

1 Seasonal variability of eddy kinetic energy in a global
2 high-resolution ocean model

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3 A global ocean model with $1/12^\circ$ horizontal resolution is used to assess the
4 seasonal cycle of surface Eddy Kinetic Energy (EKE). The model reproduces
5 the salient features of the observed mean surface EKE , including amplitude
6 and phase of its seasonal cycle in most parts of the ocean. In all subtropi-
7 cal gyres of the Pacific and Atlantic, EKE peaks in summer down to a depth
8 of ~ 350 m, below which the seasonal cycle is weak. Investigation of the pos-
9 sible driving mechanisms reveals the seasonal changes in the thermal inter-
10 actions with the atmosphere to be the most likely cause of the summer max-
11 imum of EKE . The development of the seasonal thermocline in spring and
12 summer is accompanied by stronger mesoscale variations in the horizontal
13 temperature gradients near the surface which corresponds, by thermal wind
14 balance, to an intensification of mesoscale velocity anomalies towards the sur-
15 face.

1. Introduction

16 Since the advance of satellite altimetry and eddy-resolving ocean general circulation
17 models the global view of mesoscale Eddy Kinetic Energy (EKE) and its statistics is
18 constantly improving. Recent advances include the documentation of temporal variations
19 in EKE which have spurred new consideration of the sources and sinks of the ocean
20 eddy field. Using satellite altimetry *Zhai et al.* [2008] and *Scharffenberg and Stammer*
21 [2010] obtained the striking result that surface EKE peaks in summer over most of the
22 subtropical gyres and Western Boundary Current regions (WBCs) in both hemispheres,
23 while it peaks in winter in the Pacific's subpolar gyre and the Labrador Sea, and has no
24 significant seasonal cycle in most of the eastern basins and the Southern Ocean. Regional
25 studies confirm this for the North [*Qiu, 1999*] and South Pacific [*Qiu and Chen, 2004*]
26 subtropical gyres.

27 Local maxima in EKE in the vicinity of strong currents and fronts can easily be ex-
28 plained by baroclinic and barotropic instabilities caused by sharp gradients in velocity.
29 Interannual changes in these instabilities, driven by either meridional shifts of the asso-
30 ciated currents [*Hakkinen and Rhines, 2009*] or indirect effects of the wind forcing (pre-
31 conditioning through Sverdrup flow [*Garnier and Schopp, 1999*], Ekman convergence and
32 frontogenesis [*Qiu and Chen, 2010; Volkov and Fu, 2011*]), are thought to drive EKE
33 variability on interannual timescales. However the generation of EKE in the interior of
34 the midlatitude oceans is not well understood [*Xu et al., 2011*] and several theories exist
35 to explain EKE variability on seasonal timescales. Neither local wind forcing [*Stammer,*
36 1997] nor remote sources that radiate EKE into the interior of the subtropical gyres

37 [*Stammer et al.*, 2001] were found to satisfactorily explain the observed energy levels and
38 spectra. It has been shown that the interior of the subtropical gyres can favor local
39 generation of *EKE* by baroclinic instability, at least in regions where weak currents are
40 present [*Beckmann et al.*, 1994; *Arbic*, 2000]. *Qiu* [1999] and *Qiu and Chen* [2004] argue
41 that seasonally varying baroclinic instabilities between subtropical countercurrents and
42 underlying equatorial currents are the cause for the observed seasonal cycle of surface
43 *EKE* in parts of the North and South Pacific. Additionally, when considering temporal
44 variability, dissipation of surface *EKE* through wind work [*Zhai and Greatbatch*, 2007]
45 and heat fluxes [*Zhai and Greatbatch*, 2006a, b] has to be taken into account. These dis-
46 sipation processes were suggested to be driving the seasonal variability of surface *EKE*
47 in the Gulf Stream region, with weaker dissipation in summer [*Zhai et al.*, 2008].

48 Here, we report on high-resolution model simulations that shed new light onto the mech-
49 anisms of seasonal variability of surface *EKE*. We use a global ocean-sea ice model with
50 $1/12^\circ$ resolution to assess the spatial pattern of the annual cycle of *EKE* in comparison
51 to surface altimetry. We inspect the vertical structure of the annual cycle and discuss the
52 roles of several possible driving mechanisms with a focus on the subtropical gyres of the
53 Atlantic and Pacific Oceans.

2. Data, Model and Methods

54 The observational data of geostrophic surface currents used in this study were obtained
55 from Sea Surface Height (*SSH*) measurements by satellite altimetry and distributed by
56 Archiving, Validation and Interpretation of Satellite Oceanographic Data (AVISO). It
57 combines altimetry measurements from TOPEX/Poseidon, Jason-1, ERS-1/2 and Envisat

58 onto a $1/4^\circ \times 1/4^\circ$ grid, provided with a time step of one day, spanning the period from
59 01.01.1993-31.12.2012. More information on AVISO data and associated errors are found
60 in *Le Traon et al.* [1998], *Ducet et al.* [2000] and *SSALTO/DUACS* [2011].

61 The model output is from a high-resolution global ocean-sea ice simulation using a model
62 configuration (ORCA12) based on the NEMO code [*Madec et al.*, 1998], developed as part
63 of the DRAKKAR collaboration. The various ORCA12 configurations developed in recent
64 years [*DRAKKAR Group*, 2014] share the same global, orthogonal, curvilinear, tripolar
65 Arakawa-C type grid with a nominal resolution of $1/12^\circ$ in longitude. An ensemble of
66 simulations from the ORCA12-suite has been used previously to examine the freshwater
67 transport in the South Atlantic [*Deshayes et al.*, 2013] and the salt transport in the global
68 ocean [*Tréguier et al.*, 2014]. The particular (Kiel) version of ORCA12 uses 46 vertical
69 levels with 6 m thickness at the surface, increasing towards ~ 250 m in the deep ocean
70 and a partial-cell formulation at the bottom [cf. *Barnier et al.*, 2006]. The atmospheric
71 forcing for the 30-year hindcast simulation (1978-2007) utilizes the bulk formulations
72 and data products comprised in the CORE.v2 [*Griffies et al.*, 2009; *Large and Yeager*,
73 2009]. The model analysis focuses on the years after 1981 when the upper ocean *EKE*
74 is in a quasi-equilibrium state, using 5-day mean model fields. For the calculation of
75 $EKE = 0.5(u'^2 + v'^2)$, the zonal and meridional surface velocity fluctuations $(u', v') =$
76 $(u - \bar{u}, v - \bar{v})$ represent the deviations from the annual-mean surface velocities (\bar{u}, \bar{v}) ,
77 obtained by averaging the velocities (u, v) over each individual calendar year. Calculating
78 (u', v') with respect to a moving average (\bar{u}, \bar{v}) , i.e. a yearly or 3-month (removing the
79 seasonal and interannual variability of the mean) average centered at the same time as

80 the 5-day average, did not change amplitude and phase of the annual cycle of EKE
81 significantly. The deviations of 5-day means from a yearly mean horizontal velocity are
82 found to be more appropriate for seasonal EKE calculations [cf. *Penduff et al.*, 2004;
83 *Rieck*, 2014] (Figure S1) than using the long time mean as in some previous studies
84 [e.g. *Zhai et al.*, 2008]. EKE from surface velocities (u, v) includes a contribution from
85 ageostrophic, e.g. Ekman, currents, which are not represented by EKE calculated from
86 altimetry products. However, the mean, amplitude and seasonal cycle of EKE calculated
87 from (u, v) do not differ significantly from EKE calculated from geostrophic currents
88 from the model simulation in the subtropical gyres (cf. Figure S1). We thus use (u, v) for
89 our analysis, as no further data processing is required.

3. Results

3.1. The annual cycle of EKE

90 The model realistically reproduces the spatial distribution of mean surface EKE com-
91 pared to observations (Figure 1a and b) [e.g. *Zhai et al.*, 2008; *Scharffenberg and Stammer*,
92 2010]. All major currents are indicated by elevated EKE and the minima are located in
93 the interior of the subtropical and subpolar gyres. Highest EKE levels are found in the
94 vicinity of the northern hemisphere (NH) WBCs and the Agulhas Retroflexion, reaching
95 1000-3000 cm^2/s^2 . These values are comparable to the EKE values inferred from satel-
96 lite altimetry [e.g. *Zhai et al.*, 2008; *Xu et al.*, 2011]. Other regions with EKE of up
97 to 1000 cm^2/s^2 include the southern hemisphere (SH) WBCs, equatorial regions and the
98 Antarctic Circumpolar Current (200-500 cm^2/s^2), where ORCA12, in some parts, simu-
99 lates EKE somewhat higher than found in observations. In the interior subtropical gyres

100 EKE ranges between 5 and 50 cm^2/s^2 , the SH generally shows lower values. Near current
101 bands, e.g. subtropical countercurrents, EKE can be as high as $\sim 300 \text{ cm}^2/\text{s}^2$.

102 The simulated seasonal variability of EKE is compared globally to EKE derived from
103 altimeter products by fitting a function of the form $A \cos(\omega - \phi)$ to monthly climatological
104 EKE , with $\omega = 2\pi t/12$, $t = 1, \dots, 12$ (representing the months) and ϕ being the phase
105 of the annual cycle. The distribution of the amplitude of the annual cycle of surface
106 EKE closely follows the mean EKE (Figure 1a and b). Areas with a high mean EKE
107 exhibit a high amplitude of the annual cycle. Amplitudes of $200 \text{ cm}^2/\text{s}^2$ and more can be
108 found in some parts of the WBCs. Away from the WBCs amplitudes up to $100 \text{ cm}^2/\text{s}^2$
109 are common in the western Pacific, while in the eastern Pacific and Atlantic subtropical
110 gyres, amplitudes are generally lower than $30 \text{ cm}^2/\text{s}^2$ with minima $< 5 \text{ cm}^2/\text{s}^2$.

111 The phase of the annual cycle of surface EKE (the month with highest EKE) is in
112 summer in all subtropical gyres (Figure 1c), in agreement with previous observational
113 studies [Zhai *et al.*, 2008; Scharffenberg and Stammer, 2010] and analysis of AVISO data
114 (Figure 1d). The phase from AVISO leads the simulated phase by one month in the
115 interior subtropical gyres. This becomes especially apparent in the North and South
116 Pacific, where more areas exhibit maximum EKE in May and October, respectively, in
117 the observational data.

118 A closer investigation of the simulated phase of the annual cycle reveals, that in the
119 North Pacific, the Kuroshio Extension represents a transition zone between the subtropical
120 and subpolar regimes. Maximum EKE is found in summer as far north as the axis of
121 the Kuroshio Extension (indicated by highest EKE in Figure 1a). On the northern

122 flank, the phase is gradually shifted towards winter. In the North Atlantic, the summer
123 maximum of EKE extends farther north, winter maxima are restricted to regions on
124 the continental shelf. It has to be noted though, that at higher latitudes, as well as at
125 eastern boundaries at all extratropical latitudes, and in the Southern Ocean, the spatial
126 distribution of the phase is heterogeneous [cf. *Zhai et al.*, 2008] with amplitudes $<25\%$
127 of the mean (indicated by the hatched areas in Figure 1c), not allowing for a detailed
128 comparison to observations (Figure 1d). A specific regional feature appearing in the
129 model simulation is the winter maximum in EKE at, and close to, the points where the
130 Kuroshio and Gulf Stream separate from the coasts. These are probably associated with
131 highest baroclinic instability and thus EKE generation in winter [*Zhai et al.*, 2008]. These
132 features could not be revealed by previous studies based on coarse resolution altimetry
133 data [e.g. *Ducet et al.*, 2000; *Zhai et al.*, 2008] and indicate, that care has to be taken
134 when investigating the regionally averaged seasonal cycle of EKE in WBC regions as
135 one is prone to average over regions with substantially different variability and underlying
136 processes.

137 Further analysis of seasonal variations focuses on the nature of the summer maximum
138 of EKE in the subtropical gyres by choosing four representative regions characterized
139 by homogeneous phase and significant amplitude of the annual cycle (Figure 1c). In
140 the North Atlantic (NA) and South Atlantic (SA), areas in the interior (NA) or eastern
141 subtropical basins (SA) lack a significant amplitude of the annual cycle, restricting the
142 choice to western subtropical gyre regions. In the North Pacific (NP) and South Pacific
143 (SP), the regions have been chosen to be comparable to the NA and SA boxes. In the NH

144 boxes, EKE in the model is two to three times lower than EKE from observations, partly
145 attributable to a northward shift of the WBC extensions by roughly 2° - 3° in the model
146 (Figure S2), so that while the regions chosen contain elevated EKE levels influenced by
147 the WBC regions in the AVISO data, these areas with higher EKE are excluded from
148 averaging in the model output. Despite this bias in the mean of the simulated seasonal
149 cycle, surface EKE peaks in the summer months in all four subtropical gyres in the
150 Atlantic and Pacific Oceans (Figure 2). On average, EKE is higher in the Pacific, with
151 highest values in the NP box. The seasonal cycles, though shifted towards later in the
152 year by ~ 1 month, are similar in phase and have an amplitude of ~ 50 - 60 % of the annual
153 mean in the model, compared to 30 - 50 % in AVISO.

154 An interesting feature of the EKE variability not accessible from satellite observations
155 is its vertical structure (Figure 3). The model simulation shows, that the seasonal cycle is
156 markedly surface intensified with values of up to ~ 50 cm^2/s^2 (~ 25 cm^2/s^2) at the surface
157 in the NP and SP boxes (NA and SA boxes), decreasing rapidly within the upper 150 - 200
158 m, while the phase of the seasonal cycle is similar over this depth range (cf. Figure 4). As
159 at the surface, EKE in the upper 350 m is about two to three times higher in the Pacific
160 boxes, compared to the Atlantic boxes (Figure 4). Strong variations on a seasonal time
161 scale are only observed in the upper 100 m of the water column; below ~ 350 m EKE is
162 ~ 10 cm^2/s^2 in all four regions (Figure 4) and the amplitude of the seasonal cycle is < 5
163 cm^2/s^2 .

3.2. Possible mechanisms

164 Several possible mechanisms have been proposed to explain the observed seasonal vari-
165 ations of surface intensified EKE in the interior subtropical gyres. In the following, we
166 use the model to test these hypotheses.

167 First, EKE together with its seasonal cycle could be advected or radiated from regions
168 with strong currents into less energetic regions [Pedlosky, 1977; Chester et al., 1994; Xu
169 et al., 2014]. Although advection of EKE cannot be ruled out in general, it is clearly
170 not the cause for the observed seasonal variations. In particular, there is no phase shift
171 observed from regions of higher EKE towards the interior gyres, as is the case e.g. in the
172 Indian Ocean's Leeuwin Current [Scharffenberg and Stammer, 2010], the California Cur-
173 rent and off the Peruvian coast (Figure 1c), where EKE is produced near the continents
174 and then propagates towards the interior, shifting the phase of the seasonal cycle towards
175 later in the year (~ 0.5 -1 months/ $^\circ$ longitude) in agreement with eddy propagation speeds
176 of ~ 3 -5 km/day [e.g. Fu, 2009].

177 Next, wind work could damp the EKE at the surface, imprinting the seasonal varia-
178 tions of the wind field onto the EKE [Zhai and Greatbatch, 2007]. The monthly mean
179 climatology of wind stress amplitude τ from the model is depicted in Figure 2. The wind
180 stress amplitude shows significantly different behavior in the different gyres. While the
181 SA box has a clear winter maximum (>0.05 N/m 2 compared to 0.03 N/m 2 in summer),
182 the NA box shows a winter and a summer maximum with comparable amplitudes (0.06
183 N/m 2). The NP box wind stress amplitude (in the range 0.04-0.08 N/m 2) does not exhibit
184 any clear seasonal cycle and the SP box has a weak fall minimum (0.04 N/m 2) but no

185 clear maximum (0.05-0.06 N/m²). These findings suggest the wind stress to be of minor
186 importance for *EKE* dissipation in the subtropical gyres, compared to the role it could
187 play in the WBC regimes [Zhai *et al.*, 2008].

188 A third hypothesis proposed to induce a seasonal cycle of surface *EKE*, is through
189 dissipation of Sea Surface Temperature (*SST*) anomalies due to surface heat fluxes [Zhai
190 *and Greatbatch*, 2006a, b]. This is found to be consistent with the model simulation, where
191 in winter, downward heat flux anomalies in the mesoscale are larger for the same change
192 in *SST* than in summer (-58.1 W/m²/°C in DJF, -40.9 W/m²/°C in JJA, as calculated
193 for part of the western NA subtropical gyre). This means that the damping due to surface
194 heat flux applied to the depth of the seasonal thermocline is less in summer than it is in
195 winter.

196 However, another and probably a more important aspect of the seasonality in sur-
197 face heat fluxes and the resulting seasonal thermocline is the associated intensification
198 of mesoscale currents towards the surface. A conspicuous aspect of the model results is
199 the small vertical penetration of the annual signal: *EKE* values below ~350 m depth
200 are almost constant throughout the year (Figure 3). Thermal wind balance then requires
201 horizontal mesoscale temperature gradients to support the vertical shear of the mesoscale
202 velocities associated with the seasonal maximum of *EKE* in summer. Figure 4 shows
203 $T_{grad} = [(\partial T/\partial x)^2 + (\partial T/\partial y)^2]^{1/2}$, where *T* are high-pass filtered temperature anomalies
204 (wavelengths < ~450 km). In winter, when the Mixed Layer (ML) is deep, T_{grad} is small
205 ($4-8 \times 10^{-6}$ °C/m) and the velocities are only weakly sheared towards the surface. This
206 reduction in T_{grad} and the associated velocities is easily explained by large scale surface

207 heat loss, inducing a homogenization and deepening of the ML. Contrastingly, when the
208 ML shoals in spring, T_{grad} associated with the seasonal thermocline increases to $8-14 \times 10^{-6}$
209 $^{\circ}\text{C}/\text{m}$. The reasons behind this reappearance of strong T_{grad} , in contrast to the erosion
210 in fall and winter, is less clear and will be further discussed in the following section.
211 Nevertheless, these higher gradients require the mesoscale currents from below 350 m to
212 strongly intensify towards the surface, resulting in a summer maximum of EKE at the
213 surface.

4. Summary and Discussion

214 The ORCA12 model was found to reproduce the observed annual cycle of surface EKE
215 on a global and regional scale, especially in our regions of interest, the Atlantic and Pa-
216 cific subtropical gyres. Surface EKE , vertical and meridional EKE profiles, and seasonal
217 cycles were also compared to two other models with lower ($1/4^{\circ}$) (Figure 3, S2 and S3)
218 and higher ($1/20^{\circ}$) (Figure S3) resolution (see *Behrens* [2013] for details on the model
219 configurations). No qualitative differences to the results from the $1/12^{\circ}$ model are ob-
220 served, indicating robustness of the findings, not only at the surface, where a comparison
221 to observations on a global scale is possible, but also in the sub-surface subtropical ocean,
222 where only a very limited number of mooring observations have been investigated for
223 seasonal variations [*Wunsch*, 1997].

224 The model simulation aids in the explanation of the observed seasonal variability and
225 provides a 3-d perspective of the phenomenon not available from observations on a global
226 scale. A striking feature is the broad summer maximum in EKE across both hemispheres
227 found in both, the model and the observations.

228 Advection of EKE from regions with high EKE towards the interior ocean basins can
229 be ruled out as a source for the observed seasonal variability of surface EKE , as there
230 is no phase shift in the annual cycle to support such a mechanism. Likewise, the wind
231 stress and associated dissipation of EKE is only of minor importance to the subtropical
232 gyres, as they do not have a common observed wind stress cycle, despite having a similar
233 seasonal variability in EKE .

234 The remaining external forcing to contribute to the seasonal cycle of EKE in the
235 subtropical gyres are thermal interactions with the atmosphere. In a direct way, surface
236 heat fluxes exert a damping of mesoscale anomalies [Zhai and Greatbatch, 2006b]. We
237 have seen that the net damping over the depth of the seasonal thermocline is weaker in
238 summer than in winter. The ML is deeper and the mesoscale surface heat flux anomalies
239 for the same change in SST are stronger in winter, leading to an enhanced damping,
240 which is reduced during summer when there is also a strong decoupling of the deeper
241 layers through the seasonal thermocline from the surface due to the strong stratification.

242 A key new aspect revealed by the model simulation concerns the vertical structure of
243 the EKE variation. The surface-trapped nature of the seasonal cycle of EKE implies
244 an enhanced vertical shear of mesoscale velocity variations in summer, corresponding
245 to stronger horizontal mesoscale temperature gradients because of thermal wind balance
246 (cf. Figure 4). While the erosion of these gradients in fall and early winter is easy
247 to understand as a consequence of large scale cooling due to surface heat loss, their
248 regeneration in spring is less clear. One possibility is that the continuous, year round
249 production of EKE in combination with the surface heat input generates these mesoscale

250 temperature gradients without the need to invoke a seasonal cycle in *EKE* production
251 from baroclinic instability. Another possibility is a seasonally varying production of *EKE*
252 through baroclinic instability in the top 200-300 m [e.g. *Beckmann et al.*, 1994] as proposed
253 by *Qiu* [1999] and *Qiu and Chen* [2004] for parts of the Pacific subtropical gyres. Since
254 this depends on the presence of vertically sheared currents over the depth range of the
255 seasonal thermocline that are present in the Pacific but are less pronounced in the Atlantic
256 subtropical gyres, this might help explain the larger amplitude of the seasonal cycle of
257 the *EKE* in the NP and SP boxes compared to the NA and SA boxes.

258 The relative importance of the influence from the different mechanisms on the seasonal
259 cycle of surface *EKE* cannot be determined by this analysis. An interesting point in
260 this regard is that the seasonal cycle of upper ocean *EKE* is consistent through simu-
261 lations with various resolutions. Various previous studies suggested the importance of
262 submesoscale *EKE* with scales on the order $O(10\text{ km})$ in modulating the seasonal cycle
263 of *EKE* [*Hristova et al.*, 2014; *Qiu et al.*, 2014] and maintaining mesoscale *EKE* levels
264 [*Sasaki et al.*, 2014]. However, since the submesoscale on the order $O(10\text{ km})$ is not re-
265 solved in models with $O(1/4^\circ)$ meshes, the mechanisms involving these scales can only
266 be of minor importance to the seasonal cycle of mesoscale surface *EKE*, possibly adding
267 small modulations in higher-resolution models and the real ocean.

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Figure 1. a), b): Amplitude of the annual cycle of surface EKE (colors, note the non-linear scale) and mean surface EKE (contours at 20, 50, 200 and 500 cm^2/s^2) for a) ORCA12 and b) AVISO. c), d): Phase of the annual cycle of surface EKE (month with highest EKE) for c) ORCA12 and d) AVISO. Both the amplitude and phase are from a fitted annual cycle as described in the text. Regions used for more detailed investigations are indicated by green boxes (NP: North Pacific; SP: South Pacific; NA: North Atlantic; SA: South Atlantic). In c) and d), regions where the amplitude of the annual cycle is $<25\%$ of the mean are masked by hatches.

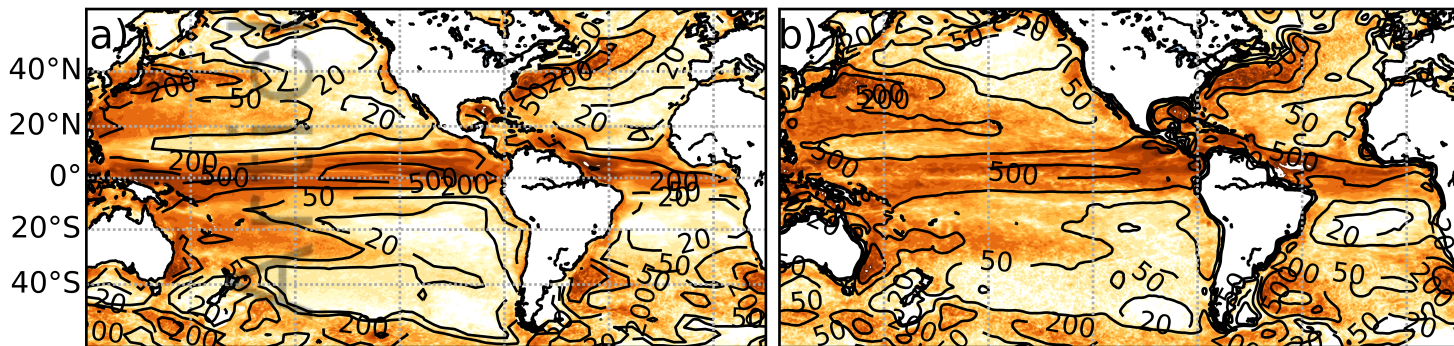
Figure 2. Monthly climatological EKE from ORCA12 (solid black line; cm^2/s^2), EKE from satellite altimetry (dashed black line; cm^2/s^2) and wind stress amplitude τ (dotted red line; N/m^2) for the four regions shown in Figure 1c and d. NP (a); 20°N - 30°N ; 160°E - 175°W), SP (c); 25°S - 35°S , 150°W - 175°W), NA (b); 20°N - 30°N , 45°W - 65°W) and SA (d); 25°S - 35°S , 20°W - 40°W). Note the differently scaled y-axis in a).

Figure 3. Amplitude of the seasonal cycle of EKE plotted against depth for a), the $1/12^\circ$ model (ORCA12) and b), the $1/4^\circ$ model (ORCA025) averaged over the NP (solid line), SP (dashed line), NA (dash-dotted line) and SA (dotted line) boxes.

Figure 4. Monthly climatological square root of the variance of mesoscale, horizontal temperature gradients (T_{grad} $\text{C}^\circ/1000$ km) plotted against depth in colors for the four regions shown in Figure 1c, NP (a), SP (c), NA (b) and SA (d). Gray contours depict monthly climatological EKE , units are cm^2/s^2 . Contour levels are (20, 40, 60, 80, 100) and (10, 20, 30, 40, 50) for a), c) and b), d) respectively, every other contour is labelled. The white line indicates the mean Mixed Layer Depth. Note the different color scales for the left and right panels.

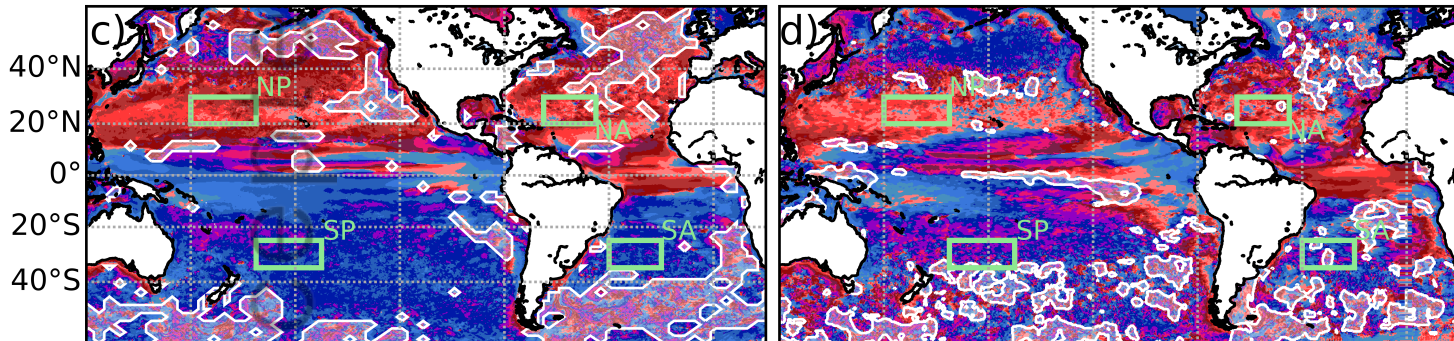
ORCA12

AVISO



EKE cm^2/s^2

5 7 10 20 30 50 70 100 200 300 500 700 1000



120°E 160°E 160°W 120°W 80°W 40°W 0° 120°E 160°E 160°W 120°W 80°W 40°W 0°

month

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