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1 **Is there an association between the coverage of immunisation boosters by**
2 **the age of 5 and deprivation? An ecological study**

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23 **Abstract**

24 Objective: To determine whether there was an association between the coverage of booster
25 immunisation of Diphtheria, Tetanus, acellular Pertussis and Polio (DTaP/IPV) and second Measles,
26 Mumps and Rubella (MMR) dose by age 5 in accordance with the English national immunisation
27 schedule by area-level socioeconomic deprivation and whether this changed between 2007/08-
28 2010/11.

29 Design: Ecological study.

30 Data: Routinely collected national Cover of Vaccination Evaluated Rapidly data on immunisation
31 coverage for DTaP/IPV booster and second MMR dose by age 5 and the Index of Multiple
32 Deprivation (IMD).

33 Setting: Primary Care Trust (PCT) areas in England between 2007/08-2010/11.

34 Outcome measures: Population coverage (%) of DTaP/IPV booster and second MMR immunisation
35 by age 5.

36 Results: Over the 4 years among the 9,457,600 children there was an increase in the mean
37 proportion of children being immunised for DTaP/IPV booster and second MMR across England,
38 increasing from 79% (standard deviation (SD)12%) to 86% (SD8%) for DTaP/IPV and 75% (SD10%) to
39 84% (SD6%) for second MMR between 2007/08-2010/11. In 2007/08 the area with lowest DTaP/IPV
40 booster coverage was 31% compared to 54.4% in 2010/11 and for the second MMR in 2007/08 was
41 39% compared to 64.8% in 2010/11. A weak negative correlation was observed between average
42 IMD score and immunisation coverage for the DTaP/IPV booster which reduced but remained
43 statistically significant over the study period ($r=-0.298$, $p<0.001$ in 2007/08 and $r=-0.179$, $p=0.028$ in
44 2010/11). This was similar for the second MMR in 2007/08 ($r=-0.225$, $p=0.008$) and 2008/09 ($r=-$
45 0.216 , $p=0.008$) but there was no statistically significant correlation in 2009/10 ($r=-0.108$, $p=0.186$)
46 or 2010/11 ($r=-0.078$, $p=0.343$).

47 Conclusion: Lower immunisation coverage of DTaP/IPV booster and second MMR dose was
48 associated with higher area-level socioeconomic deprivation, although this inequality reduced
49 between 2007/08-2010/11 as proportions of children being immunised increased at PCT level,
50 particularly for the most deprived areas. However, coverage is still below the World Health
51 Organisation recommended 95% threshold for Europe.

52

53 Key words

54 Immunisation; England; Index of Multiple Deprivation; ecological study; children¹

¹ Abbreviations used: COVER Cover Of Vaccination Evaluated Rapidly, IMD Index of Multiple Deprivation; NICE National Institute for Health and Clinical Excellence, PCTs Primary Care Trusts

55 **Introduction**

56 A recent upsurge in measles in England has reignited the debate about the need for robust delivery
57 and coverage of childhood immunisation in order to achieve the World Health Organisation (WHO)
58 Global vaccine action plan of $\geq 80\%$ coverage in each district[1] and the 95% coverage
59 recommendation for European countries[2] in order to maintain herd immunity [1, 3, 4]. Childhood
60 immunisations are freely available and the immunisation schedule in England incorporates boosters
61 provided between the ages of 3 years and 4 months to 5 years for diphtheria, tetanus, acellular
62 pertussis, polio (DTaP/IPV booster) and the second dose of measles, mumps and rubella (MMR).

63 Coverage of booster immunisations has steadily increased for DTaP/IPV from 78.6% in 2007/08 to
64 87.4% in 2011/12 and second MMR from 74.6% in 2007/08 to 86.0% in 2011/12 [5]. However,
65 evidence indicates that there remains significant variation in geographical coverage, particularly in
66 large conurbations [6]. Several studies have shown a link between low coverage of childhood
67 immunisations and increasing deprivation [7-19]. It has been postulated that children living in areas
68 of high deprivation are less likely to be immunised due to the high degree of transience within such
69 communities, higher proportions of ethnic minorities, incomplete or absent primary immunisations,
70 poorer access to services, higher number of families with multiple children, single parent households
71 and very young or old mothers [19-21]. However, the evidence related to different immunisations is
72 inconsistent. Studies of coverage of HPV immunisation [22] and MMR at age 2 [23] were not
73 associated with deprivation. The MMR at age 2 study utilised the Index of Multiple Deprivation
74 (IMD) and found no association with IMD nor with the area percentage of the non-white population,
75 however, it had a strong negative association with two IMD domains that quantify barriers to
76 adequate housing (affordability and overcrowding) and local services (road distance to services) [23].

77 As the DTaP/IPV booster and second MMR are provided prior to children entering the education
78 system this is a key transition point where herd immunity is of paramount importance. Therefore,

- 79 we assessed whether there was an association between the coverage of childhood booster
- 80 immunisations and socioeconomic deprivation in England and whether this changed over time.

81 **Methods**

82 Study design and data sources

83 We conducted an ecological study using aggregate data for the whole population of England
84 available at the level of Primary Care Trust (PCT). Up until March 31st 2013 PCTs were the principal
85 commissioning bodies for the country's National Health Service (NHS), which provides universal
86 health care free at the point of access. We used Cover of Vaccination Evaluated Rapidly (COVER)
87 data on immunisations and IMD data on area-level socioeconomic deprivation which are freely
88 available online, so no formal ethical approval was required. The COVER scheme was first trialled in
89 1987 and by 1989 most areas in England were participating [24]. These data are collated quarterly
90 and we accessed them via the Health and Social Care Information Centre of the NHS website
91 (<http://www.hscic.gov.uk/searchcatalogue?productid=9990&q=immunisation&sort=Relevance&size=10&page=1#top>). COVER data are available for the British financial year which runs from April 1st to
92 March 31st in the subsequent year and are thus presented as 2007/08 to 2010/11 at PCT level. The
93 study population was all 5 year old children in England whose immunisation statistics were available
94 as percentages of coverage for the population of children covered by each PCT with the DTaP/IPV
95 booster and second MMR as the outcome measures. In 2008/09 to 2010/11 all PCTs achieved
96 COVER data validation criteria and in 2007/08 141 PCTs achieved this. The number of PCTs changed
97 from 152 in 2007-2009 to 151 in 2010 due to a boundary change on 1st April 2010 with the merger of
98 North Hertfordshire with West Hertfordshire to form Hertfordshire.

100 Socioeconomic deprivation data were accessed from the English IMD PCT summaries for 2007
101 <http://www.communities.gov.uk/documents/communities/zip/indices2007.zip> [25] and 2010
102 <https://www.gov.uk/government/publications/english-indices-of-deprivation-2010> [26] . IMD are 3-
103 yearly statistical indices that provide a ranking of areas across England by their level of
104 socioeconomic deprivation based on 7 domains: income, education, employment, health, barriers to
105 housing and local services, environment and crime. Each domain score is ranked and exponentially

106 transformed. Individual domain scores are available; however, a single population-weighted
107 average score is also generated, allowing comparison between areas based on their relative levels of
108 deprivation. We used the IMD average scores available at PCT level as a measure of overall
109 deprivation; IMD scores for 2007 were used to represent deprivation for the 2007/08-2009/10
110 COVER data and the 2010 scores were used to represent deprivation for 2010/11 COVER data.

111 Statistical analysis

112 All downloaded data that were available in Excel spreadsheets were imported to and analysed using
113 *Stata v.11* software. We first examined the size of the child population annually and the ranking of
114 PCTs by IMD to assess whether there had been substantial socio-demographic changes over the
115 study period. Over the 4 year period IMD average scores were plotted and were found to be fairly
116 normally distributed. We assessed whether using different average IMD scores from the 2 time
117 points of IMD collection (2007 and 2010) resulted in substantial changes in the relative
118 socioeconomic position of PCT areas by assessing the 10 most and 10 least deprived PCT areas.

119 The percentage coverage of DTaP/IPV and second dose of MMR across PCTs was assessed for normal
120 distribution and were found to be not normally distributed. We created scatter plots of the average
121 IMD score against percentage coverage of the DTaP/IPV and second MMR separately to assess
122 whether there was a linear relationship between the exposure and outcomes. Pearson's correlation
123 coefficients were used to assess correlations between deprivation and the percentage coverage of
124 each immunisation for each financial year across the study period. Finally, for each immunisation we
125 assessed the number of PCTs that achieved district level immunisation coverage targets of >80% and
126 >95% set by the WHO[1, 2] and whether this had changed over the study period.

127

128

129 **Results**

130 Population socio-demographics

131 We included data from all children with available COVER and IMD data aged 5 in England over the 4-
132 year study period. As shown in table 1, the number of PCTs achieving validation criteria for COVER
133 data increased from 141 in 2007/08 to all PCTs achieving the criteria from 2008/09 onwards. The
134 population of children aged 5 in England changed from 2,365,400 in 2007/08 to 2,363,800 in
135 2010/11. In 2010/11, Hampshire PCT had the largest number of children in this age range
136 (n=14,800) and Hartlepool had the lowest (n=1,200). When we used the 2010 IMD scores instead of
137 2007 IMD scores only one PCT moved out of the 10 most deprived areas, whereas, there were 3
138 changes in 10 least deprived PCTs. For both time periods Surrey was the least deprived and Heart of
139 Birmingham was the most deprived. IMD average scores ranged from 8.1 (least deprived) to 48.3
140 (most deprived) with a mean value of 23.7 and median value of 23.6.

141 **Table 1.**

142 Table 2 shows that between 2007/08-2010/11 there was an increase in the mean coverage of
143 immunisations in PCTs in England; the DTaP/IPV booster by 6.7% and second MMR dose by 9.1%.
144 Variation of coverage across PCTs indicated by the standard deviations (Table 2) reduced for both
145 immunisations over this period. There was also a reduction in the range of coverage for DTaP/IPV
146 from 31.0%-94.0% (2007/08) to 54.4%-96.7% (2010/11) and for MMR from 39.0%-89.0% (2007/08)
147 to 64.8%-95.1% (2010/11) which shows that the overall increased immunisation coverage was
148 mainly achieved by an increase in areas with previously low coverage rates. This can also be seen in
149 Figures 1-2: scatter plots plotting PCTs annually by their IMD average scores and their vaccination
150 coverage for DTaP/IPV (figure 1) and MMR (figure 2). They show that whilst most PCTs across all
151 levels of deprivation had quite high booster coverage, there were some PCTs with particularly low
152 coverage and these were more likely to have high IMD scores. This appeared to be more so for

153 DTaP/IPV than for MMR and figures 1-2 also show the reduced variation in immunisation coverage
154 between 2007/8 and the 2010/11 period which was mostly due to increasing coverage in those with
155 initially low coverage rather than increases in PCTs with initially high coverage.

156 **Table 2.**

157 **Figure 1.**

158 **Figure 2.**

159 Table 3 shows correlation coefficients for the association of PCTs IMD scores and immunisation
160 coverage. With increasing average IMD score there were weak negative correlations with PCTs
161 coverage of both DTaP/IPV and second MMR, which were statistically significant across all years for
162 DTaP/IPV boosters and in 2007/08 and 2008/09, but not the later years, for second MMR. The weak
163 correlation between higher deprivation and lower coverage did decrease for both immunisations
164 over the study period.

165 **Table 3.**

166 Table 4 show the number of PCTs across the study period that achieved 95% and 80% coverage
167 WHO targets. Over the 4 year period the number of PCTs achieving $\geq 80\%$ coverage substantially
168 increased however the percentage reaching $\geq 95\%$ has remained below 4% for DTaP/IPV and below
169 1% for MMR.

170 **Table 4.**

171 **Discussion**

172 Results from this ecological study demonstrate a weak statistically significant association between
173 coverage of the DTaP/IPV booster at age 5 and deprivation over the 4 year period. Coverage for
174 second MMR also demonstrated a weak negative correlation with deprivation however, this was
175 only statistically significant for the periods 2007/08 and 2008/09. Over the time period there was an
176 increase in coverage and a reduction in variation of coverage of DTaP/IPV and second MMR
177 immunisations across PCTs in England. The reduction in variation of coverage in 2007/08, the
178 lowest being 31% for DTaP/IPV and 39% for MMR and thereafter in 2010/11 being 54.4% and 64.8%
179 respectively was particularly important as areas with low booster coverage were areas that were
180 more deprived. Therefore, this study demonstrates that in a 4 year period areas with traditionally
181 low immunisation coverage can improve.

182 The strengths of this study are that a large validated national immunisation dataset was used along
183 with nationally derived deprivation indices. The immunisation coverage and denominator data for
184 COVER data are validated both at local and national level. Validation criteria are utilised to ensure
185 that the number of children immunised reflects both those children registered with general practice
186 and those who are the responsibility of the PCT [27], therefore ensuring that information is
187 complete. These immunisations have also been incorporated into contractual targets and therefore,
188 active tracking of immunisation status via PCTs also supports the accuracy of these data systems. To
189 date most research has primarily focused on primary immunisations and therefore this study
190 provides insight into the association between coverage of boosters by the age of 5 and deprivation.
191 As this is an ecological study we must acknowledge limitations of this method. We did not have
192 measurements of socioeconomic deprivation for individual children nor did we know their individual
193 vaccine coverage, so we cannot make any conclusions as to how children's or families'
194 socioeconomic circumstances may directly affect their vaccine coverage. Furthermore, within PCTs
195 there can be considerable variation in deprivation between smaller constituent areas, so even at an

196 ecological level our use of PCTs' average IMD may have underestimated the association between
197 deprivation and low immunisation coverage. This was a necessity however, as vaccination coverage
198 data is available only at PCT level and this enabled us to assess the COVER recorded aged 5
199 population of England. That we have shown a persistence of socioeconomic inequality in
200 immunisation coverage at a national level is important for the organisation of these public services.
201 Changes in the ranking of PCTs in the IMD may have also impacted on our results as only 66% of
202 areas remained within the same deciles of IMD in 2010/11 as in 2007/08 [28]; as this minor re-
203 classification will be for reasons other than our outcome, if anything this will have led us to
204 underestimate the association between deprivation and low immunisation coverage.

205 Whilst the coverage data are not normally distributed, we decided to use the mean coverage rather
206 than median coverage as the figures were only slightly different (the median coverage was between
207 0.6-4% higher than the mean coverage). Mean figures are standardly reported in populations so we
208 wanted to ensure that this was comparable in the context of other research which may also
209 interpret data that is not normally distributed.

210 Whilst our study shows improved coverage of DTaP/IPV and second MMR at age 5 in England we
211 have yet to achieve targets set by the World Health Organisation in every geographical district with 4
212 doses of DTaP/IPV and the second dose of MMR [1, 2] to support the achievement of herd
213 immunity. By 2010/11 for DTaP/IPV booster 82.8% and for second MMR 81.5% of PCTs were
214 achieving coverage of $\geq 80\%$. Our study ascertained that by 2010/11 the European WHO
215 recommended threshold of 95% coverage for childhood immunisations was achieved by only 2.7% of
216 PCTs for the DTaP/IPV booster and 0.7% of PCTs achieved this for MMR. Therefore, the majority of
217 areas still fell well below the WHO European recommended targets. In comparison coverage of
218 primary immunisations in 2011/12 in England was higher and almost achieved targets for DTaP/IPV
219 at 94.7% and MMR 91.2% [5] therefore we hypothesise that greater emphasis needs to be placed on
220 clear and consistent messaging about the need for completion of booster immunisations. Whilst

221 there have been, now discredited, concerns over the safety of the MMR immunisation a survey of
222 mothers in Birmingham indicated that they question the importance of the second dose of MMR[29]
223 which may also impact on coverage. Booster doses may also be missed by particular vulnerable
224 groups such as children in care, children with disabilities, some minority groups and non-English
225 speakers [30].

226 Whilst an association between deprivation and MMR at age 2 [23]and HPV [22] immunisations were
227 not found, other studies on immunisation coverage have been linked to deprivation in both England
228 and New Zealand (2006) demonstrating higher coverage in more affluent populations [9, 17, 31, 32].
229 However, there are some notable differences between these studies and our own. Whilst the studies
230 in England used national coverage data the time periods included when the controversy surrounding
231 the safety of MMR was at its height in England and therefore may have affected the results. The
232 study in New Zealand accessed coverage data for children up to 23 months old via an audit of
233 primary care notes for an area that only covered 50% of the population and was dependant on
234 practice agreement to the audit, which may have resulted in information bias. None of these studies
235 assessed booster immunisations prior to entering school and therefore, our research contributes to
236 the understanding of these immunisations. Overall we found an increase in the coverage of
237 immunisation boosters over the 4 year period and the association between immunisation coverage
238 and deprivation was less pronounced in the latter period of the study this is in contrast to the
239 studies carried out prior to this one.

240 In accordance with the World Health Organisation Global Vaccine Action Plan the Department of
241 Health in England has adopted policies to improve immunisation coverage. Our findings have
242 demonstrated that over the 4 year period there has been an improvement in the numbers of PCTs
243 reporting validated results into the COVER system and this improvement in reporting provides an
244 increasingly accurate picture of immunisation delivery. Policies have also included contractual
245 targets with primary care for the achievement of specified immunisation performance levels as an

246 incentive to reduce health inequalities. Whilst targets can be criticised this study indicates that they
247 may have had a beneficial effect in increasing coverage over time. This has been supported by
248 increased efforts of primary care to support the delivery of booster immunisation services along
249 with active management and follow up of the children in accordance with national guidance[30]
250 which may explain the increase in coverage. Therefore, an integrated approach to immunisation
251 delivery that incorporates the systematic improvement of data management, along with
252 comprehensive and adaptable service delivery of immunisation schedules may support the
253 reduction of inequalities.

254 **Conclusion**

255 Overall we found a weak negative correlation between PCTs' IMD average score and booster
256 immunisation coverage for DTaP/IPV and the second dose of MMR, reflecting that higher area-level
257 deprivation is associated with lower coverage. It is important to note that whilst this inequality
258 reduced between 2007/8 and 2010/11 for both immunisations, it still persisted for DTaP/IPV in
259 2010/11. This improvement in coverage is indicative of the value of clear immunisation policies in
260 supporting the reduction of health inequalities. Nevertheless, many PCTs were still not achieving
261 targets set out the WHO so much more support is needed to improve coverage of booster
262 immunisations.

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