

1 **Uncertainty assessment in river flow projections for Ethiopia's Upper**  
2 **Awash Basin using multiple GCMs and hydrological models**

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# 1 **Uncertainty assessment in river flow projections for Ethiopia's Upper** 2 **Awash Basin using multiple GCMs and hydrological models**

3       Uncertainty in climate change impacts on river discharge in Ethiopia's Upper  
4       Awash Basin is assessed using five MIKE SHE hydrological models, six CMIP5  
5       GCMs, and two RCP scenarios for the period 2071–2100. Hydrological models  
6       vary in their spatial distribution and process representations of unsaturated and  
7       saturated zones. Very good performance is achieved for 1975–1999 (NSE: 0.65–  
8       0.8;  $r$ : 0.79–0.93). GCM-related uncertainty dominates variability in projections  
9       of high and mean discharges (mean: -34% to +55% for RCP4.5, -42% to +195%  
10      for RCP8.5). Although GCMs dominate uncertainty in projected low flows, inter-  
11      hydrological model uncertainty is considerable (RCP4.5: -60% to +228%, RCP8.5:  
12      -86% to +337%). ANOVA uncertainty attribution reveals that GCM-related  
13      uncertainty occupies an average 68% of total uncertainty for median and high  
14      flows and hydrological models no more than 1%. For low flows, hydrological  
15      model uncertainty occupies an average 18% of total uncertainty; GCM-related  
16      uncertainty remains substantial (average 28%).

17      Keywords: Upper Awash Basin; hydrological modelling; climate change;  
18      uncertainty

## 19 **1. Introduction**

20      Climate change is expected to alter the quantity, quality and timing of river flow,  
21      groundwater recharge and other hydrological processes (Jiménez Cisneros *et al.* 2014).  
22      In turn, modifications to the distribution of freshwater resources have the potential to  
23      significantly impact global water and food security (Betts *et al.* 2018). Quantifying the  
24      hydrological impacts of climate change is critical to informing strategies designed to  
25      sustain water security and maintain aquatic environments and the ecosystem services they  
26      provide (Thompson *et al.* 2014a). The hydrological impacts of climate change are  
27      commonly evaluated by perturbing meteorological inputs to hydrological models with  
28      climate projections derived from General Circulation Model (GCM) simulations of  
29      alternative radiative forcing scenarios (e.g. Todd *et al.* 2011; Thompson *et al.* 2013).  
30      However, these climate change impact assessments are subject to multiple sources of  
31      uncertainty that cascade down through each step of the impact modelling chain (Wilby  
32      and Dessai 2010).

33

34 The cascade of uncertainty in hydrological climate change impact assessments typically  
35 consists of uncertainties related to emission scenarios, GCMs and hydrological models.  
36 The first source of uncertainty relates to diverging trajectories of greenhouse gas  
37 concentrations (and other radiative drivers) that arising from uncertainty in economic  
38 development, technological change and climate mitigation policies. Current projections  
39 are expressed through a range of Representative Concentration Pathways (RCPs) (IPCC  
40 2014). GCM-related uncertainty is the next, and most frequently studied, source of  
41 uncertainty. It arises from differences in spatial resolution, parameterization and process  
42 descriptions between GCMs (e.g. Todd *et al.* 2011; Hattermann *et al.* 2018). Integrated  
43 multi-scale analyses have shown that at a global scale GCM-related uncertainty  
44 dominates uncertainty in hydrological projections (e.g. Todd *et al.* 2011; Vetter *et al.*  
45 2015; Krysanova *et al.* 2017).

46

47 Uncertainty related to the structure of hydrological models is often neglected despite the  
48 wide range of hydrological model codes applied in climate change impact studies. While  
49 previous studies agree that hydrological model uncertainty is generally smaller than  
50 GCM-related uncertainty, it cannot be ignored (e.g. Thompson *et al.* 2013). Hydrological  
51 model uncertainty is epistemic and mainly arises from a lack of knowledge in the  
52 representation of natural hydrological processes within models (Beven 2016).  
53 Hydrological model structures can differ according to 1) parameterization, 2) process  
54 coupling, 3) model domain discretization, and 4) classification of spatially-distributed  
55 catchment characteristics including land use, geology, soil, and hydrological processes  
56 (Butts *et al.* 2004). Acceptable and similar performance can be achieved using different  
57 model structures (i.e. model equifinality; Beven 1993). Whilst different hydrological  
58 models may perform similarly for a baseline period, they can produce different responses  
59 when forced with the same climate change projections (e.g. Poulin *et al.* 2011; Thompson  
60 *et al.* 2013).

61

62 This study investigates the cascade of uncertainty for projections of river discharge under  
63 climate change within Ethiopia's Upper Awash Basin with a particular focus on  
64 hydrological model structure uncertainty. Uncertainty associated with model parameters  
65 during calibration can also lead to model equifinality but are not explicitly addressed in

66 this study. Hydrological model structure uncertainty is assessed by developing five  
67 individual hydrological models of varying complexity using the same model code, MIKE  
68 SHE. While previous studies (such as QUEST-GSI; Todd *et al.* 2011 and ISI-MIP;  
69 Hattermann *et al.* 2018) have investigated hydrological model structural uncertainty using  
70 a number of different model codes, this study assesses structural uncertainty by varying  
71 the representation of spatial variability and the complexity of process descriptions within  
72 a single hydrological model code. This study is the first time the MIKE SHE modelling  
73 system has been applied to the Upper Awash Basin and is likely the first study that has  
74 undertaken sensitivity analyses for alternative combinations of process representations  
75 within MIKE SHE for an African catchment.

### 76 ***1.1 The Upper Awash Basin***

77 The Awash River Basin (Fig. 1) is Ethiopia's most developed and economically important  
78 river basin and the first in which modern agriculture and large-scale irrigation were  
79 established (Berhe *et al.* 2013). It has an area of  $\sim 113,709 \text{ km}^2$  and is bordered to the west  
80 by the Abbay Basin (Blue Nile). The main river runs for 1200 km from the central  
81 Ethiopian highlands at  $\sim 3000$  mamsl (metres above mean sea level) within the East  
82 African Rift Valley in a northeastern direction to the endorheic Lake Abe at  $\sim 250$  mamsl  
83 (Fig.1b). Based on topography, climate and elevation it can be divided in to three zones:  
84 Upper Awash, Middle Awash and Lower Awash (Taddese *et al.* 2003).

85  
86 The Upper Awash Basin (UAB) is home to at least 15.7 million people ( $\sim 17\%$  of  
87 Ethiopia's population) and includes Ethiopia's capital, Addis Ababa. The UAB  
88 terminates at the Koka Dam and Reservoir, 75 km southeast of Addis Ababa. It has an  
89 area of  $11,500 \text{ km}^2$  and accounts for 7% of the Awash River Basin. The UAB has  
90 frequently been the sole focus of hydrological research given the significantly altered  
91 river regime downstream of the Koka Dam (Berhe *et al.* 2013; Müller *et al.* 2016).  
92 Precipitation within the UAB is substantially modulated by the seasonal migration of the  
93 Inter-tropical Convergence Zone. Mean annual rainfall varies from 1400 mm in the  
94 headwaters of the Ethiopian highlands to 800 mm near Koka Dam. The climate is  
95 characterized by a short rainy season in spring (February to March) and a main summer  
96 wet season (June to September). Potential evapotranspiration (PET) is inversely  
97 correlated to altitude (Berhe *et al.* 2013) and varies from 1810 mm in the humid Ethiopian

98 highlands to over 2300 mm in the arid lower valley. Sandy clay loam (42%) and clay  
99 (39%) are the dominant soil types. The hydrostratigraphy of the UAB is dominated by  
100 three main units (Kebede, 2013; Jira 2019): an upper or shallow (80 to 150 m depth)  
101 aquifer of Quaternary alluvium as well as weathered and fractured basalt; an intermediary  
102 (~100 m thick) pyroclastic confining bed; and a confined aquifer (>300 m thick) of  
103 Tertiary volcanics.

104

105 Precipitation over the Ethiopian highlands is strongly influenced by large-scale controls  
106 on climate variability such as the El Niño Southern Oscillation (ENSO). El Niño phases  
107 are associated with below-average summer rainfall and are considered to be a major  
108 driver of past drought episodes (e.g. Seleshi and Zanke 2004; Philip *et al.* 2018). Despite  
109 its importance, previous research has shown that the GCMs of the Coupled Model  
110 Intercomparison Project Phase 5 (CMIP5) are limited in their ability to simulate ENSO  
111 behaviour in the observed record and show little consensus over how its behaviour may  
112 change in the future (Kociuba and Power 2014; Chen *et al.* 2016). Projections for East  
113 Africa over the 21<sup>st</sup> Century generally point towards increasing temperature (and hence  
114 PET), in response to enhanced global mean temperatures, and precipitation, linked to  
115 enhanced wet seasons under both RCP4.5 and RCP8.5 greenhouse-gas concentration  
116 trajectories (Niang *et al.* 2014).

117

118 The scarcity of high quality and complete hydro-meteorological data has been a particular  
119 challenge in the Awash Basin and neighbouring East African catchments (Mekonnen *et*  
120 *al.* 2009). Sparse hydrological monitoring below Koka Dam imposes further restrictions,  
121 beyond the dam's regulation of discharge, on the spatial extent of hydrological  
122 investigations. In this study, discharge data from two gauging stations in the UAB (Fig.  
123 1) were selected on the basis on the duration, continuity and integrity of their records.  
124 Gauging stations at Melka and Hombole have drainage areas of 4456 km<sup>2</sup> and 7656 km<sup>2</sup>,  
125 respectively. These stations were used for model calibration / validation. Models were  
126 forced with daily precipitation data from 11 rain gauges (Fig. 1), which were selected  
127 based on a review of data quality including initial double mass analysis. Temperature  
128 records are limited and only available at an elevation of 2354 m. This record was adjusted  
129 for four 500 m elevation ranges between 1500 m and 3500 m to account for spatially  
130 varying PET, the other meteorological parameter forcing the models which was

131 calculated using the adjusted temperature records and the Hargreaves method  
132 (Hargreaves and Samani 1985).

133

134

[FIGURE 1]

135

## 136 **2. Methods**

### 137 ***2.1 Model development, calibration and validation***

138 Each of the five hydrological models of the UAB was developed using the MIKE SHE  
139 modelling system which is capable of simulating the major processes of the land phase  
140 of the hydrological cycle (e.g. Graham and Butts 2005). MIKE SHE is commonly  
141 described as being deterministic, fully-distributed and physically-based but its modular  
142 structure is flexible and includes process descriptions of varying levels of complexity,  
143 some of which are conceptual and semi-distributed in nature (Refsgaard *et al.* 2010). The  
144 spatial distribution of model inputs across the MIKE SHE model grid can also be readily  
145 modified and these inputs can either be uniformly or spatially distributed. By altering the  
146 complexity of process descriptions and spatial distribution, MIKE SHE can be used to  
147 explore the impacts of hydrological model structural uncertainty by developing models  
148 of alternative process descriptions and spatial distributions (e.g. Rochester 2010;  
149 Robinson 2018; Vansteenkiste *et al.* 2014a, b). The development of models of the UAB  
150 adheres to the approaches used by MIKE SHE modelling of other large river systems (e.g.  
151 Anderson *et al.* 2001; Thompson *et al.* 2013; Hudson and Thompson 2019). MIKE 11, a  
152 1D hydraulic model, is dynamically coupled to MIKE SHE and simulates channel flow  
153 (Thompson *et al.* 2004). Table 1 summarises the data requirements and sources of data  
154 for each component of the five alternative MIKE SHE / MIKE 11 models of the UAB.

155

156

[TABLE 1]

157

158 The five models span a range of commonly used hydrological model structures. They  
159 were developed using existing process representations available within the MIKE SHE  
160 modelling system, which include relatively simple spatially uniform, conceptual  
161 approaches through to spatially-distributed, physically-based process descriptions as well  
162 as a combination of the two. Whilst each model employs the same 1 km × 1 km grid size

163 and a maximum time step of 1 day, they vary according to the computational approaches  
164 used to represent the unsaturated (UZ) and saturated zones (SZ) (Table 2). The models  
165 also employed two alternative approaches to the spatial distribution of surface soil  
166 parameters in the UZ. The first assumes uniform distribution of soil types; the second  
167 spatially varies soil classes based on the FAO Digital Soil Map of the World (FAO 1998).  
168 Each hydrological model is given a name according to the following three criteria: 1)  
169 whether the model has a uniformly distributed (U) or spatially distributed (D) unsaturated  
170 zone, 2) whether the model has conceptual (C) or physically-based (P) representation of  
171 unsaturated and saturated flow, and 3) whether it used Gravity Flow (G) or Richards  
172 equation (R) to describe unsaturated flow.

173

174

[TABLE 2]

175

176 A split-sample calibration/validation approach (Klemeš 1986) was adopted for all five  
177 hydrological models. In each case, the periods 1975–1987 and 1988–1999, for which the  
178 most complete hydro-meteorological datasets are available, were used for calibration and  
179 validation, respectively. Calibration/validation was based on comparison of observed and  
180 simulated discharge at the two gauging stations with model performance being assessed  
181 using multiple statistical measures; Nash-Sutcliffe Efficiency (NSE; Nash and Sutcliffe  
182 1970), percentage deviation (Dv; Henriksen *et al.* 2003) and Pearson product moment  
183 correlation (r). Model performance using these statistics was assessed based on the  
184 scheme proposed by Henriksen *et al.* (2003). This scheme has previously been used in a  
185 number of modelling studies (e.g. Thompson *et al.* 2013; Ho *et al.* 2016; Hudson and  
186 Thompson 2019). Previous research has suggested that NSE tend to be more sensitive to  
187 high and extreme flows (Pushpalatha *et al.* 2012; Krause *et al.* 2005). As the hydrology  
188 at the UAB is relatively flashy and the difference between high and low flows can exceed  
189  $150 \text{ m}^3\text{s}^{-1}$  in a single year, model performance was also assessed through the calculation  
190 of daily and monthly logNSE using the logarithmic values of river discharge to give more  
191 weight to low flows (Krause *et al.* 2005). In order to minimize the risks of over-  
192 parameterization, the number of parameters subject to calibration was kept as low as  
193 possible according to the framework outlined by Refsgaard (1997). Horizontal and  
194 vertical hydraulic conductivity was varied during calibration for all hydrological models  
195 with the exception of Model 5, which employed the conceptual linear reservoir  
196 representation of the SZ. The time constants for the interflow and baseflow reservoirs

197 were varied in the calibration of Model 5 instead. Hydraulic conductivity within the UZ  
198 was varied in models 1 and 2, which both employed a conceptual representation of the  
199 unsaturated zone. Bypass fraction for the dominant soil type was varied in all models.  
200

## 201 **2.2 Climate change scenarios**

202 Each of the five calibrated and validated MIKE SHE/MIKE 11 models was perturbed  
203 with projected changes in precipitation and PET from six CMIP5 GCMs (Table 3) for the  
204 RCP4.5 and RCP8.5 scenarios. Changes in mean annual precipitation and temperature  
205 over the UAB for these six GCMs broadly represent the range of change across the  
206 complete CMIP5 ensemble with the exception of a few notable outliers (Supplementary  
207 Fig.1). In this way, the range of projected climate change across the CMIP5 ensemble is  
208 represented by a similar number of GCMs to the hydrological models that were developed  
209 for the UAB. The delta-factor approach was used to establish future precipitation and  
210 PET time series. Initially monthly change factors for precipitation (%) and mean,  
211 maximum and minimum temperatures (°C) were derived by comparing basin-wide  
212 projections from each GCM for the baseline (1975-1999) and future periods (2071-2100).  
213 Daily baseline observed precipitation and temperature data were perturbed by these  
214 monthly delta factors (e.g. Anandhi *et al.* 2011). PET was then recalculated using the new  
215 temperature series and the Hargreaves method (Hargreaves and Samani 1985). The delta-  
216 factor approach is widely used in hydrological climate change impact assessments (e.g.  
217 Arnell 2003; Poulin *et al.* 2011; Ho *et al.* 2016; Hudson and Thompson 2019). It should  
218 be noted that this approach retains the climate variability of the baseline period but does  
219 not consider modifications to rainfall intensity (Fowler *et al.* 2007). Additional  
220 simulations employed perturbed precipitation whilst using baseline PET and vice versa.  
221 This enabled assessment of the relative importance of inter-GCM uncertainty in  
222 precipitation and PET to overall uncertainty (Gosling *et al.* 2011; Thompson *et al.* 2013).

223

224

[TABLE 3]

225

## 226 **2.3 Uncertainty analysis**

227 Systematic analysis of multiple sources of uncertainty enables quantification of the  
228 relative magnitude of each source to overall uncertainty. For example, Bosshard *et al.*  
229 (2013) used three-way ANOVA to quantify the relative dominance of different sources



230 of uncertainty along the entire impact modelling chain. ANOVA has since been employed  
 231 to quantify uncertainties in multi-catchment global investigations (Karlsson *et al.* 2016;  
 232 Vetter 2015, 2017; Krysanova *et al.* 2017; Hatterman *et al.* 2018). It enables variance  
 233 decomposition where overall impact assessment uncertainty is decomposed into elements  
 234 (i.e. individual sources of uncertainty) and interactions among them.

235

236 Following the approach of Bosshard *et al.* (2013), overall uncertainty ( $Y_Q$ ) is defined in  
 237 terms of annual Q10, Q50 and Q90 river discharges (i.e. discharges equalled or exceeded  
 238 for 10, 50 and 90 percent of the time in each year, respectively) for the climate change  
 239 signal for each of the impact modelling chain combinations comprising five hydrological  
 240 models, six GCMs, and two RCP scenarios (eq. 1). The river discharge quantiles selected  
 241 match those of recent multi-site impact assessments (Vetter *et al.* 2015; Krysanova *et al.*  
 242 2017; Hattermann *et al.* 2018) and characterise high, median and low flows, respectively.  
 243 Each source of uncertainty that is considered is an ‘effect’ that is hypothesized to  
 244 influence overall climate change signal variability. ANOVA, conducted using SPSS 22,  
 245 splits the total sum of squares into sum of squares for each effect and their interactions  
 246 (eq. 2). The variance fraction ( $n^2$ ) (between 0 and 1) is then calculated for each effect and  
 247 represents the percentage contribution of each effect and interactions (eq. 3).

248

$$249 \quad Y_Q = Q_Q^{CC} - Q_Q^{BL} \quad (1)$$

250 Q = monthly river discharge quantiles (Q10, Q50, Q90)

251 CC = scenario

252 BL = baseline

253 Y = overall uncertainty

254

$$255 \quad SST = SS_{HM} + SS_{GCM} + SS_{RCP} + SS_{HM*GCM} + SS_{HM*RCP} \\ 256 \quad + SS_{GCM*RCP} + SS_{HM*GCM*RCP} \quad (2)$$

257

258 SST = total sum of squares

259  $SS_{HM}$ ,  $SS_{GCM}$  and  $SS_{RCP}$  correspond to SST partitioned into sum of squares of the effects

260 (hydrological model, GCM, RCP)

261 
$$\eta_{GCM}^2 = \frac{1}{I} \sum_{i=1}^I \frac{SS_{GCM}}{SST},$$

262 
$$\eta_{HM}^2 = \frac{1}{I} \sum_{i=1}^I \frac{SS_{HM}}{SST},$$

263 
$$\eta_{RCP}^2 = \frac{1}{I} \sum_{i=1}^I \frac{SS_{RCP}}{SST} \quad (3)$$

264  $I$  is the number of subsamples and  $\eta^2$  is the variance fraction for each effect.

265

### 266 **3. Results**

#### 267 ***3.1 Model calibration and performance***

268

269 Table 4 summarises the final values of the main calibration parameters for each of the  
270 five hydrological models. As described above, the alternative process representations  
271 means that not all of these parameters are relevant to each model and the parameters  
272 modified during calibration varied between models. The values of horizontal and vertical  
273 hydraulic conductivity within the SZ, representing the upper aquifer unit of Quaternary  
274 alluvium and weathered/fractured volcanics, are up to an order of magnitude larger in  
275 models 3 and 4 that use physically-based conceptualizations of the UZ, compared with  
276 Model 1 with a simpler representation of the UZ. It is probable that the higher hydraulic  
277 conductivities for the former are required to compensate for the longer transit times  
278 through the UZ as simulated by the Gravity Flow and Richard's equation approaches.  
279 Indeed, the calibrated hydraulic conductivity values are more than an order of magnitude  
280 lower than those employed by Jira (2019) in SZ models using MODFLOW. The bypass  
281 fraction, which determines the proportion of precipitation that under certain conditions is  
282 routed directly to the water table, was similar across all models. Similarly, the same  
283 values were established for the UZ hydraulic conductivity for the two models (1 and 2)  
284 employing the conceptual 2-layer water balance approach.

285

286

[TABLE 4]

287

288 Fig. 2a&b shows observed and simulated mean monthly discharge at both gauging  
289 stations for the calibration and validation periods. Monthly mean discharges are shown  
290 in the interests of clarity since simulation results are provided for each of the five  
291 hydrological models. Statistical measures of model performance and their classification  
292 using the scheme of Henriksen *et al.* (2003) using both daily and monthly mean  
293 discharges at each station for both periods and all five models are presented in Table 5.  
294 Simulated and observed low flows in the dry season (DJF) and logNSE values for both  
295 daily and monthly mean discharges are shown in Table 6.

296

297 According to the performance scheme, monthly NSE values indicate at least ‘very good’  
298 model performance ( $NSE > 0.7$ ) for all models at both stations for the calibration period.  
299 NSE values for this period suggest improvement in performance between models 1 and  
300 2 that is associated with the introduction of spatial distributed soil parameters within the  
301 UZ. Model performance according to NSE is more variable in the validation period  
302 although it is at least ‘fair’ across all models with the exception of Model 3 at Melka.  
303 NSE values indicate weaker performance at a daily time-steps compared to monthly  
304 metrics (see also Thompson *et al.* 2014b) with Model 5 providing the best performance  
305 at this shorter time step. In most cases  $r$  values are above 0.9 in the calibration period and  
306 are all above 0.8 with some exceeding 0.9 in the validation period.

307

308

#### [TABLE 5]

309

310 According to the values of  $D_v$ , all models provide at least ‘fair’ performance during the  
311 calibration period and indicate an overall underestimation of river flow at Melka and an  
312 overestimation at Hombole.  $D_v$  values are poorer for the validation period with  
313 classifications ranging from ‘very poor’ to ‘fair’. All models tend to overestimate peak  
314 discharges in the validation period, albeit to varying degrees. This is particularly notable  
315 for models 4 and 5. Given the flashy nature of river flow in the UAB,  $D_v$  values are likely  
316 skewed by the inability of all of the models to fully capture the range of flow extremes.  
317 This is supported by the daily and monthly logNSE values which are generally lower than  
318 the NSE values across both the calibration and validation periods. In common with the  
319 NSE values, logNSE values are weaker at a daily time-step compared to monthly.  
320 Monthly logNSE values range from 0.22-0.58 in the calibration period and -0.21 to 0.68

321 in the validation period across both stations, suggesting a large disparity among the  
322 hydrological models in their ability to simulate low flows. The logNSE values at  
323 Hombole are generally better than at Melka across all hydrological models. The ability to  
324 reproduce low flows is notably poor in the validation period for models 4 and 5 with  
325 negative logNSE values.

326

327

[TABLE 6]

328

329 Fig. 2c-f show observed and simulated river regimes (monthly mean discharge) for all  
330 hydrological models in the calibration and validation periods. Although timing of the  
331 observed seasonal peak is reproduced very well for the calibration period, all models  
332 underestimate wet season July-August-September (JAS) discharges at Melka. This  
333 underestimation ranges from, on average, 14% for Model 4 to 35% for Model 3.  
334 Underestimation of wet season discharges also dominates at Hombole (three models) with  
335 a range of 6-15% (models 2 and 3, respectively). Models 4 and 5 overestimate discharge  
336 at this time by, on average, 10% and 17%, respectively. All of the models except Model  
337 3 overestimate the low dry season (DJF) flows. This overestimation is more pronounced  
338 at Melka. The largest overestimates are for Model 4 (375% for Melka, 210% for  
339 Hombole) whereas the smallest are for Model 2 (74% at Melka, 1% at Hombole). The  
340 average absolute differences in DJF flows across all hydrological models are, however,  
341 relatively small ( $4.1 \text{ m}^3\text{s}^{-1}$  and  $4.9 \text{ m}^3\text{s}^{-1}$  at Melka and Hombole, respectively).

342

343 Inter-hydrological model ranges in simulated discharge, indicated by the river regimes,  
344 are larger for the validation period. At Melka, mean overestimation of JAS discharge  
345 ranges from 16% (Model 5) to 52% (Model 3) whereas at Hombole different models are  
346 responsible for the smallest (16%, Model 2) and largest (32%, Model 5) overestimates.  
347 Underestimates in mean JAS discharges are restricted to Model 1 and are by comparison  
348 relatively small (2% at Melka and 4% at Hombole). The representation of dry season  
349 (DJF) river discharge remains an area of significant inter-hydrological model variability.  
350 Model 3 is, as for the calibration period, the only model to underestimate low flows (12%  
351 at Melka, 36% at Hombole). The remaining models all overestimate low flows by at least  
352 100% at both stations. Overestimates at Melka range from a mean of 280% (Model 2) to  
353 750% (Model 4) and at Hombole from 100% (Model 2) to 430% (Model 4).

354

355

[FIGURE 2]

356

357 A comparison of annual observed and simulated Q10 discharges (i.e. discharges equalled  
358 or exceeded for 10 percent of the year) at the two gauging stations for both the calibration  
359 and validation periods and each hydrological model demonstrates relatively good  
360 replication of high flow with reasonable  $r$  values cross the calibration (0.49-0.79) and  
361 validation (0.77-0.91) periods. The spread of model results (lowest  $r$  values) is larger for  
362 Model 3, which produces a notably higher bias at Melka. The  $r$  values for comparisons  
363 between annual observed and simulated Q90 discharges (discharges equalled or exceeded  
364 for 90 percent of the year) are less favourable for both the calibration (0.16-0.69) and  
365 validation (0.14-0.69) periods. Models 1, 4 and 5 produce particularly large differences  
366 between observed and simulated low flows. As demonstrated by the logNSE values,  
367 Models 2 and 3 are comparatively superior in simulating annual Q90 discharges and  
368 Model 3 is the only model to underestimate low flows. Inadequate low-flow performance  
369 likely stems from a relatively small weighting given to low flows in the calibration  
370 process and the dominant reliance on NSE and  $r$  that favour the replication of peak flows.  
371

372 ***3.2 Projected changes in precipitation and PET***

373 Catchment-averaged baseline and projected mean monthly precipitation and PET under  
374 the RCP4.5 and RCP8.5 scenarios for each of the six GCMs are shown in Fig. 3a-d.  
375 Percentage changes in mean annual precipitation and PET for each GCM and both  
376 scenarios are shown in Fig. 4e-h.

377

378 The magnitude of precipitation and PET changes, as well as inter-GCM variability, is  
379 considerably larger for RCP8.5 compared to RCP4.5. All but one GCM project increases  
380 in mean annual precipitation for both RCP scenarios. The exception is CSIRO-Mk3 that  
381 projects a decline of 7% for RCP8.5. This same GCM projects only very modest (0.5%)  
382 increases under RCP4.5. In both cases, increases are concentrated in the dry season and  
383 precipitation declines in most wet season months. The remaining five GCMs project  
384 increases at this time of year and consequentially higher annual precipitation totals. The  
385 GCM responsible for the largest increase varies between RCP scenarios. MPI-ESM-MR

386 accounts for the largest increase for RCP4.5 (+31%) and CanESM2 (58%) for RCP8.5.  
387 It is also notable that a number of GCMs project a change in the temporal distribution of  
388 rainfall with an enhanced bimodal distribution developing in the form of a second, but  
389 smaller, rainy season between January and April. This is most notable for CSIRO-  
390 Mk3.6.0, IPSL-CM5A-MR and MPI-ESM-MR (RCP4.5) and CanESM2 and IPSL-  
391 CM5A-MR (RCP8.5).

392

393 All six GCMs project increases in annual PET for both RCP scenarios although the  
394 magnitude of the changes varies. For RCP4.5 increases in annual PET range from 2%  
395 (CanESM2) to 10% (CSIRO-Mk3). In most cases the magnitude of gains in PET increase  
396 under the RCP8.5 scenario although at the lowest extreme the 2% increase for CanESM2  
397 is repeated. The largest increase of 17% is again for CSIRO-Mk3 and there is an  
398 approximate consistency in the relative order of magnitude of change for different GCMs  
399 between the two scenarios. CanESM2 is the only GCM that projects decline in any of the  
400 mean monthly PET totals. In most cases these declines occur in months when baseline  
401 PET is relatively low.

402

403

### [FIGURE 3]

404

### 405 ***3.3 Projected changes in river discharge***

406 Fig. 4 shows percentage changes in mean discharge from the baseline at both Melka and  
407 Hombole as simulated by the five hydrological models for each GCM and the two RCP  
408 scenarios. Near-consistent increases in mean catchment precipitation for the six GCMs  
409 are not repeated for mean discharge. A larger proportion, albeit still a minority of the  
410 GCM-hydrological model results, projects declines in mean discharge. This contrast  
411 reflects consistent increases in PET. For RCP4.5 declines in mean discharge at both  
412 stations for all five hydrological models are projected for CCSM4 and CSIRO-Mk3  
413 whereas all but one hydrological model (Model 1) projects declines for HadGEM2-ES.  
414 The largest declines are projected for CSIRO-Mk3 with a mean across the five models of  
415 35% at Melka and 32% at Hombole. At the other extreme, consistent increases across the  
416 five hydrological models are projected for CanESM2, IPSL-CM5A-MR and MPI-ESM-  
417 MR with the last projecting the largest mean increase across the hydrological models of  
418 55% at both Melka and Hombole.

419

420 Under RCP8.5 fewer GGM-hydrological model results are associated with declines in  
421 mean discharge. The GCM responsible for the largest increases (mean 169% and 193%  
422 for Melka and Hombole, respectively) is CanESM2. At Melka all hydrological models  
423 project declines for CCSM4 and CSIRO-Mk3 whereas at Hombole one model (Model 1)  
424 projects an increase for the first of these GCMs. As with RCP4.5, CSIRO-Mk3 projects  
425 the largest declines (on average 47% and 37% for Melka and Hombole, respectively and  
426 larger than those for RCP4.5). For CCSM4, mean discharge is projected to decline by an  
427 average of 7% at Melka and Hombole excluding Model 1. In general, where increases in  
428 discharges are projected they are larger than those for RCP4.5 with the exception of MPI-  
429 ESM-MR (a smaller increase relative to RCP4.5). HadGEM2-ES projects an average  
430 increase of 28.5% at both stations compared to the mean decline of 5% for RCP4.5.

431

432

#### [FIGURE 4]

433

434 Following the approach of Gosling *et al.* (2011) and Thompson *et al.* (2013),  
435 Supplementary Fig. 3 and 4 show percentage change in mean annual discharge from the  
436 alternative application of scenario precipitation and PET for RCP4.5 and RCP8.5. For  
437 each GCM and hydrological model, projected changes in mean annual discharge are  
438 obtained from the alternative application of either scenario PET and scenario precipitation  
439 whilst retaining baseline time series for the other model input. The results confirm that  
440 inter-GCM uncertainty in projected river discharge mainly arises from uncertainty in  
441 precipitation projections. Where gains in precipitation are relatively small, enhanced PET  
442 results in reductions in discharge whereas higher PET magnifies drying under a projected  
443 reduction in precipitation. Mean discharge decreases in the majority of cases regardless  
444 of hydrological model if only perturbed PET is applied. Comparing the range of projected  
445 changes in mean annual discharge if only scenario precipitation is applied with the  
446 equivalent value if only scenario PET is applied shows that projected changes in mean  
447 annual discharge due to the application of perturbed precipitation alone is on average 7  
448 times (15 times) larger than the corresponding range due to the application of perturbed  
449 PET alone under RCP4.5 (RCP8.5).

450

451 Fig. 4 shows that the direction of change in mean discharge remains the same regardless  
452 of hydrological model used with the few exceptions involving Model 1 described above.  
453 In contrast, and as also described above, different GCMs produce both increases and  
454 decreases in mean discharge. The range of change between hydrological models for an  
455 individual GCM is indicative of inter-hydrological model uncertainty. Similarly, the  
456 range of changes in projected discharges for different GCMs simulated by a single  
457 hydrological model provides an assessment of inter-GCM uncertainty (Dams *et al.* 2015).  
458 Table 7 reports the percentage changes in projected mean discharge for inter-hydrological  
459 model and inter-GCM uncertainty. These results demonstrate that inter-GCM uncertainty  
460 is larger than the uncertainty associated with the use of different hydrological models.  
461 For example, for the RCP4.5 scenario the percentage range in mean discharge at Melka  
462 simulated for a given GCM by the different hydrological models ranges between 7% and  
463 25% (mean: 17%). The corresponding range for Hombole is 7–26% (mean: 14%). This  
464 contrasts with the average inter-GCM percentage for mean discharge of 91% (73–119%)  
465 and 87% (70–111%) for the two gauging stations, respectively. A similar pattern is  
466 evident for RCP8.5 albeit with an increase in both sets of ranges. For example, at Melka  
467 the mean inter-hydrological model range for mean discharge is 25% (8–51%) compared  
468 to 216% (195–259%) for the inter-GCM range. At Hombole, inter-hydrological model  
469 range is larger (mean: 79%, 56–140%) than at Melka but still smaller than the inter-GCM  
470 range (mean: 221%, 176–281%).

471

472

**[TABLE 7]**

473

474 Baseline and projected river regimes at Hombole for each GCM and both RCP scenarios  
475 are shown in Fig. 5. Results for Hombole, the downstream station, are shown in light of  
476 the overall consensus in the direction of changes projected at the two gauging stations for  
477 the same RCP scenario / hydrological model. Changes in the regime at Melka follow  
478 those at Hombole (Supplementary Figure 2). There is considerable inter-GCM  
479 uncertainty in the seasonal distribution of river flow. Changes in river regimes are more  
480 pronounced for those hydrological models that include a spatially-distributed unsaturated  
481 zone (models 2-4) with particularly pronounced variability in peak discharge being  
482 evident for models 2 and 3. Inter-GCM variability in the regimes simulated by Model 4,  
483 which used the fully distributed physically-based Richards equation, is relatively subdued



484 but is noticeably larger than for models 1 and 5 which employed spatially uniform and  
485 more conceptual approaches to represent key hydrological processes.

486

487

[FIGURE 5]

488

489 For RCP4.5, the largest increase in peak discharges across all hydrological models and at  
490 both gauging stations is projected by MPI-ESM-MR. On average this GCM projects  
491 increases in JAS discharges of 42.5% and 43% at Melka and Hombole, respectively.  
492 CSIRO-Mk3 projects the largest decreases (mean JAS declines of 45.1% and 46.5%,  
493 respectively). Whilst for RCP8.5 the same GCM (CSIRO-Mk3) projects the largest  
494 decreases in JAS discharge (58% and 59% for Melka and Hombole, respectively), the  
495 largest increases are projected by CanESM2 (76% and 81%, respectively). All GCMs  
496 project increases in river discharge during the dry season (DJF) when low flows (Q90)  
497 occur. For RCP4.5, these range between 9% and 10% for Melka and Hombole,  
498 respectively for HadGEM2-ES and between 252% and 285% for MPI-ESM-MR. For  
499 RCP8.5, mean changes in DJF flows range from between 52% and 66% for HadGEM2  
500 to between 1000% and 1280% for CanESM2 for Melka and Hombole, respectively.

501

502 Inter-GCM and inter-hydrological model differences in projected changes in high and  
503 low flows are further demonstrated in Fig. 6. This figure shows percentage changes in  
504 Q10 and Q90 as simulated by each hydrological model when forced with both RCP  
505 scenarios derived from the six GCMs. Results are provided for Hombole and are broadly  
506 representative of those for Melka. The relative magnitude of change in low flows (Q90)  
507 is much larger than changes in both mean (Fig. 4) and high (Q10) flows. Projections for  
508 RCP4.5 from the different GCMs are approximately evenly split with CCSM4,  
509 HadGEM2 and CSIRO-Mk3 generally producing declines in both Q10 and Q90 for all of  
510 the hydrological models. Increases in these flows dominate results for the remaining three  
511 GCMs (CanESM2, IPSL-CM5A-MR and MPI-ESM-MR). The magnitude of these  
512 changes tends to increase in both directions for RCP8.5 although, in general, gains in the  
513 magnitude of the increases in Q10 and Q95 are larger than those where these flows  
514 decline.

515

516 Figure 6 shows substantial inter-hydrological model variability in projected high and low  
517 flows at Hombole. This variability is particularly pronounced for Q90 compared to Q10.  
518 In a number of cases different hydrological models project a different direction of change  
519 in low flows for the same GCM. For example, for RCP4.5 Model 5 projects relatively  
520 large increases for CCSM4, CSIRO-Mk3, HadGEM-ES and IPSL-CM5A-MR whereas  
521 most other hydrological models project declines or only small increases. This pattern is  
522 repeated for CCSM4 and CSIRO-Mk3 in the case of RCP8.5. The inter-hydrological  
523 model range of changes in Q90 for a given GCM varies between 147% (HadGEM-ES)  
524 and 228% (MPI-ESM-MR) under RCP4.5 and 161% (CCSM4) to 419% (CanESM2)  
525 under RCP8.5. Inter-hydrological model variability in high (Q10) flows is comparatively  
526 smaller and varies between 13% (IPSL-CM5A-MR) and 43% (MPI-ESM-MR) under  
527 RCP4.5 and 10% (HadGEM-ES) and 67% (CanESM2) under RCP8.5. There are fewer  
528 instances of the direction of change in high flows for a given GCM varying according to  
529 hydrological model. Such disagreements are limited to CCSM4 for both RCP scenarios  
530 and HadGEM-ES for RCP4.5. In each case, the single hydrological model that projects a  
531 different direction of change (Model 5 for CanESM2 and Model 1 for HadGEM-ES)  
532 projects only a very small change from the baseline.

533

534

### [FIGURE 6]

535

### 536 *3.4 Uncertainty quantification*

537 Variance decomposition using ANOVA for individual river discharge quantiles is  
538 presented in Figure 7. Uncertainty attribution confirms that GCM-related uncertainty is  
539 the largest and most dominant source of uncertainty. The mean contribution of GCMs to  
540 overall uncertainty over Q10, Q50 and Q90 runoff quantiles is 54% across both gauging  
541 stations. The average fraction of uncertainty attributed to the different hydrological  
542 models is 7%. For low flows (Q90) the average fraction of uncertainty attributed to the  
543 different hydrological models (18%) is considerably higher than the corresponding  
544 figures for both median and high flows (Q10) (1% and <0.5%, respectively). For climate  
545 change signals of both high flows (Q10) and median flows (Q50), GCM and RCP  
546 scenarios are the largest contributors to uncertainty (>70% for both stations). However,  
547 for low flows (Q90), the contribution of hydrological model uncertainty to overall

548 uncertainty is considerably higher. The contribution of different hydrological models to  
549 overall uncertainty in projections of Q90 is particularly pronounced at Hombole (27%)  
550 where it is comparable to GCM-related uncertainty and larger than the combined  
551 contribution of interactions between GCM and RCP-related uncertainties.

552

553

[FIGURE 7]

554

## 555 4. Discussion

### 556 4.1 Model performance and uncertainty sources

557 Model performance reflects findings from previous model inter-comparison studies.  
558 Despite being relatively less complex, models that are conceptual or have uniformly  
559 distributed parameter values (i.e. Model 1, 2 and 5 in this study) can exhibit similar, if  
560 not better, performance to physically-based or spatially distributed models (i.e. Models 3  
561 to 4) when calibrated against observations from an historical period (Reed *et al.* 2004;  
562 Duan *et al.* 2006). It should be noted that the models were calibrated using observations  
563 from only two gauging stations and similar model performance across all models is  
564 expected. Despite this, model performance at Melka and Hombole for the different  
565 hydrological models was comparable to, if not better than, previous hydrological models  
566 of the UAB (Table 8).

567

568

[TABLE 8]

569

570 Inter-hydrological model uncertainty was considerably higher for low flows (Q90).  
571 Previous continental-scale modelling studies have similarly found greater variability in  
572 projections of low flows that were context- and catchment-specific (Vetter *et al.* 2015;  
573 Krysanova *et al.* 2017). It has also been suggested that commonly used hydrological  
574 models tend to have relatively poorer predictive ability for low flows given the focus of  
575 most models on reproducing a basin's response to precipitation (Staudinger *et al.* 2011;  
576 Trudel *et al.* 2017). Results from this study also reinforce the need to consider variables  
577 beyond mean annual and monthly discharge that may reveal critical differences between  
578 model structures that might otherwise not be identifiable (Gosling *et al.* 2011).

579

580 A number of global multi-model studies have similarly suggested higher hydrological  
581 model-related uncertainty in projections of low flows under climate change. Inter-  
582 hydrological model uncertainty in Q90 from the current study was also comparable to the  
583 absolute percentage differences found between hydrological models (>30%) by  
584 Vansteenkiste *et al.* (2014a,b). Comparing multiple distributed and semi-distributed  
585 models (including MIKE SHE) with different conceptualizations of groundwater-surface  
586 water interactions for a Belgian catchment, it was concluded that projections exhibited  
587 common impact trends for high/mean flows among the models but that results were  
588 highly variable for low flows. This variability in low flows occurred without any specific  
589 conceptualization of groundwater flow yielding superior model performance during  
590 calibration. Using four hydrological models of varying complexity, including both  
591 lumped and distributed approaches, in a climate change impact assessment for the  
592 Tualatin River Basin (Oregon, USA), Najafi *et al.* (2011) similarly concluded that choice  
593 of model exerts considerable uncertainty in discharge projections during the dry season.

594

595 Uncertainty attribution showed that the mean contribution of GCMs to overall uncertainty  
596 for the UAB was comparable to the GCM fraction of total uncertainty (57%) averaged  
597 over 12 global basins by Krysanova *et al.* (2017). The average fraction of uncertainty  
598 attributed to the different hydrological models for Q90 is comparable to the fraction  
599 calculated for four out of the 12 basins (Niger, Darling, Upper Amazon and Blue Nile) in  
600 this earlier study. In contrast, the hydrological model-related fraction of uncertainty for  
601 Q10 is substantially lower than projected for all 12 basins by Krysanova *et al.* (2017).  
602 Our results reinforce that the contributions of individual uncertainty sources vary in space  
603 and that this might warrant different modelling philosophies to reduce the relative  
604 dominance of different sources of uncertainties (Hattermann *et al.* 2018).

605

#### 606 ***4.2 Comparison between hydrological models***

607 Uncertainties associated with hydrological model structures can be considerable  
608 depending on the calibration strategy and the selected hydrological variables. The  
609 addition of spatially distributed soil classes from Model 1 to Model 2 while the other  
610 process representations of unsaturated and saturated flow remained the same was  
611 assumed to be advantageous. However, additional parameterization as a result of

612 specifying individual calibration parameters for each soil type may contribute to over-  
613 parameterization and an improvement in model performance may not result across  
614 different climatic and environmental conditions (Jakeman and Hornberger 1993).  
615 Comparing MIKE SHE models with spatially uniform and distributed parameterisations  
616 of the Tern catchment (UK), Rochester (2010) found better performance from distributed  
617 models at locations underlain by impermeable geology. The domination of clay within  
618 the UAB and the representation of the saturated zone as a single layer of basaltic volcanic  
619 strata that is impermeable in certain regions (Yitbarek *et al.* 2012), may contribute to  
620 better performance of Model 2. However, although also including spatially distributed  
621 soils, the performance of Models 3-5 was only comparable and in some cases, marginally  
622 worse than models 1 and 2. This may relate to the additional parameters associated with  
623 the variation of process descriptions of unsaturated and saturated flow and the limitation  
624 of the manual calibration strategy employed in this study. Model equifinality exhibited  
625 for mean flows reflect findings of previous model intercomparison studies but  
626 considerable uncertainties exists for the representation of low flows. Even though models  
627 1-4 all employed a finite difference method for saturated zone flow, their performance  
628 for low flows varied substantially. This suggests that parameter and structural uncertainty  
629 associated with the representation of the unsaturated zone and so groundwater recharge  
630 is particularly important for the simulation of low flows.

631

632 Given that the models used in this study span a range of commonly-used model structures,  
633 variations in process descriptions within MIKE SHE show that the evaluation of singular  
634 model components within an individual model code should be as fundamental as  
635 comparisons between hydrological model codes. Considerably different parameter values  
636 used in the alternative models demonstrate the importance of considering model  
637 equifinality. Variable model performance due to the use of different process  
638 representations of the unsaturated zone highlight the fact that considerable uncertainties  
639 still remain in the representation of the subsurface and the contributions from  
640 groundwater to low flows among hydrological models. The addition of conceptual  
641 effective parameters to represent macropore flows and spatial heterogeneity within  
642 physically-based process equations is further indicative of the need to better characterize  
643 and constrain epistemic uncertainties (Beven and Germann 2013). Comparing the  
644 simulation of root-zone dynamics using reservoir schemes (e.g. 2-layer water balance)

645 and the Richards equation, Baroni *et al.* (2010) found comparable performance especially  
646 when no site-specific calibration was conducted. Although the Darcy-Richards equation  
647 remains the dominant description of the unsaturated zone in physically-based distributed  
648 models, non-linearity in spatially heterogeneous soils, inaccuracy at the catchment scale  
649 and the exclusion of preferential flow are concerns (Gupta *et al.* 2012; Beven and  
650 Germann 2013). The inclusion of preferential flow in the model employing the gravity  
651 flow unsaturated zone approach (Model 3) did not have a large influence on overall model  
652 performance.

653

#### 654 ***4.3 Climate change implications***

655 Projections from the six different GCMs demonstrate the dominance of projected  
656 increases in precipitation over the UAB. This reflects results of past multi-model studies  
657 and ensemble projection that have consistently projected increased precipitation over East  
658 Africa (Niang *et al.* 2014). Examining historical global precipitation data, Knoben *et al.*  
659 (2019) detected a gradual transition from bimodal to unimodal precipitation regimes  
660 latitudinally across Africa with a bimodal regime over Ethiopia. A number of GCMs used  
661 in this study project the development of a unimodal regime over the UAB under both  
662 RCP4.5 and RCP8.5. It is therefore plausible that under climate change, the latitudinal  
663 gradient in precipitation modality across Africa may shift. Projections of increased  
664 precipitation are in apparent contrast to significant drought events experienced across  
665 East Africa in recent decades, sometimes referred to as the ‘East African Climate  
666 Paradox’ (Souverijns *et al.* 2016; Nicholson 2017). Possible reasons for the discrepancy  
667 include the impacts of anthropogenic aerosol emissions, uncertainty in GCM  
668 representation of key processes, changes in seasonality of the rainy season and natural  
669 variability (Rowell *et al.* 2015; Wainwright *et al.* 2019).

670

671 Consistency in outcomes of the impact of climate projections from different GCMs for  
672 increases in discharge and high flows (Q10) is greater for RCP8.5 than for RCP4.5,  
673 indicating a degree of confidence over the projected direction of change under scenarios  
674 of higher greenhouse-gas concentrations (i.e. RCP8.5). Projected increases in mean  
675 flows, Q10 and flood frequency over East Africa and other monsoonal regions are well  
676 documented in global-scale modelling studies (Arnell and Gosling 2013; Koirala *et al.*

677 2014). Greater model agreement in projections of increased discharge under RCP8.5 in  
678 this study is also consistent with an evaluation of CMIP5 model agreement in global  
679 streamflow change (Koirala *et al.* 2014). Given that this study is only based on a subset  
680 of CMIP5 GCMs, an extension of this study would be to conduct a complete assessment  
681 using all 41 CMIP5 GCMs or genealogical-based model groups (e.g. Ho *et al.* 2016;  
682 Thompson *et al.* 2017; Hudson and Thompson 2019).

683

684 Effective decision-making in the UAB may be hampered by the large range of  
685 uncertainties revealed in this study. Projected increases in precipitation and river flow  
686 could be expected to benefit agricultural production. However, reductions in precipitation  
687 and river flow are equally plausible. Assessing the economic impacts of hydrological  
688 changes in the Awash River Basin, Borgomeo *et al.* (2018) found that a 5% reduction in  
689 precipitation or a spatial redistribution of rainfall under climate change could incur up to  
690 a 10% drop in GDP of the agricultural sector. The high sensitivity of low flows to  
691 hydrological model structural uncertainty relative to mean and high flows will have  
692 significant implications for both drought mitigation (e.g. Duan *et al.* 2014) and  
693 environmental flows (e.g. Thompson *et al.* 2014a). Diverging scenarios projected by  
694 different GCMs and hydrological models may be plausible but could easily be omitted in  
695 ensemble analyses. Recent studies have suggested employing a ‘storylines’ approach to  
696 navigate uncertainties incurred along the modelling chain (Clark *et al.* 2016; Shepherd  
697 2019). Increasingly popular in climate science, storylines are suites of equally plausible,  
698 quantitative narratives that are catered towards providing regional climate change  
699 information to better enable decision-making (Shepherd 2019). Applying a storylines  
700 approach could better present information of plausible hydrological changes directed at  
701 operational decision making and stress-testing water resources systems to improve  
702 climate resilience.

703

## 704 **5. Conclusions**

705 Climate change impacts on river discharge in the Upper Awash Basin (UAB) of Ethiopia,  
706 assessed using an ensemble of five MIKE SHE hydrological models, six CMIP5 GCMs,  
707 and two greenhouse-gas concentration trajectories (RCP4.5, RCP8.5) reveal substantial  
708 GCM-related uncertainty in projected river discharge that determines both the direction

709 and magnitude of change from baseline to the end of this century, 2071-2100 (RCP4.5: -  
710 34% to +55%; RCP8.5: -42% to +195%). Our application of an ensemble of five MIKE  
711 SHE hydrological models found, consistent with previous model inter-comparison  
712 studies, that models with spatially uniform parameter values exhibit similar performance  
713 to physically-based models with spatially distributed parameterizations. Model  
714 performance generally exceeded that of previous hydrological models of the UAB and  
715 demonstrated a bias to its representation of peak flows (Q10) compared to low flows  
716 (Q90). Uncertainty attribution using ANOVA shows that GCM-related uncertainty  
717 represents, on average, 68% of the total uncertainty for mean and high flows whereas  
718 hydrological model uncertainty constitutes an average 18% of total uncertainty in the  
719 low-flow projections. At the downstream gauging station in the UAB (Hombole), the  
720 contribution of uncertainty in hydrological model structure (27%) to total uncertainty was  
721 comparable to that of GCM-related uncertainty for low (Q90) flows. Of note is that  
722 uncertainties arising from different hydrological model structures are masked if only  
723 projections of mean annual discharge are considered.

724

725 Substantial uncertainties in the representation of low flows attributed to hydrological  
726 model structure have significant implications for the prediction and management of  
727 drought risks in semi-arid catchments such as the UAB. The lack of integrated monitoring  
728 infrastructure observing precipitation, surface waters and groundwater levels currently  
729 impairs the development of robust conceptual models of basin hydrology including  
730 critically seasonal interactions between groundwater and streamflow, and the observed  
731 contribution of groundwater to baseflow. On the modelling side, possible extensions to  
732 this study include consideration of additional sources of uncertainties along the impact  
733 modelling chain such as PET-related uncertainty stemming from the choice of algorithm  
734 used in its calculation (e.g. Kingston *et al.* 2009; Thompson *et al.* 2014b). Alternative  
735 approaches to bias correcting climate projections such as quantile mapping (see Rahman  
736 *et al.* 2020) could also be explored. Characterizing the propagation of impact model  
737 uncertainty in the hydrological projections in terms of environmental flows (e.g.  
738 Thompson *et al.* 2014a) could also be a next step to better understand potential hydro-  
739 ecological impacts of climate change on the Upper Awash Basin.

740

741



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743

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751

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1002 **Figure titles**

1003 Figure 1. The River Awash Basin (a) location within East Africa; (b) delineation of the  
1004 Upper Awash Basin within the Awash Basin; and (c) the Upper Awash Basin including  
1005 elevation, main drainage network and hydro-meteorological monitoring infrastructure  
1006 from which data are used in the current study. (Note: this figure is purely to illustrate the  
1007 location of the UAB within Ethiopia and is not representative of an official endorsement  
1008 of disputed country borders)

1009 Figure 2. Baseline and simulated river discharge at the Melka and Hombole gauging  
1010 stations: (a,b) monthly mean discharge (through the simulation period with calibration  
1011 and validation periods indicated; (c-f) river regimes for calibration and validation periods;  
1012 note: different y-axis ranges.

1013 Figure 3. a-d) Percentage change in precipitation and PET from the baseline (1979-1999)  
1014 for each GCM and RCP scenario (2071-2100); e-h) Baseline and projected mean monthly  
1015 catchment-averaged precipitation and PET for each GCM and both RCP scenarios.

1016 Figure 4. Percentage changes in mean discharge at Melka and Hombole relative to the  
1017 baseline for each hydrological model and GCM under RCP4.5 (top row) and RCP 8.5  
1018 (bottom row); note: different y-axis ranges for the two RCP scenarios.

1019 Figure 5. Baseline and simulated river regimes at Hombole for each GCM and  
1020 hydrological model under RCP4.5 and RCP8.5.

1021 Figure 6. Percentage changes in Q10 and Q90 at Hombole for each hydrological model  
1022 and GCM under the RCP4.5 and RCP8.5 scenarios.

1023 Figure 7. Contribution of each source of uncertainty and interactions between them to  
1024 overall uncertainty in projections of Q90, mean and Q10 discharges at Melka and  
1025 Hombole.

1026 Supplementary Figure 1 Boxplots of delta factors for annual mean precipitation and  
1027 annual mean temperature over the Upper Awash catchment for all CMIP5 GCMs and the  
1028 six selected GCMs.

1029 Supplementary Figure 2 Baseline and simulated river regimes at Melka for each GCM  
1030 and hydrological model under RCP4.5 and RCP8.5.

1031 Supplementary Figure 3. Percentage change in mean discharge at Melka and Hombole  
1032 simulated by each hydrological model from the combined and individual application of  
1033 scenario precipitation and PET for the six GCMs and RCP 4.5 scenario.

1034 Supplementary Figure 4. Percentage change in mean discharge at Melka and Hombole  
1035 simulated by each hydrological model from the combined and individual application of  
1036 scenario precipitation and PET for the six GCMs and RCP 8.5 scenario.

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1038 Table 1. Summary of inputs and data sources for key components of the coupled MIKE  
 1039 SHE/MIKE11 model of the Upper Awash Basin.

Model component	Input	Data source/derivation
<b>MIKE SHE</b>		
Model Domain	Catchment extent	ESRI polygon shapefile established from ALOS digital elevation model
Topography	Digital Elevation Model (DEM)	30m × 30m resolution ALOS Digital Elevation Model resampled to 1km MIKE SHE grids (Tadono <i>et al.</i> 2014)
Land use/vegetation	Land use	Seven land use classes specified using a 2015 land cover map (rainfed agriculture, Irrigated agriculture, grassland, bushland, forest, wetland, open water and urban areas)
	LAI/Root depth	Literature (Allen <i>et al.</i> 1998)
Overland flow	Manning's M; Spatial distribution	- Uniform - Derivation: 2D finite difference method
Catchment meteorology	Precipitation	Observed daily station data from 11 meteorological stations distributed by Thiessen's polygons. A grid file defined 11 meteorological sub-catchments based on the areas of each Thiessen's polygons to account for the spatial distribution in precipitation across the entire catchment
	Evapotranspiration (PET)	- Time-varying PET derived by calculating lapse rate for four elevation ranges (1750m, 2250m, 2750m and 3250m) from daily minimum and maximum temperature at 2354m - PET derived using the Hargreaves method (Hargreaves and Samani 1985)
Unsaturated Zone	Soil classes	- Spatial distribution vary among HMs according to the FAO soil map of the world (FAO 1996)
	Soil hydraulic properties	Literature and USDA soil classes hydraulic properties
Saturated Zone	Spatial distribution	- Uniform - Derivation: Varies among HMs between finite difference or linear reservoirs
<b>MIKE 11</b>		
	River network	- River delineation from aerial photography (Google Earth Pro) and stream order from ALOS DEM
	Cross-sections of stream network	- Synthetic cross sections (Representative max. cross section depths and profiles estimated from stream orders derived from DEM and Google Earth Pro)
	Hydrodynamic parameters: High order; Fully dynamic	- Uniform Manning's n of 0.04 (Chow 1959) throughout river network

1041 Table 2. Alternative specification of the unsaturated and saturated zones within each  
 1042 MIKE SHE model.

	Model name <sup>1</sup>				
	Model 1 (UCP)	Model 2 (DCP)	Model 3 (DPP-G)	Model 4 (DPP-R)	Model 5 (DCC)
<b>Unsaturated Zone Spatial Distribution</b>					
Uniform (U)	✓				
Distributed (D)		✓	✓	✓	✓
<b>Unsaturated Flow Process Representation</b>					
2-layer Water Balance (C)	✓	✓			✓
Gravity Flow (P-G)			✓		
Richards Equation (P-R)				✓	
<b>Saturated Flow Process Representation</b>					
Finite Difference (P)	✓	✓	✓	✓	
Linear Reservoir (C)					✓

1043 <sup>1</sup>Model name refers for whether or not the hydrological model is uniformly distributed (U), spatially  
 1044 distributed (D) conceptual (C) or physically-based (P) in their representation of the spatial  
 1045 distribution of the unsaturated zone, and the process representation of the unsaturated zone and  
 1046 saturated zone respectively.

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1078 Table 3. Selected GCMs and their respective host institutions.

<b>Modelling institute</b>	<b>GCM</b>
Canadian Centre for Climate Modelling and Analysis	CanESM2 (CA)
National Centre for Atmospheric Research	CCSM4 (CC)
Commonwealth Scientific and Industrial Research (AU)	CSIRO-Mk3.6.0 (CS)
Met Office Hadley Centre	HadGEM2-ES (HA)
Institut Pierre-Simon Laplace	IPSL-CM5A-MR (IP)
Max Planck Institute for Meteorology	MPI-ESM-MR (MP)

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1119 Table 4. Final values of calibration parameters for each MIKE SHE model.

<b>Calibration parameter</b>	<b>Model 1 (UCP)</b>	<b>Model 2 (DCP)</b>	<b>Model 3 (DPP-G)</b>	<b>Model 4 (DPP-R)</b>	<b>Model 5 (DCC)</b>
UZ Saturated hydraulic conductivity (ms <sup>-1</sup> )	9.8e-009	9.8e-008	NA <sup>1</sup>	NA <sup>1</sup>	NA <sup>1</sup>
Horizontal hydraulic conductivity (ms <sup>-1</sup> )	2.1e-008	3.5e-008	3.5e-007	5e-007	NA <sup>1</sup>
Vertical hydraulic conductivity (ms <sup>-1</sup> )	2.4e-009	3e-009	6e-008	8e-007	NA <sup>1</sup>
Bypass fraction	0.25	0.15	0.2	0.25	0.18

1120 <sup>1</sup>NA denotes that the process representation included within a specific model does not include this  
 1121 parameter.  
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1141 Table 5. Daily and monthly model performance statistics at Melka and Hombole for  
 1142 each hydrological model for the calibration (cal.) and validation (val.) periods.

Station		Dv (%)	Daily NSE	Monthly NSE	Monthly <i>r</i>	
<b>Model 1 (UCP)</b>						
Melka	cal.	-0.98 ★★★★★	0.45 ★★	0.78 ★★★★★	0.90	
	val.	26.9 ★★	0.45 ★★	0.82 ★★★★★	0.93	
Hombole	cal.	11.8 ★★★	0.45 ★★	0.82 ★★★★★	0.91	
	val.	14.6 ★★★	0.52 ★★★	0.83 ★★★★★	0.93	
<b>Model 2 (DCP)</b>						
Melka	cal.	-5.72 ★★★★★	0.53 ★★★	0.85 ★★★★★★	0.92	
	val.	41.4 ★	0.28 ★★	0.53 ★★★	0.88	
Hombole	cal.	3.3 ★★★★★★	0.61 ★★★★★	0.88 ★★★★★★	0.94	
	val.	28.4 ★★	0.55 ★★★	0.78 ★★★★★	0.90	
<b>Model 3 (DPP-G)</b>						
Melka	cal.	-29.2 ★★	0.42 ★★	0.79 ★★★★★	0.79	
	val.	58.8 ★	0.19 ★	0.33 ★★	0.91	
Hombole	cal.	-10.5 ★★★	0.33 ★★	0.73 ★★★★★	0.87	
	val.	32.2 ★★	0.32 ★★	0.60 ★★★	0.93	
<b>Model 4 (DPP-R)</b>						
Melka	cal.	9.79 ★★★★★	0.38 ★★	0.79 ★★★★★	0.91	
	val.	68.2 ★	0.13 ★	0.59 ★★★	0.87	
Hombole	cal.	36.1 ★★	0.54 ★★★	0.77 ★★★★★	0.91	
	val.	55.7 ★	0.21 ★★	0.67 ★★★★★	0.89	
<b>Model 5 (DCC)</b>						
Melka	cal.	-6.18 ★★★★★	0.69 ★★★★★	0.80 ★★★★★	0.92	
	val.	40.8 ★★	0.64 ★★★	0.65 ★★★★★	0.90	
Hombole	cal.	38.7 ★★	0.65 ★★★★★	0.66 ★★★★★	0.87	
	val.	40.5 ★★	0.62 ★★★	0.55 ★★★	0.89	
Performance indicator <sup>1</sup>		Excellent ★★★★★	Very Good ★★★★	Fair ★★★	Poor ★★	Very Poor ★
Dv (%)		<5	5-10	10-20	20-40	>40
NSE		>0.85	0.65-0.85	0.50-0.65	0.20-0.50	<0.20

1143 <sup>1</sup>Model performance criteria based on Henriksen *et al.* (2003)

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1146 Table 6. Daily and monthly logNSE values and mean DJF river discharge for each  
 1147 hydrological model for the calibration (cal.) and validation (val.) periods

Station		Daily logNSE	Monthly logNSE	Sim. DJF flow (m <sup>3</sup> s <sup>-1</sup> )	Obs. DJF flow (m <sup>3</sup> s <sup>-1</sup> )
<b>Model 1 (UCP)</b>					
Melka	cal.	0.49	0.58	3.56	1.46
	val.	0.33	0.41	9.55	
Hombole	cal.	0.43	0.55	5.83	4.23
	val.	0.39	0.49	13.28	
<b>Model 2 (DCP)</b>					
Melka	cal.	0.33	0.47	2.48	
	val.	0.44	0.54	6.25	
Hombole	cal.	0.27	0.34	4.27	
	val.	0.39	0.51	8.91	
<b>Model 3 (DPP-G)</b>					
Melka	cal.	0.22	0.23	0.92	
	val.	0.54	0.63	1.40	
Hombole	cal.	0.25	0.23	2.01	
	val.	0.58	0.68	2.92	
<b>Model 4 (DPP-R)</b>					
Melka	cal.	0.23	0.37	6.92	
	val.	0.12	-0.21	13.76	
Hombole	cal.	0.28	0.33	13.11	
	val.	-0.04	0.11	23.14	
<b>Model 5 (DCC)</b>					
Melka	cal.	0.12	0.22	4.65	
	val.	-0.03	-0.21	7.20	
Hombole	cal.	0.22	0.31	7.64	
	val.	0.36	0.34	10.49	

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1158 Table 7. Inter-GCM uncertainty range (difference in maximum and minimum % change  
 1159 in mean discharge) between hydrological models and inter-hydrological model  
 1160 uncertainty range between GCMs.

<b>Model</b>		<b>RCP 4.5</b>		<b>RCP8.5</b>	
		Melka	Hombole	Melka	Hombole
Inter-GCM uncertainty range	Model 1 (UCP)	75	70	207	281
	Model 2 (DCP)	102	99	237	231
	Model 3 (DPP-G)	119	111	259	239
	Model 4 (DPP-R)	85	78	194	176
	Model 5 (DCC)	73	76	184	179
Inter-HM uncertainty range within single GCM	CanESM2	17	13	51	140
	CCSM4	7	7	10	62
	CSIRO-MK3	24	19	24	56
	HadGEM2-ES	14	10	8	77
	IPSL-CM5A-MR	16	11	34	74
	MPI-ESM-MR	25	26	22	63

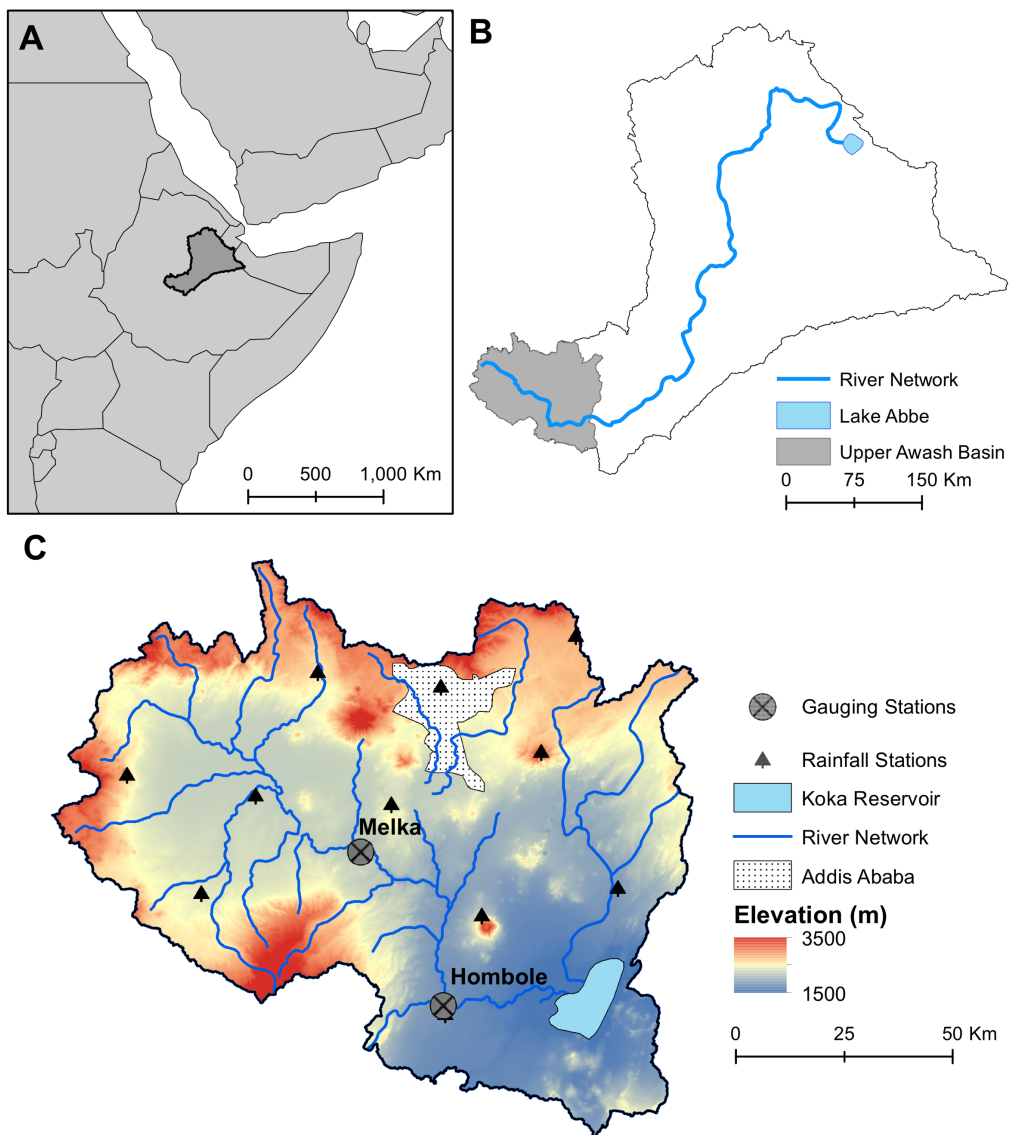
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1178 Table 8. Model performance of previous hydrological models of the UAB; cal. and val.  
 1179 refer to calibration and validation periods, respectively.

<b>Model</b>	<b>No. of stations</b>	<b>Time-step</b>	<b>Period</b>	<b>NSE</b>	<b><i>r</i></b>	<b>Reference</b>
WatBal	3	Monthly	cal. val.	NA NA	0.88-0.96 0.72-0.93	Hailemariam (1999)
MODSIM	2	Monthly	combined	NA	0.59-0.76	Berhe <i>et al.</i> (2013)
GeoSFM	3	Daily	cal. val.	0.60-0.63 0.60-0.61	0.69-0.71 0.64-0.66	Dessu <i>et al.</i> (2016)
HSPF	4	Daily	cal. val.	0.53-0.88 0.53-0.72	0.60-0.90 0.64-0.89	Gizaw <i>et al.</i> (2017)
SWAT	2	Daily	cal. val.	0.67-0.89 0.26-0.94	0.67-0.89 0.26-0.94	Tolera <i>et al.</i> (2018)

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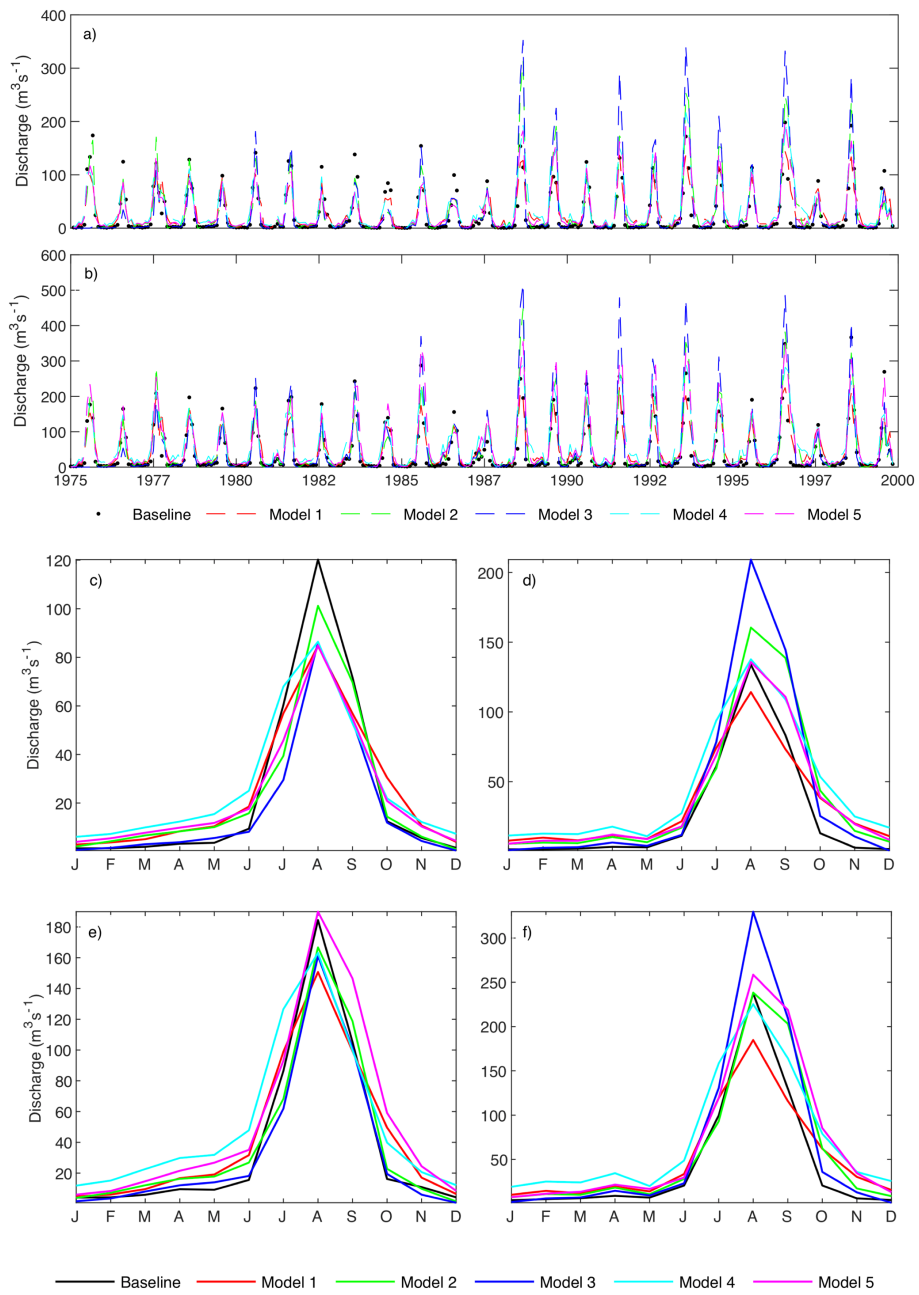
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1183 Figure 1

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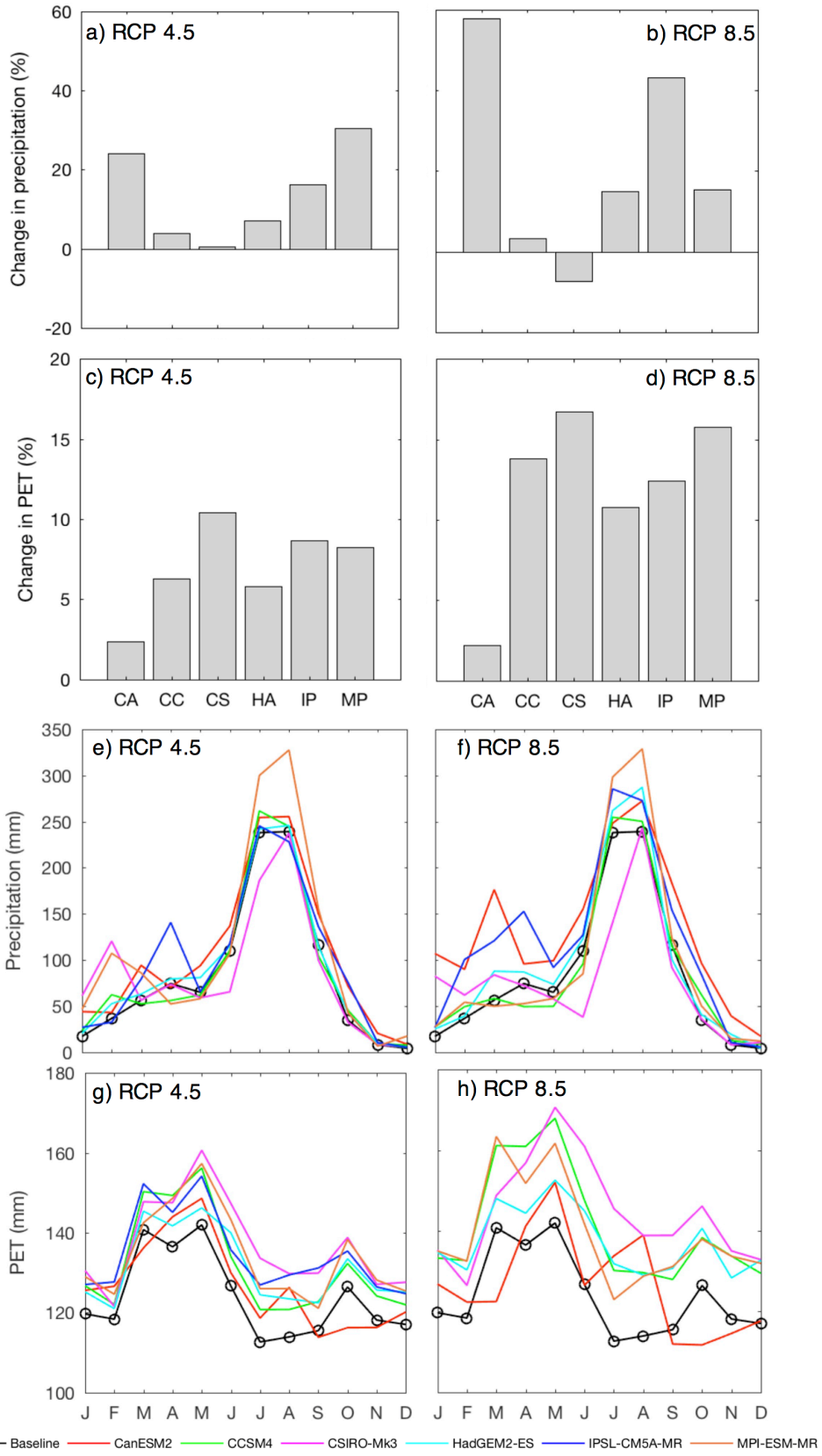


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1186 Figure 2

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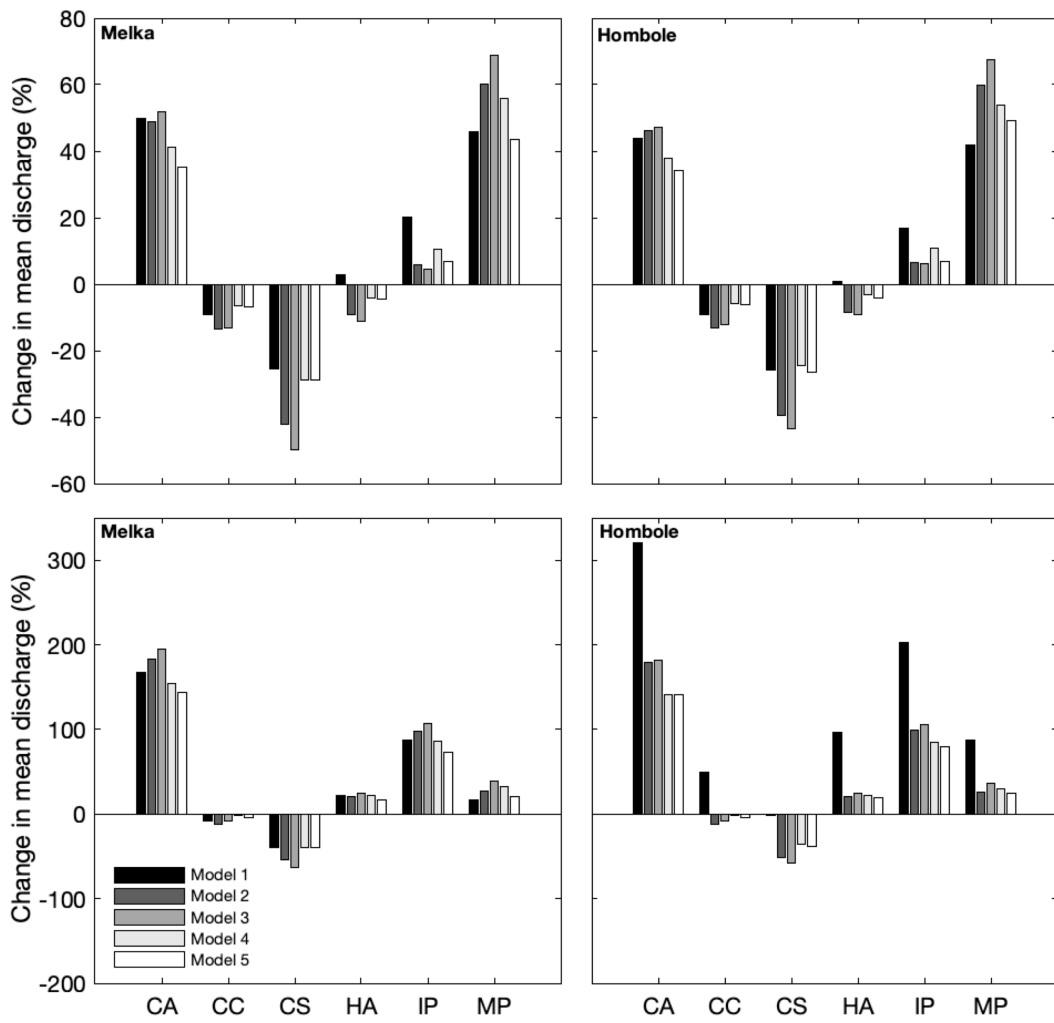
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1190 Figure 3

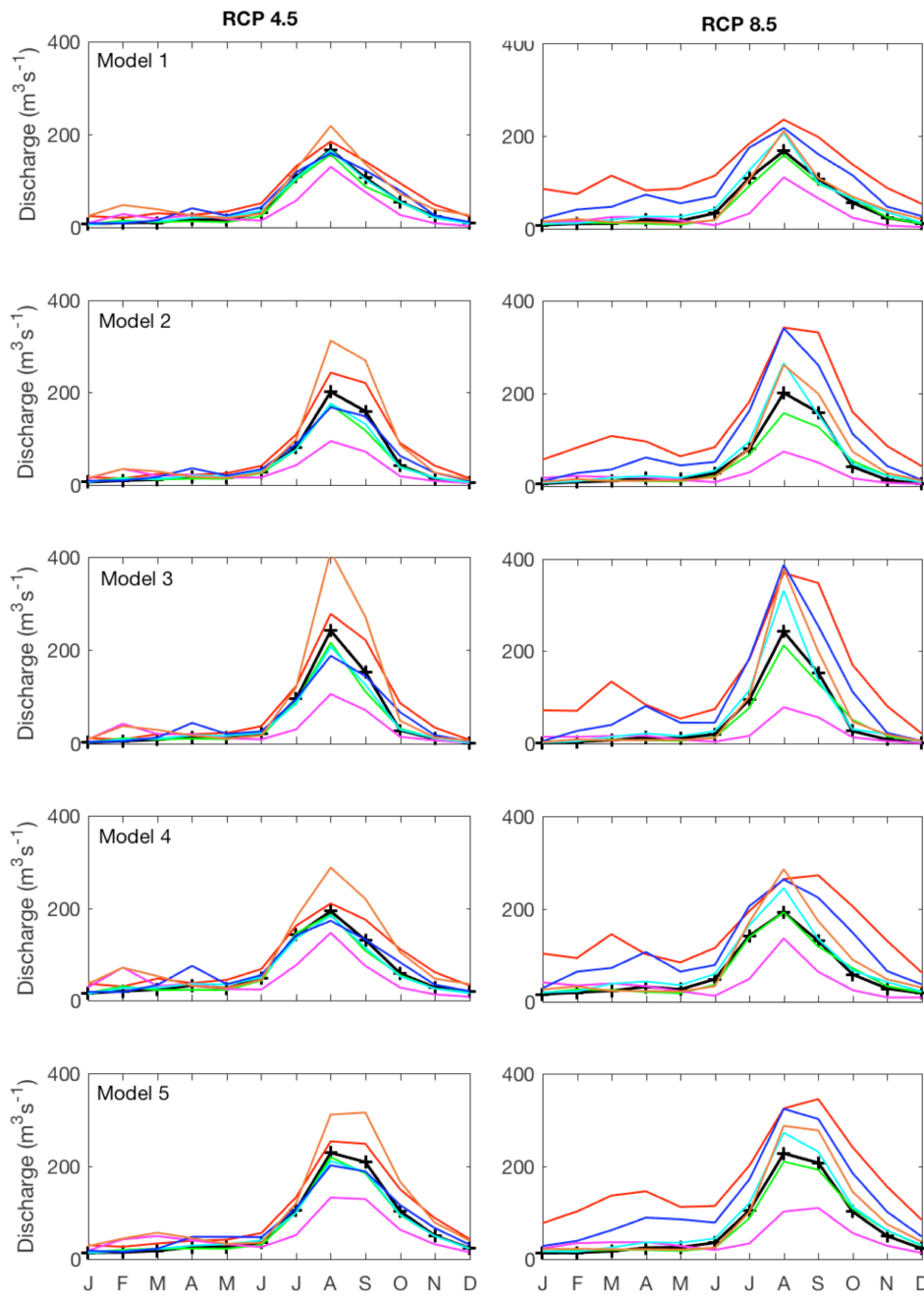
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1193 Figure 4

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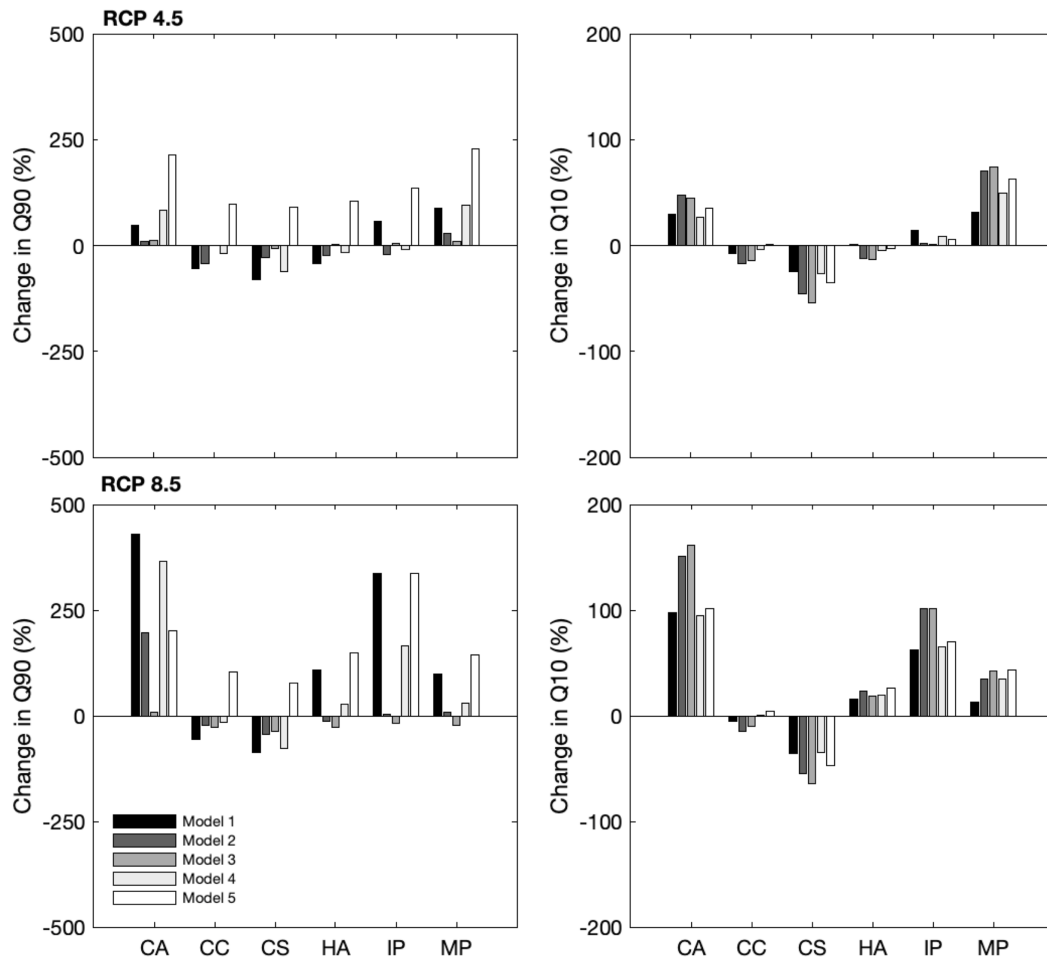


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—+— Baseline — CanESM2 — CCSM4 — CSIRO-Mk3 — HadGEM2-ES — IPSL-CM5A-MR — MPI-ESM-MR

1196 Figure 5

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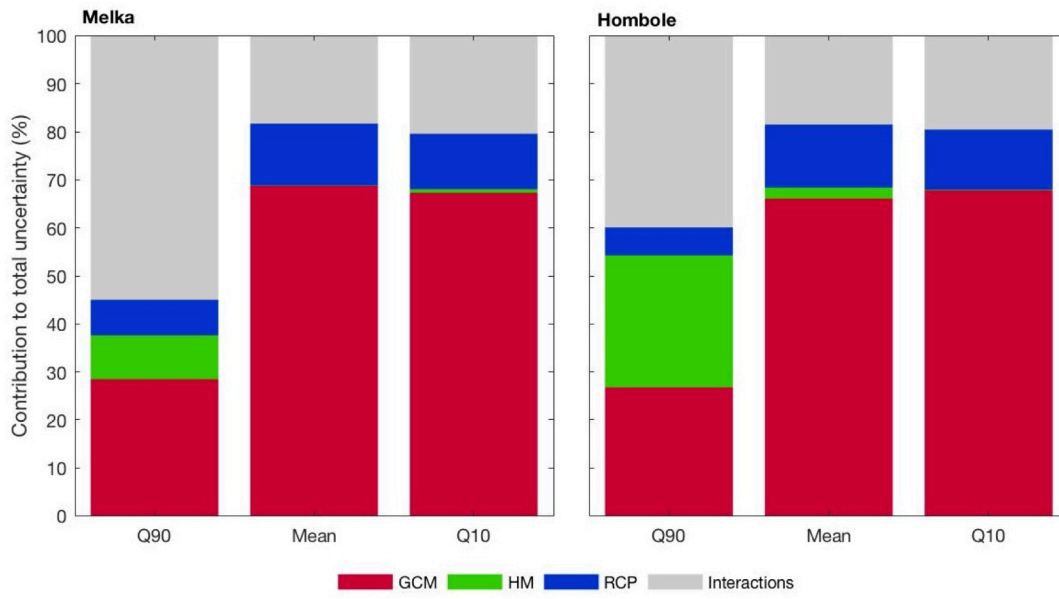


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1199 Figure 6

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1202 Figure 7