observed in our study
314 linearly decrease (in log-log scale) with landslide volume, indicating longer runout distances. We ob-
315 served that deep-seated landslides generally exhibited higher volumes and lower travel angles than shal-
316 low landslides, but that both landslide types follow the same volume-to-travel angle relationship (c.f
317 power law fit (a) in Fig. 4).

319 The coastal erosion of the peninsulas within Tauranga Harbour, ensuing after the post-glacial transgres-
320 sion, was likely to be accompanied by different forms of mass wasting, such as landslides. Therefore,
321 we posit that studying the record of nearshore sediments will enable us to recognize dimensions of
322 landslides that occurred along Omokoroa's coast in the past. The seismic and bathymetry data cover the
323 subtidal nearshore area off northwest Omokoroa and provide a three-dimensional view of four sedimentary
324 units (Units 1 to 4) identified within the upper 15 m below the sea surface (Figs. 1A and 5). The
325 basal Unit 1 is characterized by high impedance with little internal structure. The upper boundary of
326 Unit 1 is defined by an undulating reflector having several peaks that are commonly separated by ter-
327 raced troughs. The overlying Unit 2a is internally characterized by numerous reflectors of varying ori-
328 entation. We identified a channel-like structure within this unit (Unit 2b) with cross-bedded reflectors.
329 The overlying Unit 3a truncates the top reflector and some of the internal inclined reflectors of the
330 channel-like Unit 2b. Unit 3b truncates Units 2a, 2b, and 3a. Both truncating units are of low impedance.
331 Unit 3a exhibits some poorly defined chaotic internal reflectors with preferred inclination towards the
332 northwest, whereas Unit 3b is horizontally oriented without any internal reflectors. Units 2 and 3 are
333 overlain by a thin slope-parallel unit (Unit 4), which is recognizable in the shallow southeast area.

335 We interpret the basal Unit 1 to be the local basement consisting of the Waiteariki Ignimbrite. Unit 2
336 represents estuarine and fluvial volcanogenic sediments of mainly volcanogenic origin from the eroded
337 Waiteariki Ignimbrite and newer ignimbrites and multiple tephra-fall deposits, such as the Te Puna Ig-
338 nimbrite and Pahoia Tephra, respectively. The younger Units 3a and 3b are erosive by nature and ex-
339 hibit either chaotic internal reflectors or no reflector at all. We therefore interpret them to be deposits of
340 two major pre-historic landslide events that occurred at Omokoroa at the end of the post-glacial trans-
341 gression when the coastline of Omokoroa was located close to that of the present day.

342
343 Given the close proximity to Omokoroa, it is possible that the pre-historic landslide deposits are com-
344 posed of sensitive halloysitic tephra materials originating from the lower Pahoia Tephra. This interpre-
345 tation is supported by the findings of Jorat et al. (2017), who identified Pahoia Tephra landslide deposits
346 in the eastern part of Tauranga Harbour. The slope-parallel layer (Unit 4) only occurs slightly below the
347 intertidal zone and is therefore likely composed of sediments influenced by tides and/or storm waves.
348 We suggest that the second pre-historic landslide deposits (Unit 3b) in the subtidal area off Omokoroa

11

349 followed a similar sliding mechanism to the two deep-seated failures with associated flow slides (L-9
350 and L-11). We therefore interpolated the extent of the second pre-historic landslide deposits within the
351 seismic profiles and superimposed the associated thickness and maximum extent on Fig. 1A. The inter-
352 polated thickness of the second pre-historic landslide averages between 0.5 and 1.5 m. The interpolated
353 thickness of the second pre-historic landslide is limited to a small area of the assumed landslide area and
354 therefore provides only a rough estimate of the original landslide thickness. Assuming some major tidal
355 erosion of the landslide to have taken place after deposition, we consider a constant layer thickness of 1
356 m to be the lower limit bounding the original landslide. The area enclosed by the line of maximum extent
357 and the present-day coastline of Omokoroa is c. 185,000 m² and, together with an assumed cliff height
358 of $H = 35$ m and a maximal runout distance of $L = 360$ m, results in a landslide volume of 185,000 m³
359 and a travel angle of $\beta = 5.5^\circ$.

361 The volume-to-travel angle relationship of the landslides at Omokoroa are similar to those of other land-
362 slides in halloysitic tephra from Japan and Hong Kong (Chigira et al., 2013; Irfan, 1992; Kirk et al.,
363 1997; Wang et al., 2014) (Fig. 4), highlighting the applicability of our study to locations beyond New
364 Zealand. We further compared dimensions of landslides in halloysitic tephra deposits with those in other
365 environments worldwide. When considering landslides with similar volume (e.g. $V = 10^6$ m³), subaerial
366 landslides commonly exhibit higher travel angles, whereas submarine landslides and quick clay land-
367 slides are known to have smaller travel angles than landslides in halloysitic soil (Edgers and Karlsrud,
368 1982; Hampton et al., 1996; Hsu, 1975; L'Heureux et al., 2012; Nicoletti and Sorriso-Valvo, 1991;
369 Scheidegger, 1973). The comparison with other environments illustrates that landslides in halloysitic
370 soil exhibit travel angles between subaerial and submarine landslides. For Omokoroa we can interpret
371 this with the fact that the landslides occurred at the interface between terrestrial and marine environment
372 and that the fluidization commonly observed in submarine slides (Hampton et al., 1996) may have taken
373 place in our study area to limited extent.

375 5. RAINFALL THRESHOLD FOR HALLOYSITIC TEPHRA DEPOSITS

376 5.1. Rainfall-induced pore water pressure increase

377 We analyzed the pore water pressure increase to rainfall in order to better understand the trigger mech-
378 anisms of rainfall-induced landslides in halloysitic tephra. The low-permeability tephra (including the
379 highly sensitive layer) in the lower Pahoia Tephra act as a water infiltration barrier, creating an over-
380 lying unconfined aquifer and an underlying confined aquifer in the slope at Bramley Drive (Fig. 2C).
381 Based on data from the middle piezometer, the water table in the overlying unconfined aquifer is located
382 at an average depth of around 15 m and it exhibits small seasonal but no long-term annual variations
383 (Fig. 3A). The pore water pressure in the unconfined aquifer responds to rainfall events in distinctive
384 spikes, which commonly decay towards background levels within minutes to hours (Fig. 3A and E). We
385 link the distinctive spikes to direct stress transfer from rainwater that infiltrates the partly saturated soil

12

386 slope above the aquifer. Sometimes the distinctive spikes are followed by smaller pore water pressure
 387 increase with longer decay time, which may reflect a temporary rainfall-induced change in gradient of
 388 the regional hydraulic head. These smaller pore water pressure increases with longer decay time were
 389 not further considered in this study. Based on observations of the lower piezometer, the confined aquifer
 390 exhibits small overall variations in pore water pressure, which sometimes mimic the seasonal variations
 391 and pore water pressure increases with longer decay time observed in the unconfined aquifer (cf. exam-
 392 ples 1 and 2 in Fig. 3A). Except for two minor spikes in 2014, we did not observe any pore water pressure
 393 increase that resulted from direct rainwater infiltration into the confined aquifer. This lack of direct
 394 increase indicates that the confined aquifer exhibits some connections to the regional hydrogeological
 395 system, but is not in direct contact with the unconfined aquifer.

396
 397 We enumerated 816 rainfall events within the evaluation period of 4.5 years. Of those, we identified 41
 398 large rainfall events, which caused a direct pore water pressure increase in the unconfined aquifer at
 399 Bramley Drive (Fig. 3A-B). Some rainfall data of the large rainfall events are taken from the nearest
 400 rain gauge at Goodall Road, Whakamarama (cf. light green circles in Fig. 5B).

401
 402 We observed some of the largest rainfall events with strong pore water pressure increase during the 2017
 403 cyclone season from March to April 2017 (Fig. 3E). The rainfall events cluster in four groups at intervals
 404 of one to two weeks and accumulated a total rainfall of more than 800 mm. The pore water pressure
 405 increase recorded during the third and fourth group of rainfall events, namely cyclones Debbie and
 406 Cook, rank among the highest recorded during the investigation period, and ultimately triggered all 25
 407 landslides that occurred at Omokoroa since 2014. We therefore conclude that rainfall and pore water
 408 pressure measured at the Bramley Drive landslide are characteristic for the other locations of landslides
 409 that occurred across the entire northwest coast of Omokoroa.

410 411 5.2. Rainfall thresholds

412 We analyzed the rainfall events in order to better understand the role of rainfall intensity and duration
 413 in the triggering of landslides and in the beginning of effective stress decrease. For this purpose, we
 414 plotted the water tables of small rainfall events with respect to rainfall intensity and duration (grey cir-
 415 cles in Fig. 6). We overlaid the small rainfall events with vertical arrows indicating an increase in water
 416 table due to large rainfall events. In addition to the change in water table due to rainfall, we considered
 417 the decrease in effective stress in the lower Pahoia Tephra as indicator for strength loss in the soil slope.
 418 Small rainfall events do not induce any pore water pressure in the unconfined aquifer. The pore water
 419 pressure measured at the middle piezometer during the small rainfall events therefore forms a satisfac-
 420 tory statistical basis for calculating the background effective stress level within the lower Pahoia
 421 Tephra. From long-spaced gamma density logging in the slope of the Bramley Drive landslide (Kluger
 422 et al., 2018), and our long-term piezometer record during small rainfall events, we calculated a total

13

423 overburden stress of $\sigma = 337$ kPa and a pore water pressure of $u = 65 \pm 5$ kPa, which yielded a back-
 424 ground effective stress of $\sigma'_b = 272 \pm 5$ kPa at the middle piezometer (Eq. 6) (cf. Fig. 6B).

425
 426 Large rainfall events temporarily reduce the effective stress in the lower Pahoia Tephra to different
 427 extent depending on rainfall intensity and duration. The effective stress began to decrease when rainfall
 428 intensities exceeded 2 mm/h at durations of more than 2.5 h. The largest effective stress decreases oc-
 429 curred only when rainfall intensities exceeded 4 mm/h at durations of more than 4 h. The large rainfall
 430 events during cyclones Debbie and Cook, preceding the landslides at Omokoroa, caused the highest and
 431 third-highest effective stress decrease within the studied time period. They exhibited remarkably long
 432 durations of c. 25 h at moderate rainfall intensities of 4 mm/h. The large June 2018 rainfall event (as
 433 highlighted in Fig. 6B), causing the second-highest effective stress decrease, was not associated with
 434 any landslide event. In comparison to the rainfall events during cyclones Debbie and Cook, the June
 435 2018 rainfall event exhibits higher rainfall intensity, but at shorter duration of c. 16 h. These observations
 436 indicate that in our study area a duration of rainfall between 16 and 25 h possibly represents a critical
 437 level for landslide triggering. This finding is in accordance with those of Wei et al. (2018), who related
 438 shallow landslides in Taiwan to rainfall events having durations of more than 24 h.

439
 440 Rainfall thresholds are widely used to forecast the likely occurrence of landslides (Guzzetti et al., 2007,
 441 2008; Segoni et al., 2018a). We compared our two rainfall events that triggered landslides since January
 442 2014 with the global rainfall intensity-duration threshold by Sidle and Ochiai (2006) (Eq. 7) and with a
 443 regional rainfall duration threshold by Wei et al. (2018). For this purpose, we displayed the rainfall
 444 intensities and durations of all rainfall events that triggered and that did not trigger landslides together
 445 with the two rainfall thresholds by means of logarithmic and linear scales (Fig. 7). The two rainfall
 446 events that triggered landslides are well constraint by both rainfall thresholds.

447
 448 The influence of rainfall intensity and duration on effective stress decrease was further studied by intro-
 449 ducing a normalized effective stress decrease $\Delta\sigma'_N$ (in percentage), which quantifies the decrease in
 450 effective stress from the background effective stress due to large rainfall events (Eq. 8)

$$451 \quad 452 \quad \Delta\sigma'_N = \left(1 - \frac{\sigma'_b - u}{\sigma'_b}\right) \cdot 100 \quad (8)$$

453
 454 We consider that the normalization of effective stress decrease is less dependent on characteristic fea-
 455 tures of our study area, such as the depth of the slip surface and aquifer, and hence may be more com-
 456 parable with studies on other soil slopes worldwide. We color-coded the rainfall events into six different
 457 classes of normalized effective stress decrease (c.f. legend in Fig. 7B) and performed the Frequentist
 458 method to calibrate a power law function for the beginning of effective stress decrease, being hereafter
 459 referred to as effective stress threshold (Eq. 9).

14

460

$$461 \quad t = 5.19D^{-0.30}$$

(9)

462

463 The effective stress threshold and the rainfall threshold by Sidle and Ochiai (2006) separate the rainfall
464 events into three regions (indicated by numbers 1-3 in Fig. 7A). For each region, we quantified classes
465 of effective stress decrease by means of frequency distribution (Inset in Fig. 7A). The first region rep-
466 resents all rainfall events below the effective stress threshold and mostly consists of small rainfall events.
467 The second region encompasses all rainfall events between the effective stress threshold and the rainfall
468 threshold by Sidle and Ochiai (2006). Around 45% of the rainfall events showed effective stress reduc-
469 tions mainly in the lower classes 2 and 3, which correspond to normalized effective stress decreases
470 between 0 and 15%. In the third region, above the threshold by Sidle and Ochiai (2006), most rainfall
471 events (78%) caused effective stress decreases. More than one quarter of rainfall events lie in class 6
472 accounting for normalized effective stress decreases between 28 and 36%. These rainfall events also
473 include the two large rainfall events that triggered landslides in our study area. The other rainfall events
474 of class 6 exhibit lower rainfall duration, which may be the reason why landslides were not triggered
475 during these events (Wei et al., 2018). A considerable proportion of rainfall events above the effective
476 stress threshold (Regions 2 and 3) did not cause any effective stress decrease. This finding shows that
477 the effective stress threshold is either not always applying to rainfall events recorded since January 2014
478 or that other factors, such as antecedent precipitation, soil moisture, or locally varying rainfall, may have
479 additionally affected the pore pressure increase in the aquifer at Omokoroa (Rahardjo et al., 2005;
480 Segoni et al., 2018a; Segoni et al., 2018b; Yamao et al., 2016).

481

482 6. PREDICTIVE CAPABILITY

483 We validated the capability of the global rainfall threshold by Sidle and Ochiai (2006) and our new
484 effective stress threshold to predict landslides and the beginning of effective stress, respectively. The
485 two contingency matrices classify rainfall events as either true positives (TP), true negatives (TN), false
486 positives (FP), or false negatives (FN) (Tab. 3). The contingency matrices were used to calculate differ-
487 ent skill scores of predictive capabilities (sensitivity, specificity, positive prediction power, negative
488 prediction power).

489

490 Both thresholds exhibit sensitivities (Se) and specificities (Sp) close to 1 indicating an overall good
491 predictive capability (Rosi et al., 2015). The positive prediction power (PPP) of the rainfall threshold by
492 Sidle and Ochiai (2006) is low compared to literature (Rosi et al., 2015). This is likely because of the
493 low number of rainfall events that triggered landslides in our study. The positive prediction power of
494 the effective stress threshold lies within the range of other values reported in the literature (Rosi et al.,
495 2015). The probability to correctly predict effective stress decrease is 53%. The negative prediction
496 power of both thresholds is close to 1 indicating that they are well capable to correctly predict rainfall

15

497 events that did not trigger landslides or that did not cause any effective stress decrease. The high negative
498 prediction power may also be influenced by the relatively small number of rainfall events used in this
499 study.

500

501 For future research we suggest to increase the investigation time period as well as the number and spatial
502 distribution of piezometers within the study area. This would, on the one hand, provide a larger data
503 base for threshold calibration and validation, and on the other, it would prevent local variabilities in the
504 aquifer and moisture conditions of the soil slope to influence the calibration of the effective stress thresh-
505 old. Furthermore, it has to be tested whether or not the effective stress threshold is also applicable to
506 other soils (e.g. sedimentary clays and sands) and environmental constraints (e.g. dry and tropical) that
507 are significant different to our study area. As Segoni et al. (2018a) pointed out in their recent review,
508 the implementation of pore water pressure into the calibration of rainfall thresholds would add a benefi-
509 cial perspective. We believe that our effective stress threshold contributes towards the implementation
510 of pore water pressure (and effective stress decrease) into rainfall thresholds.

511

512 7. CONCLUSIONS

513 We have studied a ~40-m-thick succession of altered siliceous pyroclastic deposits dating to c. 0.9 Ma
514 that are dominated by halloysite at Omokoroa peninsula, Tauranga Harbour, the site of multiple land-
515 slide events. We show that the trigger mechanism and the landslide dimensions are in keeping with those
516 reported for other landslides in halloysitic tephra deposits from Hong Kong and Japan. The normalized
517 effective stress principle and effective stress threshold developed in our study provide a better under-
518 standing of the rainfall triggering of landslides in halloysitic soil materials worldwide. Because the rain-
519 fall intensity-duration threshold by Sidle and Ochiai (2006) very well reproduces not only the shallow-
520 translational but also the deep-rotational landslides in our study area, we conclude that the rainfall
521 threshold may be extended to these types of landslides in the future. We also found that:

522

- 523 • Landslides in halloysitic tephra exhibit travel angles between subaerial and submarine land-
524 slides reported in other materials and environments worldwide.
- 525 • In our study, landslides were triggered when rainfall events exceeded the rainfall threshold of
526 Sidle and Ochiai (2006) as well as the rainfall duration threshold of $D = 24$ proposed by Wei
527 et al. (2018).
- 528 • A new effective stress threshold was calibrated based on rainfall events that caused effective
529 stress decrease in the slope. Landslides coincided with rainfall events with highest effective
530 stress decrease.
- 531 • The predictive capability of the effective stress threshold is generally satisfactory. The positive
532 prediction power of the threshold could be improved by increasing the number of rainfall events
533 used for the calibration and validation.

16

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787 **FIGURE CAPTIONS**

788 **Fig. 1. Study area. A:** Distribution coastal landslides along the northwest coast of Omokoroa. The
789 topographic map is overlain by landslide main scarps, landslide dimensions, and bathymetry data from
790 the near shore area. **B:** Location of Omokoroa peninsula within the estuarine lagoon of Tauranga Har-
791 bour, northern New Zealand. **C-E:** Photographs of coastal landslides at Omokoroa. The locations are
792 indicated in Fig. 1A.

793
794 **Fig. 2. Geology of the 1979 Bramley Drive landslide. A:** Stratigraphy of the succession of lignite,
795 ignimbrite, and tephra-fall deposits, and intervening paleosols (P). **B:** Relative concentrations of dif-
796 ferent halloysite clay morphologies with depth after Kluger et al. (2017). **C:** Cross-section of the 1979
797 Bramley Drive landslide showing the locations of the confined and unconfined aquifers, respectively,
798 with locations of upper (U), middle (M), and lower (L) piezometers. Upper and lower tidal ranges are
799 from de Lange (1993). **D:** Scanning electron microscope images of mushroom cap-shaped spheroidal
800 halloysite, abundant in the highly sensitive layer within the Pahoia Tephra. The images are modified
801 with permission from Kluger et al. (2017), copyright 2017 The Geological Society of America.

802
803 **Fig. 3 Pore water pressure (PWP) and rainfall characteristics. A-B:** PWP and hourly rainfall time
804 series from January 2014 to June 2018. Green circles indicate large rainfall events having been taken
805 from rain gauges at the Bramley Drive landslide (dark green) or at Goodall Road, Whakamarama
806 (light green). **C-D:** Rainfall correlations between rain gauges at Bramley Drive landslide and Goodall
807 Road, Whakamarama. **E:** Hourly rainfall, PWP, and number of recent landslides during the 2017 cy-
808 clone season.

809
810 **Fig. 4. Landslide dimensions.** r^2 is the coefficient of determination.

811
812 **Fig. 5. Sedimentary architecture of the subtidal area, northwest Omokoroa. A:** Along-shore and
813 **B:** cross-shore seismic profiles and interpretation of seismic units.

814
815 **Fig. 6. Effect of rainfall events on effective stress decrease.** Changes in water table and effective
816 stress in the soil slope of the Bramley Drive landslide with **A:** rainfall intensity and **B:** duration of
817 rainfall events. The length of arrows indicates the change in water table and effective stress, respec-
818 tively. The colors of the arrows represent the increase in water table as defined in the color scale.

819
820 **Fig. 7. Rainfall thresholds** Rainfall intensity-duration relationships of small and large rainfall events
821 plotted on **A:** logarithmic and **B:** linear scales. The two large rainfall events that triggered landslides at
822 Omokoroa are well constraint by considering the two thresholds proposed by Caine (1980) and Wei et

22

823 al. (2018). The effective stress threshold is the lower bound of large rainfall events at the 5 % confi-
 824 dence interval. The colors of symbols and of the stacked bar chart are defined in the legend of Fig. 7B.

825

826 **TABLE**

827 **Tab 1.** Coastal landslides at Omokoroa peninsula, Tauranga Harbour, New Zealand.

Land-slide No.	Location	Material composition	Type	Landslide dimension											Timing
				D_r	W_r	L_r	H	L	A_i	V_r	V_r	H/L	β	α	
				m	m	m	m	m	m ²	m ³	m ³			°	
L-1	Waterview Ter	TPI, PT*	D ^S	7	25	25	20	30	625		2,291	0.67	34	A	
L-2	Hamurana Rd -	TPI*, PT	S	185	6	5	8	1,185	1,811			0.67	34	A	
L-3-1		TPI	S	30	32	20	50	960	1,370			0.40	22	A	
L-3-2	Kaharoa Av	TPI*, PT	D	5.5	15	14	10	20	212		611	0.50	27	A	
L-3-3		PT	S	5	10	7	10	49	26			0.70	35	A	
L-4	Kaharoa Av	N/A	D ^S	4	15	16	10	12	234		491	0.83	40	A	
L-5	Kowai Grv	TPI, PT*	D	9	30	32	20	38	960		4,526	0.53	28	A	
L-6		TPI	S	29	29	20	21	841	1,148			0.95	44	A	
L-7	McDonnell St	N/A	S	20	25	15	25	500	574			0.60	31	A	
L-8		TPI	S	48	9	8	5	410	441			1.60	58	A	
L-9		TPI, PT*	D ^S	14	25	58	30	115	1,458		10,686	0.26	15	A	
L-10	Bramley Dr	PT, HA	S	64	36	30	30	2,308	4,403			1.00	45	A	
L-11		PT, HA	D	27	62	68	32	210	4,216		59,602	0.15	9	1979†	
L-12		PT, HA	S	10	17	15	15	170	136			1.00	45	A‡, B	
L-13	Ruamoana Pt	PT, HA	S	14	25	21	25	343	348			0.85	41	A	
L-14		PT	D	6	19	15	9	30	278		872	0.30	17	B	
L-15		PT	D	7	15	15	9	30	225		825	0.30	17	B	
L-16	Myrtle Dr	TPI*, PT	D	4	14	17	8	25	235		491	0.32	18	A‡, B	
L-17		TPI	S	15	9	8	10	142	107			0.80	39	A	
L-18		TPI	S	30	18	15	20	541	637			0.75	37	B	
L-19	Crapp Historic Reserve	TPI, PT, HA	S	35	14	14	10	484	550			1.35	53	A	
L-20		TPI, PT, HA	S	7	20	20	10	142	107			2.00	63	A	
L-21		TPI, PT, HA	S	25	12	12	10	309	303			1.20	50	A	
L-22		TPI, PT, HA	S	15	11	10	20	168	134			0.50	27	A	
L-23		TPI, PT, HA	S	8	13	13	7	107	73			1.86	62	A	
L-24		TPI, PT, HA	S	11	14	14	6	152	118			2.25	66	A	
L-25		TPI, PT, HA	S	21	12	10	10	257	236			0.95	44	A	
L-26	Harbour View Rd	TPI	D ^S	6	20	28	19	50	552		1,733	0.38	21	A	

828 *Base of slide surface

829 †1979 Bramley Drive landslide dimensions from Gulliver and Houghton (1980)

830 ‡Main failure event

831 Ter-Terrace; Rd-Road; Av-Avenue; Grv-Grove; St-Street; Dr-Drive; Pt-Place

832 TPI-Te Puna Ignimbrite; PT-Pahoia Tephra; HA-Hamilton Ashes; N/A-Not available

833 D-deep-seated; S-shallow; D^S-complex earth slide with deep-seated being the dominant mode of failure and

834 shallow failure associated to the main event

835 A-Cyclone Debbie (April 5th, 2017); B-Cyclone Cook (April 13th, 2017); Years in CE (Common era)

23

836 Tab. 2. Definitions of predictive capability variables.

Variable	Name	Description
TP	True positives	Threshold exceeded; landslide(s)/effective stress decrease occurred
TN	True negatives	Threshold not exceeded; landslide(s)/effective stress decrease did not occur
FP	False positives	Threshold exceeded; landslide(s)/effective stress decrease did not occur
FN	False negatives	Threshold not exceeded; landslide(s)/effective stress decrease occurred
$Se = \frac{TP}{TP+FN}$	Sensitivity	Ability to properly classify rainfalls that caused landslide(s)/effective stress decrease
$Sp = \frac{TN}{TN+FP}$	Specificity	Ability to properly classify rainfalls that did not cause landslide(s)/effective stress decrease
$PPP = \frac{TP}{FP+TP}$	Positive prediction power	Probability of correctly classifying a rainfall that caused landslide(s)/effective stress decrease
$NPP = \frac{TN}{TN+FN}$	Negative prediction power	Probability of correctly classifying a rainfall that did not cause landslide(s)/effective stress decrease

837

838 Tab. 3. Variables of predictive capability for the rainfall thresholds considered in this study.

Threshold	Aim	TP	TN	FP	FN	Se	Sp	PPP	NPP
Sidle and Ochiai (2006)	Landslide occurrence	2	798	34	0	1.000	0.959	0.056	1.000
Effective stress threshold	Beginning of effective stress decrease	40	739	36	1	0.976	0.954	0.526	0.999

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