



### Ocular genetics in the genomics age

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4 **Ocular genetics in the genomics age**  
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36 Running title: Genomic ocular genetics  
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**Abstract**

Current genetic screening methods for inherited eye diseases are concentrated on the coding exons of known disease genes (gene panels, clinical exome). These tests have a variable and often limited diagnostic rate depending on the clinical presentation, size of the gene panel and our understanding of the inheritance of the disorder (with examples described in this issue). There are numerous possible explanations for the missing heritability of these cases including undetected variants within the relevant gene (intronic, up/down-stream and structural variants), variants harbored in genes outside the targeted panel, intergenic variants, variants undetectable by the applied technology, complex/non-Mendelian inheritance, and non-genetic phenocopies. In this manuscript we further explore and review methods to investigate these sources of missing heritability.

**Keywords:** enhancer, regulatory, variant

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3 Inherited ocular disease represent a wide spectrum of  
4 conditions, from malformations to degeneration. These represent  
5 a significant health burden among rare diseases, with  
6 malformations occurring in 1:10,000 individuals and  
7 degenerations in 1:2,000-3,000. Despite knowledge of hundreds of  
8 disease-associated genes, genetic testing for these conditions  
9 varies widely, from 20% for anophthalmia/microphthalmia  
10 [Chassaing and otherts 2014], to nearly 70% for retinal  
11 degenerations [Carss and others 2017; Ellingford and others  
12 2016]. However, this largely relies on querying variants in  
13 coding sequences for previously mapped genes, which constitute  
14 1.5-2% of coding DNA. Here, we describe recent efforts in  
15 understanding the noncoding genomic space, in particular the  
16 pathogenesis of splicing, transcriptional, and regulatory  
17 elements, which will improve the yield of clinical molecular  
18 diagnostics to better match clinical diagnoses and reveal  
19 additional patterns of disease mechanisms.  
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### 33 **Cryptic Splice Alteration and Ophthalmic Diseases.**

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35 Stargardt macular dystrophy (STGD1) is a well characterized  
36 autosomal recessive retinal dystrophy with the majority of  
37 disease caused by biallelic variants in the *ABCA4* gene  
38 [Allikmets and others 1997]. However, up to 30% of cases remain  
39 unresolved or with a missing second allele following screening  
40 of the coding exons of the gene [Sangermano and others 2019].  
41 Extra-exonic variants, in particular deep intronic cryptic  
42 splice variants, are now well characterized as a cause of STGD1,  
43 as demonstrated in the recent study by Khan and colleagues [Khan  
44 and others 2020] showing that 25% of STGD1 cases carried an  
45 intronic or structural variant in the *ABCA4* gene. This example  
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3 highlights the importance of considering regions outside the  
4 coding exons in the pathogenesis of inherited diseases.  
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7 Historically, the introns of genes have been largely ignored in  
8 genetic testing due to their size, high frequency of variation  
9 and our poor knowledge of their function at the nucleotide  
10 level. This in combination with a paucity of population variant  
11 data meant that until recently, an intronic variation was  
12 difficult to interpret. However, examples of well characterized  
13 intronic variants in retinal diseases have long existed,  
14 identified through various strategies [den Hollander and others  
15 2006; Mayer and others 2016; Mayer and Aguilera 1990; van den  
16 Hurk and others 2003]. Now, with access to whole genome  
17 sequencing in research and clinical laboratories [Turnbull and  
18 others 2018; Turro and others 2020] and public availability of  
19 large population genome datasets such as gnomAD, researchers are  
20 beginning to apply similar variant rarity filtering strategies  
21 to non-coding variants as regularly performed in exome filtering  
22 pipelines to identify candidate-disease variants in rare  
23 diseases [Carss and others 2017; Cassini and others 2019; Khan  
24 and others 2017; Verdura and others 2020]. To date, the reports  
25 have broadly identified non-coding alleles in recessive retinal  
26 diseases (either homozygous non-coding alleles or a second non-  
27 coding allele in an individual carrying a coding mutation) and  
28 non-coding variants that cause activation of a deep intronic  
29 splice site leading to pseudoexon incorporation in the  
30 transcript.  
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49 Effective, large-scale interpretation of non-coding variants  
50 remains to be achieved nevertheless, due to the larger variant  
51 number and lower conservation found in intronic compared to  
52 exonic regions, and our poor understanding of the function of  
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3 introns. Therefore, key to unraveling pathogenic intronic  
4 mutations will be accurate tools to predict the effect of such  
5 variants.  
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9 Recent advances in the application of machine learning for  
10 splice prediction [Cheng and others 2019; Jagadeesh and others  
11 2019; Jaganathan and others 2019; Lee and others 2017; Xiong and  
12 others 2015] mean that more accurate characterization of large-  
13 scale variant data is possible ([Ellingford et al., BioRxiv](#)).  
14 Validation of high priority variants should still be performed  
15 with *in vitro* studies, such as transcript analysis from patient  
16 derived RNA or cells or *in vitro* gene splicing assays for genes  
17 with inaccessible tissue-specific expression.  
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### 24 25 **Copy number and structural variant analysis**

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28 Many gene panel, exome and genome sequencing pipelines  
29 incorporate structural variant (SV) and/or copy number variant  
30 (CNV) surveillance tools including read depth analysis  
31 algorithms (examples: ExomeDepth for targeted panel and exome  
32 analysis, CANVAS for WGS analysis) and split read analysis  
33 algorithms (example: MANTA for WGS analysis, targeted panels and  
34 WES rarely capture the breakpoint/s of SV/CNVs).  
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41 Simple deletions spanning one or more exons can be effectively  
42 detected using read depth-based approaches and gene panel/exome  
43 analysis [Ellingford and others 2017; Marchuk and others 2018;  
44 Patel and others 2019; Plagnol and others 2012; Rajagopalan and  
45 others 2020]. However, the ability to detect and characterize  
46 SV/CNVs is greatly enhanced with WGS due to the complete and  
47 even coverage of the genome (using PCR-free technology). This  
48 means that the dosage of the genome is preserved for effective  
49 analysis of loss/gains throughout. In addition, coverage of  
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3 breakpoint regions allows effective characterization of  
4 deletions, tandem duplications, translocations, and inversions,  
5 to the single nucleotide. This includes any additional loss/gain  
6 at the breakpoint and complex rearrangements by incorporating an  
7 algorithm to analyze split read data [Arno and others 2016; Ba-  
8 Abbad and others 2016; Carss and others 2017; Sanchis-Juan and  
9 others 2018].

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11 Standard paired-end read sequencing generates read pairs on the  
12 forward and reverse strand (approx. 70-200bp) flanking an  
13 unsequenced insert region (approx. 400bp). When mis-aligned to  
14 the reference genome due to the presence of an SV/CNV, this  
15 paired-end read structure will display a characteristic  
16 alteration in orientation, including altered insert size or read  
17 direction, specific for the SV/CNV type. This enables accurate  
18 characterization of rearrangements and easy visualization of the  
19 breakpoints using a genome viewer such as the Integrative  
20 Genomics Viewer (IGV, [Robinson and others 2011; Thorvaldsdottir  
21 and others 2013]).

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23 It is estimated that SV/CNVs account for a significant  
24 proportion of the missing heritability in IRD [Carss and others  
25 2017; Ellingford and others 2016] and these methods represent  
26 effective tools to characterize them. However, it is more  
27 complicated to interpret SV/CNVs that do not directly impact a  
28 coding exon or known regulatory region of a gene; such entirely  
29 intronic or intergenic variants may indeed play an important  
30 role in gene regulation and Mendelian diseases. While the  
31 precise functional effects are often still elusive, recent  
32 research indicates that SV/CNVs can affect chromatin structures  
33 and epigenetic regulatory regions [Cipriani and others 2017].  
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3 The addition of emerging technologies, such as long-read or  
4 single molecule sequencing, that allow sequencing of genomic DNA  
5 up to >100Kb in a single read, is an exciting prospect for  
6 molecular genetics (reviewed in [Mantere and others 2019]).  
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8 These powerful technologies enable effective *de novo* assembly of  
9 an individual's genome, read through of complex rearrangements  
10 [Sanchis-Juan and others 2018; Vache and others 2020] and the  
11 potential to read through regions intractable to current short-  
12 read technologies.  
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## 22 **Gene expression**

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24 Gene expression information is important evidence for  
25 prioritizing candidate disease-associated genes and variation.  
26 Exome and genome sequencing detect hundreds of thousands of  
27 coding variants and millions of noncoding variants. Even after  
28 filtering for frequency in the general population or gene  
29 constraint to missense or truncating variation in such databases  
30 as [gnomAD](#), multiple candidate variants exist. A complementary  
31 strategy to prioritizing filtered variant sets is expression or  
32 lack thereof in ocular tissues. Vertebrate expression data is  
33 extremely valuable as gene identity is well-conserved across  
34 multiple animal model systems, including non-human primate,  
35 mouse, and zebrafish. Mouse expression databases, made possible  
36 by collating decades of publications using gene expression  
37 arrays and in situ hybridization experiments, are available at  
38 [Mouse Genome Informatics](#). Murine homologue expression data is  
39 available for gene-by-gene queries. Similar expression data are  
40 available for [zebrafish](#), [frog](#), and [fruit fly](#) at different  
41 developmental and adult stages.  
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3 Human gene expression datasets have been made available more  
4 recently. RNA-seq is a massive parallel sequencing technique  
5 which can be used for quantifiable comparisons of gene  
6 expression levels between tissues. The [Genotype-Tissue](#)  
7 [Expression \(GTEx\)](#) project compiles RNA-seq data from 54 non-  
8 diseases human tissues from nearly 1000 donors. Notably, ocular  
9 tissues were not included in this dataset. To address this,  
10 investigators at the National Eye Institute (National Institutes  
11 of Health, United States) created [eyeIntegration](#), a compilation  
12 of publicly deposited RNA-seq datasets from developing and adult  
13 human ocular tissues, and compared expression levels to non-  
14 ocular tissues in GTEx. Subsequently, transcript-level data, de  
15 novo transcriptome data, and single cell data have been added to  
16 the website [Bryan and others 2018].  
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27 Importantly, tissue-specific transcripts exist for several genes  
28 implicated in retinal degeneration. *RPGR* (OMIM 312610) ORF15 is  
29 an open-reading frame with expression specifically in retinal  
30 cell types and harbors the majority of disease-associated  
31 alleles with this form of X-linked retinitis pigmentosa  
32 [Neidhardt and others 2007]. Similarly, several retina-enriched  
33 transcripts were described for *RPGRIP1* (OMIM 605446), associated  
34 with autosomal recessive Leber congenital amaurosis and cone-rod  
35 dystrophy [Lu and Ferreira 2005], including causal noncoding  
36 variants that alter splicing. Notably, deep intronic alleles in  
37 several genes, including but not limited to *ABCA4* (OMIM 601691),  
38 *USH2A* (608400), and *CNGB3* (605080), were detected in patients  
39 with Stargardt disease, Usher syndrome, and achromatopsia,  
40 respectively, which subsequently revealed cryptic exons with  
41 functional implications for inherited retinal dystrophies  
42 [Bauwens and others 2019; Braun and others 2013; Sangermano and  
43 others 2019; Weisschuh and others 2020; Zernant and others  
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3 2014]. As such, ocular-specific transcripts and deep intronic  
4 alleles reveal a biological link between genetic variation and  
5 tissue-specific disease expression.  
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9 While expression of a gene during ocular development or  
10 postnatally is *a priori* evidence of involvement in these  
11 tissues, this does not infer that a gene is necessary or  
12 sufficient for the proposed function or disease. Expression data  
13 is also used to validate the impact of variants on gene  
14 expression, which correlates with partial or total loss-of-  
15 function. Genome sequencing coupled to RNA-seq can be used to  
16 evaluate deep intronic and splicing changes genome-wide for  
17 deleterious variants causing exon skipping or inclusion of  
18 cryptic exons, and, in some studies, RNA-seq can be used alone  
19 to infer DNA-level variants altering splicing [Gonorazky and  
20 others 2016]. In this manner, RNA sequencing can be integrated  
21 into clinical molecular diagnostics for rare diseases.  
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25 Genome-wide association studies using single nucleotide  
26 polymorphism genotyping to compare thousands of cases versus  
27 controls to detect risk alleles for common disorders, such as  
28 age-related macular degeneration (AMD). Following detection of  
29 the first risk locus in the *CFH* gene (OMIM 134370), now 52 rare  
30 and common variants associated with AMD have been discovered  
31 [Klein and others 2005]. To correlate these phenotype-related  
32 variants with alterations of gene expression, transcriptome data  
33 from cases and controls can be directly compared to generate  
34 expression quantitative trait loci (eQTLs). In a recent study,  
35 over 4,000 eQTLs were detected in postmortem retinas from  
36 individuals with AMD compared to those without [Ratnapriya and  
37 others 2019]. These eQTLs correlated significantly with 6 of the  
38 previously reported AMD risk loci from GWAS studies, thereby  
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3 refining the functional implications of more than 10% of  
4 previously reported risk alleles.  
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7 Thus, expression data can be of value to prioritize candidate  
8 genes, detect splicing changes, and infer relationships between  
9 genomic variation and functional implications on transcriptional  
10 and splicing regulation.  
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15 **Genomic approaches to discover regulatory regions of genes that**  
16 **cause eye diseases.**  
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19 While molecular genetic studies of the coding regions of genes  
20 are now commonplace to discover variants associated with  
21 diseases, discovery of such variants within non-coding regions  
22 that influence, or control, gene expression is still in its  
23 infancy. Axenfeld-Rieger Syndrome can serve as an example of  
24 this approach.  
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30 ***Identification of the genetic basis of Axenfeld-Rieger Syndrome***  
31 ***(ARS)***  
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35 Axenfeld-Rieger Syndrome (ARS) is a rare autosomal dominant eye  
36 disease that affects 1/10,000-1/20,000 people, regardless of  
37 ethnicity [Seifi and Walter 2018]. Patients with ARS present  
38 with ocular features that can include iris hypoplasia, misplaced  
39 pupils, full thickness tears in the iris (polycoria), adhesions  
40 between the iris and the cornea, and a displaced Schwalbe line.  
41 Patients may also present with non-ocular malformations of the  
42 teeth, jaw and umbilicus, as well as cerebellar, hearing and  
43 heart defects [Chrystal and Walter 2019]. More than 50% of ARS  
44 patients present with glaucoma that is often recalcitrant to  
45 normally prescribed glaucoma medications [Strungaru and others  
46 2007]. Linkage analyses of large families in which ARS was  
47 segregating was used to map genes responsible for the disease in  
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3 these families [Gould and others 1997; Mears and others 1996;  
4 Semina and others 1996; Walter and others 1996]. Subsequently,  
5 mutations of *PITX2* (pituitary homeobox protein 2; [Semina and  
6 others 1996b] and *FOXC1* (forkhead box C1; [Mears and others  
7 1998; Mirzayans and others 2000; Nishimura and others 1998] were  
8 shown to cause ARS. Molecular characterizations have shown that  
9 mutations within the coding regions of either gene typically  
10 result in loss of protein functions which include impaired  
11 nuclear localization, DNA binding, protein-protein interactions,  
12 and transactivation capacity [Footz and others 2009; Kozlowski  
13 and Walter 2000; Lines and others 2004; Murphy and others 2004;  
14 Saleem and others 2001; Saleem and others 2003a; Saleem and  
15 others 2004; Saleem and others 2003b]. However, there are  
16 reports of *PITX2* mutations resulting in a gain of function  
17 effect [Priston and others 2001; Saadi and others 2006]. Gene  
18 copy number changes, and insertions and deletions within the  
19 coding regions of *PITX2* [Flomen and others 1997; Flomen and  
20 others 1998; Lines and others 2004; Semina and others 1996a] and  
21 *FOXC1* gene [Chanda and others 2008; D'Haene and others 2011;  
22 Lehmann and others 2000] have also been found in ARS patients,  
23 consistent with the concept that too much or too little *PITX2* or  
24 *FOXC1* can result in ARS [Walter 2003]. However, only 40% of ARS  
25 patients have mutations involving the coding regions of *PITX2* or  
26 *FOXC1*. To investigate the missing heredity, other candidate  
27 genes have been examined for additional ARS-associated disease-  
28 causing mutations. Mutational screening of three candidate genes  
29 (*FOXC2*, *P32*, and *PDP2*) that encode proteins that interact with  
30 *FOXC1* or *PITX2* [Acharya and others 2011; Huang and others 2008;  
31 Strungaru and others 2011] did not detect mutations in ARS  
32 patients, suggesting that these genes do not contribute to the  
33 missing heredity of ARS. *PAX6* deletions were initially reported  
34 to be associated with ARS [Riise and others 2001], but this  
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3 observation was not reproduced upon further investigations by  
4 the same investigators using improved reagents [Riise and others  
5 2009]. Recently, mutations within the coding regions of two  
6 additional genes, *PRDM5* and *COL4A1* [Micheal and others 2016;  
7 Sibon and others 2007], have been suggested to result in a small  
8 fraction of ARS patients (less than 1%). Thus, despite expanded  
9 insertion/deletion investigations of the *FOXC1* and *PITX2* coding  
10 regions and mutation screening of additional candidate genes,  
11 the molecular defect in over half of ARS patients remains  
12 unknown.  
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21 In an effort to discover additional sources of the missing ARS  
22 disease-associated heritability, researchers turned to  
23 investigations of the cis-regions that regulate the expression  
24 of *PITX2* and *FOXC1*. However, like most human genes, the elements  
25 that regulate the expression of *PITX2* and *FOXC1* are largely  
26 unknown or are experimentally unverified. Volkmann and  
27 colleagues identified 13 regions potentially controlling *PITX2*  
28 expression, through comparison of the genomes of human and  
29 zebrafish [Volkmann and others 2011]. Investigation of these  
30 putative regulatory regions identified a group of patients with  
31 structural variants of subsets of these regions in ARS patients  
32 known to not have coding region changes of *PITX2* or *FOXC1*  
33 [Protas and others 2017]. Subsequent deletion of some of these  
34 *PITX2* regions in zebrafish, using CRISPR-Cas9 gene editing,  
35 yielded animals with phenotypes overlapping with those of ARS  
36 patients. These data are thus consistent with the hypothesis  
37 that deletion of upstream regulatory elements can cause ARS in  
38 patients with normal *PITX2* coding regions [Volkmann and others  
39 2011, Protas and others 2017]. Importantly, these results also  
40 indicate that mutations of non-coding regions of known genes,  
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3 rather than mutations of unknown genes, could explain a  
4 substantial proportion of ARS patients with unknown etiology.  
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10 ***Tools and resources to discover structural variations associated***  
11 ***with human ocular disease.***  
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14 While the example of discovery that structural variation of  
15 regulatory elements can explain some of the missing heritability  
16 for ARS, detection and validation of such elements remains  
17 challenging. For *PITX2*, Volkmann's approach was to inspect a 1.6  
18 Mb interval containing the *PITX2* gene for conserved non-coding  
19 sequences with 80-90% identity between the human and zebrafish  
20 species. Further comparisons indicated that 12/13 elements  
21 detected in this manner also had high levels of sequence  
22 conservation in the chicken and mouse genomes, and that the  
23 elements were unlikely to be parts of transcripts since their  
24 sequences were absent from zebrafish or human expression  
25 databases. The ability of all thirteen elements to regulate  
26 expression was then tested by cloning each element upstream of a  
27 GFP promoter plasmid containing 1.9 kb of the basic *PITX2*  
28 promoter. Transient transfection of these reports in zebrafish  
29 embryos demonstrated GFP expression patterns that overlapped  
30 with that of endogenous *PITX2*. Importantly deletion of some of  
31 these elements using CRISPR-Cas9 produced animals with ARS-like  
32 features, providing reciprocal evidence of the key role of these  
33 elements in regulating *PITX2* expression. This information was  
34 then used to support investigation of the role of these  
35 regulatory elements in ARS. The usefulness of the results of  
36 these time-consuming experiments to provide explanations for the  
37 missing heritability of ARS was then confirmed with the  
38 detection of non-coding structural variations involving these  
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3 elements in ARS patients [Protas and others 2017; Volkmann and  
4 others 2011].  
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7 Fortunately, resources have considerably advanced since the  
8 research of Volkmann and colleagues to discover and evaluate the  
9 regulatory regions of genes such as *PITX2*. As an example, we  
10 conducted an analysis to discover potential regulatory regions  
11 upstream of *FOXC1*. Analysis of such conserved elements, as was  
12 done for *PITX2*, could identify ARS-associated variation near  
13 *FOXC1* that would be missed by regular DNA sequencing of coding  
14 regions.  
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22 We used the NCBI Basic Local Alignment Search Tool (BLAST) for  
23 nucleotides to identify regions of similarity between DNA  
24 sequences of human and mouse. Our query was 1 megabase upstream  
25 of the *FOXC1* gene within GRCh38 chromosome 6 at NC\_000006.11:  
26 609,915-1,609,915. The database for this search was 'Nucleotide  
27 collection (nr/nt)' which we used to compare human sequences  
28 against the mouse DNA sequence database. BLAST default  
29 parameters were used. Regions of low compositional complexity  
30 were masked as these regions may cause spurious or misleading  
31 results. Results were manually filtered to eliminate hits  
32 corresponding to gene coding regions, and sequences that did not  
33 map to mouse chromosome 13 (syntenic to human chromosome 6p25).  
34 Using these criteria, 6 out of the total of 55 BLAST hits of  
35 homology between human and mouse databases were selected for  
36 further analyses (Figure 1).  
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48 These six hits were genomic BAC clones mapped to mouse  
49 chromosome 13. Each BAC contained smaller regions of homology  
50 larger than 100 bp and varying in length between 158 and 1,662  
51 bp (Supplementary table 1), for a total of 45 conserved regions.  
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3 Sequences identified in our analysis have 78.46% - 85.47%  
4 homology between mouse and human.  
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8 In preliminary investigations, we next determined if these 45  
9 conserved elements were associated with known structural  
10 variation of the human genome. Since ARS is rare, with a  
11 frequency of less than 1/100,000 in the population, we expect  
12 that any ARS-associated structural variations would also have  
13 low frequency. We therefore searched 1 megabase upstream of the  
14 *FOXC1* gene within the NCBI [dbVar](#) database to identify human  
15 genomic structural variations larger than 50 bp from published  
16 studies (Figure 2). A total of 10 copy number variants (CNVs  
17 Table 1), reported with 1, 2, or 3 variant calls in dbVar, were  
18 found within the 1 megabase region upstream of *FOXC1* that  
19 overlapped with any of the 45 conserved elements. Several other  
20 CNVs are known in the 1 megabase upstream region, however, these  
21 did not overlap with any of the conserved elements. CNVs that  
22 involve the *FOXC1* coding region were excluded since these would  
23 be automatically considered pathogenic for an autosomal dominant  
24 disease such as ARS.  
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39 For illustration, a 200 kb region is shown as an example in  
40 Figure 3. Five of the conserved elements (numbers 22-26 of  
41 Supplementary Table 1) are located in this region upstream of  
42 *FOXC1*. These five conserved elements are known to reside within  
43 several previously reported CNVs. Rare CNVs, such as esv3843471,  
44 reported once in the dbVar database (Table 1) might be  
45 associated with ARS. In contrast, esv3843472 (which does not  
46 overlap with any conserved element), is much less likely to be  
47 associated with a rare disease such as ARS since it was reported  
48 with more than 160 variant calls in dbVar. This information is  
49 useful for evaluation of the possible pathogenicity of CNVs  
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3 found in an ARS panel of patients involving these 45 conserved  
4 elements. For example, discovery of a CNV similar to esv3843472  
5 in this patient panel would likely be excluded from further  
6 investigation. In contrast, conserved elements discovered to be  
7 involved in CNVs within the patient panel, but which are unknown  
8 or with few variant calls in dbVar, could be prioritized for  
9 further investigations.  
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16 ***Cautionary note regarding the general applicability of these***  
17 ***approaches***  
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20 Identification and validation of regulatory elements,  
21 nevertheless, remains a challenge. While structural variants are  
22 more disruptive than single nucleotide variation, common  
23 sequencing approaches (e.g., short-read sequencing) fail to  
24 detect most larger deletions and insertions and nearly all  
25 inversions [Turner and Eichler 2019]. As well, not all gene  
26 regions are easily analyzed using the *in silico* methods  
27 described above, due to the presence of large amounts of  
28 repetitive DNA sequences, low complexity DNA sequences, and  
29 neighboring gene rich regions. For example, analysis of *FOXC1* in  
30 the manner described by Volkmann and colleagues [Volkmann and  
31 others 2011] did not result in the identification of non-coding,  
32 non-transcribed DNA sequences with high homology between humans  
33 and zebrafish (Rezaie and Walter, unpublished data). Thus, for  
34 some genes, brute force methods that analyze the consequence of  
35 expression of upstream regions, or the observation of  
36 deletions/duplications of regions not including the coding  
37 regions of genes, are still required at least currently.  
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52 ***Future directions***  
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3 As our whole genome sequence databases become deeper, it will be  
4 possible to use additional new methods to detect regulatory  
5 elements. Comparisons of the distribution of mutations in non-  
6 coding regions between large numbers of people in the general  
7 population could allow identification of non-coding regions  
8 under evolutionary constraints, some of which could be key cis-  
9 acting regulatory regions. Improvements to the ability to  
10 predict transcription factor binding in the context of chromatin  
11 will also improve the detection of regulatory elements. Deeper  
12 eQTL and chromatin state data, from a substantially wider array  
13 of tissues and organisms, will also likely yield multiple new  
14 regulatory elements when combined with the data from the above  
15 methods. Nevertheless, validation of the functional role of  
16 these putative regulatory elements will continue to require *in*  
17 *vitro* and *in vivo* wet laboratory testing, at least for the  
18 foreseeable future. Even more importantly, we currently lack  
19 methods to combine the knowledge of rare coding and noncoding  
20 regulatory variants with environmental risk factors that  
21 together underlie complex polygenic traits. This ability will be  
22 essential to understand the basis of common disease.  
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## Figure Legends

**Figure 1. The location and names of six mouse BAC clones containing non-coding genomic DNA sequences homologous to the human genome upstream of *FOXC1*.** Vertical lines indicate 200 kb segments, which black horizontal lines indicate position of mouse BACs containing regions of similarity to human GRCh38 chromosome 6 at NC\_000006.11: 609,915-1,609,915. BAC clone names are identified below the horizontal lines.

**Figure 2. Identification of known structural CNVs in the 1 megabase region upstream of the *FOXC1* gene.** Figure is a screen capture of [Sequence Viewer](#) displaying CNVs reported in the NCBI [dbVar](#) database and the location of genes. The *FOXC1* gene is circled in orange for orientation.

**Figure 3. Known CNVs within a 200 kb region upstream of *FOXC1* involving DNA sequences conserved between human and mouse.** Figure is a screen capture of the output of Sequence Viewer showing CNVs reported in the dbVar database and location of genes. The locations of the five conserved elements are indicated below with black arrows. Three known CNVs that neighbor several of these conserved elements are circled in orange as examples. CNVs such as esv3843471, reported once in the dbVar database, might be associated with ARS. In contrast, esv3843472, reported more than 160 times, is much less likely to be associated with ARS.

Human dbVar CNV accession	Variant calls in 1000 Genome
esv3843457	1
esv3843465	1
esv3843467	1
esv3843482	1
esv3843461	2
esv3843469	2
esv3843475	2
esv3843476	2
esv3843452	3
esv3843473	3

**Table 1. List of the 10 copy number variants in the 1 megabase region upstream of *FOXC1* that overlapped with any of the 45 conserved elements.** Indicated to the right are the numbers of variant calls in [dbVar](#) as reported from the [1000 Genome project](#).

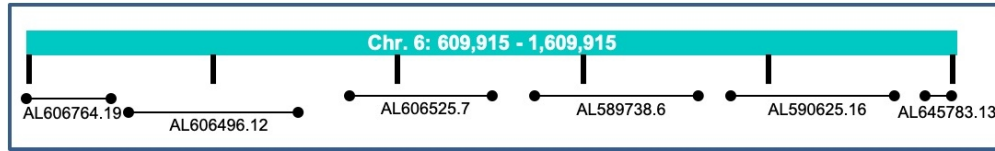


Figure 1. The location and names of six mouse BAC clones containing non-coding genomic DNA sequences homologous to the human genome upstream of FOXC1. Vertical lines indicate 200 kb segments, which black horizontal lines indicate position of mouse BACs containing regions of similarity to human GRCh38 chromosome 6 at NC\_000006.11: 609,915-1,609,915. BAC clone names are identified below the horizontal lines.

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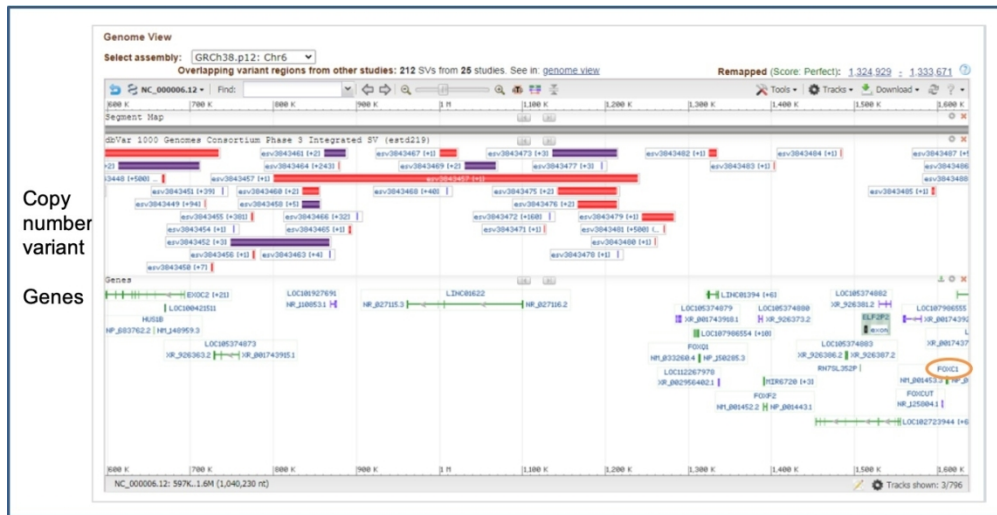


Figure 2. Identification of known structural CNVs in the 1 megabase region upstream of the FOXC1 gene. Figure is a screen capture of Sequence Viewer displaying CNVs reported in the NCBI dbVar database and the location of genes. The FOXC1 gene is circled in orange for orientation.

242x124mm (144 x 144 DPI)

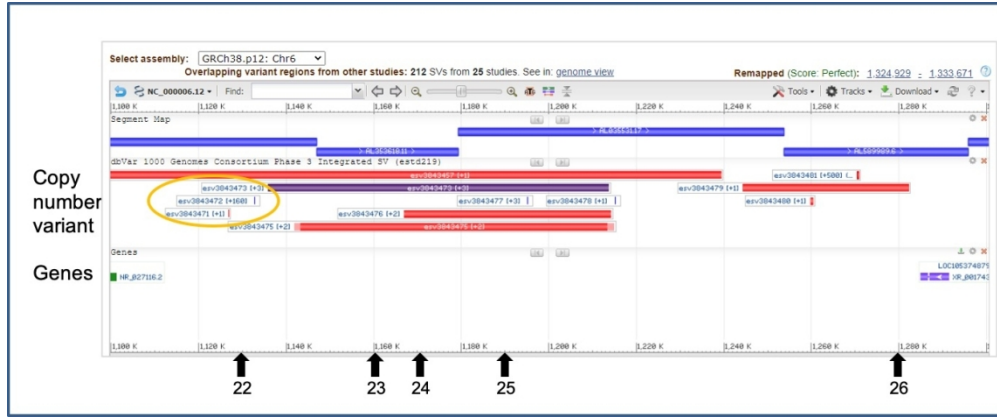


Figure 3. Known CNVs within a 200 kb region upstream of FOXC1 involving DNA sequences conserved between human and mouse. Figure is a screen capture of the output of Sequence Viewer showing CNVs reported in the dbVar database and location of genes. The locations of the five conserved elements are indicated below with black arrows. Three known CNVs that neighbor several of these conserved elements are circled in orange as examples. CNVs such as esv3843471, reported once in the dbVar database, might be associated with ARS. In contrast, esv3843472, reported more than 160 times, is much less likely to be associated with ARS.

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