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1 **Predicting the noise in hybrid (phase and attenuation) x-ray images acquired with**
2 **the edge illumination technique**

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7 **Purpose:** To analyse the noise performance of the edge illumination phase-based
8 x-ray imaging technique when applying “single-shot” phase retrieval. The latter con-
9 sists in applying a sample-specific low-pass filter to the raw data, leading to “hybrid”
10 images in which phase and attenuation contrast are merged with each other. A sec-
11 ond objective is to compare the hybrid images with attenuation-only images based
12 on their respective signal-to-noise ratio (SNR).

13 **Methods:** Noise is propagated from the raw images into the retrieved hybrid images,
14 yielding analytic expressions for the variances and noise power spectra of the latter.
15 An expression for the relative SNR between hybrid and attenuation images is derived.
16 A comparison with simulated data is performed. Experimental data are also shown
17 and discussed in the context of the theory.

18 **Results:** The noise transfer into the retrieved hybrid images is strongly related to the
19 setup and acquisition parameters, as well as the imaged sample itself. Consequently,
20 the relative merit between hybrid and attenuation images also depends on these
21 criteria. Generally, the hybrid approach tends to perform worse for highly attenuating
22 samples, as the availability of phase contrast is outweighed by the loss of photons
23 that is necessarily encountered in hybrid acquisitions. On the contrary, the hybrid
24 approach can lead to a much better SNR for weakly attenuating samples, as here
25 phase effects lead to much stronger contrast, outweighing the reduction in photon
26 numbers.

27 **Conclusions:** The analytic expressions inform the design of edge illumination se-
28 tups that lead to minimum noise transfer into the retrieved hybrid images. We also
29 anticipate our theory to guide the decision as to which imaging mode (hybrid or
30 attenuation) to use in order to to maximise SNR for a specific sample.

31 Keywords: Phase-based x-ray imaging, X-ray imaging, Biomedical imaging

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32 I. INTRODUCTION

33 X-ray imaging plays an indispensable role in various fields, ranging from medicine to
34 biomedical science to materials testing. It also finds application in security, cultural heritage
35 and the manufacturing industry. Conventionally, contrast in x-ray imaging is generated
36 from differences in attenuation. However, for some samples these differences are small, or
37 the entire sample can exhibit weak attenuation. In these cases, conventional x-ray imaging
38 leads to poor contrast, and, unless a high radiation dose is delivered, to a poor signal-to-
39 noise ratio (SNR). The development of phase-based x-ray imaging, where phase effects are
40 included into the image formation process and contrast is no longer generated only from
41 attenuation, has proven beneficial for those samples¹.

42 In x-ray imaging, a sample is typically characterised by its complex refractive index,
43 $n(k) = 1 - \delta(k) + i\beta(k)$, where k is the wave number. The complex refractive index describes
44 a material's ability to attenuate the x-ray beam (via β , which is proportional to the linear
45 attenuation coefficient) as well as to shift its phase (via the decrement from unity of the
46 real part, δ). Within the diagnostic energy range, δ can be up to three orders of magnitude
47 larger than $\beta^{2,3}$, implying that greater contrast can be achieved if phase effects are exploited.
48 However, image quality is determined by the SNR rather than by contrast alone. Therefore,
49 noise must be quantified alongside contrast to understand how a phase-based x-ray imaging
50 system performs relative to one that only exploits attenuation.

51 Different experimental techniques have been developed to include phase effects into the
52 image formation process⁴⁻¹³. Raw images acquired with these techniques show a combination
53 of phase contrast and attenuation (the latter is always present in x-ray images, although
54 it can be negligible for weakly attenuating samples). While attenuation is an area signal,
55 phase contrast is typically strongest at boundaries and interfaces within a sample, i.e. it
56 enhances edges, which can make these “mixed” images difficult to interpret. For this reason,
57 much effort has been dedicated to developing phase retrieval techniques^{9,14-16} through which
58 the two contrast channels can be separated into individual images that both show area
59 contrast. Phase retrieval is also a pre-requisite for tomographic imaging, as “mixed” images
60 typically cannot be cast as line integrals (while the opposite applies to separated phase and
61 attenuation images).

62 The edge illumination technique¹², which this paper is concerned with, is one of the several

63 technical realisations of phase-based x-ray imaging, and one of few methods compatible with
64 weakly coherent radiation¹³. In order to isolate phase contrast images with this technique,
65 for a long time it had been considered necessary to collect a minimum of two raw images
66 under slightly modified experimental conditions and process them according to a dedicated
67 extraction algorithm^{15,16}. However, the acquisition of multiple raw images is unpractical,
68 and, especially when performing tomographic scanning, leads to long scan times. This is
69 because the need to repeatedly modify the setup during acquisitions is incompatible with
70 continuous tomographic scans (“fly-scans”), which are much faster than step-and-shoot scans
71 as they do not require dead times for motor movements.

72 To overcome this problem, we have developed a “single-shot” retrieval method for the
73 edge illumination technique that requires only one raw image, instead of two or more images,
74 as input¹⁷. This method, explained below, does not provide separate phase and attenuation
75 images as such, but it converts the edge-nature of the phase contrast into area contrast and
76 merges it with the attenuation. The retrieved images are therefore easier to interpret (in the
77 same way that isolated phase and attenuation images are). Moreover, the retrieved images
78 can be cast as line integrals, thus enabling tomographic scanning¹⁸. Due to the simultaneous
79 exploitation of phase and attenuation contrast, images retrieved via the “single-shot” method
80 can be considered a hybrid of both.

81 The SNR provided by the edge illumination technique has been studied for the tradi-
82 tional, two-image phase retrieval method^{15,19}. The noise transfer was found to be strongly
83 dependent on the experimental setup, as well as key acquisition parameters such as the lat-
84 eral sampling step, which determines spatial resolution. It has also been found that phase
85 retrieval affects the noise in the isolated phase images, in the sense that it alters the noise
86 power spectrum (NPS), leading to a different noise texture. This is consistent with studies
87 of the noise performance of other phase-based x-ray imaging techniques^{20–24}.

88 In this paper, we study the noise performance of the edge illumination technique when
89 the “single-shot” retrieval method is applied. We derive analytic expressions that enable a
90 prediction of the noise in the retrieved hybrid image as a function of the noise in the raw
91 image. The purpose of this is twofold:

- 92 1. The analytic expressions will inform the design of future edge illumination setups. The
93 aim is to achieve an optimal performance of the technique, in the sense that the noise

94 transfer from raw images into the retrieved hybrid images is minimal.

- 95 2. The analytic expressions will enable a comparison between retrieved hybrid images
96 and their attenuation counterparts when acquired with the same number of incident
97 photons, as a function of a sample’s complex refractive index. In this sense, the
98 expressions will guide the decision as to whether to use hybrid or attenuation imaging
99 for a specific sample.

100 The paper is organised as follows. In Sections II A and II B, we provide descriptions of
101 the edge illumination technique and the “single-shot” retrieval method. In Sections II C and
102 II D, we derive the analytic expressions. This includes propagating the noise from raw images
103 through the “single-shot” retrieval into the retrieved hybrid images, as well as theoretically
104 comparing the SNR in hybrid images to that in attenuation images. In Section III, we
105 present simulated data that support the theoretical predictions. Experimental data are also
106 shown, and their role in supporting the theory discussed. The paper ends with a discussion
107 and a conclusion on the implications of the results.

108 II. MATERIALS AND METHODS

109 A. The edge illumination technique

110 A schematic of an edge illumination setup is shown in Fig. 1a. A mask upstream of the
111 sample (“sample mask”) splits the x-ray beam into an array of beamlets. A second mask
112 in front of the detector (“detector mask”) creates insensitive areas (edges) between pixels.
113 By slightly offsetting the two masks, a fraction of each beamlet falls onto each detector
114 mask aperture, while the remaining fraction falls onto a septum. This creates sensitivity
115 to refraction (i.e. the macroscopic manifestation of the phase shift); while initially each
116 pixel measures a certain (reference) intensity, the presence of the sample introduces small
117 directional changes to the beamlets, which lead to either an increased or decreased intensity
118 per pixel. A raw image acquired with such a setup can be described as:

$$I_{\text{raw}} = N \cdot e^{-A} \cdot C(x_m + R), \quad (1)$$

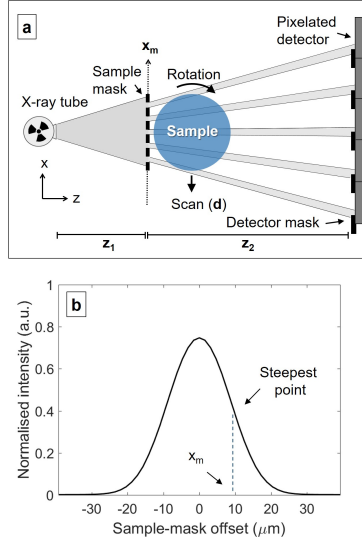


Figure 1. (a) Schematic of an edge illumination setup; (b) simulated illumination curve for the setup parameters in Table II.

119 where N is the number of photons per beamlet (upstream of the sample). The sample is
 120 described via the attenuation, $A = 2k \int \beta dz$, and refraction, $R = (z_2/k) \cdot \partial\Phi/\partial x$ it induces;
 121 $\Phi = k \int \delta dz$ is the phase shift. C is the so-called illumination curve, which is measured
 122 in the absence of the sample by step-scanning the sample mask laterally across one period
 123 and recording the intensity per scanning step. The resulting curve (an example is shown in
 124 Fig. 1b), here plotted after being divided by N , reaches its maximum when the apertures of
 125 both masks are aligned and tails off as offset between them increases. The curve's maximum
 126 value depends on the apertures in the two masks; generally, the wider the detector mask
 127 apertures, the closer the maximum is to 1 (although Fig. 1a suggests that each beamlet is
 128 fully contained inside one detector mask aperture when both masks are aligned, in reality
 129 the beamlets are blurred due to the finite source size, and the beamlets' tails may fall onto
 130 the neighbouring absorbing septa). For the acquisition of an image, the sample mask is kept
 131 in a fixed position, x_m , which is called the working point. Typically, x_m corresponds to the
 132 steepest point on either slope of the illumination curve, as here the largest refraction signal
 133 is achieved.

134 Although the edge illumination technique has been developed to detect refraction (in
 135 addition to attenuation), the experimental setup can be transformed into an attenuation-
 136 only imaging device by removing the detector mask and aligning the beamlets with the

137 pixels' centres. In that sense, the setup is versatile and allows for tailoring the imaging
 138 approach to the specific characteristics of the sample. One of the purposes of this paper is
 139 to provide guidance as to when it is better to use hybrid (i.e. phase plus attenuation) or
 140 attenuation-only imaging.

141 A particularity of the edge illumination technique relates to sampling. Due to the use of
 142 beamlets, raw images are sampled at discrete locations: $x_j = x_0 + jd$, with a sampling step d
 143 of approximately the sample mask period. In practice, a smaller d can be achieved through
 144 a process called “dithering”, by which multiple raw frames of the sample are acquired and
 145 combined. In each frame, the sample is shifted laterally by a fraction of the sample mask
 146 period. In that case, d is equal to the sample shift. Dithering can be performed in a step-and-
 147 shoot manner²⁵ (the sample is kept stationary while the detector is integrating and shifted
 148 before the next frame is acquired) or continuously²⁶ (the sample is moved continuously
 149 without interruption *while* the individual frames are acquired). When applying step-and-
 150 shoot dithering, care must be taken to apply a sufficiently small dithering step to satisfy the
 151 Nyquist sampling criterion²⁷. When applying continuous dithering, the sample movement
 152 acts as a smoothing filter, making this consideration less critical.

153 B. “Single-shot” retrieval of hybrid images

154 Like in other phase-based x-ray imaging techniques, raw images acquired with the edge
 155 illumination technique contain a combination of attenuation and phase contrast, the latter in
 156 the form of refraction. In previous work^{17,18}, it was shown that the edge contrast (refraction)
 157 can be converted into area contrast and merged with the attenuation via the following
 158 formula:

$$I_{\Phi} = -\frac{1}{2} \left(\frac{\delta}{\beta} \right) \cdot \ln \left(\frac{1}{NC(x_m)} \cdot \mathcal{F}^{-1} \left(\frac{\mathcal{F}(I_{\text{raw}})}{1 - 2\pi i \left(\frac{1}{2k} \left(\frac{\delta}{\beta} \right) \frac{z_2 C'(x_m)}{C(x_m)} \right) \rho} \right) \right), \quad (2)$$

159 which essentially consists of applying a dedicated low-pass filter to the raw image (this
 160 retrieval indeed shares similarities with the well-known Paganin retrieval method for
 161 propagation-based x-ray phase imaging²⁸). Here, \mathcal{F} denotes the one-dimensional Fourier
 162 transform (in ordinary frequency notation) and ρ is the spatial frequency. In quantitative

163 terms, the retrieval recovers an image of the phase shift, Φ ; however, as explained above,
 164 the retrieved image contains contributions from phase *and* attenuation, hence I_Φ should be
 165 considered a hybrid of both and will in the following be referred to as such.

166 Equation 2 is strictly valid only if the refractive index decrement, δ , and the attenuation
 167 coefficient, β , are proportional to each other across the sample and the proportionality
 168 constant is known (although the latter can be found via trial-and-error if unknown). While
 169 these conditions are true only for quasi-homogenous samples, previous experiments have
 170 shown that the retrieval also works for samples composed of different but similar materials¹⁸.

171 For a given experimental setup and acquisition parameters, the filter:

$$\text{filt}(\rho) = 1 - 2\pi i \left(\frac{1}{2k} \left(\frac{\delta}{\beta} \right) \frac{z_2 C'(x_m)}{C(x_m)} \right) \rho \quad (3)$$

172 is a function of the δ/β -ratio of the sample. As can be seen in Table I, materials vary widely
 173 in their δ/β -ratio. Therefore, the retrieval process is highly sample specific.

Material	δ/β	Material	δ/β
Bone	230	Aluminium	261
Blood	1188	Sapphire	417
Muscle	1223	Water	1247
Skin	1275	PMMA	1768
Breast	1479	Nylon 6	2370
Fat	2179	Graphite	2612

Table I. The δ/β -ratios for various materials at 18 keV, obtained from the on-
 line data bases <http://ts-imaging.science.unimelb.edu.au/Services/Simple/>² and
<http://henke.lbl.gov/opticalconstants/getdb2.html>³.

174 C. Noise propagation

175 In this section, we derive analytic expressions to predict the noise in the retrieved hybrid
 176 images, I_Φ , as a function of the number of photons per beamlet, N , and the setup and the
 177 acquisition parameters. This will be achieved by propagating the noise from the raw images,

178 I_{raw} , through the retrieval (Eq. 2) into I_{Φ} . Noise will be described via the NPS and variance
 179 (σ^2) .

180 Several assumptions are made to simplify the derivation of the analytic expressions:

- 181 • noise in the raw images, I_{raw} , is Poisson distributed and there is no correlation between
 182 the noise in different pixels;
- 183 • the sample is characterised by a constant δ/β -ratio (to satisfy the condition under
 184 which Eq. 2 has been derived);
- 185 • the working point, x_m , corresponds to the steepest point on either slope of the illumi-
 186 nation curve;
- 187 • the x-ray beam is monochromatic;
- 188 • the detector has a “perfect” (square) response function and 100% efficiency;
- 189 • raw images are acquired with continuous dithering (the sampling step is denoted by
 190 d).

191 We are limiting the analysis to a background region of a raw image where $A = R = 0$.
 192 The assumption of Poisson noise implies that: $\sigma_{I_{\text{raw}}}^2 = NC(x_m)$. Due to the assumption of
 193 uncorrelated noise, the NPS is constant and extends up to the highest accessible spatial fre-
 194 quency, $1/(2d)$. According to Parseval’s theorem: $\text{NPS}_{I_{\text{raw}}} = NC(x_m)d$. Next, we examine
 195 how noise is propagated through the filtering operation. The filter modulates the NPS²⁹:

$$\text{NPS}_{I_{\text{filt}}}(\rho) = \frac{\text{NPS}_{I_{\text{raw}}}(\rho)}{|\text{filt}(\rho)|^2}, \quad (4)$$

196 where the notation:

$$I_{\text{filt}} = \mathcal{F}^{-1} \left(\frac{\mathcal{F}(I_{\text{raw}})}{\text{filt}(\rho)} \right) \quad (5)$$

197 was used. Therefore:

$$\text{NPS}_{I_{\text{filt}}}(\rho) = \frac{NC(x_m)d}{1 + \left(2\pi \left(\frac{1}{2k} \left(\frac{\delta}{\beta} \right) \frac{z_2 C'(x_m)}{C(x_m)} \right) \rho \right)^2}. \quad (6)$$

198 Again by Parseval's theorem, the variance of the filtered image is given by:

$$\sigma_{I_{\text{flt}}}^2 = \int_{-\frac{1}{2d}}^{\frac{1}{2d}} \frac{NC(x_m)d}{1 + \left(2\pi \left(\frac{1}{2k} \left(\frac{\delta}{\beta}\right) \frac{z_2 C'(x_m)}{C(x_m)}\right) \rho\right)^2} d\rho. \quad (7)$$

199 As the next step in the retrieval process, the logarithm is applied to the filtered image
 200 as well as a scaling factor (see Eq. 2). Both operations are applied on a pixel-by-pixel
 201 basis and, thus, do not change the shape of the NPS, although the variance is changed. By
 202 applying error propagation, the variance and NPS of the retrieved hybrid image, I_{Φ} , can be
 203 estimated as:

$$\sigma_{I_{\Phi}}^2 = \frac{\left(\frac{\delta}{\beta}\right)^2 d}{4NC(x_m)} \int_{-\frac{1}{2d}}^{\frac{1}{2d}} \frac{1}{1 + \left(2\pi \left(\frac{1}{2k} \left(\frac{\delta}{\beta}\right) \frac{z_2 C'(x_m)}{C(x_m)}\right) \rho\right)^2} d\rho \quad (8)$$

$$\text{NPS}_{I_{\Phi}}(\rho) = \frac{\left(\frac{\delta}{\beta}\right)^2 d}{4NC(x_m)} \frac{1}{1 + \left(2\pi \left(\frac{1}{2k} \left(\frac{\delta}{\beta}\right) \frac{z_2 C'(x_m)}{C(x_m)}\right) \rho\right)^2}. \quad (9)$$

204 A more compact expression for the variance can be found by solving the integral in Eq. 8:

$$\sigma_{I_{\Phi}}^2 = \frac{\left(\frac{\delta}{\beta}\right) dk}{2\pi N z_2 C'(x_m)} \cdot \text{atan} \left(\frac{\left(\frac{\delta}{\beta}\right) \pi z_2 C'(x_m)}{2kdC(x_m)} \right). \quad (10)$$

205 Equation 10 is the first key result of this paper. It predicts the noise in a hybrid image
 206 as a function of the number of photons per beamlet, N , and the setup and acquisition
 207 parameters. Thereby, it informs the design of experimental setups that lead to minimally
 208 noisy hybrid images.

209 D. Comparison with attenuation images

210 The availability of an analytic expression for the variance of I_{Φ} allows for a comparison,
 211 in terms of the SNR, between the hybrid approach and attenuation imaging. For simplicity,
 212 we assume that attenuation images are acquired with the same edge illumination setup
 213 (the only difference being that the detector mask is removed). We also assume that the

214 number of photons per beamlet, N , is the same. We define the SNR in a hybrid image
 215 as: $\text{SNR}_{I_\Phi} = (k\delta T)/(\sigma_{I_\Phi})$, where T is the sample thickness. Analogously, the SNR in an
 216 attenuation image, I_A , is defined as: $\text{SNR}_{I_A} = (2k\beta T)/(\sigma_{I_A})$. Due to the assumption of
 217 uncorrelated Poisson noise, the variance in I_A is given by: $\sigma_{I_A}^2 = 1/N$. By inserting $\sigma_{I_\Phi}^2$
 218 (Eq. 10) and $\sigma_{I_A}^2$ into SNR_{I_Φ} and SNR_{I_A} , we can calculate the relative SNR of hybrid and
 219 attenuation images:

$$\text{SNR}_{\text{rel}} = \frac{\text{SNR}_{I_\Phi}}{\text{SNR}_{I_A}} = \sqrt{\frac{\left(\frac{\delta}{\beta}\right) \frac{\pi z_2 C'(x_m)}{2dk}}{\text{atan}\left(\frac{\left(\frac{\delta}{\beta}\right) \pi z_2 C'(x_m)}{2dkC(x_m)}\right)}}. \quad (11)$$

220 Equation 11 is the second key result of this paper. It shows that, for a given experimental
 221 setup, the relative performance of hybrid and attenuation imaging is highly dependent on
 222 the sample material, represented by the δ/β -ratio.

223 III. RESULTS

224 A. Simulated data

225 The theoretical predictions made by Eqs. 9, 10 and 11 are compared to simulated results.
 226 The noise in the background of a raw image, I_{raw} , was simulated by evaluating Eq. 1 for
 227 $A = R = 0$ and applying Poisson noise to the data (assuming $N = 10^4$, unless otherwise
 228 stated). The illumination curve, C , which is required for evaluating Eq. 1, was simulated
 229 using an experimentally validated wave optics model of the edge illumination technique³¹.
 230 The sampling step, d , was $40 \mu\text{m}$ unless otherwise stated. All other parameters used in the
 231 simulation are listed in Table II; these were chosen so as to match the experiment for which
 232 data are reported in Section III B (as the only differences, a monochromatic beam and a
 233 “perfect” detector were simulated to match the assumptions that underpin the theory). All
 234 simulations were repeated 100 times and averaged to obtain meaningful outcomes.

235 First, we compared the theoretically predicted NPS of hybrid images (Eq. 9) with simu-
 236 lated ones for four different δ/β -ratios. To cover a broad range of sample materials, $\delta/\beta =$
 237 200, 500, 1000, and 2000 were considered. The results are shown in Fig. 2; a good agreement
 238 between theory and simulation can be observed.

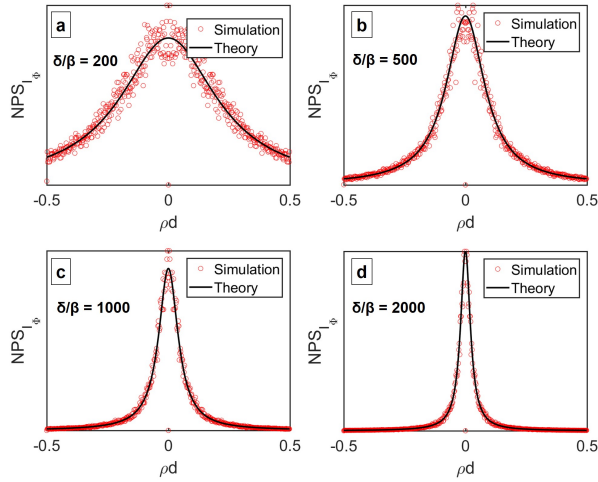


Figure 2. Theoretical vs. simulated results. NPS of hybrid images, as predicted by Eq. 9, and NPS of hybrid images retrieved from simulated noisy data: (a) $\delta/\beta = 200$, (b) $\delta/\beta = 500$, (c) $\delta/\beta = 1000$, (d) $\delta/\beta = 2000$.

239 Next, the theoretical expression for the variance in hybrid images (Eq. 10) was evaluated,
 240 first as a function of the number of photons per beamlet, N , then as a function of the δ/β -
 241 ratio, and the results compared to simulated data. The plots are shown in Fig. 3; again, a
 242 good agreement can be observed.

243 As a final step, Eq. 11 was evaluated as a function of the δ/β -ratio, predicting the
 244 relative SNR between hybrid and attenuation images. To generate simulated results, the
 245 SNR in hybrid and attenuation images was again defined as $\text{SNR}_{I_\Phi} = (k\delta T)/(\sigma_{I_\Phi})$ and

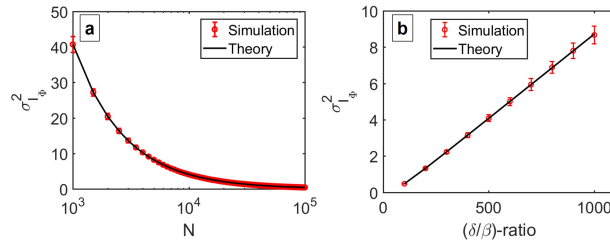


Figure 3. Theoretical vs. simulated results. Variance of hybrid images, as predicted by Eq. 10, and variance of hybrid images retrieved from simulated noisy data: (a) as a function of the number of photons per beamlet, N (here, $\delta/\beta = 500$ was assumed), (b) as a function of the δ/β -ratio of the sample material.

246 $\text{SNR}_{I_A} = (2k\beta T)/(\sigma_{I_A})$. Noisy attenuation signals were simulated by first applying Poisson
247 noise to a constant signal with a mean value of $N = 10^4$ and then taking the logarithm.
248 The results are shown in Fig. 4. Besides a good agreement between theory and simulation,
249 a number of observations can be made. First, SNR_{rel} increases with increasing δ/β -ratio.
250 This is not surprising, as materials with a high δ/β -ratio typically exhibit weak attenuation,
251 hence attenuation imaging leads to a relatively poor SNR for such samples. In this sense, Eq.
252 11 confirms what is often cited as the rationale behind phase-based x-ray imaging, namely
253 that the inclusion of phase effects into the image formation process can lead to a higher
254 SNR, which in turn provides a better image quality and superior detection capabilities. As
255 stated previously, one of the purposes of this paper is to guide the decision as to what
256 type of images (hybrid or attenuation) to acquire with an edge illumination setup for a
257 specific sample. Such guidance can be derived from the break-even point, i.e. the δ/β -
258 ratio for which $\text{SNR}_{\text{rel}} = 1$. As shown by Eq. 11, the break-even point depends on the
259 experimental setup. This is in line with previous work^{15,30}, e.g. it has shown been that the
260 refraction sensitivity is driven by the sample-to-detector distance, z_2 , and the steepness of
261 the illumination curve at the working point, x_m , the latter being a function of the source
262 size and the apertures in the sample mask. Figure 4 highlights that the break-even point
263 also depends on the sampling step, d , which is proportional to spatial resolution. It can be
264 seen that the smaller the sampling step, the smaller the δ/β -ratio for which $\text{SNR}_{\text{rel}} = 1$. In
265 other words, the higher the resolution, the better the relative performance of hybrid over
266 attenuation imaging. This can be explained by analysing the low-pass filter that underpins
267 the retrieval of hybrid images (Eq. 3). The smaller the sampling step, the larger the portion
268 of noise that is located at higher spatial frequencies. Since the filter's magnitude is lower at
269 higher frequencies irrespective of the δ/β -ratio, more noise is suppressed when the sampling
270 step is small; hence, less noise is transferred into the retrieved images.

271 B. Experimental data

272 Experimental data were acquired with an edge illumination setup that featured a Rigaku
273 007-HF Micro Max x-ray source (Rigaku Corporation, Japan) with a rotating molybdenum
274 target and an effective focal spot size of approximately $70 \mu\text{m}$. The source was operated at
275 40 kV and 25 mA. The detector was a CMOS-based flat panel C9732DK-11 (Hamamatsu,

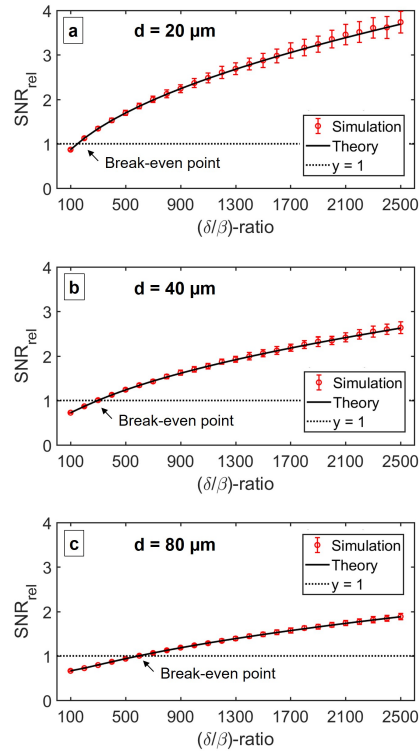


Figure 4. Theoretical vs. simulated results. The relative SNR between hybrid and attenuation images as predicted by Eq. 11, and calculated from simulated hybrid and attenuation images. (a-c) show results for different sampling steps.

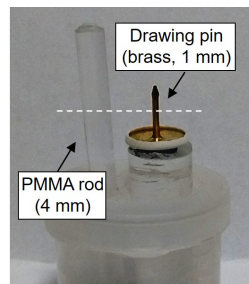


Figure 5. Photograph of the phantom used in the experimental scans.

276 Japan) with a $50 \mu\text{m}$ by $50 \mu\text{m}$ pixel size. All other experimental parameters are listed in
 277 Table II. Note that the periods of the sample and detector masks cover two detector pixels
 278 when magnified to the detector plane (“line-skipping” configuration); hence, the effective
 279 detector pixel size along the lateral direction was $100 \mu\text{m}$ (approximately $80 \mu\text{m}$ when scaled
 280 to the plane of the sample).

281 The phantom was composed of a polymethyl methacrylate (PMMA) rod of 4 mm diameter

282 and a drawing pin (brass) of 1 mm diameter (Fig. 5). It was chosen since PMMA and brass
 283 have very different δ/β -ratios³; at 18 keV (which is approximately the mean energy of the
 284 polychromatic Mo spectrum produced by our source), $(\delta/\beta)_{\text{PMMA}} = 1768$ and $(\delta/\beta)_{\text{brass}} \approx$
 285 22 (the exact value varies slightly with the zinc-copper ratio of brass, which, for the drawing
 286 pin that we used, was unknown to us). First, raw images were taken *with* the detector mask
 287 in place and a sample mask offset of $x_m = -9.4 \mu\text{m}$ (to retrieve hybrid images). Second,
 288 raw images were taken *without* the detector mask and the beamlets aligned with the pixels'
 289 centres (to obtain attenuation images). In both cases, images were acquired with three
 290 different sampling steps, $d = 20 \mu\text{m}$, $40 \mu\text{m}$ and $80 \mu\text{m}$ and an exposure time of 1.5 s per
 291 frame. This involved scanning the sample continuously with a speed of 14, 28 and $56 \mu\text{m/s}$
 292 across one sample mask period (hence, the images were composed of four, two and one frame,
 293 respectively). One dark field and ten flat field images, which were averaged, were acquired
 294 and used for offset and background corrections. Hybrid images were retrieved according to
 295 Eq. 2, and attenuation images were obtained by applying the negative logarithm to the
 296 respective corrected raw data. Results are shown in Fig. 6. Fig. 7 further shows line
 297 profiles across the drawing pin (brass; left hand side column) and PMMA rod (right hand
 298 side column) extracted from the hybrid and attenuation images; these profiles are only based
 299 on a single row of pixels, no averaging was performed. All profiles are plotted on the same
 300 scale to enable a visual comparison between them.

301 Before interpreting these data, it should be noted that our experimental setup violates

Source-to-sample mask distance, z_1	0.7 m
Sample mask-to-detector distance, z_2	0.185 m
Sample mask period	$80 \mu\text{m}$
Sample mask aperture width	$12 \mu\text{m}$
Detector mask period	$100 \mu\text{m}$
Detector mask aperture width	$20 \mu\text{m}$
Working point, x_m	$-9.4 \mu\text{m}$
Source focal spot (FWHM)	$70 \mu\text{m}$
X-ray energy (mean)	18 keV

Table II. Setup parameters.

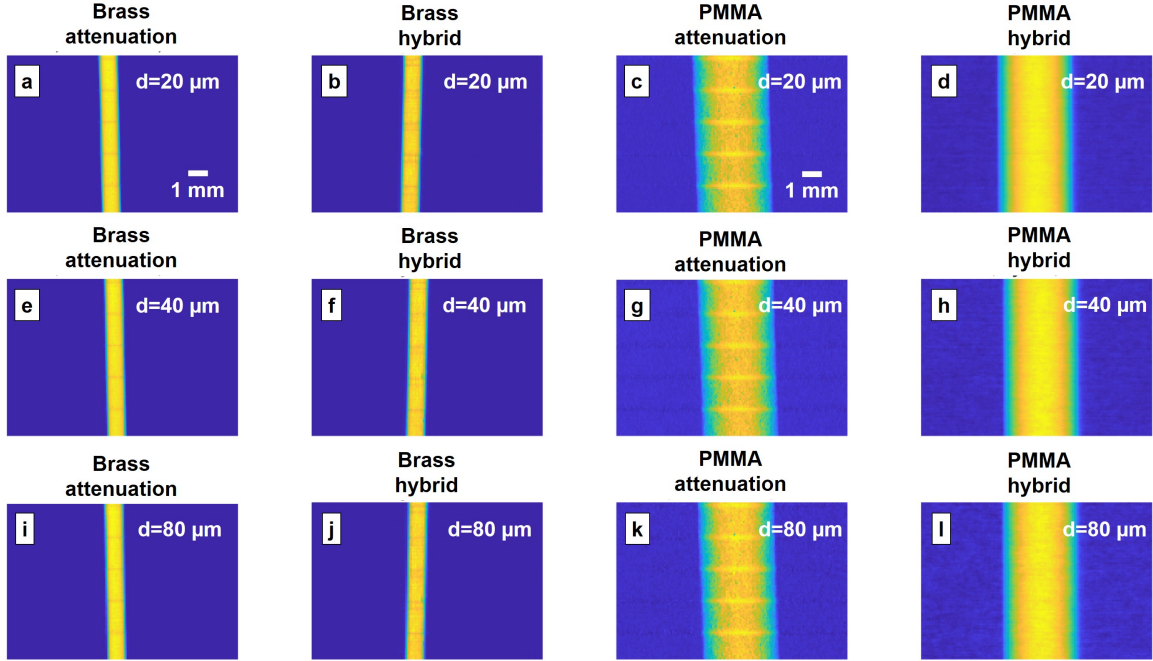


Figure 6. Experimental results. Attenuation (a, e, i) and hybrid (b, f, j) images of the drawing pin (brass); attenuation (c, g, k) and hybrid (d, h, l) images of the PMMA rod. The images shown in the top, middle and bottom rows were acquired with sampling steps of $d = 20, 40$ and $80 \mu\text{m}$, respectively.

302 some of the assumptions made to derive the theory presented above. First, the flat panel
 303 detector in our system is not a photon counter. It features a CsI scintillator and suffers from
 304 relatively high cross-talk between pixels, which violates the assumption of uncorrelated Pois-
 305 son noise. Unlike in the theoretical model, where raw data were assumed to have a constant
 306 NPS, the cross-talk imposes a correlation of the noise between neighbouring pixels, which
 307 corresponds to a non-constant NPS. The cross-talk can be modelled as applying a Gaussian
 308 filter to the uncorrelated raw data; this implies that the NPS tails off at higher spatial fre-
 309 quencies. Consequently, the relative contribution of high-frequency noise is lowered. Since
 310 the filter used in the hybrid retrieval has a similar effect, the hybrid images are likely to be
 311 less affected by the cross-talk, while the opposite holds for the attenuation images where
 312 no low-pass filter is applied, leading to a less straightforward comparison between them.
 313 Second, the x-ray beam emitted by our Mo source is polychromatic. This has an effect on
 314 the δ/β -ratio. Although an effective energy can be used to assign δ_{eff} and β_{eff} , the effective

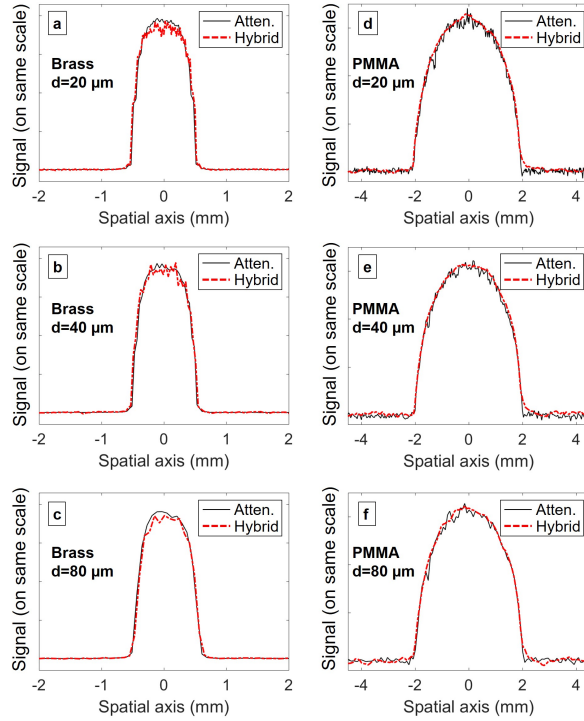


Figure 7. Experimental results. (a-c) Profiles of the drawing pin (brass) extracted from hybrid and attenuation images acquired with different sampling steps; (d-f) profiles of the PMMA rod extracted from hybrid and attenuation images acquired with different sampling steps. Note that hybrid and attenuation profiles have been plotted on the same scale.

energy for both is generally different³², making it difficult to assign the correct $(\delta/\beta)_{\text{eff}}$. Due to these violations, our experimental results can only be considered a qualitative reflection of the theory. A quantitative experimental verification of the theory would require that a single-photon counting detector and a monochromatic x-ray beam are employed.

Nevertheless, when comparing the hybrid and attenuation profiles for brass and PMMA, several observations can be made. While a good agreement in the signal shape can be seen, it is important to note that the profiles contain different amounts of noise. The hybrid profiles of brass appear noisier than their attenuation counterparts. On the contrary, the hybrid profiles of PMMA are much less noisy than the attenuation profiles. This is in agreement with the theory (in a qualitative sense). For a highly attenuating material like brass, attenuation images provide a very good SNR, to an extent that hybrid imaging can only perform worse (because the fraction of photons per pixel is reduced for a working point on the mid-slope of the illumination curve). This aligns with the theoretical result that

328 the relative SNR between hybrid and attenuation images is <1 for low δ/β -ratios (Fig. 4).
 329 On the other hand, for materials with weak attenuation like PMMA, hybrid images provide
 330 a better SNR than attenuation images, matching the result that the relative SNR is >1
 331 for higher δ/β -ratios. In order to support these observations with quantitative values, we
 332 have calculated the standard deviation in the background regions of the profiles (to the left
 333 of the respective rod). The results are displayed in Table III. Although the analysis may
 334 be somewhat obscured by inter-pixel variations (e.g. where flat-fielding has not entirely
 335 removed variations in the detector response), the values are largely in line with the above.

Sampling step	Brass (atten.)	Brass (hybrid)	PMMA (atten.)	PMMA (hybrid)
$d = 20 \mu\text{m}$	0.0040	0.0093	0.0040	0.0024
$d = 40 \mu\text{m}$	0.0044	0.0105	0.0044	0.0033
$d = 80 \mu\text{m}$	0.0045	0.0079	0.0037	0.0039

Table III. Standard deviation extracted from the left hand side background regions of the profiles shown in Fig. 7. Before calculating the standard deviation of the hybrid profiles, these were divided by $(1/2) \cdot (\delta/\beta)$ (using values relating to the respective material) in order to obtain results on the same scale.

336 IV. DISCUSSION

337 We have provided analytic expressions that predict the noise (in terms of the NPS and
 338 variance) in hybrid (phase and attenuation) x-ray images, which can be retrieved from
 339 raw images acquired with the edge illumination technique via the application of a sample-
 340 specific low-pass filter. Our theory shows that the amount of noise is related to virtually
 341 all experimental and acquisition parameters, as well as to the imaged sample itself via the
 342 δ/β -ratio (Eq. 10). This has been a key result as it provides guidance for designing an edge
 343 illumination setup that leads to minimally noisy images for a specific sample. Equation
 344 10 has further enabled us to theoretically compare hybrid images to attenuation images
 345 (which can also be acquired with the edge illumination technique by removing the detector
 346 mask). It was shown that that the relative merits of these two types of images again
 347 depends on the experimental parameters and the sample itself. The latter is not surprising,
 348 as for highly attenuating samples attenuation images typically provide a high SNR, making

349 the inclusion of phase effects unnecessary. More precisely, for highly attenuating samples,
350 the availability of phase contrast is outweighed by the fact that in hybrid imaging fewer
351 photons reach the detector (typically around 50%, a consequence of the need to illuminate
352 each pixel with only a part of each beamlet, to generate the so-called “edge illumination”
353 configuration). On the other hand, the hybrid approach can lead to a substantial increase in
354 SNR for weakly attenuating samples. In this case, the fact that in the hybrid approach fewer
355 photons contribute is counter-balanced by the availability of phase contrast and the low-pass
356 filtering operation, which smoothes the noise without blurring the signal. In fact, for high
357 δ/β -ratios the filter’s band-pass region is substantially narrower than for low δ/β -ratios,
358 enhancing the noise-reducing effect.

359 V. CONCLUSION

360 We would anticipate that our theory will be most useful for samples with “intermediate”
361 δ/β -ratios, where it is not obvious whether hybrid or attenuation images will provide the
362 better SNR. In such cases, our theory may also help to choose and/or optimise the experi-
363 mental setup in such that way that SNR is maximised. We believe that the edge illumination
364 technique, which can easily be transformed from a phase-sensitive modality into one that
365 only senses attenuation, opens up opportunities for highly sample-specific imaging. Since
366 for weakly attenuating materials the hybrid imaging approach provides an option to increase
367 SNR without increasing the exposure or using contrast agents, scans may be performed at
368 a lower (or optimised) radiation dose.

369 Before concluding, we would like to emphasize again that several assumptions were made
370 in the derivation of the analytical expressions and that the equations are applicable strictly
371 only if these conditions are met. However, as reflected by the experimental results reported
372 in this paper, our theory appears to apply at least in a qualitative fashion also when some
373 of these assumptions are relaxed.

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