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## Modeling the effect of HIV coinfection on clearance and sustained virologic response during treatment for hepatitis C virus

Birger, Ruthie; Kouyos, Roger; Dushoff, Jonathan; Grenfell, Bryan

**Abstract:** Background: HIV/hepatitis C (HCV) coinfection is a major concern in global health today. Each pathogen can exacerbate the effects of the other and affect treatment outcomes. Understanding the within-host dynamics of these coinfecting pathogens is crucial, particularly in light of new, direct-acting antiviral agents (DAAs) for HCV treatment that are becoming available. Methods and findings: In this study, we construct a within-host mathematical model of HCV/HIV coinfection by adapting a previously published model of HCV monoinfection to include an immune system component in infection clearance. We explore the effect of HIV-coinfection on spontaneous HCV clearance and sustained virologic response (SVR) by building in decreased immune function with increased HIV viral load. Treatment is modeled by modifying HCV burst-size, and we use clinically-relevant parameter estimates. Our model replicates real-world patient outcomes; it outputs infected and uninfected target cell counts, and HCV viral load for varying treatment and coinfection scenarios. Increased HIV viral load and reduced CD4+ count correlate with decreased spontaneous clearance and SVR chances. Treatment efficacy/duration combinations resulting in SVR are calculated for HIV-positive and negative patients, and crucially, we replicate the new findings that highly efficacious DAAs reduce treatment differences between HIV-positive and negative patients. However, we also find that if drug efficacy decays sufficiently over treatment course, SVR differences between HIV-positive and negative patients reappear. Conclusions: Our model shows theoretical evidence of the differing outcomes of HCV infection in cases where the immune system is compromised by HIV. Understanding what controls these outcomes is especially important with the advent of efficacious but often prohibitively expensive DAAs. Using a model to predict patient response can lend insight into optimal treatment design, both in helping to identify patients who might respond well to treatment and in helping to identify treatment pathways and pitfalls.

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# Modeling the effect of HIV coinfection on clearance and sustained virologic response during treatment for hepatitis C virus



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## ABSTRACT

**Background:** HIV/hepatitis C (HCV) coinfection is a major concern in global health today. Each pathogen can exacerbate the effects of the other and affect treatment outcomes. Understanding the within-host dynamics of these coinfecting pathogens is crucial, particularly in light of new, direct-acting antiviral agents (DAAs) for HCV treatment that are becoming available.

**Methods and findings:** In this study, we construct a within-host mathematical model of HCV/HIV coinfection by adapting a previously published model of HCV mono-infection to include an immune system component in infection clearance. We explore the effect of HIV-coinfection on spontaneous HCV clearance and sustained virologic response (SVR) by building in decreased immune function with increased HIV viral load. Treatment is modeled by modifying HCV burst-size, and we use clinically-relevant parameter estimates.

Our model replicates real-world patient outcomes; it outputs infected and uninfected target cell counts, and HCV viral load for varying treatment and coinfection scenarios. Increased HIV viral load and reduced CD4<sup>+</sup> count correlate with decreased spontaneous clearance and SVR chances. Treatment efficacy/duration combinations resulting in SVR are calculated for HIV-positive and negative patients, and crucially, we replicate the new findings that highly efficacious DAAs reduce treatment differences between HIV-positive and negative patients. However, we also find that if drug efficacy decays sufficiently over treatment course, SVR differences between HIV-positive and negative patients reappear.

**Conclusions:** Our model shows theoretical evidence of the differing outcomes of HCV infection in cases where the immune system is compromised by HIV. Understanding what controls these outcomes is especially important with the advent of efficacious but often prohibitively expensive DAAs. Using a model to predict patient response can lend insight into optimal treatment design, both in helping to identify patients who might respond well to treatment and in helping to identify treatment pathways and pitfalls.

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## 1. Introduction and background

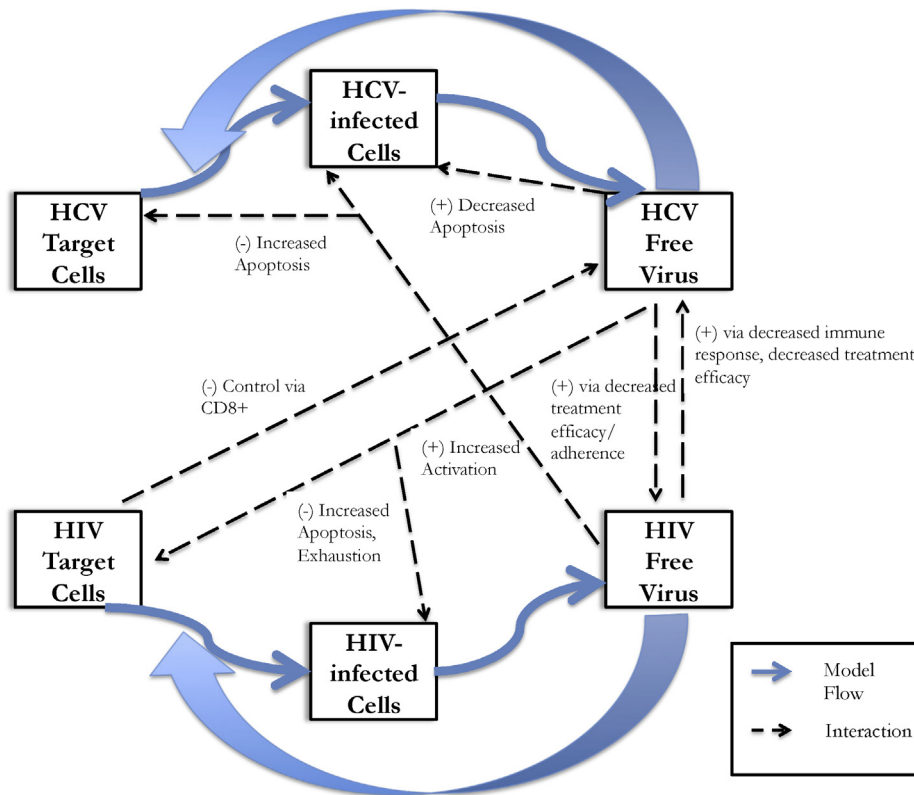
HIV–HCV coinfection is a whole greater than the sum of its parts, due to the potentiating effect each virus can have on the other. It is an increasing concern in certain populations including people who inject drugs (PWID) and men who have sex with men (MSM). Understanding the within-host dynamics of coinfection is crucial for designing treatment strategies that will avoid complications such as hepatotoxicity and treatment failure, while minimizing the

cost of treatment. There is a rich literature on mono-infection with each pathogen (e.g. Ho et al., 1995; Neumann et al., 1998; Perelson, 1999; Dixit et al., 2004; Perelson et al., 2005; Biafore and D'Attellis, 2006; Reluga et al., 2009; Debroy et al., 2011) but to the best of our knowledge, within-host HIV–HCV coinfection has not yet been modeled.

HIV and HCV are both viral infections that can be blood-borne. They are often transmitted together, especially among PWID (Alter, 2006; Vickerman et al., 2013). The infections can interact synergistically: broadly, HIV causes deterioration of the immune system, which can lead to poorer control and clearance rates of HCV as well as reduced probability of treatment success (Kim and Chung, 2009), while HCV may increase progression rates of HIV through

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**Fig. 1.** Within-host HIV–HCV interactions. Dashed black arrows represent mechanisms of HIV–HCV interaction described in the literature. Solid blue arrows represent population–biological effects taken into account in our model. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of the article.)

chronic immune activation or increased CD4 apoptosis (Gonzalez et al., 2010). Treatment success for HCV is defined as achieving sustained virologic response (SVR), i.e. HCV RNA is undetectable during treatment and for 6 months beyond treatment end (Feld and Hoofnagle, 2005).

We approach clinical implications of coinfection with a dynamic–static mathematical model, based on a previously published dynamical model of HCV mono-infection (Dahari et al., 2005; Reluga et al., 2009; Debroy et al., 2011) to include an explicit element of immune control. We then perturb the system by adding HIV infection as a static parameter, which erodes immune control and thus changes the HCV dynamics. Lastly, we explore how this loss of control impacts HCV treatment outcomes.

Understanding phenomena that may impact treatment outcomes is especially important with the advent of new direct-acting antiviral agents (DAAs) such as Sofosbuvir that are becoming available for HCV treatment. These new drugs are highly efficacious, but still very expensive, so thoughtful treatment design and administration is necessary (NatMed, 2014; Guedj et al., 2010; Ahlén et al., 2013).

### 1.1. Immune/HCV interactions

The immune response to HCV is complex and involves both innate and adaptive components. The adaptive response to HCV is mostly T-cell dependent. Virus-specific CD4<sup>+</sup> and CD8<sup>+</sup> responses have been detected during acute infection, and it is thought that these cells may clear virus by lysing infected cells or by cytokine/chemokine-mediated effects (Kim and Chung, 2009).

HCV may be responsible for immune dysregulation itself; some studies indicate an inverse correlation between HCV viral load and CD4<sup>+</sup> count (Mohsen et al., 2002). HCV may also downregulate

proliferation of T cells or increase apoptosis. Increased immune activation in coinfecting versus mono-infected patients has been noted, which also may speed HIV progression (Gonzalez et al., 2009). A schematic summary of HIV–HCV interaction effects is shown in Fig. 1.

#### 1.1.1. Empirical evidence for effect of HIV infection on HCV clearance and treatment response

Patients who are able to clear HCV spontaneously have been noted to mount intense multispecific CD4<sup>+</sup> and CD8<sup>+</sup> responses, in particular with HCV-specific CD4<sup>+</sup> Th1 responses (Roe and Hall, 2008). Complementarily, some studies show that lower CD4<sup>+</sup> counts are associated with reduced probability of clearing (Kim and Chung, 2009). However, HCV can avoid these responses through mutation and inhibition of dendritic cell activation and production of Th1 cytokines. This can prevent cytotoxic lymphocyte (CTL)-induced apoptosis of infected hepatocytes, which can in turn increase viral production (Roe and Hall, 2008).

Some HIV-positive patients do clear HCV, but in much lower proportions than HIV-negative individuals. The correlative evidence for mechanism is mixed. Higher rates of chronic HCV are inversely correlated with CD4<sup>+</sup> count according to some studies reviewed by Kim and Chung (2009). A study in chimpanzees showed reduced endurance of HCV-specific CD8<sup>+</sup> CTL response after depletion of CD4<sup>+</sup> cells before reinfection; viremia upon reinfection was persistent despite the presence of functional CD8<sup>+</sup> T-cells (Grakoui et al., 2003). Other studies have found more general negative correlation between HIV viral load and SVR (Thomas, 2006; Roe and Hall, 2008). The phenomenon of increased persistence of HCV among HIV-positive patients is related to and accompanied by an increased HCV viral load.

A number of empirical studies show elevated HCV viral load (0.1–1 logs higher) among HIV-positive as compared to HIV-negative patients (Di Martino et al., 2001; Danta et al., 2008; Mohsen et al., 2002; Roe and Hall, 2008). The cause is most likely reduced immune response: coinfection is associated with lack of critical CD4<sup>+</sup> response to HCV (Danta et al., 2008; Harcourt et al., 2006). Indeed, the study in chimpanzees mentioned above showed that CD8<sup>+</sup> T-cells had impaired control of viral replication with insufficient CD4<sup>+</sup> help (Grakoui et al., 2003), and there is evidence that broad CD4<sup>+</sup> responses play a major role in HCV clearance (Diepolder et al., 1995).

### 1.1.2. Role of HIV treatment

In clinical settings where HCV treatment is available, most coinfecting patients will have been treated with anti-retroviral therapy (ART) for HIV prior to receiving treatment for HCV despite some risks of hepatotoxicity from ART (Soriano et al., 2007; Taylor et al., 2012). However, even when ART is successful and results in achievement of virological suppression, CD4<sup>+</sup> recovery is often incomplete. Many studies have shown that CD4<sup>+</sup> count at similar durations after ART initiation varies widely, and is correlated with a range of patient characteristics such as nadir CD4<sup>+</sup> count (Kaufmann et al., 2003; McKinnon et al., 2010; Takuva et al., 2014) (see Supplementary Fig. 1). Correspondingly, there is evidence that even coinfecting patients who are treated for HIV have a lower probability of clearing HCV (Schnuriger et al., 2009) or achieving SVR (Laguno et al., 2004; Dore et al., 2007; Andreoni et al., 2012).

## 1.2. Previous models of HCV

Some of the first within-host models of HCV aimed to capture the dynamics of infection by pairing a mathematical model with patient data from a trial of varying doses of interferon (IFN) treatment (Neumann et al., 1998; Perelson, 1999). The viral load patterns upon treatment observed (i.e. rapid initial decline in viral load followed by extended slow decline) were consistent with the main mechanism of IFN treatment being reduction in production of new virus by infected cells (burst size). Higher doses were more effective, and lower diversity of quasi-species were associated with better response to treatment.

Extensions to this model take into account complexities surrounding treatment response, such as non-response, rebound in viral load (relapse), or treatment with other therapies (Perelson et al., 2005; Dixit et al., 2004).

### 1.2.1. Models of extended infection

The aforementioned models deal with acute HCV infection and treatment dynamics over the course of several days or weeks. HCV can be a long course infection, however, and models can capture longer term dynamics as well.

The model created for this study is based on previous works (Reluga et al., 2009; Debroy et al., 2011; Dahari et al., 2005) and explores treatment dynamics past initial infection in detail. The conditions for achievement of SVR can be inconsistent. For example, the “End-of-Treatment” response, defined as undetectable viral load (below 2 logs) at the end of a 24 or 48 week course of treatment, is necessary but not sufficient for SVR. Debroy et al. (2011) analyze medium- and long-term responses leading to either a viral endemic equilibrium or a disease-free equilibrium to establish mathematical criteria for each state. Depending on the initial conditions and biological parameter values, there exists a possibility of bistability for some patients (i.e. they have the capacity to clear infection, but only if treated adequately). The parameter values depend on patient immunological characteristics, as well as viral characteristics and interactions, which can vary by genotype (as explored by

Smith et al., 2010). Our model aims to extend the analysis of these previous models in scenarios of HIV coinfection.

## 2. Methods

### 2.1. HCV mono-infection model

The model proposed in this study follows closely the above-referenced model (Dahari et al., 2005; Reluga et al., 2009; Debroy et al., 2011). Following a standard ODE model proposed in earlier work (Perelson and Nelson, 1999), with some variations such as inclusion of infected hepatocytes in density dependence, the basic form of the equations (without the immune system component) is as follows:

$$\frac{dT}{dt} = s + r_1 T \left(1 - \frac{T+I}{T_{\max}}\right) - dT - \beta TV$$

$$\frac{dI}{dt} = \beta TV + r_2 I \left(1 - \frac{T+I}{T_{\max}}\right) - \delta I$$

$$\frac{dV}{dt} = pI - cV$$

Here,  $T$ ,  $I$ , and  $V$  are the state variables representing, respectively, uninfected Target cells (hepatocytes), Infected hepatocytes, and free HCV Virus,  $s$  is the recruitment rate for uninfected hepatocytes,  $r_1$  is the reproduction rate of uninfected hepatocytes,  $T_{\max}$  is the maximum number/carrying capacity of target cells,  $d$  is the death rate of uninfected target cells,  $\beta$  is the mass-action infection parameter,  $r_2$  is the reproduction rate of infected hepatocytes,  $\delta$  is the clearance rate of infected hepatocytes,  $p$  is the number of virions an infected cell produces in its lifetime (which can also be interpreted as burst size), and  $c$  is viral clearance rate. With this model of HCV mono-infection as a basis, we can build a new model of HCV that includes the role of the immune system – specifically, CD4<sup>+</sup> cells, denoted  $H$  in the model equations. Work by Grakoui et al. (2003) and others has illuminated to some extent the role of CD4<sup>+</sup> cells in HCV control, and activation of CD4<sup>+</sup> cells by HCV has been documented (Lechner et al., 2000; Bowen and Walker, 2005). The model proposed here thus incorporates a dependence of the HCV clearance rate on CD4<sup>+</sup> count ( $\alpha$ ) and a dependence of the activation rate of CD4<sup>+</sup> cells on HCV infected cell count ( $\gamma$ ). The equations thus become

$$\frac{dT}{dt} = s + r_1 T \left(1 - \frac{T+I}{T_{\max}}\right) - dT - \beta TV$$

$$\frac{dI}{dt} = \beta TV + r_2 I \left(1 - \frac{T+I}{T_{\max}}\right) - \delta(1 + \alpha H)I$$

$$\frac{dV}{dt} = pI - cV$$

$$\frac{dH}{dt} = s_H(1 + \gamma I) - d_H H$$

where  $s_H$  is the recruitment rate of CD4<sup>+</sup> cells, and  $d_H$  their death rate.

### 2.2. Immunological impact of HIV coinfection on HCV

Introducing HIV infection into the system will have an impact on its dynamics. Rather than including the full complexity of within-host HIV dynamics in this model, we have chosen to take advantage of the differing time scales of asymptomatic HIV infection and HCV treatment. Therefore, we use HIV set-point viral load as a constant

parameter (rather than a state variable with its own dynamics) so it contributes as a mass-action depleter of the immune system compartment. Perturbing the system by adding a component of HIV-infection changes the stability dynamics. To maximize simplicity in the model, HIV infection was therefore modeled as a single viral load, denoted by  $V_H$ , representing the set-point viral load. The equation for  $H$  thus becomes

$$\frac{dH}{dt} = s_H(1 + \gamma I) - d_H H - \beta_H V_H H$$

where  $\beta_H$  is the mass-action infection parameter for HIV. Due to the static nature of the incorporation of HIV into this model, we are unable to capture dynamically the process of immune recovery after ART for HIV. However, as immune recovery is often incomplete, we can use lower values of  $H$  (we can vary  $s_H$  to generate these values when  $V_H \approx 0$ ) to simulate a depleted immune system and thus capture the dynamics of HCV in HIV patients on ART.

### 2.3. HCV treatment

Treatment efficacy was included in the model as a parameter  $\varepsilon$  controlling viral production rate as in previous work (Neumann et al., 1998), and following Snoeck et al. (2010) and Dixit et al. (2004), we have implemented a cure boundary such that virus stops being produced when infected cell count drops below 1. The equations become

$$\begin{aligned} \frac{dT}{dt} &= s + r_1 T \left(1 - \frac{T+I}{T_{\max}}\right) - dT - \beta TV \\ \frac{dI}{dt} &= \beta TV + r_2 I \left(1 - \frac{T+I}{T_{\max}}\right) - \delta(1 + \alpha H)I \\ \frac{dV}{dt} &= (1 - \varepsilon)pI - cV \\ \frac{dH}{dt} &= s_H(1 + \gamma I) - d_H H - \beta_H V_H H \end{aligned}$$

This formulation allows for implementation of imperfect treatment for varying durations in this model. As explored in the next section, these combinations can reveal the uncertainty surrounding cure inherent in certain patients.

### 2.4. Bistability analysis

This model allows for cure in two types of patient systems. As explored by Snoeck et al. (2010), including the cure boundary allows the model to replicate viral dynamics in patients in whom infection is effectively cleared (<1 infected hepatocyte in the modeled population). These patients do not exhibit true bistability; if infected hepatocytes are not completely cleared, the viral load will bounce back even from undetectable levels. In some patients, however, the system can be truly bistable and when infected cell count drops below a certain non-zero level, the patient will achieve SVR even if virus is not initially eradicated.

To explore the stability dynamics of the model, it can be useful to make the quasi-steady state approximation. Because the viral

dynamics happen on a faster time scale than the cell dynamics, we can simplify the equations as

$$\begin{aligned} \frac{dT}{dt} &= s + r_1 T \left(1 - \frac{T+I}{T_{\max}}\right) - dT - (1 - \varepsilon)\tilde{\beta}TI \\ \frac{dI}{dt} &= (1 - \varepsilon)\tilde{\beta}TI + r_2 I \left(1 - \frac{T+I}{T_{\max}}\right) - \delta(1 + \alpha H)I \\ \frac{dH}{dt} &= s_H(1 + \gamma I) - d_H H - \beta_H V_H H \\ V &= \frac{p}{c}I \end{aligned}$$

where  $\tilde{\beta} = (p/c)\beta$ .

Following Debroy et al. (2011), we calculate the within-host  $R_0$  by setting  $dI/dt > 0$  at the start of the infection (before treatment, so the  $\varepsilon$  term disappears), when  $I \approx 0$ :

$$\frac{dI}{dt} = \tilde{\beta}TI + r_2 I \left(1 - \frac{T+I}{T_{\max}}\right) - \delta(1 + \alpha H)I > 0$$

$$\tilde{\beta}T + r_2 \left(1 - \frac{T}{T_{\max}}\right) > \delta(1 + \alpha H)$$

$$R_0 = \frac{\tilde{\beta}T_0 + r_2(1 - (T_0/T_{\max}))}{\delta(1 + \alpha H_0)} > 1$$

where  $T_0, H_0$  are the initial values of uninfected hepatocytes and activated  $CD4^+$  cells in the absence of infection.

$$T_0 = \frac{T_{\max}}{2r_1} \left( (r_1 - d) + \sqrt{(r_1 - d)^2 + 4s \frac{r_1}{T_{\max}}} \right)$$

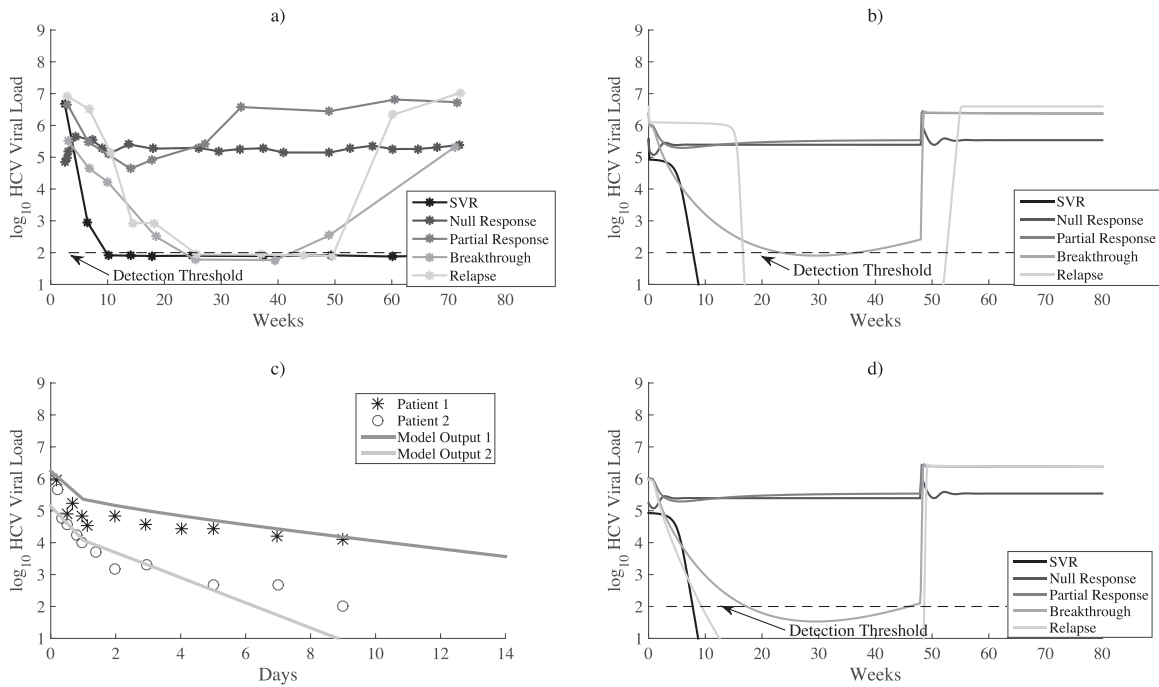
and

$$H_0 = \frac{s_H}{d_H}$$

Bistability of a viral endemic equilibrium (EE) and a disease-free equilibrium (DFE) can occur under certain circumstances (Debroy et al., 2011). In this mono-infection model, bistability occurs when the within-host  $R_0$  is close to or less than 1, and  $r_2 > \tilde{\beta}T_{\max}$ ,  $r_2 > \delta(1 + \alpha H_0)$ . There is some evidence that HCV can increase the reproduction rate of infected cells to replace cells that were targeted successfully by immune response (Roe and Hall, 2008; Kim and Chung, 2009; Block et al., 2003; Erhardt et al., 2002; Hu et al., 2013), so stability of an endemic equilibrium given this condition has some clinical basis. The role of  $r_2$  in the bistability in this system has an intuitive basis as well: the infected cell growth rate receives contributions both from infected replication and from virion infection of healthy cells. More basically, when  $r_2 > r_1$ , i.e. the maximum proliferation rate of the infected cells is greater than the maximum proliferation rate of uninfected cells, the infection can invade even at a stable, disease-free equilibrium. Interestingly, HIV-coinfection impacts greatly the stability of the DFE, but does not impact invasion probability at the stable DFE. Derivation of these conditions can be found in Appendix A.

The stability surrounding  $R_0$  divides patients into four types: never achieving SVR ( $R_0 > 1$ ), always achieving SVR ( $R_0 < R_C$  where  $R_C$  is the critical bifurcation value), or in the bistable region, either experiencing spontaneous cure or experiencing viremia but with the ability to be pushed into the clearance area by treatment. With this formulation, it is possible to analyze numerically which values of duration and efficacy can push a patient from one basin of attraction to the other (i.e. endemic equilibrium to clearance). SVR is thus dependent on a combination of critical efficacy and time. When the immunological impact of HIV-coinfection is included, however, the stability dynamics change, reducing the probability of achieving SVR (Andreoni et al., 2012).





**Fig. 2.** Model comparison with data. Panel a shows patient data redrawn from [Snoeck et al. \(2010\)](#), displaying the five types of long-term treatment outcome: SVR, null response, partial response, breakthrough, and relapse. Panel b shows model scenarios from the model including free virus displaying these same five outcomes. Panel c shows short-term treatment dynamics over the first two weeks of treatment; model outputs are paired with data redrawn from [Neumann et al. \(1998\)](#). Panel d shows the same scenarios as b, using a version of the model wherein the quasi-steady state assumption has been implemented, demonstrating that the long-term dynamics can be recovered. Parameter values for each simulation are listed in Supplementary Table 3.

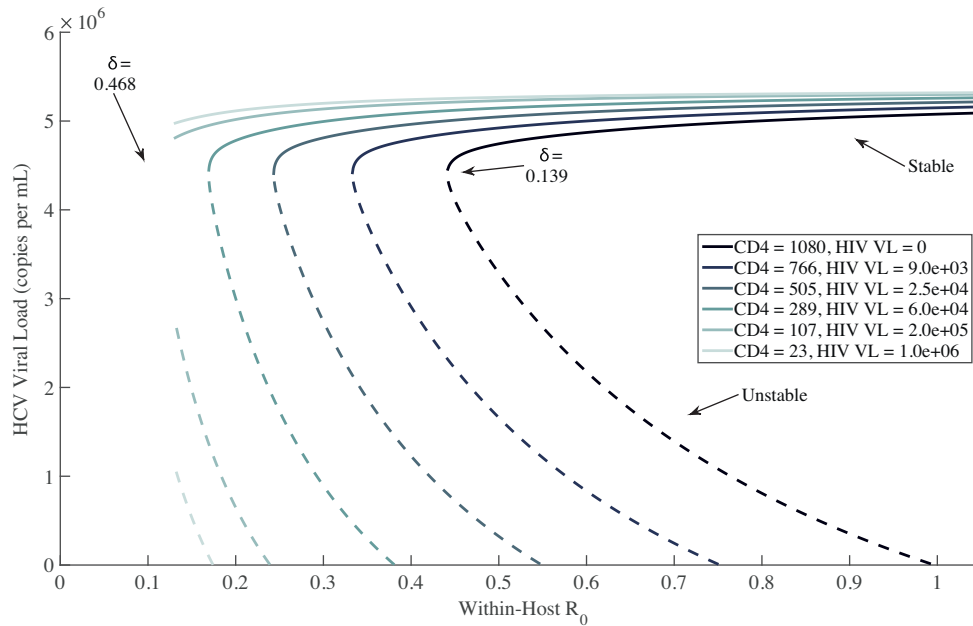
### 3. Results

The model can qualitatively replicate viral dynamics observed in HCV patients after treatment and described in previous studies such as [Neumann et al. \(1998\)](#) and [Snoeck et al. \(2010\)](#). [Fig. 2](#) demonstrates this replication, showing both the initial biphasic decline (model outputs paired with data redrawn from [Neumann et al., 1998](#) in panel c), and longer-term dynamics paired with data redrawn from [Snoeck et al. \(2010\)](#) (panels a and b). The model outputs shown are not explicitly fitted to the data presented, but nonetheless replicate the viral trajectories. When the quasi-steady state assumption is made, these longer-term dynamics can be similarly qualitatively recovered, as seen in panel d of [Fig. 2](#). Using an example patient (Patient I) who exhibits true bistability of endemic- and disease-free equilibria allows us to visualize the impact of HIV infection. Simulating different levels of the depletion in CD4 count that might occur during the asymptomatic phase of HIV infection shows that the zone of bistability shrinks with decreasing CD4<sup>+</sup> count. The region of bistability is a region wherein the within-host  $R_0$  is less than or close to 1 but greater than a certain critical reproductive number,  $R_C$  (see Appendix for calculation of  $R_C$ ). In this region, there are three distinct equilibria: the stable endemic equilibrium (EE), the disease-free equilibrium (DFE) and a third, unstable EE. This unstable endemic equilibrium divides viral load measures into two basins of attraction: toward the DFE and toward the stable EE. [Fig. 3](#) shows how this region of bistability encroaches farther into the region of spontaneous clearance the lower the CD4<sup>+</sup> count, and eventually erases the possibility of spontaneous clearance for realistic values of  $\delta$ . The presence of HIV depletes the immune system component (even after successful treatment of HIV with ART) thus decreasing the probability of spontaneous clearance of HCV for this patient. The patient represented has values for parameters drawn from the realistic distributions described in [Debroy et al. \(2011\)](#) (and Supplementary Table 1). HIV

parameters are adapted from [Biafore and D'Attellis \(2006\)](#). The set-point viral load values tested are derived from the estimates of the variability of HIV set-point viral load by [Fraser \(2007\)](#). The coinfection influence parameters are theoretical estimates chosen such that model reproduces viral load levels and relationships; while much empirical work has demonstrated qualitative relationships indicated by these parameters (e.g. [Grakoui et al., 2003](#); [Lechner et al., 2000](#); [Bowen and Walker, 2005](#)), this work does not allow for their precise determination in this context.

The bifurcation diagram demonstrates how spontaneous clearance can become non-clearance in a patient for whom the bistability criteria hold, but using this model we can also demonstrate differential treatment responses. [Fig. 4](#) shows two sample patients who are both able to achieve SVR in a non-immunosuppressed state. Panel a shows Patient I, the same bistable patient as in the bifurcation diagram. Panel b shows Patient II, who does not demonstrate true bistability, but is able to achieve SVR with the cure boundary (i.e. when the infected hepatocyte count drops below 1). For both patients, when HCV-monoinfected, SVR is achievable after 24 weeks of treatment at levels of ~80% efficacy. However, for both patients, when CD4<sup>+</sup> is depleted partially (as in a state where HIV viral load is controlled but CD4<sup>+</sup> count has not fully recovered), 24 weeks of treatment is insufficient and relapse occurs: the patient must be treated for 48 weeks to achieve SVR. In the case when each patient is profoundly immunosuppressed (as in untreated HIV, or no immunologic response to treatment after very low nadir CD4<sup>+</sup> count), SVR cannot be achieved even with 48 weeks of treatment. This time series illustrates the sensitivity of treatment prognosis to HIV status, as well as the phenomenon that early treatment response is necessary but not sufficient to predict whether SVR will be achieved.

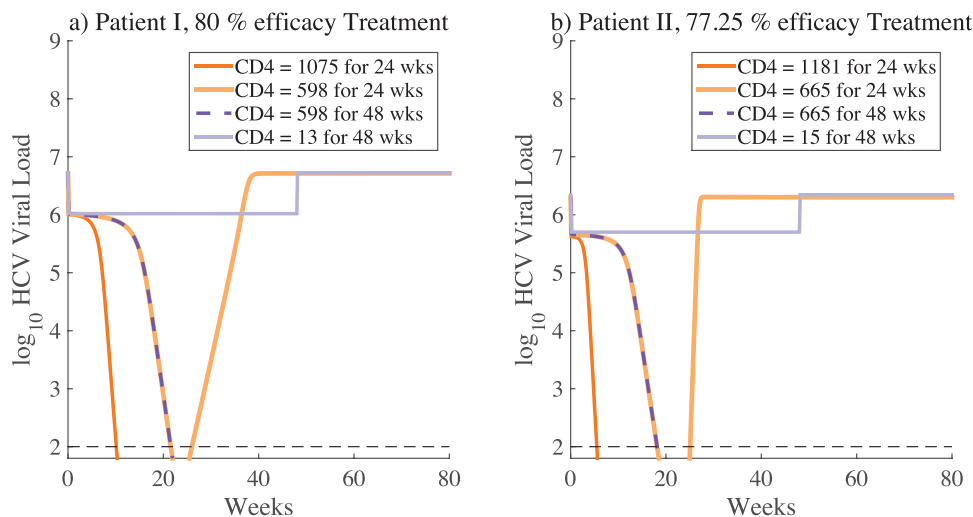
However, when treatment efficacy is high enough, as it can be with the new direct-acting drugs that have recently been approved ([Jacobson et al., 2013](#); [Lawitz et al., 2013](#); [Keating and Vaidya,](#)



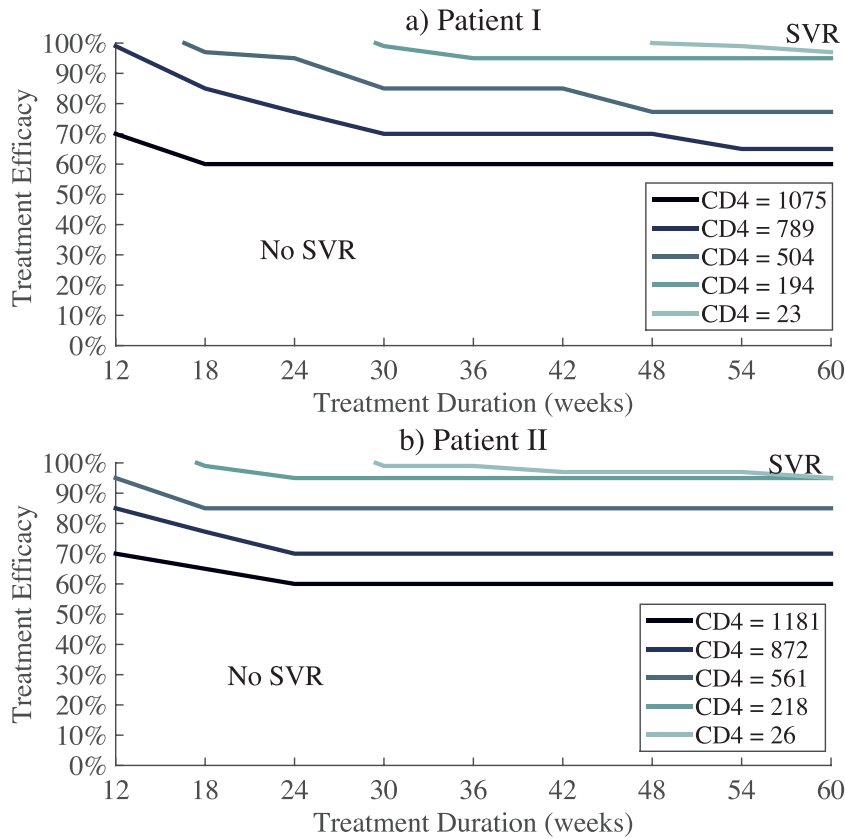
**Fig. 3.** Bifurcation diagram of stable and unstable equilibrium HCV viral loads with varying CD4<sup>+</sup> count. This figure shows the growing bistable region and corresponding shrinking region of spontaneous clearance for a parameter set with varying  $\delta$  and within-host  $R_0$ . The x-axis represents the within-host  $R_0$  in the HIV-free scenario with the given clearance rate parameters. The y-axis represents equilibrium HCV viral load. To the left of the bifurcation points is the zone of spontaneous clearance. If viral load can be pushed below the dotted lines (unstable equilibria), with sufficient treatment, the patient will then be in the region of stable DFE and will be able to achieve SVR.  $\delta \times (1 + \alpha H)$  ranges from  $d$  to 3 for varying CD4<sup>+</sup> counts<sup>\*</sup>. The other parameters are as follows:  $s = 4365$ ,  $T_{\max} = 4.016 \times 10^6$ ,  $d = 1.06 \times 10^{-3}$ ,  $\beta_c = 7.3 \times 10^{-8}$ ,  $p = 13.48$ ,  $c = 10.06$ ,  $r_1 = 2.7$ ,  $r_2 = 7.52$ ,  $s_H = 9$ ,  $\beta_H = 4.1 \times 10^{-6}$ ,  $d_H = 9 \times 10^{-3}$ ,  $\alpha = 5 \times 10^{-3}$ ,  $\gamma = 2 \times 10^{-8}$ . \*Note: For lower CD4<sup>+</sup> counts/higher HIV viral loads, the tips of the bifurcation trees could not be reached with clinically realistic values of  $\delta$ . This fact implies that there would be no realistic zone of spontaneous clearance for this patient when CD4<sup>+</sup> count falls below a certain point, though SVR might still be possible with sufficient treatment duration and efficacy.

2014), HIV coinfection with incomplete CD4<sup>+</sup> recovery no longer compromises SVR chances to the same extent. Sofosbuvir has been shown to effect SVR within 12–24 weeks in both HIV-positive and HIV-negative HCV patients (Fernández-Montero et al., 2013; Sulkowski et al., 2014a,b; Rodriguez-Torres et al., 2015), and our model replicates this result for the above theoretical patients I and II (Fig. 6, panels a and b), (we still predict treatment failure for short-duration treatment when the patients are severely

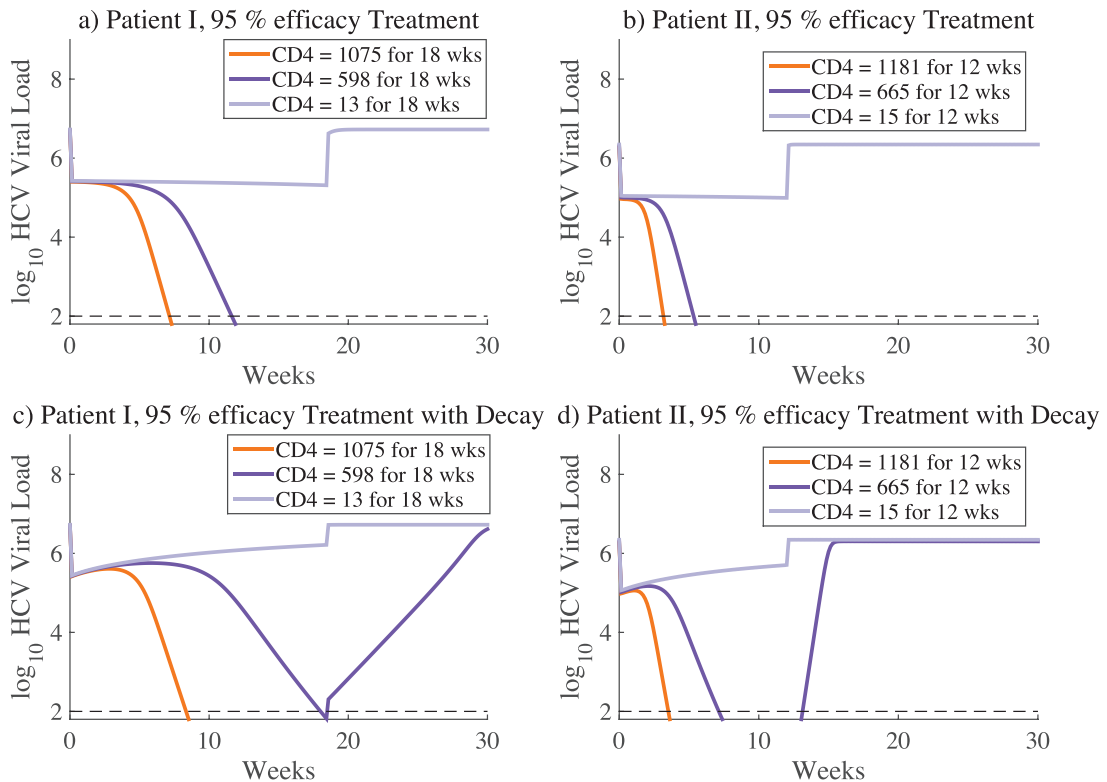
immunocompromised, but most trials of Sofosbuvir in coinfecting patients have been among patients on ART with stable CD4<sup>+</sup>, e.g. Sulkowski et al., 2014a,b; Rodriguez-Torres et al., 2015). Fig. 5 shows the pairs of treatment efficacies and durations that lead to SVR for Patients I and II with and without depleted CD4<sup>+</sup> count from HIV coinfection. Each point on each line represents the minimum treatment duration necessary to achieve SVR for the corresponding treatment efficacy. In each of these scenarios, the model was run



**Fig. 4.** Effect of depleted CD4<sup>+</sup> on infection clearance. This figure shows HCV viral load trajectories for two sample patients with different treatment efficacies and durations under initial conditions of normal CD4<sup>+</sup> count (~1000 per  $\mu\text{L}$ , HIV negative), and depleted CD4<sup>+</sup> count (~600 per  $\mu\text{L}$ , suppressed HIV with incomplete immunologic recovery), and very low CD4<sup>+</sup> count (~10 per  $\mu\text{L}$ , unsuppressed HIV) for treatment courses of 24 and 48 weeks. It can be seen that a treatment course of 24 weeks that is sufficient to achieve SVR when the patient has a normal CD4<sup>+</sup> count is no longer sufficient for either HIV positive scenario, even when CD4<sup>+</sup> count has partially recovered. However, when treatment is extended to 48 weeks, the patients are able to achieve SVR when CD4<sup>+</sup> count has partially recovered. Panel a shows these scenarios for Patient I, a theoretical patient exhibiting classic bistability, while panel b shows Patient II, a theoretical patient who requires the cure boundary condition to achieve SVR.



**Fig. 5.** Treatment and efficacy combinations for (a) Patient I and (b) Patient II. Each point on each line denotes the minimum treatment duration necessary to achieve SVR for the corresponding efficacy, for varying initial CD4<sup>+</sup> counts. For any combination above a line, SVR is achieved, while for any combination below the line, SVR is not achieved. All scenarios were run for 96 weeks to assure that any potential relapse was captured.



**Fig. 6.** High-efficacy treatment with short-term cure. Panels a and b demonstrate that high efficacy treatment, as with DAAs, can result in SVR over a short duration (12–24 weeks) for Patients I and II, respectively, even with incomplete CD4<sup>+</sup> recovery (though when each patient is severely immunocompromised, short-term cure is still unlikely). However, panels c and d show the same scenarios, but with treatment efficacy declining from 95% to 70–75% efficacy over the course of 12–16 weeks. When the CD4<sup>+</sup> count is depleted or low (HIV-positive), the patients respond initially, but relapse after treatment efficacy falls below a certain level.



for 96 weeks (well past the maximum treatment duration tested) in order to capture any relapse that might occur. This figure demonstrates how the minimum acceptable treatment efficacies and durations depend strongly on HIV status. It can be seen in this figure that duration/efficacy pairs that will result in SVR for the patients when they are HIV-negative fall in the “No SVR” region when the patient has a depleted CD4<sup>+</sup> count. As CD4<sup>+</sup> drops, the minimum treatment efficacy necessary for SVR increases, as does minimum duration for a given efficacy in some cases. When the patients are severely immunocompromised, only very high efficacy treatment will result in SVR. Lastly, as Fig. 5 suggests and Fig. 6 shows in panels c and d, in the likely event that treatment efficacy declines over time due to non-adherence, drug resistance, or concentration decay, the differences between monoinfected and coinfecting patients may reappear, wherein coinfecting patients relapse after treatment.

#### 4. Discussion and conclusion

In this study, we propose a model of within-host HCV infection that is able to capture broadly the impact of concurrent treated or untreated HIV infection on clearance and long-term cure of HCV in coinfecting patients. To the best of our knowledge, it is the first within-host model of HIV–HCV coinfection; it builds on previous models of HCV mono-infection (Debroj et al., 2011; Reluga et al., 2009) and HIV mono-infection (Biafore and D’Attellis, 2006; Perelson and Nelson, 1999) and allows for an explicit role of the immune system in HCV disease course. Our model qualitatively replicates results of empirical research showing that HIV-coinfecting HCV patients have reduced probability of spontaneous clearance of HCV as well as reduced rates of achieving sustained virologic response (Kim and Chung, 2009; Mohsen et al., 2002; Di Martino et al., 2001). Similarly, we replicate newer empirical findings indicating that highly efficacious direct-acting antiviral agents (DAAs) reduce treatment differences between HIV-positive and HIV-negative HCV patients (Fernández-Montero et al., 2013).

This study adds to the literature a way of capturing HCV within-host dynamics while accounting for the role of the immune system under conditions of HIV infection. It contains the flexibility and tractability necessary for testing hypotheses about clearance and treatment. We provide a framework which may be useful for assessing a patient’s chance of responding to treatment, given certain virologic, immunologic and therapeutic parameters. Our choice to model HIV statically allows our model capture how an immunocompromised patient can respond differently to HCV infection and subsequent treatment, while avoiding the problem of parameter proliferation.

There are several limitations of the model related to the complexity of the system. First, the bistability criterion that the proliferation rate of infected cells must be greater than the proliferation rate of uninfected cells only has partial support in the literature. Some studies report that HCV core protein can induce proliferation in hepatocytes (Erhardt et al., 2002; Hu et al., 2013; Kim and Chung, 2009; Roe and Hall, 2008) and inhibit immune-mediated cell killing (Block et al., 2003), while others report slowed proliferation in HCV-infected cells (Kannan et al., 2011). However, there are may be other mechanism of bistability, such as interferon refractoriness of some cells as proposed by Padmanabhan et al. (2014) that would yield similar impacts under the HIV coinfection conditions proposed in this model. Second, the model assumes very simplified within-host HIV dynamics by only including HIV viral load as a static parameter, so may miss some of the subtleties of CD4 decay and viral load changes through the course of infection. However, this simplification is appropriate for the shorter relative time-courses of the HCV dynamics explored here.

Similarly, while we cannot model the explicit dynamics of treatment of HIV with ART, we are able to manually replicate them by using lower equilibrium values of CD4<sup>+</sup> count. Third, the way we model HCV treatment does not take into account pharmacokinetic/pharmacodynamic complexities beyond simple, exponential decay of treatment efficacy over time, but it is useful for making baseline calculations. It has been used in the past for modeling interferon (IFN) treatment (Debroj et al., 2011; Reluga et al., 2009; Perelson, 1999), but may in fact be a better representation of the mechanisms of DAAs (Guedj et al., 2010). IFN works by creating an antiviral environment inside susceptible cells, thereby decreasing their chances of getting infected (Feld and Hoofnagle, 2005), while ribavirin can act as a mutagen and cause some proportion of virions to be non-infectious (Dixit et al., 2004). Empirical evidence suggests that response is not directly dependent on concentration over time, but maximum drug effectiveness is correlated with treatment response. DAAs, however, mainly work to inhibit HCV replication thereby having a more direct effect on viral burst-size and infectiousness (Ahlén et al., 2013).

DAAs offer very promising prognoses for HCV patients, but are still prohibitively expensive for many (Mehta and Asch, 2014; NatMed, 2014). It is therefore crucial to assure that the drugs are being administered properly. Using a model to predict patient response may lend insight into pre-treatment estimation of treatment success, and could be helpful in monitoring effectiveness of therapy over the course of treatment. For example, if a patient requires or takes drug holidays, a model of this type may be helpful in predicting the maximum drug holiday allowable without compromising treatment. As Fig. 6 shows, HIV has the potential to alter treatment dynamics even in optimistic scenarios, underscoring further the importance of understanding this system.

The model results presented here give a theoretical demonstration of the effect that HIV coinfection can have on the course of HCV infection. While the system of HCV–HIV coinfection has many layers of complexity, we are able to use a model with relatively simple assumptions about pathogen and immune system interaction to qualitatively describe patient outcomes. Not only is an HIV-positive patient less likely to clear HCV spontaneously, but also less likely to respond to HCV treatment when treatment efficacy is below a certain level (Kim and Chung, 2009; Mohsen et al., 2002; Di Martino et al., 2001). Understanding what drives these treatment differences can help spare difficult treatment and side effects for patients who are unlikely to respond to treatment, as well as informing strategies to maximize treatment adherence. HIV–HCV coinfection is a growing issue not just among injecting drug users, but also among HIV-positive Men who have sex with Men (MSM) who may experience both increased transmissibility of HCV due to higher viral load and also increased susceptibility to sexually transmitted HCV due to incomplete restoration of mucosal immunity (Kim and Chung, 2009). It is thus vital to understand the within-host dynamics of these coinfecting pathogens in order to better assess treatment strategies and preempt shortfall and potential resistance acquisition.

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## Appendix A. Supplementary data

Supplementary data associated with this article can be found, in the online version, at <http://dx.doi.org/10.1016/j.epidem.2015.04.001>.

All code for model and figures can be found and downloaded at <https://github.com/rbirger/HCVHIVWithinHostModel>

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