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# Physicochemical Behaviour of Artificial Lime Stabilised Sulfate Bearing Cohesive Soils

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Thesis submitted to the University of Nottingham for the degree  
of Doctor of Philosophy

## ABSTRACT

Soil stabilisation is a useful civil engineering technique that enables the insitu material to be used as part of an engineered structure. Stabilised layers are used in road foundation; working platforms and for slope stabilisation and sea defences. Chemical stabilisation involves the use of a hydraulic binder (and sometimes additional pozzolans). Commonly, quicklime (CaO) or slaked-lime (Ca(OH)<sub>2</sub>) is used. On mixing into the ground, this reacts with the aluminosilicates of the clay fraction, reducing its overall water content and plasticity. Further additions increase the insitu pH. Above pH 10.4, the aluminosilicates become soluble in the pore solution. They are then able to form a range of insoluble mineral hydrates which constitute a cementitious matrix. This results in both an increase in mechanical strength and a decrease in dimensional stability.

If the insitu material contains sulfur bearing mineralogies, these can react with the hydraulic binder and the aluminosilicates to form expansive minerals. If this occurs after the initial setting and hardening of the stabilised layer has occurred, it can lead to severe dimensional instability and mechanical weakening. This is termed sulfate heave and the principal agent of this heave is a hydrous calcium sulfoaluminate hydrate, ettringite (AFt). The fundamental processes of ettringite formation and associated expansion are little understood in stabilised soils.

This research used a range of artificial sulfate bearing, lime stabilised blended soil samples subject to two immersion tests used for material suitability assessment in the UK. The physicochemical response (in terms of dimensional heave and mechanical weakening) was assessed as a function of soil composition and the environmental conditions imposed by the two immersion tests. The fundamental microstructure and phase composition was characterised using a range of analytical techniques (XRD, SEM-EDX, dTGA). The relationship between the observed macro-physical properties and underlying chemical environment and microstructure was explored.

Key findings include that the mechanism of ettringite formation and expansion was found to be governed by the fundamental structure of the bulk clay. This explained the greater swell response of the kaolin based soils compared to those of the montmorillonite. The SEM-EDX analysis identified a primitive, Ca-rich, AFt phase termed 'ball ettringite', in stabilised soils. This has only relatively recently been reported in studies of cement mortars. Also, small amounts of sulfate in the bulk soil actually increase soil strength. It was suggested that the preferential formation of monosulfate (AFm) plays an important role in this mechanism. The introduction of water to the pore solution is key to the

formation of ettringite. This was evidenced by X-Ray CT of the damage caused to soil specimens on immersion, as well as low angle XRD studies of the principal AFt peak. Based on the limited testing undertaken one of the immersion tests (European accelerated volumetric swell test, EN13286-49), appears to be more onerous than the other (UK CBR linear swell test, BS1924-2).



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*Finally, special thanks go to*

*Lisa Dring, Beau, plus work-in-progress.... it's finally finished!!*

*Dedicated to the memory of George Henry Allen, (1927 – 2009).*

*“The most exciting phrase to hear in science, the one that heralds the most discoveries, is not “Eureka!” (I found it!) but “That’s funny...”*

*Isaac Asimov (1920 – 1992)*

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## GLOSSARY OF TERMS

ACEC	Aggressive Chemical Environment Class
AFt	Ettringite.
AFm	Monosulfate
AFmc	Carbo-substituted AFm (Friedel's Salt)
AS	Amorphous Silica
ASTM	American Society for Testing and Materials
ASS	Acid Soluble Sulfate
BRE	Building Research Establishment
BS	British Standard
BSI	British Standards Institute
CAH	Calcium Alumina Hydrate
CASH	Calcium Alumina Silicate Hydrate
CBR	California Bearing Ratio
CEC	Cation Exchange Capacity
CPS	Counts per Second
CSH	Calcium Silicate Hydrate
DEF	Delayed Ettringite Formation
DoT	Department of Transport
DMRB	Design Manual for Roads and Bridges
DS	Design Sulfate Class
DSC	Differential Scanning Calorimetry
dTGA	Derivative Thermo-gravimetric Analysis
EDX	Energy Dispersive X-ray Spectroscopy
EN	European Standard
FA	Fly Ash
GGBS	Ground Granulated Blast-furnace Slag
$G_v$	Volumetric Expansion
HBM	Hydraulically Bound Mixture
HL	Hydrated Lime (also known as slaked lime)
ICL	Initial Consumption of Lime
ICP-AES	Inductively Coupled Plasma-Atomic Emission Spectroscopy
$I_{max}$	Intensity Maximum
K	Rate Constant
$K_{sp}$	Rate Constant at equilibrium
LOI	Loss on Ignition
MCHW	Manual of Contract Documents for Highways Works



MDD	Maximum Dry Density
OPC	Ordinary Portland Cement
OWC	Optimum Water Content
PI	Plasticity Index
PQC	Pavement Quality Concrete
PSD	Particle Size Distribution
PFA	Pulverised Fuel-Ash
QL	Quick Lime
QXRD	Quantitative X-Ray Diffraction
S	Sulfur (elemental)
SC	Soil Cement
SCM	Supplementary cementitious Materials
SE	Secondary Electron
SHW	Specification for Highways Works
SL	Soil Lime
SS	Soil Stabilisation
TPS	Total Potential Sulfate
TRL	Transport Research Laboratory
Tx-DOT	Texas Department of Transport
WC	Water Content
WSS	Water Soluble Sulfate
X-Ray CT	X-Ray Computer Tomography
XRD	X-Ray Diffraction
XRF	X-Ray Fluorescence

### CEMENT NOMENCLATURE

C	CaO
S	SiO <sub>2</sub>
Š	SO <sub>4</sub>
A	Al <sub>2</sub> O <sub>3</sub>
H	H <sub>2</sub> O
AFt	Ca <sub>6</sub> Al <sub>2</sub> (SO <sub>4</sub> ) <sub>3</sub> (OH) <sub>12</sub> .26H <sub>2</sub> O or C <sub>6</sub> AŠ <sub>3</sub> H <sub>32</sub>
AFm	Ca <sub>4</sub> Al <sub>2</sub> O <sub>6</sub> (SO <sub>4</sub> ).14H <sub>2</sub> O or C <sub>4</sub> ASH <sub>14</sub>

# 1. INTRODUCTION

## 1.1. PROBLEM STATEMENT

This research is concerned with the effect that deleterious sulfate reactions have on the durability and hence long term performance of stabilised soils that may be incorporated into a pavement foundation. These reactions can cause a range of problems dependent on the mode of attack such as volumetric disruptions (manifested as vertical and lateral heave of the pavement) and a loss of mechanical strength. This may result in insufficient support to the over-laying foundation that can result in the ultimate failure of the pavement foundation.

Where deleterious sulfate reactions have contributed to this loss of durability, the formation of ettringite and thaumasite is often found to be the cause. The formation of the highly expansive sulfate mineral ettringite, after the initial setting and hardening has occurred, (termed delayed ettringite formation, Taylor 2001, Neville 2004) can severely damage a stabilized soil layer and allied structures due to the expansive forces associated with its formation. This can result in a loss of structural integrity caused by microstructural damage to the cementitious matrix. The formation of thaumasite can not only cause damage due to expansive effects, but also breakdown the hydrated mineral elements associated with the strength giving properties of cemented materials (Hartshorn et al. 1999)

A number of case studies have been reported on the failure of stabilized soils through these mechanisms (Hunter, 1988; Mitchell and Dermatas 1990; Petry and Little, 1992; Rajendran and Lytton 1997; Rollings et al. 1999; and Puppala et al. 2001)

Current UK practice attempts to mitigate the risk by:

- Imposing limits on chemical constituents, both in the soil itself and surrounding environment;
- Utilising a number of laboratory test procedures to assess the durability and performance of the stabilised soil.

Historically, the durability of a stabilised material has been evaluated using the California Bearing ratio (CBR) linear swell test (BS 1924-2, 1990) which measures the strength of the soil after it has been subjected to a period of immersion in water. The soil is deemed

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suitable if it retains a minimum strength after soaking and does not exhibit a linear swell in excess of a defined maximum. European harmonisation of standards has seen the introduction of a number of alternative procedures:

- BS EN 13286-47 (2004) - Test method for the determination of California Bearing Ratio, immediate bearing index and linear swelling;
- BS EN 13286-49 (2004) - Accelerated swelling test for soil treated by lime and/or hydraulic binder;

The Manual of Contract Documents for Highways Works Volume 1 (MCHW1) Series 800 (Specification for Highways Works. Road pavements – unbound, cement and other hydraulically bound materials) in clause 880.4 also specifies a loss of strength on immersion test for the evaluation of HBM durability. The purpose of these tests is to simulate in the laboratory, the insitu conditions of the proposed mixture design. This then allows the engineer to evaluate the likely durability and hence suitability of the soil for stabilisation.

Preliminary work has been undertaken (Notman, 2008) which evaluated the relative pass/fail criteria of each test and the affect that workmanship has on the ultimate durability of stabilised soils.

Further work is required to more fully understand the relationship between the change in physical properties of the stabilised soil subject to deleterious processes and the fundamental chemical behaviour that gives rise to these processes.

## **1.2. AIM AND OBJECTIVES**

### **1.2.1. Aim**

The aim of this research is to provide a fundamental understanding of the link between the physicochemical properties of idealised 'artificial', laboratory blended soil samples and their mechanical/physical properties associated with the principal failure criteria of dimensional instability and mechanical weakening.

This aim will be achieved through the use of chemical and spectroscopic techniques to study the behaviour of a range of sulfated lime stabilised soils subject to the test conditions imposed by two laboratory swell tests:

- UK CBR Swell test - BS1924-2, 1990 (widely used in the UK); and
- Accelerated European volumetric swell test - EN 138642-49, 2004

### **1.2.2. Objectives**

In order to achieve the aim of the research the following objectives need to be met:

- I. Undertake swell testing on a range of sulfated lime stabilised soils using the British (BS 1924-2: 1999) and the Accelerated European swell test (EN 13286-49: 2004) to determine the macro-physical properties of these materials, namely linear expansion, soaked CBR value and volumetric expansion.
- II. Investigate the chemical response of the sulfated lime stabilised soils to the conditions imposed by the swell tests defined in Objective I, in particular those attributed to dimensional instability and mechanical weakening.
- III. Relate the underlying chemical behaviour to the observed macro-physical soil properties and investigate the factors affecting this relationship, in particular: clay mineralogy; sulfate concentration; temperature and duration of curing and immersion.

## **1.3. THESIS STRUCTURE**

### **Chapter Two – Background**

Provides a general introduction to soil stabilisation. It describes where soil stabilisation techniques can be used in the pavement foundation and the modern performance based design process used. Fundamental material characteristics, such as clay mineralogy, the basic chemical principles of soil stabilisation and the problems which can affect it are also reported

### **Chapter Three – Literature Review**

Provides a literature review of work undertaken on the formation of ettringite in cementitious systems and its role in the deterioration of lime stabilised cohesive soils. It considers the structure of ettringite and the mechanisms of its formation in Portland cements (both primary and secondary) by through-solution or topochemical reaction, in addition to current thermodynamic treatments of its formation. Material dependent parameters such as the effect of clay type, sulfate source, sulfate cation, temperature, void structure and binder type (in soil systems) are also considered. The swelling

mechanism is evaluated based on the two current expansion theories, namely: crystal growth vs crystal swelling theory. The laboratory tests used for the evaluation of sulfate swell and analytical techniques used to characterise the underlying chemical environment are also discussed

#### **Chapter Four – Materials and Methods**

This chapter describes the research strategy and the materials used in the study As well as the design procedure taken from current UK guidance and specifications to design of the twelve artificial soil test mixtures in addition to the swell tests used in the research. The analytical techniques used to characterise the phenomena of deleterious ettringite formation in lime stabilised cohesive soils are also described.

#### **Chapter Five – Macrophysical Property Testing**

The macro-physical results of the swell testing using the twelve artificial soil mixtures are reported. Correlations between observed volumetric stability, loss of mechanical strength and sulfate content are explored. Results are discussed in the context of the conditions imposed by the swell tests used in the research.

#### **Chapter Six – Microstructure and Phase Composition**

Presents the results derived from the analysis of the underlying chemical environment including the microstructural information of the soils subject to extensive swell testing. The quantification of important phases is reported in particular ettringite and monosulfate in all the soils. Analytical techniques used include: Quantitative X-Ray Diffraction (QXRD); Thermal Analysis (TA); Scanning Electron Microscope (SEM); Energy Dispersive X-Ray Spectroscopy (EDX)

#### **Chapter Seven – Interval Study using EN 13286-49**

This chapter reports both the physical response and compositional analysis of an 'early age' study using the European accelerated volumetric swell test. Using the high sulfate soil mixtures of both clays, the volumetric swell (Gv) is monitored for an extended period. Samples are taken at specific times to determine the how the microstructure and phase composition changes over time. Results are evaluated based on the physical response of the specimens in relation to the test conditions.

#### **Chapter Seven – Macrophysical, Microstructural Chemical Relationships**

This chapter brings the two strands of research together. That is, macro-physical swell testing and the analysis of the microstructure and phase composition. Correlations

between ettringite formation and the observed dimensional changes and loss of strength are derived. Material effects such as clay type and sulfate content are explored, as well as test specific conditions such as curing duration, temperature, and specimen type are considered.

### **Chapter Nine - Conclusions**

Presents the conclusions derived from the work and recommendations for future research.

## **2. BACKGROUND**

### **2.1. PAVEMENT STRUCTURE AND BASIC DESIGN CONSIDERATIONS**

A pavement is a composite structure made from layers of material that is designed to safely support the movement of passing vehicle traffic and dissipate the forces generated by the associated loading to the subgrade, without exceeding the supporting capacity of the subgrade (Wang, 2002).

Pavement structures are constructed using high volume, relatively low cost materials. As such, the pavement engineer can realise significant economic and environmental savings through careful selection of materials and rational design that meets the optimum performance and durability requirements of the scheme. As such many design options are available to the engineer, particularly, as recent revision of The Highways Agency Specifications for Highways Works (SHW) has resulted in the incorporation of Hydraulically Bound Mixtures (HBMs) into the specifications and design guidance. This allows the use of recycled and secondary materials in both standard designs and using non-standard 'analytical' designs subject to the appropriate additional testing and specification (HD26/06, DMRB, Vol 7 part 2 SHW).

There are three generic classes of pavement structure:

- Rigid – has a Pavement Quality Concrete (PQC) slab as the main structural layer
- Flexible – comprised entirely of unbound material and asphalt
- Composite – asphalt surface overlaying a hydraulically bound base

Figure 1 shows the basic structure of a pavement. Generally the performance (in terms of strength and stiffness), and hence cost of the pavement layers increases nearer to the surface, as the forces they are required to withstand increase. As a result of this, the required thickness of the upper layers also tends to be lower.

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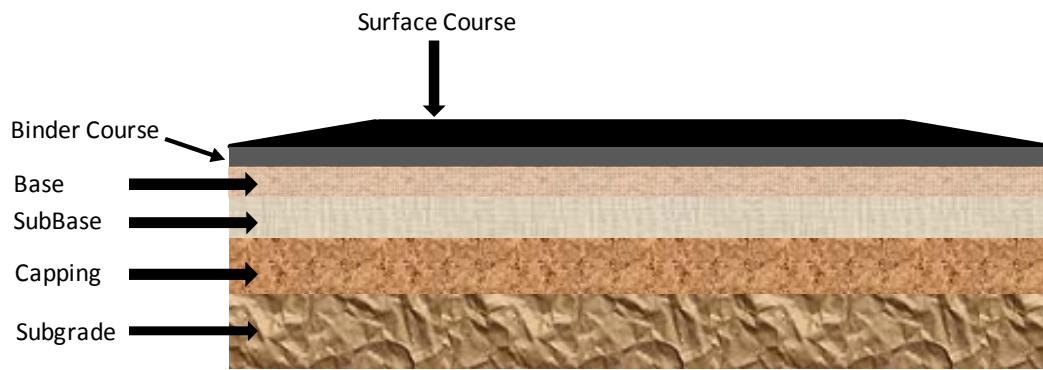


Figure 1: General pavement structure

The choice of structure is dependent on a number of factors. The requirements of a high-speed highway will be different from a coach and lorry park and again different from a rural road. The loading on to the pavement will depend on the type of vehicle passing over it, the frequency of this traffic and the required service life, which may also differ. The selection of materials for construction also depends on local availability, as the transportation (particularly on the road network) of huge volumes of material could be prohibitively expensive and environmentally damaging. The 'embodied energy' of the materials used is also an important factor. Embodied energy is the sum of the energy required to extract, process/manufacture, transport and use a particular material or product and represents an environmental debt. For example Pavement Quality Concrete (PQC) has an embodied energy of 750 – 1000 MJ/t, whereas sands and gravels are 5 – 10 MJ/t due to the high temperatures associated with the production of cement clinker.



Table 1 summarises the requirements that a pavement must meet (Thom, 2008).

Table 1: Design requirements of a pavement

Requirement	Description
Protect the subgrade	Natural ground generally is not strong enough to bear the traffic load directly without deforming and rutting. It must have sufficient thickness and stiffness to distribute the load from the vehicle over a wide enough area not to cause excessive subgrade deformation
Resist deformation of pavement layers	The materials throughout the pavement structure must be stable enough to not suffer deformation
Resist cracking of pavement layers	The internal strength must be such that excessive cracking does not occur under traffic loading
Resist environmental degradation	The materials of the pavement must maintain their properties over the design life under action from the environment (e.g. rain, cold weather, attack from chemical agents)
Provide a suitable surface	Adequate skid resistance, evenness and noise
Ensure maintainability	The design must ensure that the pavement can be maintained within acceptable limits and cost.

The philosophy of the analytical design approach of a pavement is an iterative step-wise procedure that ultimately results in a pavement design that meets the requirements described in Table 1 and is summarised as follows (HD26/06, SHW 2006):

- Identify the pavement life requirements in terms of traffic loading using an equivalent number of 'standard axle' loads (i.e. 40kN loads)
- Consider the available and permitted materials for construction
- Estimate the insitu dimensions (subject to minimum layer thicknesses) and long-term performance characteristics (material stiffness and/or strength) of each individual layer of pavement material
- Undertake structural analysis using for example a multi-layer linear elastic model of the pavement structure
- Compare the critical stresses/strains and/or deflections, with allowable values
- Make adjustments to the insitu dimensions until pavement life requirement is achieved
- Consider the whole life value of the resultant pavement design

Stabilised soils are considered Hydraulically Bound Mixtures (HBMs) and are, as such, subject to this design approach. They are given the designation Soil Lime (SL) or Soil

Cement (SC) in the European standards (BS EN 14227: 2006). Stabilised soils are introduced in Section 2.4.

## 2.2. COMPOSITION OF NATURAL SOILS

Knowledge of soil properties and behaviour is key to understanding soil stabilisation. The following section summarises the formation of natural soils, particularly the clay minerals with are of fundamental importance to this research.

Natural soils are formed from mechanical and chemical weathering of their parent rocks. Abrasion by natural forces, such as ice water and wind, breaks down the rock into successively smaller fragments. Where the weathering products remain in place, they are termed residual soils. If the weathering products are moved elsewhere (again by the action of natural forces) they are termed transported soils. The mechanical processes involved in the movement of these soils influence their characteristics. Residual and transported soils are further altered in place by continued weathering, resulting in layers of deposited material known as horizons. Chemical weathering alters some rock minerals and selectively removes others, resulting in a chemically different material.

The action of water underpins many weathering processes. It dissolves carbon dioxide, organic acids (products of the decomposition of organic matter) and other salts as it flows through the ground, altering rock minerals as it goes. Hydrolysis, cation exchange, chelation, oxidation and carbonation also contribute to the weathering of parent rock to form soils. Ultimately, all the characteristics and engineering properties of soils are determined by a combination of these processes, as mechanical and chemical weathering effects rarely act alone (Rollings and Rollings, 1996).

The weathering processes result in a distinctive profile of natural soils of varying thickness. This is shown in Figure 2.

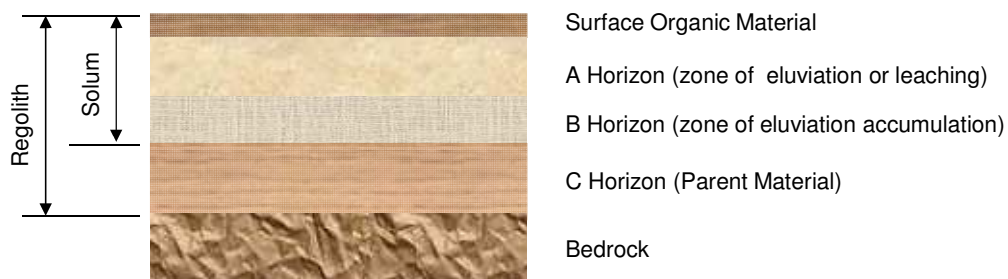


Figure 2: Typical soil profile (Rollings and Rollings, 1996)

Below the top surface comprising mainly organic material, the A horizon is formed by a process of eluviation, where soluble organic constituents (decomposition products of organic matter) and clay size particles are removed by percolating water. This leached material accumulates along with weathering products from the A horizon to form the B horizon. Beneath this the unaltered parent material known as the C horizon and underneath this is the unaltered soil or bedrock. Horizons A and B are the layers which support plant growth and are termed the Solum. The Regolith is the uncemented material above the bedrock. Problems with soil stabilisation can occur due to the accumulation of particular minerals within the B horizon.

As a result of the geological processes from which soils are derived and the continual action of these processes, soils exhibit a high degree of spatial inhomogeneity, which the pavement engineer must take into consideration when designing a pavement structure. With reference to soil stabilisation in the UK, the Highways agency has issued an advice note, HA4/07 (SHW, 2007). In addition, the body representing soil stabilisation practitioners, Britpave has issued advice/technical notes, best practice guidance and case studies to assist the engineer (Britpave, 2012).

## **2.3. CLAY MINERALOGY**

### **2.3.1. Formation of clay materials**

Clay minerals can be considered the characteristic minerals of the Earth's near surface hydrous environment, because the majority of the processes that lead to their formation and alteration involve the chemical actions and movement of water (Reeves et al, 2006). There are four principal environmental processes that result in the formation of the clay minerals:

- Weathering – the alteration of rocks and the minerals they contain by the atmosphere, hydrosphere and biosphere
- Sedimentation – the process in which material is eroded, mixed and deposited as sediments by water, wind and ice
- Diagenesis/low-grade metamorphism – these physical and chemical transformations take place in the presence of complex fluids in which salt solutions, hydrocarbons and gasses are mixed. During diagenesis, highly porous soft sediments are transformed into less porous coherent rocks by the action of compaction and cementation. Low-grade metamorphism is the further alteration of these rocks by increased pressure and elevated temperatures (<320°C)

- Hydrothermal alteration – processes occurring between during the interaction of heated water and rock.

How these processes relate to each other can be understood in terms of the clay cycle. This begins with the formation and accumulation of clay minerals in soils by the weathering of rock and glassy volcanic ash. The erosion and transport of clay minerals leads to their disposition by sedimentation and results in them being the major components of muds in sedimentary basins. Further layering results in the burial of the mud which as a result of physical and chemical changes results in transformation into mudstones and shales. The action of tectonic forces transforms these into slates which over time may be brought to the surface by tectonic uplift where the cycle begins again. A summary of the major British clay-rich formations and deposits that have major engineering and economic importance is given in Table 2. Due to the nature of its formation, clay deposits will be present in areas of the UK that have been subject to glaciation in the past.

Table 2: Stratigraphy of major British clay formations (reproduced from Reeves et al, 2006)

Period	Age (million years before present)	Formation/Deposit
Quaternary	1.8 to present	Till, Head, Brickearth, Lacustrine deposits, Alluvium
Tertiary	23.8 to 1.8	Ball clay, china clays
	65 to 23.8	Barton Clay, London Clay, Lambeth Group, Ormesby Clay
Cretaceous	142 to 65	Gault, Atherfield Clay, Weald Clay, Wadhurst Clay, Speeton Clay
Jurassic	206 to 142	Kimmeridge Clay, Ampthill Clay, West Walton, Oxford Clay, Kellaways, Fuller's Earth Clay, Frome Clay, Whitby Mudstone, Charmouth Mudstone
Permo-Triassic	290 to 206	Penarth Group, Mercia Mudstone Group,
Carboniferous	354 to 290	Erturia Marl, Coal Measures, Bowland Shale

The Mercia Mudstone group and Lower Lias clay run through the East Midlands and have a major impact on highways and infrastructure engineering in this area.

Table 3 is adapted from Cripps and Taylor (1981) and shows some of the engineering properties of UK mudrocks, in particular, the percentage clay fraction (taken as that which passes a 2  $\mu\text{m}$  sieve). Maximum and minimum values for each parameter are shown where provided.

Table 3: Engineering properties of UK mudrocks (adapted from Cripp and Taylor, 1981)

Formation		Liquid Limit $w_L$ %		Plasticity index $I_p$ %	Clay fraction $<2\mu\text{m}$
		Weathered	Unweathered		
Palaeogene	Barton Clay	45-82	-	21-55	25-70
	London Clay	66-100	50-105	40-65	40-72
Cretaceous	Gault Clay	70-92	60-120	27-80	38-62
	Weald Clay	42-82	55	28-32	20-74
Jurassic	Kimmeridge Clay	-	70-81	24-59	57
	Middle Oxford Clay	-	58-76	31-40	35-70
	Lower Oxford Clay	-	45-75	28-50	30-70
	Fullers Earth	41-77	100	20-39	38-68
	Upper Lias Clay	56-68	53-70	20-39	55-65
	Lower Lias Clay	56-62	53-63	32-37	50-56
Triassic	Mercia Mudstone	25-60	25-35	10-35	10-50

The determined clay fraction can vary depending on the method of analysis. Percentage clay values determined by Particle Size Distribution (PSD) using the British standard methods can be smaller than those determined by mineralogical analysis, particularly for mudrocks of the Mercia Mudstone group. Davis (1967) quoted percentage clay content by mineralogical analysis of 60 % to 100 %, compared to PSD analysis of 10 % to 40 % and quoted values for the aggregation ratio,  $A_r$  (ratio of clay content from mineralogical analysis to clay content from PSD analysis) of 1.4 to 10.0. Suggesting that full disaggregation using the methods in the PSD analysis was not achieved. For mudrocks of the Mercia Mudstone group, it was suggested by Chandler (1967) that carbonate was acting as a cementing agent, preventing disaggregation (Hobbs et al, 2002) with the agglomerated clay particles remaining as a silt size fraction. However, for the purposes of assessing clay contents of UK soils for the design of an artificial soil to be used in the

research, Table 3 provides useful information. Clay contents range from as low as 10 % (Mercia Mudstone) to as high as 74 % for Weld Clay.

### 2.3.2. Structure and swelling characteristics

Clay minerals are hydrous aluminosilicates characteristically found in the clay fractions of sediments and soils, distinguished by both their mineralogy and particle size (generally < 2 microns). The majority have sheet silicate structures. These consist of composite layers derived from two fundamental building blocks, tetrahedrally co-ordinated cations of Silicon (Si) and Aluminium (Al), (Figure 3) and octahedrally co-ordinated cations (mainly  $\text{Fe}^{3+}$ ,  $\text{Fe}^{2+}$ ,  $\text{Al}^{3+}$  and  $\text{Mg}^{2+}$ ) shown in Figure 4.

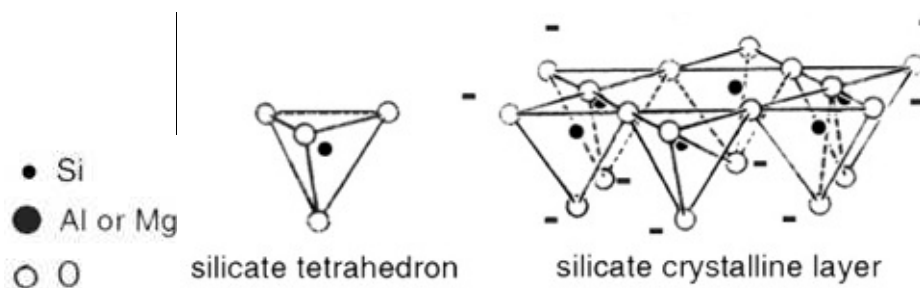


Figure 3: Tetrahedrally co-ordinated Si and Al (reprinted from Grim, 1968)

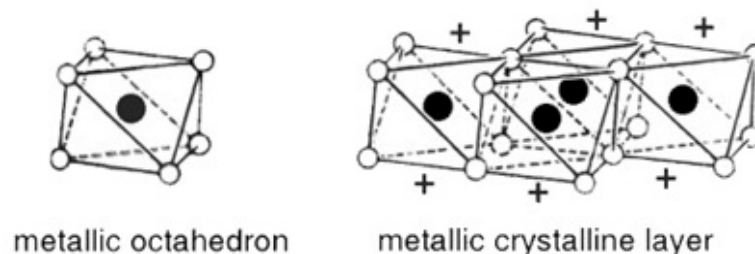


Figure 4: Octahedrally co-ordinated cations (reprinted from Grim, 1968),

The composite layers stack together and are linked by cations and co-ordinated water molecules in the interlayer sites. All silicate sheets exhibit polymorphism resulting from different stacking modes of the composite layers. It is this polymorphism that gives rise to the various clay groups (Reeves et al, 2006). Two fundamental composite layer structures exist in the clay minerals:

- the two layer or 1:1 silicate sheet structures represented by the kaolin and serpentine groups;
- the three layer or 2:1 type silicate sheet structures represented by the illite-mica, smectite, vermiculite and chlorite groups.

These general structures of the clay minerals are illustrated in Figure 5. It can be seen that clays with a 2:1 type structure have interlayer sites that may be occupied by water and various cations.

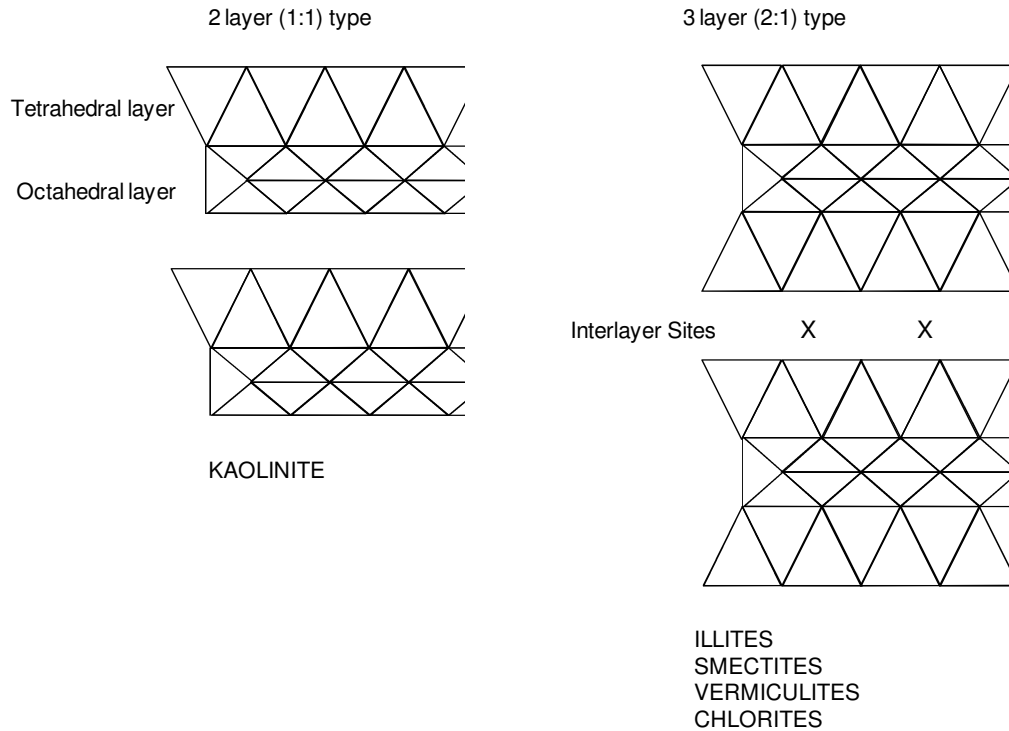


Figure 5: General structures of clay minerals (Reeves et al, 2006)

Two layer silicate sheets consist of an octahedral sheet linked to a tetrahedral sheet. Three layer sheet silicates consist of an octahedral sheet between two tetrahedral sheets. The interlayer sites can be occupied by cations such as potassium (illites), calcium and sodium (smectites), and magnesium (vermiculite). Chlorites have a second octahedral layer in the interlayer sites. Water and organic molecules are also drawn into the interlayer sites due to the net negative charge resulting from 2:1 clay minerals. Hydration of the interlayer cations causes interlayer crystalline swelling and is particularly characteristic of the smectites. The classification and swelling characteristics are presented in Table 4.

Table 4: Classification of clay minerals

Silicate Sheet type	Clay	Swelling Property
1:1 Type	Kaolin	No
2:1 Type	Illites	No
	Smectites (Montmorillonites)	Yes
	Vermiculites	Yes
	Mixed layer clays with smectite/vermiculite	Yes
	Palygorskite and sepiolite	No
	Chlorites	No

Clay minerals exhibit a propensity to exchange cations at their particle edges in aqueous solution. This is termed cation exchange and has a significant effect on the behaviour of clay soils.

Idealised 1:1 layered clays have neutral composite layer structures, but typically have a small net negative charge offset by a degree of cationic substitution. 2:1 layer clays have a net negative charge on their composite layers due to the following cationic substitutions:

- $\text{Al}^{3+}$  for  $\text{Si}^{4+}$  and  $\text{Fe}^{2+}$ ;
- $\text{Mg}^{2+}$  for  $\text{Al}^{3+}$  and  $\text{Fe}^{3+}$

When suspended in water some of the cations at the clay surface are solvated, decreasing their attraction to the surface. This results in a decrease in the cation concentration with distance from the clay particle. This diffuse double layer is described by the Gouy-Chapman theory (Gouy, 1910 and Chapman, 1913) and is illustrated in Figure 6. The thickness of the diffuse double layer ( $d$ ) is defined as the distance from the clay surface where the net charge is zero.

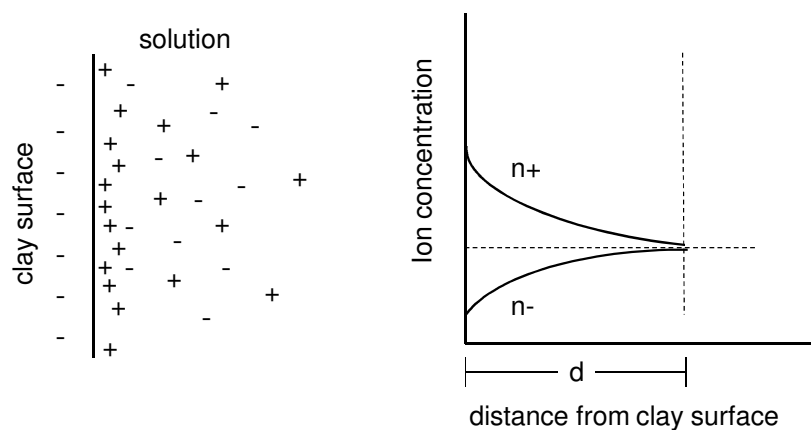


Figure 6: Gouy-Chapman diffuse double layer



The thickness of this diffuse double layer has a major effect on the swell-shrinkage properties of clay minerals. For example, clays with a 2:1 layer structure, such as montmorillonite, have a high cation exchange capacity, which results in a large diffuse double layer. This allows the clay materials to absorb very high amounts of water at the clay surface, which in turn pushes the clay particles apart. This results in swelling properties observed in these clays, which are typically highly plastic, with very high liquid limit values derived from Atterberg testing. On the other hand, 1:1 clay for example Kaolin has a low cation exchange capacity and hence smaller diffuse double layer. This results in much reduced absorption of water at the clay particle surface, such that these clays are considered non-swelling.

Upon the addition of lime to wet clay, cations that are electrically attracted to the clay surface are replaced by calcium ions. This changes the net charge of the clay particle and results in greater intraparticle attraction (or a reduction in the repulsive forces) resulting in the formation of clay floccs. This is termed cation exchange. The degree to which a clay mineral will exchange cations on the surfaces and edges is measured by the cation exchange capacity. Table 5 shows the cation exchange capacities for some of the main clay minerals.

Table 5: Cation exchange capacities of some clay minerals (Drever, 1985)

Clay	Cation Exchange Capacity (meq/g) at pH = 7
Smectite (montmorillonite)	80 - 150
Illite	10 - 40
Kaolin	1 - 10
Chlorite	<10

The calcium from the lime is effectively consumed as it is electrostatically bound to the clay particle surface and is not available to undergo cementitious reactions. The amount of lime required to overcome this affinity is termed the lime fixation value (Bell, 1996) or Initial Consumption of Lime (HA74/07, 2007) and is determined in the laboratory using the Eades and Grim test (Eades and Grim, 1966). This is important since it defines how much lime is required to stabilise a particular material (section 2.4.2).

The change in particle behaviour on the addition of lime manifests itself with a marked reduction in its plasticity and unit density.

## 2.4. SOIL STABILISATION

### 2.4.1. Definition and applications

Soil stabilisation can be defined as the enhancement of the engineering properties of a soil by blending in a chemical or granular additive (McNally, 1998). Engineering properties improved by the application of soil stabilisation techniques include increases in:

- stiffness – resistance to permanent deformation
- strength – increase in bearing capacity and shear resistance
- durability – performance over service life

In addition, improvements in soil specific properties such as swelling potential, reduction in plasticity, water content and dispersivity may also be achieved.

Soil stabilisation allows insitu material to be used as either a temporary or permanent structure in engineering works. Applications include:

- pavement foundations (capping and subbase)
- temporary working platforms

- sea defences
- slope stabilisation.

Under UK specifications (Specifications for Highways Works, SHW), if a pavement foundation is to be constructed on a weak subgrade (CBR <5%), a stabilised capping layer (with improved engineering performance) can be constructed, so that sufficient support is provided to the overlying pavement. This incorporates the insitu material into the final works and also allows a reduction in the thickness of the overlying layers (McNally, 1998). Economic and environmental benefits are realised through a reduction in primary material use, fewer lorry movements, avoidance of landfill tax (associated with the disposal of excavated material) and reductions in embodied energies (Britpave, Case Studies 1 – 10, 2010 – 2011). Thus the aims of stabilisation are:

- Reduction in construction time by improving early traffic-ability of poorly performing insitu material
- Performance enhancement of marginal material, such as an increase in tensile strength of a sub-base material to meet base-course performance requirements
- Subgrade improvement through reduction in water content and increase in stiffness, reducing the thickness of overlying pavement layers
- Reduction in permeability to the subgrade, particularly at road shoulders where permeable bases comprising coarse graded crushed rock is used.

The blending of two marginal soils together to produce a product with an improved grading profile and thus greater mechanical interlock is termed mechanical stabilisation. The addition of supplementary materials, which act on the soil through chemical processes/transformations, is called chemical stabilisation. The choice of stabilisation route will be dependent on the insitu soil composition, intended use, climatic considerations (particularly where cement is to be used), economic factors and availability of suitable equipment and trained operators. Table 6 is adapted from McNally (1998) and summarises the different types and engineering improvements that can be achieved using stabilisation techniques.

Table 6: Applications of soil stabilisation (adapted from McNally, 1998)

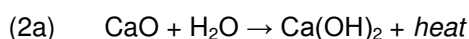
Type	Process	Effects	Suitable For
Granular	<i>Mechanical stabilisation</i> - blending two poorly grades soils, e.g. coarse into fine (not clayey)	higher compacted density, more uniform mixing, increased shear strength	gap-graded/gravel deficient
Cement	small amount (ca. 2%) - <i>modification</i> . Larger proportions (up to 16%) – cement <i>binding</i>	increased stiffness, shear and tensile strength, reduces moisture sensitivity	Most soils, especially granular. Large binder additions required in clay-rich and poorly graded sands (expensive)
Lime	Small amounts of quicklime or hydrated lime into soil	Increased bearing capacity, reduces water content, reduces shrinkage and plasticity	Cohesive soils, particularly wet, high Plasticity Index soils
Lime-Pozzolan	Lime plus hydraulic binder (e.g fly ash, granulated slag, cement kiln dust) mixed into soil	Similar to cement, slower strength development, but autogenic healing	As for cement, plus clayey soils that don't react with lime
Bitumen	Agglomeration, coating and binding of granular particles	Waterproofs, imparts cohesion and stiffness	Granular, non-cohesive soils in hot climates

In the UK, insitu stabilisation techniques for the production of capping layers have been specified since 1976 (Sherwood, 1992). Typically in a pavement foundation, lime only stabilisation is limited to the production of capping layers. However, using a combination of lime and cement, the additional structural integrity allows these stabilised soils to be used higher up in the pavement foundation at subbase level (HA74/07, 2007).

#### 2.4.2. Chemical principles of lime stabilisation

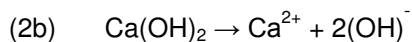
The use of lime either as calcium oxide (CaO) known as quicklime, or calcium hydroxide (Ca(OH)<sub>2</sub>), known as slaked lime, is classified as chemical stabilisation. There can be considered two distinct phases of soil stabilisation using lime. The first is modification and the second stabilisation.

Modification in this context refers to the alteration of particular soil properties. When lime (particularly quicklime) is mixed into the soil, the hydration to calcium oxide consumes water, drying the soil according to (2a) and is further dried by the heat evolved during this hydration process

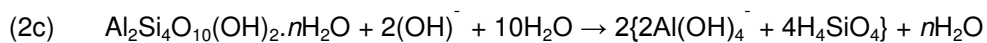


In addition to drying of the soil, the clay particles also undergo cation exchange processes at clay particle edges. This has the effect of reducing the inter-particle repulsive forces, increasing the tendency to flocculate. This is manifested by an increase in the measured plastic limit and a reduction in unit density of cohesive soils treated with lime (HA74/07). The resulting material has improved workability, particularly marked when stabilising heavy clays such as london and gault clay, due to their high cation exchange capacities (Reeves et al, 2006).

If the amount of lime added to the soil is sufficient, then stabilisation of the soil may take place. This is termed a pozzolanic effect. A pozzolana can be defined as a material that can react with lime and water at ambient temperatures to produce a cementitious material (Sherwood, 1992). Sufficient lime (also termed the fixation level or initial consumption of lime) is added to the system when the pH of the soil reaches pH 12.4 as a result of the disassociation of calcium hydroxide (2b):



This is determined experimentally using Eades and Grim test, which is more recently referred to as the Initial Consumption of Lime (ICL) test (see Section 684.2.1). When the material is then compacted, under this highly alkaline environment, the aluminosilicate sheets of the clay become soluble at pH >10.4. Optimum solubility is achieved at pH 12.4 (Bell 1996). The disassociation of clay minerals under alkaline conditions is shown in (2c):



This then enables the solubilised clay particles to react with free calcium ions in solution to form calcium silicate hydrates (CSH), calcium aluminate hydrates (CAH) and calcium aluminium silicate hydrates (CASH), usually described using general formulas. These are groups of cementitious products that may be final products or meta-stable intermediates, the co-dependent reactivity of which contributes to the complexity and difficulty in the study of cementitious systems. For example the hydrated minerals with cementitious properties:  $\text{C}_4\text{AH}_{13}$ ;  $\text{C}_3\text{AH}_{11}$ ;  $\text{CAH}_{10}$ ;  $\text{C}_3\text{S}_2\text{H}_3$  and  $\text{C}_2\text{ASH}_8$  (see List of Terms and Abbreviations for definition of cement nomenclature) have all been identified in stabilised soils (Bell, 1996).

These cementitious products are equivalent to those formed during the hydration of cement pastes (see Chapter Three). The insoluble hydrates form a cementitious matrix which fills void spaces within the soil to encompass unreacted clay particles. Subsequent curing of this cementitious matrix results in material with increased engineering performance. This is illustrated in Figure 7:

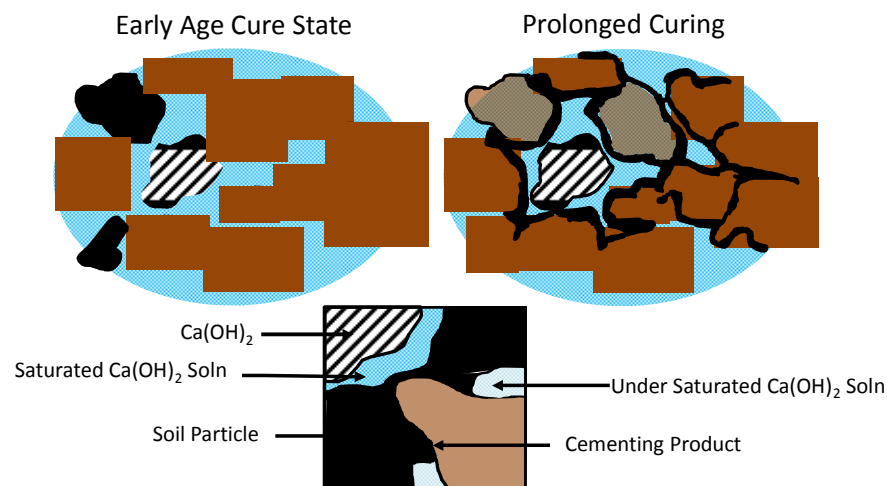


Figure 7: The development of a cementitious matrix in lime stabilised clay soil (adapted from Locat et al, 1990)

So long as the soil remains alkaline ( $\text{pH} > 10.4$ ) the dissolution of clay minerals and subsequent pozzolanic reactions will continue. The strength of the soil will then also continue to increase with time for months or even years after mixing. The ultimate effect of lime stabilisation is dependent on the soil composition, lime content, clay mineralogy, curing temperature and soil pH (Mohamed, 2000).

### 2.4.3. Deleterious processes affecting stabilised soils

With respect to stabilised soils, a deleterious process can be defined as one which adversely affects the performance and durability of the stabilised soil, resulting in a reduction in service life or complete failure of the pavement. This can then result in the need for additional maintenance or rehabilitation works significantly increasing the whole life cost of the pavement.

Deleterious processes can be divided into two groups. Those derived from the action of environmental conditions such as frost and water damage, and those derived from the action of chemical agents either already present in the soil, or transported there by mobile groundwater.

The action of frost damage is climate dependent. In those countries where it may occur, water contained in the pore spaces of the pavement layer freezes with an accompanying increase in volume resulting in crystallisation pressure. This damages the microstructure resulting in a loss of strength. The accompanying drop in vapour pressure (along with other mechanisms) then draws more water into the void spaces. Successive cycles of freezing and thawing can then result in the formation of ice lenses that are associated

with heave of the soil (Yongtang and Deng, 2008). The risk of frost heave is mitigated by specifying that all pavement materials used within 450 mm of the surface must be frost resistant (Thom, 2008).

The influence of water on pavement performance and hence design is significant. The long-term equilibrium water content of subgrade soils and the position of the water table is a major obstacle for pavement engineers. The effect of water on the subgrade can be dramatic. Soil behaviour in terms of stiffness and strength is very different at its plastic and liquid limit. The subgrade may exhibit significant loss of strength and stiffness due the phenomenon of subgrade softening when the water content of the soil rises. This can result in lack of support to the overlying pavement layers causing permanent deformation of the pavement under loading. It would be extremely difficult, if not impossible to prevent water entering the pavement or subgrade it is built on. Therefore the effects of water ingress are mitigated by making adequate provision for drainage and implementing a suitably robust design to minimise the variability of the subgrade.

Damage to pavements can also occur through the action of chemical agents whose reactions result in disruptive dimensional changes, commonly termed soil heave (Sherwood, 1962) and/or attack on the cementitious matrix itself (Snedker and Temporal, 1990; Neville, 2004).

One of the major deleterious processes that affect stabilised soils is sulfate heave. This occurs when sulfate minerals already present in the soil or transported there by mobile ground water, react with the lime and/or cement used in the stabilisation along with alumina from dissolved clay particles to form expansive minerals called Ettringite, Monosulfate and Thaumassite. When these minerals form after the stabilised layer has been mixed and compacted, they exert pressure on the soil, because they have a greater unit volume than the reactants they were derived from (Little, 2010). This results in volumetric expansion known as sulfate heave and causes significant damage to the entire pavement structure, which can manifest itself as both transverse and longitudinal ridges and cracks in the pavement surface, as well as discreet areas where the strength loss in the foundation is so severe that significant permanent deformation can occur (Section 3.2). The phenomenon of sulfate heave in stabilised soils is considered in detail in Chapter 3.

## **2.5. SUMMARY**

The technique of soil stabilisation can be used to produce a capping layer from a weak sub-grade that has improved engineering performance and durability properties. This can

be achieved by mixing a hydraulic binder (and sometimes other pozzolans) into the soil at optimum water content, then compacting to form a stabilised layer. This is most commonly done on clay subgrades. The action of lime can be differentiated into modification and stabilisation processes. Table 7 summarises the main effects that addition of lime can have on clay soils.

Table 7: Effect of lime addition to cohesive soils

Process	Soil Property	Effect	Benefit
Modification	Water Content	Addition of quicklime (CaO) dries wet soils due to the heat evolved during hydration of CaO and the consumption of water during hydration	Improves workability of soil when wet of Optimum Water Content (OWC)
	Plasticity	Cation exchange reactions reduce plastic character. Increased friability of clay observed.	Improves workability of clay. Particularly highly plastic 2:1 layer clays
Stabilisation	Optimum Water Content*	OWC increases with lime addition	Compaction at OWC may be easier particularly if insitu material is wet already
	Strength/Stiffness	Both increase due to formation of cementitious hydrates form matrix in the soil due to pozzolanic effects	Increased engineering performance (strength, stiffness, reduced permeability)
	Maximum Dry Density (MDD)	MDD reduces due to flocculation and pozzolanic effects	Performance not adversely affected as reduction in MDD is offset by increase in structural integrity due to formation of cementitious hydrates

Key: \*- for maximum dry density

The clay minerals are formed from sheets of tetrahedrally and octahedrally co-ordinated alumina and silica units. The sheet structure and the cations that can occupy any interlayer sites define their properties such as swelling characteristics and cation exchange capacities. The performance and durability of the stabilised layer is affected by the environmental conditions and chemical environment it is exposed to. The action of freezing and thawing, water saturation and adverse chemical reactions can all lead to failure of the foundation layers and ultimately the whole pavement structure. Sulfate minerals can react with the lime and clay to form new minerals with expansive



characteristics. These can damage the stabilised layer. This process is termed sulfate heave.

## **3. LITERATURE REVIEW**

### **3.1. INTRODUCTION**

As briefly touched upon in chapter two, the formation of hydrous calcium sulfoaluminates (in this case ettringite and monosulfate) can have a significant impact on the physical properties and behaviour characteristics of lime stabilised soils. Knowledge of the mechanisms by which these compounds form and subsequently damage the micro-structure of materials, has been attained from the study of what are generically termed Portland cements and other 'simpler' cementitious systems. As such, this literature review considers the relevant work previously undertaken in the field of cement chemistry, as well as that of the direct study of soil systems. This chapter describes some of the pavement failures attributed to deleterious mineral formation. It describes the structure of the relevant hydrous calcium sulfoaluminates and the mechanisms of their formation and expansion. It considers the previous studies of expansive mineral formation, both in cement and soil systems, as well as current damage mitigation measures relating to their formation in cementitious materials.

### **3.2. CASE STUDIES OF SULFATE HEAVE FAILURES**

Pavement failures attributed to the formation of ettringite are numerous (Mitchell, 1986; Hunter, 1988; Perrin, 1992; Kota et al, 1996; Snedker and Temporal, 1990; Puppala, 1999; and Rollings et al 1999; Cerato & Miller, 2011). A number of factors were common to all of these:

- a source of sulfate - either as a sulfate salt or oxidisable deposit
- sufficient water - provided by ingress of rain water run-off through areas of pavement weakness, or by dynamic movement of ground-waters
- a source of reactive alumina - generally provided by the dissolution of clay minerals
- alkaline conditions resulting from the use of a calcium based activator (typically lime) or sufficient cement.

Pavement failures in which the failure was attributed to deleterious sulfate mineral formation in the stabilised soil layer, commonly exhibited a number of similar features. In particular, there were discrete areas of lateral and vertical heave of the pavement

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structure, frequently in areas where water ingress is likely, as well as a significant loss of strength and corresponding loss of support to overlaying pavement layers. Snedker and Temporal (1990) showed in their investigation of the M40 to Banbury failure, that excessive sulfate contents of the insitu material, were not detected during the initial material suitability testing. The laboratory sulfate test used at the time was unable to detect the contribution reduced forms of sulfur (in this case pyritic deposits) can make to the total sulfate content of the soil. These can oxidise when the ground is disturbed during the stabilisation process, to form sulfate salts (Hawkins and Pinches, 1997).

Cerato et al (2011) reported on the failure of Oklahoma State Highway 412. Following lime stabilisation of the subgrade, the stabilised layer heaved. This was observed as undulations in both the subgrade and road surface. The pavement was constructed through an area in which significant quantities of gypsiferous deposits had accumulated in the insitu soil through natural geological processes. The failure investigated by Rollings et al (1999) involved the development of discrete areas of heave that were 3.1 m wide and up to 63 mm high. Excavation to the cement stabilised base course found expansion and cracking of this layer was the cause of the failure. Water samples taken from a well used in the construction contained appreciable levels of sulfate – approximately 10% sulfur (expressed as  $\text{SO}_3$ ). The composition of the soil which comprised the base course was reported as a clayey sand, that had clay sized particles of up to 13% that were composed of clay minerals relatively rich in alumina. In the failure investigated by Hunter (1988), vertical heave of up to 30 cm was observed in some sections of the Stewart Highway in Las Vegas. Areas of degradation often corresponded with construction joints, drainage structures and areas of standing water resulting from the run-off from nearby buildings. Deterioration was also observed in areas where the clay content was as little as 10%.

In the case studies reviewed, the use of lime ( $\text{CaO}$ ), slaked lime ( $\text{Ca(OH)}_2$ ) or cement, provided conditions that were sufficiently alkaline, to promote the dissolution of reactive alumina. The formation of expansive calcium sulfoaluminates, typically identified as ettringite and thaumasite, was promoted by sufficient quantities of water entering the stabilised layer. The binder addition was of sufficient quantity to maintain the necessary alkaline conditions so that they also remained stable enough to cause expansion and damage to the pavement.

### **3.3. STRUCTURE OF ETTRINGITE AND MONOSULFATE**

The ettringite group of natural minerals have the generalised formula  $\text{Ca}_6\text{X}_2\text{Y(OH)}_{12}\cdot 24\text{ZH}_2\text{O}$ , where X can be Al(III), Cr(III), Mn(IV), Fe(III), or Si(IV). Y can be

$\text{SO}_4^{2-}$ ,  $\text{CO}_3^{2-}$ ,  $\text{BO}_3^{3-}$ , and  $\text{OH}^-$ . The sulfate ion ( $\text{SO}_4^{2-}$ ) can be partially replaced with carbonate ( $\text{CO}_3^{2-}$ ) and silicon(IV) ions to form thaumasite ( $\text{Ca}_3\text{Si}(\text{CO}_3)(\text{SO}_4)(\text{OH})_6 \cdot 12\text{H}_2\text{O}$ ). When the cation is Mn(IV+), jouravskite is formed ( $\text{Ca}_3\text{Mn}(\text{SO}_4, \text{CO}_3)_2(\text{OH})_6 \cdot 12(\text{H}_2\text{O})$ ). These examples illustrate relative ease that other ions can be substituted into the ettringite structure.

Formation of ettringite in cementitious systems belongs to an ettringite sub-group, whose occurrence in nature is relatively rare (Taylor, 1973). However, it occurs in both the early and late stages of Portland cement hydration (Gougar, 1996) and in stabilised soils of certain composition and environmental conditions. It occurs in a large number of phase's dependent on chloride, carbonate and alkali substitutions (Taylor 1990).

While ettringite plays a significant role in both the deterioration of cement and stabilised soils. Related to it, but not in the ettringite group is monosulfate. Both are calcium sulfoaluminate hydrates, but monosulfate is not considered to be deleterious (Clark and Brown, 1999; Mitchell and Dermitas, 1990; Ouhadi and Yong, 2008). Ettringite is a trisulfate phase, while monosulfate has a single sulfate anion per molecule and is simply referred to as monosulfate (the former given the designation AFt and the latter AFm). These can be considered high and low sulfate phases respectively (Smolczyk, 1961). They have both different structures and chemical properties.

The AFt trisulfate form of ettringite has the chemical formula  $\text{Ca}_6[\text{Al}(\text{OH})_6]_2(\text{SO}_4)_3 \cdot 26\text{H}_2\text{O}$  (or  $\text{C}_6\text{A}\bar{\text{S}}_3\text{H}_{32}$  in cement chemistry nomenclature). Its crystals are hexagonal prisms which are highly elongated or acicular (Moore and Taylor 1970). The crystal has two distinct structural components: columns of  $\{\text{Ca}_6[\text{Al}(\text{OH})_6]_2 \cdot 24\text{H}_2\text{O}\}^{6+}$  and channels of  $\{(\text{SO}_4)_3 \cdot 2\text{H}_2\text{O}\}^{6-}$ , (Gougar et al, 1996). The columns consist of  $\text{Al}(\text{OH})_6^{3-}$  octahedra alternating with triangular groups of edge-sharing  $\text{CaO}_8^{6-}$  polyhedra. Hydrogen atoms from co-ordinated water molecules make up the cylindrical surface of the columns. The channels contain four sites per formula unit of the column structure which contains six calcium atoms. Three of these sites are occupied by  $\text{SO}_4^{2-}$  ions and the remaining site by two by  $\text{H}_2\text{O}$  molecules. The large number of ettringite phases is possible because of the extensive substitution afforded by the column and channel-like structure (Baur et al, 2003). The hydrogen bonding network of AFt and its role in the stabilisation of the ettringite structure is provided by Hartman and Berliner (2005). The column structure of AFt is shown in Figure 8A and the cross-section showing the channel sites in Figure 8B.

Figure 8: crystal structure of ettringite. (A) Structure of ettringite column, one-half unit cell. Structure is parallel to the c crystallographic axis. (B) View of a–b plane. Circles represent ettringite columns; regions between columns are channels containing water and sulfate molecules (after Cody et al, 2004)

Monosulfate (AFm) does not form acicular crystals, but lamellar structured, hexagonal, or pseudohexagonal plates with the general formula  $C_4(A,F)X_2H_y$ . The anion can be  $Cl^-$  (Friedel's salt),  $OH^-$ ,  $SO_4^{2-}$  (monosulfate) and  $CO_3^{2-}$  and cation substitution for  $Al^{3+}$  by  $Mn^{3+}$ ,  $Cr^{3+}$  and  $Tr^{3+}$  has also been shown. The main layers are composed of sheets made up of  $CaAl(OH)_6^-$  ions with the interlayer sites again occupied by  $SO_4^{2-}$  ions and  $H_2O$  molecules as shown in Figure 9 (Baur et al, 2004).

Figure 9: lamellar structure of monosulfate (AFm) after Cody et al, 2004

### **3.4. FORMATION OF ETTRINGITE IN PORTLAND CEMENT**

In order to understand the often complex behaviour of cementitious materials and the peculiarities of ettringite formation, a general understanding of the factors governing chemical reactions is needed. Gartner and Macphee (2011) presented an excellent review, of which aspects are reported in the following section, which is at best a very basic overview, but serves the remainder of the work.

### 3.4.1. Physico-chemical factors affecting cementitious reactions

The importance of chemical potential gradients and the solubility of reactive phases in governing cementitious reactions cannot be over-emphasised.

Reactions are driven by the reduction in chemical potential (molar free energy) differences between reactants and products. This is quantified using the Gibbs free energy equation (3a):

$$(3a) \quad \Delta G = \Delta H - T\Delta S$$

where:  $\Delta G$  = change Gibbs free energy,  $\Delta H$  = change in enthalpy,  $T$  = temperature and  $\Delta S$  = change in entropy

When  $\Delta G$  is –ve, a reaction is spontaneous and corresponds to a release of free energy. This results in the formation of reaction products that have a lower energy, and therefore are more stable, than their constituent reactants. Chemical potentials (molar free energies) are the thermodynamic representation of the driving forces for all chemical reactions.

Generally, solid-solid reaction rates are low compared to reactions containing at least one liquid phase. This is the result of the greater mobility of species dissolved in liquids. The activity of a species in solution, increases with its chemical potential. On dissolution, a chemical potential gradient is formed along which, the ionic component will diffuse. The total diffusive flux (chemical molar flux) of a mobile species is roughly proportional to the product of its chemical potential gradient and its mean concentration, defined by Fick's first law (3b):

$$(3b) \quad J_A = -D_{AB}\nabla C_A$$

where:  $J_A$  is the chemical molar flux,  $D_{AB}$  is the diffusion coefficient of A diffusing thorough B,  $C_A$  is the concentration of species A

Reactive solids that are highly soluble in water tend to hydrate more rapidly than less soluble solids regardless of the solubility of the final products (Gartner and Macphee, 2011). Therefore it is the *availability of reactive species* that controls the kinetics of hydration. Because a particular material is present does not necessarily mean that it is available for reaction. Aluminosilicates from clay particles, are not reactive at neutral pH, because they are not available to the solution. However, with increasing pH, their solubility and hence reactivity increases.

Cementitious hydration reactions involve the dissolution of one solid phase and the formation (usually by precipitation) of another. Dissolution and precipitation are considered surface reactions and occur at the boundary between the solid and the surrounding solvent (in this case water). This is called the solid-solution interface. Dissolution-precipitation of well-ordered crystalline solids is different to those of more disordered (amorphous) phases. For a given set of conditions, the precipitation of a disordered phase, is more likely at high super saturations, than a closely related, more ordered phase, that cannot nucleate or grow fast enough under the same conditions. This is related to Ostwald's step rule. This states that if more than one modification of the crystal can occur, then the most stable modification, which has the lowest free energy, is never formed first. Rather, the spontaneous decrease in free energy occurs step-by-step, through a series of intermediate, metastable, crystalline phases. This is evidenced by the evolution of a hydrated cementitious matrix over time. It is also the reason that monosulfate will convert to ettringite under certain conditions (as described in Section 3.5).

### **3.4.2. Ettringite formation**

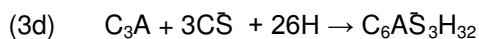
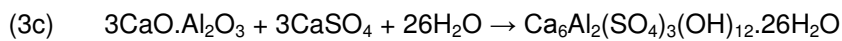
Ettringite formation can occur in both cements and stabilised soils. In cement paste, silicate phases ( $C_3S$  and  $C_2S$ ) hydrate after an initial induction period and provide long-term mechanical strength, whereas the aluminate phases ( $C_3A$  and  $C_4AF$ ) hydrate rapidly with no induction period (Black et al, 2006). Hardened Portland cement results from the development of an insoluble crystalline cementitious matrix. This matrix is the product of the hydration and precipitation of a number of clinker hydrate phases (some previously mentioned) generically termed calcium silicate hydrates (CSH) and calcium aluminate hydrates (CAH). The process is exothermic and the phases and crystalline structure continue to change as the cement ages (Gougar et al, 1996).

The formation of ettringite in Portland cements has a major influence on early strength development, setting times and, at later ages, their durability, particularly in precast steam cured concrete blocks (Colleparidi, 2003). The formation of sulfate containing mineralogies that have an adverse effect on a materials performance and durability is often referred to as sulfate attack (Rollings et al, 1999; Neville 2004). The formation of ettringite after the initial setting and hardening of the cement has occurred is known as Delayed Ettringite Formation (Taylor, 1990; Lawrence, 1998; Neville, 2004). This is considered deleterious, resulting in a loss of strength, as well as cracking and spalling of the hardened concrete (Diamond, 1996). It is also reasonable to use this term to describe the formation of ettringite in stabilised soils, because the deleterious processes are much

the same (generally manifested as disruptive volumetric changes (heave/swelling) and mechanical weakening).

### 3.4.3. Hydration of tricalcium aluminate

Ettringite rapidly forms in the majority of Portland cements at defined water/cement ratios and temperatures (Taylor 1990), as a result of the hydration of  $C_3A$ . Typically, X-ray peaks of ettringite are detectable within a few hours and reach a maximum at 1 day. This so called primary ettringite formation contributes to the early strength of concrete (Cody, et al, 2003). It forms by the reaction of tricalcium aluminate ( $C_3A$ ) with gypsum ( $CSH_2$ ) and water (H) according to (3c) or (3d):



Its formation at the early stages of cement hydration is often desired. By varying starting composition of the cement clinker, the quantity of AFt/AFm formed can be controlled which in turn allows the rate at which the cement sets to be controlled (Taylor, 1990) This cement is often termed 'regulated set cement' (Terai et al, 2006). These slow setting cements are often used in the lining of deep oil wells (Kosmatka et al, 2003). The formation of either ettringite or monosulfate in this context has no deleterious effects, because the cement is still in a plastic state and can accommodate the formation of expansive cement hydrates.

The retardation of cement setting occurs by slowing the hydration of the highly reactive  $C_3A$  phase. Cements with substantial quantities of  $C_3A$  are characterised by the tendency to 'flash set'. This is a rapid setting of the cement, characterised by the evolution of much heat, plasticity that is not regained on continued mixing and poor strength development (Taylor, 1990). The addition of gypsum to the cement, upon hydration, causes the rapid development of a dense coherent three dimensional nano-crystal network of ettringite ( $C_6AS_3H_{32}$ ) on the surface of the  $C_3A$  grain. This retards the diffusion of dissolving ions into the pore solution and hinders the formation of hexagonal hydrates of  $C_3A$ , such as  $C_4AH_{19}$ ,  $C_2AH_8$  and ultimately the cubic hydrogarnet phase ( $C_3AH_6$ ) which are associated with early-stage hardening of cement (Black, 2006) resulting in longer workability times. Many researchers have attributed the formation of this AFt layer on the surface of  $C_3A$  grains to the observed reduction of rates of hydration. Figure 10 is adapted from Rajasekaran (2005) and shows a schematic representation of the inhibition of  $C_3A$  hydration resulting from formation of ettringite on the surface of the  $C_3A$  particle:



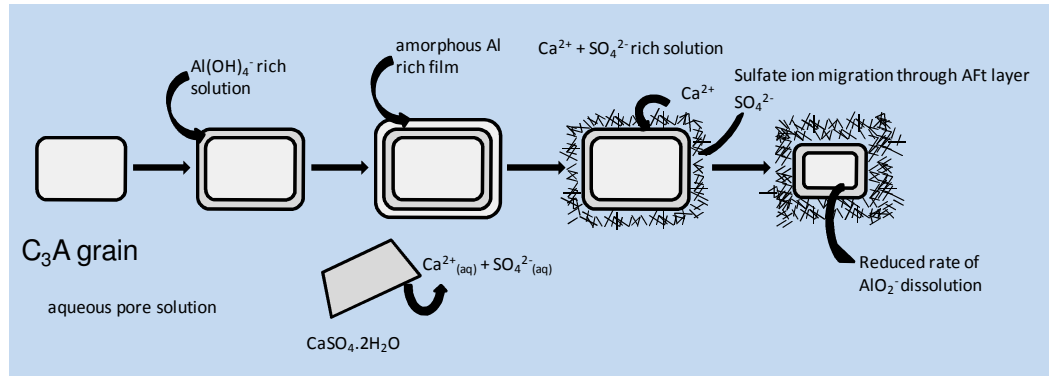
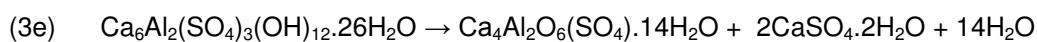


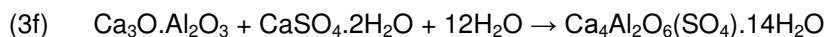
Figure 10: Inhibition of  $C_3A$  hydration by the formation of AFt on  $C_3A$  surface (adapted from Rajasekaran, 2005)

However, very recent work by Bullard et al (2011) has suggested that the rod like AFt structure is too porous to sufficiently hinder ion migration through the AFt crystal network. Rather the inhibition is caused by sulfate ions being adsorbed at defect sites of the  $C_3A$  grain slowing down the rate of dissolution rather than a barrier effect resulting from a layer of AFt forming on the surface.

The trisulfate form (AFt) is stable when sulfate is present in the system at sufficient concentration. When the aluminium/sulfate ratio falls below 1.5, then AFt decomposes to the monosulfate (AFm) liberating further sulfate that may go on to react with  $C_3A$  to form more monosulfate. This continues until all the  $C_3A$  and sulfate is consumed. (Taylor, 1990 and Black et al, 2005). This is shown in (3e and 3f):



Then:



After sulfates have been consumed from the pore solution, the amount of AFt declines gradually, converting to the more stable (in this system) monosulfate phase (Bullard et al, 2011).

The hydration products of the slower reacting clinker phases ( $C_3S$ ,  $C_2S$  etc) are then continued development of the cementitious matrix and the resultant material strength.

#### **3.4.4. Sulfate attack on cementitious systems and Delayed Ettringite Formation (DEF)**

Sulfate attack is considered deleterious because its formation occurs after the material has set and the associated expansion causes damage to the cementitious microstructure. It is sometimes referred to as secondary ettringite (Batista et al, 2000). It is often manifested in precast concretes that have been steam cured (Collepari 2003; Pavoine et al, 2006) and is termed Delayed Ettringite Formation (DEF). Pavoine et al (2006) defined the main parameters involved in DEF and are much the same as those defined in section 2.4.3 for its formation in stabilised soils:

- temperature – increased temperatures modify the chemical equilibrium during cement hydration. High early temperatures are required for the manifestation of DEF
- water – the presence of water in contact with the concrete is necessary. Included in this are concretes which have undergone steam curing
- alkaline conditions are required for ettringite stability
- initial cracking of the concrete – affects the kinetics and extent of expansion
- sulfates and aluminates in the cement – provide a source of reactants for ettringite formation

The source of the sulfates differentiates whether the mode of attack is internal or external (Brown and Hooton, 2002 and Neville, 2004). When sulfates migrate into the cement through the movement of water containing dissolved sulfate salts, it is termed external sulfate attack - often referred to as Type 1 sulfate attack. If the source of sulfates is already contained in the cement, it is termed internal sulfate attack or Type 2 sulfate attack (Collepari 2003). Ettringite has been found to precipitate in the pore structure and in pre-existing cracks (Kalousek and Benton, 1970).

A combination of compositional and permeability control has been used to mitigate DEF. Limits have been placed on  $C_3A$  content and the water/cement (w/c) ratio in American standards and building codes (Brown and Hooton, 2002). Reducing the amount of  $C_3A$  correspondingly reduces the amount of alumina available for ettringite formation. Decreasing the w/c decreases the porosity and reduces the rate of water transport (Boyd and Mindess, 2004; Brunetaud et al, 2007).

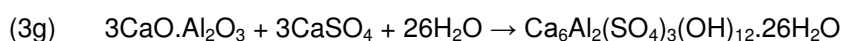
### 3.5. FORMATION OF ETTRINGITE IN STABILISED SOILS

Three important characteristics differentiate stabilised soils from cements: particle size, dissolution properties at high pH and interaction with the environment. The flocculation and subsequent agglomeration of colloidal clay particles subjected to lime treatment – termed modification (see chapter two) result in a much reduced surface area over the active components of Portland cement clinker. The crystal structure of clay minerals also results in much slower dissolution rates of alumina under alkaline conditions compared to cement. Weathering and other natural geological processes also create significant variation in insitu soil composition, such that the formation of ettringite may not just be dependent on reactant concentration in the soil (Little et al, 2010).

To date, it has been extremely difficult to correlate the quantity of ettringite formed with the degree of sulfate heave in soils and free swelling in cements given the number of interdependent factors that affect these parameters (Yan et al, 2004; Little et al, 2010; Cerato et al, 2011;). For stabilised soils, many factors influence their behaviour: clay type; percentage clay fraction; presence of organics; particle size distribution; sulfate cation; temperature; duration of conditioning and the effects of mobile ground water (Mitchell and Dermatas, 1992; Bell, 1996; Chomtid 2000; Viyanant 2000; Czerewko, 2003; Puppala, 2005; Wang, 2005; Little 2010).

The formation of ettringite in a stabilised soil is so damaging because it often occurs after the layer has been laid and compacted, frequently when the overlaying pavement layers have been placed (Hunter, 1988, Snedker and Temporal, 1990). On formation, ettringite occupies a much greater volume than its constituent reactants. At the micro-scale, if the pore volume of the soil is less than the volume required to accommodate the ettringite formed, then expansive forces are applied to the localised area. This damages the cementitious microstructure resulting from the formation of CAH and CSH, ultimately resulting in a loss of strength (mechanical weakening). Furthermore, the fracturing of the material increases the porosity, greatly increasing the risk of water ingress into the structure, which may accelerate the rate of degradation even more.

Using molar calculations of the simple ettringite precipitation reaction (3g) and known surface area values, Little et al (2010) predicted that when sulfate concentration is the limiting factor in the soil system, the relationship between soluble sulfates and ettringite formation is linear. Considering the formation of AFt from C<sub>3</sub>A, both Basista & Weglewski (2009) and Little et al (2010) used simple calculations for component molar masses (3h) and corresponding molar volumes (3i) to estimate the degree of expansion:



$$(3h) \quad \text{mass (g/mol)} \quad 270.2 + (3 \times 172.1) + (26 \times 18.0) = + 1254.5 \text{ gmol}^{-1}$$

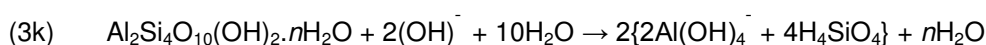
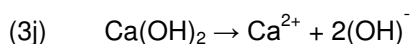
$$(3i) \quad \text{volume (cm}^3\text{/mol)} \quad 88.8 + (3 \times 71.1) + (26 \times 18.0) = + 725.1 \text{ cm}^3\text{mol}^{-1}$$

It also follows therefore, that assuming expansion is solely due to ettringite formation, it too, is also directly proportional to sulfate content and, for the same system, can be predicted.

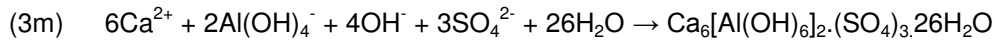
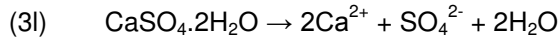
Expansion in this system only occurs when the water consumed during ettringite formation comes from an external source (Little et al 2010). That is, outside the localised cementitious matrix. The volume occupied by the precipitated ettringite is much greater than that volume occupied by the reactant soil components and results in a positive volumetric change (swelling).

Sulfate heave in stabilised soils has also been shown to occur by both Type 1 (sulfates dissolved in mobile ground water as reported by Rollings et al, 1999) and by Type 2 (sulfate bearing deposits in the soil Snedker & Temporal, 1990; Hunter 1988) modes of attack. By either mechanism heterogeneous (non-uniform) expansion results from the formation of ettringite, because its resultant molar volume is much larger than the component phases (Basista & Weglewski 2009; Little et al, 2010). The crystallisation pressure is greater than the strength of the cementitious matrix, resulting in damage which can be evidenced by micro-cracking of the structure and a corresponding loss of strength (Brown et al, 2004).

The principal difference between ettringite formation in lime stabilised soils compared to Portland cements, is the source of reactive alumina. As previously described, this is tricalcium aluminate ( $C_3A$ ) in Portland cements and results in almost instantaneous ettringite formation. In stabilised soils, the reactive alumina is derived from the dissolution of clay minerals at high pH (Sherwood, 1962). A geochemical description was first proposed by Hunter (1988) during his investigation of the Stewart Highway failure in Las Vegas. As described in chapter two, the addition of lime to a soil containing clay minerals increases the pH to  $> 10.5$  (3j) prompting the dissolution of aluminosilicates into the pore solution (3k):



The aluminate ions -  $\text{Al}(\text{OH})_4^-$  react with sulfate ions from the dissolution of gypsum (3l) to form ettringite shown in (3m):



Therefore the anionic sulfate ( $\text{SO}_4^{2-}$ ), alumina ( $\text{Al}(\text{OH})_4^-$ ) and cationic calcium ( $\text{Ca}^{2+}$ ) ions can be considered the candidate ions (Puppala, et al. 2005) for the formation of ettringite in cohesive soil and must be present for it to occur. The formation of ettringite during lime stabilisation of cohesive soils is shown in Figure 11.

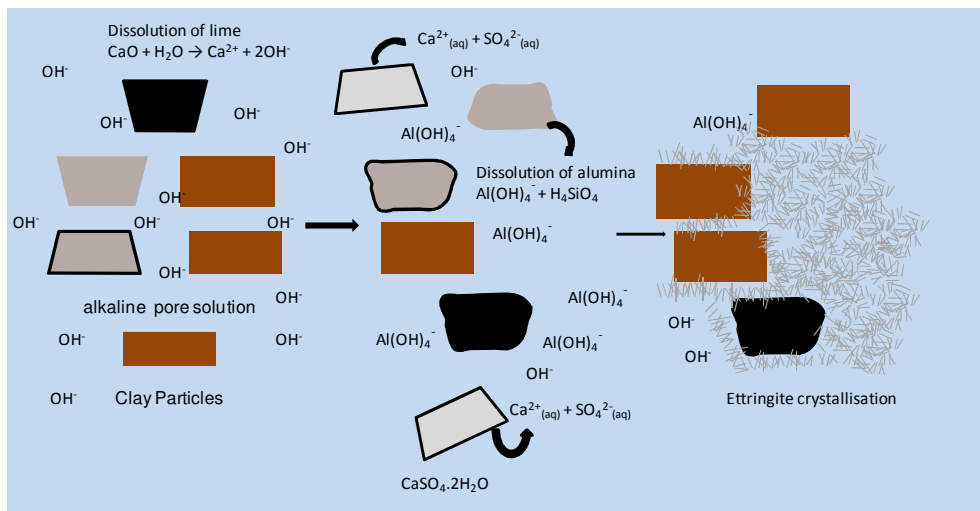


Figure 11: ettringite formation in lime stabilised cohesive soils

Ouhadi & Yong (2008) concluded that because of the lower solubility of alumina from the clay fraction compared to alumina sources from cements, the formation of ettringite is governed by the exposed surface area of the clay fraction to the action of the alkaline pore solution resulting from the lime addition. They state that it is the adsorption or chemisorptions of candidate ions ( $\text{Ca}^{2+}$  and  $\text{SO}_4^{2-}$ ) on the surface of the clay particles that allows their reaction with the  $\text{Al}(\text{OH})_4^-$  released during the dissolution of clay aluminosilicates.

Dependant on the molar ratio of alumina to sulfate, AFt will convert to AFm with the corresponding release of  $\text{SO}_4^{2-}$  when the molar ratio  $[\text{alumina}]/[\text{sulfate}] < 1.5$  (Mitchell & Dermatas, 1992) as also reported with  $\text{C}_3\text{A}$  hydration by Hampson & Bailey (1983). They found that when the gypsum/ $\text{C}_3\text{A}$  ratio  $\geq 1$ , AFt formation is favoured in what is considered a high sulfate environment. When the gypsum/ $\text{C}_3\text{A} \leq 1$ , AFm formation is favoured (low sulfate environment).

### 3.6. MECHANISMS OF FORMATION AND EXPANSION

Much of the research on ettringite formation and expansion has been done on cements and associated cementitious systems. Directly ascribing the characteristics and behaviour of cements and the like to soil systems would be inappropriate. They have different physical and chemical characteristics. However, as described in the following sections, the two are related and much can be learned from this vast body of work. It must nonetheless be considered in context.

With regard to the mechanism by which ettringite can form, Basista & Weglewski (2009) summarised early work described in the literature that derived two competing theories:

- the 'topochemical' mechanism (Kalousek and Benton 1970; Mather 1973; Soroka 1980; Ogawa & Roy 1981, 1982,; Cohen, 1983; Odler & Gasser 1988; Brown & Taylor 1999) and
- the 'through-solution' mechanism (Mehta 1973; Mehta 1976; Mehta & Hu 1978; Mehta & Wang 1982; Ogawa & Roy 1982; Odler & Glasser 1988; Ping & Beaudoin 1992; Min & Tang, 1994 and Taylor et al. 2011).

The work supporting both ettringite formation theories is considered. At the time of writing, the issue is still unresolved. Although as discussed later in this chapter, both are probably valid. The propensity of ettringite to form by one mechanism over the other is ultimately governed by the composition of the cementitious system.

#### 3.6.1. Topochemical Mechanism

In the topochemical mechanism, crystal growth occurs at the solid-solution interface. This can occur when the rate of ettringite crystallisation is greater than the dissolution rate of the candidate ions. Again considering the almost instantaneous hydration of tricalcium aluminate -  $C_3A$  (Kirchheim et al, 2009), by the topochemical mechanism, the dissolving aluminate ions  $Al(OH)_4^-$  cannot migrate far into the pore solution, because the supersaturation of the liquid phase with respect to ettringite is so low. By this mechanism, damage occurs when the regions of crystal growth intersect and mutually exert pressure, forcing the particles apart (Cohen, 1983).

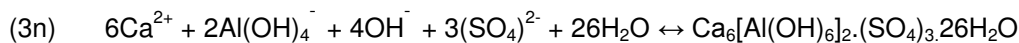
Ogawa and Roy (1982) studied the hydration products of  $C_4A_3\bar{S}$  and found by microstructural observation that AFt crystallised radially around unreacted  $C_4A_3\bar{S}$  particles. Some voids remained in the structure indicating a topochemical reaction mechanism.

### 3.6.2. Through-solution mechanism

In the through-solution model, on hydration, the solid particles begin to dissolve and their corresponding ions move into aqueous solution. These are termed candidate ions (Puppala, 2005). When the pore solution becomes supersaturated with respect to ettringite, then ettringite crystallises out (as shown in 3n, see below). In this manner, it can form away from the source of alumina, generally in the bulk pore solution and is characterised by nucleation and crystal growth at many sites, frequently in void spaces throughout the material (Deng and Tang, 1993). Because of this ability to migrate through the pore solution and crystallise in parts of the material which can accommodate crystal growth, the occurrence of ettringite in this case is not considered deleterious. No damage to the cementitious matrix may necessarily occur, due to the air-entrainment void space being able to accommodate the crystal growth. As such, the through-solution mechanism is considered less deleterious than formation by the topochemical mechanism (Kalousek & Benton 1970 and Soroka 1979).

Mehta (1976) concluded that AFt forms from C<sub>3</sub>A by a through solution mechanism because AFt nucleation and crystal growth was observed throughout the system, not just at the solid-solution interface of C<sub>3</sub>A grains. They concluded that the AFt layer was not dense enough around the C<sub>3</sub>A particles to sufficiently reduce the dissolution of reactive ions into the pore solution. Inhibition of C<sub>3</sub>A hydration was the result of the reduced sulfate ion concentration resulting from the reduced solubility of sulfate under alkaline conditions.

Min and Tang (1993) also support the through solution mechanism of AFt formation in high-alumina cement pastes. AFt will precipitate from solution when the candidate ions in the pore solution are saturated with respect to AFt:



The rate constant is therefore (3o):

$$(3o) \quad K = [\text{Ca}^{2+}]^6 [\text{Al}(\text{OH})_4^-]^2 [\text{OH}^-]^4 [\text{SO}_4^{2-}]^3$$

If  $K_{sp}$  is the representative value of  $K$  at equilibrium (known as the solubility product) then the ratio  $K/K_{sp}$  can serve as measure of the degree of supersaturation of the pore solution with respect to AFt. Ettringite formation results from two processes: nucleation and crystal growth, governed by the rate equation (3p):

$$(3p) \quad I = A \exp\{-BT^{-3} (\ln \frac{K}{K_{sp}})^{-2}\}$$

Where:  $A$  and  $B$  are characteristic parameters of the system.  $T$  = temperature ( $^{\circ}\text{C}$ ),  $K$  = rate constant,  $K_{sp}$  = rate constant at equilibrium.

Equation (3p) shows that when  $K/K_{sp}$  is large, AFt nucleation is rapid. When  $K/K_{sp}$  is small the rate will tend to zero. Rapid nucleation of AFt is characteristic of a topochemical mechanism, while lower rates nucleation rates are required for AFt to form by the through-solution mechanism (Ping & Beaudoin 1992; Min & Tang, 1994 and Taylor et al. 2011). The ion concentrations taken from Xue et al (1983) are shown in Table 8.

Table 8: Compositions of pore solutions

Cement	Concentrations (mmol/l)						
	OH <sup>-</sup>	K <sup>+</sup>	Na <sup>+</sup>	Ca <sup>2+</sup>	SO <sub>4</sub> <sup>2-</sup>	Al(OH) <sub>4</sub> <sup>-</sup>	K/K <sub>sp</sub>
Portland Cement	195	138	38.4	5.0	0.5	0.008	10 <sup>6.39</sup>
Sulfoaluminate Cement	0.046	13.0	12.6	26.4	35.5	0.36	10 <sup>5.07</sup>

Note: [ion] measured after 3 days

The propensity of ettringite to form in a cementitious system by topochemical or through solution mechanism appears to be dependent on the hydroxide ion concentration ([OH<sup>-</sup>]). In Portland cement paste, the high [OH<sup>-</sup>] ion concentration reduces [Ca<sup>2+</sup>] and increases the [SO<sub>4</sub><sup>2-</sup>] and [Al(OH)<sub>4</sub><sup>-</sup>] in the pore solution. Diffusion of Al(OH)<sub>4</sub><sup>-</sup> is slow due to its low concentration gradient, so the interface zone between pore solution and the hydrating grains is supersaturated with respect to AFt, leading to many nucleation sites (large K/K<sub>sp</sub>). In Table 8, this is illustrated by the comparatively low concentration of candidate ions (Ca<sup>2+</sup>, SO<sub>4</sub><sup>2-</sup> and Al(OH)<sub>4</sub><sup>-</sup>) found in the pore solution because they have precipitated out as ettringite.

In sulfoaluminate cements low [OH<sup>-</sup>] results in the ionic strength and hence mobility of Ca<sup>2+</sup> and SO<sub>4</sub><sup>2-</sup> being low. Al(OH)<sub>4</sub><sup>-</sup> ions of a higher concentration can therefore diffuse into the pore solution before nucleation can occur and is not restricted to the interface zone. The concentration of the candidate ions in the pore solution of the sulfoaluminate cement is much higher, indicating that ettringite has not formed at the solid-solution interface, but can precipitate from the pore solution, which is characteristic of a through-solution mechanism. Few nuclei resulting from slow nucleation, grow into large crystals in both the bulk solution and the interface zone (small K/K<sub>sp</sub>).

Therefore, at high [OH<sup>-</sup>] AFt crystallises from many nucleation sites at the near surface region associated with a topochemical formation mechanism and the crystals are very small. When [OH<sup>-</sup>] is low, the candidate ions are able to migrate into the pore solution,



AFt crystallises away from the solid solution interface, forming much larger crystals associated with the through solution mechanism .

### 3.6.3. Theories of Expansion

Section 3.6.1 and 3.6.2 described the theories of ettringite formation, but they do not fully explain how ettringite formation leads to expansion and the associated damage to the cementitious microstructure. Early work on damage mechanisms of cementitious materials resulted in two competing theories being proposed which were summarised by Cohen (1983):

- the 'crystal growth' theory (Nakamura, 1960; Schwiete, 1966; Kalousek 1970; Ish-Salom and Bentur 1974; Ogawa and Roy, 1982;); and
- the 'crystal swelling' theory (Mehta, 1973, 1976; Chen and Mehta 1982; Min and Tang, 1993).

In the crystal growth theory, expansion occurs when reaction zones of ettringite formation intersect, continue growing and mutually exert pressure, this can result from crystals growing on the surface of other particles (topochemically) or in the pore solution (through-solution).

In the crystal swelling theory, expansion is caused by the swelling of relatively small ettringite crystals that are colloidal or gel size. Expansive stress results from the conversion of free energy of the reaction to work. (Kalousek & Benton, 1970).

Ogawa and Roy (1982) as described in Section 3.6.1 observed the formation of ettringite on the surface of  $C_4A_3S$  particles. These grew radially out into the pore solution. Expansion began when these reaction zones intersected and mutually exerted pressure. Schwiete et al (1966) studied the  $CaO-Al_2O_3-CaSO_4-H_2O$  system. They concluded that the crystallisation pressure was the result of topochemical AFt formation on the surface of  $C_3A$  particles. Because the AFt crystals occupy a bigger volume than the  $C_3A$  grains, they eventually peel off. It is this on-going process that eventually results in the crystallisation pressure.

Nakamura et al (1968) in their studies of the  $C_4A_3S-CH-CS$  system, found that at high  $[OH^-]$  AFt formation is topochemical and expansion is caused by the intersection of reaction zones resulting from crystal growth. These crystals were comparatively fine. Conversely when the  $[OH^-]$  is low, AFt formed by through-solution mechanism precipitating large crystals in the pore solution.

In the crystal swelling theory, ettringite forms by the through-solution mechanism. The crystals are very small - gel-like and colloidal in size (Mehta, 1973). The tiny crystals have a high specific surface area with unsatisfied surface charges. They can absorb ions and water molecules to decrease their surface energy creating an electric double layer around the ettringite crystals in a similar manner to that which causes the swelling of particular clays as described in Chapter two (Little et al, 2010). Intersection of these layers produces the crystal swelling pressure (Deng & Tang, 1994). Mehta and Hu (1978) found that an increase in the amount of absorbed water corresponded to an increase in volumetric expansion. Ouhadi and Young (2008), showed that AFt can swell by the order of 50% and its fluid retention increase by as much as 400% in the soils studies in their research. They concluded that it is the crystal swelling mechanism that is responsible for ettringite induced heave.

Mehta (1973) stated that for colloidal ettringite to cause expansion, it must be in contact with outside water.

[Quoting Ouhadi and Yong (2008) '*the availability and flow of pore water is the single most important factor controlling lime-induced heave. Without an abundance of water AFt cannot form*'. And Little et al (2010) '*the presence of external water is a decisive factor in causing deleterious reactions in stabilised soils.*']

### **3.7. MITIGATION OF SULFATE HEAVE**

Recently, research has been undertaken on the use of supplementary cementitious materials (SCMs) as a way of reducing the deleterious effects of sulfate induced heave. The use of Ground Granulated Blast Furnace Slag (GGBS), Fly Ash (FA) and Amorphous Silica (AS) in conjunction with lime have been shown to reduce the degree of heave (Beeghly, 2003; Wang et al, 2005; Wild et al. 1998; 1999; Higgins, 2005).

It is thought that this reduction is brought about by a combination of effects:

- a reduction in material permeability, resulting from the addition of more fine material; and
- inhibition of ettringite formation resulting from a reduction in pore solution alumina concentration due to the competitive hydration of the high silica content of the SCMs.

A reduction in the permeability of the stabilised soil reduces the ingress of water, thereby limiting expansive ettringite formation. This may be the result of the increased fines

content filling the void structure, but can also result from the crystallisation of cementitious hydration products from the pore solution (Wild et al, 1999).

Wild et al (1998) suggested that a combination of GGBS and lime inhibits the formation of ettringite, because the increased amounts of CSH gel produced absorb  $\text{Al}(\text{OH})_4^-$  ions from the dissolving clay, as well as the competitive consumption of lime, such that it is not available for ettringite formation. They also observed increased strengths of these materials that were attributed to the formation of CSH gels.

Wang et al (2005) also found that the addition of SCMs to cement stabilised sulfate bearing soils, reduced the amount of ettringite formed and correspondingly, the degree of observed heave. They concluded that in addition to more beneficial cementing product forming, the reduction in swell was a result of a change in the mechanistic pathway of ettringite formation. Recalling Section 3.6, when the lime hydroxide ion content is high, ettringite precipitates crystals of colloidal or gel size on or near the surface of clay particles, causing expansion by the crystal swelling mechanism. However, when the hydroxide ion concentration is low, as a result of reaction with SCMs, ettringite can migrate into the pore solution where large crystals are precipitated. As the void structure can accommodate the crystal growth to a degree, reduced levels of expansion are observed.

### **3.8. OTHER FACTORS AFFECTING FORMATION AND EXPANSION**

In the previous sections it has been shown that the mechanism of ettringite formation and expansion is a function of the availability of an external water source, dissolved alumina and hydroxide ion concentration. It is also affected by: sulfate type and content; conditioning time; water content on compaction; and curing time.

#### **3.8.1. Sulfate type and concentration**

The cation associated with the sulfate anion also has an effect on ettringite formation and expansion. Gypsum ( $\text{CaSO}_4 \cdot 2\text{H}_2\text{O}$ ) is relatively insoluble at 2.58g/L and is regarded as 'sparingly soluble' (Burkart et al, 1999) and is even less soluble under alkaline conditions (Little et al 2010). Other sulfate salts are more soluble, leading to greater concentration within the pore solution, resulting in higher reaction rates (Little et al 2010).

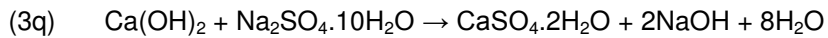
Table 9 shows the solubility of commonly found sulfate salts.

Table 9: Solubility of commonly found sulfate salts

Sulfate Salt	Chemical Formula	Solubility g/L (20 °C)
Gypsum	CaSO <sub>4</sub> .2H <sub>2</sub> O	2.58
Glauber's Salt	Na <sub>2</sub> SO <sub>4</sub> .10H <sub>2</sub> O	47.6
Epsomite	MgSO <sub>4</sub> .7H <sub>2</sub> O	255

Brown et al's (2004) studies of the microstructural changes in concretes with sulfate exposure found that those concretes immersed in epsomite solutions (5.0%) evidenced a lower degree of sulfate attack which did not penetrate as far as those immersed in Glauber's salt solution (again 5.0%). This was thought to be the result of magnesium containing compounds being deposited on the surface structure and reducing the permeability of the concrete. This conclusion is supported by earlier work by Brown and Hooton (2002). This benefit is unlikely to occur in stabilised soils due to their much greater void content and hence permeability.

Sodium sulfate (Na<sub>2</sub>SO<sub>4</sub>.10H<sub>2</sub>O) is able to react with hydrated lime to form gypsum and sodium hydroxide (3q):



This reaction consumes lime, thereby reducing the available lime content (Sridhran et al, 1995). It also forms sodium hydroxide, which is a strong alkali, and so increases or at least maintains the pH of the pore solution. The effect this has on ettringite formation however, is unclear.

Much effort has been directed towards finding threshold trigger levels of sulfate content that cause deleterious processes to occur in both cement (in the surrounding environment in which it is placed) and stabilised soils.

Table 10 is adapted from Rolling and Burkes (1999) and shows assessment of risk associated with sulfate levels that pose a threat to lime and cement stabilised soils.

Table 10 soil sulfate threshold trigger levels

Source	Sulfate Content (%)	Notes
Petry (1994)	0.2	Potential to cause swelling
	1.0	Potential for serious sulfate damage
Hunter (1988)	1.0	Sulfate attack on lime stabilised clay likely
Mitchell and Dermatas (1992)	0.3	Ettringite formation detected at this level
Mccallister and Tidwell (1997)	0.01 – 0.5	Low to moderate risk of heave
	0.5 – 1.2	Moderate to serious risk
	>1.2	Very serious risk
Puppala (2005)	>0.25	Leads to swelling

The BRE Centre for Concrete Construction and Cement for Ground Engineering and Remediation issued guidance on the assessment of ground conditions for placing concrete structures. Here the site is classed in terms of a Design Sulfate Class (DS) using Table 11. This is defined by the concentrations of sulfate and magnesium determined from a 2:1 water soil extract and the groundwater and from the level of Total Potential Sulfate. This is then correlated to an Aggressive Chemical Environment Class (ACEC) defined by whether the site is natural or brownfield, has static or mobile groundwater in addition to the environmental pH (BRE SD-1, 2001).

Table 11: Design Sulfate Class for aggressive ground (BRE SD-1, 2001)

Design Sulfate Class for site	2:1 water/soil extract		Groundwater		Total Potential Sulfate
	SO <sub>4</sub> (g/l)	Mg (g/l)	SO <sub>4</sub> (g/l)	Mg (g/l)	SO <sub>4</sub> (%)
DS-1	<1.2	-	<0.4	-	<0.24
DS-2	1.2 – 2.3	-	0.4 – 1.4	-	0.24 – 0.6
DS-3	3.8 – 6.7	≤1.2	1.5 – 3.0	-	0.7 – 1.2
DS-4	3.8 – 6.7	≤1.2	3.1 – 6.0	≤1.0	1.3 – 2.4
DS-4m	3.8 – 6.7	≤1.2 <sup>[1]</sup>	3.1 – 6.0 <sup>[1]</sup>	≤1.0	1.3 – 2.4
DS-5	>6.7	≤1.2	>6.0	≤1.0	>2.4
DS-5m	>6.7	≤1.2 <sup>[1]</sup>	>6.0	≤1.0 <sup>[1]</sup>	>2.4

<sup>[1]</sup> limit on water soluble magnesium does not apply to brackish ground water (chloride content 12 – 18 g/l)

Little and Nair (2009) also issued guidance on the lime stabilisation of sulfate bearing soils. This is based on the relative risk of the stabilisation failing for a given sulfate content. This is reproduced in Table 12.

Table 12: risk level associated with lime stabilisation of sulfate-bearing clays (Little and Nair, 2009)

Risk Involved	Soluble Sulfate Concentration	
	ppm	% dry weight
Low Risk	<3,000	<0.3
Moderate Risk	3,000 – 5,000	0.3 – 0.5
Moderate to High Risk	5,000 – 8,000	0.5 – 0.8
High to Unacceptable Risk	>8,000	> 0.8
Unacceptable Risk	>10,000	> 1.0

Caution has to be used when interpreting limits on sulfate content, because the results are dependent on the method of quantification used (Rollings and Burkes, 1999). Reid et al (2005) in conjunction with the Transport Research Laboratory (TRL) developed a suite

of tests that accurately and reliably characterises the sulfur species as well as quantifying it. The measure of the Total Potential Sulfate (TPS) level recognises the contribution that reduced forms of sulfate can make to the total sulfate content when they oxidise. As described at the start of the chapter, the under assessment of pyrite content led to the failure of the M40 Banbury motorway (Snedker and Temporal, 1990).

### 3.8.2. Soil pH

The soil pH, or more accurately the pH of the pore solution has an effect on the solubility and therefore availability of reactive components, as well as the stability of precipitated reaction products. Damidot and Glasser (1992) investigated the  $\text{CaO-Al}_2\text{O}_3\text{-CaSO}_4\text{-H}_2\text{O}$  system using thermodynamic calculations at varying temperatures. The pH stability range of AFt and AFm with temperature is shown in Table 13.

Table 13: pH stability range of AFt and AFm (Damidot and Glasser, 1992)

Phase	Ettringite (AFt)	Monosulfate (AFm)
Temperature (°C)	pH stability range	
25	10.43<pH<12.52	-
50	10.53<pH<12.41	11.95<pH<12.41
85	10.87<pH<12.25	11.80<pH<12.25

They found at 25 °C, that ettringite is stable, but AFm is metastable. AFm was reported to be increasing stable with elevated temperature. The lowest stability limit for AFt is 10.43 at 25 °C, which is one unit less than AFm (pH 11.95) at 50 °C.

### 3.8.3. Clay mineralogy

As described earlier in the chapter, it is the availability of a species that dictates its reactivity. Recalling Chapter two, the clay minerals have differing composition and structure between two end members represented by the 1:1 layered structure of kaolinite and the 2:1 layered structure of montmorillonite. Ouhadi and Young (2003) suggested that those clay minerals that release the most aluminium will form the most ettringite. Table 14 shows the results of digestion tests of various clay minerals.

Table 14: results of digestion tests on different clay minerals (Ouhadi and Yong, 2003)

Clay Minerals	Cations monitored in digestion experiments (meq/100g soil)					
	Na	K	Mg	Ca	Si	Al
Palygorskite	80 ± 7	51 ± 5	321 ± 21	9 ± 0.8	14 ± 1	578 ± 54
Sepiolite	2 ± 0.2	10 ± 1	1012 ± 90	1 ± 0.1	80 ± 7	9 ± 1
Marl	305 ± 20	12 ± 1	260 ± 20	370 ± 25	23 ± 2	270 ± 20
Illite	8 ± 0.8	16 ± 1	139 ± 10	62 ± 6	18 ± 1	288 ± 20
Kaolinite	5 ± 0.8	0	0.3 ± 0.1	0	16 ± 1	88 ± 7

As seen in Table 14, Kaolinite is relatively low in alumina, compared to the other minerals. Although not tested in this work, montmorillonite has an alumina content slightly above that of illite, which is also a 2:1 layer clay (Ouhadi and Young, 2003; Muarry, 2007). Previous laboratory tests, in particular the work of Mitchell and Dermatas (1990) have shown greatly differing responses of sulfated clay when subjected to lime stabilisation, dependent on its mineralogy (see Section 3.10).

### 3.9. LABORATORY TEST METHODS

When stabilised materials of the required strength are laid, they generally meet their design performance and durability requirements over the service life of the pavement, if they are kept in a dry condition. Deleterious reactions are promoted by sufficient water entering what can be considered to be quite a porous layer. So assessing a mix design for stabilisation on strength testing alone can be misleading. Hence testing regimes were developed that include determining a materials response to soaking in water. This reflects the saturated conditions the stabilisation may encounter insitu.

In the UK, material suitability and mix design is based on a combination of limits imposed on soil constituents (sulfate, maximum organic content, minimum clay content) and laboratory testing involving a soaking procedure (Table 15). The pass/fail criteria were based on testing undertaken by Sherwood (1962). Earlier Department of Transport (DoT) specifications imposed a limit on the maximum permissible sulfate content of 0.25 %. Sherwood (1992) recommended the adopting of limits on minimum soaked CBR strength and maximum permissible average and individual linear swells, based on laboratory observations of soils with a sulfate content of 0.25%. These limits were specified in BS1924-2 (UK linear swell and soaked CBR test). Recent European harmonisation of test



standards has been the slight modification of BS1924-2 into EN 13286-47, although the procedure and pass/fail criteria remain largely unchanged. UK practitioners also have the option of using the less well known European accelerated swell test (EN 13286-49), in which 3-dimensional swell is measured on relatively small specimens, completely exposed to water on all sides, at elevated temperatures.

The purpose of any swell testing is to simulate the behaviour of a stabilised material in the field, under less than ideal conditions, to ensure the designed soil is fit for purpose. The relative severity of the pass/fail criteria used in the soaked CBR tests, were based on swell responses of soils with a maximum permissible sulfate content in 1992. Subsequent research up-holds the view that soils <3,000 ppm sulfate are suitable for stabilisation without problems, or the need for mitigating measures. The merits of one test over another are subject to the engineering judgement and experience of the engineer. But the adoption of pass/fail criteria that ensures the stabilisation is fit for purpose, without being so onerous that a perfectly suitable material/design is rejected because it cannot meet the durability requirement of the soaking test, is also critical.

Table 15: worldwide test procedures for stabilised soils

	Title	Methodology			
		Compaction	Curing	Immersion	Pass/Fail Criteria
EN 13286-49: 2004	Accelerated swelling test for soil treated by lime and/or hydraulic binder	Granular particles > 6.3 mm are removed. 3No. specimens manufactured at $96 \pm 0.5\%$ wet density (determined by one point 'Normal Proctor' BS EN 13286-2). $d = h = 50\text{mm}$ .	$20 \pm 2^\circ\text{C}$ for $72 \pm 4$ h at $>90\%$ humidity	$168 \pm 4$ h in water at $40 \pm 2^\circ\text{C}$	Volumetric Expansion ( $G_v$ )  $G_v < 5\%$ suitable, $5 \leq 10$ generally not suitable*, $\geq 10\%$ not suitable
EN 13286-47: 2004	Determination of California bearing ratio, immediate bearing index and liner swelling	Granular particles $>22.4$ mm are removed. 3No. specimens compacted at in CBR moulds ( $120 \times 150$ mm h/d) in 3 layers using 64 blows/layer	$20 \pm 2^\circ\text{C}$ for 3 days at $>98\%$ humidity*	minimum of 96h at $20 \pm 2^\circ\text{C}$	Linear Expansion and soaked CBR value. Average linear swell $<5$ mm with no individual $>10$ mm, 31 day average. CBR value $> 15\%$ , no individual $<8\%$
BS 1924-2:1990	Soaked CBR test	Granular particles $>20.0$ mm are removed. Material compacted in CBR moulds. A number of compaction methodologies are specified – static and dynamic (proctor).	Air curing at $20 \pm 2^\circ\text{C}$ (no curing duration specified)  $27 \pm 2^\circ\text{C}$ for 3 days in subtropical/tropical climates.	7 days at $20 \pm 2^\circ\text{C}$ . exposing bottom to the water. Time for water to permeate to the top of the sample is recorded. If not occurred after 3 days, top is also flooded.  In subtropical/tropical climates soaking for 4 days	As above
Draft TRH13**	Cementitious stabilizers in road construction	Granular particles $>19$ mm removed. Proctor compaction in CBR Moulds (5No. layers with 55 blows/layer)	$22^\circ\text{C}$ for 7 days at $95 - 100\%$ humidity	4h temperature not specified	UCS (Unconfined Compressive Strength) $R_{c \text{ imm}}/R_c > 80\% = \text{Pass}$ , $R_{c \text{ imm}}/R_c < 80\% = \text{Fail}$

Note: \*curing regime defined in HA74/07. \*\* South African Roads Standard.

American methods do not solely rely on swell testing against pass/fail suitability criteria. The Texas Department of Transport (Tx-DOT) uses a design procedure based on the risk level according to the soil Sulfate Content (SC). At <3,000 ppm, their standard mix design and construction practices are used (TxDOT, 2004) with a minimum 24 hour conditioning time specified. At 3,000 < SC ≤ 8,000 ppm, a 'modified treatment' is recommended. This involves a single lime addition, then a mix design test where the soil is compacted at a range of water contents and conditioned for varying amounts of time. The combination in which soluble sulfate content is measured (Tex-145-E Part II) at <3,000 ppm is then used in the construction. For SC >8,000 ppm, alternative methods are required, such as reducing the SC to below 3,000 ppm by mixing in low sulfate soil from another source, or completely replacing the soil from a suitable borrow pit. Alternative binders such as Ground Granulated Blast Furnace Slag (GGBS) or Fly-Ash (FA) can also be used to reduce the potential sulfate heave (Wild et al, 1998; Wang et al, 2005; and Higgins et al, 2005).

### **3.10.LABORATORY STUDIES OF SULFATE HEAVE IN STABILISED SOILS**

Mitchell and Dematas (1990) undertook an extensive laboratory study based on the lime stabilisation of artificial soils containing 30 % kaolin or montmorillonite clay and 70% quartz sand. Other variables investigated were: lime; gypsum; sodium sulfate and calcium carbonate content; duration of curing and soaking time; and also composition of the immersion liquid - either distilled water or 10% sodium sulfate, prior to curing at 20 °C at 100% relative humidity. They measured linear swell, compressive strength and undertook compositional analysis using XRD and SEM.

Their results indicated the presence of ettringite in all specimens except those that did not contain any lime, sulfates or those only cured for 1 day. Drying of the kaolin based soils during sample preparation caused the disappearance of the ettringite peaks, which was not the case of the montmorillonite soils. This was attributed to the preferential formation of monosulfate (AFm) in the kaolin based soils, based on the molar ratio of  $[Al_2O_3]/[SO_4] = 1.54$ . Monosulfate is only stable in a wet environment and is known to decompose on drying, whereas the molar ratio in the montmorillonite soils was  $[Al_2O_3]/[SO_4] = 0.84$ , favouring ettringite (AFt) formation, confirmed by the detection of characteristic XRD peaks of AFt in both wet and dry samples. However kaolin soil subject to extended curing of 6 and 20 months showed both stronger and sharper reflections that persisted on drying. This was attributed to a significant drop in the soil pH, thereby reducing the [alumina] resulting in AFt becoming the more stable phase. Although based on the pH

studies in section 3.8.2, the conversion of AFm to AFt is more likely to be the result of the pH dropping below the stability field of AFm rather than as a result of alumina concentration.

The strength of both soils was found to increase with curing time. Montmorillonite soils exhibited higher total strengths than the kaolin soils. The strength of these soils did not however increase with greater lime content, but was exhibited with the montmorillonite soils. Swell measurements of samples soaked at 20 °C were in the range of about 2 - 5%. This evolved rapidly, in less than two days, and was accompanied by visual evidence of specimen deterioration. Little swell was observed in specimens in which monosulfate was detected as the majority phase. However, the same specimens subject to the 6 month curing regime underwent significant volume increase, around 19%. This swelling developed slowly, initiating after 4 days of soaking and stopping after 15 days and was attributed to the conversion of AFm to AFt during the extended curing period. They also found that the temperature of the soaking solution had a significant impact on the amount of swelling observed in the kaolin soils. This was attributed to the temperature increasing the reaction rate of the conversion of AFm to AFt. They surprisingly found that the montmorillonite soils did not swell at all, irrespective of sulfate content or curing duration. It was suggested that this was the result of the slow release of alumina from the montmorillonite clay resulting in relatively little ettringite formation, which was unable to overcome the confining effect of the developing cementitious matrix. This conflicts with Burkart et al (1999) who stated that '*montmorillonite is the source of most of the alumina in the ettringite reactions of problem soils*'. SEM analysis detected relatively large crystals of AFt, ranging from 5 µm - 30 µm in length and 0.2 µm to 1.8 µm in width in the kaolin soils. They reported that in the montmorillonite soils, identification of ettringite crystals was difficult, due to their small size (1 µm - 5 µm in length and 0.05 µm to 2 µm in width. Dermatas (1995) in later work concluded that '*previous work conducted in this area where the availability of alumina bearing phases from clay minerals was shown to be a key and perhaps in some cases the factor defining the rate of ettringite formation.*'

Berger et al (2002) conducted a study on the stabilisation of clay soils containing approximately 0, 5, and 8 % sulfates with lime (4% quicklime) and lime/flyash (4 and 8% respectively). Although they carried out the Eades and Grim test to determine the lime addition required for stabilisation, subsequent pH measurements of test specimens were found to be in the range  $9.8 < \text{pH} < 12.2$ , too low for the dissolution of clay minerals and the formation of expansive minerals. This was evidenced by poor strength gains and little response to soaking in sulfate solution. This highlights importance of alkaline conditions, not only for beneficial pozzolanic reactions, but for deleterious reactions such as the formation of AFt and AFm.

Puppala et al (2005) undertook swell tests on lime stabilised kaolin that was compacted with a synthetic AFt and compacted with AFt induced to form in the soil by manufacture with a candidate ion solution ( $\text{Na}_2\text{SO}_4$ ). They found that the soils in which AFt was added, exhibited greater strength, and less swell than those where AFt formation was induced. This could be attributed to the interlocking AFt-soil matrix reinforcing the material, making it resistant to free swell upon immersion in water. It is also suggested that little damage to the cementitious matrix occurred on immersion, because AFt was present on compaction and did not form in situ, with the associated volumetric expansion. Small swell strains were observed and attributed to crystal swelling. The authors reported that crystal swelling is known to be a smaller force than crystal formation and subsequent growth.

In a second set of experiments, they tested a kaolin, kaolin plus 8%  $\text{Ca}(\text{OH})_2$ , solid  $\text{Na}_2\text{SO}_4$  mixed with the soil compaction and kaolin plus 8%  $\text{Ca}(\text{OH})_2$  with  $\text{Na}_2\text{SO}_4$  dissolved in the manufacturing water. Swell strains were 24, 19 and 29% respectively. The biggest AFt XRD peaks were detected in the third set, where the candidate ions were already in solution. They also comment on the relatively slow dissolution of alumina from kaolin based soils.

Finally in a third set of experiments they varied the lime addition as well as the amount of sodium sulfate. Increasing the amount of lime used from 4 to 8% resulted in less swell for the equivalent sulfate level, but was associated with increased formation of  $\text{CaCO}_3$ . They concluded that *'results indicate that the threshold problematic sulfate levels for inducing heaving in subgrade soils depend on soil type, including clay mineralogy, lime dosages, reactive alumina, pH conditions, sulfate amounts as well as the amount and size of voids present in the compacted soils.'*

Little et al (2010) tested three soils with added soluble sulfates in order to form AFt in situ as well as testing soils with added synthesised AFt. Quantification of AFt was achieved by Differential Scanning Calorimetry (DSC) and correlated with sulfate content. Their results suggested a threshold sulfate level at which problematic reactions occur between 1680 – 3680 ppm. Their concluding remarks were *'mineralogy strongly impacts activities, and mineralogy may vary widely (in quantity and type) from one soil to another. Besides a uniform pH regime, uniformity in mixing and water content is the best way to support uniform and rapid crystal growth'* and *'aluminium availability is primarily influenced by soil mineralogy.'*

### 3.11.SUMMARY

Deleterious reactions in lime stabilised soils are associated with the formation of expansive minerals. This expansion damages the cementitious matrix leading to a loss of strength and dimensional stability. The minerals commonly implicated in these processes are hydrous calcium aluminosulfates, ettringite (AFt) and monosulfate. These are formed in alkaline soil conditions provided there is a source of reactive candidate ions:  $\text{Ca}^{2+}$ ,  $\text{Al}(\text{OH})_4^-$ ,  $\text{SO}_4^{2-}$  and sufficient water. The mechanism of formation is complex. It can occur topochemically, where ettringite crystal nucleation and growth occurs on the surface of another solid, or by through-solution mechanism in which crystal growth and nucleation occurs in the pore solution. This is characterised by ettringite formation away from the sources of candidate ions. The mechanism by which ettringite formation is deleterious is thought to occur by two processes:

- the crystal growth theory where-by ettringite forms large crystals topochemically and expansion results from the intersection of crystal reaction zones.
- the crystal swelling theory, where ettringite forms by the through-solution mechanism forming very small crystals that are colloidal or gel-like. These absorb water resulting in swelling and expansive stress.

The propensity of a cementitious system to expand by a particular mechanism is governed by the availability of the candidate ions. This is related to their chemical potential, ion concentration and diffusive flux. The hydroxide ion ( $\text{OH}^-$ ) concentration has been shown to play an important role in swelling mechanisms. When it is high AFt forms small crystals suggesting crystal swelling mechanism of expansion. When it is low, AFt forms large crystals suggesting crystal growth swelling mechanism of expansion.

The correlation of ettringite formation and expansion of a cementitious material is thought to be extremely difficult. The precipitation of ettringite in the existing pore structure of a material is not thought to contribute to expansion. The inherent variability of soils results in difficulty in characterising and thereby quantifying the degree of expansion. Overall ettringite and monosulfate formation is dependent on many factors. However, the available alumina content and an excess of water are considered critical.

## **4. MATERIALS AND METHODS**

### **4.1. INTRODUCTION**

This chapter details the methodology of the experimental programme, the selection and classification of materials and the analytical techniques used in the study. The methodology is divided into three phases. The first is the classification of the materials to determine the composition of the artificial soil and the evaluation of their physical response to sulfate based deleterious reactions using two laboratory swell tests (Section 4.3.2 and 4.3.3). The second is the investigation of the underlying chemical behaviour, using a range of analytical techniques presented in Section 4.5. The third part uses the data derived from the study to define the relationship between the physical response of the sulfates artificial stabilised soils and the underlying chemical behaviour. Then hypothesise the physicochemical response, which is validated with another round of laboratory swell testing.

### **4.2. MATERIALS**

A kaolin and montmorillonite clay have been selected for use in the research. As described in chapter two, these cohesive soils have differing fundamental mineralogy and have been shown to exhibit behaviour that is markedly different in terms of both macro-physical and chemical characteristics when subject to stabilisation (Mitchell and Dimitris, 1990).

Clay that had undergone an industrial manufacturing process was selected for a number of reasons; the typical spatial inhomogeneities of natural soils make studying their behaviour under stabilisation difficult. Organic, sulfide/sulfate and other minor constituents, typical of a natural soil, have been removed in the processed material, so it is of known composition. This allows the physicochemical properties of a particular soil mixture to be attributed to the imposed mixture composition and/or test condition. Again, if a natural soil were used in the research, other effects such as expansion/relaxation (a risk with consolidated clays), oxidation of pyritic deposits and action of autotrophic bacteria mean that changes in the characteristics of natural clay are likely over time. Again making control over mixture composition difficult. To make the soil easier to work with and more closely reflect the composition of insitu soils, a quartz sand was also incorporated into the soil mixtures.

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The kaolin was supplied by Sibelco UK as Powdered China Clay under the trade name Puraflo S. The montmorillonite as Calcium Bentonite and quartz sand (Chelford 14/25) was supplied by RS Minerals. The lime was used as calcium oxide supplied by URS. Compositional analysis by XRF is presented in Table 16.

Table 16: Chemical analysis determined by XRF

Oxide (%)	Material Composition				
	Kaolin*	Calcium Bentonite*	Quartz Sand*	Calcium Oxide	Mined Gypsum
SiO <sub>2</sub>	49.6	57.7	96.9	0.63	0.58
Al <sub>2</sub> O <sub>3</sub>	35.2	18.2	1.5	0.05	0.10
Fe <sub>2</sub> O <sub>3</sub>	0.8	3.1	0.3	0.07	0.06
CaO	0.1	1.9	-	86.01	32.35
SO <sub>3</sub>	-	-	-	0.09	45.97
MgO	0.3	4.2	-	0.23	<0.05
K <sub>2</sub> O	3.3	3.0	0.5	<0.01	0.03
Na <sub>2</sub> O	0.1	1.7	-	0.09	<0.05
P <sub>2</sub> O <sub>3</sub>	-	0.1	-	<0.01	<0.01
TiO <sub>2</sub>	0.1	0.4	-	<0.01	<0.01
Mn <sub>3</sub> O <sub>4</sub>	-	0.1	-	0.23	<0.05
V <sub>2</sub> O <sub>5</sub>	-	<0.05	-	<0.01	<0.01
Cr <sub>2</sub> O <sub>5</sub>	-	<0.05	-	<0.01	<0.01
LOI (%)	10.9		0.50	11.70	20.41
Total (%)	97.1	90.4	99.7	99.18	99.65

Note: \* - taken from the technical datasheet provided by material supplier

The gypsum was supplied by Saint Gobain. It was supplied as crushed gypsum stone direct from the quarrying operation in Lincolnshire. This was prepared by drying at room temperature to remove surface water, then sieved to remove trace impurities of mudstone. The gypsum was passed through a jaw crusher, and then sieved again. The material passing the 425 µm sieve was retained for use in the study. Confirmation that



the material was the dihydrate was obtained by TGA. This along with the XRF and QXRD (see section 4.5) was used to determine the purity of the material:

- Purity of mined gypsum: 97.3% w/w  $\text{CaSO}_4 \cdot 2\text{H}_2\text{O}$

The purity of the lime was also determined by TGA:

- Purity of quicklime: 95.6% w/w  $\text{CaO}$

Also determined was the carbonate impurity content of 0.98% w/w  $\text{CaCO}_3$  and 3.45% w/w  $\text{Ca(OH)}_2$ . This differs from the XRF analysis, where  $\text{CaO}$  content was determined as 86%. The handling of the material on sample preparation may have introduced moisture to the sample increasing the  $\text{Ca(OH)}_2$  content, which is inferred by the LOI of 11.7%. The XRD patterns and TGA plots for all starting materials are supplemented in Appendix A.

#### 4.2.1. Material classification

Material classification was undertaken in accordance with the current HA guidance on soil stabilisation for capping and subbase. The classification tests included in the programme are taken from Table 3/1 Soil Tests for Suitability and Design (HA74/07, DMRB, 2007). The detailed classification data is appended in Appendix 1. The soils used in the investigation are defined by the following Classes (Figure 3/1 HA74/07, 2007):

- Class 7E – cohesive material improved with lime for lime stabilisation
- Class 9D – cohesive material stabilised by lime

The following classification tests were undertaken on the starting materials and blended soil samples (note, XRF was used for determination of  $\text{SO}_4$  content):

- Plasticity Index (BS 1377-2);
- Particle Size Distribution (PSD, BS 1377-2);
- Initial Consumption of Lime (ICL, BS 1924-2); and
- Optimum Water Content (OWC, BS 1924-2)

All the classification tests were undertaken on the blended soil mixtures except for PI, organic matter content and PSD which were undertaken on both the starting materials and the blended soil mixtures.

The level of organic matter is expected to be negligible given that the soil is 'artificial'. Excessive levels of organic material in a soil affect the pH because organic matter decomposes to form a number of organic acids. These lower the pH and thus increase the amount of lime required for stabilisation (Sherwood, 1992).

Outcomes from the classification testing will define the lime binder addition (from ICL test) and Optimum Water Content (OWC). The Initial Consumption of Lime (ICL) test is used to determine the minimum amount of lime required for stabilisation to occur. It corresponds to an increase in the pH of a soil solution to 12.4. At pH 12.4 the alumina and silica from the clay fraction become soluble and are available to undergo cementitious reactions (see Chapter two).

The OWC defines the water content of the soil at which the maximum dry density (MDD) of the soil can be achieved for a given compactive effort. The ultimate strength of a stabilised soil foundation is proportional to the density of the layer. Too dry and the air voids ratio is large. If the material is too wet, then the high water content displaces the soil material leading to a reduction in density.

Total Potential Sulfate (TPS) testing will also be carried out to confirm the correct dosing of the soil with gypsum. The TPS of a soil defines the maximum possible sulfate content. This is important in natural soils where historically the sulfate content was determined by acid digestion (termed Acid Soluble Sulfate, ASS) or dissolution in water (termed Water Soluble Sulfate, WSS). The contribution to the total sulfate content by reduced forms of sulphur (commonly pyritic deposits) was not included, since it couldn't be detected with these two methods. Thus, the sulfate content during ground investigations was not correctly assessed in some cases leading ultimately to the failure of the stabilised layer (Snedker and Temporal, 1990). This was addressed by the Transport Research Laboratory (TRL) resulting in the publication of TRL Report 447 (Reid et al, 2005) which details a standard laboratory test protocol to take account of sulfides (particularly pyrite) in clay soils which may be oxidised leading to enhanced sulfate levels (Czerewko et al, 2003). It recommends that total potential sulfate be determined by Inductively Coupled Plasma-Atomic Emission Spectroscopy (ICP-AES) to determine total elemental sulphur (S), which is then factored to give a total sulfate content as  $\text{SO}_4^{2-}$ . In the case of the soils used in this study, the sulfate is supplied by a relatively pure form of gypsum, which can be determined by ASS testing only. However, the use of ICP-AES allows a relatively quick and accurate determination of sulfate content.

#### **4.2.2. Mixture design**

As described in Section 5.2.1 a quartz sand will be added to the clay to improve the handling characteristics of the soil on manufacturing the specimens and also produce a soil similar to that found insitu. Four dosage levels of calcium sulfate (gypsum) will be added to the clay/sand mix. These are also classified by their Design Sulfate Class (DS) as developed by BRE (BRE SD-1, 2004):

- 0.0% TPS (DS-1) – this will act as a control group, both with lime stabilisation and without lime stabilisation ;
- 0.5% TPS (DS-2) – reported as the lower limit in the literature in some cases at which sulfates can cause deleterious effects;
- 1.5% TPS (DS-4) – taken as a median value and one which is 0.5% higher than the upper limit for soils suitable for stabilisation;
- 5.0% TPS (DS-5) – a relatively high sulfate content will represent an extreme case and should lead to severe volumetric instability and loss of strength.

The soil compositions used in the experimental programme are shown in Table 18. The lime content is determined by the ICL test + 2.5% in accordance with the recommendations in HA74/07 (2007). The amount of lime required (ICL) was determined in accordance with the procedure set out in BS 1924-2, the results of which are presented in Table 17 and are rounded to the nearest 0.5%.

Table 17: Results of Initial Consumption of Lime testing (BS1924-2)

Clay	Initial Consumption of Lime (ICL) + 2.5 (%)
Kaolinite	4.0
Montmorillonite	6.0

Based on the above additions, the amount of 'free' lime in the soil mixtures of each clay type will be equal. The montmorillonite was expected to have a higher ICL value due to the greater cation exchange capacity of this clay (Drever, 1985).

The clay content was fixed at 60% and the remainder comprising a silica sand and sulfate. This was done so that the equivalent concentrations of clay/lime/sulfate were equal over the two clay types. The silica sand is inert and was primarily included to improve the handling characteristics of the soil during specimen manufacture and testing and also produce an artificial soil that more closely reflects that found insitu.

The soil samples were produced by first blending the dry powders of the clay, sand and gypsum (as required) in a 40 L rotary drum mixer. Demineralised water was then added to the OWC+2%. This was thoroughly mixed through the soil before quicklime was added. This was blended into the sample, after which it was sealed in a plastic sack and conditioned for  $24 \pm 1$  hour at  $20 \pm 2$  °C. Following conditioning, the soil was transferred back to the drum mixer and adjusted with further demineralised water back to OWC+2%, on account of the drying effect resulting from the quicklime addition. The sample was then used to produce swell test specimens according to the procedure defined in the relevant standard (see Section 4.3.2 and 4.3.3).



Figure 12: Blending of artificial laboratory soil samples prior to compaction

Table 18 presents the mixture compositions of the soil samples used in the study, along with the target OWC+2 and MDD derived from the classification testing. The OWC of the soil mixtures containing low and medium levels of sulfate (0.5 and 1.5% TPS) were assumed to be the same as that containing the highest level (5.0% TPS). This assumption was based on the fact that there was little difference in OWC content of the soil samples containing only quicklime to that containing 5.0 % TPS ( only 1.0% for the montmorillonite soils, M6L - 23.5% to M6L5S - 22.5%) and was the same for the kaolinite based soils. The soil compositions are expressed in Table 18 as dry weight percentages of the total weight soil.

Table 18: Composition data for artificial soils

Mix ID	Clay Type	% Clay	% Sand	% Sulfate (as SO <sub>3</sub> )	% Binder (CaO)	OWC+2* (%)	MDD** (Mg/m <sup>3</sup> )
K	K	60.0	40.0	0.0	0.0	17.0	1.87
K5S	K	60.0	35.0	5.0	0.0	17.0	1.84
K4L	K	60.0	36.0	0.0	4.0	21.5	1.72
K4L0.5S	K	60.0	35.5	0.5	4.0	21.5 <sup>†</sup>	1.70 <sup>†</sup>
K4L1.5S	K	60.0	34.5	1.5	4.0	21.5 <sup>†</sup>	1.70 <sup>†</sup>
K4L5S	K	60.0	31.0	5.0	4.0	21.5	1.70
M	M	60.0	40.0	0.0	0.0	18.0	1.72
M5S	M	60.0	35.0	5.0	0.0	20.5	1.70
M6L	M	60.0	36.0	0.0	6.0	23.5	1.66
M6L0.5S	M	60.0	35.5	0.5	6.0	22.5 <sup>†</sup>	1.66 <sup>†</sup>
M6L1.5S	M	60.0	34.5	1.5	6.0	22.5 <sup>†</sup>	1.66 <sup>†</sup>
M6L5S	M	60.0	31.0	5.0	6.0	22.5	1.66

Note: K – kaolin, M – montmorillonite, \* - Optimum Water Content (OWC), MDD – Maximum dry density (Mg/m<sup>3</sup>), <sup>†</sup> - assumed based on results from high sulfate mix.

A soil sample was taken from the first mixture of each blend for compositional analysis. Primarily to confirm the sulfate addition was correct. The results of this analysis are provided in Appendix C. The small variability between values can be attributed to differences on the particle size distribution of each individual blended fraction in the powdered sample, since typically the sample is prepared from material <63µm.

### 4.3. MACROPHYSICAL PROPERTY TESTING

#### 4.3.1. Introduction

Macro-physical property testing determines the behaviour of the various soil mixtures when subjected to the UK soaked linear swell test (BS1924-2) and the accelerated European volumetric test (EN13286-49) under the conditions defined in the relevant standard. This testing will determine how the macro-physical properties of the material

are affected by the composition of the soil (in terms of binder and sulfate content) and the varying environmental and structural conditions of the swell test:

- Effect of soil composition – clay type and sulfate content;
- Curing temperature and duration;
- Immersion temperature and duration;
- Effect of specimen structure – material density and relative particle size;
- Effect of surface area exposed to water during immersion

Both test procedures require three specimens to be tested. The average values of the three specimens are reported.

#### **4.3.2. UK Soaked CBR Swell test Procedure (BS1924-2)**

The swell test defined in the British Standard BS1924-2 (1990) has been used as the control test. A sample of stabilised material is compacted into a CBR mould (152 mm diameter × 127 mm high) in approximately three equal layers, using a 2.5 kg rammer (62 blows/layer), then sealed to cure at  $20 \pm 2$  °C for three days. The procedure also includes provisions for soaking the specimens, producing a soaked CBR value. This involves placing the specimens in a water bath at  $20 \pm 2$  °C. A collar is added to the top of the specimen and a perforated base plate is attached to the bottom to allow the ingress of water. The water level is kept just below the top of the collar (Figure 13).

Figure 13: apparatus for measuring the linear swell of a specimen in the UK soaked CBR swell test.

During immersion, water will flow into the sample due to capillary action. If after the first 3 days in the tank there is still little or no water at the top of the specimen, then water is added to the top of the specimen for the remainder of the soaking period prior to testing

for strength. The standard curing regime is a 7 day CBR test which requires a 3 day air cure and a 7 day soak prior to testing. The 28 day swell test is cured in the same manner, but is soaked for 28 days instead of 7 days. The specimen is considered durable if the average CBR value is >15% with no individual result <8%, and the heave is on average < 5 mm with no individual result >10 mm. Specimens undergoing the UK soaked CBR swell test are shown in Figure 14.

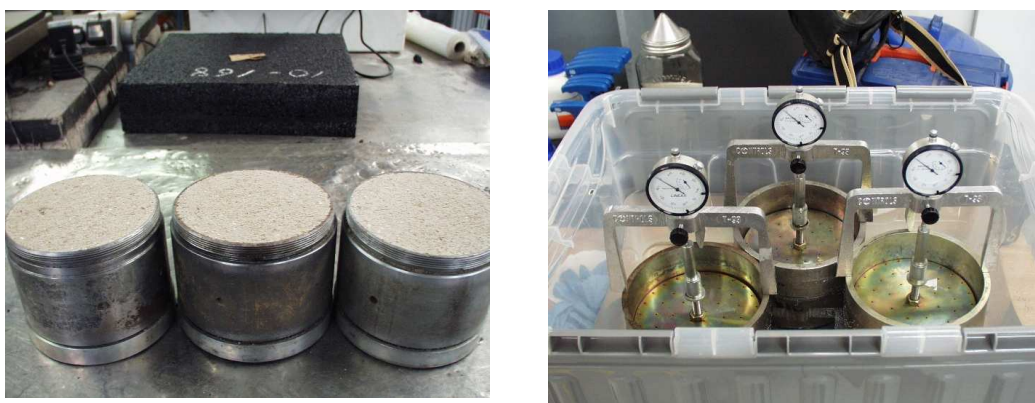


Figure 14: Specimens undergoing the UK soaked CBR swell testing procedure (BS1924-2)

After the specimen has been subjected to either a 7 day or 28 day immersion and the total linear swell recorded, the strength of the soil is determined by measuring the California Bearing Ratio (CBR) Value. The test procedure for this is again defined in BS1924-2. The CBR value of a compacted specimen of stabilized material is obtained by measuring the force required to cause a cylindrical plunger of a specified size to penetrate the specimen at a defined rate. From the test results an arbitrary coefficient, the CBR value is calculated. This is done by expressing the forces on the plunger for a given penetration as a percentage of a standard force. The standard forces are defined in Table 6.

Table 6: standard forces for 100% CBR

<b>Force (kN)</b>	11.5	17.6	22.2	26.2	30.3	33.5
<b>Penetration (mm)</b>	2	4	6	8	10	12

The CBR value is calculated at 2.5 and 5.0 mm penetration of the plunger and the highest calculated value is reported. The testing is undertaken on both the top and the bottom of the specimen. The determination of the California Bearing Ratio on a specimen that has been subjected to the UK CBR Swell test is shown in Figure 15. Both the top and bottom of the specimen are tested and the results are reported separately.



Figure 15: A 7 day swell test sample undergoing CBR testing

#### **4.3.3. European Accelerated Volumetric Test (EN13286-49)**

This standard requires a set of three 50 mm diameter x 50 mm high specimens to be produced to  $96\pm 0.5\%$  of the "Normal Proctor" wet density, manufactured using axial compression. The specimens must be manufactured using material passing the 6.3 mm sieve. They are then stored at  $20\pm 2\text{ }^{\circ}\text{C}$  at more than 90% humidity for a period between 1.5 and 2 times the workability period of the mixture (if lime and cement are used) or  $72\pm 2\text{ h}$  for lime only. The specimens are then prepared for immersion by confining them with a fabric cover held in place with elastic bracelets, then fully immersed for  $168\pm 4\text{ h}$  in water at  $40\pm 2\text{ }^{\circ}\text{C}$ . After this time the specimens are measured and the percentage volumetric expansion (Gv) is calculated.

The equipment used to make the specimens and cure them is shown in Figure 16 and Figure 17. The humidity in the climatic chamber used to cure the specimens is maintained at greater than 90% by a saturated solution of potassium chloride at the bottom of the chamber.



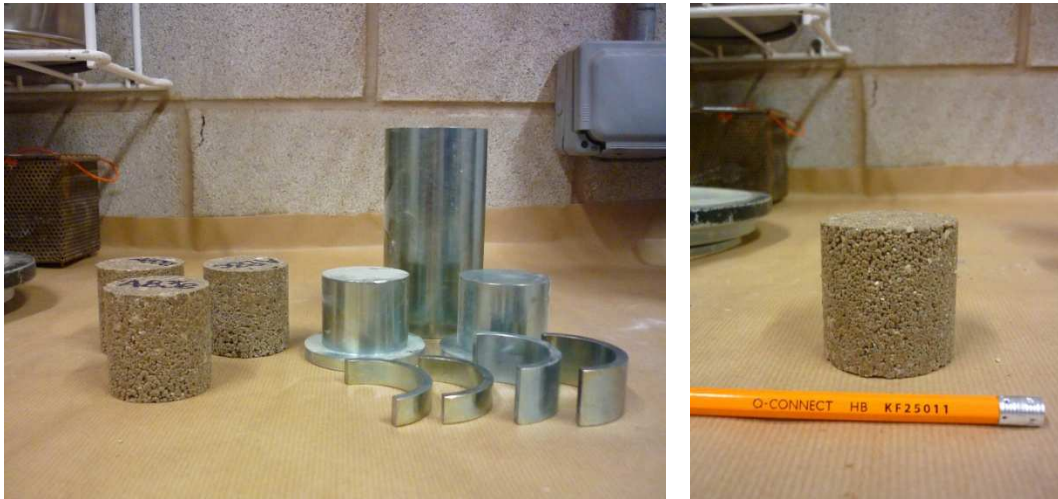


Figure 16: Specimen moulds and soil specimens produced to EN 13286-49

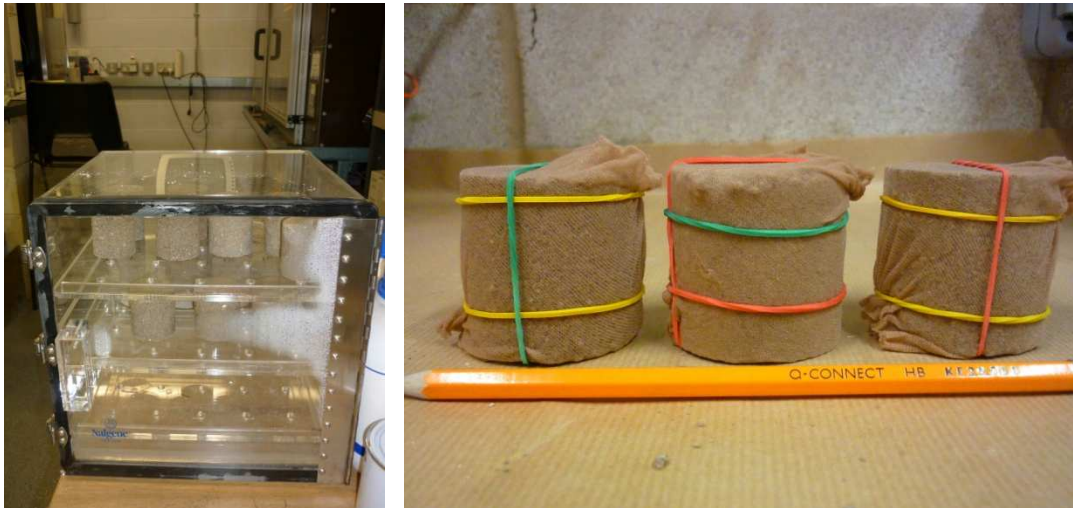


Figure 17: Climatic air curing chamber and wrapped specimens prior to immersion (EN 13286-49)

Digital callipers are used to measure the dimensions of the specimen periodically during the immersion period. The volume of the specimen is calculated from the average height (determined from two measurements) and the average diameter (three measurements) in accordance with Figure 7.

Figure 18: Method for determining the volume of a specimen

The initial volume ( $V_0$ ) after demoulding is measured prior to immersion. The volume after immersion ( $V_1$ ) is measured at the end of the soaking period. The volume of the specimen and the confinement wrapping is  $V_2$ . Volumetric expansion ( $G_v$ ) is given by (4a):

$$(4a) \quad G_v(\%) = 100 \times \frac{[(V_1 - V_2)]}{V_0}$$

where:  $V_0$  is the initial volume,  $V_1$  is the volume after immersion,  $V_2$  is the volume of the confinement wrapping

The European accelerated swelling test is unconfined and allows expansion in all directions. The pass/fail criteria for this test is given in the European standard prEN 14227-11 (2006) 'Hydraulically bound mixtures, Specifications - Part 11: Soil treated by lime'. It defines that if the volumetric swell ( $G_v$ ) at the end of the test is greater than 5% then the soil is not suitable for stabilisation. However it does note that 'where the volumetric swelling is greater than 5% but does not exceed 10%, the use of the mixture is generally not possible; however a complementary study can be made according to experience at the place of use.'

#### **4.3.4. Summary**

Table 19 provides a summary of the conditions required for swell testing using the test procedures BS1924-2 and EN 13286-49. BS1924-2 specifies immersion in water for 7 and 28 days. This has been extended in the study to 90 days to determine the effect long-term immersion has on the material behaviour and composition. Similarly specimens undergoing EN 13286-49 were also immersed for up to 14 days (336 hours) in some cases (see Chapter 7).

Table 19: Summary of conditions used in the UK CBR Linear Swell test and the European Accelerated Volumetric Swell test

Property/Condition	Test	
	BS 1924-2	EN 13266-49
Particle Size (mm)	<20	<6.3
Specimen Size (mm)	CBR Mould 152 × 127	50 × 50
Compaction	Proctor (3 × 64 blows/layer)	Static Compaction at $96 \pm 0.5\%$ 1 point normal proctor density
Air Cure†	3 days at 20 °C	$72 \pm 2$ hours at 20 °C
Immersion†	7 or 28 days at 20 °C	$168 \pm 4$ hours at 40 °C
Test	Linear Swell (mm) and CBR value (%)	Volumetric Expansion ( $G_v$ )
Suitability Criteria (average of 3 specimens)	CBR >15%, no individual <8% Linear swell <5mm, no individual >10mm	$G_v$ <5% suitable, $5 \leq 10$ generally not suitable*, $\geq 10\%$ not suitable

Key: \* - can still be used subject to further testing in the place of use. † - the units differ between the two tests. They have been reported here as found in the relevant standard.

#### 4.4. EARLY AGE STUDY

##### 4.4.1. Introduction

The early age study refers to additional work undertaken using the high sulfate test soils (K4L5S and M6L5S) and the European accelerated volumetric swell test (EN13286-49). The purpose of this work was to further examine the role of the test conditions in the swell process and the underlying changes in phase composition and microstructure that accompany it.

Because the specimens were relatively very small compared to the CBR specimens, it is possible to make quite a large number, quickly, from the same batch of test soil mixture. The total time for testing is also shorter than the BS1924-2 swell test. Even if the curing regime is extended by 200 %, the total test time is still only 17 days.

It is envisaged the early age study will provide further insights into the phenomena of sulfate heave.

#### 4.4.2. Methodology

The two high sulfate lime stabilised clays are to be used. Eight specimens are prepared in accordance with, and subject to, the European Accelerated volumetric test (EN13286-49). The schedule by which the specimens are measured and analysed is given in Table 20. A set of three specimens are used for the measurement of volumetric swell. The remaining five specimens are then removed from the test and freeze dried to arrest any further chemical changes. They are then subject to the spectroscopic and chemical analysis detailed in Section 4.5. The specified immersion period is seven days, this has been extended to 14 days to determine the effects of extended immersion on the physicochemical properties.

Table 20: Test regime for early age study

Event	Specimen ID	
	K4L5S	M6L5S
Soil mixing + 1 h	EAK1	EAM1
Soil mixing + 24 h	EAK2	EAM2
End Curing (72 h)	EAK3	EAM3
Immersion + 1 h	EAK4	EAM4
Immersion + 24 h (1 day)	EAK5	EAM5
Immersion + 72 h (3 days)	EAK6	EAM6
Immersion + 168 h (7 days)	EAK7	EAM7
Immersion + 336 h (14 days)	EAK8	EAM8

#### 4.5. ANALYTICAL METHODOLOGY

Objectives two and three of the research are concerned with how the underlying chemical environment of the artificial soil sample affects the observed macro-physical properties, when they are subject to the two swell test procedures previously described. A range of analytical methods were used to characterise the structure and phase composition of the soils. These are described in Appendix B. Their application for use in the research is reported in the following sections.

#### 4.5.1. Sample Preparation

Samples were taken from the top 5 mm of the CBR moulds after they had undergone testing in accordance with the UK linear swell test procedure and from the side of the European accelerated swell test specimens using a palette knife. This material was crumbled into glass dishes. These were then freeze-dried by first freezing at -80°C overnight, then vacuum dried. This procedure halted the hydration reactions thus preserving the phase assemblage and what original micro-structure remained (petrographic analysis is difficult to perform on low strength materials due to the cutting and polishing required to produce a surface suitable for analysis). Samples for Scanning Electron Microscope (SEM) were reserved as is. Those for XRD and TGA were gently ground with a mortar and pestle then passed through a 425 µm sieve to yield a homogenous powder.

#### 4.5.2. X-Ray Diffraction (XRD)

The X-ray diffraction pattern of a crystalline material is unique. This pattern is defined by the spacing of the crystallographic planes according to Bragg's Law (4b):

$$(4b) \quad n\lambda = 2d\sin\theta$$

where:  $n$  is an integer,  $\lambda$  is the wavelength of radiation used,  $d$  is the spacing of the crystallographic planes, and  $\theta$  the angle of the diffraction peak.

The peak intensity is determined by the type of constituent atom and their positions in the crystal lattice by the structure factor (4c):

$$(4c) \quad F(hlk) = \sum_{i=1}^N f_n \exp 2\pi i(hx_n + ky_n + lz_n)$$

where:  $hkl$  are the Miller indices of the reflecting planes,  $f_n$  the atomic structure factor, and  $x_n$ ,  $y_n$ , and  $z_n$  are the coordinates of the  $n$ th atom in the unit cell containing  $N$  atoms

X-Ray Diffraction (XRD) was used to identify the crystalline phases of the soil samples before, during and after swell testing. It was also used to quantify the key phases involved in the formation of deleterious sulfate phases.

The prepared soil powders were analysed on standard 27 mm sample holders using a Siemens D500 diffractometer using CuK $\alpha$  radiation at an accelerating voltage of 40 kV and 25 mA current. Two scan programmes were used:

- Scan 1: 5 – 70° 2 $\theta$ , 0.05° step at 5s/step
- Scan 2: 8 – 10.5° 2 $\theta$ , 0.02° step at 60s/step

Scan 1 was used for phase identification by pattern fitting against the reference patterns of the International Centre for Powder Diffraction (ICDD), database PDF-2 (2011). Scan 2 was used for quantification of ettringite and monosulfate. The 100 (hkl) reflection (along the crystallographic axis) of ettringite occurs around  $9.1^\circ 2\theta$  and a broader reflection of monosulfate around  $9.65 - 9.8^\circ 2\theta$  (Cohen et al, 1983). A relatively long count time at each step (60s) increases the accuracy of the peak measurement and hence phase quantification. The standard deviation (SD) of discrete counts is given by (4d):

$$(4d) \quad SD = \sqrt{\frac{N}{N}}$$

where  $N$  = total number of counts

The [100] peak recorded from the soils in the low angle studies was compared to the [100] reflection of a laboratory synthesised ettringite 'standard' for comparison. The synthesis methodology and analysis of the ettringite reference standard is contained in Appendix B.

Samples were analysed after the soil mixtures had completed both the UK Linear Swell test and the European Accelerated Swell test. Additional samples were taken to analyse the changes in chemical composition on stabilisation and at various stages of the swell test (Chapter Seven).

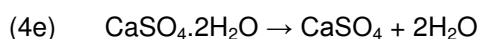
#### **4.5.3. Scanning Electron Microscope and Energy Dispersive X-ray Analysis (SEM-EDX)**

Soil samples were analysed using a Philips FEI XL30 Scanning Electron Microscope (SEM) to investigate the morphology of the crystalline phases and as far as possible the microstructure. After freeze-drying, specimens were mounted on SEM stubs using carbon discs. The samples were then coated with Platinum using a Polaron SC7640 sputter coater. Analysis was undertaken in high vacuum at a pressure of about  $3.5 \times 10^{-6}$  mbar, using an accelerating voltage of 5 – 20 kV, working distance of 10 – 20 mm, and a spot size of 2 – 5 (arbitrary units). The image was composed from detection of secondary electron emissions.

Energy Dispersive X-ray (EDX) spectroscopy was undertaken to determine the elemental composition using point analysis. This was achieved using an accelerating voltage of 15 kV, a working distance of 15 mm and a spot size of approximately 5 (arbitrary units). The INCA EDX software programme was used for data and image processing.

#### 4.5.4. Differential Thermal Gravimetric Analysis (DTGA)

Differential Thermal Gravimetric Analysis (dTGA) measures the change in mass of a material as a function of temperature. Typically this is a loss in mass brought about when an increase in the temperature causes dehydration and or decomposition of a phase. The results are most easily analysed by plotting the derivative mass loss as a function of temperature. A phase can be identified by determining its characteristic mass loss at specific temperatures. For example the dehydration of gypsum to anhydrite occurs at 105 °C (4e):



The calculation of the gypsum content is therefore (4f):

$$(4f) \quad \text{Gypsum (\%)} = W_l (\%) \times \left( \frac{M_r \text{CaSO}_4 \cdot 2\text{H}_2\text{O}}{M_r \text{H}_2\text{O}} \right)$$

Where:  $W_l$  – percentage weight loss determined by TGA,  $M_r \text{CaSO}_4 \cdot 2\text{H}_2\text{O}$  – molar weight gypsum,  $M_r \text{H}_2\text{O}$  – molar weight water.

Perkins & Palmer (1998) described the analysis of pure AFt at 40 – 900 °C. The mass loss occurred over four distinct temperature intervals:

- 40 – 180 C, 33% mass loss, loosely bound water
- 200 – 280 C, 4.3% mass loss, 3 remaining H<sub>2</sub>O
- 280 – 500 C, 4.2% mass loss, 3 more H<sub>2</sub>O
- 600 – 900C, 3.8% mass loss, 3 more H<sub>2</sub>O

For the pure ettringite phase this represents a total mass loss of 45.9 %, equating to 31.5 water molecules.

The soil samples used in the XRD analysis were also subject to TGA under nitrogen at 20ml/min, using the following programme:

- Hold for 10min at 30 °C
- Heat from 30 °C to 180 °C at 2 %/min;
- Hold for 5min at 180 °C; and
- Heat from 180 °C to 900 °C at 10 %/min.

#### 4.5.5. X-Ray Computer Tomography

X-Ray Computer Tomography (X-Ray CT) is an imaging technique that allows a three-dimensional image to be compiled from two-dimensional X-Ray images taken around a

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single axis of rotation. It is used here along with the image processing software ImageJ to characterise the void structure of swell test specimens produced in accordance with the two swell test procedures. A XTEC X-ray CT analyser was used in the analysis. All images were acquired using the mini-focus 350kV X-ray source system and linear detector. The images were processed using the software IMPS III

Specimens produced for the European Accelerated Swell test (EN 13286-49) were run as is. However, the specimens produced for the UK linear Swell test (BS 1924-2) are manufactured in CBR moulds. These specimens had to be extruded from the mould before analysis. It was assumed that the extrusion process had a negligible effect on the existing void structure.



## 5. MACROPHYSICAL PROPERTY TESTING

### 5.1. INTRODUCTION

This chapter reports the results of the macro-physical property testing of the artificial soils. The methodology of the two swell tests used, are described in chapter four. The measured dimensional changes on immersion in water, as well as the strength of the soil are provided.

The UK linear swell test (BS1924-2) measures the strength of both the top and bottom of the specimen (as % CBR value) as well as the linear swell of soil specimens that are immersed in water for a period of 7 or 28 days. In current UK guidance (HA74/07), for a soil mixture design to be suitable for use it must fulfil the following criteria:

- the average linear swell at 28 days must be <5 mm, with no individual being > 10 mm; and
- the average CBR value must be >15 %, with no individual <8 %.

The European accelerated volumetric swell test (EN13286-49) measures only the volumetric swell ( $G_v$ ) of specimens immersed at an elevated temperature (40 °C) for a period of 168 hours (7 days), the requirements are:

- the soil design is considered suitable for use if the volumetric swell is <5 %;
- if  $5 < G_v \leq 10$  %, then the material is not considered suitable, but may still be used subject to additional testing in the place of use; and
- if  $G_v \geq 10$  %, the soil design is not suitable.

*Note: All swell and strength tests of BS1924-2 and EN13286-49 are average values determined from the testing three specimens per soil mixture.*

### 5.2. PHYSICAL RESPONSE TO THE UK LINEAR CBR SWELL TEST (BS1924-2)

#### 5.2.1. Early age linear swell at 7 days immersion

Figure 19 shows the linear swell response of the artificial soil mixtures up to an immersion period of 7 days.

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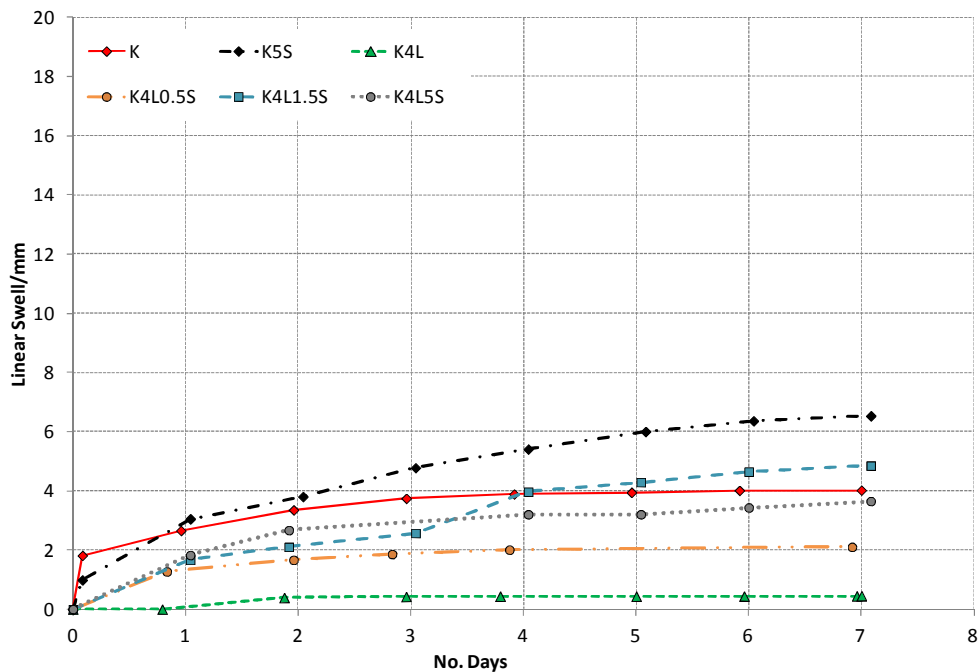


Figure 19: Swell behaviour of Kaolin soils subject to the UK 7 day CBR Linear Swell test (BS1924-2)

The unstabilised soil mixtures showed the greatest linear swell over the immersion period. K5S reached a maximum at 4 days exhibiting a swell 2 mm more than the kaolin mixture without sulfate (K). On stabilisation (K4L), the unsulfated clay exhibits a markedly reduced swell response, in fact < 1 mm. This is typically associated with the development of a cementitious matrix binding and consequently stabilising the clay particles, confirmed by measurement of the soils pH (12.80). The incorporation of sulfate into the soil results in an increased linear swell response on immersion over the unsulfated lime stabilised mixture (K4L). Soil K4L0.5S (0.5 %  $\text{SO}_4$ ) exhibited a linear increase to 2 mm, which reached a plateau at approximately 3 days. The soils containing sulfate at 1.5 and 5.0 % respectively (K4L1.5S and K4L5S) exhibited a greater linear swell response. At 7 days, K4L1.5S had swelled more than K4L5S. The rate of swell also increased noticeably at 3 days when the top of the specimen was flooded. This was the only stabilised soil tested to exhibit this behaviour. At 7 days, however, both K4L1.5S and K4L5S were continuing to expand at roughly the same rate. Interestingly, up to about 1 day, all the sulfated soils are expanding at the same rate irrespective of sulfate content. The pH of all the soils was still > 12.4 at the end of the 7 day immersion period.

The response of the montmorillonite soils to the 7 day UK linear swell test is shown in Figure 20.

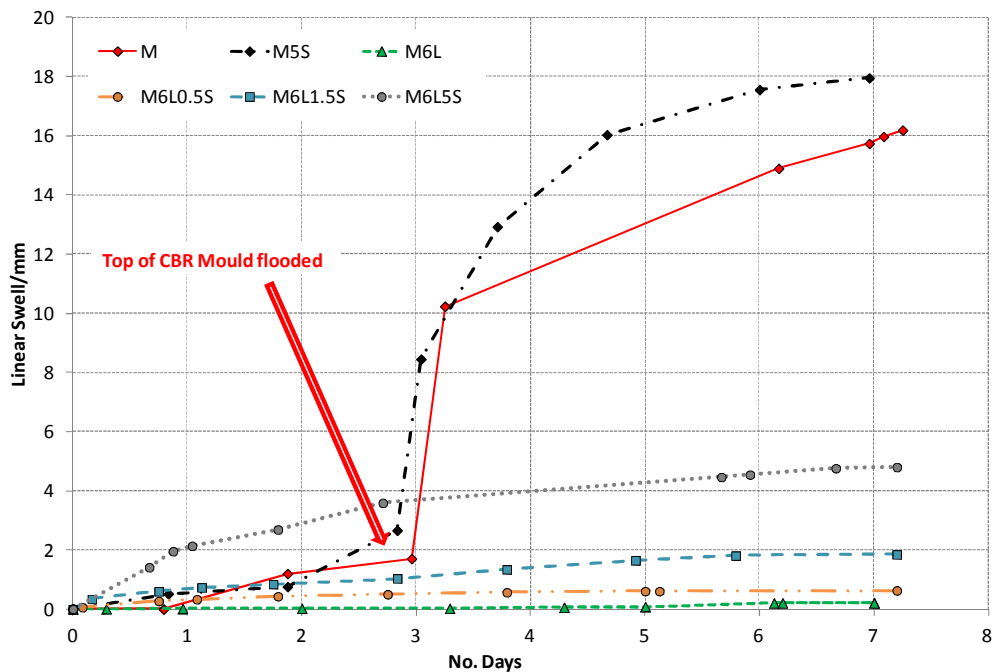


Figure 20: Swell behaviour of Montmorillonite soils subject to the UK 7 day CBR linear swell test (BS1924-2)

The unstabilised clay both with and without sulfate (M5S and M respectively) swelled considerably more than the unstabilised kaolinite soil. This can be attributed to the formation of a Gouey-Chapman electric double layer (as described in chapter two) on the clay particle surfaces, and is characteristic of 2:1 layer structured clays (Reeves et al, 2006). The rate of swell increased dramatically when the top of the CBR mould was flooded after 3 days of immersion. The stabilised clay without sulfate (M6L) showed the greatest dimensional stability, swelling by <math><1\text{ mm}</math>. The addition of sulfate resulted in an increase in linear swell, the degree of which was proportional to the concentration of sulfate in the mixture. The order of swell was  $M6L0.5S < M6L1.5S < M6L5S$ . At the lowest sulfate content (0.5 %) the linear swell stabilised at about 2 – 3 days, whereas, both M6L1.5S and M6L5S were continuing to swell at 7 days. M6L5S exhibited a much greater swell response compared to M6L1.5S.

The water contents of the soil taken from the top and bottom of the specimens after testing are shown in Figure 21.

Figure 21: water content of soils after 7 days immersion (BS1924-2)

The kaolin soils exhibit relatively little variation in water content compared to the montmorillonite soils. The mixtures containing sulfates have water contents approximating that of mixture K4L. In addition, little variation is found between the water content from the top and bottom of the specimens. The montmorillonite soils on the other hand have greater water content than the kaolinite soils across the series, with the unstabilised clays (M and M5S) measuring water contents of nearly 60 % from the top of the CBR mould. The sulfated soils M6L0.5S and M6L1.5S approximated that of the stabilised clay without sulfate (M6L). However, mixture M6L5S had a water content nearing that of the unstabilised material and exhibited the greatest difference in water content measured from the top and bottom of the specimens of all the stabilised clays tested.

### 5.2.2. Soil strength (CBR value) after 7 days immersion

The results of the strength testing (CBR) of the soils after 7 days immersion, as well as other data for both soils are presented in Table 21 and Table 22.

Table 21: Kaolin soil mixtures CBR strength at 7 days

Mix ID	K	K5S	K4L	K4L0.5S	K4L1.5S	K4L5S
Dry Density (Mg/m <sup>3</sup> )	1.75	1.69	1.61	1.64	1.62	1.59
CBR Top (%)	2.0	1.0	18	16	18	11
CBR Bottom (%)	7.7	7.1	18	32*	44*	24*
pH (CBR Top)	-	-	12.76	12.87	12.98	12.92

Note: \*maximum strength of superficial 'crust'. At depths of >2.5 mm CBR value tended to <10 %.

Table 21 shows that the CBR value of the unstabilised kaolin soils is not affected by the addition of sulfate. Both are considered extremely weak with CBR values of 2.0 and 1.0% (K and K5S respectively) at the top and 7.7 and 7.1% on the bottom. On stabilisation (K4L) the strength is increased by the same degree at either end of the specimen. The effect of sulfate on the soil (K4L0.5S, K4L1.5S, K4L5S) is a general trend of increasing the CBR strength on the bottom of the specimens, while the top remains largely unaffected, despite the proportional increase in dimensional instability with increasing sulfate content (Figure 19). The bottom of the specimens, in which the soil is confined by the swell plate, exhibited a pronounced increase in soil strength compared to the stabilised control (K4L) however, the results are misleading. The standard used (BS1924-2) required the calculation of the CBR strength at two penetration points (2.54 and 5.0 mm) with the highest value being reported as the percentage CBR strength of the soil. The stabilised sulfated soils appeared to form a superficial 'crust' of about 2.5 – 3.0 mm in thickness, which was relatively strong. Once the CBR plunger had penetrated through this, the material underneath was relatively weak and lacked any measureable soil strength. Consequently although the CBR values appear high, the true soil strength is much less, taking into account much weaker soil directly under the surface of the specimen. The pH of all the soils subjected to stabilisation was maintained at >12.4, confirming that pozzolanic development of a cementitious matrix was on-going, and that should ettringite have formed, it would remain stable.

Table 22: Montmorillonite soil mixtures CBR strength at 7 days

Mix ID	M (2)	M3S (4)	M6L (5)	M6L0.5S (13)	M6L1.5S (7)	M6L5S (6)
<b>Dry Density (Mg/m<sup>3</sup>)</b>	1.43	1.37	1.41	1.46	1.30	1.38
<b>CBR Top (%)</b>	<1	<1	25	30	6.0	2.0
<b>CBR Bottom (%)</b>	2.4	2.8	51	41	27	18
<b>pH (CBR Top)</b>	-	-	12.87	12.93	12.91	12.85

The unstabilised montmorillonite soils (M and M5S) exhibited very low strengths after 7 days immersion. On stabilisation, the degree of increase in soil strength was much greater than that of the kaolin soils, although a greater degree of variation exists between the top and bottom of the specimens. M6L0.5S showed a marginal increase in strength on the top face of the specimen, but a reduction on the bottom. The soils M6L1.5S and M6L3S exhibited much reduced strength on the top face - almost to that of the unstabilised clays. The bottom faces showed higher strengths, but again trending towards a decrease in strength with increasing sulfate content. The strength of the soils followed

the same series as the linear swell results ( $M6L0.5S < M6L1.5S < M6L5S$ ). Again the pH of all soils was  $>12.4$  as measured on material taken from the top of the specimen.

### 5.2.3. Linear swell over extended 28 days immersion

Kaolin soils subject to an immersion period of 28 days are shown in Figure 22. The unstabilised soils showed the greatest increase in linear swell. K5S swelled significantly more than the unstabilised kaolin without sulfate from the outset of immersion. They reached a plateau at different time intervals with linear swells of 5.7 (ca. 13 days) and 9.1 mm (26 days) respectively (K and K5S). The stabilised clay exhibited the greatest degree of dimensional stability swelling by only 0.6 mm at 6 days and remained stable thereafter. The sulfated soils all exhibited swelling. K4L0.5S swelled to approximately 2.0 mm by 4 days immersion and again remained stable till the end of the test. Both K4L1.5S and K4L5S exhibited a proportional increase over time. Interestingly, although the overall proportion of swell was larger at the highest sulfate content (K4L5S), the rate of increase was approximately the same for both soils. At 28 days, both were continuing to swell, indicating on-going changes in composition within the cementitious matrix.

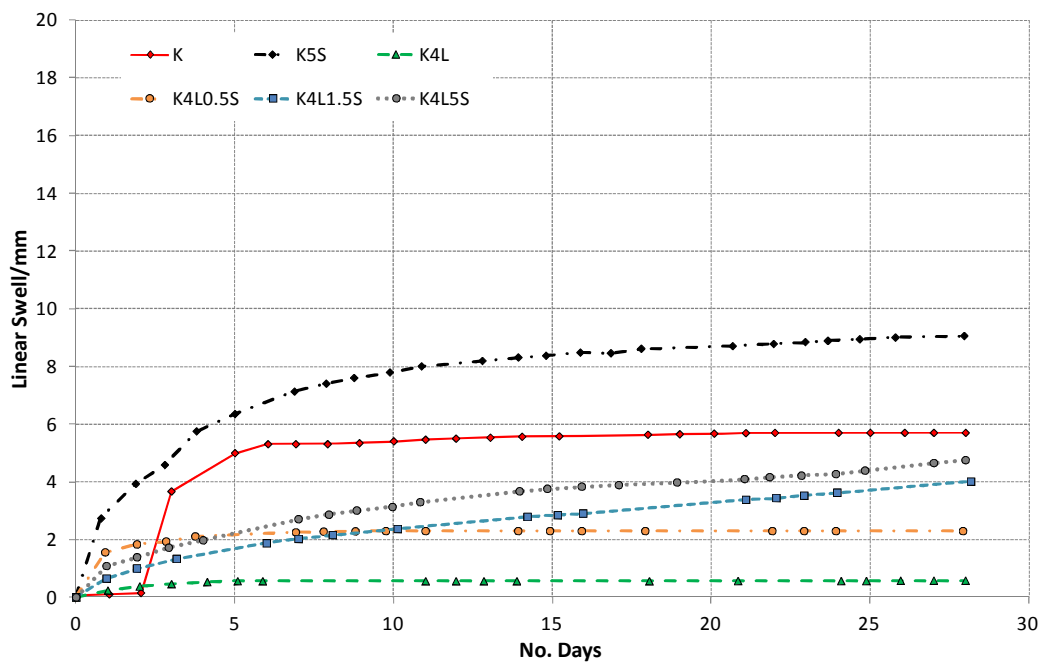


Figure 22: Swell behaviour of Kaolin soils subject to the UK 28 day CBR Linear swell test (BS1924-2)

Montmorillonite soils subject to 28 day linear swell testing are shown in Figure 23. The unstabilised clays once again exhibit the greatest degree of linear swell (M – 24.5 mm and M5S – 16.5 mm). Contrary to the unstabilised kaolin clays at 28 days, it is the unsulfated clay that swelled the most. Immediately on immersion soil mixture M5S exhibited a relatively large swell response reaching in excess of 8 mm in the first 24 h, in comparison to M, which took over 4 days to achieve the same degree of linear expansion. The stabilised soil M6L exhibited negligible swell (max 0.02 mm) over the duration of the test. The low sulfate soil (M6L0.5S) exhibited a swell response similar to that of the equivalent kaolin (KL0.5S). A total linear swell of 2.1 mm was observed, which reached a maximum after approximately 4 days immersion. The medium and high sulfate clays (M6L1.5S and M6L5S) exhibited an extremely similar swell response, both in the rate of swell and the total dimensional increase. The rate of swell at 28 days of soil M6L5S remained constant indicating that expansive reactions were continuing, whereas the results indicate that the medium sulfate clay (M6L1.5S) was starting to plateau at around 20 days, evidenced by a flattening of the swell curve and a lower total swell at the end of the immersion (7.3 mm compared to 8.1 mm).

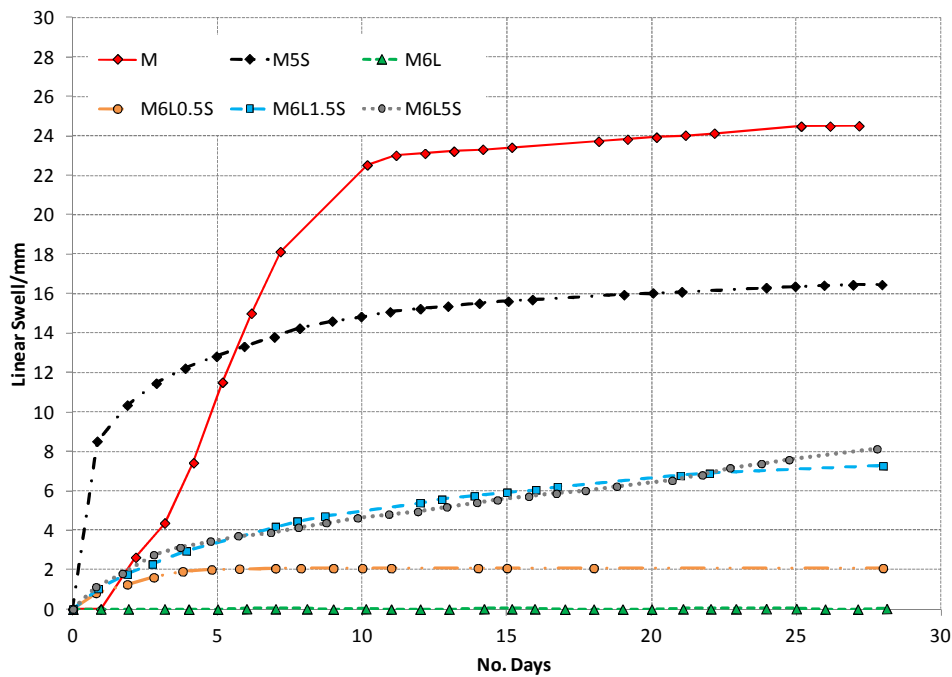


Figure 23: Swell behaviour of Montmorillonite soils subject to the UK CBR Linear Swell test at 28 days (BS1924-2)

Figure 23 shows the water contents of the soil taken from both the top and bottom of the CBR specimens after 28 days immersion. Common to both is a proportional increase in water content of the top of the specimen with sulfate content. The bottom of the

specimens remained broadly similar through the whole range of soil mixtures. Again (and as expected) the unstabilised montmorillonite soils exhibited higher water contents than the kaolin equivalents. The stabilised mixtures (K4L and M6L) had similar water contents. The difference between the top and bottom increased with sulfate content and linear swell. Surprisingly the high sulfate montmorillonite (M6L5S) had a lower water content at 28 days than at 7 days - 48% and 3% compared to 64% and 50% (CBR top and CBR bottom face respectively).

Figure 23: water content of soils after 28 days immersion (BS1924-2)

#### **5.2.4. Soil strengths (CBR value) after 28 days immersion**

Table 23 presents the soil strength of the kaolin mixtures after 28 days immersion. The unstabilised clays had CBR values of < 1% tested at both the top and bottom of the specimens. The low sulfate mixture K4L0.5S had a higher strength than the control (K4L), measuring 7% higher on the top and 35% higher on the bottom. Both the medium and high sulfate mixtures had strengths lower than the control, with K4L5S approaching that of the unstabilised soils measured on the top of the CBR specimen. Again the bottom faces of the sulfated kaolin soils developed a 'crust' of material that gave an artificially high strength as measured by the CBR test. The pH of all the specimens remained sufficiently alkaline despite the extended duration of immersion.



Table 23: Kaolin soil mixtures CBR strength at 28 days

Mix ID	K	K5S	K4L	K4L0.5S	K4L1.5S	K4L5S
Dry Density (Mg/m <sup>3</sup> )	1.73	1.70	1.62	1.64	1.65	1.61
CBR Top (%)	<1	<1	32	39	29	3.4
CBR Bottom (%)	<1	<1	34	69*	78*	10*
pH (CBR Top)	-	-	12.81	12.63	12.87	12.76

Note: \* - maximum strength of superficial 'crust'. At depths of >2.5 mm CBR value tended to <10 %.

The results of the 28 day soaked CBR testing of the montmorillonite soils are given in Table 24. The unstabilised soils again had CBR values of < 1%. The control soil (M6L) achieved a relatively high strength; double that of the kaolin (K4L), 42% higher on the top and 33 % higher on the bottom than the equivalent soil tested at 7 days. All the stabilised soils exhibited higher strengths on the bottom compared to the unconfined top of the specimen. Increasing the amount of sulfate, resulted in a proportional decrease in soil strength in the order M6L > M6L0.5S > M6L1.5S > M6L5S. Again the pH of the soil remained sufficiently alkaline after 28 days immersion.

Table 24: Montmorillonite soil mixtures CBR strength at 28 days

Mix ID	M	M5S	M6L	M6L0.5S	M6L1.5S	M6L5S
Dry Density (Mg/m <sup>3</sup> )	1.51	1.53	1.40	1.41	1.42	1.43
CBR Top (%)	<1	<1	67	41	15	11
CBR Bottom (%)	<1	<1	84	90	79	52
pH (CBR Top)	-	-	12.83	12.46	12.39	12.45

### 5.2.5. Selected long-term (9 month) swell tests

In addition to the tests described above, three soils were also selected for long term swell testing (9 month immersion period): K4L1.5S; K4L5S; and K4L10S, as well as the equivalent soils made with the montmorillonite clay. Initially the purpose of including the very high sulfate soil (10% SO<sub>4</sub>), was to use the stock set of blended soils to define the relationship between sulfate content, ettringite formation and dimensional stability. The idea was then to model the likely behaviour of the 10% sulfate blended soil and evaluate this in comparison with observed experimental behaviour. As will be described, the soil did not behave as expected. Table 25 gives the combined results of the macrophysical property testing.

Table 25: Macrophysical property results long term swell testing (BS1924-2)

Mix ID	K4L1.5S	K4L5S	K4L10S	M6L1.5S	M6L5S	M6L10S
Dry Density (Mg/m <sup>3</sup> )	1.55	1.53	1.57	1.38	1.39	1.41
Total Linear Swell (mm)	9.6	24.1	24.5	5.9	13.0	11.7
CBR Top (%)	28	24	32	57	23	50
Water Content Top (%)	54.4	71.4	39.5	47.9	61.0	30.4
CBR Bottom (%)	223	-	-	-	-	-
pH (CBR Top)	10.16	9.52	9.40	9.65	9.56	9.75

Unfortunately, five of the six bases on the CBR moulds cemented fast and could not be removed. Therefore only one CBR value from the bottom face could be measured. In comparison with the 28 day CBR values, the long term values were higher for all the soils except K4L1.5S, which was roughly the same. The increase in CBR value (and linear swell) going from 28 day to 9 months immersion is presented in Table 26

Table 26: Change in CBR value and linear swell (7 months compared to 28 days)

Mix ID	K4L1.5S	K4L5S	K4L10S	M6L1.5S	M6L5S	M6L10S
Δ Linear Swell (mm)	5.6	19.1	-	-1.3	6.6	-
Δ CBR Top (%)	0*	5.1	-	42	12	-

Note: \* - the actual value was -1%, but this is highly unlikely. It is suggested that an equivalent strength was achieved between the two specimens and this actual value indicates a degree of measurement error

At the end of the immersion period, the top face of the specimens was observed to be well cemented, in comparison to a much softer and wetter appearance in the 28 day tests. The single CBR value taken from the bottom face (K4L1.5S) was high (223%)

The linear swell plots for the kaolin and montmorillonite soils are given in Figure 24 and Figure 25. These show quite different behaviour. The kaolin (Figure 24) shows (after an induction period) a linear increase in swell for all the soils irrespective of sulfate content. K4L1.5S reached a plateau at about 65 days, whereas both K4L5S and K4L10S continued to swell at the same rate till about 205 days where they started to plateau.

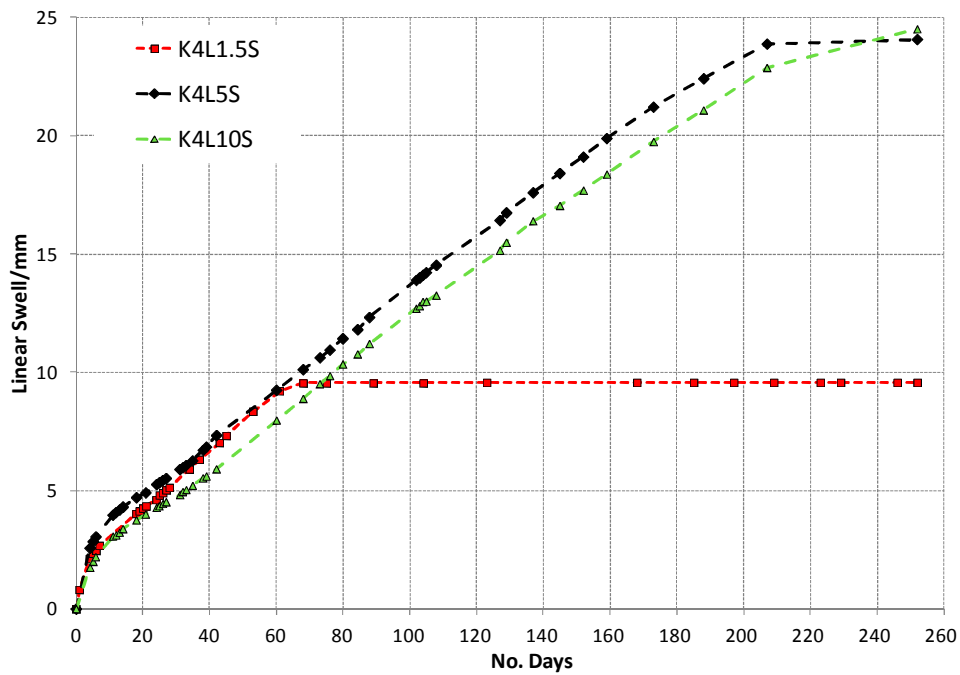


Figure 24: Long term swell tests (BS1924-2) of the kaolin soils

The montmorillonite soils on the other-hand exhibited a different type of swell behaviour. Again following an initial induction period, where the rate of swell was roughly the same for all three soils up till about 20 days immersion. After which soil mixture M6L1.5S reached a plateau at about 28 days (similar to the 28 day test), whereas M6L5S and M6L10S continued to swell (reaching a plateau at about 120 days) in a similar manner.

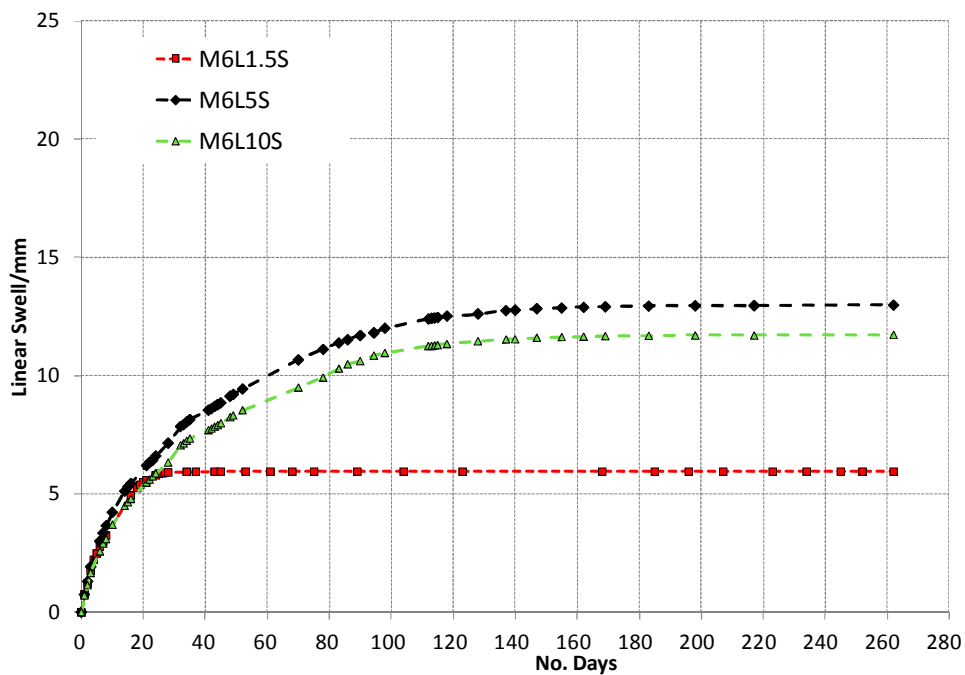


Figure 25: Long term swell tests (BS1924-2) of the montmorillonite soils

### 5.3. PHYSICAL RESPONSE TO THE EUROPEAN ACCELERATED SWELL TEST (EN13286-49)

#### 5.3.1. Kaolin based soils

Figure 26 shows the plots of the average volumetric expansion ( $G_v$ ), based on testing of three identical specimens of the kaolin soils over the standard immersion period. The unstabilised clays disintegrated almost immediately. The stabilised clay swelled by 8.1%, which was reached 2 – 3 days after immersion. The degree of volumetric swell exhibited by the sulfated clays was proportional to sulfate content and followed the series  $K4L < K4L0.5S < K4L1.5S < K4L5S$ . The low sulfate soil  $K4L0.5S$  swelled to completion within the standard immersion period.  $K4L1.5S$  appeared to be reaching a plateau at 7 days immersion, while  $K4L5S$  continued to remain volumetrically unstable and was continuing to exhibit a positive swell response.

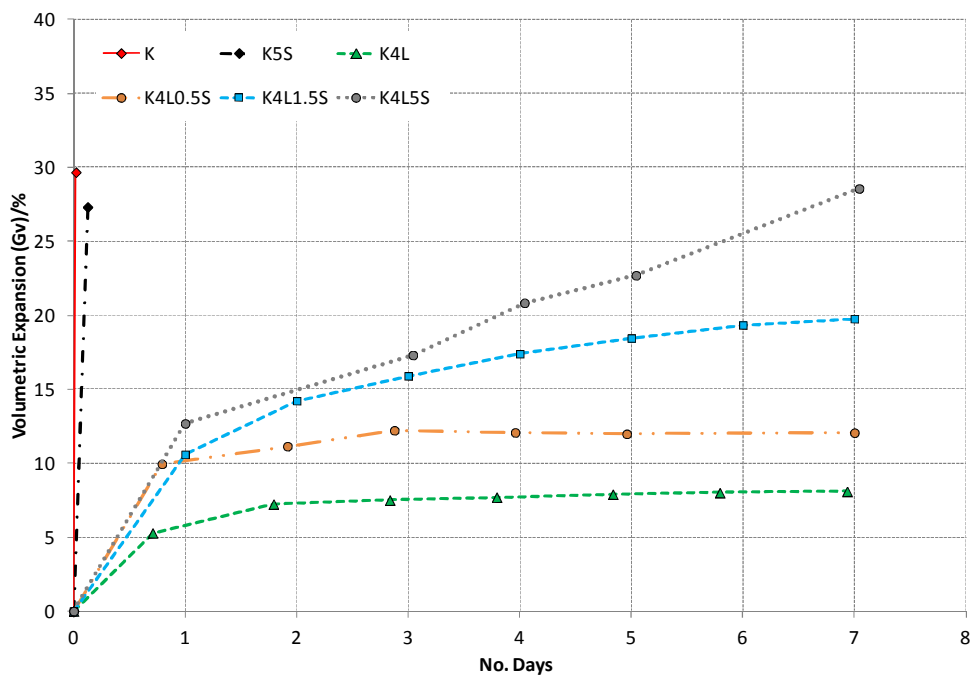


Figure 26: Swell behaviour of Kaolin soils subject to the volumetric expansion ( $G_v$ ) test at 7 days (BS EN 13286-49)

The effect of immersion according to the EN 13286-49 on the high sulfate soil is shown in Figure 27. Some additional degradation of the soil structure occurred on removal of the confining fabric and elastic bracelets, although the volumetric expansion and associated damage to the stabilised soil is clear.

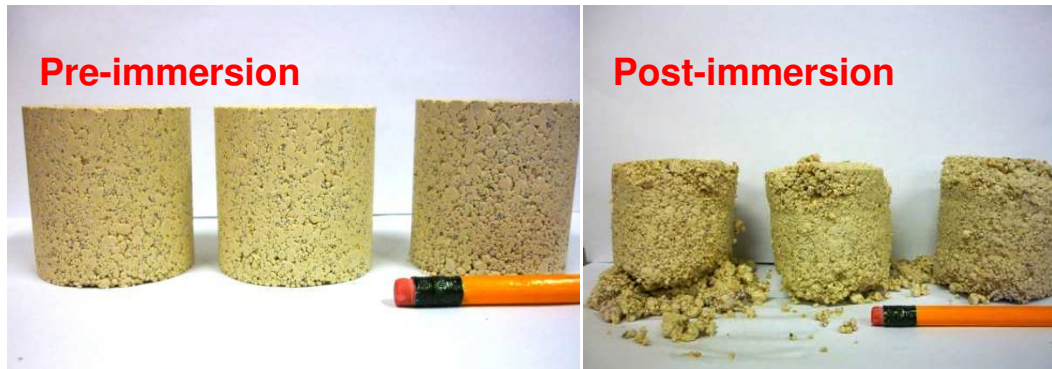


Figure 27: The effect of immersion in the European accelerated swell test on the high sulfate Kaolin soil (K4L5S)

Table 27 presents the maximum volumetric swells recorded, as well as the water content of the soil after immersion and soil pH. The water contents of the stabilised materials after immersion, were higher than those recorded from the top of the equivalent CBR specimens, even after 28 days immersion. The soil pH also remained alkaline up to the end of the immersion period.

Table 27: Average volumetric expansion ( $G_v$ ) results for Kaolinite Soils at 7 days (EN 13286-49)

Mix ID	K	K5S	K4L	K4L0.5S	K4L1.5S	K4L5S
Dry Density ( $\text{Mg/m}^3$ )	1.82	1.76	1.67	1.67	1.69	1.68
Total Volumetric Swell $G_v$ (%)	29.7*	27.3*	8.1	12.1	19.8	28.6
WC after Immersion (%)	-	-	24.6	30.2	34.5	43.0
Soil pH*	-	-	12.67	12.78	12.53	12.51

Note: WC- water content, \* - last measurement before specimen disintegration

### 5.3.2. Montmorillonite based soils

The average volumetric swell response of the montmorillonite based soils is shown in Figure 28. The unstabilised clays swelled rapidly, almost reaching their maximum swell within 5 hours of immersion. Unlike their kaolin equivalents, the clay remained cohesive enough such that it could still be measured and the specimen volume calculated up to the end of the immersion period. The stabilised soil (M6L) exhibited a much reduced swell response of 1.4% within the first 5 hours, after which the clay remained volumetrically stable, till the end of the test. The swell of the sulfated stabilised clays followed the series  $\text{M6L0.5S} < \text{M6L1.5S} < \text{M6L5S}$ . After approximately 3 days immersion, the mixture

M6L0.5S almost reached a plateau. During the remainder of the immersion period, the soil continued to swell but only by a relatively small amount – 0.13%. The medium and high sulfate soils continued to swell, although again, at a relatively reduced rate, having largely swelled to completion by approximately 5 day immersion.

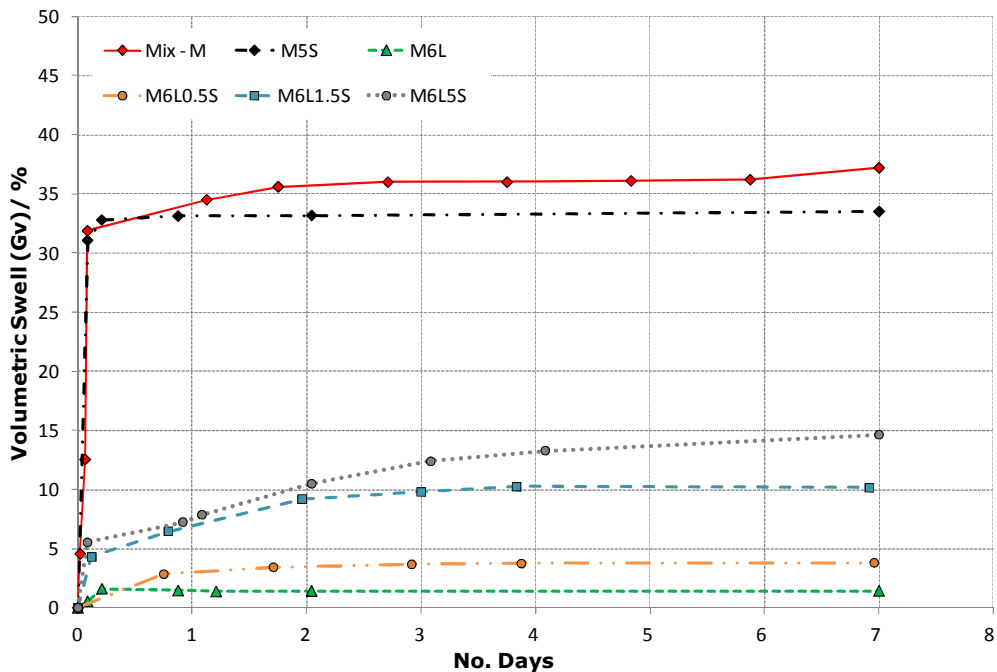


Figure 28: Swell behaviour of montmorillonite soils subject to the volumetric expansion ( $G_v$ ) test at 7 days (BS EN 13286-49)

The final volumetric expansion ( $G_v$ ) results along with other data are presented in Table 28. Water contents of the specimens measured at the end of the test were similar to those found in the equivalent soils after 28 days immersion in the UK linear swell test. The soil pH measured at the end of the immersion period for the medium and high sulfate soils (M6L1.5S and M6L5S) had dropped to pH 10.27 and pH 10.48 respectively. This correlated with a flattening of the plot of the volumetric swell (Figure 28).

Table 28: Average volumetric expansion ( $G_v$ ) results for montmorillonite soils at 7 days  
(EN 13286-49)

Mix ID	M	M5S	M6L	M6L0.5S	M6L1.5S	M6L5S
Dry Density ( $Mg/m^3$ )	1.50	1.57	1.46	1.39	1.48	1.48
Specimen Water Content (%)	17.9	20.7	24.0	22.6	23.3	22.5
Total Volumetric Swell $G_v$ (%)	37.2	33.5	1.4	3.8	10.2	14.6
WC after Immersion (%)	81.2	76.7	29.1	36.2	45.8	51.5
Soil pH*	-	-	12.32	11.89	10.27	10.48

Note: WC – Water Content, \* - measured at the end of immersion

Figure 29 shows the condition of the specimens after the test. It is difficult to see the volumetric increase, but the right image (highlighted) shows an area of damage and expansion which was manifested by spalling and cracking that extended round the centre portion of the specimen.

**Post-immersion**

**Cracking around specimen**

Figure 29: The effect of immersion in the European accelerated volumetric swell test  
(EN13286-49) on the high sulfate montmorillonite soil (M6L5S)

#### 5.4. DISCUSSION

Considering the swell response of the unstabilised soils subject to the UK linear swell test at both 7 and 28 days immersion (K, K5S, M, and M5S), it would be expected that this would be roughly the same for both, given that any dimensional change would be the result of the natural swelling property of the clay, and not dependent on any chemical transformation. It was found that this was not the case however, with the clays showing a large variation in final swell of the unsulfated compared to the sulfated soils at 28 days (K – 5.7mm, K5S – 9.1mm and M – 25mm, M5S – 16mm). It is possible that relatively large

variances in swell of the unstabilised clays are a result of small differences in the permeability of the soils, introduced during specimen manufacture. This could result in differences in the rate of water uptake of the clay and the associated swell response, rather than fundamental differences in material behavior, caused by chemical transformations on the addition of sulfate to the unstabilised control soil. The unstabilised clays for both soils exhibited characteristically high swelling and extremely low strengths after immersion. As would be expected, the montmorillonite swelled significantly more than the kaolin and is characteristic of 2:1 layer structure clays (Reeves, 2006). The variation in the ultimate linear swell values was greater for the sulfated clays over their unsulfated counterparts, while the ultimate volumetric swell was similar for both. This could be further evidence of variation in the permeability of the top layer of the soil in the CBR moulds, resulting in varying swell rates. Specimens undergoing the EN13286-49 test are completely exposed to the immersion water on all sides. The saturation rate (or permeability) is likely to be similar, leading to the broadly equivalent volumetric expansions observed.

The unstabilised kaolin soils both degraded and lost any degree of cohesiveness within the first hour of immersion. The montmorillonite soils exhibited a very large swell response, but also retained a degree of cohesiveness, such that the dimensions of the specimens could be determined through the duration of immersion. It is suggested that the interaction of the Gouy-Chapman charge double layer of one clay particle with another, results in a degree of bonding, such that the clay (although swells significantly) still retains a degree of cohesiveness.

Table 29 and Table 30 show the final strength and swell values of all the soils subject to the two swell tests presented in relation to the pass/fail criteria of the test.

Table 29. Swell test results for kaolin soils referenced against relevant suitability criteria

Mix ID	Test Property	K	K5S	K4L	K4L+		
					0.5S	1.5S	5S
BS1924-2	CBR (top) (%)	<1 (F)	<1 (F)	32 (P)	39 (P)	29 (P)	3.4 (F)
	Linear Swell (mm)	5.7 (F)	9.1 (F)	0.6 (P)	2.3 (P)	4.0 (P)	4.8 (P)
EN13286-49	G <sub>v</sub> (%)	30 (F)	27 (F)	8.1 (F/P)	12 (F)	20 (F)	29 (F)

Note: F – fail, F/P – maybe suitable for use subject to further testing, P – pass

In terms of the relative severity of the tests used and their associated suitability criteria, the European accelerated volumetric swell test (EN13286-49) appears to be more



onerous than the UK linear swell test (BS1924-2) on account of both the control soil (K4L) being considered suitable only subject to further testing ( $5 < G_v < 10\%$ ) – in other words a marginal material. The low sulfate soil (K4L0.5S) is considered unsuitable ( $G_v \geq 10$ ) while testing to BS1924-2 considers both soil mixtures suitable (linear swell/CBR – 0.6mm/32% and 2.3mm/39% respectively).

Considering the montmorillonite soils in Table 30, both tests failed the medium and high sulfate soils (M6L1.5S and M6L5S), but passed M6L0.5S.

Table 30. Swell test results for montmorillonite soils referenced against relevant suitability criteria

Mix ID	Test Property	M	M5S	M6L	M6L+		
					0.5S	1.5S	5S
BS1924-2 (28 day)	CBR Top (%)	<1 (F)	<1 (F)	67 (P)	41 (P)	15 (F)	11 (F)
	Linear Swell (mm)	25 (F)	16 (F)	<1 (P)	2.1 (P)	7.3 (F)	8.1 (F)
EN13286-49	$G_v$ (%)	37 (F)	34 (F)	1.4 (P)	3.8 (P)	10 (F)	15 (F)

Note: F –fail, F/P – maybe suitable for use subject to further testing, P - pass

The effect of lime stabilisation is apparent, both in terms of a reduction in linear and volumetric swell and an increase in CBR strength. This can be attributed to the development of a cementitious matrix, resulting from the pozzolanic reactions forming calcium silicate hydrates (CSH), calcium aluminosilicate hydrates (CASH) and calcium aluminate hydrates (CAH) under the localised alkaline conditions within the soil matrix (Rajasekaran, 1995; Bell, 1996; Mohamad, 2000). The strengths of the soils at both 7 and 28 days immersion for kaolin and montmorillonite are summarised in Figure 30.

Figure 30: CBR strengths of kaolin and montmorillonite soils at 7 and 28 days (BS1924-2)

For the lime stabilised soils, generally, higher strengths were measured at 28 days than 7 days immersion. The increase in strength was much more pronounced for the montmorillonite soil than for the kaolin. The slower rate of strength gain of lime stabilised kaolin soils has been reported by Bell (1996), who found that ultimate strengths tended to be higher over the long term. This was born out with the 9 month immersion tests (BS1924-2) where the kaolin soils (despite containing sulfates) exhibited higher strengths than the montmorillonite equivalents. It is interesting to note that the cessation of linear swell was relatively abrupt in the long-term tests, particularly for the medium sulfate soils of both clays. Possible reasons for this could be the consumption of one or more

candidate ions ( $\text{Al}(\text{OH})_4^-$ ,  $\text{SO}_4^{2-}$ ,  $\text{OH}^-$  and  $\text{Ca}^{2+}$ ) thereby preventing the further formation of ettringite (assuming this is responsible for the observed swell). The pH of the soils after the test was found to be ca. pH <10. This suggests that it was the consumption of  $\text{OH}^-$  ion that caused the cessation of swell since ettringite is thought not to be stable at pH's < 10.43 at 25°C (Damidot and Glasser, 1992). The availability of alumina is also much reduced due to its lower solubility at this pH (Berger et al, 2002). In addition, the swell profiles of the two clays are markedly different. The kaolin was relatively linear whereas the montmorillonite was not. In reaction kinetics, graphs of rate equations giving a linear plot are indicative of first order reactions, whereas non-linear plots are indicative of second order kinetics. This could be evidence of differing mechanisms of ettringite formation and growth in the two soils, although this also could be purely coincidental and also a gross over-simplification. Again this is explored further in chapter nine.

Again considering the long-term tests, the behaviour of the 5% compared to the 10% sulfate soil was unexpected. They exhibited broadly similar swell responses throughout the duration of the testing. Clearly there are one or more limiting factors preventing the 10% sulfate soils swelling more than the 5%. The kinetics of ettringite formation are fast, so the extent of nucleation and crystal growth depends on the availability of the limiting reagent in solution (Little et al, 2010). Since a chemical reaction is only as fast as the rate limiting (or slowest) step, this limiting factor would manifest in the pore solution of the soils by a through-solution mechanism (Min and Tang, 1994) or at the solid-solution interface by a topochemical mechanism (Odler and Glasser, 1988) dependent on the mode of ettringite formation. It may be that gypsum has already reached saturation at 5%, so the further addition of gypsum to the soil does not result in an increase in  $\text{SO}_4^{2-}$  concentration. The dissolution of alumina as well as hydroxide ion concentration may also play a part. This is explored further in Chapter Eight.

The plot of the high sulfate soils (K4L5S and M6L5S) in the European accelerated volumetric swell test (EN13286-49) shows some variation in the rate of swell evident by the shape of the swell plot. When the soil increases in volume, the confinement of the soil by the elasticated bracelets results in an uneven distribution of volumetric swell, as such, the error in measurements used for calculating the specimen volume increase with greater swelling. Efforts were taken to record (as far as is reasonable) measurements that were representative of the specimen, but taking them from the same positions at each time interval.

The addition of increasing amounts of sulfate to the soils resulted in an incremental decrease in soil strength and an increase in both linear and volumetric swell (see also Chapter Eight). Given the relative stability of the control soil (M6L and K4L), this can be attributed to deleterious sulfate reactions within the soil matrix. Expansive sulfate

reactions, in particular the formation of ettringite, would account for the increase in heave, as well as the loss of strength resulting from damage to the cementitious matrix (Little et al, 2010). The dimensional instability and loss of strength also correlated with a general increase in measured water content of specimens from both tests. The formation of both AFt and AFm could contribute to the observed increase, given that AFt has an extensive Hydrogen-bonded network of water molecules stabilising the structure and its ability to form an electric double layer of water like a swelling clay (Min and Tang, 1994; Hartman and Berliner, 2005). However, it is unlikely that this solely accounts for all of the water. Damage resulting from the linear swell and volumetric expansion could also expose unstabilised clay particles within the soil matrix to the immersion water. Saturation of these particles could also increase the water content. Also, the expansion and associated damage to the microstructure could create cracks and further void spaces increasing the permeability of the soil, as well as allowing it to hold more water than the undamaged (in terms of sulfate heave) controls.

The strength measured at the bottom of the CBR specimens of sulfated kaolin soils, exhibited a degree of superficial strength, not observed at the top face, or in any of the montmorillonite soils. An increase in strength has been reported in the literature when small quantities of sulfate are present in lime stabilised soils (Ktnuthia and Wild, 2001). The mechanism of this phenomenon was not alluded to however. In the present study, it was initially thought that expansion resulting from AFt formation under confinement of the bottom swell plate, caused a localised densification of the soil. If this were the case, then a similar behaviour would be expected in the equivalent montmorillonite soils, but was not observed. As all other parameters are equal, it then follows that it is a specific property of the kaolin clay itself causing the behaviour. In comparison to the montmorillonite, a kaolin clay releases more alumina into solution at high pH (Mitchell and Dermatas, 1992). As reported in Chapter Three, when the ratio of  $[Al_2O_3]/[SO_4] > 1$  (Hampson and Bailey, 1983; Mitchell and Dermatas, 1992), or  $[Al_2O_3]/[SO_4] > 1.5$  (Black et al, 2005), then the formation of monosulfate (AFm) is favoured over ettringite (AFt). It is possible therefore, that it is the formation of monosulfate, which has a plate like morphology (Baur et al, 2004) that is causing the localised increase in soil strength. This would need to be confirmed by analysis of the material to determine the composition of the soil at the bottom of the CBR specimen compared to that of the top (see Chapter Six). In the study of cement and concrete, it has been widely reported that AFt formation causes the early strength gains of Portland cements and indeed results in the phenomena of 'flash-set' (Taylor, 1990). It is also considered that the formation of monosulfate is neither expansive nor contributes to the strength of a cementitious material (Mitchell and Dermatas, 1992).

Considering the pH of the soil after testing, all the soils except those of the sulfated montmorillonite subject to EN13286-49, maintained a pH >12.4. Those soils exhibited a drop in soil pH to ca. pH 10.4. The lower stability limit of AFt at 25 °C has been reported by Damidot and Glasser (1992) as pH 10.43. Microstructural and phase compositional analysis will determine if ettringite has persisted in these specimens. It is suggested that the drop observed in the montmorillonite soils is a result of its higher cation exchange capacity than the kaolin. Recalling Section 2.3.2, on the addition of lime to a clay soil, unsatisfied electrostatic charge on the surface of clay particles attracts the calcium ion ( $\text{Ca}^{2+}$ ) released on the dissolution of lime in aqueous solution. This displaces cations already on the surface and results in the consumption of the lime in the process. It is suggested that the elevated temperatures of the European accelerated volumetric swell test, coupled with the greater surface area of the specimen exposed to the immersion water, increases the reaction rate of the CEC process, evidenced by the drop in pH, not found in the equivalent soils subjected to the UK linear soaked CBR swell test. A drop in soil pH to below that required for stabilisation was reported by Berger et al (2002), despite initially having a sufficient lime addition to raise the pH of the soil to 12.4. The composition of the soil was not reported however.

For both tests, the degree of reaction can be considered a function of the rate of swell. Considering the results of the kaolin based soils; in BS1924-2, at 28 days, both the medium (1.5S) and high sulfate (5S) were continuing to swell indicating on-going deleterious reactions. The low sulfate soil (0.5S) had reached a plateau relatively early. In EN13286-49, the low and medium sulfate soils had reached a plateau relatively early, while the high sulfate soil was continuing to expand, although in the montmorillonite soil, it had started to level off. This is considered in more detail in Chapter Seven.

## 5.5. SUMMARY

The macro-physical properties (CBR strength, linear swell and volumetric expansion) of twelve soil mixtures were subject to swell testing using two swell test procedures:

- UK linear CBR swell test (BS1924-2); and
- European Accelerated Volumetric swell test (EN13286-49)

The two unstabilised control soils of both the kaolin and montmorillonite exhibited the greatest swell response and lowest CBR strength post immersion. Unexpected differences in the linear swell response were attributed to variation in the permeability of the CBR specimens. The addition of lime to the unsulfated control soils resulted in a

significant reduction in the swell response and an increase in strength which can be attributed to pozzolanic reactions within the soil matrix.

The addition of sulfate to both soils resulted in a proportional decrease in volumetric stability and a corresponding loss of soil strength in the order CL > CL0.5S > CL1.5S > CL5S (where C – clay, L – lime, S – sulfate). Measured water contents of the specimens after testing also showed a proportional increase with sulfate content. Preliminary conclusions suggested that this is due to the formation of the hydrous calcium aluminosulfates ettringite (AFt) and possibly monosulfate (AFm). It is suggested that the formation of AFm may be responsible for the superficially high CBR strength values measured at the bottom of the low sulfate stabilised kaolin soil mixtures.

Comparing the results of the two swell test procedures in conjunction with the pass/fail criteria for each (Table 29 and Table 30), it was suggested that the European accelerated volumetric swell test (EN13286-49) is more onerous than the UK linear CBR swell test (BS1924-2). It is also suggested that the complete immersion of the specimens and the elevated temperatures used in EN13286-49, promote the soil reactions in both clays to a greater degree, than the conditions of BS1924-2. This was based on the concept of a 'degree of reaction' which compares the time taken for the dimensional changes of a soil to plateau during an immersion procedure.

Long-term testing of selected sulfate soils (including a 10% sulfate soil) showed that expansion is governed by a rate limiting step, possibly the saturation concentration of  $\text{SO}_4^{2-}$  ions in the pore solution. Although it is reasonable to suggest that either of the other candidate ions are involved in the rate limiting step.

The swell profiles of the long-term kaolin and montmorillonite soils are markedly different. This may be indicative of different mechanisms of ettringite formation and subsequent expansion at play in each soil.

## 6. PHASE COMPOSITION AND MICROSTRUCTURE

### 6.1. INTRODUCTION

This chapter reports the finding of the analysis of the phase composition and microstructure of the soils tested using the two immersion tests. The purpose this work is to achieve Objective 2. Namely:

- O2: *'Investigate the chemical response of the sulfated lime stabilised soils to the conditions imposed by the swell tests. In particular those attributed to dimensional instability and mechanical weakening'.*

And provide sufficient insight to realise Objective 3:

- O3: *'Relate the underlying chemical behaviour to the observed macro-physical soil properties and investigate the factors affecting this relationship. In particular: clay mineralogy; sulfate concentration; temperature and duration of curing and immersion'.*

The analysis was undertaken using XRD, SEM-EDX and dTGA, details of which were given in Chapter Five. The results derived from each are presented and discussed separately. These sections are arranged in a way which best suits a comparative analysis for that particular analytical technique. The work is then summated and interim conclusions made in Section 6.5.

### 6.2. X-RAY DIFFRACTION

X-ray diffraction analysis was undertaken on all of the soil specimens using a Siemens D500-1 diffractometer using  $\text{CuK}\alpha$  radiation of wavelength  $\lambda=1.5406\text{nm}$ . Two scan methods were used the first was a wide angle scan between  $5 - 70^\circ 2\theta$ , with a  $0.05^\circ$  step size and 5s count time. The second, used in the low angle studies, was  $8 - 10.5^\circ 2\theta$  with a  $0.02^\circ$  step and a count time of 60s.

The purpose of this analysis is to define the phase composition of the soils after testing to the UK CBR linear swell test (at both 7 and 28 days) and the European accelerated

volumetric swell test. The scan files were processed using the Bruker XRD software EVA. Diffraction lines were attributed to a particular powder phase were identified by matching to the ICDD database PDF-2 (2011).

### 6.2.1. Kaolin soils (UK linear CBR swell test)

The XRD patterns of the kaolin soils subject to the UK 7 and 28 day CBR linear swell test are shown in Figure 31 and Figure 32 respectively. The strongest diffraction lines in terms of intensity are those belonging to gypsum (PDF. 01-086-0945); kaolinite (PDF. 01-083-0987) and quartz (PDF. 01-085-0154). Minor amounts of feldspar (PDF. 01-084-0710), residual hydrated lime (PDF. 44-1481), precipitated calcium carbonate (calcite PDF. 00-005-0586) and ettringite (PDF. 01-072-0646) were also found.

Figure 31: XRD pattern of kaolin soils after UK 7 day CBR linear swell test (BS1924-2). I – illite, E – ettringite, G – gypsum, K – kaolinite, F – Feldspar, L – portlandite (hydrated lime), C – calcite, Q - quartz

Residual gypsum, that is, gypsum that had not reacted within the soil, was only found in the high sulfate soils (K4L5S, peak at ca.  $11.6^\circ 2\theta$ ) and was still present even when immersed for 28 days. A small amount of carbonate contamination was also found. This was initially present in the calcium oxide (see Appendix A) but may also have formed in the soil, if the CaO reacts with dissolved carbon dioxide ( $\text{CO}_2$ ) in the immersion water. A small peak attributed to monosulfate 12-hydrate, AFm-12 (PDF. 00-045-0158) was also found, but this is considered further in Section 6.2.4.



Figure 32: XRD pattern of kaolin soils after UK 28 day CBR linear swell test (BS1924-2). I – illite, E – ettringite, G – gypsum, K – kaolinite, L – portlandite (hydrated lime), C – calcite, Q - quartz

Gypsum was only detected in the high sulfate clay (K4L5S) at  $12.3^\circ 2\theta$ . This could be due to it being below the level of detection of the diffractometer and method (unlikely), or that the gypsum in the low and medium sulfate soils was consumed over the course of the test. Monosulfate (AFm-12, PDF. 00-45-0158) was also found (circled red in Figure 32), at  $9.98^\circ 2\theta$  as reported by Black et al (2006), but only in the low sulfate soil (K4L0.5S). A reduction in the hydrated lime content was also observed as a reduction in the peak intensity at  $17.8^\circ 2\theta$ .

### **6.2.2. Montmorillonite Soils (UK linear CBR swell test)**

The XRD patterns of the montmorillonite soils after testing to BS1924-2 (7 days immersion) are shown in Figure 33. Peaks attributable to ettringite and calcium carbonate were found. As found in the kaolin soils, only in the high sulfate soils (M5S and M6L5S) was any gypsum detected.

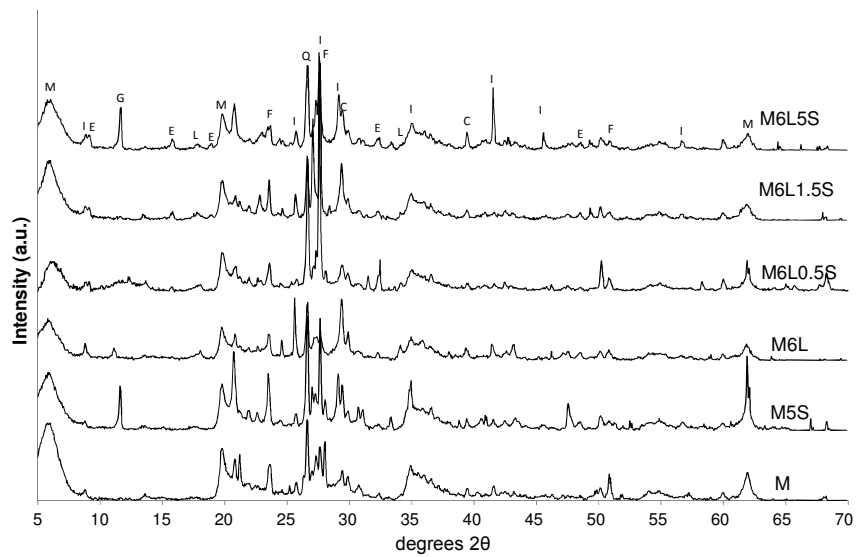


Figure 33: XRD pattern of montmorillonite soils subject to UK linear 7 day CBR swell test showing the phases present (Scan Method 1). I – illite, F – feldspar, E – ettringite, M – monosulfate, G – gypsum, M – Montmorillonite, L – portlandite (hydrated lime), C – calcite, Q – quartz

Figure 34 shows the XRD patterns of the montmorillonite soils tested to BS1924-2 after 28 days immersion. Again an amount of residual gypsum is present, but only in the high sulfate soil. Interesting is the peak at ca.  $11.3^\circ 2\theta$  (circled, AFm<sub>c</sub>). This corresponds to a mixed sulfate-carbonate type phase with the formula  $\text{Ca}_4\text{Al}_2\text{O}_6(\text{CO}_3)_{0.67}(\text{SO}_3)_{0.33}\cdot 11\text{H}_2\text{O}$  (PDF.00-041-0467) as reported by Quao et al. (2008). The occurrence of this phase is probably the result of the extended immersion period allowing the AFm-12 phase to react with dissolved  $\text{CO}_2$  in the immersion water.

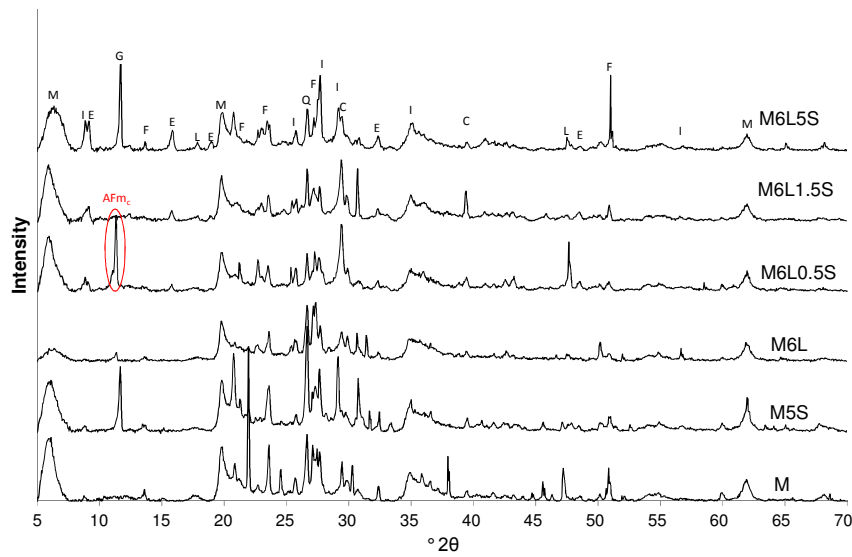


Figure 34: XRD pattern of montmorillonite soils subject to UK linear 28 day CBR swell test showing the phases present. I – illite, F – feldspar, E – ettringite, AFm<sub>c</sub> – carboxylated AFm, G – gypsum, M – Montmorillonite, L – portlandite (hydrated lime), C – calcite, Q – quartz

### 6.2.3. European accelerated volumetric test

The XRD patterns taken from the soils tested to EN13286-49 are shown in Figure 35 and Figure 36. In contrast to the BS1924-2 test, the low and medium sulfate soils exhibit a strong reflection at ca. 9.9° 2θ (circled) which can be attributed to the [003] reflection of monosulfate-12 hydrate (AFm-12, PDF. 00-045-0158). Again a small amount of residual gypsum is detected, but only in K4L5S. Reflections from calcite were also found, but these were also present in the control soils, suggesting that it was present in the bulk clay.

Figure 35: XRD pattern of kaolin soils subject to the European accelerated volumetric swell test (EN13286-49) the phases present. I – illite, F - feldspar, Mn – monosulfate, G – gypsum, L – portlandite (hydrated lime), C – calcite, Q – quartz

Considering Figure 36, it can be seen that the gypsum peak in the high sulfate soils (M6L5S) is much reduced compared to the unstabilised control. The difference is also much more marked than the soil tested to BS1924-2 at either 7 or 28 days. The higher temperature of the immersion water would contribute to this reduction by not only increasing the solubility of the gypsum phase, but also promote the formation of ettringite. The formation ettringite and monosulfate in all of the soils is considered fully using low angle studies of their principal reflection lines in Section 6.2.4.

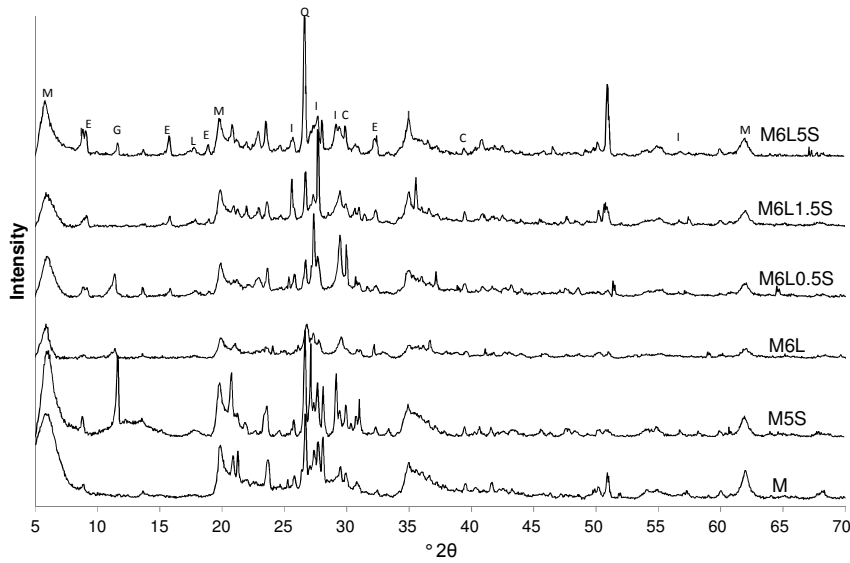


Figure 36: XRD pattern of montmorillonite soils subject to the European accelerated volumetric swell test (EN13286-49). I – illite, F - feldspar, Mn – monosulfate, G – gypsum, L – portlandite (hydrated lime), C – calcite, Q – quartz

Ettringite (AFm) and monosulfate (AFm) detected using scan method 1 had a very low relative intensity ( $< 5\% I_{\max}$ ). To better study its formation and obtain morphological information a low angle scan method with a high counting time was used (see chapter four). The samples were again run on the Bruker D500 using  $\text{CuK}\alpha$  radiation with 1.5406nm wavelength. The samples were run between  $8$  and  $10.5^\circ 2\theta$  with a step size of  $0.02^\circ 2\theta$  and a count time of 60s.

Figure 37 shows the kaolin soils tested to the UK linear CBR swell test at 7 days immersion (left) and 28 days immersion (right). The illite peak of the two control soils (K and K5S) is much larger compared to those that had been stabilised. The addition of quicklime to the soils seems to reduce reflection of this mineral over the unstabilised controls. Considering the reflection of ettringite at  $9.1^\circ 2\theta$ , it is only detectable at 7 days immersion in the high sulfate soil, where as in the 28 day test, it is present in both K4L5S and K4L1.5S. Interestingly, only in the low sulfate soil (K4L0.5S) after immersion for 28 days is the basal [003] reflection of AFm-012 found at  $9.96^\circ 2\theta$ .

### 6.2.4. Low angle studies

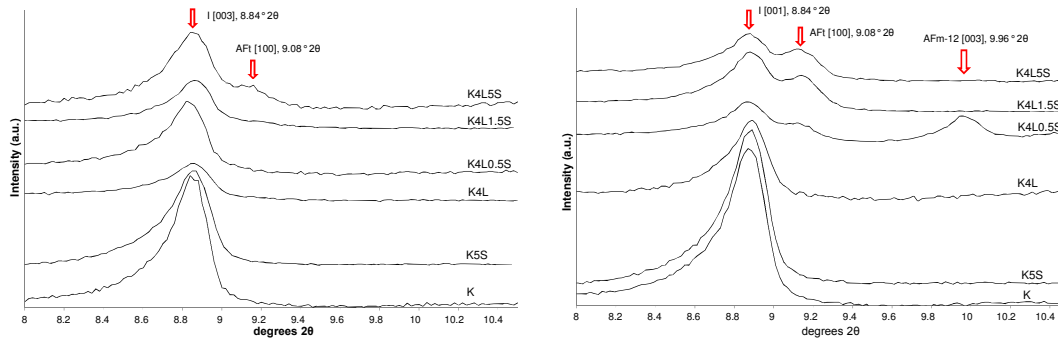


Figure 37: Low angle XRD pattern of kaolin soils subject to UK linear CBR swell test at 7 days (left) and 28 days (right) showing development of [100] AFt peak at ca.  $9.08^\circ 2\theta$ .

Also note the reflection of AFm-12 at  $9.96^\circ 2\theta$  in K4L0.5S (28 days).

Figure 38 shows the low angle patterns of the corresponding montmorillonite soils (BS1924-2), at 7 days (left) and 28 days (right). Contrary to the kaolin soils, the illite peak seems to be roughly of the same intensity over all the soil mixtures. There is no discernable trend for it increasing. Ettringite peaks at ca.  $9.1^\circ 2\theta$  are present in all soils irrespective of the test from the low sulfate mixture onwards. M6L tested at 7 days immersion does seem to have a slight shoulder peak at  $9.15^\circ 2\theta$ , but this is not present at 28 days. It's unlikely that this is a small amount of ettringite that has formed within the soil. It may well be a degree of contamination, despite the utmost care being taken in the preparation of the powder samples. No reflections from any AFm phase were identified.

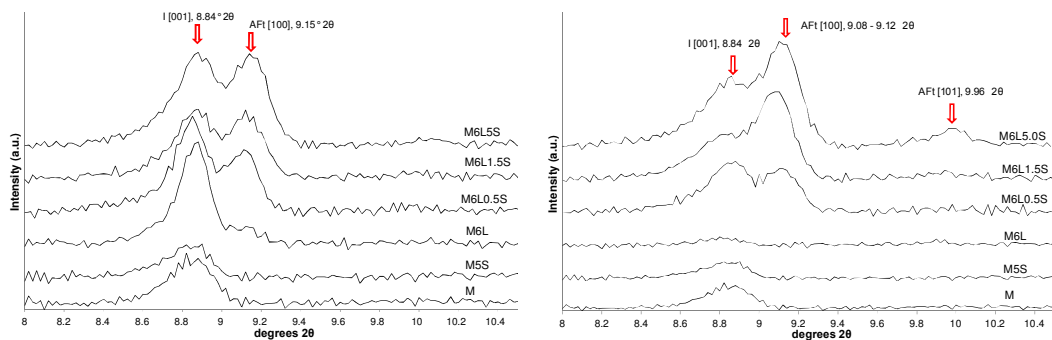


Figure 38: Low angle XRD pattern of montmorillonite soils subject to UK linear CBR swell test at 7 days (left) and 28 days (right) showing development of [100] AFt peak at ca.  $9.1^\circ 2\theta$

20

Figure 39 shows the low angle XRD patterns of the soils tested using the European accelerated volumetric swell test (EN13286-49). In the left-hand figure, it can be seen that

the reflection of the [003] basal spacing of AFm-12 is present in both K4L0.5S and K4L1.5S. Although difficult to see due to the scaling of the figure, AFt was found in both K4L1.5S and K4L5S. In contrast, the montmorillonite soil only showed AFt as the predominant phase, irrespective of starting sulfate content. In the high sulfate soil (M6L5S), a second reflection of AFt was present corresponding to the [101] basal spacing at  $9.96^\circ 2\theta$ . The possibility that this weak reflection was due to the [003] reflection of AFm-12 was discounted. The reasoning is put forward in Section 6.2.5.

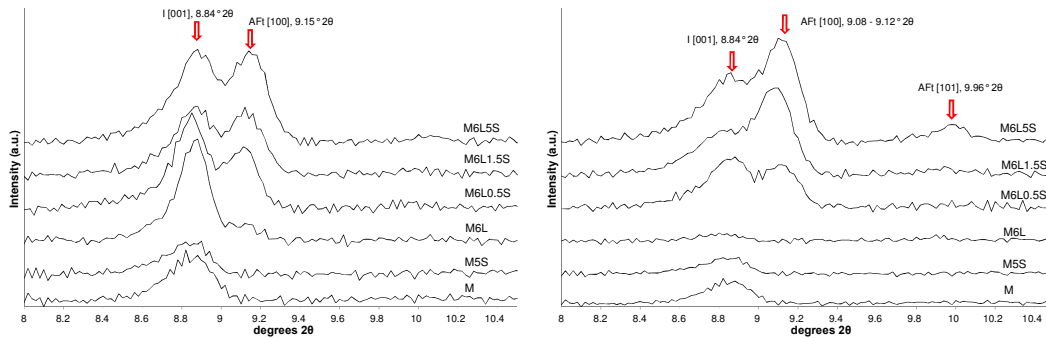


Figure 39: Low angle XRD pattern of kaolin soils (left) and montmorillonite soils (right) subject to European accelerated swell test showing the development of both the [100] AFt peak at  $9.08^\circ 2\theta$ , AFm peak at  $9.96^\circ 2\theta$  and illite peak at  $8.84^\circ 2\theta$

Table 31 shows the position and intensity of each reflection present in the low angle studies. The intensity is defined as the maximum counts per second ( $I_{\max}/\text{cps}$ ). As seen from the table, there is a general trend of increasing intensity of the [100] AFt reflection with increasing sulfate content; extended immersion and the elevated temperatures associated with the European accelerated volumetric test.

Table 31: Low angle peak intensities

Kaolin Soils								Montmorillonite Soils							
Test	Phase	Illite		AFt		AFm		Phase	Illite		AFt		AFm		
	Mixture ID	° 2θ	I (cps)*	° 2θ	I (cps)*	° 2θ	I (cps)*	Mixture ID	° 2θ	I (cps)*	° 2θ	I (cps)*	° 2θ	I (cps)*	
BS 1924-2 (7D CBR)	K4L	8.86	88	nd	nd	nd	nd	M6L	8.85	73	nd	nd	nd	nd	
	K4L0.5S	8.84	188	nd	nd	nd	nd	M6L0.5S	8.86	60	9.09	47	nd	nd	
	K4L1.5S	8.88	123	9.18	21	nd	nd	M6L1.5S	8.88	46	9.12	50	nd	nd	
	K4L5S	8.88	96	9.13	68	nd	nd	M6L5S	8.88	64	9.15	68	nd	nd	
BS1924-2 (28D CBR)	K4L	8.82	182	nd	nd	nd	nd	M6L	8.84	13	nd	nd	nd	nd	
	K4L0.5S	8.86	83	9.12	40	9.96	59	M6L0.5S	8.88	51	9.13	42	nd	nd	
	K4L1.5S	8.88	123	9.13	77	nd	nd	M6L1.5S	8.88	71	9.10	139	nd	nd	
	K4L5S	8.88	96	9.13	68	nd	nd	M6L5S	8.87	147	9.10	162	nd	nd	
EN13286-49	K4L	8.83	140	nd	nd	nd	nd	M6L	8.88	114	nd	nd	nd	nd	
	K4L0.5S	8.85	16	nd	nd	9.92	340	M6L0.5S	8.86	113	9.09	90	nd	nd	
	K4L1.5S	8.88	80	9.12	43	9.94	355	M6L1.5S	8.87	90	9.12	100	nd	nd	
	K4L5S	8.85	167	9.14	52	nd	nd	M6L5S	8.88	213	9.09	226	nd	nd	

Note: \* -Intensity measured as counts per second at  $I_{max}$  after background subtraction, nd – not detected



### 6.2.5. Long term testing

The wide angle XRD patterns of the kaolin soils subject to extended immersion (9 months) using the UK CBR linear swell test is shown in Figure 40. In the mixture K4L1.5S, the AFm phase predominates with minor reflection of AFt. The AFt phase is found exclusively in the soils with higher sulfate contents (K4L5S and K4L10S). Only a very small reflection from gypsum ( $12.03^\circ 2\theta$ ) was found in K4L1.5S, indicating that it had largely been consumed in the formation of the AFm phase. Surprisingly, K4L5S exhibited a larger gypsum reflection at  $12.03^\circ 2\theta$  than K4L10S. Despite the  $I_{\max}$  reflection of AFt being roughly equal (see Figure 42).

Figure 40: XRD pattern of kaolin soils subject to extended immersion (9months). K – kaolin, I – illite, E – ettringite (AFt), Mn – monosulfate (AFm), G – gypsum, C – calcite, Q – quartz

In Figure 41, the equivalent montmorillonite soils are shown. In contrast to the kaolin soils, no monosulfate was found in the 1.5S sulfate soil (M6L1.5S). The predominant sulfate bearing phase was AFt for all the soils. Residual gypsum was only found in M6L10S, indicating that in the other two soils it had been largely consumed in the formation of ettringite.

Figure 41: XRD pattern of montmorillonite soils subject to extended immersion (9months).

M – montmorillonite, I – illite, E – ettringite (AFt), G – gypsum, C – calcite, Q – quartz

The low angle XRD patterns of both soil types are shown in Figure 42. Note that in the kaolin stack the order of the patterns are placed is reversed, with K4L1.5S on top and K4L10S on the bottom. These show more clearly the preferential formation of AFm in K4L1.5S, whereas the AFt of approximately equal quantity (if this is to be indicated by peak intensity) are found in K4L5S and K4L10S. A similar behaviour was observed in the montmorillonite soils (right), in that no significant increase in  $I_{\max}$  for AFt ( $9.06^\circ 2\theta$ ) was observed going from M6L5S to M6L10S. In contrast to the kaolins, ettringite (AFt) was the predominant phase formed in all the soils.

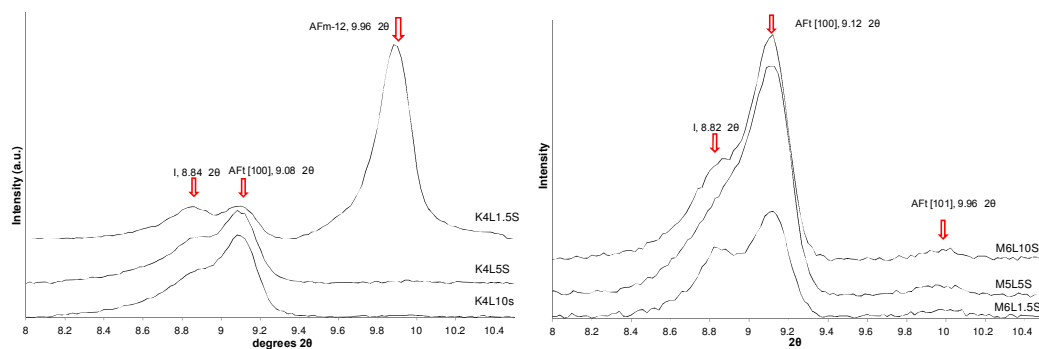


Figure 42: Low angle XRD patterns of the long term immersion soils, kaolins (left) montmorillonites (right). Note order is reversed in the kaolin stack.

The intensity of the reflection peaks of the low angle XRD patterns are presented in Table 32. The intensity of the illite and AFt peaks increases from 1.5% to 5% sulfate in the soils. However, the value of  $I_{\max}$  is quite similar in the 5% and 10% sulfate soils. There appears to be a 'ceiling' value of about 320cps, possibly indicating that for both soils, their capacity

to form ettringite is limited, but not by gypsum content given that residual gypsum was found in the 10% sulfate containing soils.

Table 32: Low angle peak intensities of 9 month immersion specimens

Phase	Illite		AFt		AFm	
	° 2θ	I (cps)*	° 2θ	I (cps)*	° 2θ	I (cps)*
K4L1.5S	8.86	124	9.10	127	9.89	743
K4L5S	8.88	175	9.08	277	nd	nd
K4L10S	8.89	182	9.08	313	nd	nd
M6L1.5S	8.82	97	9.13	147	nd	nd
M6L5S	8.85 <sup>†</sup>	137 <sup>†</sup>	9.12	320	Nd	nd
M6L10S	8.86	140	9.12	313	nd	nd

Note: illite peak poorly resolved  $I_{\max}$  measurement taken at expected position

### 6.2.6. Discussion

The XRD analysis using the wide angle scan method allowed the identification of the principal phases of the soils, namely: the components of the bulk clay; quartz sand; and gypsum. Small peaks attributable to: ettringite (AFt); monosulfate (AFm); and surprisingly, a carbo-substituted AFm phase (AFmc in the text) were also identified. In terms of relative intensity, these were very weak ( $I_{\max} < 5\%$ ), but were much better resolved in the low angle studies. In Table 31, the position and intensity ( $I_{\max}/\text{cps}$ ) of the identified peaks are reported for all the soils. Caution has to be used in interpreting these values because the acicular crystal shape of AFt is likely to result in a high degree of preferred crystal orientation, which introduces variability in the measured intensity of the reflections. As a measure of the degree to which it has formed in a soil, it is useful for describing general trends, although at best it would only be semi-quantitative. Considering the UK linear CBR swell test (BS1924-2) of the kaolin soils, surprisingly, at 7 days, the AFt peak was relatively small and only detectable in K4L1.5S ( $I_{\max}$  28cps) and K4L5S ( $I_{\max}$  68cps). Extended immersion to 28 days, did not increase the intensity in the high sulfate soil, but allowed the formation of AFm-12 in K4L0.5S and a slight increase in the AFt reflection in K5L1.5S (7days  $I_{\max}$  21cps, 28 days  $I_{\max}$  77cps). It is suggested that the rate of dissolution of alumina from the clay is higher than that of sulfate ions from gypsum. This induces a situation in the pore solution (or solid-solution interface) where  $[\text{Al}(\text{OH})_4^-]/[\text{SO}_4^{2-}] > 1$ . This has been shown to favour the formation of the trisulfate form of ettringite

(Hampson and Bailey, 1983; Mitchell and Dematas, 1990). Ettringite becomes thermodynamically unstable when  $[Al(OH)_4^-]/[SO_4^{2-}] < 1$ , at which point the system enters the stability field of monosulfate (Hampson and Bailey, 1983). Localised variations in pore solution ion concentration probably allow for the formation of both the AFt and AFm phase concurrently, given that K4L0.5S at 28 days also had a reflection of AFt. No AFm was detected in the low sulfate montmorillonite soils. The dissolution of alumina from 2:1 layer clays is known to be slower than 1:1 types clays like kaolin. 2:1 layer clays have a higher silica content because their structures are comprised of  $SiO_4$  tetrahedra with a  $AlO_4$  octohedral sheet between. The dissolution of this three layer clay under alkaline conditions is effectively a serial reaction, with the silicate sheets having to dissolve before the Alumina sheet is exposed. This ultimately results in lower  $[Al(OH)_4^-]$  for the montmorillonite than the kaolin (Bauer and Berger, 1997).

Irrespective of the test conditions used, the reflections of ettringite formed in the montmorillonite based soils are better defined than those from the equivalent kaolins (Figure 37 and Figure 39). It has been reported in the literature that small amorphous crystals do not reflect X-rays as well because they have a low degree of crystallinity, as opposed to those that are larger and well formed (Odler and Abdri-Maula, 1984; Yang, 1996). This leads to the conclusion that the crystals formed in the kaolins are smaller and more amorphous than those in the montmorillonites. Early work has established that ettringite morphology is greatly affected by the candidate ion concentration in the pore solution (Nakamura, 1968; Cohen, 1983; Min and Tang, 1994; and Wang, 2005). When the hydroxide ion concentration is high ( $[OH^-]$ ), the system favours the formation of small colloidal size ettringite, when low, larger AFt crystals are favoured. When all other variables are equal, it then follows that  $[OH^-]$  is governed by the chemical properties of the bulk clay. Montmorillonite has a much higher cation exchange capacity than kaolin, so would effectively 'consume' hydroxide ion at a faster rate and ultimately to a greater degree.

The increase in immersion period did have an effect on those soils that favoured the formation of AFm (K4L0.5S). At 28 days monosulfate was found as a carbo-substituted AFm phase. This is likely to be the result of AFm reacting with dissolved carbon dioxide ( $CO_2$ ) in the immersion water, with the short immersion period (7 days) being not enough time for this to permeate the compacted specimens.

Interestingly, the measured  $I_{max}$  value of AFt from the specimens subject to long term immersion (BS1924-2) appeared to have a 'ceiling' value of about 320cps. This was found in both the 5% and 10% sulfate soils, despite residual gypsum being found in the soils containing 10%  $SO_4$  of both clays. It is suggested that the formation of AFt was then limited by the consumption of the calcium hydroxide and its depletion from the pore

solution. This was evidenced by the relatively rapid cessation of the measured linear swells and the marked drop in soil pH (Chapter Five) preventing the continued formation of ettringite.

The extended immersion tests also reveal that the formation of the AFm phase is favoured in the medium sulfate kaolin soil. The continuing dissolution of alumina from the bulk clay after the source of sulfate has been exhausted would result in  $[Al(OH)_4^-]/[SO_4^{2-}] > 1$ , promoting the dissolution of the trisulfate phase in favour of the formation of AFm. In the equivalent montmorillonite (M6L1.5S) AFt remained the stable phase throughout, indicative of a slower rate of alumina dissolution and maintenance of the pore solution with  $[Al(OH)_4^-]/[SO_4^{2-}] < 1$ . The 5% sulfate soils both favoured the formation of the AFt phase.

The  $I_{max}$  of the reflection taken from the unstabilised control soils (K5S and M5S) could be used as the reference standard and any reduction in this peak intensity used to infer the formation of AFm and AFt phases. This is explored further in Chapter Eight.

### 6.3. THERMAL GRAVIMETRIC ANALYSIS (TGA)

Derivative Thermal Gravimetric Analysis (dTGA) was undertaken using the equipment and scan method described in Chapter Four. The results are plotted as the percentage square of their derivative function to produce a positive peak profile and aid in peak identification.

Quantification in a multiphase material by dTGA is difficult. It requires knowledge of each phase present and the theoretical mass loss associated with each thermal event (Brown, 2001). Due to the high water content of ettringite and the difficulty in ascribing a mass loss of water to each dehydration step, dTGA has only been used for identification, not quantification of cementitious hydrates (including AFt and AFm), although the use of dTGA for the quantification of hydrated lime has been wide-spread (Ukrainczyk et al, 2006; Jung and Santagata, 2009). In those cases, measurement of hydrated lime (portlandite) in cements is used to measure the degree of hydration of the cement paste in question. Such a measurement applied to this study has been attempted (see Section 6.3.3). It offers a measurement of the soils response to lime induced stabilisation, but includes all those processes that consume lime: cation exchange; and pozzolanic as well as deleterious reactions.

### 6.3.1. Control soils

Figure 43 (left) shows the results of testing the kaolin soils to BS1924-2 after 7 days immersion. The unstabilised soils (K and K5S) show large peaks at 450 - 650 °C attributed to the dehydroxylation of the kaolin (4) (Guggenheim and Koster van Groos, 2001). In addition soil mixture K exhibited a peak at around 30 °C (1) due to the loss of absorbed water on the clay surface. The stabilised control (K4L) again exhibited a peak due to dehydroxylation (4) at higher temperature (peak inflection ca. 700°C). This could be due to modification of the clay surface by cation exchange processes following the lime addition. A residual lime peak was also detected at ca. 400°C in the stabilised control (K4L) (3). Figure 43 (right) shows the dTGA plot of the equivalent montmorillonite soils at 7 days. Again the soils exhibited a loss of adsorbed water (1) at ca. 50 °C (Dellisanti et al, 2005). In addition soil mixture M5S also exhibited a mass loss at ca. 80 °C. This has previously been attributed to the desorption of interlayer H<sub>2</sub>O (2) present in 2:1 layer clays such as montmorillonite (Bray and Redfern, 1999). But its absence from the unsulfated (M) and lime stabilised control (M6L), suggests this thermal event is associated with the dehydration of gypsum to anhydrite, despite the literature reporting this to occur at ca. 130°C (Fleck et al. 1960) and analysis of the mined gypsum used in this study also showing the main thermal dehydration to anhydrite at 130°C (see Appendix A). Dehydroxylation of montmorillonite (4) occurs at 650 – 750 °C (Drits et al. 2012) again exhibited by these control soils. The peak at ca. 475°C corresponds to the decomposition of residual hydrated lime (Kolias et al, 2005) in the soil mixture M6L (3).

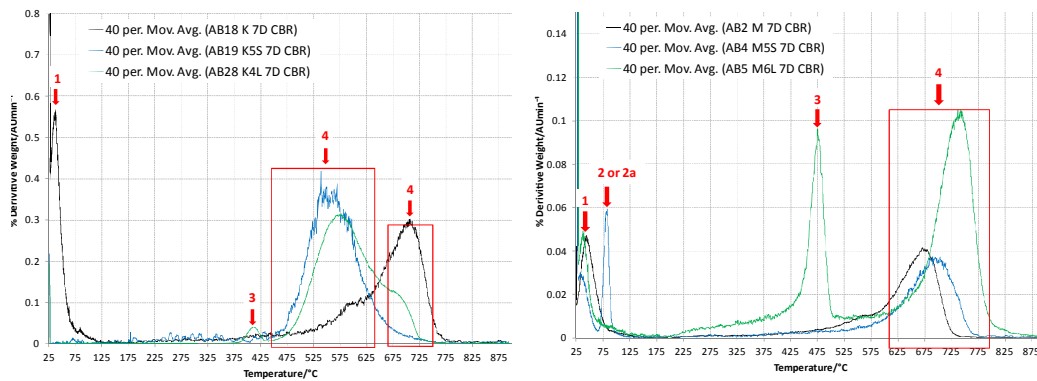


Figure 43: dTGA plot of kaolin (left) and montmorillonite (right) control soils UK Linear CBR Swell Test (BS1924-2) at 7 days. 1 - desorption  $H_2O$ , 2 – interlayer  $H_2O$ , 2a –  $CaSO_4 \cdot 2H_2O$ , 3 –  $Ca(OH)_2$ , 4 – clay dehydroxylation

Figure 44 shows the kaolin (left) and montmorillonite (right) soils after 28 days testing to BS1924-2. All the kaolin soils show the characteristic dehydroxylation peak of kaolin clay (4), along with a minor weight loss at 425 °C, that can be attributed to decomposition of  $Ca(OH)_2$  (3) in the lime stabilised control soil (K4L). The montmorillonite exhibits much the same response to the soils tested at 7 days with the exception of the disappearance of the  $Ca(OH)_2$  peak and a gradual mass loss at temperatures of 200 – 450 °C (5) of the lime stabilised soil (M6L). This could be due to loss of encapsulated water released during the breakdown of cementitious products formed as a result of the lime addition and of the breakdown of cementitious products themselves (Kontoai et al, 2009 and Eisazadeh et al, 2010), generically termed CASH in the figure. In the lime stabilised control (M6L) there is also a broad peak at ca. 575 °C which may be attributed to the decomposition of one or more cementitious products that have formed over the extended immersion period.

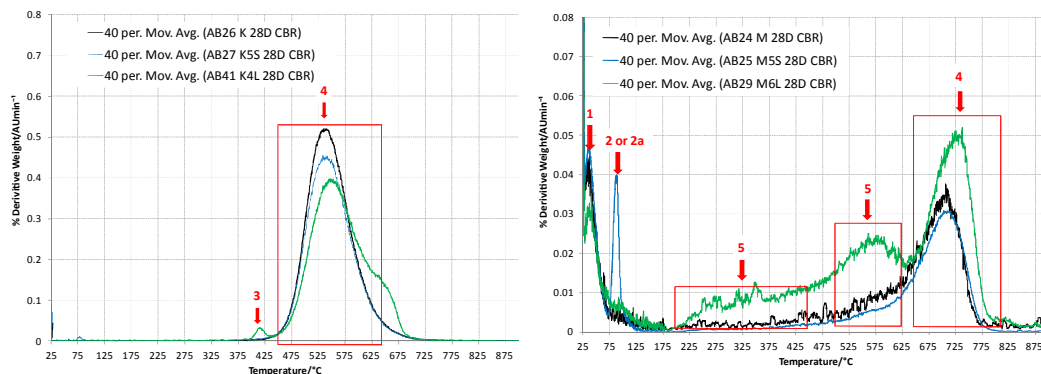


Figure 44: dTGA plot of Kaolin(left) and montmorillonite (right) control soils (UK Linear 28 day CBR Swell Test) 1 - desorption  $H_2O$ , 2 – interlayer  $H_2O$ , 2a –  $CaSO_4 \cdot 2H_2O$ , 3 –  $Ca(OH)_2$ , 4 – clay dehydroxylation, 5 – encapsulated  $H_2O$  and CASH

Figure 45 (left) shows the dTGA plot of the kaolin soils subject to the European accelerated swell test. Absent are peaks corresponding to loss of adsorbed water at low temperatures, as well as that of hydrated lime ( $\text{Ca}(\text{OH})_2$ , at ca. 425 °C). The major feature again is the dehydroxylation of the kaolin (4) at 450 – 650 °C (Guggenheim and Koster van Groos, 2001). Figure 45 (right) shows the dTGA plot of the montmorillonite soils. Mass loss peaks corresponding to both loss of adsorbed (1) and interlayer water (2) or gypsum (2a) are present. Again a gradual mass loss attributed to water encapsulated in cementitious product and dehydration of the product itself (5) released from the lime stabilised control (Kontori et al, 2009; Eisazadeh et al, 2010), as well as the dehydroxylation of the montmorillonite (4) at 650 – 750 °C (Drits et al. 2012).

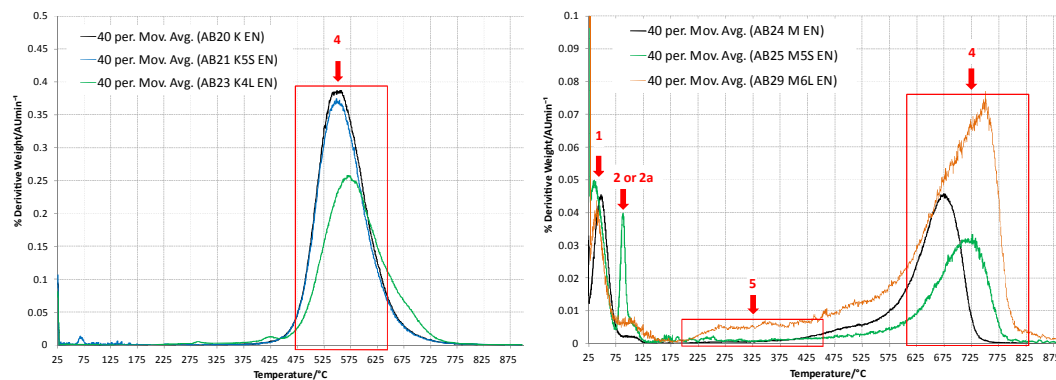


Figure 45: dTGA plot of kaolin and montmorillonite control soils (European accelerated swell test (EN13286-49) 1 - desorption  $\text{H}_2\text{O}$ , 2 – interlayer  $\text{H}_2\text{O}$ , 3 –  $\text{Ca}(\text{OH})_2$ , 4 – clay dehydroxylation, 5 – encapsulated  $\text{H}_2\text{O}$  and CASH

### 6.3.2. Lime stabilised sulfate soils

The sulfated soils after testing all had similar peak profiles at higher temperatures (ca. > 200 °C), i.e.  $\text{Ca}(\text{OH})_2$ , encapsulated water and dehydroxylation. As such the full profiles (0 – 900 °C) are provided in Appendix A. Of real interest are those peaks that may arise from the decomposition of expansive minerals: ettringite (AFt) and monosulfate (AFm). The peak profile of pure AFt (Appendix B) shows four characteristic peaks with inflections at: 73; 106; 223; and 733 °C). The first two are relatively well defined and so are probably the best to use for identification of AFt in the test soils. The dTGA plots of the sulfated soils subject to the UK linear CBR swell test at 7 days immersion are shown in Figure 46.



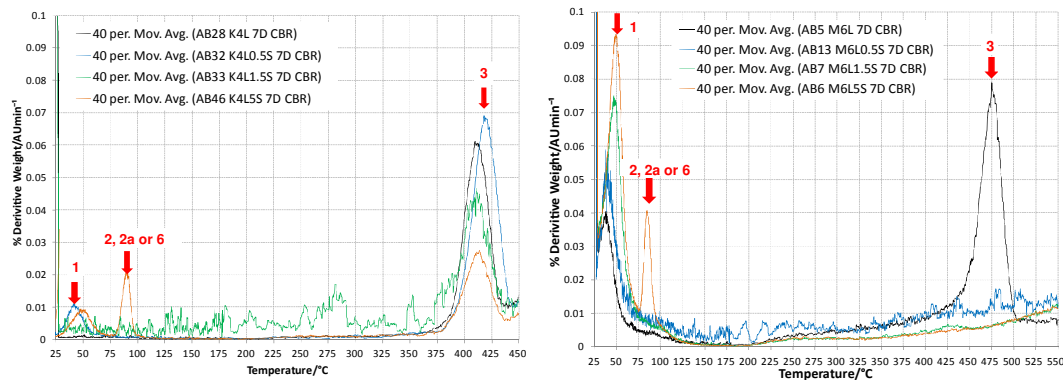


Figure 46: dTGA plot of sulfate soils at 7 days (UK linear CBR swell test) 1 - desorption  $H_2O$ , 2 – interlayer  $H_2O$ , 2a –  $CaSO_4 \cdot 2H_2O$ , 3 –  $Ca(OH)_2$ , 6 – ettringite (AFt)

The left hand plot in Figure 46 shows the kaolin based soils and the right hand shows the montmorillonite. Both are relatively similar. They contain a small peak around 40 °C (1), that can be attributed to loss of adsorbed water from the clay and a peak ascribed to  $Ca(OH)_2$  at ca. 400 °C (3). Also present is a peak at ca. 85 - 90 °C in both plots that may possibly be a dehydration step of ettringite (6), although in the montmorillonite this could also be due to loss of interlayer water from the clay, or be a dehydration step of gypsum, although the first dehydration event is reported at 105 °C, not 85 – 90 °C as also reported in the previous section. In both plots, this peak is only present in the high sulfate soils (K4L5S, and M6L5S). It would be expected that if it were due to loss of interlayer water, then it would be present in all the other sulfated montmorillonite soils as well. The low (0.5% sulfate) and medium sulfate (1.5% sulfate) soils of either clay did not exhibit a peak at 85 - 90 °C. It has been reported in the literature (Wang et al. 2005; Perkins and Palmer, 1999) that AFt exhibits a characteristic dehydration peak at ca. 80 - 90 °C. But considering the XRD patterns from Section 6.2, a residual gypsum peak was found in all high sulfate soils irrespective of which test they were subject to. It is therefore not possible to assign this thermal event to a particular phase with confidence.

dTGA plots of the soils subject to the UK linear CBR swell test after 28 days immersion are shown in Figure 47 (kaolin – left, montmorillonite – right). The sulfated kaolin soils show characteristic peaks at ca. 50 °C due to dehydration of adsorbed water from the clay (1) and ca. 425 °C due to  $Ca(OH)_2$  (3). Again a peak at ca. 80 °C is present, but only in the high sulfate soil (K4L5S). The left hand plot of montmorillonite shows peaks attributed to desorption of the clay in all the samples tested (ca. 50 °C – 1). The control (M6L) and low sulfate (M6L0.5S) show a peak at ca. 350 °C (8) that could be attributed to the cementitious hydrate hydrogarnet, which is a generic calcium silicate hydrate (CSH) (Ramachandran et al, 1964). The low sulfate soil additional peaks at 120 °C, 260 °C (7) and 350 °C (8) may be attributed to Friedel's Salt, which is a chloride substituted phase of

hydroxyl AFm (Birnin-Yauri and Glasser, 1998). But they may also be attributed to the cementitious hydrate (CAH) (Ramachandran et al, 1964). The high sulfate soil M6L5S peaks at ca. 80 °C (6) and a broad hump at ca. 250 °C (6a), these together may be indicative of AFt decomposition. The montmorillonite soils all exhibited a steady mass loss from about 200 °C onwards, indicating loss of encapsulated water and dehydration of generic CASH. This was not found in the kaolin soils however.

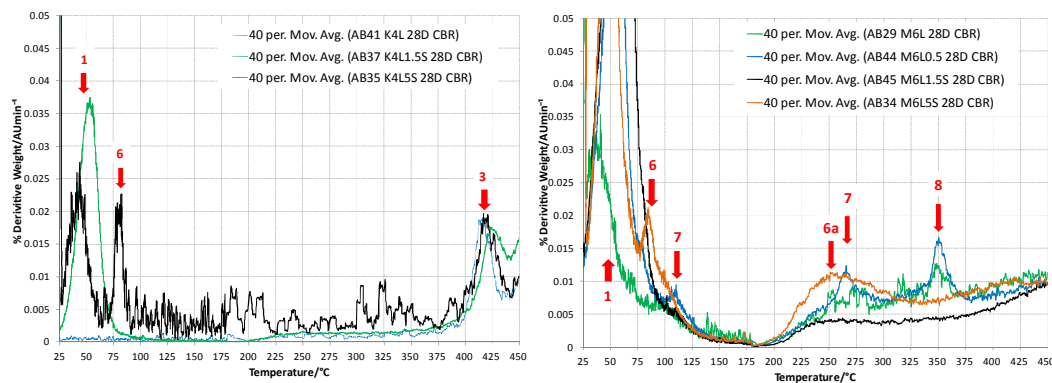


Figure 47: dTGA plot of sulfate soils at 28 days (UK linear CBR swell test) 1 - desorption  $H_2O$ , 6 and 6a – ettringite (AFt), 7 – Freidel's Salt, CAH ( $C_4AH_{13}$ ), 8 – hydrogarnet (generic CSH)

Figure 48 shows the dTGA plots for kaolin (left) and montmorillonite (right) soils after testing to the European accelerated volumetric swell test. In the kaolin soils, the control (K4L) only exhibited a peak at 425 °C, that can be attributed to  $Ca(OH)_2$ . The low sulfate soil (K4L0.5S) also exhibited the lime peak at 425 °C. However the main feature of K4L0.5S is a relatively large peak at ca. 280 °C (7). This could either be a cementitious hydrate (CAH) as found in M6L0.5S (28D, BS1924-2, Figure 47), or a dehydration peak of monosulfate (AFm) as reported by Taylor (1997). A broad hump between 200 – 275 °C could be attributed to a solid solution between CASH and AFm (Akhter et al, 1997) or the 3<sup>rd</sup> dehydration step of AFt as found in the ettringite reference (See Appendix B). But, given the absence of the other characteristic AFt peaks, this is unlikely. The large peak (7) in the low sulfate kaolin is not present in either K4L1.5S or K4L5S.

The montmorillonite soils all showed a peak at ca. 50 °C due to desorption of the parent clay (1). The low sulfate soil (M6L0.5S) exhibited a very small peak at ca. 120 °C as well as ca. 260 °C (7) and at ca. 350 °C (8), which were also found when the mixture was subject to the UK linear CBR swell test at 28 days immersion. These could again be identified as Freidel's Salt (Birnin-Yauri and Glasser, 1998) or a cementitious product – possibly  $C_4AH_{13}$  or AFm (7 or 9) and hydrogarnet (a generic CSH) (8) as found by Ramachandra, (1964). The control (M6L) exhibited a very small CSH peak (8), but the peak at 260 °C was not present. The high sulfate soil (M6L5S) exhibited a peak at ca.

80 °C (6) and a broad hump at 225 – 300 °C (6a) which considered together could well be ettringite (AFt). As can be seen from Figure 48, no residual lime peak was detected in any of the montmorillonite soils.

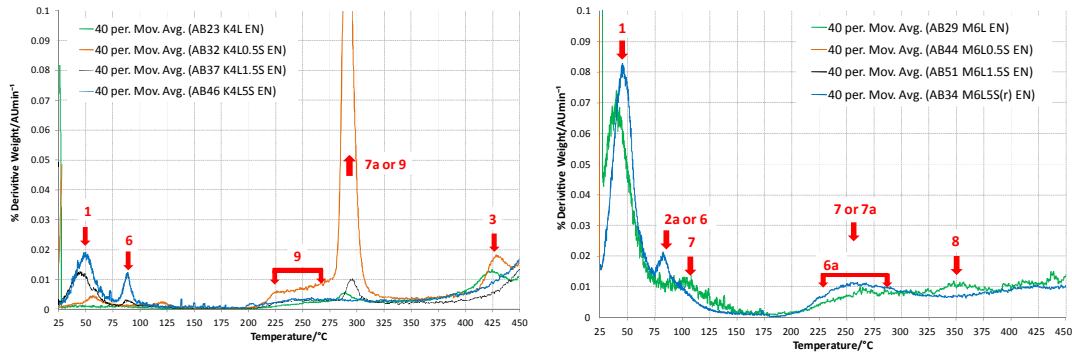


Figure 48: dTGA plot of sulfate soils at 28 days (UK linear CBR swell test) 1 - desorption H<sub>2</sub>O, 2a -- CaSO<sub>4</sub>·2H<sub>2</sub>O, 3 – Ca(OH)<sub>2</sub>, 6 and 6a – ettringite (AFt), 7 – Fridel's Salt, 7a – AFm, 8 – hydrogarnet (generic CSH), 9 – CAŜH: solid solution between CAŜH and monosulfate (AFm)

Figure 49 shows the dTGA response of the soils subject to 9 months long term immersion (BS1924-2). The peak ascribed to dehydration of the bulk clay (1) at ca. 60 °C is much larger in both soil types compared to the same soils tested at 28 days. The very high sulfate soils (10% SO<sub>4</sub>) exhibited a peak at ca. 90 °C in the kaolin and ca. 105 °C in the montmorillonite. This could either be gypsum (2a) or due to ettringite (6). The medium sulfate kaolin (K4L1.5S) also has a peak attributed to AFm at ca. 300 °C (7a), whereas the montmorillonite soils has a broad hump previously ascribed to the a dehydration step of AFt (6a).

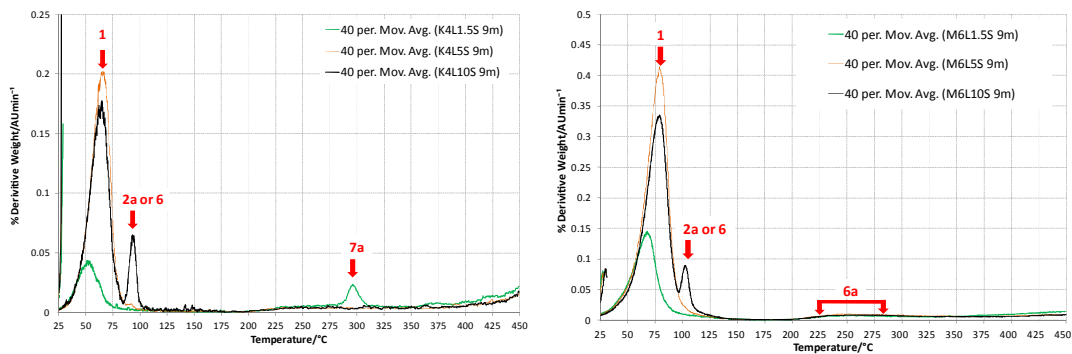


Figure 49: dTGA plot of sulfate soils at 9 months (UK linear CBR swell test). 1 - desorption H<sub>2</sub>O, 2a - CaSO<sub>4</sub>·2H<sub>2</sub>O, 6 and 6a– ettringite (AFt), 7a – AFm

### 6.3.3. Discussion

Thermal gravimetric analysis uses the observation of a material's phenomenological response as a function of temperature. In clay materials this is the adsorption and desorption of water, which is greatly affected by the fugacity ( $f_{\text{H}_2\text{O}}$ ) of water at the hydrated site (Guggenhiem and Koster van Groos, 2001). The apparent temperatures of water-loss reactions can vary quite significantly depending on many factors including: grain size of the clay aggregates; individual crystal size; packing; heating rate (which induces a temperature gradient in the sample); type and flow of purging gas and particle distribution. All these factors cause variability in observed peak positions. As such, the temperatures at which thermal events occur are reported are generally given over a range, rather than at specific temperatures. Peak identification and analysis was undertaken by first considering what phases are likely to be present in a particular sample, and then referencing them against similar materials reported in the literature

There are two key features shown in the dTGA plots of the control soils. The first is a peak at low temperatures (generally  $<50\text{ }^\circ\text{C}$ ) attributed to loss of adsorbed water from the clay surface. The second is the main dehydroxylation peaks of the parent clay. The position of which is at a higher temperature in the montmorillonite based soils ( $650 - 750\text{ }^\circ\text{C}$ ), than found in the kaolins ( $450 - 650\text{ }^\circ\text{C}$ ). The size of the adsorbed water peak varies between soil samples. As mentioned above, this can be due to localised conditions within the sample during testing. Freeze-drying of the samples would also introduce variability based on particulate size of the sample when introduced to the drier. However, all reasonable attempts were made during the preparation of powder samples to obtain a homogeneous mixture. In the lime stabilised controls (K4L and M6L) a lime peak (3) (ca.  $425\text{ }^\circ\text{C}$ ) was detected in the soils subject to the UK linear swell test at 7 days. On extension of immersion to 28 days it disappeared. While in the samples tested to EN 13286-49 it was not present at all. This is most likely due to the longer immersion time allowing reactions that consume lime (both CEC process, cementitious and deleterious reactions). In the European accelerated volumetric swell test, the elevated immersion temperature ( $40\text{ }^\circ\text{C}$ ) would increase the rate of the reaction sufficiently such that lime is not detectable after 7 days immersion. Evidence of for the formation of cementitious hydrates comes from the gradual mass loss starting at about  $200\text{ }^\circ\text{C}$ , resulting from release of encapsulated water and from the decomposition of the hydrates themselves (Kontori et al. 2009; Eisazadeh et al. 2010). This was observed to a greater degree in the montmorillonite soils, and suggests that the development of a pozzolanic cementitious matrix was more extensive, explaining the higher CBR strengths at both 7 and 28 days of the montmorillonite's over the kaolin based soils.

Considering the sulfated soils, temperatures below 450 °C are of the most interest, as it has been shown in the literature that the thermal events linked to AFt, AFm and cementitious hydrates occur in this area (Akhter et al. 1997; Ramachandran et al, 1964). All of the soils exhibited evidence of cementitious hydrate formation due to the gradual mass loss starting around 200 °C (5) as would be expected following the addition of lime sufficient to raise the pH to >12.4. The mass loss appears to be more significant with the montmorillonite based soils, which again, is consistent with the formation of more cementitious products resulting from pozzolanic reactions. This would be dependent on a more fundamental property of the clay, namely the dissolution rate of aluminosilicates into the pore solution. It could also be the result of the 2:1 layer clay structure allowing more water to be encapsulated within the cementitious matrix compared to the 1:1 layer kaolin based soils.

After the positive identification of ettringite and monosulfate from XRD analysis (section 6.2), the use of dTGA to also identify these deleterious minerals exposes the limitations of the technique. Three possible thermal events have been identified that could give rise to a peak at ca. 80 °C:

- Loss of interlayer water from 2:1 layer clay;
- Dehydration of gypsum to anhydrite; and
- 1<sup>st</sup> dehydration event of ettringite.

Table 33 summarises in which soils the peak at 80 °C has been found.

Table 33: Presence of peak at ca. 80 °C in dTGA

Test	Kaolin Soils						Montmorillonite Soils					
	K	K5S	K4L	K4L+			M	M5S	M6L	M6L+		
				0.5S	1.5S	5S				0.5S	1.5S	5S
BS1924-2 (7d)	-	y*	-	-	-	y	-	y	-	-	-	y
BS1924-2 (28 day)	-	y*	-	-	-	y	-	y	-	-	-	y
EN13286-49	-	y*	-	-	-	y	-	y	-	-	-	y

Note: y – yes, \* - very small peak.

As seen from the table, the peak is only present in the high sulfate soils (including the unstabilised control), irrespective of which immersion test they have been subjected to. If

it were due to dehydration of the parent clay, then it should be present in the clay samples, particularly those of the montmorillonites. It may well be a 1<sup>st</sup> dehydration step of AFt, but without any sign of the other three peaks, but it seems unlikely. This leads to the conclusion that it must be due to a dehydration step of gypsum, despite occurring at a temperature 40°C less than analysis of the bulk mined gypsum sample. This also corresponds with the XRD analysis (Section 6.2), which only found gypsum in the high sulfate clays (both with and without lime stabilisation). If ettringite is present in the soil, then it would not be possible to resolve it due to the peak overlap.

The results of XRD analysis (see Section 6.2) show that AFt is formed in even the low sulfate soil of the 7D UK linear CBR swell test. Thermal gravimetric analysis may therefore lack the resolution to detect AFt at the quantities formed from the soil mixtures (and indeed gypsum) at a starting sulfate content of 0.5% and 1.5%. The analysis of the long term specimens, in which a soil mixture contained 10% sulfate revealed a peak at ca. 90°C in the kaolin and ca. 105°C in the montmorillonite. Again it is difficult to differentiate this between gypsum and ettringite. XRD analysis revealed extensive formation of AFt in all the long-term specimens, but only residual gypsum in the 10% sulfate specimens.

The results do show however, that the final phase composition of the soils is both affected by the testing regime and starting sulfate content. Extending the duration of immersion in the UK linear CBR swell test (Figure 46 and Figure 47) has a greater affect on the montmorillonite soils than the kaolins, given that, as described earlier, thermal events attributed to formation of cementitious hydrates were found to be larger in soils subject to 28 days immersion compared to 7 days. Pozzolanic reactions are known to be relatively slow at ambient temperatures (Rojas and Cabrera, 2001) so this is not surprising. The fact that these were not found in the medium and high sulfate soils (M6L1.5S and M6L5S) is however. It leads to the possibility that the higher concentration of sulfate reduces pozzolanic cementitious hydrate formation in some way. It's possible that formation of AFt/AFm is more thermodynamically favourable, so is formed preferentially in relatively high sulfate conditions. Maybe sufficient lime is consumed on ettringite formation, that conditions are no longer sufficiently alkaline for pozzolanic development of a cementitious matrix. pH measurements of soils after testing show however that all the soils still had a pH >12.4 at the end of the immersion period (see chapter five). In comparison, the kaolins appeared to be relatively unreactive at both 7 and 28 days with peaks from CSH (8) not present, again supporting the finding that they had lower mechanical strengths (CBR value).

Most interesting is the behaviour of the low sulfate soil. Characteristic peaks of a solid solution between AFm and C<sub>4</sub>AH<sub>13</sub> were found in K4L0.5S subject to the European test,

but not in the UK linear CBR swell test at 28 days. The increased immersion temperature (40°C compared to 20°C) must have a significant effect on the composition of the pore solution and solubility of reaction products. The low sulfate montmorillonite exhibited peaks characteristic of Friedel's Salt. It is reasonable that this would form in this soil, since XRF analysis (see Appendix C) of the montmorillonite soils showed chloride contents of 0.08%, compared to <0.01% for the kaolin. Birnin-Yauri and Glasser (1998) showed Friedel's Salt to be thermodynamically more stable than monosulfate.

## **6.4. SEM-EDX**

### **6.4.1. Introduction**

A combination of Scanning Electron Microscopy (SEM) and Energy Dispersive X-ray Spectroscopy (EDX) was used to investigate the composition of the soils after testing. Particular attention was paid to what remained of the soil microstructure and the morphology of the AFt and AFm found. EDX point analysis was used to differentiate similar looking structures and provide elemental composition to aid in the identification of the phases present. Details of the equipment used, sample preparation and experimental conditions are provided in Chapter Four.

The section has been arranged by presenting the controls soils (both unstabilised and stabilised) first, then the high (5%), medium (1.5%) and low (0.5%) sulfate test soils subject to the UK linear swell test at 7 days , 28 days and then the European accelerated volumetric swell test .

Regarding the analysis itself, in an attempt at brevity, analysis of every soil mixture subject to both swell tests has not been reported. The purpose of the SEM-EDX analysis was to determine the representative microstructure and composition of the test soils, as well as looking for standout features that would aid in furthering the understanding of the deleterious processes in question.

The field of view is extremely small compared to the size of the sample holder. In the analysis of each sample, many areas were scanned so that the representative structure could be determined. For example, if in the low sulfate mixtures an image was taken of extensive AFt formation (maybe due to gypsum being initially present in that particular part of the specimen when under test), it would be misleading to report that image, if the remainder of the SEM analysis revealed the sample to be relatively AFt free. Therefore the images reported in the following section are those representing the typical microstructure and composition of that soil mixture.

### 6.4.2. Control Soils

Analysis of the control soils revealed platy and lamellar structures of clays. No difference in microstructure was found irrespective of the test the soil mixtures were subjected to, which is expected, since without the addition of a hydraulic binder, neither modification or stabilisation of the soils can occur. Figure 50 shows the SEM-EDX of the sulfated kaolin control soil (K5S) after testing to EN13286-49. The typical plate-like particle morphology of kaolin clay (Yan et al, 2010) can be seen in the top micrograph. EDX point analysis reveals the expected strong emission peaks of Si, Al, and O – consistent with the tetrahedral/octahedral aluminosilicate sheets of clay minerals (see Chapter Two), in addition to minor peaks of K and the Pt coating. The lower micrograph illustrates the use of EDX analysis in phase identification. This particle was identified as gypsum from the characteristic strong emission spectrum of the elements Ca, S and O.

Figure 50: Sulfated kaolin control soil mixture (K3S, EN13286-49)

In Figure 51, the typical morphology of the lime stabilised kaolin control can be seen. It is difficult to make out the structure of any cementitious product formed. Evidence of cation exchange processes are found from the EDX point analysis showing a strong emission from calcium in addition to Si, Al and O expected from the aluminosilicate clay minerals.



Figure 51: K4L (BS1924-2, 28 day immersion) showing formation of cementitious hydrates

Figure 52 again shows the same soil, but this time cementitious hydrates are clearer. The EDX point analysis shows an area rich in Ca, Si and O, which is possibly evidence of CSH formation. Long acicular crystals were also found. It is unlikely that these are AFt since no sulphur was detected in the EDX pattern, nor did the specimens swell on testing. It is possible that these are in fact fibrous CASH as reported by Bérubé et al. (1990).

Figure 52: K4L (BS1924-2, 28 days immersion) showing evidence of cementitious hydrate formation

Figure 53 shows micrographs taken from the control soils (K4L and M6L) after testing to EN13286-49. In the kaolin soil (top images) the typical morphology of clay particles (1) can be seen as well as the formation of cementitious product (Kim and Kim, 2011) on the surface of the clay particle (2). In the bottom set of images, smectite lamellae, typical of montmorillonite clay can be seen as found by Yilmaz and Civelekoglu (2009), in addition to cementitious material of similar morphology to that found in the kaolin (4). The typical

acicular crystal appearance of ettringite or the lamellar crystal structure of monosulfate were not found in any of the control specimens.

Figure 53: Control soils after European accelerated volumetric test, EN13286-49 (K) top, (M) bottom.

### **6.4.3. High sulfate**

Figure 54 shows M6L5S after 7 days immersion (BS1924-2). Acicular crystals of two different morphologies are shown. In the left micrograph, they are shorter and thicker (ca.  $1\mu\text{m} \times 0.4\mu\text{m}$ ), whereas in the right micrograph, they are longer, but thinner in width (ca.  $2\mu\text{m} \times 0.2\mu\text{m}$ ). As will be seen in subsequent analysis of the lime stabilised sulfate soils, these crystals were identified as ettringite.

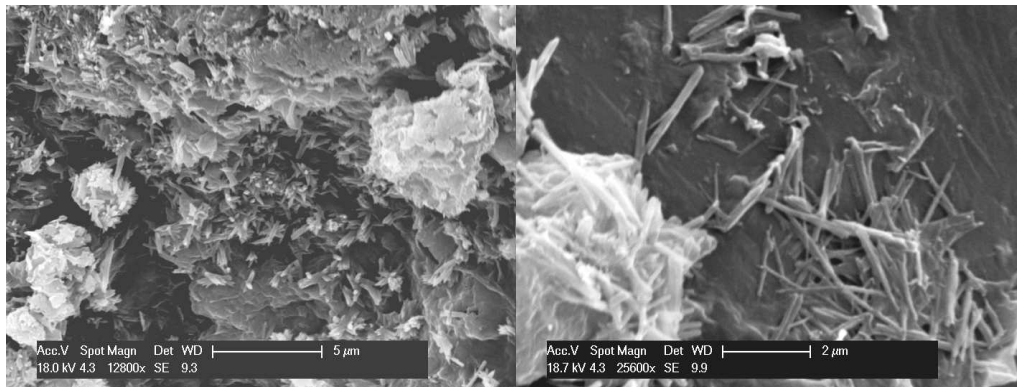


Figure 54: M6L5S (BS1924-2, 7 days immersion) AFt formation

Figure 55 shows the microstructure of K4L5S (BS1924-2, 28 days immersion). The spherical structures at EDX6e were identified as precipitated calcium carbonate crystals due to strong Ca, C and O emissions, as observed by Hardiker and Matjevic (2001). The lower two micrographs show the characteristic needle-like morphology of ettringite. The crystals are small ( $< 2 \mu\text{m}$  long and  $< 0.1 \mu\text{m}$  wide), randomly orientated, and appear to have formed uniformly throughout the material.

Figure 55: K4L5S (BS1924-2, 28 day immersion) showing presence of precipitated carbonate (top) and AFt formation (bottom)

The equivalent montmorillonite soil is shown in Figure 56. No residual lime was detected. Ettringite (AFt) was found to have formed extensively throughout the soil, confirmed by EDX (point EDX6f) showing strong emissions of Ca, Al, O and S. Adsorption of Si into the structure of AFt may account for the strong Si emission (Barnett et al, 2002), as well as background from the surrounding clay material. The ettringite has a different morphology to the high sulfate kaolin. The crystals are slightly longer, but much greater in width ( $3 \mu\text{m} \times 0.3 \mu\text{m}$ ).

Figure 56: M6L5S (BS1924-2, 28 day immersion). Extensive AFt formation throughout material

Figure 57 shows the high sulfate kaolin after the European accelerated swell test. Ettringite (AFt) was found throughout the soil with morphology very similar to that found in the BS1924-2 test.

Figure 57: K4L5S (EN13286-49) showing extensive AFt formation. Bottom-right micrograph shows AFt crystal apparently embedded in the bulk clay.

In addition, spherical formations high in Ca and Al were found (Figure 58, EDX6g). These are much the same as those found by Tosun and Baradan (2010) in their studies of heat-cured mortars. They suggest that this is a Ca-rich species that they term 'ball ettringite' which forms in the voids and narrow spaces in cement paste. It is a primitive AFt species that acts as a nucleation point for the later formation of AFt with a more typical acicular crystal morphology.

Figure 58: (top left and right). Bottom SEM-EDX shows Ca-rich species termed 'ball ettringite' in the literature

Figure 59 shows the equivalent montmorillonite soil. EDX analysis (EDX6h) confirms the needle-like crystals to be ettringite, due to strong elemental emissions of Ca, S, Al, and O. The lower micrographs show what appears to be AFt growing within a void of the microstructure (circled). The crystals in the void space are much thicker ( $> 1 \mu\text{m}$ ) than those found distributed throughout the material.

Figure 59: M6L5S (EN13286-49) showing extensive AFt formation. AFt shown growing in a possible void structure (circled)

#### **6.4.4. Medium sulfate**

Figure 60 shows the typical crystal morphology of AFt found in the medium sulfate kaolin soil (UK linear 28 day CBR swell test, BS1924-2). The crystals are randomly distributed throughout the soil. It also comprises of a mixture of large (ca.10  $\mu\text{m}$   $\times$  0.6 $\mu\text{m}$ ) and small crystals (<4 $\mu\text{m}$  by <0.3 $\mu\text{m}$ ).

Figure 60: K4L1.5S (BS1924-2 28 day immersion) showing mixture of both relatively - large and small AFt crystals

Another interesting feature observed in this soil is shown Figure 61. The images show densely packed 'spheres' of crystalline material growing from a central nucleation point. Initially it was thought that this again was 'ball ettringite' as described in Figure 57. EDX analysis (ED6i) revealed that it must be hydrated, or hydrating lime, primarily due to an absence of any emission from elemental sulphur and aluminium and the corresponding strong emissions from Ca and O.

Figure 61: K4L1.5S (BS1924-2, 28 day immersion) showing hydrated lime particles

An SEM and accompanying EDX point analysis of the medium sulfate kaolin soil after testing to EN13286-49 is shown in Figure 62. The EDX point analysis (ED6j) reveals that the relatively large (ca.  $30 \times 20$   $\mu\text{m}$ ) pseudo-hexagonal structure (circled) is rich in the elements Ca, S, Al and O, consistent with that of monosulfate (AFm) as reported by Baur et al. 2003 and Leisinger et al. 2011.



Figure 62: Medium sulfate kaolin (K4L1.5S) after the European accelerated volumetric test (a)

This is shown again in Figure 63 (right, ED6l). Also identified was the trisulfate form AFt (left, EDX6k). The elemental composition was the same although the relative intensities of the elemental emissions were dissimilar, indicating a different stoichiometric composition.

Figure 63: Medium sulfate kaolin (K4L1.5S) after the European accelerated volumetric test (EN13286-49)

Figure 64 shows two close-up close up images of those reported in Figure 63. It can be seen that relatively large crystals of ettringite (AFt) have formed on the surface of monosulfate (AFm).

Figure 64: Close-up of ettringite (AFm) formation on surface of monosulfate (AFm)

#### **6.4.5. Low sulfate**

The low sulfate kaolin after 7 days immersion (BS1924-2) is shown in Figure 65. Although small nodule-like structures were seen at high magnification, the main features were comprised of cementitious hydrates (top and bottom left, as reported by Hollis et al. (2004) and the corresponding EDX analysis (EDX6m). On the bottom right, spherical structures, identified as CaO or Ca(OH)<sub>2</sub> consistent with those found in Figure 55 can be seen.

Figure 65: K4L0.5S tested to BS1924-2 (7 days immersion) showing cementitious product (top and bottom left) and possibly hydrated lime particles (bottom right)

The same soil tested by BS1924-2, but with the immersion period extended to 28 days is shown in Figure 66. The characteristic plate-like crystal morphology of monosulfate was present, confirmed by EDX analysis (EDX6n). Again strong emissions of Ca, Al, O and S were recorded. These are similar to those found by Stutzman (2004) in his petrographic studies of concrete.

Figure 66: K4L0.5S tested to BS1924-2 (28 days immersion) showing the characteristic SEM-EDX of monosulfate (AFm)

Ettringite was also found in the samples (Figure 67, circled), but in a relatively low abundance. Or it may be that it is difficult to detect due to the very small size of the crystals ( $<3\mu\text{m} \times 0.2\mu\text{m}$ ).

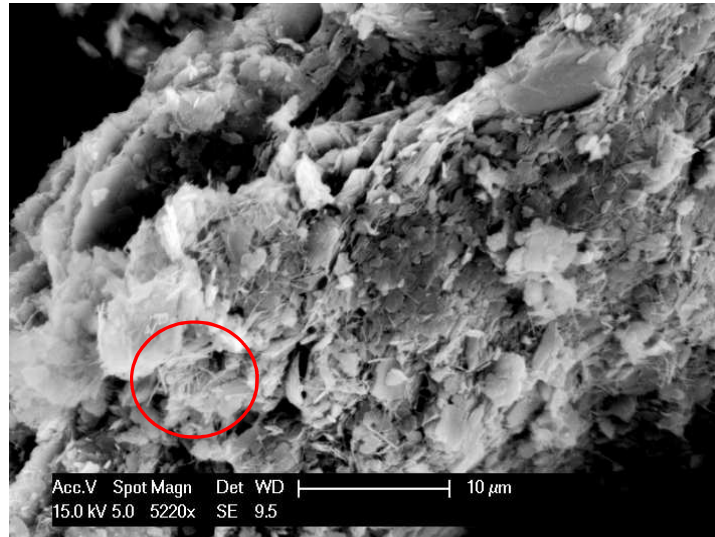


Figure 67: Small AFt crystals found in K4L0.5S (BS1924-2, 28 days immersion)

Figure 68 shows the analysis of the low sulfate kaolin after the EN13286-49 test. The characteristic plate-like morphology of monosulfate was found throughout the material. The EDX analysis (EDX6o) confirms that these crystals are those of AFm due to high emissions of Ca, Al, O and S, rather than what can be another plate-like phase – CSH (Stark and Möser, 2002). The EDX spectrum of AFt and AFm is similar as they are comprised of the same elements. The differences in structure result from the higher stoichiometric proportion of sulfate ion in AFt compared to AFm. The high sulfate form, AFt was also found although compared to the other soils, this was much less abundant (bottom right micrograph).

Figure 68: Low sulfate kaolin (K4L0.5S) European accelerated volumetric swell test, EN13286-49.

Figure 69 shows M6L0.5S tested to BS1924-2 (28 days immersion). The area highlighted appears to be the remnants of what once was a void in the structure of the montmorillonite soil. Several nucleation points and radial crystal growths of AFt were found. EDX (EDX6p) analysis confirmed that these were ettringite due to strong emissions of the elements Ca, S, Al and O.

Figure 69: M6L0.5S (BS1924-2, after 28 days immersion) showing nucleation and radial growth of AFt crystals in the remnants of a void structure

Figure 70 shows the same sample again. On the left a cluster of AFt crystals can be seen, while on the right, prismatic crystals rich in Ca, Al, Cl and O (EDX6q) are shown. Also note the absence of an emission from sulfur. The emission spectrum is therefore characteristic of Friedel's salt ( $\text{Ca}_2\text{Al}(\text{OH})_6(\text{Cl},\text{OH})\cdot 2\text{H}_2\text{O}$ ) as reported by Birnin-Yauri and Glasser, (1998). This is a more stable form of AFm and is known to form as an intermediate prior to the precipitation of secondary ettringite in some systems.

Figure 70: M6L0.5S (BS1924-2, 28 days immersion) showing an AFt cluster (left) and Friedel's Salt (right)

Figure 71 shows the equivalent montmorillonite soil. AFt was found (circled), although its occurrence was much less than the high sulfate soil. Its morphology was also similar to the kaolin having undergone the UK linear CBR swell test. The crystals were relatively long ( $>15\mu\text{m}$ ) yet still very thin. Their width was difficult to measure but was probably  $<0.2\mu\text{m}$ . EDX6r shows the emission spectrum of small cubic structures high in Ca, Si and O. This is possibly a calcium silicate hydrate (CSH), EDX analysis reveal these to be rich in Ca, Si and O, suggesting the cementitious hydrate CSH. Medina et al (2011) reported similar structures and EDX patterns in their work with recycled concretes. As did Peethamparan et al. (2008), in their studies of cement kiln dusts for use in soil stabilisation.

Figure 71: M6L0.5S (EN13286-49) showing extensive AFt formation (top) and CSH formation (bottom)

Figure 72 shows the CSH formations under higher magnification. They appear to have a cubic crystal morphology and are stacked in lamellar clusters. The surrounding material is foil-like and may be another CSH morphology as found by Richardson (1999).

Figure 72: M6L0.5S (EN13286-49) showing close up of cubic structures as shown in Figure 71 (EDX6r).



#### 6.4.6. Long term tests

The SEM-EDX of the soils subject to long term testing (9 months) are presented in the following section. Only the soil mixtures containing 1.5% and 5% sulfate reported here, as these can be used to evaluate the important microstructural and compositional changes that have occurred over the extended immersion period compared to those reported in the 28 day immersion tests.

Figure 73 shows that the predominant sulfate bearing phase in K4L1.5S after 9 months immersion was the AFm phase, with EDX6s shows that characteristic elemental emission pattern of monosulfate. Note the Al peak is larger than the S peak. The crystals are very large, some in excess of 70 $\mu\text{m}$  in width. The well resolved micrograph on the right shows a close-up of the expected lamellar structure of monosulfate. The crystals had a thickness estimated at ca. 4 $\mu\text{m}$ .

Figure 73: Monosulfate (AFm) formation in M6L1.5S (9 months immersion)

In Figure 74 the micrographs of K4L5S show extensive formation of AFt, the predominant phase in this soil mixture. The AFt shown in the right micrograph is embedded within what appears to be a cementitious hydrate. During the extended immersion period the development of a cementitious matrix would also be on-going in addition to the precipitation of ettringite. The AFt crystals in comparison to those formed during the 28 days immersion test are much larger, both in length and diameter (ca. 4 $\mu\text{m}$   $\times$  0.2 $\mu\text{m}$ ).

Figure 74: Extensive AFt formation in K4L5S (9 months immersion)

Figure 75 shows the montmorillonite soil M6L1.5S. In the left-hand micrograph and accompanying EDX spectra (EDX6t) a typical foil-like morphology of CSH is shown forming on the surface of the bulk clay. On the right, a representative area of AFt formation is shown. Consistent with analysis of the montmorillonite soils subject to the other immersion tests (both BS1924-2 and EN13286-49), the crystal morphology is much thicker. In fact, in comparison to the 28 day immersion tests, those shown here are approximately 0.4 $\mu$ m thick, but of approximately the same length (5 $\mu$ m)

Figure 75: Foil-like CSH and AFt formation in M6L1.5S (9 months immersion)

The crystal morphology of AFt formed in M6L5S is shown in Figure 76. Again the crystals are much longer and thicker compared to those formed in the kaolin soils. In the right-hand micrograph, the area circled is shown in close-up.

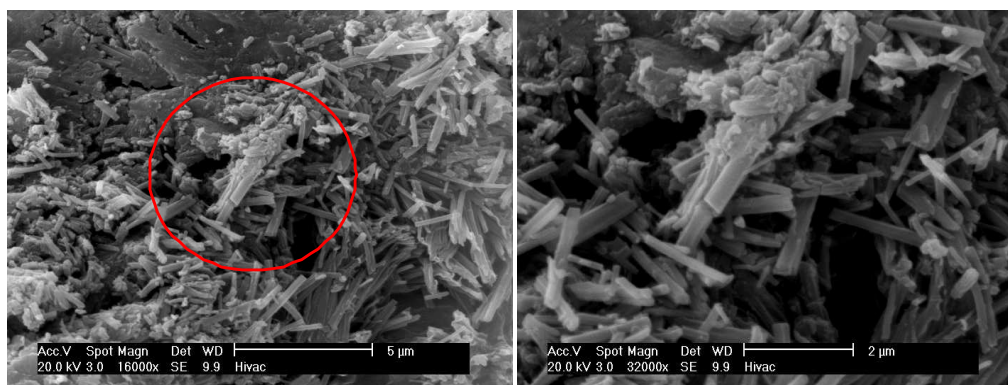


Figure 76: Large AFt crystals formed in M6L5S (9 months immersion)

#### 6.4.7. Discussion

Combined SEM-EDX analysis of the unstabilised control soils (K5S and M5S) shows only the characteristic morphology of the parent clays and allows differentiation of the blended soil components, i.e. gypsum and quartz sand. The stabilised controls (K4L and M6L), exhibited a degree of cementitious hydrate formation, identified by reference to the literature as mainly from the CSH group (Rekik and Boutouil, 2009; Kim and Kim, 2011). No evidence of the calciumsulfoaluminate hydrates AFt and AFm were found in any of the controls. This was expected since they lacked a source of sulfate for their formation.

Considering the sulfated lime stabilised soils, a surprisingly wide variety of crystal phases and morphologies were found. Ettringite was found in the high sulfate soils of both clay types (K4L5S and M6L5S) although its morphology was dependent on the clay and the conditions of the swell test. Ettringite in the kaolin soil was relatively difficult to detect, due to the small size of the crystals, despite relatively large and on-going dimensional increases in the specimens at the time of analysis. It is suggested that this is evidence of topochemical colloidal AFt formation and the expansion being associated with a crystal swelling mechanism (Schwiete et al, 1966). Some researchers have reported that kaolin clays in an alkaline environment produce a pore solution with a higher concentration of alumina compared to montmorillonite (Mitchell and Dermatas, 1990). This coupled with a high hydroxide ion ( $\text{OH}^-$ ) concentration would promote such a mechanism (Min and Tang, 1993), which is possible due to the lower cation exchange capacity of kaolin. This would maintain the amount of so called 'available lime' at a much higher concentration than the equivalent montmorillonite soil. Conversely the AFt formed large stubby crystals

throughout the montmorillonite soils suggestive of a through solution mechanism (Ogawa and Roy, 1982).

The low sulfate soil of the kaolin formed monosulfate (AFm) and smaller amounts of AFt. It is suggested that the lower concentration of gypsum, coupled with the high alumina concentration in the pore solution, resulted in conditions that were more thermodynamically favourable to the formation of monosulfate, which is known to form in what is termed a low sulfate environment (Mitchell and Dermatas, 1990). This was surprising as monosulfate is thought only to be metastable at room temperature and very susceptible to drying (Mitchell and Dermatas, 1990; Damidot and Glasser, 1992). The montmorillonite soil at both high and low sulfate concentrations (M6L5S and M6L0.5S, Figure 59 and Figure 69 respectively) showed evidence of AFt formation in the void structure of the soil, further supporting a through-solution mechanism of formation. It would be reasonable to suggest that evidence of a similar mechanism would also be found in the medium sulfate soil on further investigation.

Considering the effect of extended immersion periods (BS1924-2, 7 days vs 28 days) on the sulfate soils, the SEM-EDX results show evidence that AFt formation is very much ongoing at 7 days immersion. Comparing the M6L5S at 7 and 28 days (Figure 54 and Figure 56) it can be seen that ettringite formation is much more extensive at 28 days. At the earlier age (7 days), in the micrograph reported the AFt crystals are shorter and look like they are still forming from their nucleation sites. AFt formation is generally considered to be rapid in cement systems due to formation from the highly reactive  $C_3A$  phase (Black, 2006) and has been detected by XRD within hours of hydration (Taylor, 1990). In soils, it is slower, due to the relatively slow rate of dissolution of the alumina from the parent clay. This may explain why the AFt found in the soils at 7 days immersion looks relatively 'young' from a crystal formation point of view.

The difference of the kaolin soils at 7 and 28 days immersion is more difficult to differentiate because of the very small size of the ettringite crystals formed.

The analysis of the soils subject to the long term immersion tests support the findings of both the XRD and dTGA analysis. The K4L1.5S favours the formation of the AFm phase, while in all the other soils, the AFt phase is predominant. Consistent with the analysis of the soils subject to BS19242 at 7 and 28 days as well as EN13286-49, the crystal morphology of the kaolin was smaller than that formed in the montmorillonite. But in both cases the extended immersion period caused the crystals to be larger in both soils in comparison to the 28 day immersion tests. This is suggestive of a slower rate of AFt formation over the long-term, possibly by a through-solution mechanism (Lee et al. 2005) and even an ettringite dissolution and re-precipitation process (Baur et al. 2004).

In the European accelerated swell test the high sulfate kaolin soil exhibited significant on-going volumetric swell at the time of analysis (Chapter Five). SEM-EDX analysis again showed evidence of colloidal AFt formation, but also Ca-rich structures (Figure 13) that act as seed points for later formation of the more typical needle-like AFt crystals (Tosun and Baradan, 2010). This 'early-age' ettringite is consistent with the recorded on-going increase in volumetric swell. In the equivalent montmorillonite soil, the long and thin crystals of AFt associated with a topochemical formation mechanism, as well as short, thicker crystals in void structures (through-solution) were found. It is possible that the higher immersion temperature increased the solubility of candidate ions and localised variations in conditions allowed the co-formation of ettringite by both mechanisms. The low sulfate kaolin soil (K4L0.5S) formed comparatively more AFm than the same soil tested under BS1924-2. After some initial expansion on immersion, it remained dimensionally stable. This supports the accepted theory that monosulfate does not contribute to expansion (Mitchell and Dermatas, 1990). The low sulfate kaolin (M6L0.5S) yielded both AFt and AFm (Figure 70). The morphology of the ettringite was similar to that formed in the UK linear CBR swell test of the same soil (Figure 12). The monosulfate was found as Friedel's Salt. This is the product of monosulfate that has undergone anion exchange (Glasser et al, 1999), where  $\text{SO}_4^{2-}$  has been substituted for  $\text{Cl}^-$  and it is more stable than monosulfate (Birnin-Yauri and Glasser, (1998). Its formation in the low sulfate montmorillonite clays and not the kaolin's may be explained by the montmorillonite clay having adsorbed chloride ions in its structure, which may not have occurred with the kaolin. XRF analysis of the parent clays (Chapter Five) showed that the montmorillonite soils contained 0.08% chloride ions whereas the kaolin soils only had trace amounts (<0.01%).

## 6.5. SUMMARY

The phase composition and microstructure has been investigated for the range of soils subject to the two immersion tests (BS1924-2 and EN 13286-49).

Analysis by XRD and SEM-EDX clearly shows the formation of ettringite and monosulfate (including a number of anion substitutions) in lime stabilised soils containing sulfate. The formation of monosulfate is favoured in low to medium sulfate environments in the kaolin based soils and is further promoted by an increase in either the duration of immersion or the temperature of the immersion water. In the montmorillonite based soils, only the trisulfate phase (AFt) was found by XRD, but SEM-EDX analysis identified the chloride-substituted AFm phase, again in the low sulfate soil subject to elevated temperature in the immersion water (EN 13286-49).

AFt was found in all the soils irrespective of sulfate content or the conditions of the swell test it was subject to. Significant is the identification of an AFt morphology termed 'ball ettringite' in the literature. This calcium-rich AFt phase has only recently been reported and this may be the first time it has been identified in stabilised soils.

Importantly the bulk clay dictated the morphology of the ettringite crystals formed. In the kaolin based soils, AFt was difficult to identify by both XRD and SEM-EDX analysis, despite these soils exhibiting large dimensional swell responses (Chapter Five). Conversely, in the montmorillonite soils the ettringite crystals were larger with evidence of their nucleation and crystal growth occurring within the void structure of the soil. It was suggested based on findings from earlier workers that the properties of the clay control the hydroxide ion concentration in the pore solution and hence the thermodynamics of AFt formation.

By measuring  $I_{\max}$  from the low angle XRD studies a general trend of increasing AFt peak intensity with starting sulfate content was found. But due to the amorphous nature of the ettringite formed in the kaolin soils, crystallite size and particle orientation it can only be implied that as the amount of sulfate in the starting material increases, so does the propensity of the cementitious system to form ettringite.

Derivative Thermal Gravimetric Analysis (dTGA) was found to be less useful than anticipated, due to thermal events associated with the decomposition of ettringite and monosulfate occurring at low temperatures, where they are masked by those of other phases. For example; the dehydration of surface water of clay and decomposition of CSH. However, it was suggested that close analysis of the soils, allowed the dehydration of AFt to be differentiated from that of gypsum, based on the temperature at which the differential of the samples with respect to time reached a maximum ( $\delta Y/\delta t = \max$ ). dTGA did however allow the identification of a solid solution between AFm and CA $\dot{S}$ H in K4L0.5S (EN13286-49).

## **7. TIME DEPENDENT STUDY USING EUROPEAN ACCELERATED VOLUMETRIC SWELL TEST (EN13286-49)**

The following chapter reports the results of the physicochemical testing and analysis of a time dependent study using the high sulfate soil mixtures (K4L5S and M6L5S) and the European accelerated volumetric swell test (EN 13286-49). The purpose of this work was to investigate the microstructural and phase composition changes of the soil from the initial blending to the end of the swell test protocol. The immersion period was extended to 14 days to further examine the role of the test conditions in the swell process and the underlying changes in phase composition and microstructure that accompany it.

For convenience, the test regime and specimen identification from Chapter Four is reproduced below in Table 34.

Table 34: Test regime for early age study

Event	Specimen ID	
	K4L5S	M6L5S
Soil mixing + 1 h	EAK1	EAM1
Soil mixing + 24 h	EAK2	EAM2
End Curing (72 h)	EAK3	EAM3
Immersion + 1 h	EAK4	EAM4
Immersion + 24 h (1 day)	EAK5	EAM5
Immersion + 72 h (3 days)	EAK6	EAM6
Immersion + 168 h (7 days)	EAK7	EAM7
Immersion + 336 h (14 days)	EAK8	EAM8

The soils were sampled according to Table 34 where a specimen was taken for water content and pH and another for micro- and spectroscopic analysis. This specimen was frozen at -80 °C to arrest any ongoing chemical reactions and then freeze dried. It was then analysed using:

- SEM-EDX – to investigate the microstructure and phase composition;
- XRD (scan method 2 - at low angle) - to determine the degree of Aft formation; and
- Derivative TGA – to determine phase composition.

To further assess the changes to the specimens' microstructure during the immersion period, X-Ray Computed Tomography (X-ray CT) was used in combination with the image analysis software ImageJ on specimens taken at the end of the curing period (EAK3 and EAM3) and after 14 days immersion (EAK8 and EAM8).

### 7.1. VOLUMETRIC SWELL TESTING

The results of the volumetric swell testing are shown in Figure 77. For comparison, the volumetric swells recorded during the initial characterisation of the equivalent soils (Chapter Five) are also shown.

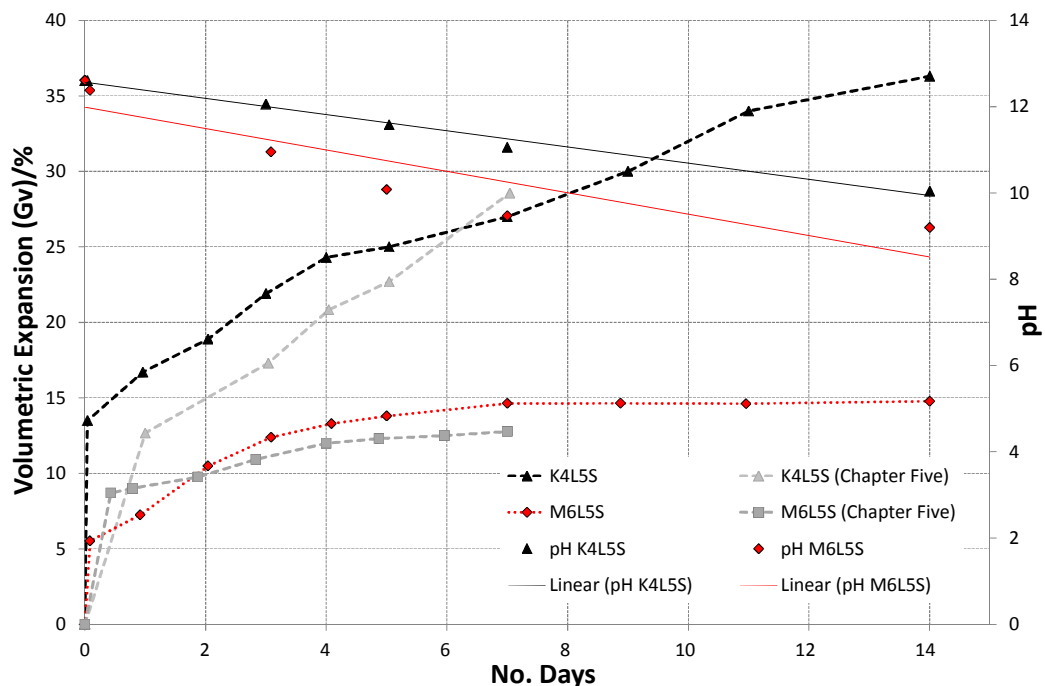


Figure 77: Early age volumetric swell testing results (EN 13286-49)

The kaolin soil (K4L5S) swelled initially (at immersion + 1 hour) to 13.5 %, after which it followed a roughly linear upward trend, achieving a total swell after 14 days immersion of 36.3%. The montmorillonite soil (M6L5S) again largely exhibited the swell behaviour of the earlier test specimens. A relatively rapid initial expansion, on immersion + 1 hour



(EAM4) was observed (Gv 5.0%), after which the volumetric swell exhibited a more linear increase until ca. four days immersion upon which the swell began to plateau. The total volumetric swell observed was 15.6%

Comparing the recorded swells of the early age study to those taken in the initial characterisation, they are in quite good agreement; in that the swell curves are fairly similar for the same soil mixture, and give roughly the same total volumetric swells at 7 days. No reproducibility or repeatability studies have been undertaken on the swell tests, so it is not possible to evaluate this in any depth using the data collected. But given that the measurements taken to calculate the volume of the specimen were taken by hand, the agreement is reasonable.

Visual assessment of the kaolin based soils showed that the specimens EAK7 to EAK8 exhibited severe volumetric disruption in all directions, with the soil itself losing a large degree of its cohesiveness. The montmorillonite on the other hand, while it still exhibited volumetric expansion (not as much as the kaolin), retained more of its original shape. A smaller loss of cohesiveness was also observed, but only at the specimen edges.

The pH value and water content of each specimen was determined. These are shown in Table 35 and Table 36: pH and water content values of M6L5S early age specimens. In the kaolin soils, the pH remained above pH 12.4, where pozzolanic reactions are possible (Little, 1999) until the specimens reached the end of their curing period (EAK4). 24 hours immersion caused a drop in soil pH to 12.06 and decreased further thereafter finally reaching a value of pH 10.04 after 14 days immersion. The water content of the soils remained consistent prior to immersion (as would be expected since external water has not been introduced to the system yet), then increased with the duration of immersion.

Table 35: pH and water content values of K4L5S early age specimens

Sample ID	EAK1	EAK2	EAK3	EAK4	EAK5	EAK6	EAK7	EAK8
pH	12.65	12.68	12.60	12.60	12.06	11.58	11.17	10.04
WC (%)	20.61	20.5	20.6	26.6	33.1	38.5	40.1	49.6

The montmorillonite soil (Table 36), on mixing, reaches a pH of 12.61. As the soil ages and the test progresses, the pH drops to a value of pH 9.38. As is typically associated with sulfate induced volumetric swell, the water content of the specimens also increases.

Table 36: pH and water content values of M6L5S early age specimens

Sample ID	EAM1	EAM2	EAM3	EAM4	EAM5	EAM6	EAM7	EAM8
pH	12.61	12.57	12.45	12.38	10.95	10.08	9.47	9.38
WC (%)	23.1	23.2	23.1	31.7	49.1	53.4	54.8	62.3

## 7.2. MICROSTRUCTURAL ANALYSIS BY X-RAY CT

Microstructural analysis was undertaken on EAK3 (post cure) and EAK8 (14 days immersion). Unfortunately, it was not possible to run the equivalent kaolins, because after immersion, sample EAK8 had lost such a degree of cohesiveness that it fell apart on handling. Therefore, only the montmorillonite based samples were used. These were subject to X-ray Computed Tomography (X-ray CT) using a XTEC X-ray CT analyser. All images were acquired using the mini-focus 350kV X-ray source system and linear detector. The images were processed using the software IMPS III. The specimens were scanned at 0.5 mm intervals to achieve a set of 2-dimensional 'slices' through each specimen. These were then processed using the open source image analysis software ImageJ (Abràmoff et al, 2004) with the additional plugin 3D viewer (Schmid, 2009).

3D renderings of the internal structure were produced by first cropping the raw image and processing it through the filter Gaussian Blur, before adjusting the threshold so that the voids are fully incorporated. This had to be done manually because the brightness of the set of images was not consistent. Setting the appropriate greyscale threshold limit then produced a binary (black and white) image of each slice with the voiding coloured black, the sequence of which is shown in Figure 78.

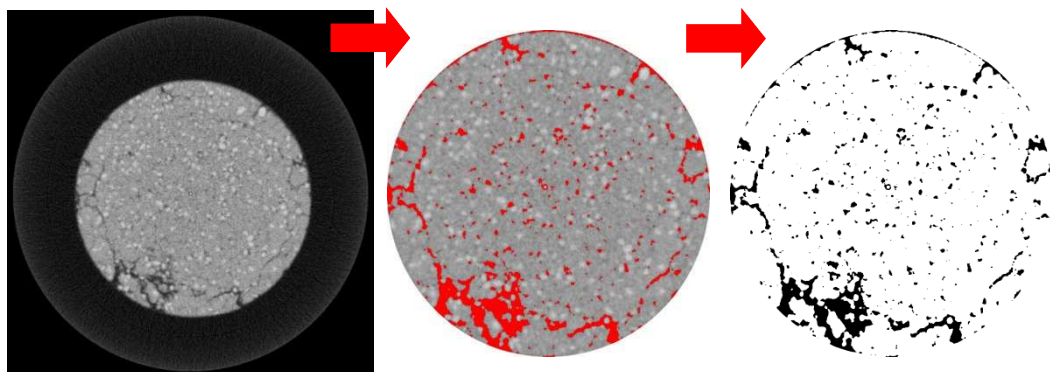


Figure 78: Creation of a binary image from an X-Ray CT 'slice'

These were then combined using the 'stack' function and projected along the z-axis by equating the pixel distance of the images to the correct length (in this case 0.5mm). They were then 'resliced' so that the 2D stack of the void structure was rendered in 3D by associating the void on one slice to its two neighbours above and below, creating a voxel (3D pixel). The transparency was then adjusted so that a reasonable image of the microstructure was achieved. The initial microstructural state of the EAM3 (post cure) is shown in Figure 79.

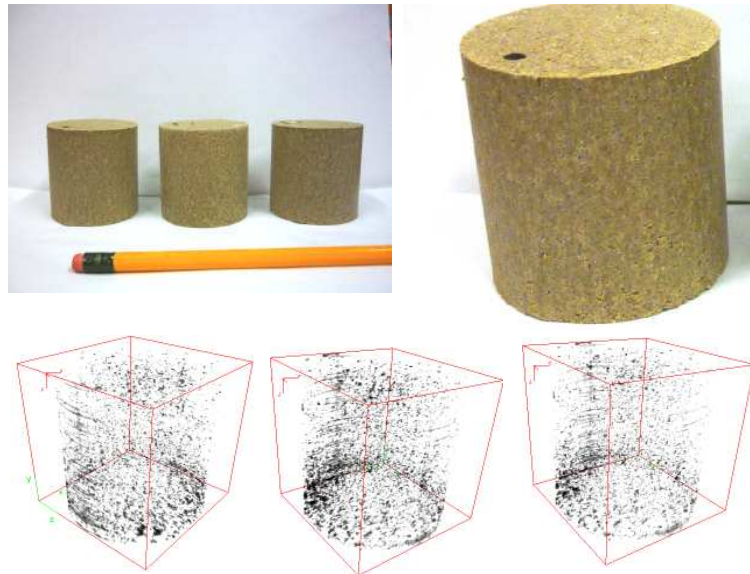


Figure 79: Pre-immersion (EN13286-49) of the early age montmorillonite specimens. Lower images show the 3D construction of the initial void structure derived from X-ray CT scans (100 slices at 0.5 mm intervals)

As can be seen from Figure 79, the starting microstructure is still relatively porous. The voiding is not uniform throughout the specimen, with a higher concentration of void spaces near the bottom of the specimen. This is due to the static compaction used in their manufacture. The force used to extrude of the specimens was applied from the top, so resulted in a degree of additional compaction at this end.

Figure 80 shows the effect of 14 days immersion on the microstructure of the montmorillonite soils (EAM8). The volumetric expansion of the soil was evident from the increased number of X-ray CT slices required to fully image the specimen (106 post-immersion vs 100 pre-immersion) which equated to a linear increase along the z-axis of 3mm. An increase in the lateral x,y-axes were also observed. The post cure specimen had a 2D image size of 1220 × 1220 pixels, whereas the post immersion specimen had a 2D image size of 1300 × 1300. This equated to an increase in radius of the specimen

analysed of 3.3mm. Based on these measurements, the specimen did not exhibit expansion in a preferred direction, but expanded uniformly over its x,y,and z-axes.

Figure 80: Post-immersion after 14 days (EN13286-49) of the montmorillonite specimens.

Lower images show the 3D construction of X-ray CT scans of M6L5S after 14 days immersion showing damage to specimen microstructure (106 slices at 0.5 mm intervals)

As well as the observed expansion, the specimen also exhibited deterioration manifested as fractures around its circumference (circled in Figure 80). These appeared to start from the outside of the specimen in contact with the water and radiate inwards and are probably a function of the specimens' permeability.

### **7.3. X-RAY DIFFRACTION**

XRD analysis was undertaken on all the test soils. The samples were prepared using the procedure defined in Chapter Four. The scans were undertaken on a Bruker D500 using  $\text{CuK}\alpha$  radiation over the range  $8 - 10.5^\circ 2\theta$ , step size  $0.02^\circ$  and 60s count time.

The low angle XRD patterns of the kaolin soils (EAK1 – EAK8) are shown in Figure 81. The illite peak (PDF. 01-072-0646) at  $8.85^\circ 2\theta$  is present in all the soils, and is of roughly the same intensity (measured as the maximum peak height, see Table 37). The [100] AFt peak (PDF. 01-072-0646) at ca.  $9.15^\circ 2\theta$  is present at relatively early ages in the kaolin, and appears to reach a maximum in EAK7 (after 7 days immersion) then decreases in EAK8 (14 days immersion). The peak position of AFt does not change significantly over

the duration of the test, indicating its morphology is consistent. Changes in peak position have been attributed to compositional and morphological changes in the AFt crystal (Möschner et al, 2008; Goetz-Neunhoeffler and Schwesig, 2004 ; Cody et al ,2004).

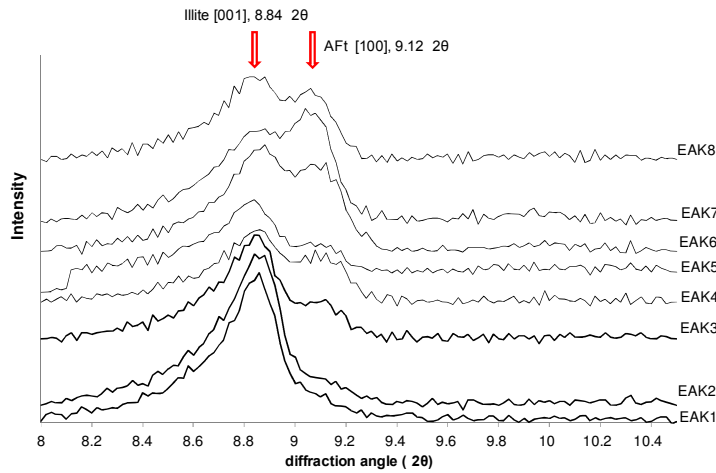


Figure 81: Low angle XRD pattern of kaolin soils (EAK1-EAK8) showing the reflection peak of illite and the development of the [100] AFt peak as the test progresses

Table 37 shows the position and intensity of the illite and AFt peaks in the soils. The intensity is defined as the counts per second at  $I_{max}$  of the peaks. In the kaolin soils, both the position and intensity of the illite peak does not change significantly throughout the series. The variability in  $I_{max}$  of the AFt peak is quite large, although the  $I_{max}$  of the last three soils (EAK6-8) is larger than the earlier five (EAK1-5).

Table 37: Diffraction data of EAM1-8 taken from Figure 81

Phase	Specimen ID	EAK1	EAK2	EAK3	EAK4	EAK5	EAK6	EAK7	EAK8
Illite	Peak Position ( $^{\circ} 2\theta$ )	8.84	8.86	8.84	8.86	8.84	8.86	8.88	8.88
	Intensity (cps)*	98.6	104.4	83.4	57.6	58.1	86.3	73.8	65.8
AFt	Peak Position ( $^{\circ} 2\theta$ )	9.08	9.12	9.12	9.08	9.06	9.06	9.04	9.06
	Intensity (cps)*	12.3	16.4	31.0	41.7	22.9	71.4	89.7	56.63

Note: \* intensity measured counts per second (cps) at  $I_{max}$ .

The low angle XRD patterns of the montmorillonite soils (EAM1 – EAM8) are shown in Figure 82. The intensity of the illite peak at  $8.85^{\circ} 2\theta$  is again fairly consistent over soils EAM1 to EAM6, but increases in intensity at 7 and 14 days immersion (EAM7 and EAM8). The AFt peak at  $9.15^{\circ} 2\theta$  again does not shift position over the duration of the

test and, in contrast to the kaolin soils, is not detectable until EAM5 (immersion + 24h), after which it increases in intensity in the order EAM5<EAM6<EAM7<EAM8.

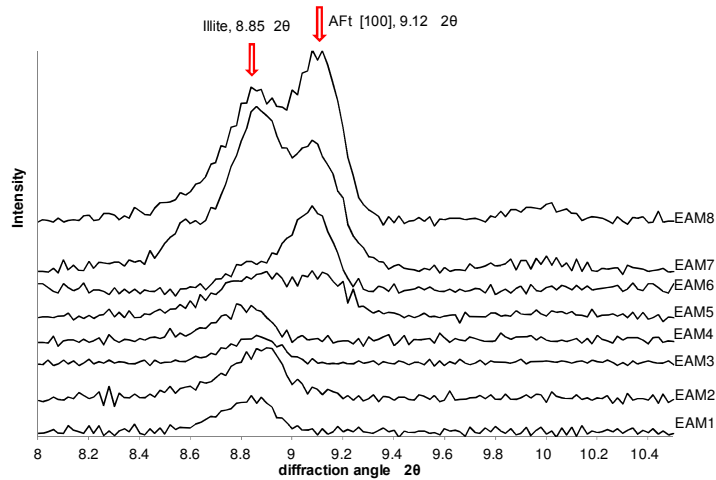


Figure 82: Low angle XRD spectra of montmorillonite soils EAM1-8 showing development of AFt peak at ca. 9.15° 2θ

The montmorillonite soils (Table 38) exhibit quite a markedly different behaviour over the series. The intensity of both the illite and AFt peaks increases as the immersion test progresses, although ettringite was not detectable until EAM5 by which time the specimens had been immersed in the water bath for 24 hours.

Table 38: Diffraction data taken from Figure 82

Phase	Specimen ID	EAM1	EAM2	EAM3	EAM4	EAM5	EAM6	EAM7	EAM8
Illite	Peak Position (° 2θ)	8.84	8.90	8.86	8.85	8.90	8.85	8.86	8.85
	Intensity (cps)*	24	45	53	75	97	130	245	259
AFt	Peak Position (° 2θ)	-	-	-	-	9.08	9.08	9.08	9.08
	Intensity (cps)*	-	-	-	-	98	168	221	284

Note: \* intensity measured counts per second (cps) at  $I_{max}$ .

#### 7.4. THERMALGRAVIMETRIC ANALYSIS

The dDTA plots of K4L5S EAK1- EAK8 are shown in Figure 83. The main features are: ca.55°C – desorption of clay surface water (1); ca. 425°C – dehydration of Ca(OH)<sub>2</sub> (3); and ca. 90 °C – ettringite (6).

After the soil has been initially mixed (EAK1), the peak attributed to  $\text{Ca}(\text{OH})_2$ , (3) generally reduces in intensity and is not observed at all in EAK7 and EAK8. It was found however, that the  $\text{Ca}(\text{OH})_2$  peak was bigger in EAK5 (immersion + 1 h) than EAK4 (immersion + 24 h). This may be due to the effect of a small sample size combined with a degree of inhomogeneity within the bulk sample itself. Overall, the peak corresponding to the desorption of surface water from the clay (1) increases with the amount of time the specimens are immersed. The AFt peak at ca. 80°C (6) is broadly of the same intensity over all the samples, again with the exception of EAK4, which is much higher.

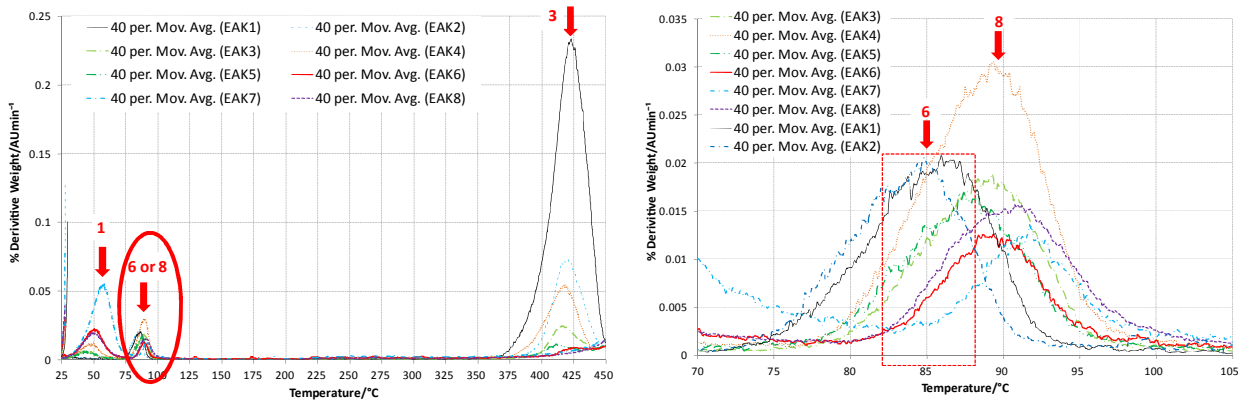


Figure 83: dTGA analysis of K4L5S early age study specimens (EAK1-8). 1 - desorption  $\text{H}_2\text{O}$ , 3 –  $\text{Ca}(\text{OH})_2$ , 6 – ettringite (AFt),

Table 39 shows the position (in terms of temperature) of the peak at the maximum rate of weight loss around 90°C. The purpose of this was to try and differentiate peaks that may be either gypsum or ettringite.

Table 39: Temperature at  $\Delta Y_{(\text{max})}$  for peak at ca. 90°C

		Temperature at $\Delta Y_{(\text{max})}$ (°C) at 90°C							
Specimen ID	EAK+	1	2	3	4	5	6	7	8
			84.0	85.3	88.4	90.0	87.2	88.9	91.2

From the XRD (Section 7.3) and SEM (see Section 7.5) studies, no ettringite was detected in EAK1 or EAK2. The max temperature at  $\Delta Y_{(\text{max})}$  was about 85°C, this then shifted up to about 90°C in EAK3 to EAK8. This could be evidence of two distinct phases in these samples, namely the existing gypsum and the formation of ettringite. It may be possible however, that encapsulation of gypsum within the cementing clay also shifts the temperature upwards.

The dTGA analysis of the montmorillonite soils (M6L5S, EAM1-8) is shown in Figure 84. The left-hand graph shows the dTGA response in the range from 0-500°C. The right-hand graph shows the area circled in more detail.

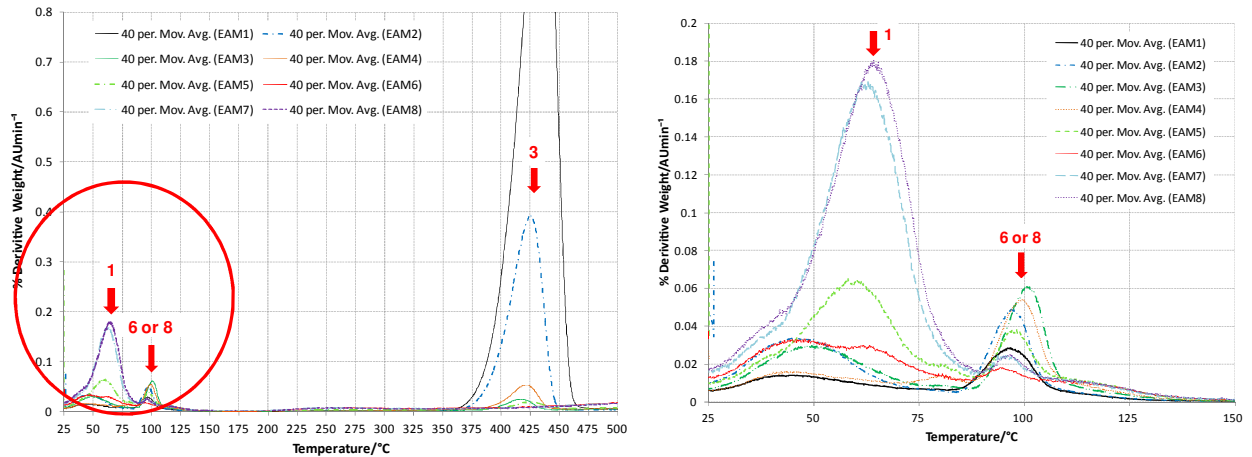


Figure 84: dTGA analysis of M6L5S early age study specimens (EAM1-8). 1 - desorption  $\text{H}_2\text{O}$ , 3 –  $\text{Ca}(\text{OH})_2$ , 6 – ettringite (AFt), 8 –  $\text{CaSO}_4 \cdot 2\text{H}_2\text{O}$

The following peaks were identified: peak at ca. 40-60°C attributed to loss of adsorbed water from the clay (1); dehydration of  $\text{Ca}(\text{OH})_2$  at ca. 425°C (3); ca. 80-100°C ettringite (6) and ca. 92 - 105°C ettringite or gypsum (6 or 8). Over the course of the immersion period, the reduction in peak (3) attributed to lime is quite significant. This represents the consumption of lime through pozzolanic reactions (including deleterious mineral formation) as well as on-going cation exchange processes. Increasing the duration of immersion (EAM4 to EAM8) results in a larger adsorbed water peak from the clay (1) compared to EAM3 (end cure). This effect is most significant for EAM7 and EAM8 (7 and 14 days immersion). Figure 85 shows the dTGA plot in the range 75 - 110°C.



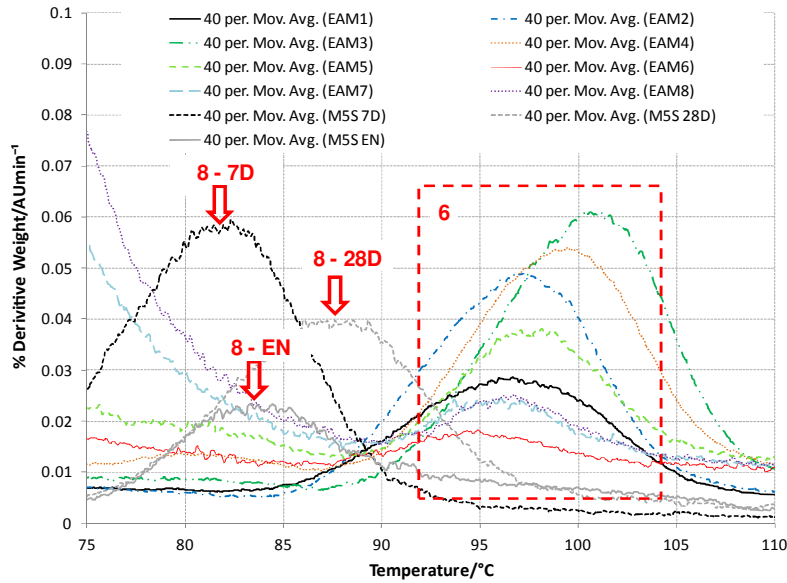


Figure 85: DTA analysis of M6L5S early age study specimens (EAM1-8) showing differentiation of peaks 6 and 8. 6- ettringite, 8 - CaSO<sub>4</sub>.2H<sub>2</sub>O (taken from the control soil M5S subject to the three swell tests: BS1924-2 7 and 28 days; EN13286-49)

Included in the graph are the dTGA plots of the unstabilised sulfate control (M5S) subject to the three swell tests (BS1924-2 at 7 and 28 days; and EN13286-49) in order to differentiate the gypsum peak (8) from that of the ettringite (6). As seen in Figure 85, the gypsum peaks have peak maxima at 83 to 88 °C and are quite distinct from those assigned to AFt.

Table 40 shows the calculated amounts of residual hydrated lime (Ca(OH)<sub>2</sub>) based on the peak area of the thermal dehydration event of Ca(OH)<sub>2</sub> at ca. 425°C. The calculation assumes that all of the added calcium oxide (CaO) has been converted to Ca(OH)<sub>2</sub>. But the data serves to illustrate the degree of reaction within each soil system.

Table 40: Residual Ca(OH)<sub>2</sub> content calculated from dTGA analysis

		Residual Ca(OH) <sub>2</sub> content (%)							
		1	2	3	4	5	6	7	8
Specimen ID	EAK+	45.8	29.3	9.7	17.2	5.1	6.1	0	0
	EAM+	61.2	39.7	6.2	11.2	8.5	0	0	0

The results in Table 40 show that Ca(OH)<sub>2</sub> persisted for a longer time in the kaolin soils, since it was still detectable in EAM6 (immersion+3 days). Despite higher detectable levels in the montmorillonite soil (61.2% in EAM1) the residual content dropped off rapidly by the end of the curing period (EAM3), which serves to illustrate the high cation exchange

capacity (CEC) of 2:1 layer clay. Lime could not be detected at all from EAM6 onwards. The slight increase in both soils at EAK4 and EAM4 is caused by the immersion of the specimens in the water, resulting in saturation of the sample (and any unreacted CaO).

## **7.5. SEM-EDX ANALYSIS**

SEM-EDX analysis was undertaken using the equipment and procedure defined in chapter four.

### **7.5.1. High Sulfate Kaolin Soil (K4L5S)**

Figure 86 shows representative SEM-EDX analysis of EAK1 (mixing + 1h). The soil remains unchanged with little evidence of either ettringite formation or any cementitious products. The EDX of the clay (EDX7a) reveals typical elemental emissions of the parent clay. Note the low emission of Ca. This could be viewed as an indication of a low degree of cationic substitution (CEC processes) at this stage.

Figure 86: SEM-EDX of EAK1 showing typical plate-like morphology of the kaolin clay (left) and unreacted gypsum particle (right)

Figure 87 shows the clay after conditioning for 24 hours (EAK2). Again little microstructural changes were found.

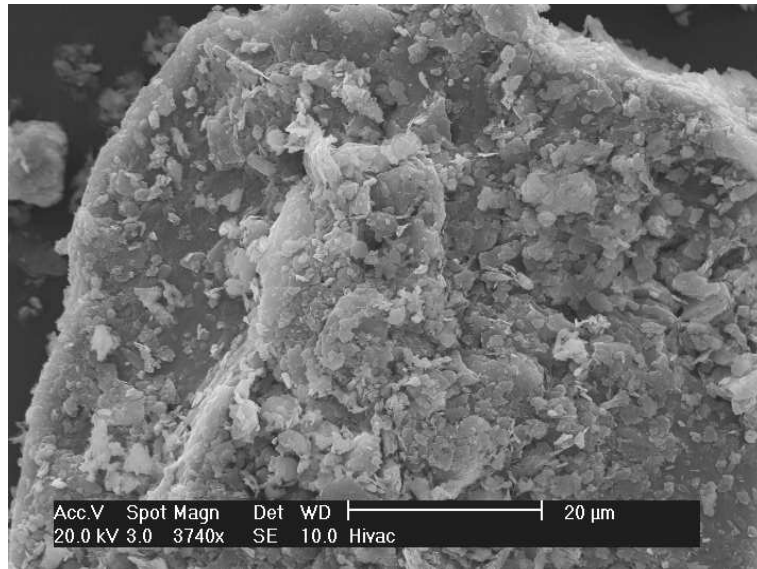


Figure 87: EAK2 again showing the apparently unchanged kaolin clay  
The SEM-EDX analysis of EAK3 (end of cure) is shown in

Figure 88. The micrographs show what seems to remain of a void structure. On the wall of this structure is an area of crystal growth that may be the early formation of Aft. EDX8c shows the area to be relatively rich in Ca, along with Al, Si and S and is indicative of a primitive ettringite crystal structure.

Figure 88: EAK3 showing possible AFt nucleation on the wall of a void structure

Figure 90 shows a similar structure found in EAK4 (immersion + 1 h). EDX7d shows a predominantly clay material with an elemental composition comprising mainly of Al, Si and O with smaller amounts of Ca. EDX7e shows an early ettringite nucleation point with crystals having a very similar elemental composition to that found in EAK3 previously described (EDX7c, Figure 88). This Ca-rich primitive ettringite (as reported in Chapter Six) was recently reported by Tosun and Baradan (2010) in their studies of Delayed Ettringite Formation (DEF) of cement based mortars and described as 'ball ettringite'.

Figure 89:EAK4 again possibly showing primitive Ca-rich “ball ettringite” AFt formation (EDX7d)

Figure 90 shows an interesting feature found in EAK5 (immersion + 24 h). The top left micrograph shows regions of crystal growth over the surface of the clay material, that appear to be intersecting with each other. They are comprised of acicular crystals growing along the same axis. The area circled is shown at greater magnification in the top right micrograph. EDX point analysis has been taken from both and shown in EDX8f and EDX8g. The intersecting crystal growth has an EDX emission spectrum (EDX8e) similar to that of the ettringite crystals found in the specimen tested after immersion + 1 hour (EAK4, Figure 90-). The structure circled however, is predominantly rich in Ca and reduced emissions (comparatively) from Al, Si and O. The S peak is roughly similar to that shown in EDX8f.

Figure 90: EAK5 showing intersecting regions of acicular crystal growth

The top left micrograph of EAK5 is shown at higher magnification in Figure 91.

Figure 91: Close-up of regions of intersecting crystal growth found in EAK5

Figure 93 shows a series of micrographs taken of EAK6 (immersion + 3 days) to EAK8 (immersion + 14 days). Ettringite was found in all of the test samples. In EAK6 through to EAK7, the AFt crystals are of relatively similar size (ca.  $7\mu\text{m} \times 0.7\mu\text{m}$ ). In the right micrograph of EAK6 (circled) fine AFt, which could possibly be described as colloidal in nature is present. The SEM micrograph of EAK8 (immersion + 14 days) reveals the presence of significantly larger AFt crystals, indicating the presence of two distinct crystal morphologies.

Figure 92: EM micrographs of EAK6 to EAK8 showing AFt formation

The formation of two distinct AFt crystal morphologies is shown again in . The accompanying EDX analysis (EDX8h and EDX8i) reveal both to be ettringite. The smaller crystals analysed in EDX8h, are very small ( $<1\mu\text{m} \times 0.1\mu\text{m}$ ) and resemble those of 'ball ettringite' described in chapter six and reported by other researchers in their studies of cement pastes and mortars (Tosun and Baradan, 2010). Lying directly next to this small ettringite cluster is a number of much larger crystals (ca.  $15\mu\text{m} \times 1\mu\text{m}$ ). These large AFt crystals were only found in some specimens subject to extended immersion of 14 days. It could be possible that some of these smaller crystals grow preferentially into larger ones.

But if this was to be the case, then one would expect to find clusters of very large crystals together, growing in a preferred orientation. This however, was not found, the large crystals were randomly orientated and relatively few found together.

Figure 93: EAK8 (extended immersion, 14 days) showing formation of two distinct AFt crystal morphologies

A close-up of the two AFt crystal morphologies (1) and (2) is shown in Figure 94. The small cluster of crystals circled in (2), appear to either be embedded in the bulk material, or have at least formed on its surface, while the larger AFt crystals circled in (1) appear to be resting on the surface maybe after being deposited there after their formation.



Figure 94: Close-up of EAK8 showing the two distinct AFt crystal morphologies

### **7.5.2. High Sulfate Montmorillonite soil (M6L5S)**

Figure 95 M6L5S after mixing + 1 hour (EAM1) is shown in. Observed was CaO in two differing states of hydration. EDX7j shows spherical crystals of  $\text{Ca(OH)}_2$ , whereas EDX7k shows predominantly CaO. Notice the increase in the emission of elemental oxygen in the hydrated lime.

Figure 95: EAM1 showing quicklime (CaO) at two differing states of hydration.

Figure 96 again shows EAM1 where the small nodules over the clay surface are possibly the very early stages of ettringite nucleation. It would be reasonable to infer that further crystal growth would result in formations as shown in Figure 98

Figure 96: EAM1 possibly showing very early AFt formation

Figure 98 shows M6L5S after conditioning for 24 hours after mixing (EAM2) and as can be seen from the SEM micrographs and EDX point analysis AFt formation is already extensive. EDX8c also shows the AFt crystals to be rich in Si, possibly indicating incorporation of silicon into the structure.

Figure 97: EAM2 showing quite extensive ettringite formation

Figure 98 shows EAM3 hydrated lime particles are shown in the top left micrograph (circled), while a close-up on the top right shows a primitive Si-rich AFt phase. The crystals are short and stubby but quite thick (ca.  $1\mu\text{m} \times 0.4\mu\text{m}$ )

Figure 98: EAM3 showing hydrated lime particles (circled) and primitive Si-rich AFt formation (EDX8d)

Figure 99 shows SEM-EDX analysis of EAM4. EDX7n confirms the particle in the top left micrograph to be CaO (unhydrated lime) due to the very strong emission of Ca and the largely absent emission of the other elements, although, a small, but probably important emission from S was found, possibly suggesting dissolution and re-precipitation of gypsum on the surface of the CaO particle. In the top right micrograph a close-up (circled in both micrographs) of the clay material reveals again a primitive Ca and Si-rich, AFt phase. The crystals are largely of the same morphology ( $1\mu\text{m} \times 0.4\mu\text{m}$ ) as those shown in Figure 98.

Figure 99: EAM4 showing unhydrated lime particle (top left and EDX8e) and close-up circled of primitive Si-rich AFt phase (top left and EDX8f)

Figure 100 shows the progress of AFt formation in the specimens EAM5 to EAM8 (Immersion + 24h to immersion + 14 days). The standout feature when comparing these images is the appearance of discrete, relatively large clusters of AFt ettringite nuclei originating from a central nucleation point (circled). This suggests the material images in the micrographs may well have been part of the void structure of the original material and the crystals were nucleated on the void walls and grew into the pore solution. No discernable differences in the amount of AFt formed could be made out from the SEM-EDX analysis alone. The development of ettringite crystals seemed to be as advanced at EAM5 (immersion + 24h) as it was at EAM8 (immersion+14 days).

Figure 100: AFt formation in EAM5 to EAM8

## 7.6. DISCUSSION

The differing swell response of the two soils presented in Figure 77 is quite significant. Not only in the degree of the volumetric increase ( $G_{v(max)}$  K4L5S – 42%; M6L5S – 15%) but also the rate of expansion, given that the response of the kaolin soils was largely linear and the montmorillonite curved.

As reported in Chapter Six, the deleterious volumetric swell can be attributed to the formation of ettringite within the soil matrix and pore solution. The X-ray CT studies showed that the damage to the specimens, observed as cracking of the soil matrix, appeared to start at the surface of the specimen exposed to the immersion water and propagate inwards. This supports the view of Puppala et al. (2005); Ouhadi and Yong (2008); and Little et al. (2010) that water is a critical factor in AFt formation and its mechanisms of damage. An extensive hydrogen bonding network, in which water is coordinated within the AFt column structure, is critical in stabilising the column structures of the ettringite molecule (Hartman and Berliner, 2005). The increase in the drained specimen water contents over the duration of the test may also indicate an increasing degree of AFt formation, although the greater proportion of the water would be retained in the voids and microcracks that resulted from the swelling, as well as absorption by unreacted clay particles.

Considering the low angle XRD studies, it is surprising that the principal [100] AFt peak at ca.  $9.1^\circ$   $2\theta$  could be detected in EAK2 (mixing + 24h), but was not found in the montmorillonite until EAM5 (immersion+24h). The SEM-EDX studies reported in chapter five showed that in the kaolin soils, the AFt crystals were very small and difficult to detect by SEM, as they tended towards colloidal size, whereas in the montmorillonite based soils, they were relatively large and well formed, resulting in a greater XRD reflection response. It is suggested that the smaller ettringite crystal size, greater volumetric swell response, coupled with earlier detection by XRD, is evidence of two differing mechanisms of AFt formation at work, namely:

- Topochemical formation and crystal swelling; and
- Through-solution formation and anisotropic crystal growth.

The tendency for ettringite to be formed by one mechanism or the other is dictated by the geochemical thermodynamics of the pore solution, which in turn is controlled by physicochemical drivers such as the reduction in chemical potential; concentration; activities; and diffusivity of the candidate ions (Gartner and Macphee, 2011).

Previous work has shown that the tendency of AFt in a cementitious system to form and expand by one mechanism or the other is dictated by the hydroxide ion concentration (written as  $[\text{OH}^-]$  in chemistry notation) in the pore solution and its effect on the solubility of the other candidate ions (Min and Tang, 1993; Wang et al. 2005).

The high sulfate soil mixtures for each clay were designed so that on mixing, both had an equivalent available lime content (that is, lime not consumed by cation exchange processes or trace organic components) of 2% and so an equivalent  $[\text{OH}^-]$  on initial blending of the soil (assuming complete hydration of CaO). The two clays would then undergo ongoing cation exchange processes, but at differing rates (Drever, 1985) as the CEC of a montmorillonite is 8-15 times greater than that of a kaolin soil (see Table 5, Chapter Three). It is suggested that this maintains a higher hydroxide concentration within the pore solution of the kaolin which has been shown to promote AFt formation by a topochemical mechanism in both cements and soils (Nakamura, 1968; Mehta, 1972; Cohen, 1983; Deng and Tang, 1994; and Wang et al. 2005). Recalling Chapter Three (Section 3.6.2), a high  $[\text{OH}^-]$  reduces the  $[\text{Ca}^{2+}]$  and increases  $[\text{SO}_4^{2-}]$  and  $[\text{Al}(\text{OH})_4^-]$  in the pore solution (Deng and Tang, 1994). This results in the pore solution being supersaturated with respect to AFt, leading to many nucleation sites at the solid-solution interface. The formation of AFt is therefore rapid and results in numerous, but very small ettringite crystals seemingly forming on the surface of the solid phase and is indicative of a topochemical formation mechanism. Significant swelling is associated with small ettringite crystals. The crystals of this colloidal gel-like ettringite have a high specific surface area, so will tend to absorb ions and water molecules to decrease their surface energy, creating an electric double layer (like a swelling clay) around the crystals (Mehta, 1973; Min and Tang, 1993). This is the principal behind the crystal swelling theory of AFt expansion. It results in a large expansion per mol of ettringite, as shown for the kaolin soils in Figure 77. SEM-EDX analysis of EAK5 (immersion+24h) in Figure 91 and Figure 92 showed regions of a calcium-rich sulfur bearing acicular crystal phase growing from the surface of the parent clay which intersected with each other. It is suggested that this is microscopic evidence of topochemical AFt formation and expansion by the crystal swelling mechanism. These crystals are relatively abundant, yet the XRD AFt peak intensity at ca.  $9.1^\circ 2\theta$  was  $I_{\text{max}} = 23\text{cps}$ , was the lowest value measured for the series. The difficulties in detection of colloidal ettringite are consistent with those encountered by Wang et al. (2005) in their detection of colloidal AFt in Winn Rock Soils. Small ettringite crystals were found in the kaolin soils up to seven days immersion (EAM7), but on extended immersion to 14 days, much larger AFt crystals were found (EAK8, Figure 93). These were up to  $15\mu\text{m}$  in length and up to  $0.7\mu\text{m}$  wide. The formation of these much larger crystals corresponded with a drop in the pH (which is a function of  $[\text{OH}^-]$ ) and a reduction in the  $\text{Ca}(\text{OH})_2$  peak to below detectable limits in the dTGA (Figure 83). In fact



$\text{Ca}(\text{OH})_2$  could not be detected beyond EAK5, although sensitivity of dTGA has been shown to be less than that of XRD and SEM in compositional analysis (Wang et al, 2005).

The formation of larger crystals is favoured when the  $[\text{OH}^-]$  is low (Cohen, 1983; Deng and Tang, 1994). Within the pore solution this results in lower mobility and hence lower  $[\text{SO}_4^{2-}]$  and  $[\text{Ca}^{2+}]$ . As a result the  $[\text{Al}(\text{OH})_4^-]$  increases and can diffuse into the pore solution before nucleation can occur and is not restricted to the solid-solution interface zone. Few nuclei resulting from slow nucleation rates, grow into large crystals, in both the pore solution and the solid-solution interface zone, and is characterised by the through-solution mechanism of AFt formation (Deng and Tang, 1993; Tosun and Baradan, 2007, Tosun and Baradan , 2010). The results from the analysis of the montmorillonite soils support this.  $I_{\text{max}}$  of the AFt [100] from EAM5 onwards is much higher than any of the values measured in the kaolin based soils (Table 37 and Table 38). In addition dTGA of the soils (Figure 85) showed that the thermal event associated with dehydration event of AFt occurred at an average temperature of 98 °C, compared to 90 °C in the kaolin soils. A greater degree of crystalline order has been shown to increase the temperature that an equivalent thermal event occurs (Guggenhien and van Groos, 2001) and could be ascribed to the formation of larger crystals.

It would be expected that larger well formed AFt crystals would give rise to higher diffraction intensities compared to colloidal sized ettringite. Although why no AFt peak could be measured in soils of earlier ages (EAM1-EAM4) is not clear. SEM-EDX analysis of EAM2 (Figure 98) showed abundant and well developed AFt crystals. Clusters of quite large AFt crystals growing from a central nucleation point that were found in EAM6 – EAM8 (left micrographs in Figure 98) also suggest a slower rate of crystal growth (due to their size). Given that these are still intact and not embedded within the bulk clay, may be evidence that the AFt formed within a pore void from a saturated pore solution, with points on the void structure walls acting as nucleation sites, again suggestive of a through-solution mechanism of formation (Soroka, 1979). Despite extensive AFt formation, which appears to be greater than that found in the kaolin based clays, the overall volumetric swell of the montmorillonite based soils was more than 50% less. It is generally accepted that when AFt forms by the through-solution mechanism, the overall expansion is less (Kalousek and Benton, 1970; Soroka, 1979; Dermatas et al. 1995; Little et al., 2010). This is because the candidate ions for AFt formation can migrate into and form ettringite in the void spaces. Also the larger crystals have a greater specific surface area and thereby small surface energy. Hence, they do not absorb ion and water molecules to the same degree as colloidal ettringite. As such, expansion by crystal swelling is not as significant.

It has been shown that  $[\text{OH}^-]$  affects the dissolution rate of alumina into the pore solution, and thereby the mechanism of AFt formation and expansion. But the rate of alumina dissolution and its subsequent concentration in the pore solution is also affected by the fundamental structure of the clay itself, because Al sites are more reactive than those occupied by Si. Bauer and Berger (1997) in their studies of Kaolinite and Smectite dissolution rates in KOH solution, suggested that in the montmorillonite, each aluminous octahedral layer is bonded to two silica rich tetrahedral layers. So their exposure to the pore solution is limited to the particle edges, until the tetrahedral layers are dissolved. It follows that given the negligible effect of the edges, compared to the surfaces of the basal planes, the hydrolysis of the octahedral and tetrahedral layer would proceed as a serial reaction, with the overall dissolution rate controlled by the slower step, namely, the dissolution of the tetrahedral layer, given that the strength of the bonds between Si and O (Si—O) are higher than those of Al and O (Al—O) (Mantovani et al. 2009). In contrast, the kaolinite clay has a 1:1 sheet structure of an aluminous octahedral layer bonded to a silica rich tetrahedral layer. As such, more reactive Al sites are exposed to the pore solution. Bauer and Berger (1997) found dissolution rates for kaolinite to be one or two orders of magnitude higher than for smectite (the clay group to which montmorillonite belongs).

It follows that when all other conditions are equal, the rate of alumina dissolution and equilibrium concentration within the pore solution will be higher for the kaolin clays than the montmorillonites. Super-saturation of the pore solution with respect to ettringite will occur more rapidly, leading to formation of colloidal size AFt crystals at the solid-solution interface zone. This further supports the experimental evidence for a topochemical ettringite formation and crystal swelling mechanism in kaolin based soils, as opposed to a through-solution and crystal growth mechanism for montmorillonite based soils.

Given the critical role  $[\text{OH}^-]$  has on the formation, morphology and expansion of ettringite, in hindsight, it would be been valuable to quantify the hydroxide concentration in each soil mix. This could have been achieved by liberating the pore solution by pressure filtration, then titrating this against HCl using phenylphthaline as indicator. Or by pH measurement, with the pH meter calibrated against a range of KOH solutions as reported by Winnefield and Lothenbach (2010).

## **7.7. SUMMARY**

The series of high sulfate soils derived from each clay (K4L5S and M6L5S) were used in a time dependent interval study with the European accelerated volumetric swell test

(EN13286-49). Samples (prior to specimen compaction) and specimens were taken at defined intervals and subject to microscopic and phase composition analysis.

The results show that the kaolin based clays exhibit a greater deleterious swell response than the montmorillonites for the equivalent sulfate content. XRD, dTGA and SEM-EDX analysis found that the ettringite crystals in the kaolin soils were very small and difficult to detect which suggests it preferentially forms and expands by a topochemical and crystal swelling mechanism, whereas the ettringite crystals formed in the montmorillonite soil series were comparatively very large suggesting formation by a through-solution and crystal growth mechanism. Previous work has shown that the propensity of a cementitious system to form ettringite by one mechanism or the other is dictated by the hydroxide ion  $[\text{OH}^-]$  concentration in the pore solution:

- High  $[\text{OH}^-]$  – topochemical and crystal swelling; and
- Low  $[\text{OH}^-]$  – through-solution and crystal growth.

It is suggested that the much higher cation exchange capacity of the montmorillonite based clay soils results in lower  $[\text{OH}^-]$  in the pore solution compared to the kaolins where the CEC is lower and results in the maintenance of a much higher  $[\text{OH}^-]$ . The appearance of much larger ettringite morphologies in the kaolin soils on extended immersion corresponded to a drop in soil pH (and hence  $[\text{OH}^-]$ ) and further supports the view that hydroxide ion concentration of the pore solution within the cementitious matrix affects the mechanistic pathway of AFt formation and expansion. In addition to this, the differing rates of alumina dissolution (as a function of the fundamental clay structure), also reinforce the effect of the CEC process.

## 8. MACROPHYSICAL, MICROSTRUCTURAL AND CHEMICAL RELATIONSHIPS

### 8.1. INTRODUCTION

This chapter brings together the results of the macro-physical testing reported in Chapter Five and that of the phase composition and microstructural analysis of Chapter Six and Seven. An assessment of the repeatability of the measurement of  $I_{\max}$  of the principle AFt peak, as reported in Chapter Six, has been made. This was compared to the same measurement taken from a synthetic ettringite standard, in an attempt to quantify AFt formation in the soils, using relative intensity measurements. The relationship between ettringite formation and sulfate heave is explored by plotting the heave as a function of sulfate content in comparison to work undertaken by Little et al. (2010).

### 8.2. ETTRINGITE QUANTIFICATION

The quantification of ettringite is generally regarded as very difficult. Its peculiar crystal structure means that by XRD, the measured peak intensity highly dependent on particle orientation effects. Its ability to absorb water also makes any quantification by thermal analysis unreliable (as previously discussed in Chapter Six). Quoting Scrivener et al. (2004), “*there is no good independent method for quantifying ettringite*”. Many workers have also reported that they were unable to detect AFt at low concentrations with the analytical techniques used in this work (Berinier et al, 1999). In addition, poorly crystallised and amorphous type ettringite further contributes to the problem (Matos et al, 2010), particularly for XRD (Taylor et al, 2001).

In spite of this, an attempt was made to quantify the ettringite formed in the stabilised soils using a synthetic ettringite produced in the laboratory. The details of its preparation and analysis by XRD, SEM-EDX and dTGA are presented in Appendix B.

This ettringite ‘standard’ was used to provide reference data for comparison with that taken from the test soils, in particular, for analysis with the results of the low angle XRD studies. The ettringite sample was carefully ground with a pestle and mortar in an attempt to achieve small particle size and minimise the effects of preferred particle orientation. It was then run on the D500-1 XRD using the low angle programme, as per those reported

in Chapter Six. The sample was run five times. On each run, the ettringite powder was removed from the sample holder and replaced with freshly ground material. The results are presented in Figure 101 and the peak intensity ( $I_{\max}$ ) at  $9.12^\circ 2\theta$  for each run in Table 41.

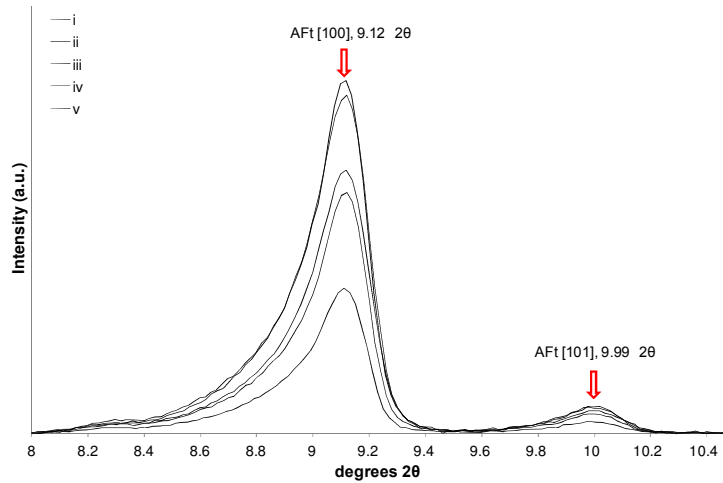


Figure 101: Repeated low angle XRD patterns of synthetic AFt

Table 41: Synthetic AFt peak intensity

AFt peak intensity	Run No.					Mean	S.D.
	i	ii	iii	iv	v		
$I_{\max}(\text{cps})$	395	657	718	924	964	732	229

As can be seen from Figure 101 and Table 41, the variability of the measured peak intensity ( $I_{\max}$ ) at  $9.12^\circ 2\theta$ , is relatively large, the mean value = 732 cps, S.D = 229. The variability measured as the S.D as a percentage of the mean was 31%. This illustrates the problem caused by preferred crystal orientation on peak intensity and the use of univariate peak analysis in the quantification of AFt. Because of this variability, a high sulfate soil of each clay was subject to the same repeatability test to assess whether the measured peak intensities, as reported in Chapter Six, are sufficiently representative of actual AFt content. The XRD patterns and measured peak intensities are shown in Figure 102 and Table 42.

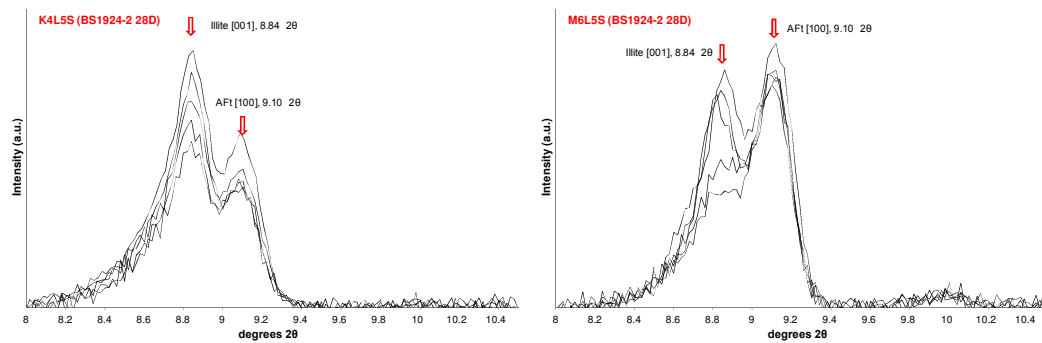


Figure 102: Repeatability of low angle XRD patterns (left – K4L5S, right - M6L5S, BS1924-2, 28 day)

As seen in Figure 102, the AFt peak is fairly consistent over the five runs. The mean ( $m$ ) and standard deviation (S.D) for each soil over five runs are  $m = 76$  and  $S.D = 12$  for K4L5S, and  $m = 106$  and  $S.D = 7$  for M6L5S. The percentage deviation from the mean for each soil is 15.5% and 6.6% respectively. The measurement of AFt using  $I_{\max}$  at ca.  $9.12^\circ$   $\theta$  in the montmorillonite soil was much more consistent than in the equivalent kaolin soil.

Table 42: Measured  $I_{\max}$  at AFt peak

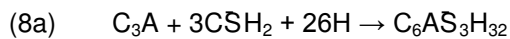
AFt Peak intensity $I_{\max}(\text{cps})$	Run No.					Mean	S.D
	i	ii	iii	iv	v		
K4L5S	72	67	77	97*	71	76	12
M6L5S	118	103	99	104	106	106	7

The variability in the measured  $I_{\max}$  of the AFt peak is much better for the two soils than the synthetic AFt standard. This implies better randomised particle orientation in the two soil samples compared to standard. SEM-EDX analysis of the synthetic AFt (Appendix B) showed a tendency for the crystals to bunch together in floc's that were extremely difficult to deflocculate. In comparison, the SEM-EDX analysis of the soils showed that the crystals were much more randomly orientated, particularly in the montmorillonite soils. Using the assessment above it can be concluded that using the synthetic standard and comparative measurement of  $I_{\max}$  at ca.  $9.12^\circ$   $2\theta$  is not a suitable method for quantification of AFt. Furthermore, the formation of AFm, the contribution of crystal swelling; and formation of AFt in the void structure further complicates any attempt to relate ettringite formation to observable sulfate heave. Quoting Wang et al. (2005) “*the relationship between expansion and the total amount of ettringite present is a perplexing issue and often little or no correlation exists between the two variables.*”

### 8.3. ETTRINGITE INDUCED HEAVE AND SOIL SULFATE CONTENT

Given the problem described above, a simpler analysis was attempted by plotting volumetric increases (associated with sulfate induced heave as reported in Chapter Five), as a function of absolute sulfate content. This value can be determined accurately, the purity of the mined gypsum used has been determined by XRF and dTGA, with the weight added to each blend known.

Little et al (2010) used stoichiometric calculations and molar volumes to determine the maximum amount of ettringite that can be formed from a given amount of sulfate, making the assumption that the total water required was derived from an external source, i.e. not from the initial mixing water on compaction. The chemical equation (8a) used was the reaction of the cement clinker component, tricalcium aluminate, with gypsum to form ettringite (written here using cement nomenclature):



where:  $C_3A$  is tricalcium aluminate,  $3CSH_2$  is gypsum, and H is water

When this calculation is performed for a series of sulfate contents a linear plot of theoretical ettringite volumetric expansion is derived, based on the sum of the molar volume of the products (in this case soil ettringite) minus the molar volume of the reactants. It is based on the assumption that the entire sulfate reacts to completion and forms ettringite; that all ettringite formed contributes to expansion; and that the water is from an external source. This is, not taken into account in the molar volume calculations of the reactants in equation 8a.

#### 8.3.1. UK linear CBR swell test

The results of the UK linear CBR swell tests for the kaolin based soils are plotted as a function of absolute sulfate content (as  $SO_4$ ) determined by differential TGA and XRF (see Appendix A) in Figure 103. The results are plotted against the theoretical maximum expansion calculated by Little et al. (2010) and adjusted so that it could be plotted against a linear rather than a volumetric swell.

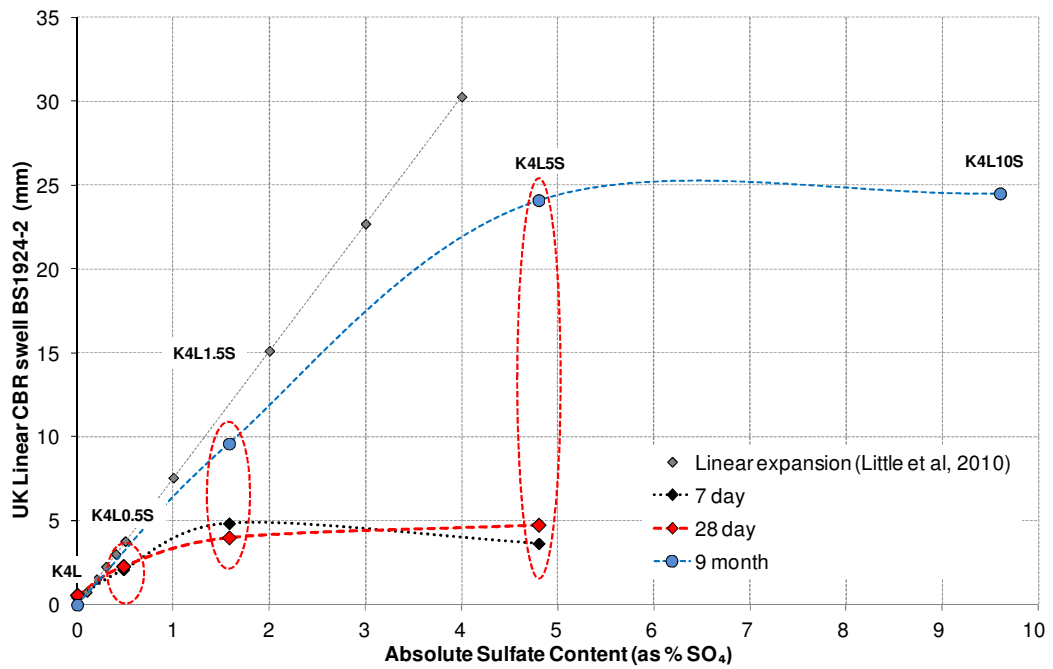


Figure 103: Relationship between soil sulfate content and linear swell for the kaolin soils

As can be seen from Figure 103, total linear swells measured at the end of 7 and 28 days are quite similar, exhibiting little variation. The relationship between the values is non-linear. Surprisingly the linear swells of the 5% sulfate blends were approximately the same as those containing 1.5% SO<sub>4</sub>. Extending the immersion time of K4L1.5S and K4L5S to 9 months resulted in much higher recorded linear swell for these soils. Comparing them to the plot of the theoretical maximum expansion, the results at 7 and 28 days are much lower. Those at 9 months are much closer. The deviation from the linear expansion plot becomes more significant as the sulfate content increases as shown in Table 43.

The plot of the total linear swell of the montmorillonite based soils is similarly presented in Figure 104.



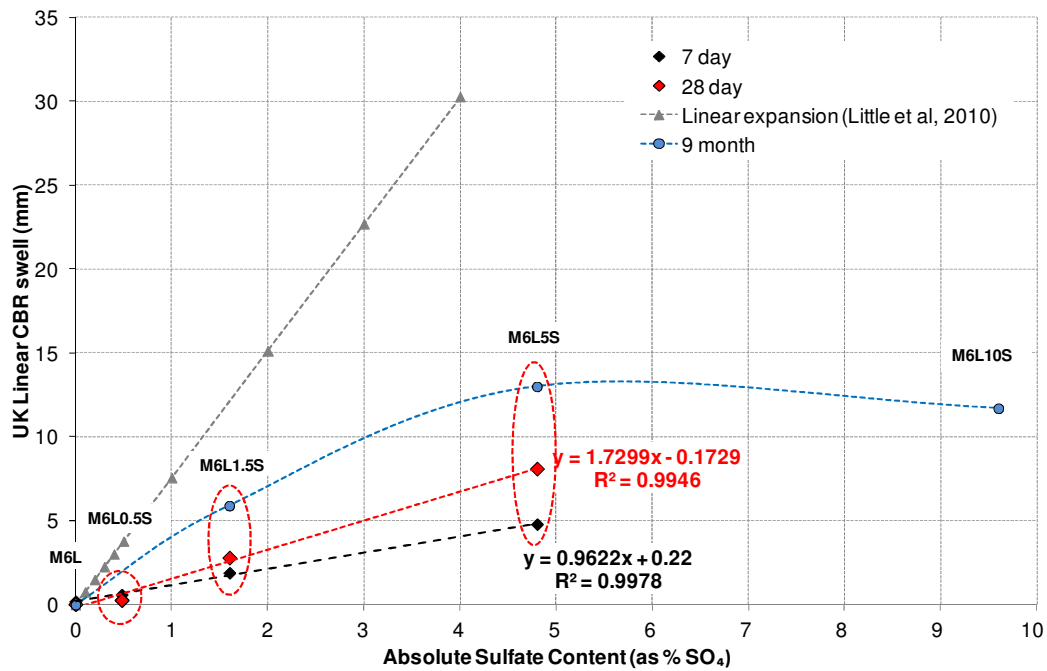


Figure 104: Relationship between soil sulfate content and linear swell for the montmorillonite soils

Again the expansion as a function of sulfate content deviates from the theoretical plot calculated by Little et al. (2010). However the relationship between expansion and absolute sulfate content for these soils is linear with the best fit line in good agreement with the experimental data ( $R^2 > 0.99$ ). The amount of expansion is proportional to the initial amount of sulfate (as gypsum) in the soil blends.

It is interesting to note is that at low sulfate contents linear swells are similar for the soils cured at 7 and 28 days. As the sulfate content increases, this deviation becomes more pronounced, and also applies for the kaolin based soils, albeit to a lesser degree.

Table 43:  $\Delta$  linear swell between 7 and 28 days

Soil ID	K4L	K4L0.5S	K4L1.5S	K4L10S	M6L	M6L0.5S	M6L1.5S	M6L5S
$\Delta$ Linear Swell (mm)	0.1	0.2	-0.8	1.1	-0.2	-0.3	0.9	3.3

Assuming that ettringite is the sole cause of any volumetric change, it is suggested the rate limiting step for ettringite (or monosulfate) formation is the dissolution of one of the candidate ions ( $\text{Ca}^{2+}$ ,  $\text{SO}_4^{2-}$  and  $\text{Al}(\text{OH})_4^-$ ), probably  $\text{SO}_4^{2-}$ . Gypsum is regarded as only a 'sparingly soluble' sulfate. Burkhart et al. (1999) reported that the solubility of gypsum is 2.58g/L and is even less under alkaline conditions. Therefore, assuming complete

saturation of the CBR specimens, less than 2.58g/L of the gypsum can be in solution at any one time and available to form deleterious phases. It so follows that the rate of ettringite formation is then limited by the solubility of the sulfate phase. The amount of this in the soil above 0.26% does not affect the rate of ettringite formation or expansion (assuming all AFt formation does contribute to expansion), but provides a continuous source of sulfates. This explains why the deviation between the 7 and 28 day expansions exists and increases for the soils with higher sulfate content. As the period of immersion increases, more ettringite can form and the degree of expansion increases. Notice that in both Figure 103 and Figure 104 extension of the curing to 9 months results in sulfate heaves that are much closer to the theoretical, indicative of a more complete reaction of the initial sulfate phase. It was suggested that expansion only subsided when the calcium hydroxide was consumed, as measured by a drop in soil pH. This would also have affected the stability of ettringite itself. At  $\text{pH} < 11.5$ , AFt is unstable and decomposes to gypsum (Neville, 2004). Other authors detected ettringite at pHs lower than this (Damidot and Glasser, 1992), but it would be prudent to consider it only metastable in those cases. Indeed, in this work, ettringite was found in the long-term immersed CBR specimens (Chapter Six) as well as those subject to extended immersion (14 days) in the interval study (Chapter Seven), despite measured pHs being in the region of pH 9.5. This also explains why the very high sulfate containing soils (K4L10S and M6L10S) did not expand as much as expected. The binder was consumed before the entire sulfate could react to form ettringite. This consumption would not only be associated with ettringite formation, but also cation exchange processes and the formation of other pozzolanic hydration products.

The deviation of the expansion from the theoretical line proposed by Little et al. (2010) can also be attributed to a number of other factors. The assumption is made by Little et al., that all ettringite produced contributes to expansion. X-ray CT analysis of the test specimens taken from the start of the interval study (Chapter Seven) showed that the specimens are quite porous and contain an extensive void structure. Given that the densities of the CBR specimens were roughly equivalent, it is fair to assume that they too, also have a similar void structure. Expansion only occurs when regions of crystal growth intersect and repel each other, irrespective of the mechanism of formation and expansion. So in the soils tested here, not all of the ettringite formed would contribute to expansion assuming a proportion formed in the void structure. This would reduce the overall expansion dependent on how many regions of crystal growth intersect and repel.

### 8.3.2. European accelerated volumetric swell test

The equivalent plots for the soils subject to the European accelerated volumetric expansion test are shown in Figure 105. Again expansion is proportional to sulfate content. Linear regression plots for the montmorillonite soil give  $R^2=0.99$  and for the kaolin  $R^2=0.94$ . The increased variance of the kaolin soils is evident from the graph.

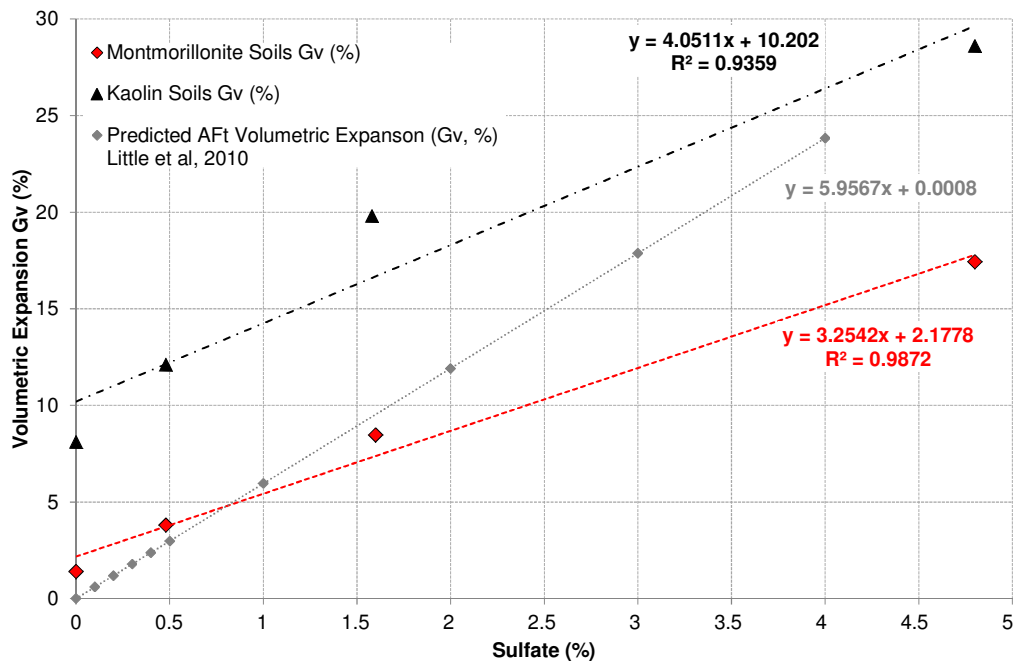


Figure 105: Relationship between soil sulfate content and volumetric expansion ( $G_v$ ) for both soils (EN 13286-49)

Both sets of soils plot closer to the theoretical expansion line compared to when they were subject to the UK linear CBR swell test. For the montmorillonite, the agreement is better, while the kaolin based soils actually plot above it. It is suggested that the test conditions used in EN13286-49 promotes deleterious mineral formation by complete saturation of the specimens in the immersion water at elevated temperatures. This would serve to increase the dissolution rate of candidate ions into solution. Given that the specimens are permeable and not confined by a CBR mould a diffusion gradient would be induced with the immersion water allowing it to act as a sink of candidate ions on saturation. Indeed it was noted that a crust of mineral precipitates was found on the surface of the immersion water at the end of the testing period, irrespective of the soil mixture under test. These conditions would serve to promote the formation of ettringite and consequently volumetric expansion.

It is interesting that the kaolin volumetric expansions actually exceed the theoretical values. This is important since it implies that the expansion also has a component above that calculated from the molar volume of reactants and products of equation 8a. Swelling of the kaolin control soil is also evident. This infers an additional contribution to the observed volumetric swells by unreacted clay. The slower rate of pozzolanic reaction in kaolin soils could result in lower binding forces compared to those of the montmorillonite samples thereby allowing greater water up-take, despite being a non-swelling clay compared to montmorillonite. In addition, it is suggested that a further contribution is from crystal swelling of ettringite after its initial formation and leads to the conclusion that the mechanism of ettringite expansion and formation is different for each clay.

#### **8.4. MECHANISM OF ETTRINGITE GROWTH AND EXPANSION**

All of the microstructural and phase composition analysis presented in Chapter Six and Seven shows that the kaolin based soils favour the formation of ettringite that is small and relatively amorphous i.e. colloidal and gel-like, whereas the montmorillonites form comparatively large well formed crystals. This, taken with the fact that in Figure 105 the kaolin soil, for a given sulfate content, exhibited a greater swell than the montmorillonite, further supports the theory that ettringite formation and expansion in the kaolin occurs by a different mechanism than that in montmorillonite based soils. As discussed in Chapter Seven, the properties of the clay determine the  $[\text{OH}^-]$  concentration within the pore solution, as well as the  $[\text{Al}(\text{OH})_4^-]$  released by alkaline induced dissolution of the clay minerals. For the kaolin with a low CEC and 1:1 layer structure the system tends towards a situation where  $[\text{OH}^-]$  and  $[\text{Al}(\text{OH})_4^-]$  is high. The pore solution is then saturated with respect to AFt which causes it to nucleate at many sites with a rapid rate of crystal growth at the solid solution interface (topochemical AFt formation). The high specific surface area of the colloidal-size ettringite crystals absorb water to reduce their surface energy and expand by the crystal swelling mechanism as shown in Figure 106. The formation of small ettringite crystals and expansion by crystal swelling controlled by  $[\text{OH}^-]$  which in turn affects  $[\text{Ca}^{2+}]$  is supported by the work of Cohen (1983); Min and Tang (1993); Lee et al. (2004); Wang et al. (2005); and Ouhadi and Yong (2008).

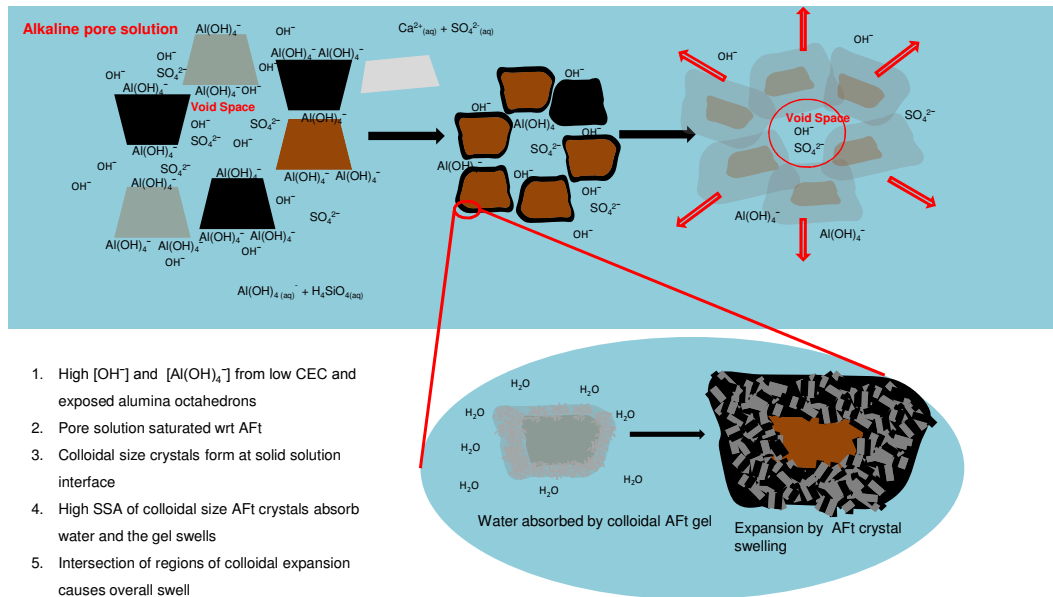


Figure 106: Kaolin soil topochemical ettringite formation and expansion by crystal swelling mechanism

Ettringite formation and expansion in the montmorillonite based soils occurs by a different mechanism. Here the high cation exchange capacity and the 2:1 sheet structure of the clay serve to reduce the  $[OH^-]$  and  $[Al(OH)_4^-]$  in the pore solution. In this situation the candidate ions migrate further into the pore solution before it becomes supersaturated with respect to ettringite (Min and Tang, 1992). The slower nucleation and rate of AFt crystal growth causes the AFt crystals to be larger and their formation not restricted to the solid-solution interface, as they can also form in the pore solution (Cohen, 1983). The ettringite in this case thereby forms by a through-solution mechanism, and the expansion results from anisotropic crystal growth (Ping and Beaudoin, 1992). This theory is supported by the experimental analysis of the montmorillonite soils. XRD data showed stronger reflections attributed to ettringite indicating more well formed (and so possibly larger) crystals compared to those formed in the kaolin soils. In addition, SEM-EDX analysis supported this view, since comparatively much larger crystals were identified across the range of soils tested, with areas of AFt nucleation and crystal growth appearing to occur in the remnants of void structures. This was something not found with the kaolin soils, where much of the ettringite appeared to be 'embedded' in the bulk clay. This mechanism also explains why the observed volumetric expansion of the montmorillonite soils test in the European accelerated volumetric swell test are less than those of the kaolin soils. Given that ettringite can form in the void structure, not all of the AFt formed can contribute to expansion. Also, the much larger crystals have a lower surface energy than the colloidal-size AFt of the kaolins, and so do not have the tendency to absorb water into the crystal structure. The additional swelling contribution associated with water absorption does not occur, further reducing the observed expansion per mole

of ettringite formed. The mechanism of through-solution ettringite formation and expansion by anisotropic crystal growth in the montmorillonite soils is shown in Figure 107.

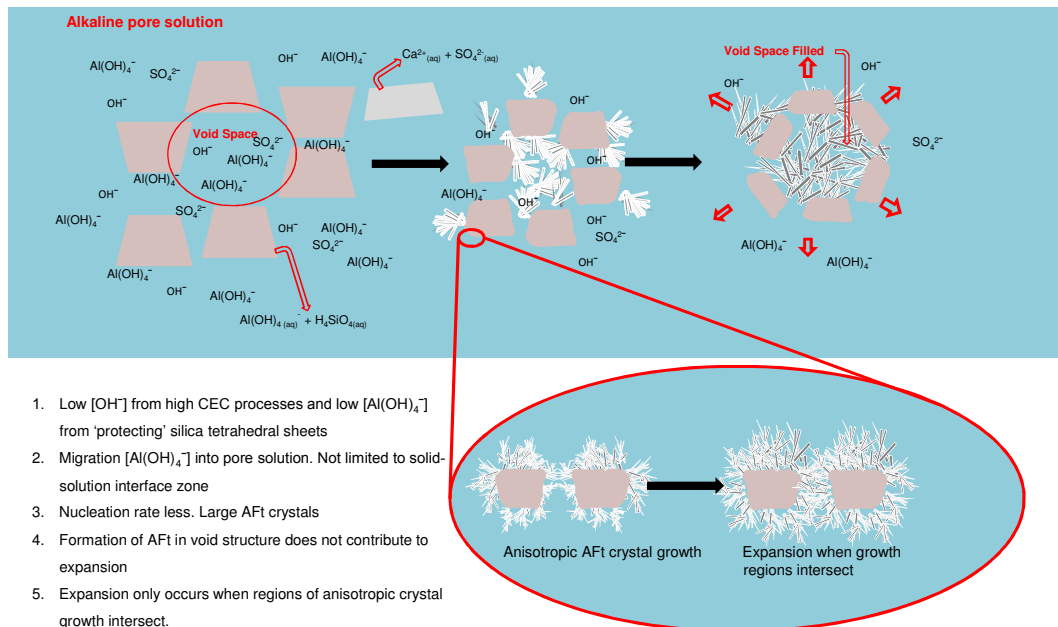


Figure 107: Montmorillonite based soil ettringite formation and expansion mechanism

## 8.5. OTHER FACTORS AFFECTING THE PHYSICOCHEMICAL RESPONSE

The following section brings together the finding reported in the earlier chapters to address how the factors defined in Objective Three affect the physicochemical response of the soils tested.

### 8.5.1. Clay mineralogy

The way the fundamental structure of the clay affects the mechanism of ettringite formation and expansion was discussed in the previous section. But recalling the CBR strength results of the low sulfate clay reported in Chapter Five, an increase in soil strength was observed on small additions of sulfate to the lime stabilised kaolin. These are presented again in Table 44.

Table 44: CBR strength values of K4L0.5S

Mix ID	7D Immersion		28D Immersion	
	K4L	K4L0.5S	K4L	K4L0.5S
CBR Top (%)	18	16	32	39
CBR Bottom (%)	18	32*	34	78*

Note: \* - maximum strength of superficial 'crust'. At depths of >2.5 mm CBR value tended to <10 %.

As seen from the table, the strength of K4L0.5S at the bottom of the CBR specimen was significantly higher than the control (K4L), although this was noted to be only a superficial strength. The material underneath the 'crust' lacked strength and was generally found to be <10% CBR. However, on extended immersion to 28 days, the strength measured on the top face was higher than that of the control. This increase in strength on small additions of sulfate was not found in the equivalent montmorillonite soil.

Analysis by XRD, dTGA, and SEM-EDX revealed that K4L0.5S preferentially formed the AFm phase over AFt, again a case not found in the montmorillonite soil. This situation occurs when the ratio  $[Al(OH)_4^-]/[SO_4^{2-}] > 1$  (Mehta and Klein 1966; Mitchell and Dermatas, 1990; Black, 2006) and is consistent with the view that because of the fundamental structure of the kaolin, the  $[Al(OH)_4^-]$  in the pore solution remains high. The formation of the AFm phase is regarded as non-deleterious in hardened cement pastes (Talyor, 1990). This is because its formation is non-expansive, therefore does not damage the cementitious microstructure. This opens the possibility that it contributes to the strength of the soil. This would be particularly marked at the bottom face. On initial immersion, the AFt is formed first over AFm (Taylor, 1990; Baur, 2003). As the  $[Al(OH)_4^-]$  increases the AFm phase is favoured. It is therefore reasonable to suggest that as water permeated the bottom swell plate, a 'gradient' of composition is introduced. AFt forms first, inducing a marginal expansion, after which the microstructure (including microcracks and voiding caused by the damage of AFt formation) is filled by AFm and other pozzolanic hydration products. This material is then compressed against the base plate by the formation of ettringite underneath it, ultimately resulting in the formation of the superficially hard crust. This theory does not however explain why the material underneath the crust has much reduced mechanical strength. Maybe the microstructural damage caused by initial AFt formation persists. Certainly the effects of small additions of sulfate to lime stabilised soils and its effect on mechanical strength is an area worthy of further enquiry.

### 8.5.2. Sulfate content

The effect of sulfate content has largely been considered in the preceding chapters. However, as a summary the following points can be made:

- In general, increasing the amount of sulfate in the initial composition of the soils causes a corresponding increase in dimensional instability and associated mechanical weakening. This can be attributed to the greater formation of ettringite. But again as mentioned previously, this is dependent on other variables such as clay structure and the conditions of the swell tests;
- The limited solubility of gypsum (especially in alkaline pore solution) imposes a rate limiting step on the dissolution of the candidate sulfate ion ( $\text{SO}_4^{2-}$ ). This causes the rate of observed linear swell (BS1924-2) to be initially the same for the medium and high sulfate soils of both clays. The higher sulfate contents of the soil act as a continuous source of  $\text{SO}_4^{2-}$  for the formation of sulfate bearing cementitious phases, providing the other candidate ions are available, and sufficient lime remains for maintenance of the pore solution pH so these are thermodynamically stable.
- Low sulfate contents favour the formation of the AFm phase in the kaolins, but the AFt phase in montmorillonite soils. Again this has been considered in earlier chapters.

### 8.5.3. Swell test conditions

The research has shown that the environmental conditions imposed by the swell tests affect the soils phase composition, and by inference the microstructure. Most notably, the elevated temperatures of the European accelerated swell test promote the formation of monosulfate in the kaolin based clays. Chapter Six reported that the AFm phase was found in both the low and medium sulfate soils of the kaolin, but when tested to the UK 28 day linear CBR swell test, AFm was only found in K4L0.5S.

The elevated temperature also affects the swell behaviour of the lime stabilised controls (K4L and M6L). Given these did not contain any sulfate and the soil mixtures were designed in accordance with current HA specifications - HA74/07, (The Highways Agency, 2007), it would be expected that the kaolin would be deemed suitable. It was classed as a 'marginal' material under the pass/fail criteria of the test - K4L  $G_v = 8.1\%$ , when swells of  $5 < G_v < 10\%$  infer the material is not suitable, but could still be used subject to further evaluation in the place of use. Other hydraulically bound mixtures (the family of materials under which lime stabilised soil comes), are cured at  $40^\circ\text{C}$  in recognition that lime activated pozzolanic binders develop strength slower than when



cement is used as the binder. There may be a case to modify the European test so that the 72 hour curing prior to immersion is also undertaken at elevated temperatures. More work would be required before such a recommendation could be made however.

Extending the immersion period of the UK CBR linear swell test for the lime stabilised controls, results in soils with a higher strength, as would be expected from on-going pozzolanic reactions and development of the cementitious matrix. Similarly, the progress of deleterious processes is further advanced, until such a point where one or more sources of the candidate ions is consumed. In the present research, this was the consumption of the hydraulic binder, evidenced by the drop in pH of the soils subject to long-term (9 months) immersion. SEM-EDX analysis revealed that primary gypsum (that was initially added, not re-precipitated) was still present in the 5.0 and 10% sulfate soils of either clay. The cessation of swell in the 1.5% sulfate soils was probably caused by consumption of the primary sulfate source, rather than the binder, since at the age at which the linear swell reached a plateau the other soils were still expanding. It would be unlikely therefore that the lime had been consumed at that time in the 1.5% sulfate soil.

The degree to which the specimens are exposed to the immersion water is very different between the two swell tests. In the BS1924-2 test, the specimen remains in the CBR mould and as such, the specimen is only exposed to water on the top and bottom face. The permeability of the soil compacted in the mould would then influence the physicochemical behaviour of the soil. In the EN13286-49 test, the specimens are completely immersed in the water and become saturated rapidly, which is evidenced by the much quicker rate that the unstabilised controls attain their maximum swell dimensions. The greater access the specimens have to the immersion water means that in this way the European test causes the soil to realise its maximum potential swell in a shorter time.

The research also shows the critical importance an external source of water has on the formation of deleterious minerals. In all cases, no dimensional changes occurred in the cured specimens of either test prior to immersion. Not until they were immersed did any volumetric increases occur. This supported the view from other workers (Ouhadi and Yong, 2008; Little et al. 2010) that a source of sufficient water is critical in the formation of sulfate containing deleterious mineral phases.

## **9. CONCLUSIONS AND RECOMMENDATIONS FOR FURTHER WORK**

A series of lime stabilised artificial soils based on the two clays kaolin and montmorillonite and doped with varying levels of sulfate were subject to two swell tests:

- BS1924-2 – UK linear CBR swell test
- EN13286-49 – European volumetric swell test.

The duration of immersion was undertaken in accordance with the relevant standard. For BS1924-2, the immersion period was for both 7 and 28 days. Extended immersion testing was also also extended to 9 months under this test. For EN13286-49, the standard immersion period was 7 days, but extended to 14 days during the interval study.

The strength and dimensional stability were measured and samples analysed using a range of analytical techniques to characterise the microstructure and phase composition of the soils at the end of the swell tests. In addition to this, a time dependant interval study based on the European volumetric swell test was also undertaken where samples were taken from specimens' at defined intervals from first blending of the soil, to an extended immersion period over that defined in the test standard.

Relationships between the observed macrophysical properties and the fundamental microstructure and phase composition were explored in the context of the conditions applied by the two swell tests. The formation of hydrous calcium sulfoaluminates as reaction products of the lime stabilised soils doped with sulfate was extensively investigated

Based on the work undertaken during the course of this research the following conclusions can be made.

The unstabilised montmorillonite soils irrespective of sulfate content swelled significantly more on immersion compared to the equivalent kaolin based soils. This was expected, as it is well established that clays with a 2:1 aluminosilicate sheet structure, such as montmorillonite are swelling clays, as water molecules are incorporated within the electric double layer around the particles causing them to move apart (and swell). Whereas kaolin is a 1:1 non-swelling clay and does not tend to form an electric double layer to the same degree. Surprisingly, the variability in both the total observed swell and the rate of

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swelling of individual specimens was large. This was attributed to specimen manufacture resulting in differing permeabilities between test specimens.

Lime stabilisation of the artificial soils of both kaolin and montmorillonite without sulfate led to significant increases in both mechanical strength and dimensional stability over the equivalent unstabilised controls. This was associated with the development a cementitious matrix derived from the reaction of aluminosilicates of the clay fraction with the hydrated lime. At both 7 and 28 days age, the lime only stabilised montmorillonite soils exhibited higher strengths than the equivalent kaolin soils in agreement with the literature.

With respect to the two swell tests used, the swell behaviour of equivalent soil mixtures were not equal. In the European accelerated swell test, the lime stabilised kaolin control (K4L) exhibited a degree of swell not observed in the UK CBR linear swell test, such that it was deemed unsuitable under the pass/fail criteria for the test. This is attributed to water up-take and swelling of unreacted kaolin clay particles. This was caused by a slower rate of reaction with the added lime, led to larger expansions of the controls during the European accelerated swell test, compared to those of the equivalent montmorillonites. It is suggested that 72 hours curing at 20°C, prior to immersion in water at 40°C may not be suitable and produces an excessively onerous test. The same soils subjected to the UK linear CBR swell test did not exhibit any significant swell. Revision of the test conditions is suggested, but is discussed further in the recommendation for further work.

The mechanical weakening and dimensional expansion in the lime stabilised soils doped with varying levels of sulfate was attributed to the formation of ettringite (AFt) and is formed by two distinct mechanisms described in the literature as:

- Topochemical formation and crystal swelling; and
- Through-solution formation and crystal growth.

The propensity for ettringite to form by one mechanism or the other is controlled by the fundamental structure of the bulk clay, which in turn controls the hydroxide ion concentration in the pore solution. Kaolin clays with a 1:1 layer sheet structure have a comparatively low cation exchange capacity and more alumina exposed to the pore solution, so available for dissolution. Under the alkaline conditions created by stabilising the soil with lime, a high hydroxide ion concentration is induced in the pore solution. This promotes the topochemical formation of ettringite at the solid-solution interface of the clay particles, as ettringite is super-saturated with respect to the pore solution. The very small crystals formed behave like a colloidal suspension with a very high surface area to

volume ratio. This colloidal ettringite then absorbs water to lower its surface energy. This absorption of water by gel-like ettringite (crystal swelling) is then responsible for the swelling observed in the kaolin soils.

Conversely, montmorillonite clays have a high cation exchange capacity and a 2:1 three layer sheet structure, which results in a lower concentration of hydroxide ions in the pore solution. In addition, a lower concentration of alumina ions is also created due to the 'protecting' effect of the exposed silicate sheets of the clay to the alkaline pore solution. The dissolution of the clay particles is then a serial reaction in which the silicate sheets must dissolve before exposing the alumina sheets to the pore solution. The silicate sheets also dissolve at a slower rate, as the Si-O bond energy in the silicate sheets is higher compared to the Al-O of the alumina bond. The candidate ions for ettringite formation can then migrate further away from the solid-solution interface. This promotes ettringite formation by the through-solution mechanism. The crystals formed are much larger by this mechanism. Expansion occurs when regions of crystal growth intersect and push the particles apart. A source of sufficient water is crucial for the formation of both AFt and AFm. In its absence, the candidate ions remain in solution and no dimensional changes or mechanical weakening can occur.

Because of the higher rate of alumina dissolution in the kaolin soils, in the low sulfate mixture (0.5%) the formation of monosulfate (AFm) is favoured and suggests the condition  $[Al(OH)_4^-]/[SO_4^{2-}] > 1$  is satisfied. Also, the slight increases in soil strength observed may be attributed to AFm formation (again discuss in recommendations for further work). In the high sulfate (5.0%  $SO_4$ ) kaolin's, trisulfate (AFt) ettringite is favoured. In the low sulfate montmorillonite soils the chloride-substituted AFm phase (Friedel's Salt) was found and its formation promoted by the chloride content of the bulk clay. In the medium and high sulfate soils, the formation of AFt was favoured. In the medium and high sulfate soil mixtures (1.5% and 5.0%), the formation of AFt was favoured. Long-term immersion testing using the UK linear CBR swell test showed that the limited solubility of gypsum (especially in alkaline pore solution) imposes a rate limiting step on the dissolution of the candidate sulfate ion ( $SO_4^{2-}$ ). This causes the rate of observed linear swell (BS1924-2) to be initially the same for the medium and high sulfate soils of both clays. The formation of ettringite and associated soil expansion is on-going and is maintained so long as sufficient lime in the system remains. Swelling ceased when the pH dropped, suggesting that the consumption of lime occurs before the consumption of the source of sulfates.

In addition to the identification of the formation of both AFt and AFm in the soil mixtures, a primitive Ca-rich AFt phase termed 'ball ettringite' was detected in stabilised soils for the first time.

Sulfate heave attributed to ettringite formation has been defined using the European accelerated volumetric swell test (EN13286-49) as a function of sulfate content, with good agreement.

A number of limitations of the analytical techniques used in the research were found. It is not possible to quantify ettringite using relative intensity measurements of univariate XRD analysis of the principal AFt peak, due to the significant variability in AFt peak intensity, introduced by the effects of preferred crystal orientation of acicular AFt. In addition dTGA is only of limited value, due to the overlapping low temperature peaks of clay and ettringite dehydration. dTGA could be used however, to track the progress of lime consumption and cation exchange processes. The use of X-Ray CT was valuable in that it supported the conclusion that deleterious soil expansion is associated with water ingress into the tests specimens.

Considering the swell tests used in the research, the European accelerated volumetric swell test (EN13286-49) is more onerous than the UK CBR linear swell test (BS1924-2), given the elevated immersion temperatures and complete immersion of the specimens. However, a more comparative analysis would be required before any revision of the protocol could be suggested.

The conditions of the two swell tests do not affect the composition of the soils in the same way. The elevated temperatures of the European accelerated volumetric swell test, promote the formation of the AFm phase in low sulfate soils over the AFt phase, to a greater degree than in the UK linear CBR swell test.

The following recommendations for further work are suggested:

With respect to the relative severity of the two immersion tests used in the study, a large range of 'real' sulfate bearing cohesive soils should be tested, so that a database of results can be compiled. This can then be used to inform any revision of the swell test protocols, in particular, of the European accelerated volumetric swell test.

The great difficulty in the quantification of ettringite persists. The use of Rietveld analysis, including a correction for preferred crystal orientation, would be a worthwhile undertaking.

The potential use of epoxy impregnation of soil samples, from which petrographic slices could be produced, should be explored. These could then be analysed using Transmission Electron Microscopy (TEM) to further study the mechanisms of ettringite formation and expansion, by characterising the solid-solution interface and the composition of the void structure.

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Investigation of the composition of the pore solution would be valuable so the mechanisms of deleterious mineral formation can be explored. Thermodynamic treatments of the soil systems using this data could yield further insights.

More investigation of the role which small amounts of sulfate play in actually increasing soil strength should be undertaken. This could advance the understanding of how monosulfate (AFm) affects soil strength after immersion in the swell tests.

The use of geochemical modelling software would further support the findings of this research by predicting the stable phase assemblages in each soil mixture tested under the conditions of the two swell tests.

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## APPENDIX A - MATERIAL CLASSIFICATION AND MIXTURE TESTING

### Calcium Oxide

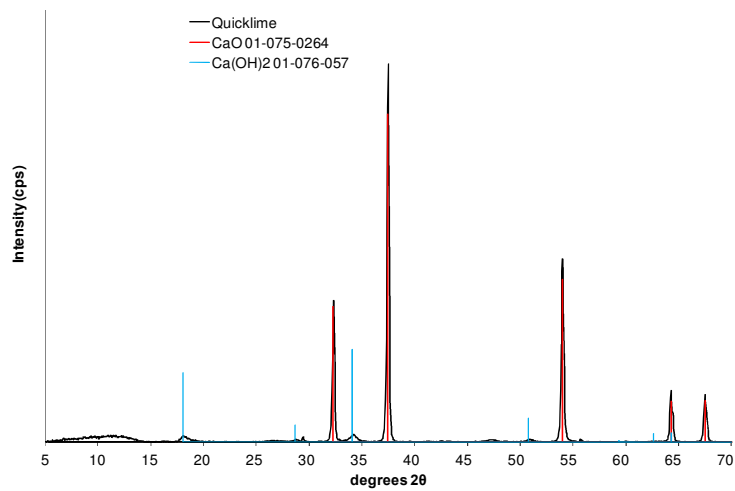


Figure A 1: XRD pattern of CaO

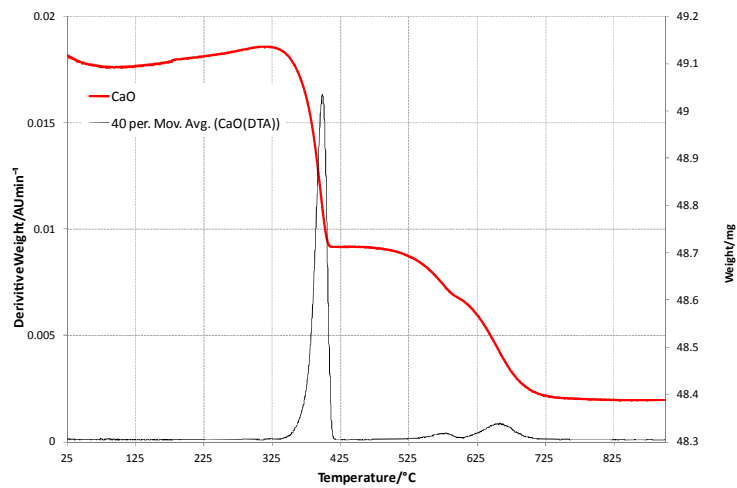


Figure A 2: TGA plot of Calcium Oxide

## Mined Gypsum

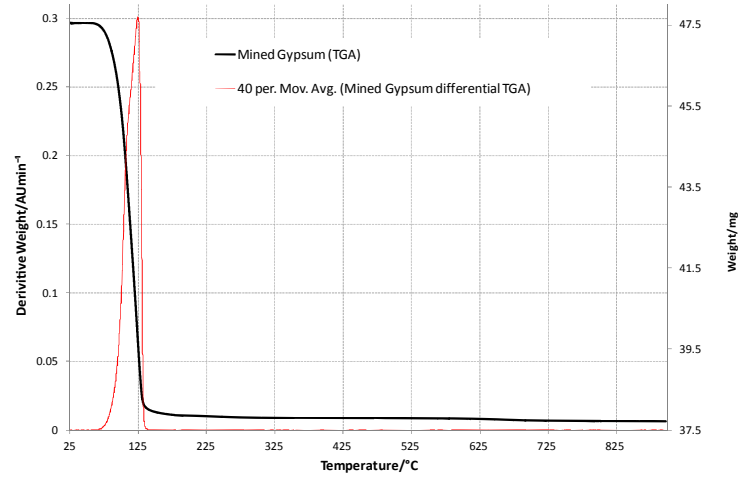


Figure A 3: TGA of mined gypsum

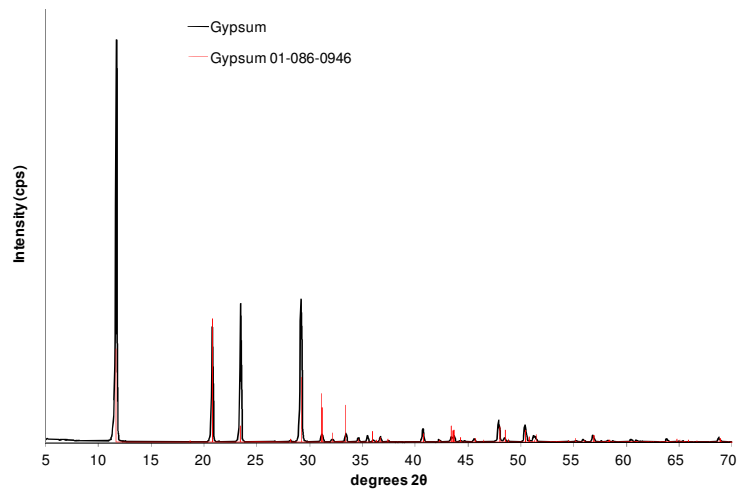


Figure A 4: XRD pattern mined gypsum (crushed and sieved <425 μm)

## Kaolin

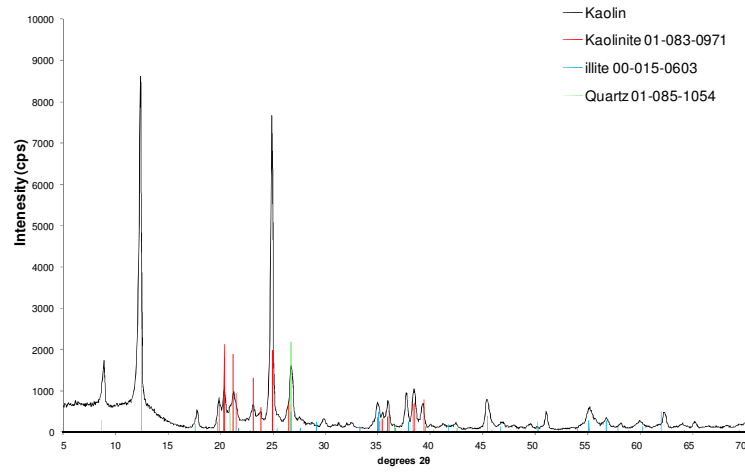


Figure A 5: XRD pattern of kaolin (as received)

## Calcium Bentonite (Montmorillonite)

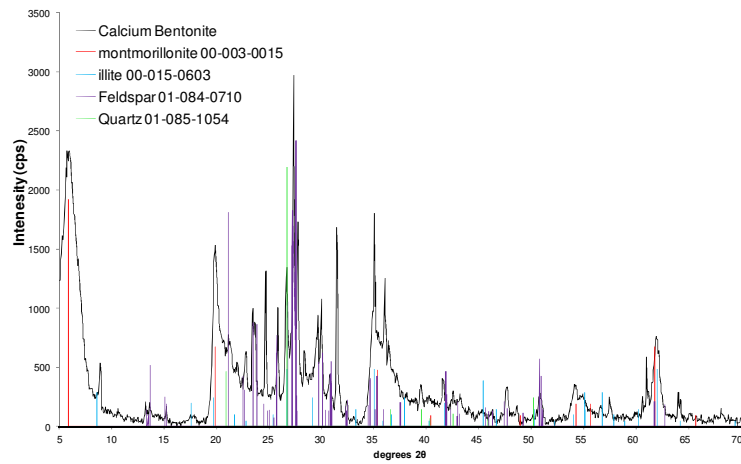


Figure A 6: XRD pattern montmorillonite (Calcium Bentonite, as received)

## Plasticity Index

Table A 1: Results of Atterberg Limit Testing (BS 1377-2: 1990)

Mix ID	Plastic Limit	Liquid Limit	Plasticity Index	Classification	% Passing 425um Sieve
K	29	52	23	CH	63
K5S	22	47	25	CI	75
K4L	28	36	8	MI	45
K4L5S	29	50	21	MI	51
M	32	103	71	CE	73
M5S	34	97	63	CE	71
M6L	76	136	60	ME	45
M6L5S	107	205	98	ME	53

Key: CH – Clay high plasticity, CI – Clay medium plasticity, MI – Silt medium plasticity, CE – Clay extremely high plasticity, ME – silt extremely high plasticity

## Particle Size Distribution (PSD)

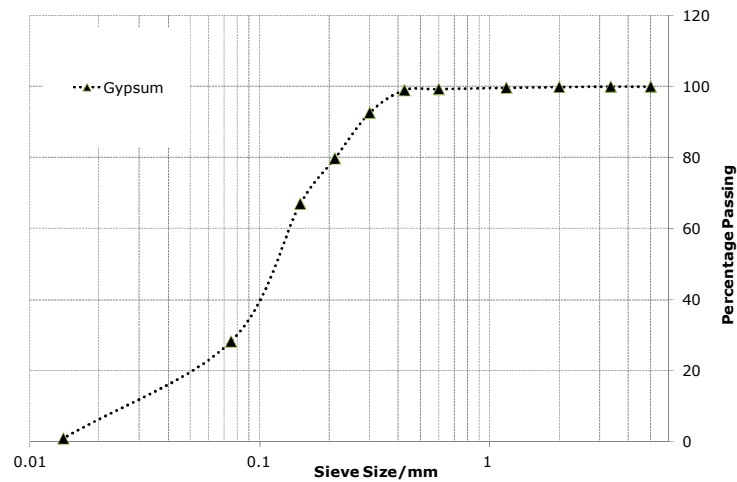


Figure A 7: Particle Size Distribution (PSD) of gypsum (BS1377-2: 1990)

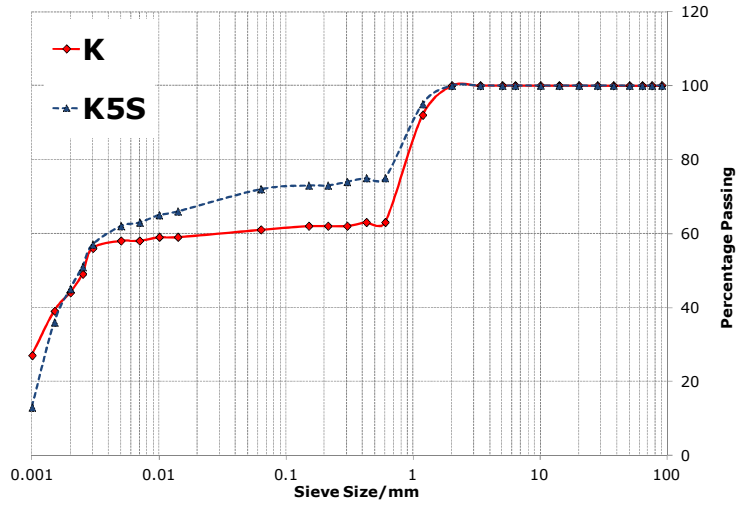


Figure A 8: Particle Size Distribution (PSD) of K and K5S (BS1377-2: 1990)

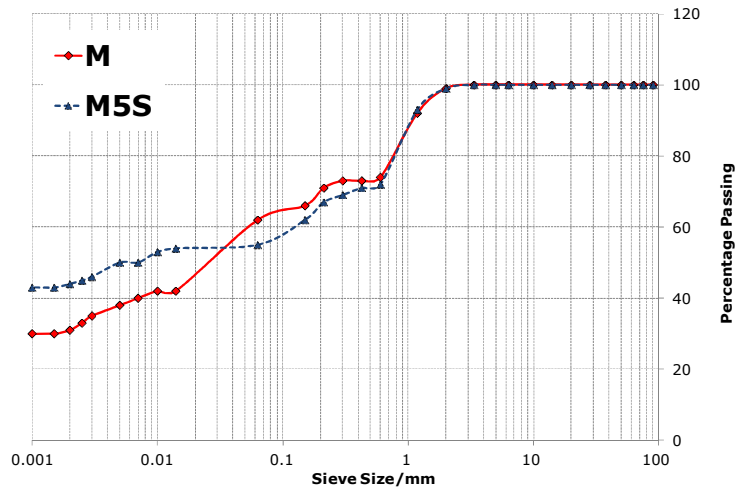


Figure A 9: Particle Size Distribution (PSD) of M and M5S (BS1377-2: 1990)

### Initial Consumption of Lime (ICL) Test

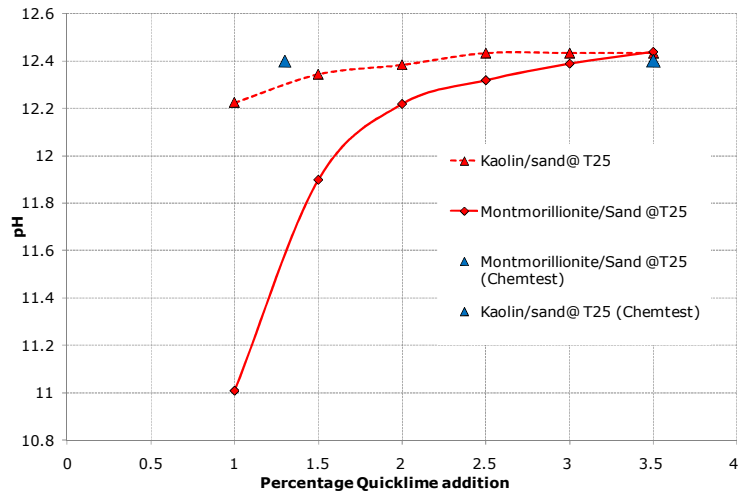


Figure A 10: ICL results for K5S and M5S (BS1924-2: 1990)

### Optimum Water Content (OWC)

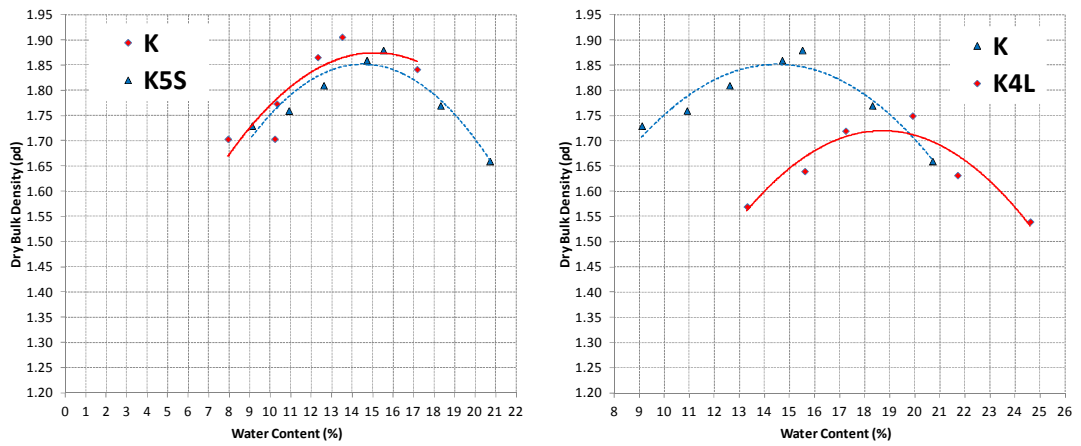


Figure A 11: OWC of soil mixture K, K5S and K4L (BS1377-2: 1990)



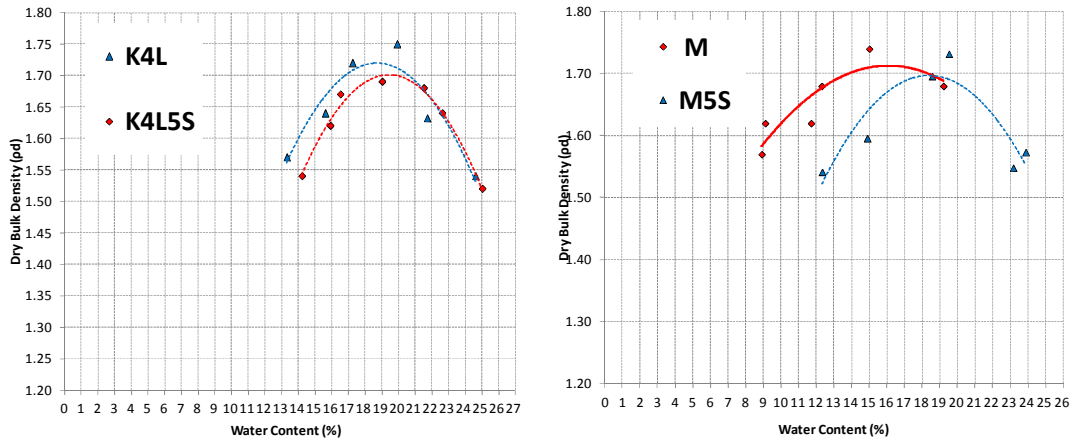


Figure A 12: OWC of soil mixture K4L, K4L5S, M and M5S (BS1377-2: 1990)

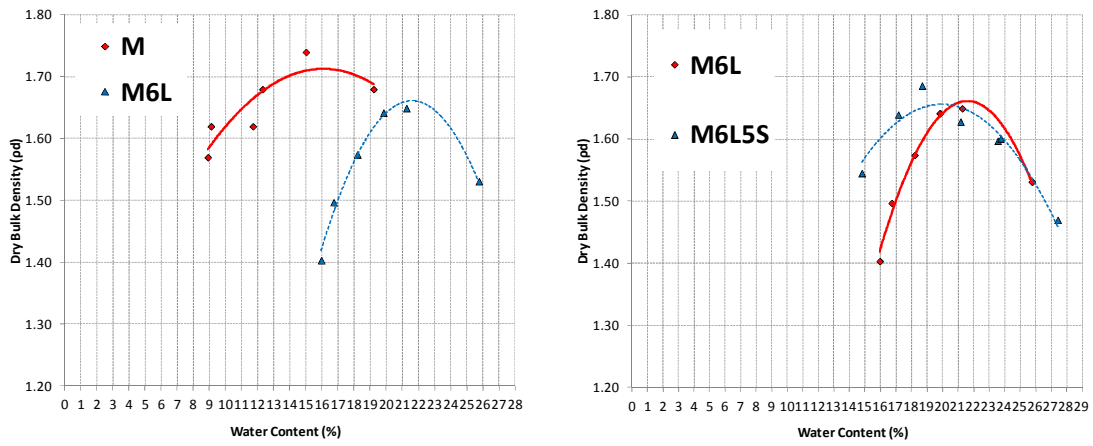


Figure A 13: OWC of soil mixture M, M6L and M6L5S (BS1377-2: 1990)

## APPENDIX B - SYNTHETIC ETTRINGITE

### Method of Synthesis

All glassware was first cleaned using Decon 10 laboratory detergent, rinsed in Demin water, then washed in  $\text{HCl}_{(\text{dil})}$ , finally rinsed in ultrapure water (Millipore Milli-Q). Into two 1000ml RB flasks was poured 500ml of ultrapure water. This was degassed under argon for 30min. To one,  $\text{CaO}$  (1.904g, 68mmol/l) was added, to the other  $\text{Al}_2(\text{SO}_3)_3 \cdot 16\text{H}_2\text{O}$  (1.6758g, 11.4mmol/l) in the stoichiometric proportions required for the formation of ettringite. The aluminium sulfate solution was then siphoned under argon into the  $\text{CaO}$  solution. This was allowed to stir, again under argon for 72 hours. After which the precipitated solid was dried at the pump to yield ca. 12g of wet ettringite. This was then freeze-dried to yield ca. 4g of still wet looking AFt. Half of this was then further dried at room temperature in a desiccator over calcium chloride.

### XRD analysis

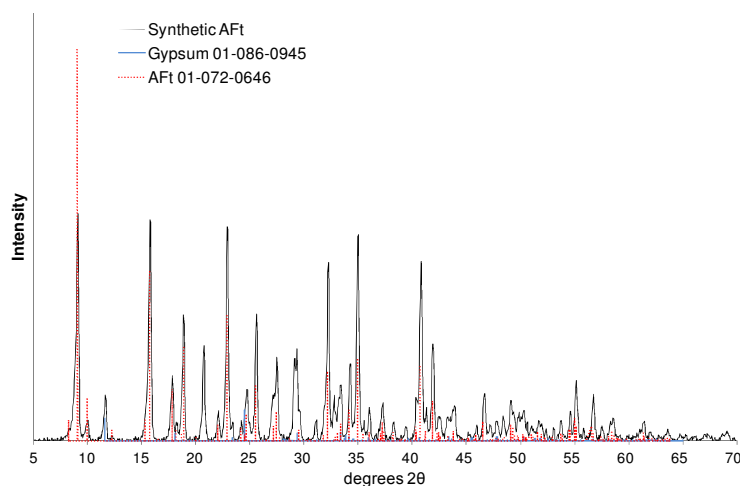


Figure 108: XRD pattern of synthetic ettringite

## dTGA of Synthetic Ettringite

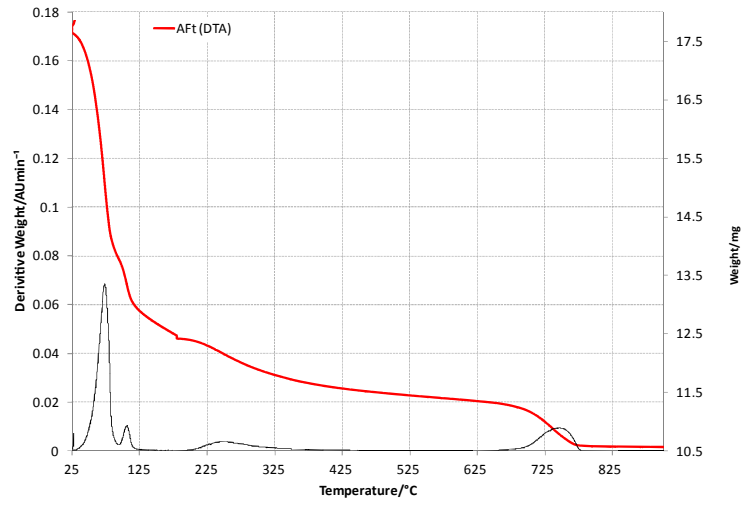


Figure 109: dTGA plot of synthetic ettringite

## SEM-EDX

Figure 110: SEM-EDX analysis of synthetic ettringite showing AFt (EDX1) and predicated calcium sulfate (EDX2)

Figure 111: SEM showing AFt agglomerations

## APPENDIX C - SOIL COMPOSITION BY FUSED BEAD XRF

Oxide (%)	Material Composition					
	K4L0.5S	K4L1.5S	K4L5S	M6L0.5S	M6L1.5S	M6L5S
SiO <sub>2</sub>	61.09	59.85	56.65	62.68	61.44	56.52
Al <sub>2</sub> O <sub>3</sub>	20.55	19.95	21.22	10.47	10.76	10.76
Fe <sub>2</sub> O <sub>3</sub>	0.63	0.61	0.61	2.3	2.36	2.33
CaO	4.13	4.01	4.6	7.2	7.83	9.01
<b>SO<sub>4</sub><sup>†</sup></b>	<b>0.42</b>	<b>1.45</b>	<b>4.95</b>	<b>0.6</b>	<b>1.53</b>	<b>4.96</b>
MgO	0.01	0.01	0.22	1.93	2.00	1.99
K <sub>2</sub> O	1.85	1.88	1.9	1.78	1.83	1.86
Na <sub>2</sub> O	0.17	0.21	0.16	1.08	1.08	1.09
P <sub>2</sub> O <sub>3</sub>	1.85	0.04	0.04	0.12	0.13	0.12
TiO <sub>2</sub>	0.05	0.08	0.05	0.32	0.32	0.32
Mn <sub>3</sub> O <sub>4</sub>	0.01	0.01	0.01	0.04	0.05	0.05
V <sub>2</sub> O <sub>5</sub>	<0.01	<0.01	<0.01	<0.01	<0.01	0.01
Cr <sub>2</sub> O <sub>5</sub>	<0.01	<0.01	<0.01	<0.01	<0.01	<0.01
Cl	0.01	0.01	0.01	0.08	0.08	0.08
LOI (%)	9.95	11.02	10.95	10.21	10.58	9.11
Total (%)	100.72	99.42	100.78	98.81	99.99	98.21

Note: <sup>†</sup> - % SO<sub>4</sub> = 1.2 x % SO<sub>3</sub>