Research Article

Simulating Marine New Particle Formation and Growth Using the M7 Modal Aerosol Dynamics Modal

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A modal atmospheric aerosol model (M7) is evaluated in terms of predicting marine new particle formation and growth. Simulations were carried out for three different nucleation schemes involving (1) kinetic self-nucleation of OIO (2) nucleation *via* OIO activation by H_2SO_4 plus condensation of a low-volatility organic vapour. Peak OIO and H_2SO_4 vapour concentrations were both limited to 6×10^6 molecules cm⁻³ at noontime while the peak organic vapour concentration was limited to 12×10^6 molecules cm⁻³. All simulations produced significant concentrations of new particles in the Aitken mode. From a base case particle concentration of 222 cm⁻³ at radii >15 nm, increases in concentrations to 366 cm⁻³ were predicted from the OIO-OIO case, 722 cm⁻³ for the OIO- H_2SO_4 case, and 1584 cm⁻³ for the OIO- H_2SO_4 case with additional condensing organic vapours. The results indicate that open ocean new particle production is feasible for clean conditions; however, new particle production becomes most significant when an additional condensable organic vapour is available to grow the newly formed particles to larger sizes. Comparison to sectional model for a typical case study demonstrated good agreement and the validity of using the modal model.

1. Introduction

Aerosols are ubiquitous in the Earth atmosphere and have measurable impacts on climate, atmospheric chemistry, and human health [1]. Marine aerosols are thought to have a potentially significant role in future climate change through biogeochemical feedback processes [2]. The aforementioned studies focussed on biogenic DMS-derived sulphate driving marine new particle formation and subsequent growth into cloud condensation nuclei (CCN) sizes (r > 35-50 nm).

Detailed modelling studies [3] have, however, demonstrated that while sulphuric acid is present in sufficient concentrations to promote nucleation, the concentration is insufficient to grow these nucleated clusters into aerosol particles operationally defined as D > 3 nm.

An increase in marine aerosol concentration, providing the increased aerosol population is water soluble, can potentially lead to an increase in CCN; however, there are three stages to growth. The first stage involves nucleation of stable or critical clusters; the second stage involves the growth of clusters into pseudostationary aerosol particles where they can survive significantly longer than clusters (e.g., if a particle grows to 6 nm in size, it has the potential to survive at least 100 times longer than a cluster of 0.5 nm [4]; the third stage is continued growth to CCN sizes. There has been little evidence to date demonstrating that these growth processes can be achieved through the sulphur cycle. Furthermore, oceanic new particle production events are difficult to quantify in comparison to terrestrial events. Coastal zones, in contrast, provide typically stronger biogenic signals and possibly are scalable to, and indicative of, difficult-to-detect open ocean processes. A case and example of this is the formation of new particles in the tidal and/or coastal where macro algae biogenic gas emissions are the strongest [4–7]. O'Dowd and Hoffmann [7] provide an informed overview of coastal new particle production driven by the release of molecular iodine and its subsequent photolysis and oxidation by ozone.

More recently, a number of studies have been carried out on the nucleation and growth capabilities of iodine oxides [8, 9] where it has been found that iodine oxides have the potential to make significant contribution to the formation of new particles as well as contributing to a considerable increase in growth rates of newly formed stable clusters. More recently, simulations using a sectional model on iodine dioxide nucleation in coastal and open ocean marine environments found that particle production driven by iodine compounds is possible, but by OIO and H_2SO_4 alone, only few particles are likely to reach detectable sizes without an additional low volatility vapour, owing to the scavenging by coagulation processes with the existing aerosol population [10].

In the examination of the nucleation mechanisms relating to iodine oxides presented in this work, three parameterisations from Vuollekoski et al. [10], that include both iodine oxide and an additional nonnucleating organic condensable vapour, are investigated. The M7 [11] atmospheric microphysics aerosol module has been used to incorporate firstly iodine oxide (OIO) self-nucleation, secondly iodine oxide—sulphuric acid nucleation, and thirdly, iodine oxide—sulphuric acid nucleation with additional condensation of a low volatility organic vapour. The iodine oxide self-nucleation is a kinetic mechanism while the iodine oxide—sulphuric acid nucleation of iodine oxide by sulphuric acid vapours.

Recent results from the NE Atlantic reported the occurrence of open ocean particle production and growth events [12–14]. The latter study points to a condensable vapour source concentration of the order of 1.2×10^7 molecules cm⁻³ to explain typical growth rates of 0.8 nm hour⁻¹ observed. This study builds on the aforementioned sectional modelling sensitivity studies by Vuollekoski et al. [10] and the new experimental data to evaluate the ability of the M7 modal model to reproduce the experimental observations and to simulate these with the same skill as a detailed sectional model.

2. Model Setup

The model used to perform the simulations in this study was the M7 atmospheric microphysics aerosol box model [11]. The M7 module was designed to be coupled with general circulation models and chemistry transport models. In M7 the aerosol populations is divided into two groups: mixed (water-soluble) particles, and insoluble particles. The model separates the aerosol populations into seven classes according to the dry radius size of the particles.

A "pseudomodal" approach is used to describe the aerosol populations and to determine the aerosol dynamics. The mixed aerosol size distribution over different size ranges is represented by four lognormal modes: nucleation (0.5-5 nm), Aitken (5 nm-50 nm), accumulation (50 nm-500 nm), and coarse modes (>500 nm), while the rate constants for coagulation and condensation are calculated for the average mode radius rather than the integral over the mode. The geometric standard deviations of these modes are 1.59 for the nucleation, Aitken, and accumulation modes,

both soluble and insoluble, while the coarse have a geometric standard deviation of 2.0 for the soluble and insoluble modes [11].

The aerosol species considered in the M7 module are mineral dust, black carbon (BC) and primary organic carbon, sulphate, and sea salt. The aerosol dynamic processes in M7 include nucleation, coagulation, and condensation of sulphuric acid. Mixed (or soluble) particles are formed from insoluble particles by coagulation and condensation.

In the M7 model, it is assumed that the mixed particles are a water-soluble mixture of insoluble and soluble material. The separation of the aerosol populations into these two populations allows for the representation of the evolution of the hygroscopic properties of the initially insoluble compounds, on which their atmospheric lifetimes and also their interaction with clouds depend.

For this study, the box model was modified to include two new vapour species, iodine oxide along with a lowvolatility organic vapour species, and also the aerosol processes adapted to allow for the condensation of both these vapours, as well as the nucleation of iodine oxide and activation nucleation of iodine oxide by sulphuric acid. For the duration of each simulation, clear sky conditions were assumed.

Both OIO and condensing organic vapour are treated as H₂SO₄, but with an alternative molar mass and molecular density. The OIO is considered to possess a molar mass of 158.90 g/mol with a molecular density of 4.98 g/mol, while the organic vapour is considered to have more sulphurderived properties, having a molar mass and molecular density of 98.08 g mol⁻¹ and 1.84 g/cm³, respectively. The transfer of sulfate, OIO, and the condensable organic vapour to the particles is based on Fuchs [15]. Mass transfer from the vapour species to the soluble and insoluble particles are distinguished by different mass accommodation coefficients, 1.0 for soluble and 0.3 for insoluble modes. The change in the mass due to nucleation and condensation of the vapour compounds are integrated over each time step. The vapour species are assumed to condense on all modes, resulting in a net increase of the mean modal radius.

Simulations were carried out to simulate nucleation occurring in typical marine conditions, using two of the parameterized nucleation schemes presented in Vuollekoski et al. [10] iodine-driven kinetic nucleation ($K_1 \times [OIO] \times [OIO]$) and particle formation deriving from sulfuric acid—iodine-driven activation nucleation ($K_2 \times [H_2SO_4] \times [OIO]$) [10]. The values for the kinetic parameters K_1 and K_2 were estimated by simulation of the observed aerosol formation bursts in the vicinity of Mace Head research station with an aerosol dynamical box model. The recommended values of these parameters are 3×10^{-12} ($3.5 \times 10^{-13} - 3.5 \times 10^{-10}$) cm³ s⁻¹ for K_1 and 3×10^{-10} cm³ s⁻¹ for K_2 .

In the presented simulations, the new particle formation arising from the contribution of the iodine oxides was assumed to occur during daylight hours, when marine halogen emissions were exposed to incoming solar radiation. Due to the lack of information on the quantities or the timescales involved in biogenic precursor gas emissions, it was assumed that emissions would be at a minimum at sunrise and



FIGURE 1: Concentrations of vapour species taken over 48 hours of simulation time.

sunset, reaching a peak around midday when incoming solar radiation is at its maximum. In the simulations where a condensable organic vapor is also present, its concentration, presented in Figure 1, was assumed to follow the same scheme, as this gas is also assumed to come about from biological production.

Throughout all simulations presented in this study, the peak sulphuric acid vapor concentration was 6×10^6 molecules cm⁻³, [16] with the peak iodine oxide vapor also being 6×10^6 molecules cm⁻³, while the peak concentration of the additional organic vapor, when present, was 1.2×10^7 molecules cm⁻³. The iodine oxide peak value is taken somewhat as arbitrary, noting that sensitivities were evaluated in Vuollekoski et al. [10]. The organic vapour concentration estimate is taken from [14].

The initial aerosol populations were also setup so as to describe typical clean marine background concentrations. While no particles were assumed to be present in the nucleation mode (0.5 nm-5 nm), the initial loadings of the other size ranges were 200 particles cm⁻³ for the Aitken (5 nm-50 nm) size range, 100 particles cm⁻³ for the accumulation (50 nm-500 nm) size range, and 2 particles cm⁻³ for the coarse (larger than 500 nm) size range. All of the mass in the coarse mode is assumed to be sea salt, corresponding to a wind speed of approximately $7 \text{ m} \cdot \text{s}^{-1}$. In the smaller size ranges, aerosol composition was assumed to be sulfate. For these parameters, the Aitken mode was initially centered $\sim 16 \text{ nm}$, the accumulation mode $\sim 75 \text{ nm}$, and the coarse mode around $\sim 700 \text{ nm}$.

For comparison, the sectional model used by Vuollekoski et al. [10] was setup to run with the assumptions used in this paper. The model is based on the UHMA model (University of Helsinki Multicomponent Aerosol model, [17]), which is a size-segregated box model incorporating all basic aerosol dynamical processes: nucleation, condensation, coagulation and dry deposition.

3. Results

3.1. General Simulations. Simulations were conducted over a 48-hour time period. The first simulation was carried out for condensing sulphuric acid and no nucleation as a base case scenario. This base case was completed with final concentrations of $171 \text{ particles } \text{cm}^{-3}$ for the Aitken mode, 105 particles cm⁻³ for the accumulation, and 2 particles cm^{-3} for the coarse modes, reflecting some coagulation loss in the Aitken mode along with growth of Aitken mode sizes into the accumulation mode over the 48-hour period. The contour plot of the size distribution over the period is shown in Figure 2. The number concentrations at sizes larger than 15, 25, 35 and 50 nm radius were 222, 150, 121, and 110 cm⁻³, respectively (Table 1). The second simulation related to iodine oxide self-nucleation ($K_1 \times$ $[OIO] \times [OIO]$). The size distribution evolution is shown in Figure 2, and the nucleation mode and Aitken mode particle concentrations are shown in Figure 3(a) where it can be seen that the simulation resulted in moderately highnumber concentrations in the nucleation mode, reaching a maximum of 7000 cm^{-3} during the first day, and 5000 cm^{-3} on the second day. For the Aitken mode, peak concentrations of 260 cm^{-3} are seen on the first day, rising to 430 cm^{-3} on the second day. Number concentrations larger than 15, 25, 35, and 50 nm radius were 366, 196, 134, and 110 cm⁻³, respectively (Table 1).

Model runs using the sulfuric acid-iodine-driven nucleation ($K_2 \times [H_2SO_4] \times [OIO]$) exhibited higher number concentrations compared to the OIO-OIO simulations. The evolving size distribution is shown in Figure 2, and the nucleation mode and Aitken mode concentrations are shown in Figure 3(b). The nucleation mode peaks at about 46,000 cm⁻³ on the first day, and on the second day the peak is just below 42,000 cm⁻³. The Aitken mode after the mid day peak in the production of OIO, with peak concentrations reaching 900 cm⁻³ on the first day, increased to 1568 cm⁻³ on the second day. By the end of the simulation, the number concentrations at sizes larger than 15, 25, 35, and 50 nm radius were 722, 243, 136 and 110 cm⁻³, respectively (Table 1).

The final simulation was for H₂SO₄-OIO plus a condensing organic vapour. Again, the evolving size distribution is illustrated in Figure 2 and the nucleation mode and Aitken mode concentrations are shown in Figure 3(c). For this simulation, similar peak nucleation concentrations were seen to the H₂SO₄-OIO case on day one and slightly less on day two. The Aitken mode, in contrast, shows significantly higher peak concentrations with >2500 cm⁻³ achieved on day one and 3026 cm⁻³ on day 2. By the end of the simulation, the number concentration at sizes larger than 15, 25, 35, and 50 nm radius were 1585, 556, 230, and 126 cm⁻³, respectively.

The change in the Aitken mode radius for the three simulations involving nucleation is shown in Figure 4. At the start of the simulation, the mode radius is 15.8 nm and increases to approximately 18 nm in all three cases.

TABLE 1: Comparison of absolute particle number concentrations increases for particles of radii more than 50, 35, 25, and 15 nm, using the different nucleation schemes, taken over 48 hours at vapor concentrations of 6×10^6 molec·cm⁻³ for the sulphuric acid and iodine along with 12×10^6 molec·cm⁻³ for the nonnucleating organic vapor.

Radius (nm)	Number concentration (cm ^{-3}) H ₂ SO ₄ only (no	Number concentration (cm ⁻³) OIO-OIO	Number concentration (cm ⁻³) H ₂ SO ₄ -OIO	Number concentration (cm ⁻³) H ₂ SO ₄ -OIO-COV
>15	221.5	266.1	700.2	1594 5
>15	221.5	300.1	122.5	1384.5
>25	150.4	196.0	243.1	556.3
>35	121.0	133.5	135.9	229.6
>50	109.5	111.2	110.0	125.6
Radius (nm)	Percentage increase H ₂ SO ₄ only (No nucleation)	Percentage increase OIO-OIO	Percentage increase H ₂ SO ₄ -OIO	Percentage increase H ₂ SO ₄ -OIO-COV
>15	_	65%	226%	615%
>25	_	30%	62%	270%
>35	_	10%	12%	90%
>50	_	2%	0.5%	15%

The OIO-OIO simulation takes the longest time to reach 18 nm while, as expected, the most rapid initial growth is seen for the case with the condensing organic vapour. After the mode reaches 18 nm, there is a sudden drop to approximately 12 nm in all cases, albeit at different rates. The drop results from the nucleation mode growing into the Aitken mode and reducing the mean or modal radius. Thereafter, the Aitken mode grows continuously to the end of the simulations with peak growth rates observed for all simulations during the rise in concentration of vapours associated with the second day's photochemical cycle.

For the accumulation mode, there is a marginal decrease in mode radius; however, as stated above, there is still a significant increase (15%) in particle concentration at sizes >50 nm and 90% at sizes larger than 35 nm. For the base case without nucleation but with H_2SO_4 -driven growth, maximum growth rates, in daylight hours, of 0.11 nm hour⁻³ is achieved. By comparison, with OIO nucleation and growth, combined with H_2SO_4 growth, growth rates achieved are 0.17 nm hour⁻¹. For the H_2SO_4 activation of OIO, subsequent growth reaches 0.3 nm hour⁻¹, while the final case with additional condensable vapors, the maximum growth rate achieved, is 0.48 nm hour⁻¹. Night time growth rates range from 0.002 nm hour⁻¹ for the base case to 0.072 nm hour⁻¹ for the organic vapor case.

The nucleation scheme involving sulfuric acid and iodine in the presence of organic condensable vapor is, not surprisingly, the most effective particle formation scheme, yielding particle concentrations of more than 3000 cm^{-3} in the Aitken mode. This scheme also produces the higher growth rates for both particles in the nucleation and Aitken modes. This increased particle number concentration and growth rates are due to the growth rate enhancement triggered by the additional organic vapor, allowing nucleation mode particles to grow more rapidly to larger and more stable size ranges. This also leads to an initial low Aitken mean modal radius as new particles grow from the nucleation mode. The OIO-OIO scheme exhibits the smallest peak particle number concentration compared to either of the other schemes, primarily due to the most rapid depletion of OIO, leaving much less vapour to condense on newly nucleated clusters and to grow them to stable sizes. This is also evident in a detailed examination of the mean particle radius for various size ranges within the Aitken mode.

4. Comparison of Modal and Sectional Models

A particular case study of an extended growth event is presented by way of comparison between modelled events and those measured. The presented event illustrates growth over a time exceeding 24 hours from JD236 to JD238. Particles with a modal peak at ~15 nm are deleted at the beginning of JD236 and continue to grow throughout the following 48 hours to almost 25 nm in radius. This welldefined episode, characterised by very high concentrations of nucleation mode particles in the 1.5–3 nm range, is in the absence of either anthropogenic modification or coastal nucleation events. Analysing this extended growth period, suitable numbers can be acquired to reproduce this event from a modelling approach.

Recent open ocean nucleation studies have pointed to a lifetime of approximately 15 hours before detection [14]. A nucleation event was therefore simulated using the H₂SO₄-OIO-COV scheme beginning 15 hours before detection time in order to test the validity of the model. Modal radii and concentration were then compared. Peak vapour concentrations of 6×10^6 , 6×10^6 , and 1.8×10^7 molec·cm⁻³ were used for the concentration of H₂SO₄, OIO, and the COV respectively. A vapour concentration of 1.8×10^7 molec·cm⁻³ was used in this case study as this was found to better simulate the growth of the open ocean plume case study than the 1.2×10^7 molec·cm⁻³ value used in initial simulations. This differs from the value calculated by O'Dowd et al. [14]





FIGURE 2: (a) Base case of H_2SO_4 growth without nucleation (b) kinetic self (OIO) nucleation and growth (c) H_2SO_4 -OIO nucleation and (d) H_2SO_4 -OIO nucleation plus condensation of organic vapours.

 $(1.2\times10^7\,{\rm molecules\,cm^{-3}})$ marginally and can be attributed to differences in the measured and modelled size distribution condensation sinks. Vapour concentrations were assumed to have a daily sinusoidal concentration as in previous simulations.

The developing size distributions are shown in Figure 5, and some key differences in the modal and sectional approaches are evident. The modal model will transfer particles from one mode to the adjacent mode if the lognormal size distribution has grown a sufficient amount so as to intersect the adjacent mode. This process is evident in Figure 5(b) in which we see an increases in the Aitken mode concentration during a growth period, but a decrease in the mean mode radius of the nucleation mode as the larger particles are transferred to the Aitken mode. The sectional model in contrast has a steady growth of the nucleation mode into the Aitken size range.

The Aitken mode concentration and mode radius are compared in Figure 6. The modal model's Aitken mode concentration is lower in comparison with the sectional model; however there is a higher degree of correlation between the modal model and that measured. The sectional



FIGURE 3: (a) Particle concentrations from the iodine oxide self-nucleation scheme nucleation scheme taken over 48 hours at maximum OIO vapor concentrations of 6×10^6 molecules cm⁻³. (b) Particle concentrations from the sulfuric acid-iodine-driven nucleation schemes taken over 48 hours at maximum vapor concentrations of 6×10^6 molecules cm⁻³ for both vapors. (c) Particle concentrations of sulfuric acid-iodine-based nucleation scheme in the presence of organic condensable vapor nucleation schemes taken over 48 hours at maximum vapor concentrations of 6×10^6 molecules cm⁻³ for the sulphuric acid and iodine, along with a maximum of 12×10^6 molecules cm⁻³ for the nonnucleating organic vapor.

model has a higher Aitken mode concentration due to a more constant growth of nucleation mode particles. Examining Figure 6(b) it can be seen that there was reasonably good correlation between the mode radii of the modal and sectional models. Both models however exhibit a larger mode radius than that of measured events, with radii at the end of the simulation of 16 nm, 23 nm, and 27 nm for the measured, modal and sectional plumes, respectively. There is a somewhat higher modal radius in the sectional model results over the measurement period; however this is mainly due to the merging of the nucleation and Aitken mode when the nucleation mode particle population has grown to comparable sizes. The modal model results show lower numbers during the measurement period mainly due to the aforementioned differences in the modal and sectional techniques, leading to lower numbers of instances of modal merging.

5. Discussion

These findings are in qualitative agreement with those of Vuollekoski et al. [10] who also found, using a sectional aerosol model and some different assumptions relating to the environmental conditions that iodine oxide nucleation did lead to significant nucleation, but very few of the newly formed particles grow to large sizes without the presence of a low-volatility organic vapor. Vuollekoski et al. [10] suggested that condensable vapours with concentrations of the order of 10^6 molecules cm⁻³ were sufficient for noteable particle production. The current results suggest that concentrations larger that 50 nm radius and 90% for sizes larger than 35%. Vuollekoski et al. [10] also suggested that iodine oxides were likely to lead to cloud condensation nuclei sizes if air had advected



FIGURE 4: Comparison between aitken mode particle radii of the different nucleation schemes (on the left)

over large plankton blooms extending over hundreds of kilometres where sustained iodine oxide production could prevail. While the OIO concentrations in this study have been arbitrarily chosen, they represent the concentration fields required to have a noninsignificant impact on the marine aerosol population, particularly at sizes larger that 35 nm and 50 nm radius where they can contribute to the marine cloud condensation nuclei population.

Recent work has revealed that open-ocean new particle formation and growth events are not uncommon over biologically-rich oceans such as the North East Atlantic [13, 14]. O'Dowd et al. [14] document some typical example of continuous growth over periods of up to 48 hours and calculated growth rates of the order of 0.8 nm hour⁻¹ whereas Ehn et al. [13] reported an average growth rate over four events of 3 nm hour⁻¹. The O'Dowd et al. [14] events show, more or less, constant growth throughout the 48-hour period, even at night time, while the study here shows high growth rates during daylight hours as condensable vapours are produced. Perhaps the reason constant growth relates to the increased ability for some organic molecules to condense to larger particles leading to a scenario whereby the lower volatility organics are condensing to the smallest particles during daytime while a reservoir of more volatile particles are accumulating to become available at night time and when the growing particles get larger and the Kelvin effect lesser.

6. Conclusions

Three nucleation schemes involving iodine oxides were implemented in the modal aerosol model M7 to explore the feasibility of iodine oxides contributing to marine new



FIGURE 5: (a) Recorded open ocean nucleation event, (b) a modeled nucleation event beginning 15 hours before plume detection using modal model, and (c) a modeled nucleation event beginning 15 hours before plume detection using sectional model.

particle formation and growth. The two nucleating species considered were OIO and H₂SO₄, each with a midday peak concentration of 6×10^6 molecules cm⁻³, decreasing to zero at sunrise and sunset. A kinetic OIO self-nucleation scheme was found to increase the background aerosol population $(N = 300 \text{ cm}^{-3})$ by 65% for r > 15 nm, decreasing to 2% for r > 50 nm. Activation of OIO by H₂SO₄ vapours increased the aerosol population by 226% for r > 15 nm, decreasing to 0.5% for r > 50 nm. When the latter case was considered with an additional condensable low volatility vapour with a concentration of 1.2×10^7 molecules cm⁻³, the largest increase in aerosol concentration was observed where the aerosol concentration increased by 615% for sizes



FIGURE 6: (a) Comparison of mode concentration and (b) mode radius between modeled and measured plumes.

r > 15 nm and by 15% for r > 50 nm. For the clean marine cases considered, where the total aerosol concentration was 300 cm⁻³, after a nucleation burst *via* activation of OIO by H₂SO₄ vapours, and subsequent growth by a low volatility organic vapour, peak Aitken mode particle concentrations exceeded 3000 cm⁻³, while the concentration at the end of two days of simulations at sizes r > 15, r > 25, r > 35, and r > 50 nm were 1585 cm⁻³, 556 cm⁻³, 230 cm⁻³, and 126 cm⁻³, respectively, suggesting that the combination of iodine oxide activation by sulphuric acid and subsequent growth by an organic vapour can significantly impact on the marine aerosol population number concentration. Changes of these magnitudes can have notable impact on marine stratiform cloud properties given the susceptibility of such clouds. A comparison case study analysis using the modal

model and a sectional model displays reasonably good results. Despite the mode-jumping associated with modal models, the growth of the Aitken mode was predicted quite well and suggests that a modal model is suitable for large scale modelling applications.

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