

Practical Considerations Regarding Power Factor for Nonlinear Loads

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Abstract—The choice of LC compensator may be constrained by the availability of manufactures units. To account for this, the capacitor values are chosen from among standard values and for each value the transmission losses is minimized, or power factor is maximized, or transmission efficiency is maximized. The global minimum or maximum is obtained by scanning all local minimis or maxims. The performance of the obtained compensator is discussed by means of numerical examples.

Index Terms—Harmonics, power factor, reactive power.

NOMENCLATURE

R_{LK}, X_{LK}	Load resistance and reactance at harmonic number K (in ohms).
G_{LK}, B_{LK}	Load conductance and susceptance at harmonic number K (in ohms).
R_{TK}, X_{TK}	Transmission system resistance and reactance at harmonic number K (in ohms).
X_L, X_C	Fundamental inductive and capacitive reactance of the compensator (in ohms).
R	Resistance of the compensator reactor (in ohms).
I_{SK}	Supply current at harmonic number K (in amps).
I_S	RMS value of supply current (in amps).
I_{1K}	Load current at harmonic number K (in amps).
I_{LK}	Load harmonic current (in amps).
I_{CK}	Capacitor current at harmonic number K (in amps).
P_L	Load power (in watts).
P_S	Supply power (in watts).
V_{LK}	Load voltage at harmonic number K (in volts).
V_{SK}	Supply voltage at harmonic number K (in volts).
V_L	RMS value of load voltage (in volts).
f_o	Frequency (in Hertz).
$\omega_o = 2\pi f_o$	rad/s.

I. INTRODUCTION

SEVERAL methods have been proposed for optimal power factor correction at nonlinear loads [1]–[11]. The procedures used by these references accounted for harmonics

injected by nonlinear loads. Based on some simplifying assumptions, compensator selection and system modeling at both fundamental and harmonic frequencies are derived.

On the other hand, if a nonlinear load generates significant harmonic currents locally, tuned filters may be installed to prevent the currents from being injected into the system. However, such filters are resorted only for heavily nonlinear loads because of high cost. One important side effect of adding a filter is that it creates a sharp parallel resonance point at a frequency below the notch frequency [12]. This resonant frequency must be safely away from any significant harmonic. Filters are commonly tuned slightly lower than the harmonic to be filtered to provide a margin of safety in case there is some change in system parameters. If they were tuned exactly to the harmonic, changes in either capacitance or inductance with temperature or failure might shift the parallel resonance into the harmonic. This could present a situation worse than without a filter because the resonance is generally very sharp. For this reason, filters are added to the system starting with the lowest problem harmonic. For example, installing a seventh-harmonic filter usually requires that a fifth-harmonic filter also be installed. The new parallel resonance with a seventh filter only would have been very near the fifth, which is generally disastrous.

In [13], both the equivalent source and load are considered to generate harmonics. It is assumed that the load harmonics are not sufficiently serious to suggest tuned filters, but when combined with source harmonics, the use of a pure capacitive compensator would degrade power factor and overload equipment. Consequently, an LC compensator is selected. The different criteria for the design of the LC compensator are discussed taking into consideration the nonlinearity of the load by using direct polytope search method.

In this paper, the manufacturer's standard values for power shunt capacitors are taken into consideration. These values are considered as constraints in the sense that the solution for the capacitor should be one of the standard values. The different criteria for the design of the LC compensator are discussed by using Golden Section Search method. The reason for doing this is to compare the values obtained [13] with real practical values in the market. Reference [14] shows the voltage and reactive power ratings of shunt capacitors. The inductive reactive values are almost continuous and there is little limitation on the manufacturer's values.

II. COMPENSATED NONLINEAR LOADS

Consider the system shown in Fig. 1.

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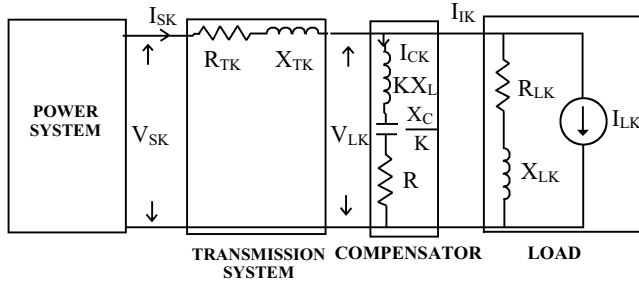


Fig. 1. Configuration of the study system.

Let X_C be the capacitive reactance, X_L is in inductive reactance, and R the compensator resistance at the fundamental frequency.

The compensating impedance Z_{CK}

$$Z_{CK} = R + j \left(KX_L - \frac{X_C}{K} \right)$$

let $Z_{CLK} = Z_{CK}$ in parallel with Z_{LK} , let $Z_{TLK} = Z_{TK}$ in parallel Z_{LK} , let $Z = Z_{TK}$ in series Z_{CLK} .

After some complex algebraic manipulations, the expression for the K th harmonic source current and load voltage are given by

$$I_{SK} = \frac{V_{SK}(AR + jBR) + I_{LK}(CR)}{A_{IK} + jA_{JK}} \quad (1)$$

$$V_{LK} = \frac{V_{SK}(CR) - I_{LK}(DR * ER)}{A_{IK} + A_{JK}} \quad (2)$$

where

$$AR = R + R_{LK}$$

$$BR = \left(X_{LK} + KX_L - \frac{X_C}{K} \right)$$

$$CR = R_{CLK} + jX_{CLK}$$

$$DR = R + j \left(KX_L - \frac{X_C}{K} \right)$$

$$ER = R_{TLK} + jX_{TLK}$$

$$A_{IK} = R_{TLK} + R(R_{LK} + R_{TK})$$

$$- (X_{LK} + X_{TK}) \left(KX_L - \frac{X_C}{K} \right)$$

$$A_{JK} = X_{TLK} + R(R_{LK} + X_{TK})$$

$$+ (R_{LK} + R_{TK}) \left(KX_L - \frac{X_C}{K} \right)$$

$$R_{TLK} = R_{TK}R_{LK} - X_{TK}X_{LK}$$

$$X_{TLK} = R_{TK}X_{LK} + X_{LK}R_{LK}$$

$$R_{CLK} = R_{LK} - X_{LK} \left(KX_L - \frac{X_C}{K} \right)$$

$$X_{CLK} = R_{LK} + X_{LK} \left(KX_L - \frac{X_C}{K} \right).$$

III. IDENTIFICATION OF SKIN EFFECT

Reference [15] demonstrates that the representation of the power system loads and extended networks can be improved

by using alternative models. The distribution system, loads, other elements, and equivalents of extended networks have been considered in detail. The models developed allow a more realistic representation of the system and, consequently, a more accurate assessment of the harmonic currents and voltages throughout the transmission network. Guidance has been provided on modeling of individual loads and on typical load composition. System tests are necessary to provide verification of the modeling methodology developed, as well as adding to the knowledge of system load characteristics. This effect will be applied in the analysis as applied in [13].

IV. HARMONIC RESONANT CONSTRAINT

It is essential to identify the value of inductor and capacitors KX_L and X_C/K that can cause resonance as described in [13]. A series resonance line represents all possible combinations of X_C and X_L values which result in series resonance between the Thevenin impedance and compensated load at source harmonic frequency. Under these conditions, the power factor will reach a minimum. It is evident that the number of series resonance lines will depend on the number of harmonics presents in the Thevenin source. These resonance lines are obtained by setting the imaginary part of the impedance seen from the Thevenin source to zero resulting in a quadratic equation in X_C and X_L for any given harmonic order K

$$A_1 \left(KX_L - \frac{X_C}{K} \right)^2 + A_2 \left(KX_L - \frac{X_C}{K} \right) + A_3 = 0 \quad (3)$$

where

$$A_1 = X_{TK} + X_{LK}$$

$$A_2 = R_{LK}^2 + X_{LK}^2 + 2X_{LK}X_{TK}$$

$$A_3 = R^2X_{LK} + X_{TK} [(R + R_{LK})^2 + X_{LK}^2].$$

Solving (5) for finding X_L and X_C

$$KX_L - \frac{X_C}{K} = \frac{-A_2 \pm \sqrt{A_2^2 - 4A_1A_3}}{2A_1}. \quad (4)$$

Hence, using only the set values for shunt capacitors, we can obtain values for the inductive reactance since (4) will then become a one variable equation in X_L only.

V. FORMULATION OF THE SEARCH ALGORITHM

Each value of the reactive power ratings Q_{ci} of the particular voltage is used to calculate the corresponding value of X_{ci} . This value is then substituted into the objective function to become one variable equation in X_L , which can be solved by using the golden section search method

A) maximizing the power factor

Find X_{Ci} , X_L

$$\text{To maximize: } PF = \frac{P_L}{V_L I_S}$$

$$= \frac{\sum G_{LK} V_{LK}^2}{\sqrt{\sum I_{SK}^2 + V_{SK}^2}}. \quad (5)$$

Subject to X_{Ci} , X_L is not part of solution of (4).

- B) Minimizing the transmission loss
Find X_{Ci} , X_L

$$\text{To minimize: } TL = \sum_K I_{SK}^2 R_{TK} \quad (6)$$

Subject to X_{Ci} , X_L is not part of solution of (4)

- C) Maximizing the transmission efficiency
Find X_{Ci} , X_L

$$\begin{aligned} \text{To maximize: } \eta &= \frac{P_L}{P_S} \\ &= \frac{\sum G_{LK} V_{LK}^2}{\sum I_{SK}^2 R_{TK} + \sum G_{LK} V_{LK}^2}. \end{aligned} \quad (7)$$

Subject to X_{Ci} , X_L is not part of solution of (4).

The power factor PF , the transmission loss TL , and the transmission efficiency η can be expressed as functions of X_C and X_L , using the network equations. The golden section search algorithm can then be applied to find the compensator values maximizing the power factor, minimizing the transmission loss, and maximizing the transmission efficiency. The suggested algorithm [16] is discussed below.

- Step 1) Choose the first value of the standard manufactured reactive power rating of capacitors in kVAR [14]

$$Q_{Ci} = \{Q_{c1}, Q_{c2} \dots Q_{cn}\} \quad (8)$$

where n is the number of discrete values available for the particular voltage rating used and i has a starting value of 1.

- Step 2) Using only the selected value of Q_{ci} , calculate X_{Ci} from the following equation:

$$X_{Ci} = \frac{V_{S1}^2}{Q_{ci}}. \quad (9)$$

- Step 3) Substitute the value of X_{Ci} into the objective function to become one variable problem in X_L .
Step 4) Using golden section search algorithm [17] to solve (5), (6), and (7) for optimal X_L .
Step 5) If $i = n$ stop; otherwise, replace i by $(i + 1)$ and go to step 1.
Step 6) After stopping, scan through to get the global minimum or maximum.

The golden section search algorithm was chosen due to that it requires fewer steps and function evaluations. The algorithm is discussed below. The following notations are used in the algorithm:

- L_u upper bound of the search interval of capacitor value;
 L_L lower bound of the interval;
 Δ interval at each iteration step;
 L_1, L_2 points within the interval where $L_1 < L_2$;
 $f(L)$ objective function;
 \in convergence criterion for the algorithm.

Given the value of L_u , L_L , and $\lambda = (3 - \sqrt{5})/2$, the following steps illustrates the algorithm:

- Step 1) calculate

$$\begin{aligned} \Delta &= L_u - L_L \\ L_1 &= L_L + \lambda \Delta \end{aligned}$$

and

$$L_2 = L_u - \lambda \Delta.$$

Evaluate $f(L_1)$ and $f(L_2)$;

- Step 2) if $f(L_1) \leq f(L_2)$, go to step 7;
Step 3) set $L_L = L_1$ and $f(L_L) = f(L_1)$;
Step 4) set $L_1 = L_2$ and $f(L_1) = f(L_2)$;
Step 5) set $L_2 = L_u - \lambda(L_u - L_L)$ and evaluate $f(L_2)$;
Step 6) go to step 10;
Step 7) set $L_u = L_2$ and $f(L_u) = f(L_2)$;
Step 8) set $L_2 = L_1$ and $f(L_2) = f(L_1)$;
Step 9) set $L_1 = L_L + \lambda(L_u - L_L)$ and evaluate $f(L_1)$;
Step 10) if $(L_u - L_L) \geq \epsilon$, go to step 2; otherwise, stop.

Note that in order to have the algorithm to guarantee convergence, the objective functions have to be unimodal functions [18].

Due to the resonant conditions, there might be local minimums (or maximums) to which the solution will converge. To avoid this problem, the precalculated compensator values for series resonance will be used to subdivide the entire search region into numeral small regions. Within these regions, the local minimums (or maximums) are identified and, hence, the global minimum (or maximum).

In the optimization process, the resistance of the compensator reactor has been neglected due to its small value with respect to its fundamental reactance (less than 5%) [19].

VI. EXAMPLES AND SIMULATED RESULTS

Four cases of an industrial plant were simulated using the optimization method. The numerical data were primarily taken from an example in [20] where the inductive three-phase load is 5100 kW with a displacement factor of 0.717. The 60-cycle supply bus voltage is 4.16 kV (line-to-line). Reactive power ratings for voltage of 4.16 kV are 50, 100, 150, and 200 kVAR. The data values are given in Table I.

Table II shows that when the LC solution producing the optimal capacitor value does not lie within the manufacturing standards, then it is preferable to use these standard values to solve for the optimal conditions. In such a situation, there is bound to be a difference in the optimal solution from that obtained.

Table III shows a summary of the results for nonlinear load. The optimum compensation can be achieved with substantial improvement in power factor, reduction in transmission losses, and an increase in transmission efficiency. This is especially true if no manufacture constraints are imposed [13]. Results from this study indicate that having large capacitors does not mean higher power factor when nonlinear loads are fed from nonsinusoidal sources.

Comparison of the results shows that a lower short-circuit capacity corresponds to a higher power factor at the same conditions. This to be expected since with higher transmission impedance, less harmonic current will flow into the compensated load. Also it is shown that additional harmonic contents result in lower power factor. This is caused by the increase

TABLE I
SYSTEM PARAMETERS AND SOURCE HARMONICS

Parameters & Harmonics	Case 1	Case 2	Case 3	Case 4
Short Circuit MV A	150	150	80	80
$R_{T1} (\Omega)$	0.01154	0.01154	0.02163	0.02163
$X_{T1} (\Omega)$	0.1154	0.1154	0.2163	0.2163
$R_{L1} (\Omega)$	1.742	1.742	1.742	1.742
$X_{L1} (\Omega)$	1.696	1.696	1.696	1.696
$V_{S1} (kV)$	2.4	2.4	2.4	2.4
$V_{S3} (\%V_{S1})$	0	0	0	3
$V_{S5} (\%V_{S1})$	5	7	5	5
$V_{S7} (\%V_{S1})$	3	7	3	3
$V_{S11} (\%V_{S1})$	2	2	2	2
$V_{S13} (\%V_{S1})$	1	1	1	1
$I_{L3} (A)$	304	304	304	304
$I_{L5} (A)$	33	33	33	33
$I_{L7} (A)$	25	25	25	25
$I_{L9} (A)$	26	26	26	26
$I_{L11} (A)$	8	8	8	8
$I_{L13} (A)$	9	9	9	9

TABLE II
SIMULATED RESULTS FOR THE OPTIMIZATION METHOD IN [13]

Criteria	$X_C (\Omega)$	$X_L (\Omega)$	PF (%)	$I_S (A)$	$\eta (\%)$	TL (kW)
Case 1						
Min. TL	4.06	0.45	98.85	705.12	99.66	5.74
Max. PF	3.84	0.40	99.01	705.34	99.66	5.74
Max. η	3.91	0.43	98.98	705.13	99.66	5.74
Case 2						
Min. TL	4.06	0.45	98.74	706.12	99.66	5.75
Max. PF	3.85	0.41	98.89	706.39	99.66	5.76
Max. η	3.91	0.43	98.87	706.13	99.66	5.75
Case 3						
Min. TL	4.19	0.46	95.17	700.46	99.36	10.61
Max. PF	3.59	0.28	97.26	706.75	99.36	10.80
Max. η	3.95	0.47	95.19	701.55	99.37	10.65
Case 4						
Min. TL	4.19	0.47	95.01	701.24	99.36	10.64
Max. PF	3.60	0.30	96.75	709.39	99.36	10.89
Max. η	3.97	0.45	95.50	702.31	99.37	10.67

in compensated line current due to the additional harmonics, cases 2, 4.

Based on the results and experience gained from this study, additional observations are made on the concept of power factor correction in nonsinusoidal systems.

- 1) The three criteria—minimization of the transmission loss, maximization of the power factor, and maximization of the transmission efficiency, lead to different optimal solutions, although the corresponding performance may be not very different.
- 2) Passive compensator value that would produce a unity power factor is not physically realizable.
- 3) Neglecting the resonant phenomena in the analysis would lead to erroneous results.
- 4) Due to resonant conditions, an increase of shunt compensator does not necessarily produce an improved power

TABLE III
SIMULATED RESULTS FOR THE PRESENTED OPTIMIZATION METHOD

Criteria	$X_C (\Omega)$	$X_L (\Omega)$	PF (%)	$I_S (A)$	$\eta (\%)$	TL (kW)
Case 1						
Min. TL	4.61	0.79	96.31	713.22	99.65	5.87
Max. PF	3.39	0.34	98.19	715.79	99.65	5.91
Max. η	4.27	0.79	96.55	714.14	99.65	5.89
Case 2						
Min. TL	4.61	0.79	96.28	714.02	99.65	5.88
Max. PF	3.39	0.34	98.07	716.87	99.65	5.93
Max. η	4.27	0.79	96.51	714.95	99.65	5.90
Case 3						
Min. TL	4.61	0.75	89.47	703.57	99.36	10.71
Max. PF	3.72	0.33	97.04	704.33	99.37	10.73
Max. η	4.27	0.74	89.77	704.25	99.36	10.72
Case 4						
Min. TL	4.61	0.75	89.13	706.58	99.35	10.80
Max. PF	3.72	0.33	96.66	707.08	99.36	10.81
Max. η	4.27	0.74	89.42	707.38	99.36	10.82

factor operation as predicted by fundamental frequency analysis.

- 5) Due to the uncertainties in system parameter data, one might consider a suboptimal compensation if resonant conditions occur at various compensator values very close to the optimal values.
- 6) The harmonic voltage distortion generated by a nearby customer could produce, to another customer, a low power factor-operating problem of which a simple and economic solution may not be feasible.
- 7) The analysis is also applicable for more general loads, even if the structure is more complex than in Fig. 1. It suffices to use suitable G_{LK} and B_{LK} characteristics.
- 8) It can be concluded that erroneous results are obtained if the source is assumed to be devoid of harmonics or that the source impedance is neglected as in the conventional methods [3], [16], [17].

VII. CONCLUSION

For nonlinear loads, it is necessary to use LC compensators. Such compensators have dual purposes. The first is that it acts as a compensator to improve the power factor of the nonlinear loads. Second, it acts as a filter of the harmonic load currents, thus preventing the proliferation of the network with these currents. One problem that is addressed is whether the values obtained from theoretical optimization solution can be obtained from standard manufactured values. Depending on the voltage, manufacturers have discrete capacitors values. For this reason, a new solution algorithm has to be developed taking into consideration the discrete nature of standard values. Finally, the presented method is only attractive if the number of capacitors to choose from is limited. However, the problem may become complicated and lengthy if the number of capacitors is very high and if the inductor values have to be discrete as well. Under such conditions, an intelligent way of searching has to be found.

Ongoing research effort consists of the modification of this method to determine the effect of time variation of system impedance and voltage harmonics. Note that this effort is in conjunction with the concern and activities in the IEEE Power Engineering Society on harmonics and their effects on the power system operation.

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