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1 **Defective nuclear entry of hydrolases prevents NETosis in Chronic Granulomatous Disease**

2

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19 **Capsule summary**

20 Hydrolases perinuclearly accumulate in activated neutrophils of CGD patients or after inhibition
21 of PI3-kinase dependent ROS production, preventing their nuclear access and the resulting
22 NETosis. Pharmacologically bypassing this bottleneck might restore antimicrobial defenses in
23 CGD.

24

25 **Keywords**

26 neutrophil elastase, PI3-kinase, NADPH oxidase, NETosis, macroautophagy, chronic
27 granulomatous disease, CGD

28

29 **Abbreviations**

30 CGD = chronic granulomatous disease, HD = healthy donors, 3-MA = 3-methyladenine, NE =
31 neutrophil elastase, NET neutrophil extracellular trap, NETosis = NET formation, NOX2 =
32 phagocytic NADPH oxidase, PI3 = phosphoinositide-3 = phosphatidylinositol-3, PMA = Phorbol
33 12-myristate 13-acetate, ROS reactive oxygen species, SP = spautin-1.

34

35 **To the Editor,**

36 Neutrophils antimicrobial activity depends on phagocytosis, neutrophil extracellular trap
37 (NET) formation (NETosis), and cytokine production. NETosis is dependent on NADPH oxidase
38 (NOX2) driven ROS production, nuclear access of neutrophil elastase (NE), and histone
39 degradation¹. ROS production is deficient in patients with chronic granulomatous disease (CGD),
40 resulting in impaired NETosis and recurrent severe bacterial and fungal infection².

41 In order to shed light on the poorly understood steps downstream of ROS, resulting in
42 NETosis, several groups have recently explored macroautophagy³⁻⁸, a cellular degradation
43 pathway for cytoplasmic constituent delivery to lysosomes, which reshapes membrane
44 compartments and could, therefore, be involved in hydrolase access to the nucleus. However, in
45 all of these studies no direct correlation of macroautophagic activity and NETosis could be
46 reported, and only pharmacological inhibition of phosphatidylinositol-3 (PI3)-kinase activity was
47 used to compromise macroautophagy. Therefore, we decided to revisit the regulation of
48 macroautophagy and ROS production by PI3-kinase inhibition during NETosis in CGD and
49 healthy donor (HD) neutrophils.

50 NETosis was induced by PMA (Fig 1, A) or *Candida albicans* (Fig 1, B) stimulation
51 together with PI3-kinase inhibitors in human HD and CGD neutrophils, and DNA release was
52 followed over time by SYTOX assays. Both stimuli resulted in NETosis in HD neutrophils.
53 However, only inhibition of PI3-kinases through 3-methyladenine (3-MA) caused a significant
54 decrease in NETosis induced by both stimuli. Previously, nuclear NE translocation was suggested
55 to initiate chromatin decondensation and subsequent NETosis¹. NE's nuclear translocation, as
56 assessed by confocal microscopy, was also reduced with 3-MA after opsonized yeast and PMA
57 incubation (Fig E1, A). Therefore, the PI3-kinase inhibitor 3-MA, but to a lesser extent other PI3-
58 kinase inhibitors, like wortmannin and spautin-1, can inhibit NETosis.

59 Since 3-MA is classically used as a macroautophagy inhibitor, we investigated
60 accumulation of autophagosomes in neutrophils treated with opsonized yeast and PMA \pm 3-MA
61 through immunofluorescent quantification of dots positive for LC3B, which gets attached to
62 autophagosome membranes. Interestingly, upon 1h stimulation, 3-MA did not seem to effectively
63 reduce autophagosome formation when compared to untreated cells (Fig 1, C). In addition,
64 membrane association of LC3B, detected as LC3-II levels by Western blotting, was not
65 significantly different \pm 3-MA (Fig E1, B). Along these lines, neutrophils of NETosis-
66 incompetent CGD patients induced macroautophagy to similar extent as HDs after stimulation
67 with opsonized yeast or PMA (Fig E2). In contrast, PI3-kinase inhibition with 3-MA consistently
68 reduced both yeast- and PMA-induced ROS production (Fig 1, D), suggesting that the negative
69 effect of 3-MA on NETosis might be related to the ineffectiveness of cells to generate ROS rather
70 than blocking macroautophagy. Together, these results point to a macroautophagy-independent,
71 but ROS dependent mechanism of PI3-kinase to control NETosis in human neutrophils.

72 To further investigate the steps leading to NETosis, we looked into the kinetics of NE
73 localization. Confocal microscopy of NE in PMA-treated HD and CGD neutrophils confirmed
74 the absence of extracellular or intra-nuclear NE staining in CGD neutrophils (Fig 2, A and B). 1h
75 after PMA-stimulation, CGD and HD cells recruited NE to the perinuclear region. If this NE is
76 granula-bound or released into the cytosol and physically associated with the nuclear membrane
77 could not be determined. 3h after PMA-treatment, NE was still accumulating around CGD cell
78 nuclei, whereas in HD NE had already migrated into the nuclear region. Similarly, 3-MA treated
79 cells showed a trend towards stabilization of NE staining in the perinuclear area 3h post PMA-
80 treatment (Fig 1, E; Fig E3). As a second measure of neutrophil hydrolases' access to the
81 nucleus, we assessed proteolysis of histone H4 (Fig 2, C; Fig E4). After PMA-activation, HD
82 neutrophils initiated NETosis and H4 was degraded to allow DNA decondensation (Fig E4, A),

83 whereas in CGD cells, H4 levels remained stable 3 h and 4 h after PMA-induction (Fig E4, B).
84 This indicates that neutrophils deficient in phagosome-associated NOX2-mediated ROS
85 production are unable to induce NE nuclear translocation, but can nevertheless accumulate NE
86 around their nuclei.

87 To confirm that the classical macroautophagy machinery was not involved in the transport
88 of vesicular NE to the nucleus, we assessed perinuclear co-localization of LC3B and NE in
89 neutrophils isolated from HDs by confocal microscopy. As previously observed (Fig 2), NE
90 translocated to the area around the nucleus 1h post-stimulation with PMA (Fig E1, C and D),
91 while LC3B did not accumulate there. These results indicate that NE trafficking to the nucleus
92 does not depend on autophagosomes.

93 In contrast, previous studies had suggested that PI3-kinase inhibition affects NETosis via
94 compromising macroautophagy³⁻⁸. Most of these studies, however, did not analyse the effect of
95 PI3-kinase inhibition on ROS production^{4-6, 8}. Moreover, while PI3-kinase inhibition with 3-MA
96 was reliably able to down-modulate DNA-release after NETosis stimulation, autophagosome-
97 associated LC3-II levels could not be decreased in some of these studies, and vice versa the PI3-
98 kinase inhibitor wortmannin was able to compromise macroautophagy in some studies, but did
99 not affect DNA-release^{3, 4, 7, 8}. In addition, macroautophagy stimulation by inhibition of the
100 mammalian target of rapamycin (mTOR) increased DNA-release after NETosis stimulation, but
101 it was not analyzed if macroautophagy inhibition would block this synergistic effect⁶. Thus, these
102 previous studies and ours demonstrate that 3-MA reliably diminishes DNA-release during
103 NETosis, but that LC3-II coupled autophagic membranes are not decreased in most studies⁷,
104 while 3-MA compromises NOX2-dependent ROS formation.

105 PI3-phosphates are a class of phospholipids that coordinate the membrane localization
106 and function of many proteins in the cell. These effector proteins usually contain the lipid-

107 binding domains FYVE or PX. For example, the PX domain of NOX2 p40phox subunit was
108 described to be important for effective ROS production upon phagocytosis of opsonized-
109 bacteria⁹. Accordingly, PI3-kinase inhibition in neutrophils may prevent correct assembly of
110 NOX2 and therefore diminish the oxidative burst in these cells, affecting their NETotic response.
111 Indeed, we observed diminished ROS production upon 3-MA treatment. Thus, we favor the
112 hypothesis that PI3-kinase inhibition compromises NETosis by inhibiting ROS formation to
113 prevent neutrophil hydrolase access to the nucleus for DNA decondensation. If ROS formation
114 represents the central axis for triggering NETosis in neutrophils, interfering with this pathway
115 should be harnessed clinically.

116

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121

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153

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164

165

166 **Figure Legends**

167 **Figure 1**

168 **PI3-kinase inhibition decreases NETosis and ROS production without altering**
169 **macroautophagy.** Sytox-based NET assay in PMA (**A**) or *C. albicans* (**B**) stimulated neutrophils
170 \pm PI3K-inhibitors (N=3, mean \pm SD). (**C**) Quantification of macroautophagy in HD neutrophils
171 after 1h stimulation (N=6, mean \pm SD). (**D**) ROS production after 30min incubation as indicated
172 (mean \pm SD; ***: P<0.001). (**E**) Quantification of NE-signal around nucleus of PMA-stimulated
173 neutrophils \pm 3-MA (mean \pm SD).

174

175 **Figure 2**

176 **NE nuclear entry requires ROS for histone H4 degradation during NETosis.** (**A**) PMA-
177 stimulated HD or CGD neutrophils were analyzed for NE (red; black/white) and DAPI (blue) by
178 immunofluorescence-microscopy (**B**). NE-signal around the nucleus of PMA-stimulated
179 neutrophils (means \pm SD; **: t-test P < 0.01). (**C**) Quantification of fold decrease in H4 levels in 9
180 HD and 6 CGD patients neutrophils \pm PMA.

181

182 **Supplemental material:**

183 **Supplemental Figure E1: PI3-kinase inhibition blocks NE release, but minimally affects**
184 **macroautophagy in human activated neutrophils.** (**A**) Quantification of extracellular or
185 nuclear NE in neutrophils \pm 3-MA, wortmannin and spautin-1, \pm stimulation with opsonized *C.*
186 *albicans* (1:1 ratio) or PMA for 3h (Pooled data from 4 HD; 75 cells/donor; means \pm SD). (**B**)
187 HD neutrophils were treated \pm 3-MA and \pm PMA, and LC3-II levels were assessed by Western
188 blot (one blot of at least 3). Numbers below the blot represent the fold increase of LC3-II
189 compared with the non-stimulated control cells after normalization to the corresponding actin

190 levels. **(C)** HD neutrophils were incubated with PMA for 10 min, 1 h or 3 h, fixed, permeabilized
191 and stained for ATG8/LC3 (green), NE (red) and DAPI (blue). Representative confocal pictures
192 of 1 cell out of 50 analyzed are shown. Data from 3 HD. **(D)** Quantification of fluorescence
193 intensity for ATG8/LC3 and NE signals in the perinuclear region of HD neutrophils (n = 50).
194 Cells were left unstimulated or treated with PMA at the indicated time points. Pooled data from 3
195 HD.

196

197 **Supplemental Figure E2.**

198 **Intact macroautophagy in CGD neutrophils**

199 Quantification of ATG8/LC3⁺ dots (ATG8/LC3 green, DAPI blue) on neutrophils (n ≥ 100;
200 means ± SD) from 3 HD and 4 CGD patients after 1h stimulation (Scale bars 5µm).

201

202 **Supplemental Figure E3.**

203 **PI3-kinase inhibition prevents NE access to the nucleus of neutrophils. (A)**

204 Immunofluorescence analysis of PMA-stimulated HD neutrophils (n= 4 HD) +/- 3-MA, NE (red:
205 merged color signals, black/white: confocal pictures) and DAPI (blue). Quantification is shown
206 in Fig 1, E.

207

208 **Supplemental Figure E4.**

209 **ROS triggers nuclear histone degradation.** Western blot analysis (1 of 6 blots) of histone 4

210 (H4) in HD **(A)** or CGD neutrophils **(B)** +/- PMA. Numbers indicate the fold increase of H4
211 compared to non-stimulated control (normalized to actin). Quantification is shown in Fig 2, C.

212

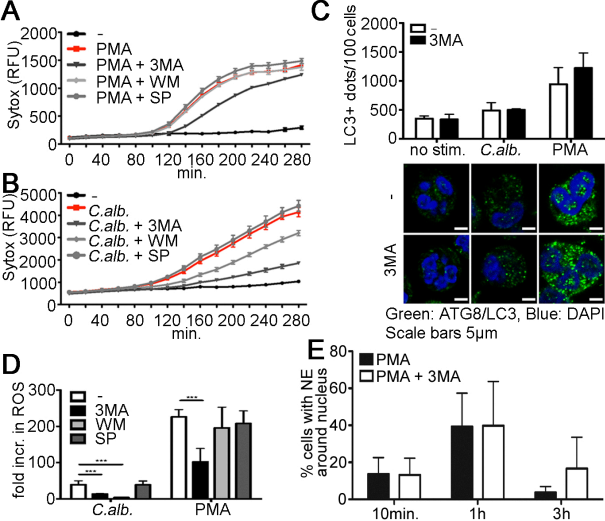


Figure 1

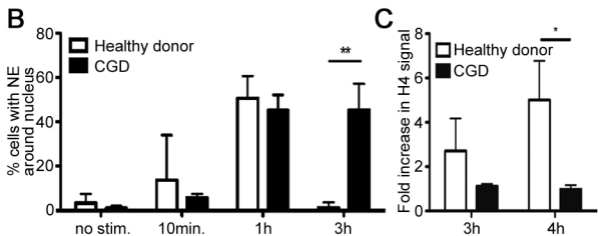
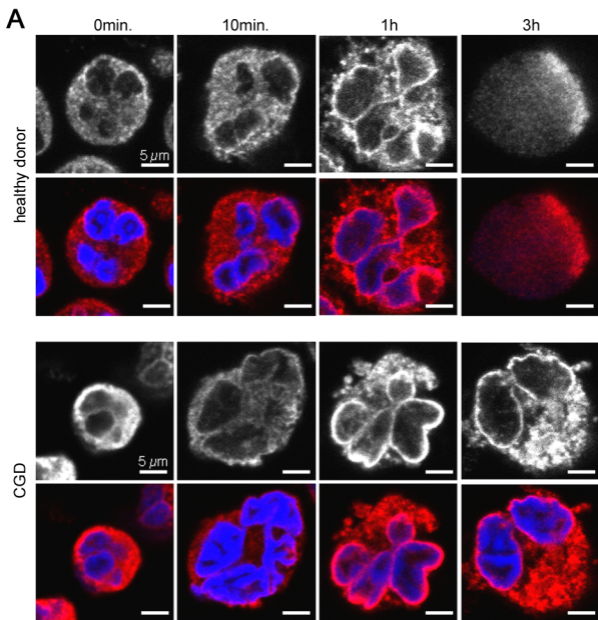


Figure 2

Materials and Methods

Antibodies and cell dyes

For Western blotting, antibody anti-ATG8/LC3 (clone 5F10) was purchased from Nanotools and antibody anti-histone H4 (clone 62-141-13) was obtained from Millipore. Directly labeled anti-beta actin (HRP) antibody was obtained from Abcam. For immunofluorescence stainings anti-ATG8/LC3 was received from MBL and anti-neutrophil elastase (NE) was kindly provided by Arturo Zychlinsky's lab (Berlin, Germany). Secondary antibodies conjugated to Alexa488 or Alexa555 were purchased from Invitrogen. The nucleic acid stains Sytox[®] green and DAPI and the redox sensitive probe Amplex[®] UltraRed were provided by Invitrogen.

Cell preparation

Blood was drawn from healthy donors (HD) (Zurich_Blood_Center) and 6 CGD patients (University Children's Hospital Zurich) after obtaining informed consent from patients or parents in accordance with the Declaration of Helsinki and local ethical provisions. Neutrophils were isolated by density-gradient centrifugation on Ficoll/Hypaque. The lower layer containing granulocytes was subjected to hypotonic lysis of red blood cells, followed by ice-cold PBS washing. Cells were resuspended in RPMI 1640 medium supplemented with 5% heat-inactivated fetal bovine serum and used immediately after isolation. For testing the production of reactive oxygen species, cells were kept in PBS throughout the assay.

NET induction

Neutrophils were left unstimulated or treated with 100 nM phorbol 12-myristate 13-acetate (PMA) or opsonized *Candida albicans* yeast. *C. albicans* yeast-locked mutant strain Δ hgc1 was

grown overnight at 30°C in Sabouraud medium and subcultured to reach the exponential growth phase. For opsonization, 2×10^7 yeast cells were washed in PBS, resuspended in 1 ml of 20% human plasma (isolated from the top layer after Ficoll/Hypaque gradient centrifugation) and incubated at 37°C for 20 min. Cells were again washed in PBS and added to the respective neutrophils at a 1:1 ratio. Where indicated, 30 min ~~previously~~previous to 100nM PMA or opsonized *C. albicans* stimulation, cells were treated with the following PI3K inhibitors: 5 mM 3-MA, 50 nM wortmannin or 1 μ M spautin-1.

NETosis occurred within 2 to 3 h after stimulation. To quantify the kinetics of NET formation, 5×10^4 neutrophils were seeded per well in flat-bottom 96-well plates, incubated with the appropriate stimuli and 1 μ M Sytox[®] green. Fluorescence values were measured by a Tecan Infinite M200 Pro fluorometer (Ex. 485 nm / Em. 520 nm at 37°C) every 20 min for a total of 280 min. (means \pm SD; 3 independent assays with different donors). In addition, NETosis was assessed by quantification of the number of cells with positive nuclear staining for NE. Cells ($n \geq 100$ /well) were counted from confocal pictures using ImageJ software. Figure 1E: Means \pm Stdev; pooled from 4 HD. Figure 2A: 2 HD and 3 CGD patients; scale bars = 5 μ m.

Immunofluorescence and microscopy

For immunofluorescence stainings, 4×10^5 neutrophils were seeded per well on poly-lysine treated 8-well chamber slides (Ibidi) and stimulated as indicated for 1h. After treatment, cells were fixed in 3% paraformaldehyde for 20min at 4°C, permeabilized with 0.5% Triton-X100 for 1 min at room temperature, then incubate with the Image-iT FX signal enhancer (Invitrogen) and stained with the indicated antibodies followed by the appropriate secondary reagent. All washes were performed in PBS supplemented with 1% fish skin gelatin and 0.02% saponin. Slides were counterstained with DAPI and mounted with 50% glycerol in PBS. Cells were visualized through

a x63 1.4 NA oil immersion lens with an inverted CLSM Leica SP5 confocal microscope. For quantification of the fluorescence signal of the different antibodies, part of the perinuclear region was selected to calculate the intensity values using ImageJ software. Data are expressed as dot plots with median value displayed as a horizontal red line. Figure 1.C: Neutrophils of 6 HD; n \geq 100 cells per well; scale bars = 5 μ m.

Measurement of reactive oxygen species

Production of reactive oxygen species by neutrophils was assessed with the cell-permeable fluorescent probe Amplex® UltraRed. Neutrophils were seeded in flat-bottom 96-well plates, 2×10^5 cells/well, stimulated with the opsonized *C. albicans* (1:1 ratio) or 100nM PMA and with a mixture of 25 μ M of Amplex® UltraRed and 0.5U/ml HRP for 30min at 37°C. Fluorescence values were analyzed in a Tecan Infinite M200 Pro fluorometer (Ex. 530 nm / Em. 580 nm).

Figure 1.C: Triplicates from 2 independent HD

Western blot analysis

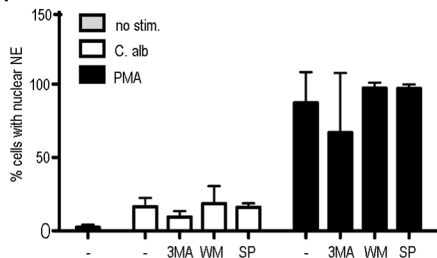
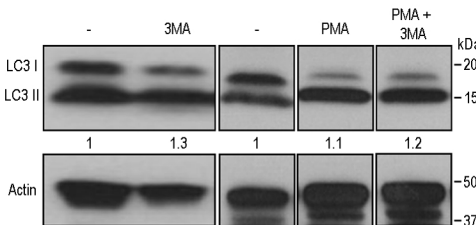
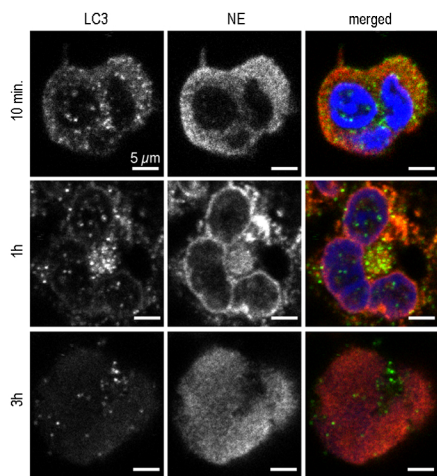
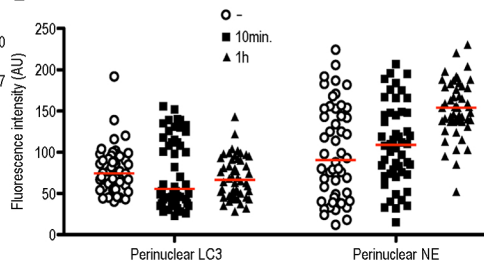
To obtain protein extracts, at the indicated times, 6x Laemmli buffer with 1% β -mercaptoethanol was added to the neutrophils at a final concentration of 1x. Cells were scrapped off the wells and sonicated for 30 sec at 50% intensity. Resulting cell lysates were frozen at -80°C for later immunoblotting. For Western blot analysis, cell lysates were boiled for 5 min, resolved by SDS-PAGE and transferred onto Polyvinylidenfluorid membranes. For detection of primary antibodies, HRP-conjugated secondary antibodies and the ECL femto detection system were used. Membranes were visualized in a Vilber Lourmat Fusion FX imaging system or exposed to films and densitometry was performed using the ImageJ software.

Histone degradation assay

Neutrophils were seeded at a density of 5×10^5 cells/well in 48-well plates. After stimulation with PMA for the indicated times, cell lysates were generated and H4 degradation was analyzed by immunoblotting.

Statistical analysis

Where indicated, unpaired student's t-tests were performed using the GraphPad Prism Software (Version 5.0a).

A**B****C****D**

Supplementary Figure E1

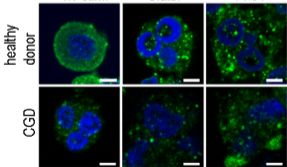
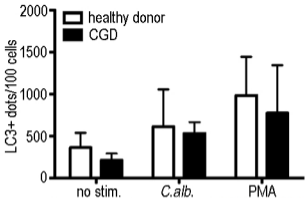


Figure E2

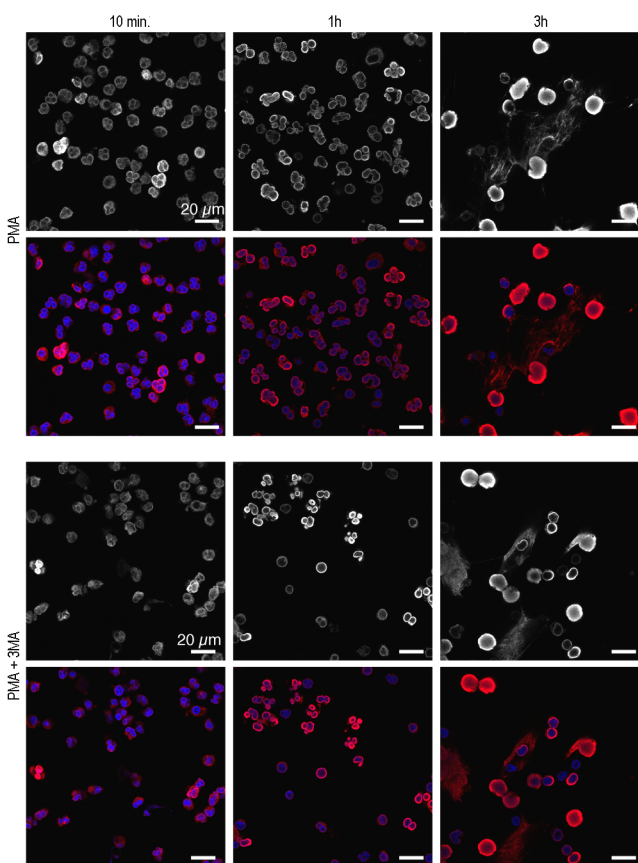
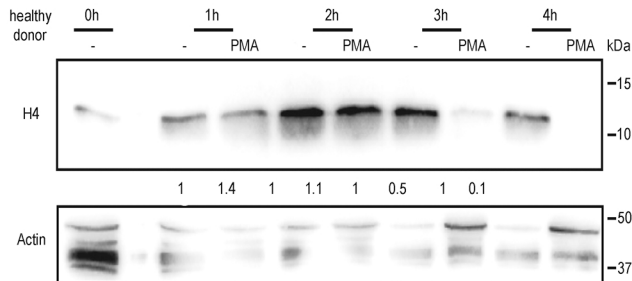
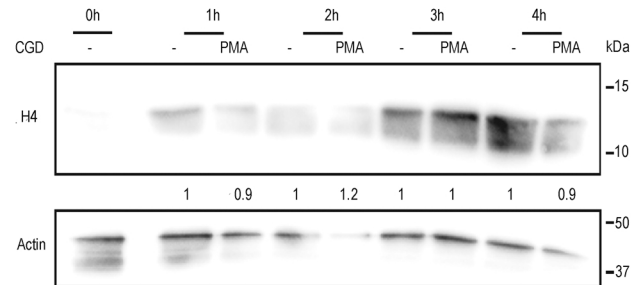


Figure E3

A**B****Figure E4**