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Grealy, Rebecca, Herruer, Jasper, Smith, Carl A., Hiller, Doung, Haseler, Luke J., & Griffiths, Lyn R. (2015) Evaluation of a 7-gene genetic profile for athletic endurance phenotype in Ironman championship triathletes. *Plos One*, *10*(12), Article Number:-e0145171.

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http://doi.org/10.1371/journal.pone.0145171

1	Evaluation of a 7-gene genetic profile for athletic endurance
2	phenotype in Ironman championship triathletes
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24 Abstract

25 Polygenic profiling has been proposed for elite endurance performance, using an additive 26 model determining the proportion of optimal alleles in endurance athletes. To investigate 27 this model's utility for elite triathletes, we genotyped seven polymorphisms previously 28 associated with an endurance polygenic profile (ACE Ins/Del, ACTN3 Arg577Ter, 29 AMPD1 Gln12Ter, CKMM 1170bp/985+185bp, HFE His63Asp, GDF8 Lys153Arg and 30 PPARGC1A Gly482Ser) in a cohort of 196 elite athletes who participated in the 2008 31 Kona Ironman championship triathlon. Mean performance time (PT) was not 32 significantly different in individual marker analysis. Age, sex, and continent of origin had 33 a significant influence on PT and were adjusted for. Only the AMPD1 endurance-optimal 34 Gln allele was found to be significantly associated with an improvement in PT (model $p=5.79 \times 10^{-17}$, AMPD1 genotype p=0.01). Individual genotypes were combined into a 35 36 total genotype score (TGS); TGS distribution ranged from 28.6 to 92.9, concordant with 37 prior studies in endurance athletes (mean±SD: 60.75±12.95). TGS distribution was 38 shifted toward higher TGS in the top 10% of athletes, though the mean TGS was not 39 significantly different (p=0.164) and not significantly associated with PT even when 40 adjusted for age, sex, and origin. Receiver operating characteristic curve analysis 41 determined that TGS alone could not significantly predict athlete finishing time with 42 discriminating sensitivity and specificity for three outcomes (less than median PT, less 43 than mean PT, or in the top 10%), though models with the age, sex, continent of origin, 44 and either TGS or AMPD1 genotype could. These results suggest three things: that more 45 sophisticated genetic models may be necessary to accurately predict athlete finishing time 46 in endurance events; that non-genetic factors such as training are hugely influential and 47 should be included in genetic analyses to prevent confounding; and that large

48 collaborations may be necessary to obtain sufficient sample sizes for powerful and49 complex analyses of endurance performance.

50

51 Abbreviations

52 ACE, angiotensin-converting enzyme; ACTN3, alpha-actinin-3; AGE, agarose gel 53 electrophoresis; AMPD1, adenosine monophosphate deaminase 1; AUC, area under the 54 curve; BDKRB2, bradykinin receptor B2; CKMM, creatine kinase-MM; FPR, false 55 positive rate; GDF8, growth differentiation factor 8 (also known as MSTN or myostatin); 56 GLUT4, glucose transporter type 4; HFE, high iron Fe, more commonly known as 57 Human hemochromatosis gene; HIT, high-intensity interval training; HREC, Human 58 Research Ethics Committee; HRM, high resolution melt; HWE, Hardy-Weinberg 59 Equilibrium; NOS3, nitric oxide synthase 3; PPARGC1A, peroxisome proliferator-60 activated receptor gamma, coactivator 1 alpha; RFLP, restriction fragment length 61 polymorphism; ROC, receiver operating characteristic; TGS, total genotype score; TPR, 62 positive true rate.

63 Introduction

64 The ability of sport scientists to predict which athletes amongst an elite group will 65 become world-class is limited because the interactions between biological factors, 66 training, recovery and competitive performance are not fully understood [1]. Human 67 physical performance depends on environmental factors such as physical training, 68 nutrition and technological support, as well as on genetic factors such as blood lactate 69 threshold, maximal oxygen uptake (VO_{2max}), glucose/lipid metabolism, and muscular 70 strength [2]. Over 150 DNA polymorphisms have been associated with some form of 71 human physical performance [3]. Many of these studies have only investigated individual 72 polymorphisms or genes; however, despite the number of genes being investigated and 73 associated with elite endurance performance, the achievement of elite endurance 74 performance by a relatively small number of athletes is more than likely influenced by a 75 combination of favourable genetic alleles.

76

77 Recent studies [4-7] have proposed or utilised polygenic profiles for elite athletic 78 performance, using a model originally outlined by Williams and Folland (2008) for 79 optimal endurance performance [3]. While Williams and Folland's original model 80 contained 23 genetic polymorphisms associated with endurance performance, later 81 models focused on smaller numbers of more strongly associated polymorphisms for 82 endurance (seven to ten) [4, 5]. In order for comparability between models with different 83 numbers of polymorphisms, the total genotype score (TGS) calculated generally 84 represents the percentage of 'optimal' alleles for a particular phenotype. These models 85 have been tested with other phenotypes such as success in a sporting field (in terms of the 86 number of medals won or ranking in World and/or National Championships) [7, 8] and 87 models with alternative polymorphisms have been proposed for speed/power

88 performance [6, 9], mitochondrial biogenesis specific endurance models [10], and even 89 disease/health risk models [11]. While sporting success has been previously evaluated in 90 terms of numbers of medals won [7] or ranking in different world championship events 91 [8], no current study has examined athlete performance within a single sporting event. 92 However, while associations of polygenic profile polymorphisms have been well 93 established in endurance versus power athletes, or athletes versus non-athletes, the 94 influence of these polymorphisms on performance success within a single race event has 95 not yet been assessed.

96

97 In this study we therefore investigate the utility of the seven-marker optimal endurance 98 model [5] to distinguish more successful athletes (faster performance time) from less 99 successful athletes (slower performance time) in a cohort of 196 elite endurance athletes 100 who participated in the 2008 Kona Ironman World Championship triathlon. This cohort 101 was initially collected in 2008 and the association of ACTN3 Arg577Ter polymorphism 102 analysed in this cohort in a prior study [12]. These race participants represent athletes 103 with an extremely high level of endurance ability and present a valuable opportunity to 104 investigate genetic endurance polymorphisms in relation to elite endurance athlete race 105 performance. Despite the fact that participants can be classified into 'faster' and 'slower' 106 groups based on their performance in the 2008 Kona Ironman, all qualifying athletes can 107 be considered among the elite of worldwide endurance triathletes as the event is 108 considered one of the most extreme endurance events in the world due to the strict 109 qualifying requirements and the severe environmental conditions encountered during the 'ultra' distance race. 110

112 This study investigated whether the seven polymorphisms strongly associated with an 113 endurance polygenic profile as described in Ruiz et al. 2009 [5]-ACE Ins/Del, ACTN3 114 Arg577Ter, AMPD1 Gln12Ter, CKMM 1170 bp/985+185bp, HFE His63Asp, GDF8 115 Lys153Arg and PPARGC1A Gly482Ser-were individually associated with performance 116 time (both unadjusted and adjusted for significant demographic variables) or whether the 117 combined influence of these polymorphisms as a total genotype score (TGS) could 118 distinguish 'faster' from 'slower' performance time of the Ironman athletes. Each of the 119 genes included in Ruiz et al.'s profile is a strong candidate for involvement in endurance 120 performance and has been found to be associated previously with improvements in 121 physical ability. The functions of these seven genes and the impact of the profile 122 polymorphisms on gene function are outlined below.

123

124 ACE Ins/Del (rs4340)

125 The ACE 287bp Ins/Del polymorphism (I/D; rs4340) is located in intron 16 of the gene 126 angiotensin converting enzyme (ACE), which is heavily involved in the cardiovascular 127 system, in particular with blood pressure regulation. The ACE gene encodes a zinc 128 metallo-carboxypeptidase that converts the inactive angiotensin I peptide into the potent 129 vasoconstrictor angiotensin II [13, 14], which is the end product of the renin-angiotensin 130 system (RAS) for the regulation of blood pressure. It also contributes to the regulation of 131 blood pressure through the kinin-kallikrein system by degradation of bradykinin, a strong 132 vasodilator [14], and is also thought to be important for muscle development due to the 133 fact that angiotension II stimulates growth of endothelial, cardiac, and smooth muscle 134 cells [5, 15]. The presence of the 287bp insertion (I allele) in the ACE gene is associated 135 with lower levels of ACE activity in serum and tissues, with the II genotype carriers 136 having about half the activity level of DD carriers, while ID carriers have intermediate

137 levels [14]. The higher level of ACE activity for D allele carriers results in an increase in 138 both angiotensin II and an increase in the metabolism of bradykinin, which, in addition to 139 blood pressure regulation, has a significant impact on metabolic processes including 140 uptake of glucose [15]. The D allele has also been shown to be associated with increased 141 left ventricular hypertrophy [14] and some studies show an association with increased 142 grip strength [9], indicating that the DD genotype may possibly be more beneficial for 143 power sports or strength-trained athletes. Conversely, the II genotype has been found to 144 be strongly associated with various types of endurance athletes [14, 15], and is one of the 145 most strongly replicated associations in endurance athletes.

146

147 ACTN3 R577X (rs1815739)

148 The ACTN3 gene encodes α -actinin-3, which is a tissue-specific actin-binding protein 149 expressed in skeletal muscle fibers to assist in anchoring actin filaments of the sarcomere 150 during muscle contractions. Although both α -actinin-3 and highly similar protein α -151 actinin-2 are both expressed in muscle, α -actinin-3 is only expressed in type II (fast-152 twitch, anaerobic/glycolytic) muscle fibers, which have an increased contraction speed 153 and contraction force compared to type I (slow-twitch, oxidative) fibers [12]. The ACTN3 154 Arg577Ter nonsense mutation (R577X; rs1815739) results in a truncated and non-155 functional protein which subsequently results in α -actinin-3 deficiency, and has been 156 shown in knockout mouse models to decrease muscle strength and contraction force due 157 to a decrease in the size of type II fibers. Presence of the R allele is therefore thought to 158 improve strength and speed of contraction and has been shown to be significantly more 159 common in sprinting athletes [9]. It has also been shown that the X allele, which results 160 in the α -actinin-3 deficiency, shifts the type II fibers energy generation from their usual 161 anaerobic processes to aerobic, oxidative processes, increasing the fatigue-resistance of the fibers [12]. While this suggests that the X allele may be advantageous for endurance, at a cost to speed and strength, association studies in endurance athletes have had mixed results [9]. Nevertheless, this polymorphism has a clear, replicable effect on strength and speed, and has thus been included in every profile on athletic performance.

- 166
- 167 AMPD1 Q12X (rs17602729)

168 The AMPD1 Gln12Ter polymorphism (Q12X; rs17602729), also known as the C34T polymorphism, is located in the muscle-specific isoform of the AMP deaminase gene 169 170 (AMPD1), which deaminates the adenosine monophosphate (AMP) that accumulates 171 during exercise into inosine monophosphate (IMP) as part of the purine nucleotide cycle 172 [16, 17]. An accumulation of AMP results in loss of AMP and an increase of adenosine in 173 the tissues, which results in decreased alertness and lower time to fatigue. AMPD1 thus 174 assists in salvaging adenosine molecules and helping regulate the levels of IMP, AMP, 175 adenosine diphosphate (ADP), and adenosine triphosphate (ATP) in skeletal muscles 176 during exercise [5]. Additionally, the AMPD1 enzyme helps promote the generation of 177 ATP from ADP by the enzyme myokinase by altering the reaction equilibrium [17], and 178 is therefore extremely important in determining the energy availability to skeletal 179 muscles during exercise. The substitution of a T nucleotide for a C at position 34 results 180 in a nonsense mutation whereby a glutamine is converted to a stop codon, resulting in a 181 truncated non-functional protein, and therefore resulting in AMPD1-deficiency. The lack 182 of AMPD1 enzyme has been associated with an increased frequency of mild forms of 183 myopathy post-exercise, with lower time to fatigue and muscle cramping [16], though not 184 all individuals with AMPD1 deficiency will experience these symptoms [17]. Although 185 the deficiency of AMPD1 was originally expected to predominantly affect short-term 186 exercise, and although it has been associated with a lower mean anaerobic power and

faster decline in power output [18], the X allele resulting in AMPD1 deficiency has been found to be about half the frequency in endurance athletes compared to controls [17]. It has since been suggested by studies examining accumulation of IMP and AMP during exercise that at the end of long endurance events when energy stores are depleted, an accumulation of AMP occurs which is necessarily converted to IMP by AMPD1 enzyme [17]. The Q allele is thus associated with an advantage for endurance performance while X allele carriers may be disadvantaged by early AMP accumulation and fatigue.

194

195 *CKMM* **3' UTR NcoI RFLP (rs8111989)**

196 The gene CKMM contains a NcoI RFLP in the 3' untranslated region of the gene (3' UTR 197 NcoI RFLP, rs8111989), resulting in two alleles named for their fragment lengths, the 198 more common 985+185bp allele and the rarer 1170 bp allele [19], which correspond to a 199 T to C single nucleotide substitution, respectively. The CKMM gene is a muscle-specific 200 form of creatine kinase (CK) which catalyses the conversion of phospho-creatine (PCr) 201 and ADP into creatine and ATP, as well as the reverse reaction. This CK/PCr energy 202 buffering system acts as a temporal buffer for energy by ensuring that ATP can be 203 quickly generated from cellular stores of ADP when required [5, 19]. It also acts as an 204 energy 'shuttle' between subcellular locations. The activity of CKMM in catalysing the 205 reaction therefore can impact on ATP availability to the muscle, which may limit 206 performance. In fact, type I (slow twitch, oxidative) muscle fibers have been reported to 207 show a two-fold lower CK activity compared to type II (fast-twitch, glycolytic) muscle 208 fibers [19]. Although the NcoI RFLP is located in the 3' UTR and thus does not result in 209 a functional change in the CKMM protein, deletion of the CKMM 3' UTR results in a 210 change to the mRNA cellular localisation signal, which is important for correct CK/PCR 211 shuttling [20] and which may possibly result in altered expression levels of CKMM due to

212 mRNA instability [21]. Though the mechanisms by which this may affect performance 213 are still not clear, it has been shown through performance studies that the CC genotype 214 (1170bp/1170bp) results in a lower change in VO_{2max} (ml / kg • min) in response to 215 endurance training, while the TT genotype results in 1.5- to 3-fold higher change in 216 VO_{2max} [19]. This suggests that the T allele (985+185bp) may be beneficial for endurance 217 performance [5]. The TT genotype has also been associated with an increased likelihood 218 of extremely high blood CK levels post-exercise which may indicate damage to skeletal 219 muscle [21] and therefore may also be involved in exercise tolerance.

220

221 GDF8 K153R (rs1805086)

222 The GDF8 Lys153Arg polymorphism (K153R; rs1805086) is located in exon 2 of the 223 growth differentiation factor 8 gene (GDF8), which is more commonly known as 224 myostatin (abbreviation MSTN). Myostatin functions as a negative regulator of myoblast 225 differentiation into muscle fibers, by signaling to increase p21, resulting in the inhibition 226 of Cdk2 and thus the hyperphosphorylation of retinoblastoma (Rb), which then promotes 227 cell cycle progression and thus myoblast proliferation [22, 23]. It is therefore a key factor 228 in the determination of both the number and size of muscle fibers [22, 23], and 229 myostatin-deficient animals, whether due to knockout, as in mouse models, or naturally 230 deficient, as in cattle showing the 'double-muscle' phenotype, have been well established 231 to exhibit up to three times as much muscle mass as wildtype [22]. Myostatin deficiency 232 has been demonstrated to result in a similar hypertrophy of skeletal muscle in rare human 233 cases also [24]; however, the K153R SNP, more common in humans than recessive 234 homozygous myostatin deficiency, has also been shown to result in significant increases 235 in skeletal muscle mass and strength for the RR genotype [23], thought to be due to 236 alteration in binding affinity resulting in a less effective inhibition of myoblast

proliferation. Its clear importance for the determination of muscle mass and strengthmake this marker a strong candidate for any polygenic profile of athletic performance.

239

240 *HFE* H63D (rs1799945)

241 The HFE His63Asp polymorphism (H63D; rs1799945) is located in the hereditary 242 haemochromatosis gene (HFE; standing for High Fe) which is a transmembrane protein 243 with a key role in regulating iron absorption. The HFE protein is thought to regulate the 244 interaction of other key molecules involved in iron uptake and circulation [25], including 245 transferrin, a plasma protein that binds absorbed iron for circulation; the transferrin 246 receptor (TfR, encoded by TFRC and TRF2 genes), a transmembrane glycoprotein 247 facilitating intake of transferrin-bound iron into cells; ferroportin (FPN1 or SLC40A1), a 248 transmembrane protein located on the basolateral surface of gut cells macrophages, which 249 allows transport of absorbed iron out of cells into circulation; and hepcidin (HAMP), a 250 negative regulator of iron transport that competitively binds ferroportin, preventing 251 release of iron from cells. HFE primarily interacts with TfR by decreasing the affinity of 252 transferrin for the TfR, thus reducing the uptake of transferring-bound iron [26, 27] as 253 well as possibly influencing regulation of hepcidin levels, with decreases in hepcidin 254 levels reducing the negative inhibition of ferroportin and thus increasing export for iron 255 from gut cells into circulation and tissues [25, 28]. The H63D polymorphism has been 256 shown to reduce the ability of the HFE protein to bind to its ligand, thereby preventing 257 the inhibition of transferrin-TfR binding and resulting in increased transport of iron into 258 circulation and cells [26, 27, 29]. This results in an increased level of iron, as measured 259 by transferrin saturation (TS, or percentage of TfR bound to transferrin), serum ferritin 260 concentration (SF, the acute-phase storage molecule for iron) [25, 29], even in the 261 absence of additional mutations in HFE and the other key iron transport genes TRF2,

262 FPN1, and HAMP [29]. As endurance athletes require reasonable iron levels to improve 263 their oxygen-carrying capacity, any impairments to the iron transport mechanisms that 264 result in a decreased level of iron, even if not at anaemic levels, may result in a poorer 265 aerobic capacity, possibly through oxidative enzyme and respiratory protein activity [30]. 266 Alternatively, the H63D polymorphism, by resulting in hyperferritinaemia, may have the 267 potential to boost aerobic capacity in athletes, and indeed the D allele has been found to 268 be at a significantly higher frequency in endurance cyclists and Olympic-class endurance 269 runners compared to sedentary population controls [31], despite the fact that some studies 270 have not found a significant impact on VO_{2max} from HFE mutations [31, 32]. The 271 increased frequency of D allele (specifically heterozygotes) in endurance athletes 272 therefore supports its inclusion in a polygenic model; however, due to the fact that a 273 homozygous DD genotype may increase iron levels adversely, leading to symptoms of 274 iron overload such as iron deposition in abdominal organs and cardiac tissue [27, 33], the 275 heterozygous HD carrier may have the better endurance advantage, leading to its optimal 276 weighting in Ruiz et al.'s polygenic profile [5].

277

278 **PPARGC1A G482S (rs8192678)**

279 The PPARGC1A Gly482Ser polymorphism (G482S; rs8192678) is located in the 280 peroxisome proliferator-activated receptor- γ coactivator-1 α gene (*PPARGC1A*), which is 281 a coactivator of regulatory genes for the oxidative phosphorylation (OXPHOS) pathway 282 for generation of ATP. As endurance athletes predominantly utilise aerobic energy 283 generation through oxidative phosphorylation, requiring higher maximal oxygen uptakes 284 (VO_{2max}) compared to sprint and power sports, the *PPARGC1A* gene could potentially 285 impact on energy availability [34]. However, PPARGC1A is also involved in the 286 activation of other pathways which may also equally be important for endurance athletes,

287 including stimulating mitochondrial biogenesis through binding with nuclear respiratory 288 factors NRF-1 and NRF-2 and mitochondrial transcription factors [34, 35]. PPARGC1A 289 is also involved in glucose and lipid oxidation through its interaction with peroxisome 290 proliferator-activated receptor α (PPARA) [34, 35]. PPARGC1A has also shown to be 291 important for the transformation of muscle fibers to type I (slow-twitch, high levels of 292 mitochondria) though binding with myocyte enhancer factor 2 (MEF2), which occurs as a 293 result of the normal response of muscle tissue to endurance training, improving oxidative 294 capacity and resistance to fatigue [36]. The importance of PPARGC1A is so manifold, 295 through co-activation of differing pathways which all impact on the oxidative capacity of 296 the skeletal muscles, that a single episode of extended endurance exercise can result in a 297 7- to 10-fold increase in PPARGC1A expression peaking within two hours [34]. The 298 functional polymorphism G482S, which is thought to interfere with PPARGC1A binding 299 ability, has been shown to be strongly associated with performance, with a significantly 300 lower frequency of the S allele in endurance athletes compared to both sedentary/unfit 301 controls [34, 35] and sprint athletes [35], highlighting the endurance advantage conferred 302 by the more common G allele. Though there is some evidence to suggest that the S allele 303 impede mitochondrial biogenesis by decreasing activation of mitochondrial transcription 304 factor TFAM, stronger evidence suggests that the S allele may interfere with muscle fiber 305 transformation as the mutation is located within the MEF2-binding site of PPARGC1A 306 and disrupts its binding [36]. This is further supported both by mouse studies, which 307 show that *PPARGC1A* overexpression increases type I fiber ratio while knockout models 308 show a decrease in type I and shift to type IIx and IIb fibers, and a recent study 309 examining human muscle biopsies, which showed a lower level of post-training type I 310 fibers in S carriers compared to G carriers, though mitochondrial density and activity, and intracellular lipid content was not different between different genotype groups [36]. 311

312 These data point to a clear advantage of G allele carriers in endurance performance and313 as such is an important component of any polygenic athletic profile.

314

315 Materials and Methods

316 Study population

317 Ethical approval was obtained from the Human Research Ethics Committee 318 (HREC) at Griffith University (Protocol No: MSC/06/05/HREC) and Queensland University of Technology (Approval number: 1300000499) and written consent was 319 320 obtained from each participant. The study population consisted of a previously described 321 [12] cohort of 196 elite endurance triathletes, whose selection as an "elite endurance 322 athlete" was based on participation in the 2008 Ironman World Championship triathlon. 323 This event involves a 3.8 km swim, 180 km bike ride, and 42.2 km marathon on the Kona 324 coast of Hawaii [37]. Questionnaires were administered at the Kona Ironman event 325 collecting data on a variety of demographic, health, and exercise-related variables, and 326 approximately 1-2 ml saliva was collected for each participant using saliva collection kits 327 (OG-250 Oragene Kit, DNA Genotek Inc.). DNA was extracted from saliva samples as 328 described previously [12] and overall finishing time (referred to henceforth as 329 performance time, or PT) was obtained from the official Kona 2008 Ironman results [38] 330 for 173 of the 196 recruited participants. Eligibility criteria, methodology, and cohort 331 characteristics are described in detail elsewhere [12].

332

333 Briefly, eligibility for the Kona Ironman championship is gained by earning a 334 qualifying place in yearly qualifying half-Ironman or full-Ironman marathons run at 335 differing locations worldwide. Approximately three-quarters of the participants were 336 male (N = 143, 73.0%) while about one-quarter were female (N = 53, 27.0%). Athletes 337 originated from various countries from around the world, and were grouped according to 338 continent of origin. Although 83.7% of athletes originated from North America (N = 104) 339 or Europe (N = 60), although a small number did originate from Oceania (N = 23), South 340 America (N = 6), Asia (N = 2) and Africa (N = 1). Most participants were between the 341 ages of 30 and 50 (N = 123, 63.3%), with mean participant age 42.5 ± 11.4 yrs. Further 342 detail on the cohort baseline characteristics and questionnaire data may be found in 343 Grealy et al., 2013 [12].

344

345 Genotyping assays

346 Genotyping for the seven gene polymorphisms was performed by PCR 347 amplification followed by various assays, including agarose gel electrophoreses (AGE), 348 restriction fragment length polymorphism (RFLP) analysis, and high resolution melt 349 (HRM) analysis (see Supporting Information Table S1 for primer sequences and assay 350 details). Briefly, the ACE I/D polymorphism (287 bp Alu insertion, rs4340) was 351 genotyped by PCR amplification using a previously published primer set [39] slightly 352 adapted. The amplicon sizes for the deletion and insertion alleles were 182bp and 470bp 353 respectively, allowing genotype discrimination after separation by AGE. The AMPD1 354 Q12X polymorphism (C>T, rs17602729) was genotyped by PCR amplification using a 355 previously published primer set [16] followed by restriction enzyme digestion with 356 HpyCH4IV. The GDF8 K153R polymorphism (A>G, rs1805086), the HFE H63D 357 polymorphism (C>G, rs1799945), and the PPARGC1A G482S polymorphism (G>A, 358 rs8192678) were all genotyped by PCR amplification using primer sets designed for this 359 study, followed by restriction enzyme digestion with PspOMI, BclI, and MspI 360 respectively. The ACTN3 R577X polymorphism (C>T, rs1815739) had been genotyped

361 in this cohort previously [12]; data from this study was used for this multi-gene analysis. 362 The genotyping method in the prior study was PCR amplification followed by HRM 363 analysis. The CKMM NcoI 3'-untranslated region polymorphism (A>G, rs8111989) was 364 genotyped by PCR amplification using a HRM primer set designed for this study, 365 followed by HRM analysis. Positive controls for each genotype were created for each 366 assay, and were genotyped using both the original assay and an alternative assay method 367 such as sequencing or RFLP. Both typing methods resulted in 100% concordance of 368 genotypes, for all assays. Positive controls were subsequently included in all genotyping 369 runs on cohort samples. Additionally, HRM assays were genotyped in duplicate, with 370 samples re-typed in cases of disagreement between duplicates.

371

372 Statistical analysis

373 Genotype frequencies were tested for conformation to Hardy-Weinberg 374 Equilibrium (HWE), and compared to HapMap reference population frequencies using χ^2 375 tests or Fisher's exact tests where appropriate. Performance time (PT) was analysed by one-way ANOVA tests to determine whether PT differed between genotype groups for 376 377 individual polymorphisms in this cohort. PTs were also used to group the athletes into 378 two extreme phenotypes, the top 10% performers (with fastest times) and the bottom 10% 379 performers (with slowest times). Genotype frequencies in the top and bottom 10% groups 380 were compared using Fisher's exact tests. The combined effect of having multiple 381 optimal alleles was assessed using the total genotype score procedure outlined previously 382 [5]. Briefly, each genotype for a gene is scored as 0, 1, or 2, with the most optimal 383 genotype for endurance scored as 2. For most of the markers, the scoring system by Ruiz 384 et al. assumed an additive effect of an advantageous allele, with homozygotes of the non-385 optimal allele assigned a score of 0 and heterozygotes with one copy of the optimal allele

386 assigned a score of 1. The only marker that did not fit this pattern was the HFE H63D 387 polymorphism, in which H/D heterozygotes were scored as 2 while the H/H homozygote 388 was scored as 0 and the D/D homozygote was scored as 1. This was due to the prior 389 finding that heterozygotes are significantly overrepresented in endurance athletes versus 390 controls [5, 31]. Genotype scores for each gene are summed to a total, divided by the 391 maximum possible score (14 for 7 genes) and divided by 100 to yield a TGS for every 392 individual. The distribution of TGS was plotted in the overall cohort and in the 10% 393 fastest and 10% slowest race performers, and differences in TGS were analysed in these 394 groups by t-test analysis. PT was modeled using linear regression with stepwise forward 395 selection, to determine whether the TGS or any of the polymorphisms individually would 396 be a significant factor in performance time, adjusting for the demographic variables age, 397 sex, and continent of origin (shown to significantly influence performance time in our 398 cohort previously [12]). Due to the heterogeneity in clinical characteristics (e.g. age, sex), 399 lifestyle characteristics (e.g. smoking status), and fitness training characteristics (e.g. 400 estimated number of exercise hours per week), demographic, health, and exercise-related data obtained from questionnaires (described previously in Grealy et al., 2013) were also 401 402 examined for association with PT.

403

404 Receiver operating characteristic (ROC) area under the curve (AUC) analyses 405 were conducted to determine whether models with demographic and genetic variables 406 could predict: (1) whether athlete performance time would be less than the median time; 407 (2) whether athlete performance time would be less than the mean time; and (3) whether 408 athletes would fall into the top 10% of performance times. Models included TGS only, 409 demographic variables only, TGS and demographic variables, individual genes and 410 demographic variables. The ROC curve is defined as a plot of test sensitivity or true 411 positive rate (TPR) as the y coordinate versus its specificity or false positive rate (FPR) 412 as the x coordinate. It is an effective method to evaluate the quality or the performance of 413 an diagnostic test [40]. The clinical performance of a laboratory test can be described in 414 terms of diagnostic accuracy, or the ability to correctly classify subjects into clinically 415 relevant sub-groups [41]. The most common way to quantify the diagnostic accuracy of a 416 laboratory test is to measure the area under the ROC plot or AUC. The AUC value range 417 between 1.0 (perfect separation of the test values of the two groups) and 0.5 (no apparent 418 distributional difference between the two groups of test values) [40, 41]. All statistical 419 analyses were conducted using the SPSS software (IBM SPSS v. 20.0 for Windows; IBM 420 Corporation, Somers, NY) with an α level of 0.05.

421

422 **Results**

423 Genotyping success rate ranged from 99-100% for all markers except HFE 424 (97.4% of samples successfully genotyped). The genotype distributions for all markers 425 was found to conform with Hardy-Weinberg Equilibrium (HWE) in the overall cohort 426 and in the subgroups of the 10% fastest and 10% slowest race performers (p > 0.05) for 427 all groups and markers; see Supporting Information Table S2. Genotype frequencies for 428 all Ironman athletes are shown in Table 1; these concorded well with reference 429 frequencies derived from the HapMap CEU population (Utah residents with ancestry 430 from Northern and Western Europe) [42] and were not significantly different for any 431 marker except ACE rs4340. No data was available for ACE rs4340 in HapMap CEU 432 population; data shown in Table 1 is drawn from Keavney et al. 2000, which is a UK 433 study involving 5934 Caucasian myocardial infarction controls [43]. The Ironman cohort had a significantly higher frequency of the D/D genotype compared to this study 434 (Ironman 42.3% D/D compared to 27.6%; $\chi^2 p = 1.68 \times 10^{-6}$). Genotype distribution was 435

436 not significantly different in males and females, athletes from different continents, or 437 athletes of different ages (see Supporting Information Table S3, Table S4, and Table S5); 438 thus further analyses were undertaken without stratification by these groups. Genotype 439 frequencies in the 10% fastest and 10% slowest race performers are also shown in Table 440 1 and Figure 1; these were not significantly different for any marker, though this is most 441 likely due to a lack of power as n = 17 for each group. There were non-significant trends 442 observed in genotype distribution in top and bottom performers (see Supporting 443 Information Figure S1), particularly ACE, with a higher frequency of the I/I genotype in 444 the top 10% performers (17.6% compared to 0.0%); for AMPD1, with a higher frequency 445 of the Q/Q genotype in the top 10% performers (88.2% compared to 70.6%); and for 446 CKMM, with a lower frequency of the G/G genotype in the top 10% performers (0.0% 447 compared to 17.6%).

					Genotype frequ	iency, n	(%)			Genotype frequency, n (%)		7, n (%)	
Gene	rsID	Marker ^a	Genotype	Haj	НарМар СЕU		ll athletes	χ ² p	Тор 10%		Bottom 10%		Exact p ^c
ACE	rs4340	D/I	D/D	1637 ^b	(27.6%)	83	(42.3%)	1.68 x10 ⁻⁶	5	(29.4%)	7	(41.2%)	0.278
			I/D	2980 ^b	(50.2%)	92	(46.9%)		9	(52.9%)	10	(58.8%)	
			I/I	1317 ^b	(22.2%)	21	(10.7%)		3	(17.6%)	0	(0.0%)	
ACTN3	rs1815739	R577X	R/R	22	(19.5%)	52	(26.5%)	0.29	5	(29.4%)	5	(29.4%)	1.000
			R/X	66	(58.4%)	98	(50.0%)		7	(41.2%)	8	(47.1%)	
			X/X	25	(22.1%)	46	(23.5%)		5	(29.4%)	4	(23.5%)	
AMPD1	rs17602729	Q12X	Q/Q	86	(76.1%)	149	(76.4%)	0.54°	15	(88.2%)	12	(70.6%)	0.398
			Q/X	24	(21.2%)	44	(22.6%)		2	(11.8%)	4	(23.5%)	
			X/X	3	(2.7%)	2	(1.0%)		0	(0%)	1	(5.9%)	
СКММ	rs8111989	3' UTR NcoI RFLP	A/A	58	(51.3%)	93	(47.4%)	0.32	9	(52.9%)	10	(58.8%)	0.156
			A/G	49	(43.4%)	83	(42.3%)		8	(47.1%)	4	(23.5%)	
			G/G	6	(5.3%)	20	(10.2%)		0	(0.0%)	3	(17.6%)	
GDF8	rs1805086	K153R	K/K	58	(96.7%)	186	(95.4%)	1.00 ^c	17	(100.0%)	16	(94.1%)	1.000
			K/R	2	(3.3%)	9	(4.6%)		0	(0.0%)	1	(5.9%)	
			R/R	0	(0.0%)	0	(0.0%)		0	(0.0%)	0	(0.0%)	
HFE	rs1799945	H63D	H/H	36	(64.3%)	138	(72.3%)	0.34 ^c	13	(76.5%)	12	(75.0%)	1.000
			H/D	20	(35.7%)	51	(26.7%)		4	(23.5%)	4	(25.0%)	
			D/D	0	(0.0%)	2	(1.0%)		0	(0.0%)	0	(0.0%)	
PPARGC1A	rs8192678	G482S	G/G	51	(45.1%)	74	(37.9%)	0.42	8	(47.1%)	7	(41.2%)	0.811
			G/S	45	(39.8%)	84	(43.1%)		7	(41.2%)	6	(35.3%)	
			S/S	17	(15.1%)	37	(19.0%)		2	(11.8%)	4	(23.5%)	

448 Table 1: Genotype frequency data in the Ironman athletes and the HapMap CEU reference population [42]

⁴⁴⁹ ^aNumber of successfully genotyped samples per marker: ACE = 196 (100%); ACTN3 = 196 (100%); AMPD1 = 195 (99.5%); CKMM = 196⁴⁵⁰ (100%); GDF8 = 195 (99.5%); HFE = 191 (97.4%); PPARGC1A = 195 (99.5%). ^bNo available data for ACE rs4340 in HapMap CEU ⁴⁵¹ population; data shown from Keavney *et al.* (2000) UK study involving 5934 Caucasian myocardial infarction controls [43]. ^cWhere a small ⁴⁵² number of observations prevented use of χ^2 , Fisher's exact test was used. 453 Figure 1: Distribution of genotypes in seven endurance related genes in the top and454 bottom 10% performers.

455

456 Mean performance time (PT) overall was 11 hr 44.4 min \pm 1 hr 51.4 min; the 457 fastest finishing time was 9 hr 5.3 min, while the slowest finishing time was 16 hr 55.2 458 min. Mean PTs and ANOVA comparisons for each genotype group are shown in Table 2. 459 For each of the genes, the fastest PT was for: ACE I/I genotype (685 min); ACTN3 R/R 460 genotype (697 min); AMPD1 Q/Q genotype (704 min); CKMM A/G (695 min); GDF8 461 K/R genotype (694 min); HFE D/D genotype (697 min); and PPARGC1A G/S genotype 462 (704 min). For ACE and AMPD1, the fastest PT corresponded with the 'optimal' 463 genotype for endurance. For CKMM, GDF8, PPARGC1A and HFE, the less optimal 464 genotype had the fastest PT. Interestingly, for ACTN3, the fastest PT corresponded with 465 the genotype optimally associated with speed/power (the R/R genotype), not endurance. 466 For AMPD1, a trend of increasing mean PT for decreasing number of optimal alleles was 467 observed; however, mean PT did not significantly differ between genotype groups for any of the individual polymorphisms in this cohort (p > 0.1). 468

Gene	rsID	Genotype	n	Mean PT	(SE PT)	F	р	Levene p
ACE	rs4340	D/D	75	704.6	(12.4)	0.655	0.521	0.304
		I/D	81	716.9	(13.2)			
		I/I	17	684.9	(23.1)			
ACTN3	rs1815739	R/R	45	696.7	(16.4)	0.509	0.602	0.789
		R/X	85	716.7	(12.1)			
		X/X	43	704.2	(17.2)			
AMPD1	rs17602729	Q/Q	132	704.4	(9.5)	1.805	0.168	0.240
		Q/X	38	716.9	(18.5)			
		X/X	2	849.4	(166.4)			
СКММ	rs8111989	A/A	83	717.3	(13.2)	0.954	0.387	0.144
		A/G	73	694.8	(11.2)			
		G/G	17	723.0	(31.8)			
GDF8	rs1805086	K/K	164	709.6	(8.8)	0.148	0.701	0.262
		K/R	8	694.0	(32.7)			
		R/R	0	-	-			
HFE	rs1799945	H/H	119	706.4	(10.3)	0.093	0.911	0.573
		H/D	47	714.2	(15.7)			
		D/D	2	697.2	(50.8)			
PPARGC1A	rs8192678	G/G	67	711.9	(14.2)	0.126	0.882	0.319
		G/S	72	703.9	(12.4)			
		S/S	33	713.6	(20.7)			

470 **Table 2: Mean performance time (PT) in minutes within genotype groups**

471

472 Though these markers were not shown to be associated with being in the top 10% 473 or significantly influence mean performance time individually, the combined effect of 474 multiple optimal alleles was determined by calculating the TGS as per Ruiz et al. (2009), 475 which is a percentage of optimal alleles obtained across all seven markers. In the total 476 cohort of Ironman athletes, the mean \pm SD of the TGS was 60.75 \pm 12.95 (Fig. 2). The 477 TGS ranged from a minimum score of 28.6 to 92.9, with only two athletes having both 478 the lowest and highest scores, and the distribution was both symmetrical (skewness 479 statistic \pm SE: -0.003 \pm 0.18) and mesokurtic (kurtosis statistic \pm SE: -0.230 \pm 0.35). In

480	the top and bottom 10% performers (Fig. 3), the mean \pm SD of the TGS was 65.1 \pm 13.09
481	and 58.9 \pm 11.81, respectively (n=17 for top 10%; n=16 for bottom 10%). The TGS
482	distribution was also symmetrical and mesokurtic in both the top 10% (skewness statistic
483	\pm SE: -0.610 \pm 0.55; kurtosis statistic \pm SE: -0.734 $\pm 1.06)$ and bottom 10% (skewness
484	statistic \pm SE: -0.354 \pm 0.56; kurtosis statistic \pm SE: -0.354 \pm 1.09). The distribution in
485	the top 10% was shifted to the right (towards higher TGS) compared to the bottom 10%.
486	This difference was more clearly observed when TGS distribution was grouped into 10-
487	unit intervals (Fig. 4). Though mean TGS was smaller by ~6.2 units in the bottom
488	performers compared with the top performers (or approximately one optimal allele fewer
489	on average), this was not shown to be significant by t-test analysis $(t = 1.425, df = 31, p)$
490	= 0.164).
491	

492 Figure 2. Frequency distribution of total genotype score (TGS) in overall Ironman
493 cohort.

494

495 Figure 3. Frequency distribution of total genotype score (TGS) in top and bottom
496 10%.

497

Figure 4. Frequency distribution of total genotype score (TGS) binned by 10-unit
intervals.

500

501 Performance time (PT) modelling using linear regression showed that clinical 502 characteristics such as being a twin (n = 1), being a smoker (n = 1), and presence of a 503 known disorder (n = 18) were not significantly associated with changes in PT. 504 Occupational activity level and preferred exercise type were also shown to not

505 significantly influence PT. There was a significant trend of decreasing mean PT with 506 increasing estimated weekly exercise hours, with mean PT \pm SD of 761 \pm 126 min for 507 athletes exercising at least 3-8 hrs per week, 701 ± 109 min for weekly exercise at least 8-12 hrs, and 682 ± 89 min for athletes exercising more than 12 hrs per week (F = 4.6, p = 508 509 0.011). However, this effect was not significant when weekly exercise hours was 510 included in the PT regression model with other variables ($\beta = -47.7$, p = 0.224). Only the demographic variables of age ($\beta = 4.6$, p = 7.782 x 10⁻¹²), sex ($\beta = 76.9$, p = 2.585 x 10⁻⁶), 511 512 and continent of origin ($\beta = -20.4$, p = 0.008) were statistically significant, accounting for 513 most of the variance in performance time (35.1%). Regression models of individual 514 markers followed an additive genetic model adjusted for age, sex, and continent of origin; 515 shown in Table 3. Only the AMPD1 marker was significantly associated with PT (model $p = 5.79 \times 10^{-17}$, AMPD1 genotype p = 0.01). Each AMPD1 null allele (non-optimal for 516 517 endurance) resulted in an increase of about 39 minutes in PT, with X/X genotypes having an average increase of 78 min in PT compared to Q/X genotypes. The model accounted 518 519 for 37.3% of the variance in PT, which was a significant improvement (F change = 6.99, 520 p = 0.009) on the next best model of age, sex, and continent of origin alone (which 521 accounted for 36.8% of the variance in performance). The regression model for total 522 genotype score (Table 3) showed that TGS was not significantly associated with PT even 523 when adjusted for age, sex, and continent of origin. The model with TGS accounted for 524 only 34.4% of the variance in PT, which was not an improvement compared to a model 525 with age, sex, and continent of origin alone (35.1%) or with the model of age, sex, and 526 continent of origin with AMPD1 genotype (37.3%).

528 Table 3: Regression models for performance time (adjusted for age, sex, continent)

	Gene	Ν	Model R	Adjusted R ²	Model F	Model p	Gene ß	Gene p
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ACE	173	0.603	0.348	23.97	1.07 x 10 ⁻¹⁵	-5.86	0.581
ACTN3	173	0.602	0.347	23.88	1.19 x 10 ⁻¹⁵	2.89	0.765
AMPD1	172	0.622	0.373	26.38	5.79 x 10 ⁻¹⁷	38.71	0.010
СКММ	173	0.607	0.353	24.46	5.82 x 10 ⁻¹⁶	-13.04	0.215
GDF8	172	0.605	0.351	24.12	9.24 x 10 ⁻¹⁶	-5.47	0.867
HFE	168	0.600	0.345	22.96	4.65 x 10 ⁻¹⁵	-13.45	0.353
PPARGC1A	172	0.605	0.351	24.11	9.35 x 10 ⁻¹⁶	0.64	0.946
TGS	168	0.600	0.344	22.86	5.22 x 10 ⁻¹⁵	-0.42	0.428

529

Furthermore, ROC AUC analysis determined that TGS alone could not 530 531 significantly predict whether an athlete would finish in (a) less than the median PT of 532 681.33 min (AUC = 0.52, p = 0.674); (b) less than the mean PT of 708.39 min (AUC = 533 0.48, p = 0.626); or (c) the top 10% fastest PT i.e. less than 593.7 min (AUC = 0.61, p =0.132). However, models with the demographic variables of age, sex, and continent of 534 535 origin only, demographic variables and TGS, and demographic variables and AMPD1 536 genotype were all found to significantly predict athlete finishing time for all three 537 outcomes (less than median PT, less than mean PT, or in the top 10%). ROC AUC graphs 538 for all analyses are shown in Fig. 5. The model with age, sex, continent and AMPD1 539 genotype was found to be the most significant for predicting whether athletes would finish in less time than both the mean and median (Median AUC = 0.82, p = 8.92×10^{-13} , 540 95%CI = 0.75 to 0.88; Mean AUC = 0.81, p = 4.72 x 10⁻¹², 95\%CI = 0.75 to 0.87), while 541 542 the model with age, sex, continent and TGS was the most significant model for predicting whether athletes would finish in the top 10% (AUC = 0.91, p = 3.50×10^{-8} , 95%CI = 0.86543 to 0.96). However, the model with age, sex, continent, and AMPD1 genotype had similar 544 545 though slightly less significant results (AUC = 0.90, $p = 4.93 \times 10^{-8}$, 95%CI = 0.85 to 0.96). Of all the ROC AUC analyses (Fig. 5), the models for predicting top 10% finishers 546 547 had the highest discrimination of performance in terms of sensitivity and specificity. The

548 point where sensitivity was maximized (sensitivity = 1.000) while minimizing the false 549 positive rate and thus maximizing specificity (specificity = 0.742) corresponded to a model value of 672.28. Using the model equation $PT = (4.65 \cdot age) + (79.90 \cdot sex) + (-10.00)$ 550 $21.36 \cdot continent$) + (-0.42 • TGS) + 552.6, this would indicate that a North American 551 552 male aged 35 yrs old would need a TGS of 51 or more in order to obtain the identified 553 criteria cutoff of 672.28; however, a trade-off among the variables means that a lower 554 TGS in combination with optimal values for the demographic variables would be equally 555 likely to finish in the top 10%.

556

Figure 5. Receiver operating characteristic curves (ROC) determining potential for
PT prediction using four models.

559

560 **Discussion**

561 Overall, although expected genotype frequencies corresponded well with 562 expected Caucasian frequencies from HapMap, none of the individual polymorphisms 563 had significantly different genotype frequencies in the top and bottom 10% performers. This is perhaps due to power limitations, given that the top and bottom 10% of 564 565 performers consisted of only seventeen individuals in each group for this study. However, 566 none of the individual polymorphisms were found to significantly impact performance 567 time when unadjusted for confounding demographic variables. Interestingly, an age-, sexand continent of origin-adjusted analysis of AMPD1 Gln12Ter genotype showed a 568 569 significant result, with the endurance-optimal Gln allele decreasing mean performance 570 time.

572 As previously reported [12], age, sex, and continent of origin were extremely 573 significant predictors of performance time and were included in all models to control for 574 confounding effects. This is an extremely important additional step in any genetic 575 analysis of endurance due to the heterogeneity of athletes performing at elite levels. Some 576 studies have avoided the main confounders of ethnicity and sex by analysing subgroups 577 (such as males) only [5]. This approach is useful for eliminating confounders but necessarily decreases the available pool of athletes for study and may result in lack of 578 579 power. Additionally, age is rarely adjusted for in endurance case-control studies, which 580 may be an important oversight given that age was the most highly significant variable in 581 our analyses. This is even more important when the range of age of study participants can 582 vary (as in analyses of professional athletes). Additionally, restricting analysis by ethnic 583 group may not remove all of the confounding present in country or continent of origin; 584 we found a significant effect for continent of origin. This is unlikely to be due to 585 confounding from continent-specific genetic effects as only small sample sizes were 586 obtained from South America, Africa, and Asia, and may instead reflect continentspecific socio-economic factors relating to training availability or training type. 587

588

589 Indeed, training variables are an additional important factor to account for in such 590 studies, as different training types and durations can have hugely significant impacts on 591 athlete capabilities. In this study, fitness training characteristics were determined only 592 through estimated weekly exercise hours (determined by exercise frequency and duration 593 questions). However, this data alone cannot meaningfully inform the effect of athlete 594 training on performance, as even low volume exercise may potently increase athlete 595 endurance performance for certain training types, such as high-intensity interval training 596 (HIT). For instance, muscle mitochondrial capacity, resting muscle glycogen, and 597 GLUT4 protein content were all found to be improved significantly by HIT in a 2010 598 study, despite the fact that the training was merely six training sessions of 8-12 x 60 599 second intervals (with interspersed 75-second recovery periods)[44]. Furthermore, this 600 study showed significant decreases in time to complete 50kJ and 750kJ cycling time trials 601 with significant increases in mean power output also[44]. The benefits of HIT have even 602 been observed for sedentary and middle-aged individuals, which obtains the health 603 advantages of traditional endurance training with only a small time commitment[45]. 604 Thus, explicit recording of training type, as well as training volume, are vitally important 605 for future analyses of endurance performance.

606

607 These findings highlight the importance of including potentially confounding 608 environmental factors in genetic analyses of athletic performance. This should not be 609 surprising, given that while endurance endophenotypes have been shown to have high heritabilities ($h^2 = 40-60\%$) and while athletic status itself has also been reported to be 610 611 highly heritable ($h^2 > 50\%$) [4], non-genetic environmental factors must still contribute at 612 least half of the variance in endurance phenotype. This can be due to both shared 613 environment (such as the training provided to national-level athletes for a specific 614 country) and non-shared environment (individual efforts in training sessions, frequency 615 and duration of training sessions, etc.). As genetic analyses show that each allele must 616 contribute relatively small amounts of variance to the overall phenotype compared with 617 environmental factors [46], these types of variables should be consistently accounted for 618 in order to prevent masking of significant genetic effects, such as we observed for AMPD1 Gln12Ter. 619

621 Another method of preventing polymorphisms with individual small effect from 622 escaping statistical detection is to analyse their joint effects using the TGS system. This 623 has been used to successfully show a significant difference in genetic profile 624 'favourability' between endurance athletes versus non-athlete controls for the seven-gene 625 endurance profile [5] or a ten-gene endurance profile [4], endurance athletes and non-626 athlete controls versus power athletes for a six-gene power profile [6], and endurance athletes versus power athletes and non-athlete control for a six-gene mitochondrial 627 628 biogenesis endurance profile [10]. However, although the TGS distribution for our 629 Ironman athletes (mean 60.75 \pm std. dev. 12.95) was comparable to the distribution of 630 TGS of Spanish non-athletic controls described in Ruiz et al. 2009 (mean $62.43 \pm \text{std.}$ 631 dev. 11.45), the TGS distribution in the Ironman athletes was overall lower than for 632 Spanish endurance athletes (mean 70.22 \pm std. dev. 15.58). Similar to the reported results 633 in Spanish endurance athletes by Ruiz et al. 2009, we observed multiple 'peaks' in the 634 distribution of the endurance athletes. The first peak was observed at a TGS ~43 and was 635 common to both top and bottom performers; the second peak was observed at a TGS of 636 \sim 57 for the bottom 10% but \sim 64 for the top 10%; a possible third peak was observed for top 10% performers at TGS of ~79. The difference in frequency of higher TGS for top 637 638 performers compared with lower TGS for bottom performers was more clearly observed 639 when TGS distribution was grouped into 10-unit intervals. This might suggest that there 640 groupings of optimal alleles, perhaps, the likelihood of an optimal allele for one marker 641 increases the likelihood of having other optimal alleles (and vice versa). Thus far, this 642 possibility has not been explored in relation to the TGS, as what all the currently existing 643 TGS models have in common is that they represent the proportion or percentage of 644 'optimal' alleles for a particular phenotype, and assumes an additive genetic model of allele favourability for all polymorphism except HFE (where the heterozygote is 645

646 considered 'most optimal'). Furthermore, the TGS follows a simple additive model of 647 athletic advantage between different polymorphisms, which may not be the case if gene-648 gene and gene-environment interactions result in non-additive advantages for certain 649 allele combinations. Several papers have already reported gene-gene interactions for 650 small combinations of genes [4, 47, 48]; of particular interest is that performance time of 651 South African Ironman triathletes was significantly influenced by the interaction of the 652 NOS3 and BDKRB2 genes (individuals with the NOS3 GG genotype + BDKRB2 19 allele 653 were significantly slower than other combinations) [48]. More sophisticated TGS models 654 taking such interactions into account may be necessary to accurately model genetic 655 advantages for performance; however it is also clear that currently information on gene-656 gene interactions and gene-environment interactions for these genes are lacking [46]. It is 657 also important to realise that any TGS model which accounts for gene-gene or gene-658 environment will become additionally complex. The power to perform such analyses may also be lacking, given that sample size has typically been an issue for elite performance 659 660 studies [46, 49].

661

662 These reasons may also partly explain why TGS was not significantly associated 663 with PT in our cohort even when adjusted for age, sex, and origin and that ROC AUC 664 analysis determined that TGS alone could not significantly predict whether an athlete 665 would finish in less than the median or mean or the top 10% fastest PT. Alternatively, the 666 TGS profile for 'optimal endurance' may not be an appropriate profile for examining 667 event performance as an outcome, even an endurance event. Additionally, even differing types of endurance events may show different levels of association with 'endurance' 668 669 genes; while acknowledged as one of the most gruelling endurance events in the world, 670 the Ironman championships require a blend of cycling, running, and swimming skills,

which makes them more of a complex phenotype than single-sport endurance events such as running. Triathlons may thus require different set of 'optimal alleles', emphasising not only endurance-associated genes but perhaps power-associated as well. "Success" in any kind of endurance event relies, in addition to endurance capabilities, on speed and strength to outperform competitors.

676

Thus, in the TGS profile we employed, the ACTN3 Arg577Ter null allele (X) was 677 678 coded as the 'optimal' endurance allele and the X/X genotype was given a genotype score 679 of 2, the R/X genotype given a score of 1, and the R/R genotype given a score of 0. 680 However, the R allele is highly associated with speed and power [6], and the presence of 681 an R allele may give an endurance event competitor an edge over an athlete with 682 homozygous X/X genotype. In fact, Ruiz et al.'s 2010 speed/power profile showed three 683 common polymorphisms to the endurance profile (ACE Ins/Del, ACTN3 Arg577Ter, and 684 GDF8 Lys153Arg), albeit with inverse allele coding [6]. Thus, 3 out of the 14 685 polymorphisms used in our TGS calculation may in fact be more suitable with the power 686 allele coded as the 'optimal' allele. An alternative profile for performance time may need 687 to be investigated in order to determine a model that will predict athlete finishing time 688 with discriminating sensitivity and specificity. Such as model may be useful in assisting 689 with athletic training as well as helping athletes understand what factors underlie their 690 performance, by allowing athletes to pinpoint factors to work on in order to improve 691 performance time, as well as personalize their training to their optimal genetic profile. 692 Before this can be done, however, more sophisticated genetic models should be 693 investigated to ensure that the additive model is not masking gene-gene or gene-694 environment interactions; non-genetic factors such as training methods and duration 695 should be recorded and included in future genetic analyses to prevent confounding; and 696 large collaborations should be undertaken to obtain sufficient sample sizes for powerful697 and complex analyses of endurance performance.

698

699 Acknowledgements

We would like to sincerely thank all the Ironman triathletes involved for theirparticipation in this research study.

702

703 Funding

This work was supported by an Australian International Science Linkages grant and by
infrastructure purchased with Australian Government EIF Super Science Funds as part of
the Therapeutic Innovation Australia - Queensland Node project with equipment and
technical support staff from the National Collaborative Research Infrastructure Strategy
(NCRIS) government funding.

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868 Supporting Information

- 869 Table S1. Primer and assay information.
- 870 Table S2. χ2 testing for conformation to Hardy-Weinberg Equilibrium (HWE).
- Table S3. χ 2 testing of genotype frequencies within age, sex, and ethnicity groups.
- Table S4. Genotype distribution within male and female cohort athletes.
- Table S5. Age distribution within genotype groups.
- Figure S1. 95% Confidence interval of mean performance time by individual marker.