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Conway, Aaron, Douglas, Clint, & Sutherland, Joanna (2016) A systematic review of capnography for sedation. *Anaesthesia*. (In Press)

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http://doi.org/10.1111/anae.13378

### A systematic review of capnography for sedation

A. Conway<sup>1</sup>, C. Douglas<sup>2</sup> and J. R. Sutherland<sup>3</sup>

1 Research Fellow, Queensland University Technology (QUT) Institute of Health & Biomedical Innovation,

2 Senior Lecturer, Queensland University Technology (QUT), School of Nursing, Brisbane, Australia

3 Consultant Anaesthetist and Adjunct Associate Professor, Coffs Harbour Health Campus, Rural Clinical School, University of New South Wales, Coffs Harbour, Australia

Correspondence to: Aaron Conway Institute of Health & Biomedical Innovation Queensland University Technology Kelvin Grove Campus, Kelvin Grove, Queensland 4059. Email: aaron.conway@qut.edu.au

Short title: Capnography for sedation

This is the peer reviewed version of the following article: Conway A, Douglas C & Sutherland J.R. A systematic review of capnography for sedation. *Anaesthesia* 2016, which has been published in final form at [DOI: 10.1111/anae.13378]. This article may be used for non-commercial purposes in accordance with Wiley Terms and Conditions for Self-Archiving.

## Summary

We included six trials with 2524 participants. Capnography reduced hypoxaemic episodes, relative risk (95% CI) 0.71 (0.56-0.91), but the quality of evidence was poor due to high risks of performance bias and detection bias and substantial statistical heterogeneity. The reduction in hypoxaemic episodes was statistically homogeneous in the subgroup of three trials of 1823 adults sedated for colonoscopy, relative risk (95% CI) 0.59 (0.48-0.73), although the risks of performance and detection biases were high. There was no evidence that capnography affected other outcomes, including assisted ventilation, relative risk (95% CI) 0.58 (0.26-1.27), p = 0.17.

## Keywords

Sedation, capnography

## Introduction

Monitoring of depressed spontaneous ventilation is recommended during sedation [1, 2]. Respiratory function is usually evaluated by assessing airway patency, the rate and depth of breathing and oxygen saturation [2].

Ventilation can also be monitored with capnography. Hypoventilation, due to airway obstruction or central respiratory depression, is likely to precede hypoxaemia in the sedated patient and can be detected by changes in the capnographic waveform [3]. Capnography could trigger earlier clinical intervention that prevents hypoxaemia. Fewer episodes of hypoxaemia would indicate that capnography might be safer than standard monitoring [4]. However, premature stimulation of the patient in response to hypoventilation may be counterproductive and result in inadequate sedation [5]. Furthermore, numerous clinically irrelevant physiological alarms can lead to 'alarm fatigue', which has been associated with deaths resulting from delayed responses to clinical deterioration [6, 7]

Capnography therefore should be evaluated rigorously to determine the harm and benefit it causes sedated patients [8]. Our primary objective of was to determine whether capnography reduces hypoxaemia in comparison with standard monitoring for sedated patients. Our secondary objective was to determine whether capnography affected clinical interventions.

#### Methods

We included parallel and cross-over randomised controlled trials of adults or children sedated for procedures in hospital, with vs without capnography, published in any language [9]. Our primary outcome was hypoxaemia, as defined by the study authors. The secondary outcomes were: increased supplemental oxygen; airway intervention; doses of sedatives and analgesics; sedative antagonism; the rate of incomplete procedures due to inadequate sedation; the rates of adverse events.

We searched to June 2015: CENTRAL; MEDLINE; CINAHL; ClinicalTrials.gov; and the World Health Organization International Clinical Trials Registry Platform (online Supplementary File). Two authors (AC and CD) independently screened titles, abstracts and full text articles, extracted data and assessed risks of bias using the Cochrane tool [10]. Disagreements were resolved through discussion. We categorised statistical heterogeneity as substantial if the I<sup>2</sup> statistic exceeded 50%, for which we planned subgroup trial analyses: adults vs children (ages for children were as defined by the study authors); sedation by anaesthetist vs not; routine vs ad hoc supplemental oxygen; propofol vs benzodiazepine vs benzodiazepine and opioid vs ketamine vs dexmedetomidine vs other; respiratory depression protocol vs none; and procedures that were similar in duration and invasiveness.

We calculated relative risks (95% CI) for dichotomous outcomes and mean differences (95% CI) for continuous outcomes. We used fixed-effect and random-effects models for meta-analysis with RevMan (computer program version 5.3. Copenhagen: The Nordic Cochrane Centre, The Cochrane Collaboration) [10] and standard GRADE evidence assessment of outcomes [11].

## Results

We included six trials with 2524 participants (Fig. 1 and Table 1) having: colonoscopies [12-14]; emergency department procedures [15, 16]; and gynaecological procedures [17]. Three trials implemented protocols to titrate sedation to capnography measurements [12,13,17]. Five trials used propofol to sedate adults [12-15, 17] and one study used midazolam or ketamine to sedate children [16]: all participants were ASA physical status < 4. The risks of performance and assessment biases were substantial due to lack of blinding (Fig. 2).

Capnography reduced hypoxaemia (Fig. 3). The pooled evidence from the six trials was of poor quality due to risks of bias and statistical heterogeneity. The pooled estimate from three trials of adults given supplemental oxygen during sedation with propofol for colonoscopy was homogeneous: capnography reduced hypoxaemia from 207/1000 to 120/1000. The rate of hypoxaemia was unaffected by capnography in the other three trials. There were no consistent effects of capnography on secondary outcomes: the relative risk (95% CI) for assisted ventilation was 0.58 (0.26-1.27), p = 0.17.

#### Discussion

Capnography reduced the rate of hypoxaemia during sedation but did not alter other outcomes. The effect appeared to be restricted to three similar trials that sedated participants during colonoscopy with propofol whilst supplying supplemental oxygen.

A previous meta-analysis of observational studies reported that respiratory depression was 18 times more likely to be detected with capnography than pulse oximetry alone [3]. We expected that capnography would cause changes in clinical management, such as oxygen flow and airway interventions. It is unclear how capnography caused less hypoxaemia as we did not identify any differences in the clinical management of participants enrolled in these trials.

The end tidal capnographic concentration may be different to the arterial partial pressure of carbon dioxide, the levels of which are inversely related to arterial oxygen. Transcutaneous carbon dioxide monitoring detects arterial hypercarbia more accurately than end-tidal carbon dioxide during sedation and might supplement capnography in future trials [19]. Future research might confirm whether or not a benefit of capnography applies more generally to sedated patients and what mechanisms mediate any effects. Such research should precede recommendations that capnography becomes mandatory for sedated patients. The Academy of Medical Royal Colleges Standard and Guidance report on Safe Sedation Practice for Healthcare Procedures noted that capnography is not mandatory but recommended that capnography be implemented in the long term [18].

Pooled data from three trials that reported severe respiratory depression were underpowered to detect a reduction from the control rate of 16/987 [12-14]. We excluded two trials relevant to this issue because the control group also used capnography: an independent observer signalled clinicians if alveolar hypoventilation was detected by capnography at different time intervals in both intervention and control groups [20, 21]. Both of these trials identified statistically significant reductions in oxygen desaturation. The trials we included that did report an effect of capnography did not all use explicit protocols to determine what actions should be taken in response to respiratory depression.

In our protocol, we defined hypoxaemia as an arterial partial pressure of oxygen < 60 mmHg or S<sub>P</sub>O<sub>2</sub> < 90%, which is different to the definitions used by the trials we subsequently included in our systematic review. Nevertheless, we pooled data as we assumed that the definition of hypoxaemia used by the authors of each trial was appropriate for the context in which the study was performed. There were insufficient trials to construct funnel plots and to

investigate small study effects, including publication bias. We tried to minimise biased inclusion of published studies by searching multiple databases and registries [23].

The evidence for an effect of capnography was limited to adults sedated with propofol: we do not know whether these results would be replicated for children or patients sedated with other drugs, such as benzodiazepines and opioids, which are being investigated in one ongoing trial [22]. Further research should also determine whether capnography reduces hypoxaemia in sedated patients receiving supplemental oxygen flow in excess of three litres, which, in the authors' experience, is typical for sedated patients who can tolerate an oxygen mask. Researchers should concentrate on blinding interventions to limit bias and increase confidence in the effects of capnography for sedated patients.

#### Acknowledgements

No external funding and no competing interests declared

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Comparison Study Number **Population** Interventions Outcomes Adult endoscopy; nurse-administered Nurse-monitored  $ETCO_2 > 7$ propofol; 2-3 l.min<sup>-1</sup>  $O_2$  $S_PO_2 < 92\%$ ; oral or nasal kPa or < 2 kPa or loss of Slagelse et 540 Excluded: OSA; propofol allergy; BMI > 35 airway: abandoned procedure; No capnography al. 2013 [12] capnographic curve for > one kg.m<sup>-2</sup>; Mallampati 4; acute bleeding; ileus; assisted ventilation min, breathing < 8.min<sup>-1</sup> gastric retention;  $FEV_1 < 30\%$  $S_PO_2 < 90\%$ ; changed  $O_2$  flow; Independently observed for: Independently observed, Beitz et al. Adult colonoscopy; nurse-administered propofol; 757 no  $CO_2$  or  $ETCO_2 < 50\%$ propofol dose; assisted  $2 \text{ l.min}^{-1} \text{ O}_2$ 2012 [13] no capnography ventilation baseline  $S_PO_2 < 90\%$  for > 10 s: Friedrich-Adult colonoscopy and gastroscopy; propofol Acoustic and visual alarm for changed O<sub>2</sub> flow; propofol administered by nurse or anaesthetist or other Rust et al. 533 No capnography no  $CO_2 > 10 s$ dose: intubation: death: doctor:  $2 \text{ l.min}^{-1} \text{ O}_2$ 2013 [14] disability; cardiac arrest Adult emergency department procedures; propofol; 3 l.min<sup>-1</sup>  $O_2$  $S_PO_2 < 93\%$  for > 15 s; Clinician-monitored Clinician-monitored, no Deitch et al. 150 Excluded: COPD; O<sub>2</sub> supplementation; propofol dose; clinical 2009 [15] capnography capnography respiratory distress; haemodynamic intervention instability; pregnancy; propofol allergy Children 1-20 years emergency department procedures; midazolam and ketamine  $S_PO_2 < 95\%$ ; clinical Langhan et Excluded: intubated; O<sub>2</sub> supplementation; Alarms for  $ETCO_2 < 30$ intervention; changed O<sub>2</sub> flow; 154 No capnography al. 2015 [16] asthma; diabetic ketoacidosis; dehydration; mmHg and > 50 mmHg. airway intervention; assisted trauma; crying for > 20% of the procedure; ventilation; sedation reversed intolerance of nasal cannulae  $S_PO_2 < 91\%$ ; changed  $O_2$  flow;  $ETCO_2 \ge 6.7$  kPa, no plateau Van Loon et Adult minor gynaecological procedures; nurse-427 or apnoea >10 s, breathing <No capnography propofol dose; abandoned al. 2014 [17] administered propofol  $9.\min^{-1}$  or > 30 .min<sup>-1</sup> procedure; airway intervention

Table 1 Characteristics of six trials of capnography during sedation

ETCO<sub>2</sub>, end tidal carbon dioxide partial pressure; S<sub>p</sub>O<sub>2</sub>, pulse oxyhaemoglobin saturation

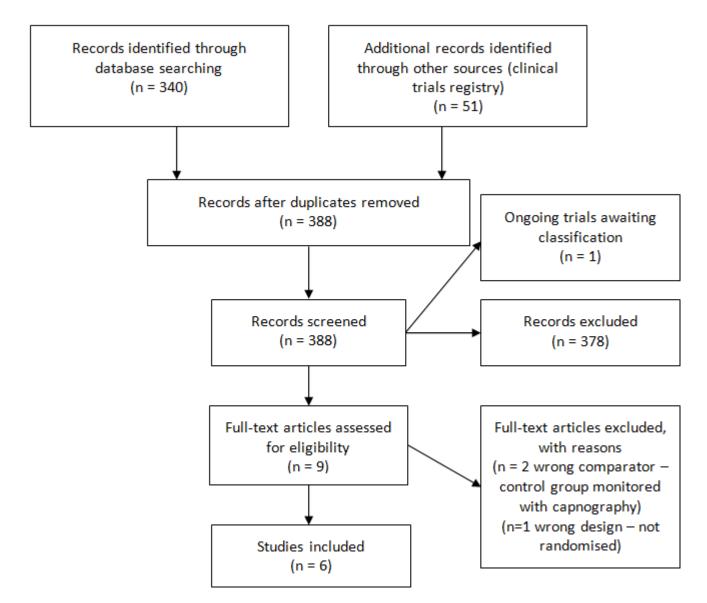


Figure 1 PRISMA flow diagram of trial selection

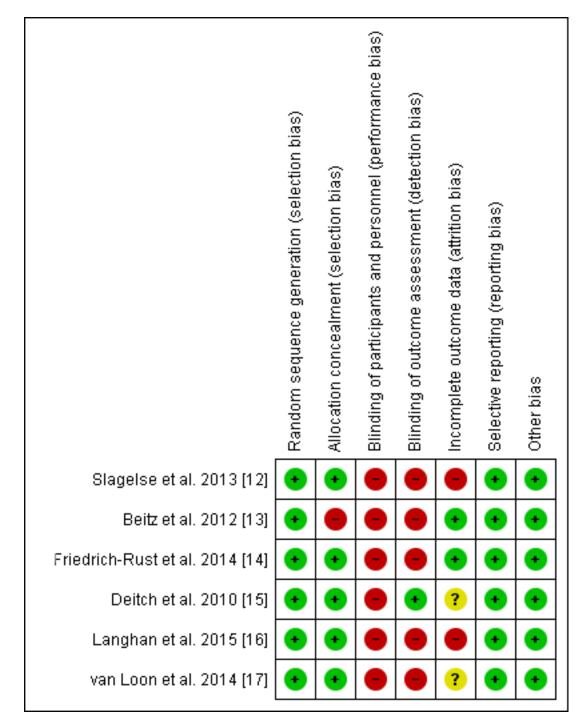


Figure 2 Risks of bias summary: low (green); unclear (yellow); and high (red)

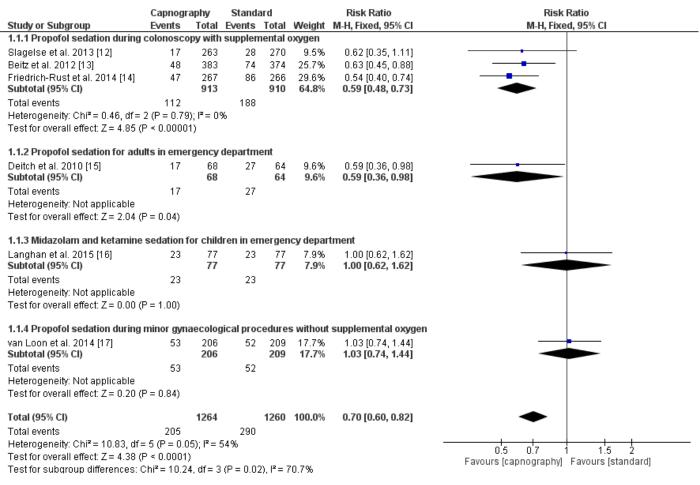


Figure 3 Forest plot of rates of hypoxaemia