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Huntington disease: new insights into molecular pathogenesis and therapeutic opportunities

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11 Huntington disease (HD) is a neurodegenerative disease caused by CAG repeat expansion in the HTT 12 gene and involves a complex web of pathogenic mechanisms. Mutant HTT disrupts transcription, 13 interferes with immune and mitochondrial function, and is aberrantly modified post-translationally. 14 Evidence suggests that the mHTT RNA is toxic, and at the DNA level, somatic CAG repeat expansion 15 in vulnerable cells influences disease course. Genome-wide association studies have identified DNA repair pathways as modifiers of somatic instability and disease course in HD and other repeat expansion 16 17 diseases. In animal models of HD, nucleocytoplasmic transport is disrupted and its restoration is 18 neuroprotective. Novel cerebrospinal fluid (CSF) and plasma biomarkers are amongst the earliest 19 detectable changes in individuals with premanifest HD, and have the sensitivity to detect therapeutic 20 benefit. Therapeutically, the first human trial of a HTT-lowering antisense oligonucleotide successfully, 21 and safely, reduced CSF concentration of mHTT in individuals with HD. A larger trial, powered to 22 detect clinical efficacy, is underway, along with trials of other HTT-lowering approaches. In this 23 Review, we discuss new insights into the molecular pathogenesis of HD and future therapeutic 24 strategies, including the modulation of DNA repair and targeting the DNA mutation itself.

25 [H1] Introduction

26 Huntington disease (HD) is caused by a dominantly inherited CAG repeat expansion in exon 1 of the Huntingtin gene (*HTT*), and is characterised by progressive involuntary choreiform movements [G], 27 28 behavioural and psychiatric disturbances, and dementia¹. HD is one of over 40 diseases that are caused 29 by expansion of simple repeats, most of which, for unknown reasons, primarily affect the nervous 30 system². CAG encodes the amino acid glutamine and a sequence of several glutamine units is referred 31 to as a polyglutamine tract; HD is the most common of the nine polyglutamine diseases². HD occurs 32 worldwide and has a prevalence of ~ 12 per 100,000 individuals in populations of European descent³. 33 Onset of the motor symptoms of HD, known as motor onset, can occur from childhood to old age, with 34 a mean onset around 45 years, and is followed by inexorable disease progression^{4,5}. Repeats of 36 or 35 more CAG units are pathogenic, with longer repeats typically causing earlier onset¹. Repeats of between 36 36 and 39 CAG units confer reduced penetrance¹, and individuals carrying these reduced penetrance 37 alleles are likely to be carriers of HD with disease onset beyond the normal lifespan.

Huntingtin (HTT) is a large, ubiquitously expressed protein, the evolution of which can be traced back over millions of years⁶. The polyglutamine tract first appeared in the sea urchin and increased in length throughout the evolution of vertebrates; humans have the longest tract⁷. HTT contains both nuclear export and nuclear localisation signals, so the protein shuttles between nucleus and cytoplasm via active transport ⁸⁻¹⁰. HTT is involved in CNS development, including neural tube formation and neuroblast migration, and *HTT* knockout mice die before birth, shortly after the formation of the nervous system^{11,12}. HTT is also involved in axonal transport, synaptic function and cell survival¹³.

The mutant huntingtin protein (mHTT) that results from CAG repeat expansion affects many cellular functions, leading to cell death, and establishing which of these effects are primary or secondary pathogenic processes is difficult. Striatal medium spiny neurons are most vulnerable to the presence of mHTT, although substantial neuronal dysfunction and death also occurs in the cerebral cortex¹⁴⁻¹⁸. Polyglutamine tract length affects the post-translational modification of HTT, which in turn influences the subcellular distribution, stability, cleavage and function of the protein¹⁹. HTT also binds and interacts with DNA in many genes, and the presence of an expanded polyglutamine tract in HTT results in transcriptional dysregulation²⁰. Transcription is substantially disrupted in the brains of individuals with HD compared with healthy controls²¹. This disruption results in upregulation of the immune response and mRNA processing, and downregulation of metabolic processes and synaptic function. The anatomical distribution of transcriptional disruption correlates with areas of cell death, being most marked in the caudate nucleus²¹. Transcriptional dysregulation also occurs in the peripheral tissues of individuals with HD, such as muscle and blood, and the sets of genes that are dysregulated significantly overlap with those that are dysregulated in the caudate²⁰.

59 Animal models of HD have had a key role in increasing our understanding of pathogenesis and testing 60 therapeutic compounds; genetic models are produced by introducing all or part of human *mHTT* in a 61 transgene, or inserting an expanded CAG repeat into the endogenous HTT gene, which is known as a 'knock in' strategy ²². Invertebrate models of HD, such as C. *elegans* and drosophila, show progressive 62 neurodegeneration, motor abnormalities and reduced survival ²³. Rodent models of HD are the most 63 64 commonly used, and show HTT aggregation, somatic instability, motor, cognitive and behavioural 65 abnormalities, and reduced lifespan²⁴. Large animal models, including sheep, pigs and non-human 66 primates, are genetically more similar to humans, but use of these models has been limited by expense 67 and the lag time to symptom onset. In this Review, we discuss the latest developments in our 68 understanding of the pathogenesis of HD, and discuss new CSF and plasma biomarkers. We also review 69 ground-breaking clinical trials of HTT-lowering therapies and discuss future therapeutic strategies that 70 target the DNA mutation itself.

71 [H1] Pathogenesis of HD

In this section, we summarise the current understanding of the molecular mechanisms underlying HD, before introducing the latest developments in our understanding of disease pathogenesis in the sections that follow. In individuals with HD, the expanded polyglutamine tract causes mHTT to fold abnormally, which causes soluble monomers of HTT protein to combine, forming oligomers. These oligomers then act as seeds for the formation of mHTT fibrils and large inclusions in both the cytoplasm and nucleus²⁵⁻ ²⁷. Large mHTT inclusions were previously thought to be pathogenic ^{28,29}, but inclusions can occur without cell death, and vice versa³⁰⁻³². More recent evidence suggests that N-terminal mHTT oligomers

are toxic³³⁻³⁸, and that the subsequent formation of inclusions might even be protective^{31,34}. This topic 79 80 is discussed in more detail below (Toxic exon1 protein). Endoplasmic reticulum stress precedes, and then improves on mHTT aggregation, suggesting the toxicity of oligomers is mitigated by their 81 82 aggregation into larger inclusions^{39,40}. Small mHTT oligomers and fibrils, which are precursors of large inclusions, have been observed in the brains of individuals with HD^{41,42}. In mouse and drosophila 83 models of HD, the formation of mHTT oligomers and fibrils occured before the onset of symptoms, 84 and levels increased as the disease progressed⁴². Polyglutamine-containing N-terminal fragments of 85 mHTT, which can be produced either by proteolytic cleavage²⁶ or abnormal splicing⁴³, aggregate in the 86 brains of individuals with HD⁴⁴ more rapidly than the full length protein does⁴⁵⁻⁴⁷. 87

88 Evidence also suggests that mHTT can transfer between cells. For example, synthetic polyglutamine peptides can be taken up by cells in culture^{48,49}, and in co-culture experiments, fluorescently tagged 89 mHTT can transfer between neighbouring cells^{50,51}, including through tunnelling nanotubes. 90 91 Furthermore, in Drosophila, mHTT can be released from synaptic terminals and taken up by 92 neighbouring neurons by endocytosis⁵², and mHTT taken up phagocytically by Drosophila glia, can act as a seed for aggregation of wild-type HTT, which is properly folded and would not usually aggregate⁵³. 93 94 In one study, mHTT spread between neurons via functional synapses in three models, including from 95 human HD iPSC-derived neurons to wild-type mouse brain slices, from HD mouse cortical neurons to 96 medium spiny neurons in a wild-type mouse corticostriatal brain slice, and following injection of a mHTT fragment into wild-type mouse cortex⁵⁴. This contiguous propagation is distinct from truly 97 98 'prion-like' behaviour, which involves the infectious prion protein inducing the misfolding of the normal form and has not been demonstrated in HD⁵⁵. Evidence for cell-to-cell spread of mHTT in 99 100 humans is more limited; postmortems of individuals who had received fetal striatal transplants showed 101 inclusions in the extracellular matrix of the graft, suggesting that mHTT is released by neurons, 102 although no inclusions were found within cells⁵⁶.

103 The two main protein degradation systems of the cell are the ubiquitin-proteasome system, which clears
104 damaged proteins, and autophagy, which degrades protein complexes and damaged organelles.
105 Evidence from human tissue and animal models suggests that these systems are compromised in

HD^{57,58}. Furthermore, inducing autophagy increases mHTT clearance and improves the phenotype in animal models of the disease⁵⁹. CNS inflammation has been implicated in several neurodegenerative diseases, including Alzheimer disease, Parkinson disease, multiple sclerosis, prion disease and amyotrophic lateral sclerosis^{20,60,61}, although whether this inflammation is a primary pathogenic process or a response to other pathologies remains unclear. The levels of reactive microglia and proinflammatory mediators in the brain are higher in individuals with HD than in healthy controls^{62,63}, and immune activation is also observed in the peripheral blood of individuals with the disease⁶¹.

113 Mitochondria were implicated in HD pathogenesis after mitochondrial toxins, such as 3-nitropropionic acid, were found to cause selective death of striatal medium spiny neurons⁶⁴. Mitochondrial ATP 114 115 production, which is essential for the survival of neurons, is lower in postmortem brain samples from individuals with HD than in control samples⁶⁵; this observation is supported by evidence from animal 116 and cell models of HD^{47,66,67}. Mitochondrial ultrastructure is disrupted in the brains of individuals with 117 HD⁶⁸, and the number of mitochondria⁶⁹ and the activity of enzyme complexes⁷⁰⁻⁷² is lower than in 118 119 controls. Furthermore, mitochondrial membrane potential is lower in lymphoblasts derived from individuals with HD than in lymphoblasts from controls^{73,74}. Brain imaging studies showed that, in some 120 121 brain regions, individuals with HD had lower levels of glucose metabolism and higher lactate 122 concentration than healthy individuals⁷⁵⁻⁷⁸, which could be a result of mitochondrial alterations. In 123 animal models, mHTT disrupted anterograde and retrograde motility of mitochondria⁷⁹⁻⁸¹, resulting in the accumulation of mitochondria in the soma⁸². In addition, the expression of PGC1 α , which regulates 124 mitochondrial biogenesis, is lower in cell and animal models of HD than in controls^{70,83}. mHTT interacts 125 with the mitochondrial outer membrane, thus triggering calcium release that could cause cell death^{84,85}, 126 127 and also interacts with the inner mitochondrial membrane, thus disrupting the import of mitochondrial proteins^{86,87}. 128

Although a substantial body of evidence suggests that the mHTT protein is toxic, neurodegeneration
was observed in animal models that express untranslated CAG repeat-containing transcripts, suggesting
that mHTT RNA can also contribute to cell death⁸⁸. RNA foci [G] were also toxic in animal models
with CAG repeats in *ATXN3* or *GFP*⁸⁹⁻⁹¹. Unconventional translation initiation, or repeat-associated

non-ATG translation [G], occurs in the brains of individuals with HD in a CAG length-dependent manner and produces monopeptides that aggregate, particularly in the striatum, but the toxicity of these monopeptides has not yet been established^{92,93}. Indeed, a very recent study has shown that HD knockin mice lack repeat-associated non-ATG translation-mediated toxicity, suggesting that the role of this form of translation in HD pathogenesis is debatable⁹⁴.

138 The HTT CAG repeat is somatically and meiotically unstable, progressively lengthens throughout life and tends to expand between generations⁹⁵⁻⁹⁷. In studies that analysed samples of blood and post-139 140 mortem cortex from individuals with HD, greater CAG expansion was associated with an earlier age of disease onset^{97,98}, suggesting that somatic instability **[G]** of the CAG repeat has a role in pathogenesis. 141 142 The degree of somatic instability varies among tissues, with expansion particularly prominent in neurons from brain regions that show marked pathology such as the striatum and cortex⁹⁹⁻¹⁰¹, in which 143 repeats of over 1,000 CAG have been observed post-mortem¹⁰². In other tissues, such as cerebellum 144 145 and blood, the CAG repeat was relatively stable, either not changing with age or increasing by only a 146 few CAG in a small proportion of cells¹⁰³. In one study, a mathematical model fitted to data on repeat length and phenotype in individuals with HD¹⁰⁴ indicated that motor onset occurs when the repeat 147 expands beyond a threshold of around 115 CAG units in a sufficient number of vulnerable cells¹⁰⁵. In 148 149 postmortem brain tissue from individuals with HD and animal models, the anatomical distribution of 150 somatic CAG repeat instability often overlaps with areas of HD neuropathology, suggesting that 151 somatic CAG expansion might underlie the selective vulnerability of striatal medium spiny neurons¹⁰⁶.

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153 [H2] Genetic modifiers

Pure CAG repeat length is the main determinant of the course of HD¹⁰⁷ and accounts for around 50– 70% of variation in age at onset^{98,108}, but up to half of the remaining variability is also heritable and therefore results from differences elsewhere in the genome¹⁰⁹. Large patient cohorts are now available in which to carry out unbiased, genome-wide searches for disease course-modifying genetic variation. The Genetic Modifiers of Huntington's Disease (GeM-HD ¹¹⁰consortium's genome-wide association) 159 study (GWAS) of 4,082 individuals with HD identified two loci, one on chromosome 8 and the other on chromosome 15, that were associated with age at onset¹⁰⁷. Two independent signals identified on 160 161 chromosome 15 were likely to correspond to the gene encoding FAN1, which is a DNA endonuclease 162 and exonuclease that is involved in interstrand crosslink repair and replication fork recovery¹¹¹. One of 163 these chromosome 15 signals was associated with disease onset >6 years earlier than would be expected 164 from CAG length alone, and the other was associated with disease onset 1.4 years later than expected. 165 Knockout or short hairpin RNA-mediated lowering of FAN1 increased somatic expansion of the HTT CAG repeat in a human osteosarcoma cell line, patient-derived iPSCs and differentiated neurons¹¹². 166 167 Although the known functions of FAN1 all involve nuclease activity, inactivation of the FAN1 nuclease 168 domain did not influence the rate of CAG expansion. This observation suggests that an unknown 169 function of FAN1, such as an interaction with other DNA repair components, is protective against CAG 170 repeat instability. Knockout of FAN1 in a mouse model of Fragile X syndrome increased the somatic expansion of a CGG repeat, indicating that FAN1 also is also involved in other repeat expansion 171 diseases¹¹³. Curiously, FAN1 knockout did not alter intergenerational CGG repeat expansion, 172 173 suggesting that the mechanisms underlying somatic and meiotic instability could be distinct. The 174 chromosome 8 signal observed in the GeM-HD GWA study was associated with disease onset 1.6 years 175 earlier than expected from CAG repeat length and could correspond to *RRM2B*, which is involved in nucleotide synthesis, or *UBR5*, a ubiquitin ligase which might have a role in HTT aggregation^{114,115}. 176

In another study, the disease onset-modifying variants identified by the GeM-HD ¹¹⁰ were genotyped in an independent cohort of 3,314 individuals from the European Huntington's Disease Network and the signals on chromosome 8 and 15 were again associated with age at disease onset⁹⁸. In addition, a locus at *MLH1* on chromosome 3, that was not identified in the GeM-HD GWAS, was associated with a 0.7 year delay in disease onset. MLH1, part of the mismatch repair MutL endonuclease complexes, which cut DNA, is required for somatic instability in HD mice¹¹⁶ and directly interacts with FAN1¹¹².

In a study by Hensman Moss, et al. ¹¹⁷ a disease progression measure based on longitudinal motor, cognitive and imaging data was used to conduct a GWAS in 216 participants from the TRACK-HD study and 1,773 participants from the REGISTRY study. Variation at a chromosome 5 locus, which 186 corresponds to MSH3 or DHFR, was associated with slower disease progression, as well as reduced 187 MSH3 expression in blood and fibroblasts. MSH3 identifies mis-paired bases or loop-outs and initiates DNA mismatch repair¹¹⁸; knockout of *MSH3* in a mouse model of HD prevented somatic expansion 188 189 and decreased mHTT aggregation in striatal neurons^{119,120}. DHFR is an enzyme involved in nucleotide and amino acid synthesis¹²¹. Another study showed that the chromosome 5 signal was driven by a 9 bp 190 tandem repeat variant in exon 1 of MSH3¹²². In individuals with HD, this variant was associated with 191 reduced MSH3 expression in blood and brain¹²², decreased somatic CAG expansion, delayed disease 192 onset and slower progression¹²² In individuals with myotonic dystrophy type 1 (DM1), which is caused 193 194 by a CTG repeat expansion in DMPK, the same MSH3 variant was associated with less somatic expansion and delayed disease onset¹²². MSH3 and DHFR share a bidirectional promoter, but increased 195 196 expression of MSH3 was associated with more repeat expansion and earlier onset of HD, whereas increased expression of DHFR was not¹²². The GeM-HD GWAS¹¹⁰ was recently extended to include a 197 198 total of 9,064 individuals with HD⁹⁸. This extended study replicated the findings of the original GeM-HD GWAS and also identified new HD onset-associated loci that correspond to the DNA repair genes 199 200 PMS1, MSH3, PMS2 and LIG1, as well as HTT, TCERG1 and CCDC82. TCERG1 is a nuclear regulator 201 of transcriptional elongation and splicing, and was proposed as a potential HD modifier due to its interaction with HTT^{123,124}, whereas CCDC82 is a relatively unknown coiled-coil domain protein that 202 is phosphorylated in response to oxidative stress¹²⁵. The *HTT* signal resulted from sequence variation 203 204 within the CAG repeat. At the very 3' end of the CAG tract there is a CAACAG motif, which encodes 205 an extra two glutamines. In individuals lacking this CAA interruption the onset of HD occurred an 206 average of 12.7 years earlier than would be expected from CAG repeat length, and in individuals with 207 a duplication of the CAACAG motif, onset was delayed by an average of 5.7 years, despite the 208 duplication increasing the total number of glutamines. Loss of the CAA interruption is also associated 209 with increased somatic HTT CAG expansion in blood and sperm¹⁰⁷. Such interruptions, which can have 210 different sequences, limit expansion in many repeat disorders, including spinocerebellar ataxia (SCA) type 1, 2, 3 and 17; fragile X syndrome; Friedreich's ataxia and DM1¹²⁶. HTT CAG repeat length 211 predicted the age of HD onset more accurately than the number of glutamines in the protein, suggesting 212 213 that altered DNA repair, acting through somatic expansion, is the main modifier of pathogenesis^{98,107}.

Therefore, introducing interruptions into the *HTT* CAG could be a strategy for the treatment of HD.
The occurrence of *HTT* CAG sequence variation, although rare, means PCR fragment-sizing assays,
which assume that a single CAACAG motif is present, might overestimate or underestimate pure CAG
repeat length, and could contribute to the variable penetrance of alleles sized at 35–39 repeats ¹⁰⁷.

On chromosome 5, the extended GeM-HD GWAS⁹⁸ replicated the findings from the Hensman Moss, 218 et al. ¹¹⁷ study by identifying a locus corresponding to MSH3 or DHFR that was associated with 0.6 219 year delayed onset of HD⁸¹. Two additional, independent signals were also identified at MSH3 or 220 221 DHFR, one associated with an 0.8-year earlier onset and the other associated with a 6.1-year delay in 222 onset. The onset-hastening variant was associated with higher expression of MSH3 and increased CAG 223 expansion in blood. In *LIG1*, which encodes a DNA ligase that seals DNA to complete replication and repair¹²⁷, two signals were identified, one associated with a <1 year delay in onset and the other 224 225 associated with <1 year earlier onset. In a transcriptome-wide association study, the onset-hastening 226 variant was associated with higher *LIG1* expression in cortex⁹⁸, which is consistent with the increase in 227 CAG instability that was observed when *LIG1* was overexpressed in human cells *in vitro*¹²⁸, as well as 228 the reduced expansion and increased CTG repeat contraction seen in DM1 mice with a mutation that impairs Lig1 activity¹²⁹. A third, rare variant in LIG1 that was predicted to impair protein function was 229 230 associated with a 7.7-year delay in onset of HD.

231 MLH1 heterodimerises with PMS2, PMS1 or MLH3 to form the MutL α , MutL β or MutL γ mismatch 232 repair endonuclease complexes, respectively. Variation in PMS2 was associated with 0.8-year delayed onset, and PMS1 with 0.8-year earlier onset ⁹⁸. MLH3 was associated with age at disease onset in a 233 gene-wide association analysis⁹⁸, and is a component of DNA repair pathways that were also associated 234 with disease onset. Interestingly, knockout of *Pms2* and *Mlh3*, but not *Pms1*, reduced somatic instability 235 in HD mice^{116,130}. In a transcriptome-wide association study, increased expression of FAN1 and PMS1, 236 237 and decreased expression of MSH3, in cortex were associated with later onset of HD⁸¹. Taken together, 238 these results suggest that MutL α and MutL γ promote HD pathogenesis, and that MutL β inhibits HD 239 pathogenesis.

Interestingly, one study showed that some of the variants identified as HD modifiers in the GeM-HD GWAS¹¹⁰, including *FAN1* and *RRM2B*, also influenced the age of onset of other polyglutamine diseases¹³¹. This observation suggests that DNA repair, probably acting through somatic expansion, is a common contributor to pathogenesis in CAG expansion diseases. Genetic association studies¹³² ¹¹⁸, as well as studies using mouse models¹¹⁸, human cell lines¹³³⁻¹³⁹, or patient-derived cells^{134,140,141}, have also implicated MutS β (MSH2 and MSH3), MutS α (MSH2 and MSH6), MutL α and MutL γ in DM1, Friedreich's ataxia and fragile X repeat instability.

247 [H3] Implications for HD pathogenesis

248 The results of these genetic association studies indicate that DNA repair activity is central to the 249 pathogenesis of HD, with variants in repair proteins likely to influence the rate of somatic expansion in tissues that are vulnerable to repeat instability and neurodegeneration¹²⁶. The proposed models of CAG 250 251 repeat instability all involve DNA slippage, with displacement of single stranded DNA at repeated sequences leading to mispairing of the complementary bases¹⁴². MutS β identifies DNA loop-outs in the 252 253 CAG tract and targets them for repair by MutLa or Mutly; incorrect repair of the loop-outs could 254 introduce short incremental expansions¹⁴³ (Fig. 1). MutSa does not seem to be involved in HTT CAG 255 instability, which is likely to be because it recognises small DNA loop outs of 1–2 bases, rather than the longer loop outs targeted by $MutS\beta^{144}$. In individuals with DM1, clusters of slipped DNA structures 256 257 are found in tissues with the highest levels of repeat instability, including heart and cortex, but not in the cerebellum, which shows little or no instability¹⁴². A study of DNA oligonucleotides showed that 258 259 the stability of these DNA loop-outs at CAG, CTG and CGG repeats is correlated with the threshold for repeat expansion and the expansion rate¹⁴⁵. CAG·CTG repeat expansion occurs in post-mitotic 260 neurons^{112,146} and continues when the cell cycle is arrested¹⁴⁷, suggesting that expansion occurs during 261 262 DNA repair or transcription. However, evidence also exists for replication-associated trinucleotide 263 repeat instability¹⁴⁸. The result of this kind of instability depends on the direction of DNA replication, 264 with expansion of CAG and CTG repeats occurring when CAG is on the lagging strand [G], as is the case in HD, SCA7 and DM1¹⁴⁹, and contraction occurring when CTG is on the lagging strand. This 265

direction-dependence might be because CAG and CTG repeats have different propensities to formslipped structures, or are processed differently by repair machinery.

Excitingly, most of the HD-modifying variants and pathways converge on specific DNA repair mechanisms, particularly mismatch repair, and influence somatic instability^{98,110,112,117,122}. These observations suggest that downregulation of MSH3, MutL α , MutL γ and LIG1, the inhibition of interactions between them, or the upregulation of FAN1 and PMS1, could reduce somatic CAG expansion and improve the course of HD (Acknowledgements

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286 Author contributions

M.F and C.A.R researched data for the article, made substantial contributions to the discussion of the
content of the article, wrote the article, and reviewed and edited the manuscript before submission.
S.J.T. made a substantial contribution to the discussion of the content of the article, wrote the article,
and reviewed and edited the manuscript before submission. E.W. made a substantial contribution to the
discussion of the content of the article, and reviewed and edited the manuscript before submission.

292 Competing interests

293 In the past two years S.J.T has undertaken consultancy services, including advisory boards, with F. 294 Hoffmann-La Roche Ltd, Ixitech Technologies, Takeda Pharmaceuticals International and Triplet 295 therapeutics. All honoraria for these consultancies were paid to University College London, S.J.T's 296 employer. Through the offices of UCL Consultants Ltd, a wholly owned subsidiary of University 297 College London, S.J.T. has undertaken consultancy services for Alnylam Pharmaceuticals Inc., F. 298 Hoffmann-La Roche Ltd, GSK, Heptares Therapeutics, LoQus therapeutics, Takeda Pharmaceuticals 299 Ltd, TEVA Pharmaceuticals, Triplet therapeutics, UCB Pharma S.A., University College Irvine and 300 Vertex Pharmaceuticals Incorporated. S.J.T. receives grant funding for her research from Takeda 301 Pharmaceuticals and Cantervale Limited. C.A.R. is chair of the Research Advisory Board of the Huntington Study Group. Within the past two years, C.A.R. has consulted for Annexon, Roche, Sage 302 and uniQure. Through UCL Consultants Ltd., a wholly owned subsidiary of University College London, 303 304 E.J.W. has served on scientific advisory boards for F. Hoffmann-La Roche, Ionis, Mitoconix, Novartis, 305 PTC Therapeutics, Shire, Takeda Pharmaceuticals and Wave Life Sciences. M.F. declares no competing 306 interests. C.A.R. receives funding for HD research from Hoffman La Roche.

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311 Key points

Proteins involved in DNA repair, particularly mismatch repair, can modify the age of onset and
 rate of progression of HD, likely by altering the rate of somatic expansion of CAG repeats in
 the Huntingtin gene.

The modulation of DNA repair factors, such as MSH3, FAN1, PMS2 or LIG1, has therapeutic
 potential in HD and other repeat expansion diseases.

- Nucleocytoplasmic transport is disrupted in HD by sequestration of nuclear pore components
 in Huntingtin (HTT) aggregates; modulation of nucleocytoplasmic transport is neuroprotective
 and might provide a novel therapeutic opportunity.
- Changes in cerebrospinal fluid and serum biomarkers, including neurofilament light chain and
 mHTT, are amongst the earliest detectable changes in HD and can predict disease onset and
 track progression.
- Intrathecally-delivered non-allele selective antisense oligonucleotides (ASOs) have
 successfully lowered HTT concentration in the central nervous system of individuals with HD,
 and trials of allele-specific ASOs are under way.
- Gene editing strategies for HTT lowering, including zinc finger proteins, transcription
 activator-like effector nucleases and CRISPR-Cas9, are currently in preclinical development,
 but need to be delivered via the injection of viral vectors, which can be challenging.
- 329 Fig. 1). Although variants in some mismatch repair components such as MLH1, MSH2, MSH6 and *PMS2* are associated with cancer, which indicates the need for caution^{150,151}, the activity of these 330 331 proteins can vary over a wide range in the general population without adverse effects and none of the 332 modifiers of HD onset or progression have been identified as risk factors in GWA studies of cancer predisposition^{98,152}. Importantly, *MSH3* and *LIG1* are tolerant of loss of function mutations¹⁵³, making 333 334 them appealing targets for knockdown, which human genetic data suggest will be protective against HD⁹⁸. Therefore, the modulation of DNA repair has great therapeutic potential in HD, as well as other 335 336 repeat expansion diseases.
- 337 [H2] New findings in molecular pathogenesis

Despite the decades that have passed since the discovery of the pathogenic HTT mutation in 1993¹⁵⁴, the normal function of HTT and the primary pathogenic mechanism(s) of the mutation remain unclear. As our ability to intervene at the DNA, RNA and protein level improves, we need to understand the pathogenesis of HD to enable the identification of new therapeutic targets and understand the effects of modulating these targets. In this section we discuss key developments in our understanding of HD 343 pathogenic mechanisms that have occurred in the last 5 years, including the toxicity of HTT fragments,

344 dysfunction of the nuclear pore and insights into the structure of the HTT protein.

345 [H3] Toxic exon 1 protein

346 Two alternatively spliced transcripts arise from HTT. These transcripts differ in the length of their 3' untranslated region (UTR) by 3 kb, but give rise to the same HTT protein¹⁵⁵. The longer transcript is 347 predominantly expressed in the brain, whereas the shorter version is more widespread¹⁵⁵. However, 348 349 highly toxic N-terminal mHTT fragments also exist. Initially, these N-terminal fragments were attributed to proteolytic cleavage of mHTT by caspases and calpains¹⁵⁶, but *mHTT* can also be mis-350 351 spliced to generate a short mRNA, which is translated into a highly toxic N-terminal fragment that 352 contains exon 1⁴³. This short exon 1 transcript was observed in mouse models of HD and in post-mortem 353 brain samples from individuals with the disease; levels were highest in the brains of individuals with juvenile-onset HD^{43,157}. The generation of exon 1 mRNA is thought to result from splicing factors 354 355 binding to the CAG repeat and allowing read-through into intron 1, which contains a stop codon ⁴³. The 356 aberrant splicing seems to be CAG length-dependent and is only seen in mutant alleles⁴³. Mice 357 expressing N-terminal huntingtin fragments develop a severe phenotype much earlier than those with a similar number of repeats in full-length $mHTT^{158}$. The extent to which the mis-splicing of HTT exon 1 358 359 contributes towards neuropathology in humans remains to be seen.

360 [H3] Nuclear pore complex disruption

361 The nuclear pore complex (NPC) is the main conduit by which proteins and RNA are actively 362 transported between nucleus and cytoplasm, and consists of complexes of protein subunits called nucleoporins (NUP) that span the nuclear envelope (Fig. 2) ¹⁵⁹. Interestingly, recessive mutations in the 363 364 gene encoding nucleoporin NUP62, which is located in the central channel of the NPC, cause infantile bilateral striatal necrosis¹⁶⁰, suggesting a role for NPC dysfunction in the tissue specificity of HD 365 366 pathology. Ran, which is a small protein involved in nuclear transport, is converted from its GDP-bound 367 form (Ran-GDP) to its GTP-bound form (Ran-GTP) by RCC1 inside the nucleus, and is converted back 368 to Ran-GDP through interaction with RanGAP1, which is located on the cytoplasmic filaments of the

369 NPC (Fig. 2a). Ran can diffuse freely within the cell, but because RCC1 is located in the nucleus and 370 RanGAP1 is located in the cytoplasm, a concentration gradient of Ran forms is established, with more Ran-GTP in the nucleus and more Ran-GDP in the cytoplasm¹⁶¹. This gradient acts as a signal for 371 372 cellular processes¹⁶¹. During nuclear import, cargo proteins are released into the nucleus when their 373 transporter molecule, known as a karyopherin, interacts with Ran-GTP. Conversely, in nuclear export, 374 cargo proteins are released into the cytoplasm when Ran-GTP is hydrolysed to Ran-GDP by RanGAP1 375 (Fig. 2a). The nuclear to cytoplasmic Ran gradient generated by RanGAP1 is critical, and its loss rapidly results in cell death¹⁶². 376

377 Interestingly, mHTT binds to RanGAP1 with greater affinity than the wild-type HTT protein does¹⁶³. 378 In one study, immunofluorescent detection of NPC proteins in brain tissue from mouse models of HD 379 showed that RanGAP1 and the nucleoporins NUP62 and NUP88 are sequestered in mHTT aggregates, which grow with age and are most prominent in the striatum¹⁶⁴. More RanGAP1 was sequestered as the 380 381 disease progressed. Intrastriatal microRNA [G] (miRNA)-mediated knockdown of the small ubiquitinlike modifier (SUMO) ligase PIAS reduced mHTT aggregation¹⁵³, and thereby restored RanGAP1 382 383 levels. In post-mortem brain samples from individuals with HD, mitochondrial, RanGAP1 and NUP62 384 were displaced from their normal perinuclear location into aggregates, the cytoplasm or the nucleus, consistent with disruption of nuclear transport¹⁶⁴. Immunofluorescent detection of Ran showed that, 385 386 compared with cells from healthy individuals, iPSC-derived neurons from individuals with HD had a 387 disrupted Ran gradient, with more Ran-GDP in the cytoplasm and less Ran-GTP in the nucleus, which suggests a failure of active transport¹⁶⁴. MAP2 is usually too large to cross the NPC by passive transport, 388 389 but levels of nuclear MAP2 were higher in iPSC-derived neurons from individuals with HD than in 390 cells from healthy individuals, suggesting that in HD the NPC is compromised and leaky. In mouse 391 primary cortical neurons transfected with human HTT containing a wild-type 22 CAG repeat or an 392 expanded 82 CAG repeat, a reporter bearing both nuclear import and export signals was observed 393 mostly in the cytoplasm, suggesting nuclear import is particularly deficient. Interestingly, repeat-394 associated non-ATG translation HTT dipeptides also disturbed active and passive nuclear transport¹⁶⁴. 395 In a mouse line with a hexanucleotide GGGGCC repeat expansion in C9orf72, which causes

amyotrophic lateral sclerosis (ALS) and frontotemporal dementia (FTD) in humans, repeat-associated
 non-ATG translation dipeptides sequestered NUPs in aggregates¹⁶⁵, and in a human cell line these
 dipeptides blocked the nuclear pore¹⁶⁶.

399 Overexpression of RanGAP1 in mouse primary cortical neurons reduced the amount of cell death caused by the expression of mHTT¹⁶⁴. In Drosophila, overexpression of Ran rescued the 400 401 neurodegeneration caused by expression of an N-terminal mHTT fragment, whereas overexpression of a dominant negative form of Ran exacerbated neurodegeneration¹⁶⁴. O-GlcNAcylation, a post-402 403 translational modification in which an uncharged acetylated glucosamine (O-GlcNAc) is attached to a 404 serine or threonine residue, is vital for the localisation and function of nucleoporins¹⁶⁷. A study that 405 used immunofluorescent techniques to visualise O-GlcNAc residues in brain sections found that O-GlcNAc levels in cortical cells were lower in a mouse model of HD than in wild-type mice^{164,127}. O-406 407 GlcNAcase removes O-GlcNAc modifications, and inhibition of O-GlcNAcase with Thiamet-G 408 protected against mHTT-related cytotoxicity and restored nucleocytoplasmic transport in primary 409 cortical neurons from a rodent model of HD¹⁶⁴. Furthermore, inhibition of nuclear export with KPT-350 was neuroprotective in a mouse model of demyelination¹⁶⁸. A similar molecule, which also blocks 410 411 nuclear export, reduced neurodegeneration in the eye of a drosophila model that expresses 30 GGGGCC repeats in $C9orf72^{169}$ and restored nucleocytoplasmic transport in rodent primary neurons that 412 413 overexpress TDP43¹⁷⁰. These observations suggest that inhibition of nuclear export could compensate 414 for the disruption of nuclear import that occurs in HD.

415 [H3] HTT protein structure

Some aspects of HTT protein structure were recently determined using cryo-electron microscopy (EM)¹⁷¹. This new information could provide greater insight into the normal cellular functions of HTT, and the pathogenesis of HD¹⁷¹. The purification of HTT required co-expression and co-isolation with HAP-40 (Huntingtin-Associated Protein of 40 KDa), which binds tightly to HTT¹⁷². HAP-40 has roles in endosome function¹⁷³, which is consistent with the role of HTT in vesicle transport. The cryo-EM structure showed that HTT consists mainly of supercoiled alpha-helical structures termed "HEAT Repeats", which had been suggested by the results of previous computational, biochemical, electron

microscopy and mass spectrometry studies^{6,174-176}. The full-length HTT protein bound to HAP-40 has a 423 424 compact shape, with three domains — an N-terminal domain, a bridge domain, and a C-terminal domain 425 — wrapped tightly around HAP-40. Unfortunately, several key domains of HTT were not resolved in 426 the cryo-EM structure. These unresolved domains include an N-terminal domain that is approximately 427 the length of exon-1 and contains the poly-glutamine repeat, and a number of loops that are thought to 428 contain unstructured proteolytically sensitive regions. These loops contain many sites of posttranslational modification^{13,177}, which can modulate the toxicity of mHTT, possibly by regulating HTT 429 proteolysis and the interaction of HTT with other proteins¹⁷⁸. Thus, further studies of HTT structure and 430 431 biochemistry could provide more information on the normal function and pathogenic interactions of the 432 protein.

433 [H1] New biofluid biomarkers

434 Biomarkers are measurable indicators of the severity of a disease and can enable the measurement or 435 prediction of clinical progression, as well as the detection of therapeutically-induced improvement. 436 However, before a biomarker can be considered as a surrogate marker of a clinical endpoint, it must be 437 well understood in terms of disease pathobiology, and must meet strict requirements, including those 438 relating to measurability, accuracy, specificity and reproducibility¹⁷⁹⁻¹⁸¹. mHTT is thought to be released from damaged neurons¹⁸² and the concentration of mHTT in CSF samples can be reliably quantified 439 with ultra-sensitive immunoassays that have been validated for use in clinical trials^{183,184}. The 440 441 concentration of mHTT in the CSF of individuals with HD correlates with disease stage and severity, 442 which is determined by age at onset, disease burden score, and Unified Huntington's Disease Rating Scale (UHDRS) motor score¹⁸³⁻¹⁸⁵. CSF mHTT concentration was also the key pharmacodynamic 443 biomarker used in the first clinical trial to demonstrate dose-dependent mHTT-lowering with an 444 445 antisense oligonucleotide (ASO) in individuals with HD¹⁸⁶.

446 Neurofilament light protein (NfL) is found principally in axons and is released by neuronal damage, for 447 example, in one study serum NfL concentration rose within two weeks of head trauma, compared with 448 uninjured participants, and normalised after 3 months¹⁸⁷. In several studies, CSF NfL concentration was 449 higher in individuals with HD than in healthy individuals, increased with disease progression and

predicted the rate of progression in individuals with HD¹⁸⁸⁻¹⁹². A strong correlation between CSF and 450 plasma NfL levels was observed, which suggests that NfL originates in the CSF¹⁹¹. In a mouse model 451 452 of HD, both CSF and plasma levels of NfL were correlated with the degree of brain atrophy and the 453 severity of disease, as determined by motor function and body weight¹⁹³. Plasma NfL levels were also 454 higher in individuals with HD than controls, increased with disease severity and predicted the degree of progressive brain atrophy^{191,194}. In premanifest HD carriers, plasma NfL levels predicted the 455 likelihood of clinical onset within the next three years and the rate of subsequent disease progression, 456 457 as measured by cognitive, functional, and brain atrophy measures^{191,194}. When compared with CSF NfL, 458 plasma NfL was a better predictor of the rate of clinical progression, but CSF NfL was more strongly 459 associated with brain volume measures than plasma NfL was. Rising concentrations of mHTT and NfL 460 in biofluids seem to be the earliest detectable changes occurring in individuals with HD, and are 461 followed by changes in brain imaging measures (for example, caudate atrophy), motor scores and then cognitive tests¹⁸⁵. Plasma and CSF NfL were more strongly associated with clinical measures than CSF 462 463 mHTT was, perhaps reflecting the direct link between brain atrophy and clinical manifestations of HD, 464 or the complex contributions to the CSF mHTT assay signal, which is likely to be influenced by polyglutamine tract length, protein turnover and neuronal damage¹⁸⁴. 465

In cross-sectional studies, CSF levels of the microglia-derived inflammatory mediator YKL40, the immune-cell derived enzyme chitotriosidase, and the proinflammatory cytokine IL-6 were higher in HD carriers than in healthy controls^{192,195}. CSF levels of YKL40 also increased with disease progression^{192,195}. These findings suggest a role for microglial activation and inflammation in HD and support the use of these biomarkers to study relevant pathways.

The concentration of tau was also robustly increased in the CSF of individuals with HD compared with healthy controls¹⁹⁶, and tau aggregation was observed in post-mortem brain tissue from individuals with HD^{162,197-199}. Increased phosphorylation and abnormal splicing of tau were observed in the striata of individuals with HD compared with controls^{200,201}, and mHTT has been found to interact with tau in cell and animal models of the disease²⁰². However, whether tau pathology is involved in HD pathogenesis, is a general feature of neurodegeneration, or is an unrelated part of the aging process is
unclear²⁰³.

It will be some time before any biomarker attains official regulatory approval for use as a surrogate endpoint in studies of HD. However, biomarkers such as CSF and plasma NfL, and CSF mHTT, have been used to interpret the effects of HTT-lowering therapies and are included in ongoing and planned trials of similar agents²⁰⁴⁻²⁰⁶, which indicates that these markers are becoming increasingly useful and informative.

483 [H1] Therapeutic opportunities

484 Currently, treatments for HD focus on the relief of symptoms like chorea, dystonia, and psychiatric and behavioural disturbances²⁰⁷. No disease-modifying treatments have been found, despite some candidate 485 486 drugs showing positive results in preclinical studies²⁰⁸. Drugs for which efficacy trials have failed to meet their endpoints include the dopamine stabiliser Pridopidine²⁰⁹, phosphodiesterase 10A 487 inhibitors²¹⁰⁻²¹², coenzyme Q10^{213,214}, creatine²¹⁵, cysteamine²¹⁶, the sirtuin-1 inhibitor Selisistat^{217,218}, 488 hydroxyquinoline²¹⁹, and the immunomodulators Sativex²²⁰ and Laquinimod²²¹. Limited evidence 489 490 supports the use of human foetal striatal tissue transplants or autologous stem cell transplants to treat individuals with HD²²²⁻²²⁴, but much more work is needed to determine the efficacy of these cell 491 492 replacement therapies. The failure of so many efficacy trials might be owing, in part, to the insensitivity 493 of the selected endpoints, such as functional capacity and motor score, to subtle changes in disease 494 course. A more likely explanation is that, because the pathogenic events that occur downstream from 495 mHTT form a complex web, pharmacological targeting of individual pathways is either too difficult to 496 achieve cleanly, or is insufficient to modify disease course.

Following these failed efficacy trials, the focus of research into HD therapeutics has shifted towards targeting the causative mutation at the RNA and DNA level^{225,226}. HD is thought to be caused by toxic properties of mHTT^{5,227} and lowering expression of mHTT inhibits pathogenesis in cell and animal models of the disease^{186,226,228-231}. However, loss of normal wild-type HTT might also contribute to pathogenesis^{13,232}, and HTT-lowering therapies could exacerbate this potential haploinsufficiency. *Htt*

knockout is embryonically lethal in mice ^{11,12,233} and conditional deletion of *Htt* in the forebrain shortly 502 after birth leads to a progressive degenerative neurological phenotype²³⁴. Evidence suggests that, in 503 adult mice, HTT has several roles, including as a scaffold protein^{235,236}, in intracellular trafficking²³⁷⁻²⁴¹, 504 transcriptional regulation²⁴²⁻²⁴⁴ and synaptic connectivity²⁴⁵⁻²⁴⁷. The phosphorylation of HTT in 505 response to DNA damage suggests that the protein has a role in the DNA damage response²⁴⁸. Partial 506 knockdown of HTT in adult animals is well tolerated in multiple species, including non-human 507 primates^{225,249-252}. Deletion of *Htt* in 4-month-old and 8-month-old mice caused no pathological or motor 508 509 effects during 5 months of observation²⁵³. Individuals with heterozygous inactivation of *HTT* have no detectable symptoms²⁵⁴. 510

511 The approaches used to reduce HTT expression, a process known as "HTT lowering", include RNA 512 interference (RNAi), ASOs and small molecule modulators of RNA processing (Fig. 3). The 513 suppression of mHTT expression without affecting wild-type HTT expression, known as "alleleselective HTT lowering", by targeting the CAG tract²⁵⁵⁻²⁵⁷ or variants inherited along with the HTT 514 515 CAG expansion²⁵⁸⁻²⁶⁰, is desirable, but challenging. Such allele-selective agents could have off-target effects, for example, at other CAG repeat-containing regions²⁶¹. Therapies that target HTT CAG 516 517 expansion-linked variants would only be effective in individuals with the linked variant, and as no one 518 variant is present in all individuals with expanded HD alleles, at least three such therapies would be needed to treat up to 80% of individuals with HD²⁶²⁻²⁶⁴. The assigning, or 'phasing', of variants to the 519 520 mutant and wild-type alleles is critical, otherwise there could be a risk of lowering the wild-type allele. 521 Additionally, the need to target specific variants, as opposed to the whole gene or transcript, restricts the choice of sequences, which might limit the potency and selectivity of the resulting therapy²²⁵. 522 523 Currently, both allele-selective and non-allele-selective methods are under development.

524 [H2] RNA-targeting approaches

525 [H3] RNAi

RNAi is an endogenous cellular process that degrades mature, spliced mRNAs²⁶⁵. During this process,
non-coding miRNAs form hairpin structures, and the antisense guide strand of these structures guides
the RNA-induced silencing complex (RISC) to bind to a complimentary mRNA target, leading to

529 mRNA cleavage and translational repression²⁶⁶. Small interfering RNAs (siRNAs) are similar to 530 miRNAs, but are derived from longer double-stranded RNA, do not form hairpins and are more target-531 specific²⁶⁷. The main challenge facing the development of RNAi therapeutics for HD is introducing 532 synthetic siRNAs and/or miRNAs into cells most vulnerable to the disease, such as the striatum. The 533 lowering of *HTT* expression with siRNAs improved phenotype and neuropathology in mouse models 534 of HD^{249,268-275}.

Delivering RNAi-inducing therapies into brain cells is challenging²²⁶. Most commonly, viral 535 536 transduction of siRNAs or miRNAs is required for stable induction of RNAi and permanent suppression 537 of HTT translation, although cellular entry has been improved with chemical modifications, liposomes 538 and nanoparticles²⁷⁶. Recombinant adeno-associated viruses (AAV) and lentiviruses are nonpathogenic, minimally immunogenic and cannot replicate²⁷⁷. AAVs provide stable expression of a 539 540 construct in non-dividing cells from nuclear episomes, which are extra-chromosomal genetic material, as opposed to integrating into the host genome, as in the case of lentiviruses²⁷⁷. Viral vectors typically 541 542 need to be injected into the target brain regions such as the striatum, as they cannot cross the blood-543 brain barrier. However, this route of administration carries additional risk and tissue distribution might be limited²⁷⁸.Viruses that are designed to be administered by peripheral intravenous injection, cross the 544 545 blood brain barrier, and transduce neurons and glia are currently under development, and include 546 AAV9²⁷⁹ and AAV-PHP.B^{280,281}. The challenges involved in developing RNAi-inducing therapies include the risks of off-target knockdown²⁸², overwhelming the RNAi degradation pathway^{283,284}, 547 immunogenicity²⁸⁵ and the presence of virus-neutralising antibodies²⁸⁶. Regardless, a phase II trial of 548 549 intracerebrally injected, AAV2-encapsulated nerve growth factor RNA in individuals with Alzheimer 550 disease has shown that virally-delivered gene therapy can be safe and well-tolerated²⁸⁷.

Patisiran, an siRNA designed to treat hereditary transthyretin (TTR)-mediated amyloidosis, is the first FDA approved therapy that uses lipid nanoparticle delivery^{288,289}. The lipid nanoparticles containing the siRNA are administered intravenously and are delivered to the liver, which is the primary site of TTR production, although studies have shown that lipid nanoparticles can also convey RNAi therapy to the CNS²⁹⁰⁻²⁹³. 556 In January 2019, UniQure received FDA approval to begin the first trial of a HTT-lowering gene therapy 557 in individuals with HD. The therapy being tested in this trial is AMT-130 (uniQure), an AAV5-558 delivered, non-allele selective HTT miRNA²⁹⁴. In rodent models of HD, bilateral striatal injection of 559 AMT-130 reduced striatal levels of HTT and improved neuropathology compared with saline injection²³¹. Similarly, in a minipig model of HD, AMT-130 produced a sustained, dose-dependent 560 561 reduction in HTT in the striatum 3–6 months post-administration, as well as smaller reductions in other brain regions²⁹⁵. Spark Therapeutics and Voyager Therapeutics are developing AAV1-delivered non-562 563 allele selective HTT miRNA therapies. Striatal injection of an miRNA developed by Spark Therapeutics 564 improved neuropathology and motor phenotype in rodent models of HD compared with injection of an empty vector²⁵⁰, and safely lowered HTT in wild-type non-human primates²⁵¹. Striatal injection of the 565 566 miRNA developed by Voyager Therapeutics, VY-HTT01, lowered HTT levels in a mouse model of 567 HD²⁷⁵, and in a preliminary study of combined putaminal and thalamic injection of VY-HTT01 in primates the treatment produced well-tolerated, sustained knockdown of mHTT RNA in the striatum, 568 with a smaller reduction in cortex^{296,297}. 569

570 [H3] ASOs

ASOs are synthetic, single-stranded, modified DNA molecules that bind to complimentary stretches of 571 mRNA, thus inducing degradation of this mRNA by RNAse H²⁹⁸. ASOs act further upstream than RNAi 572 approaches, binding pre-mRNA as opposed to mature transcripts. This pre-mRNA binding means that 573 ASOs can bind intronic as well as exonic regions, providing more potential binding targets²⁹⁹. ASOs 574 575 diffuse well through the CNS and are taken up by neuronal and glial cells, which means viral vectors 576 are not needed for delivery. One benefit of not requiring viral vectors is that the effects of ASOs on gene expression are reversible and titratable^{228,299,300}. However, ASOs are not suitable for oral 577 578 administration and do not cross the blood brain barrier, so they must be injected intrathecally, 579 intraventricularly or intraparanchymally, all of which result in limited spatial distribution of the ASO in the brain^{225,226,299}. Following intrathecal delivery, ASO levels are highest in brain regions that are 580 adjacent to the CSF spaces³⁰¹, although in post-mortem studies in individuals treated with intrathecal 581 582 Nusinersen (Spinraza; Biogen), an ASO that modulates splicing of survival motor neuron protein 2

(SMN2), the ASO was observed in both cortical and brainstem neurons and glia³⁰². In a conditional 583 584 mouse model of HD that expresses mHTT in either the striatum or cortex, lowering HTT expression in 585 the cortex was more beneficial than striatal HTT lowering, but simultaneously lowering HTT levels in 586 both brain regions resulted in the greatest reduction in motor and behavioural deficits and brain 587 atrophy³⁰³. Intrathecal delivery of ASOs to treat HD would require repeated lumbar puncture, which 588 could be avoided by the use of medical devices such as implantable pumps, or by chemical modification 589 of the ASOs to enable peripheral administration and CNS penetration, although such compounds are still in development and are not yet ready for clinical translation^{299,300,304,305}. 590

ASOs have shown efficacy in other neurodegenerative diseases; Nusinersen, which is delivered by intrathecal boluses, dramatically improved motor function and survival in infants with spinal muscular atrophy type 1^{306} and has been approved by the FDA. IONIS pharmaceuticals have developed an intrathecally delivered ASO that targets superoxide dismutase 1 (SOD1) and was well tolerated by individuals with ALS-causing SOD1 mutations³⁰⁷. Furthermore, in conjunction with Biogen, IONIS have begun a phase I–IIa trial³⁰⁸ of a more potent SOD1 ASO, Toferson (IONIS-SOD1_{Rx}[:] Biogen/Ionis). In mouse models of HD, intraventricular infusion of a non-allele-selective *HTT* ASO reduced the

598 expression both wild-type and mutant HTT mRNA and protein, leading to reduced transcriptional 599 dysregulation, improved motor phenotype and increased survival compared with saline infusion^{186,228,230}. These effects were particularly marked when the ASO was administered earlier in the 600 601 disease course. Suppression of HTT mRNA and protein levels was sustained for 12 weeks after 602 administration of the ASO and phenotypic improvement outlasted this knockdown by at least 4 weeks. 603 In another study that used a mouse model of HD, an ASO-mediated ~50%-70% reduction in total HTT improved motor and cognitive deficits to a similar degree as a ~50%–70% reduction in mHTT only ³⁰⁹. 604 605 Although this evidence supports ongoing clinical trials of non-allele selective HTT ASOs, allele-606 selective strategies remain of interest as they are theoretically less likely to cause the long-term side 607 effects that are associated with the reduction of the wild-type protein. Reductions of mHTT by 50% or more are consistently associated with phenotypic improvement in animal models of HD²²⁶. In wild-type 608 609 non-human primates, a 21 day lumbar intrathecal infusion of a non-allele specific HTT ASO produced

a sustained reduction in HTT for at least 3 months, relative to vehicle-treated control animals, and was
well-tolerated^{186,228}.

612 The results of a phase I-IIa trial of IONIS pharmaceutical's non-allele selective ASO HTT_{Rx} (RG6042/tominersen; Ionis/Roche) were published in 2019¹⁸⁶. In this trial, adults with early-stage HD 613 614 received a total of four administrations of HTT_{Rx} , one administration every 4 weeks as an intrathecal 615 bolus injection, via lumbar puncture. Of the 46 participants that were enrolled in the trial, 34 were 616 randomly assigned to receive HTT_{Rx} and 12 were randomly assigned to receive placebo. The individuals 617 receiving HTT_{Rx} were divided into five cohorts that each received a different dose of the treatment from 618 10–120 mg. HTT_{Rx} was well-tolerated, with all participants completing the trial and only mild, lumbar 619 puncture-related adverse effects, such as transient headache, being reported. Importantly, the groups of 620 participants who received the ASO showed dose-dependent reductions in CSF mHTT concentration 621 compared with the participants who received placebo (Fig. 4a), which is clear evidence of target 622 engagement. This mHTT lowering began by the first timepoint, which was 28 days after the first 623 administration, and the downward trend continued even between the final two administrations of the 624 ASO, suggesting that mHTT levels would fall further with continued treatment. In the groups receiving 625 the two highest HTT_{Rx} doses, CSF mHTT was 40-60% lower than in the group receiving placebo. This 626 reduction exceeds the degree of mHTT lowering that produced clinical benefit in animal models 627 ^{186,228,309}. Pharmacokinetic modelling predicted that this 40-60% reduction in CSF mHTT would 628 correspond to a 55-85% reduction in mHTT in the cortex and a 20-50% reduction in mHTT in the 629 caudate. Ventricular volume was larger in the groups of participants receiving the two highest doses of 630 ASO than in the group of participants receiving placebo, but no concomitant decreases in whole-brain 631 volume were observed. This increase in ventricular volume might reflect local parenchymal 632 pseudoatrophy resulting from the resolution of inflammation or gliosis.

At the final timepoint, which was between 16 and 20 weeks after the first administration, CSF NfL concentration also showed a small dose-dependent increase in the groups of participants receiving HTT_{Rx} compared with the group receiving placebo; this increase had resolved 7–27 months later^{186,185}. After the HTT_{Rx} trial, all participants were enrolled in a 15-month open-label extension study in which they received the 120 mg of the ASO every 4 or 8 weeks. In the extension study, CSF NfL concentrations increased between baseline and ~5 months, and then returned to baseline levels by ~9 months despite continued ASO dosing³¹⁰. These observations are as yet unexplained, and it remains to be seen whether NfL levels will fall below baseline (or below the expected level after disease progression is taken into account) with continued treatment. However, the resolution of this increase in CSF NfL concentration despite continued treatment argues against a long-term adverse effect of total huntingtin-lowering³¹¹.

644 Although this first-in-human trial was not powered to detect clinical change, HTT lowering was 645 associated with improvements in a novel clinical rating score, the composite Unified Huntington's 646 Disease Rating Scale (cUHDRS) (Fig. 4b). This rating scale combines four assessments: Total 647 Functional Capacity, Total Motor Score (TMS), Symbol Digit Modalities Test (SDMT) and Stroop 648 Word Reading. These assessments were selected, using data from large cohort studies, for their 649 sensitivity to clinical progression, correlation with brain atrophy, and coverage of motor and cognitive 650 domains^{312,313}. Independent improvements in the TMS and SDMT components of the cUHDRS were also seen with HTT lowering. Roche is now performing a phase III trial²⁰⁶ to investigate the clinical 651 652 efficacy of HTT_{Rx} , with cUHDRS and total functional capacity as primary endpoints.

653 HTT_{Rx} targets mutant and wild-type *HTT* mRNA equally; however, Wave Life Sciences is currently 654 performing phase Ib–IIa clinical trials of two allele-selective *HTT* ASOs that target SNPs inherited with 655 the mutant allele ^{204,205,314}. Biomarin have another allele-specific *HTT* ASO in preclinical development, 656 that targets the expanded CAG repeat itself, although this strategy risks off-target knockdown of other 657 CAG repeat-containing genes³¹⁵. Other potential non-allele selective ASO strategies for HTT lowering 658 include binding the AUG translation initiation site, or targeting intron-exon boundaries to modulate 659 splicing²⁹⁹.

Alternative toxic species of HTT present a challenge to some HTT lowering therapies. A *HTT* exon 1
 protein might not be affected by the RNAi and ASOs currently being trialled, but those binding exon 1
 mRNA itself should be effective. Repeat-associated non-ATG translation of HTT dipeptides might not

be fully prevented by RNAi, which acts on mature mRNA, but is expected to be inhibited by ASOs as
 they target pre-mRNA^{226,316}.

665 Whether total HTT lowering or allele-selective mHTT lowering is the optimal approach is unclear, but 666 the results of ongoing clinical trials will hopefully provide answers. Encouragingly, an expression-667 lowering variant in the HTT promoter was associated with a delay in disease onset of 9.3 years when 668 on the expanded CAG allele, or 3.9 years when on the normal CAG allele, suggesting that total HTT lowering is beneficial in HD³¹⁷. Total HTT lowering approaches have several advantages over allele-669 670 specific approaches, as they permit the targeting of any HTT region and mean a single agent can be 671 used in everyone with HD. Current total HTT lowering approaches aim for partial knockdown and are 672 initiated in adulthood, thus avoiding potential adverse effects on development.

673 [H3] Small molecule approaches

674 Given the challenges of delivering RNAi and ASO therapies to the brain, small molecules that reduce 675 HTT expression and can be taken orally are highly desirable. PTC Therapeutics have identified orally-676 delivered compounds that can alter pre-mRNA splicing of HTT and reduce levels of the protein in the 677 brains of HD mice³¹⁸; however, owing to a lack of binding specificity, these compounds carry a higher 678 risk of off-target effects than targeted RNAi and ASOs. A similar approach has been developed for the 679 treatment of SMA; the orally available splicing modulator RG7800 (PTC Therapeutics/Roche) was 680 used to alter SMN2 splicing to include exon 7, which is the only difference between SMN1 and SMN2 681 proteins. Administration of RG7800 reduced the disease phenotype in a mouse model of SMA, relative to vehicle-treated controls, by compensating for the lack of SMN1³¹⁹. A phase Ib–IIa trial of RG7800 682 was terminated because ocular complications of the treatment were observed in non-human primates³²⁰. 683 However, a phase I study of Risdiplam (RG7916; PTC Therapuetics/ Roche), which increases SMN 684 protein levels, was completed in 2016³²¹, and phase II trials are now underway ³²²⁻³²⁴. A different 685 686 approach, being taken by Nuredis, is to design small molecles that bind to transcription elongation cofactors, which are required for transcription through expanded CAG repeats^{325,326}. 687

688 [H2] DNA-targeting approaches

689 DNA-targeting approaches aim to modify the HTT genetic sequence or its transcription, and typically 690 combine a specific DNA-binding element with an effector, such as a nuclease. The three main DNAtargeting approaches are zinc-finger nucleases (ZFNs)³²⁷, transcription activator-like effector nucleases 691 (TALENs)³²⁸, and CRISPR-Cas9³²⁹. The ZFN DNA-binding element consists of an array of zinc-finger 692 693 peptides, each of which binds a sequence of 3-5 nucleotides. Zinc-finger proteins (ZFPs) alone, or 694 containing an active repressor, can selectively target the expanded CAG repeat and reduce its transcription²⁵⁷. In one study, several allele-specific ZFP transcriptional repressors were identified from 695 696 a series of ZFPs designed to target CAG repeats in different frames ²⁷³. AAV-mediated delivery of one 697 of these ZFPs selectively reduced mHTT expression in stem-cell derived neurons from individuals with 698 HD. Furthermore, in three different mouse models of HD, striatal injection of the ZFP reduced the 699 amount of neuropathology and improved some behavioural phenotypes, compared with injection of a 700 GFP-only vector. This improvement was observed despite limited tissue distribution of the ZFP. Off-701 target knockdown of several other CAG repeat-containing genes was observed, although this 702 knockdown was not associated with toxicity in vivo. As an alternative to ZFPs with transcriptional repressors, genome editing with ZFNs could be used to disrupt or correct the CAG expansion³³⁰. 703

TALENs contain a series of peptide repeats that each bind to a specific DNA nucleotide³³⁰. TALENs have the potential to be more efficient and specific than ZFNs, and have been used to shorten the expanded CAG repeat³³¹ and suppress *HTT* transcription³³² *in vitro*. However, TALENs require a thymine base to be present at the end of the target sequence, which means they have fewer potential targets than ZFNs³³⁰.

709 CRISPR-Cas9 is a naturally occurring bacterial adaptive immune response to viruses³²⁹. A single-guide 710 RNA (sgRNA) binds its complementary target sequence, such as the DNA of an invading viral 711 pathogen; this binding requires the presence of a 3' protospacer-adjacent motif sequence. Cas9 is a 712 RNA-guided DNA nuclease that is recruited to the site of sgRNA binding and cleaves the DNA³³⁰. In 713 cell and animal models of HD, CRISPR-Cas9 has been used to lower HTT levels via several different effectors, for example blocking *HTT* transcription³³³, excising CAG repeats³³⁴, or selectively inactivating expanded CAG alleles by targeting associated $SNPs^{259,260}$.

These three DNA-targeting approaches could provide long-term treatment for HD from a single administration, and could prevent all of the pathogenic events that occur downstream of *mHTT*, including RNA-mediated toxicity, alternative splicing and repeat-associated non-ATG translation. Additionally, correction of the *HTT* mutation would eliminate intergenerational transmission of HD³³⁵. However, these approaches require viral delivery, reach only limited brain regions and are usually irreversible. In addition, DNA-targeting raises concerns about potential off-target effects elsewhere in the genome³³⁶, insertional mutagenesis and immunogenicity³³⁷.

723 [H1] Conclusions

724 Substantial progress has been made in our understanding of the pathogenesis of HD, while 725 developments in genetic technology and the availability of large cohorts of individuals with HD have 726 led to the identification of new genetic modifiers of the disease. Somatic instability of the CAG repeat 727 occurs in the tissues that are most vulnerable to HD pathology, particularly the striatum, and the degree 728 of instability negatively correlates with age at disease onset. Genetic association studies have shown 729 that DNA repair components, particularly those involved in mismatch repair, modify somatic instability 730 and disease course. The process underlying this instability is likely to involve DNA loop-outs in the 731 CAG tract, which are targeted by MutS β , leading to attempted repair that might introduce incremental 732 expansions. Reducing the levels of the pro-instability factors MSH3, PMS2 or LIG1, or inhibiting their 733 function, is expected to reduce somatic instability and be well tolerated. Increased FAN1 expression 734 decreases somatic instability and delays disease onset, suggesting its upregulation would be protective 735 against HD. Excitingly, modulation of these DNA repair components can also reduce the instability of 736 other pathogenic repeat sequences, suggesting that these potential therapeutic opportunities might also 737 be effective in other repeat expansion diseases. mHTT sequesters components of the NPC in aggregates, 738 disrupting nucleocytoplasmic transport. Modulation of nuclear transport pathways was protective in 739 cell models of HD, which could open up new possibilities for therapeutic intervention.

740 CSF can be readily sampled throughout a clinical trial, and offers more direct access to CNS proteins 741 than other biofluids. NfL is released into CSF, then into plasma, following neuronal damage. CSF and 742 plasma concentrations of NfL strongly correlate with disease progression, and could be used as 743 biomarkers and surrogate endpoints for clinical trials. mHTT is also likely to be released from damaged 744 neurons, and an increase in CSF mHTT is the earliest detectable change in premanifest HD.

745 After decades of disappointing clinical trial results, we finally seem to be seeing encouraging results 746 from trials of rationally-designed disease-modifying therapies for HD. The first trial of an ASO has reported successful mHTT lowering, with good safety and tolerability¹⁸⁶. A larger trial aimed at 747 assessing the efficacy of this ASO is underway, as well as trials of mutant allele-specific ASOs^{204,205,314}. 748 749 These early trials are focussing on early manifest disease, looking to see whether we can preserve 750 function. The next step will be to try and push back disease onset in premanifest HD carriers, although 751 this approach presents its own challenges, and will require the development of a battery of clinical, 752 biochemical and imaging biomarkers to demonstrate efficacy. Ultimately, the aim is to find treatments 753 that offer lifelong, safe, sustained benefit from a single administration; this goal is still a long way off, 754 but might eventually be achieved by gene editing strategies that remove CAG repeats, introduce

interruptions or inactivate the mutant allele.

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1643 Author contributions

M.F and C.A.R researched data for the article, made substantial contributions to the discussion of the
content of the article, wrote the article, and reviewed and edited the manuscript before submission.
S.J.T. made a substantial contribution to the discussion of the content of the article, wrote the article,
and reviewed and edited the manuscript before submission. E.W. made a substantial contribution to the
discussion of the content of the article, and reviewed and edited the manuscript before submission.

1649 Competing interests

1650 In the past two years S.J.T has undertaken consultancy services, including advisory boards, with F. Hoffmann-La Roche Ltd, Ixitech Technologies, Takeda Pharmaceuticals International and Triplet 1651 therapeutics. All honoraria for these consultancies were paid to University College London, S.J.T's 1652 1653 employer. Through the offices of UCL Consultants Ltd, a wholly owned subsidiary of University 1654 College London, S.J.T. has undertaken consultancy services for Alnylam Pharmaceuticals Inc., F. 1655 Hoffmann-La Roche Ltd, GSK, Heptares Therapeutics, LoQus therapeutics, Takeda Pharmaceuticals 1656 Ltd, TEVA Pharmaceuticals, Triplet therapeutics, UCB Pharma S.A., University College Irvine and 1657 Vertex Pharmaceuticals Incorporated. S.J.T. receives grant funding for her research from Takeda 1658 Pharmaceuticals and Cantervale Limited. C.A.R. is chair of the Research Advisory Board of the 1659 Huntington Study Group. Within the past two years, C.A.R. has consulted for Annexon, Roche, Sage 1660 and uniQure. Through UCL Consultants Ltd., a wholly owned subsidiary of University College London, 1661 E.J.W. has served on scientific advisory boards for F. Hoffmann-La Roche, Ionis, Mitoconix, Novartis, 1662 PTC Therapeutics, Shire, Takeda Pharmaceuticals and Wave Life Sciences. M.F. declares no competing 1663 interests. C.A.R. receives funding for HD research from Hoffman La Roche.

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1668 Key points

Proteins involved in DNA repair, particularly mismatch repair, can modify the age of onset and
 rate of progression of HD, likely by altering the rate of somatic expansion of CAG repeats in
 the Huntingtin gene.

- The modulation of DNA repair factors, such as MSH3, FAN1, PMS2 or LIG1, has therapeutic
 potential in HD and other repeat expansion diseases.
- Nucleocytoplasmic transport is disrupted in HD by sequestration of nuclear pore components
 in Huntingtin (HTT) aggregates; modulation of nucleocytoplasmic transport is neuroprotective
 and might provide a novel therapeutic opportunity.
- Changes in cerebrospinal fluid and serum biomarkers, including neurofilament light chain and
 mHTT, are amongst the earliest detectable changes in HD and can predict disease onset and
 track progression.
- Intrathecally-delivered non-allele selective antisense oligonucleotides (ASOs) have
 successfully lowered HTT concentration in the central nervous system of individuals with HD,
 and trials of allele-specific ASOs are under way.
- Gene editing strategies for HTT lowering, including zinc finger proteins, transcription
 activator-like effector nucleases and CRISPR-Cas9, are currently in preclinical development,
 but need to be delivered via the injection of viral vectors, which can be challenging.

Fig. 1 | **The potential roles of DNA repair Huntington disease modifiers in somatic instability. a** | DNA loop-outs form in the CAG·CTG repeat tract (red). Loop-outs of 1–15 bases are identified by MutSβ, which is a heterodimer of the DNA mismatch repair proteins MSH2 and MSH3¹¹⁸. **b.** | The MutSβ complex moves along DNA like a sliding clamp, inducing cleavage of the DNA by endonuclease complexes such as MutLα (a heterodimer of MLH1 and PMS2) or Mutlγ (a heterodimer of MLH1 and MLH3). FAN1, a DNA endonuclease and exonuclease, stabilises repeat tracts. The mechanism

underlying this stabilisation by FAN1 is not yet clear, but it might involve sequestration of MutLα, blocking MutSβ access to the loop out, or direct loop-out repair¹¹². **c.** | The cut DNA strand is resystitutes by a DNA polymerase, and repair is completed by DNA ligase 1 (LIG1). This repair process can induce incremental expansion, represented by the longer repeat tract in part c than in part a. Increased expression of MSH3, MutLα, MutLγ and LIG1 promotes somatic instability and accelerates onset of Huntington disease (HD), whereas FAN1 and the MutLβ heterodimer (MLH1 and PMS1) protect against somatic instability and delay onset of HD..

1699 Fig. 2 | The nuclear transport cycle is disrupted by sequestration of RanGAP1 and nucleoporins 1700 in mutant huntingtin aggregates. a | During nuclear import, cargos (purple) with nuclear localisation 1701 signals (NLS) are released into the nucleoplasm when their karyopherin (transport factor or importin; 1702 grey) interacts with Ran-GTP. Conversely, during export, cargoes with a nuclear export signal (NES), 1703 are released into the cytoplasm when Ran-GTP is hydrolysed to Ran-GDP by RanGAP1, located on the 1704 cytoplasmic filaments of the nuclear pore complex (blue). This establishes a gradient of Ran forms, 1705 with more Ran-GTP in the nucleus and more Ran-GDP in the cytoplasm \mathbf{b} | In Huntington disease 1706 (HD), RanGAP1 and nucleoporins, including NUP62 and NUP88, are sequestered in mutant Huntingtin 1707 (mHTT) aggregates. This sequestration results in a loss of the Ran gradient, and a failure of 1708 nucleocytoplasmic transport.

Fig. 3 | Therapeutic methods for lowering huntingtin expression. The red sections of DNA, RNA,
and protein represent the pathogenic expanded CAG tract and its polyglutamine product. The orange
boxes are therapeutic approaches. ASO, antisense oligonucleotide; mHTT, mutant huntingtin; RISC,
RNA-induced silencing complex; RNAi, RNA interference; RNase, ribonuclease; TALEN,
transcription activator-like effector nuclease; ZFP, zinc-finger protein.

Fig. 4 | **Phase I–IIa clinical trial of the HTT_{Rx} antisense oligonucleotide.** HTT_{Rx} was administered to adults with early-stage HD every 4 weeks as an intrathecal bolus, via lumbar puncture. Of 46 participants, 34 were randomly assigned to receive HTT_{Rx} and 12 received placebo. The individuals receiving HTT_{Rx} were divided into five cohorts that each received a different dose of the ASO, from 1718 10-120 mg. a | Percentage change in the concentration of mutant Huntingtin (mHTT) in the 1719 cerebrospinal fluid (CSF) of groups of participants who received one of five different doses of HTTRx 1720 or placebo, from baseline (dotted line) to the last available time point, which was 28 days after the last 1721 dose and 85-113 days after baseline measurement. Circles indicate individual participants, and 1722 horizontal lines indicate group means; 95% confidence intervals are also shown for the groups of 1723 participants receiving HTTRx. b | Relationship between CSF mHTT reduction at Study Day 85 and 1724 composite Unified Huntington's Disease Rating Scale (cUHDRS). The 95% confidence intervals have not been adjusted for multiplicity and should be treated as exploratory. Direction of benefit is shown to 1725 1726 the left of the plot. Scale properties (range; clinically meaningful change) are -8-24; 2. Reproduced with 1727 permission from Tabrizi, et al.¹⁸⁶.

1728 Glossary:

- 1729 Choreiform movements: Repetitive and rapid, jerky, involuntary movements.
- 1730 RNA foci: Expanded RNA repeats that are retained in the nucleus, adopt unusual secondary structures,
- 1731 sequester RNA binding proteins, and can become toxic to the cell.
- 1732 Repeat-associated non-ATG translation: A repeat-length-dependent process that enables translation
- 1733 initiation at noncanonical codons either within or adjacent to the expanded repeat tract.
- Somatic instability: Expansion or contraction of repeat units within a repetitive DNA tract, the rate ofwhich is tissue specific.
- 1736 microRNA: A small non-coding RNA molecule that functions in RNA silencing and post-
- 1737 transcriptional regulation of gene expression
- **1738** Lagging strand: The strand of nascent DNA that is synthesised in the opposite direction to the direction
- 1739 of the growing replication fork.
- 1740 Loop-outs: Formed when one DNA strand is extruded from a CAG CTG repeat region; intrastrand links
- then lead to the formation of a hairpin, with A-A or T-T base mispairing when the CAG or CTG strand
- is extruded, respectively.

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