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# Signals in the Soil: Subsurface Sensing

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# Chapter 8 Signals in the Soil: Subsurface Sensing

**Abstract** In this chapter, novel subsurface soil sensing approaches are presented for monitoring and real-time decision support system applications. The methods, materials and operational feasibility aspects of soil sensors are explored. The soil sensing techniques covered in this chapter includes aerial sensing, in-situ, proximal sensing, and remote sensing. The underlying mechanism used for sensing are also examined as well. The sensor selection and calibration techniques are described in detail. The chapter concludes with discussion of soil sensing challenges.

# 8.1 Introduction

Soil is a primary resource in agriculture. Soil fertility is ability of a soil to sustain the plant growth. Several physical (particle size, structure, water etc.), chemical (mineralogy, organic matter and acidity etc.) and biological properties (beneficial organism) are used by the scientist to describe soil fertility (see Table. 8.1). It is important to know these properties of soil fertility in order to optimize the plant production. However, complex nature of the soil makes it di cult to assess the soil fertility. Soil properties may vary on micro or macro level. Micro-variability is due to granularity of the soil. Macro-variability is due to the climate, parent material, time and how human treats the soil. [93]. Soil properties shows various spatial and temporal variations. Observing soil in different areas within the field or between multiple fields to study its spatial variations is known as soil survey. Studying the temporal variations refers to the soil monitoring [47, 49]

Traditional approach to investigate soil fertility involves manual collection of soil samples from the field and analyzing them in laboratories. This method specially applies to study the chemical properties and soil texture. However, manual sampling of soil is time intensive, requires a lot of labor work and highly expensive. Due to these drawbacks, studying spatial and temporal properties of soil, using traditional approach, does not make digital agriculture a viable farming method. The implementation

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Fig. 8.1: Organization of the Chapter

and widespread of digital agriculture rely on use of fast and cost-effective methods [52, 192].

Another approach to study soil properties is to use sensor-based technologies. Soil sensors collects the data for sensing chemical, biological and physical properties directly from the field. However, due to the complex nature of the soil, only few sensor systems have been successful for use in agriculture. Complex nature of soil makes it di cult to separate the sensor stimuli. The minerals components in the soil causes the mechanical stress and wear in the sensor. Soil absorbs or attenuates most of the EM spectrum due to which remote sensors receive signal from the surface of the soil only. Hence, the success of mapping soil through remote sensing is very limited. Sensing has always been a key technology in the farming industry. In earlier days, farmers used to evaluate the crop properties manually by assessing each plant individually. They used to estimate yield potential, identify stresses from specific symptoms, differentiate water deficiency from nutrient deficiency and identify diseases from insect infestation. Modern farmers are no different than their ancestors, however, scale of the crops is a major concern now-a-day. Farmers, in old days, used to manage small portion of the land, e.g., some fraction of the hectare, however, modern farmers may have to manage hundreds even thousands of hectares of fields. Large field size makes it impossible to manually analyze and manage them. Hence, farmers are becoming increasingly reliant on sensors in their day-to-day farming operations [47, 71].

In this section we are going to discuss different types of sensor technologies that can be used in monitoring of soil and crop conditions.

# 8.2 Current Challenges in Sensor Development

EM-based crop canopy sensors have high potential to improve N-fertilizer-management, however, some factors may influence its accuracy. These factors may include sensors' operating characteristics (wavelengths and VIs), seasonal variations, genotype effects and stresses [50, 174]. N-fertilizer management, using proximal crop canopy sensors, assumes that the effect of other stresses, e.g., water, insects, and nutrient, is either absent or equally present in reference and target area, thus, canceling the effect of each other. Even in the irrigated environments,

water stress can confound N management during the critical times of the growing season. Some VIs are found to be successful differentiating between N stress and water stress [32]. These indices include three wavebands in the equation (eq. 1) namely the Canopy Chlorophyll Content Index [60], the DATT index [24, 45]and the Meris Terrestrial Chlorophyll Index [40, 44]. However, these three indices are currently not available in any of the commercially available crop canopy sensor system.

Most of the research with proximal crop sensors is being done in N fertilizer management. However, there is a huge potential of application of proximal crop sensor in identifying other stresses. Few commercial systems are available which identify plant stresses other than the N stress. WeedSeeker Spary system [4] uses the same sensors as GreenSeeker system. It successfully finds the weed in the crop and apply herbicides on the go. WeedSeeker system successfully solves the weed infestation problem in cotton [53, 197]. [34, 188] studies machine vision-based weed detection and control systems using reflectance with the crop sensor for real-time weed control. Crop canopy sensor can also be used in disease and insect detection. High resolution and multispectral imagery is used to detect disease onset in wheat. [66] uses visible to red-edge bands (580-710 nm) to inspect powdery mildew infestation during growth season. Hyperspectral imaging is sued to detect damages in sugar beet due to nematodes and fungal pathogens [82]. In (Bravo et al., 2004), authors used multispectral fluorescence images for the detection of foliar diseases in winter wheat. Huanglongbing disease in citrus canopy is detected by using the ratio of yellow fluorescence to simple fluorescence.

Another trending current of area of research is the concept of Sensor fusion. Sensor fusion refers to using multiple proximal crop sensor over single sensor. Combining various sensing techniques can give more accurate measurements promoting the widespread adoption of sensor-based technologies from crop management [11, 33]. Veris Technologies combines multiple sensors for soil electrical conductivity (EC), pH level of the soil and soil organic matter to a single platform [25]. [47, 187] uses three different types of sensors: optical for canopy reflectance, thermal for temperature and ultrasonic distance sensor for height, to assess the N status in the crop. The authors in [213] combines four different sensors to study the herbicide dosage control. Sensor Fusion in [65, 108] documents the information about crop and straw yield and grain protein content for stress evaluation in harvesting wheat. [25, 103] uses the combination of active and passive sensors to map a cotton field. Sensor fusion are also used to study high throughput phenotyping. A sensor fusion system for field phenotyping in [31, 34] integrates a color camera, Light Detection and Ranging (LIDAR), time-of-flight cameras, light curtain imaging systems and a hyperspectral imaging sensors. The system could measure plant moisture, lodging biomass yield and tiller density. High throughput phenotyping platforms [17, 37, 71] uses multispectral active crop canopy sensors, LIDAR or ultrasonic sensors and thermal sensors. Crop Circle Phenom [3, 71] incorporates sensors for canopy temperature, humidity atmospheric pressure, air temperature and canopy reflectance wavelengths of 670, 730 and 780 nm.

## 8.2.1 Proximal Sensing

The term proximal indicates the close proximity of the sensors to the crops. They are deployed close to the crops; thus, different from remote sensors. Due to advancement in Unmanned Aerial Systems (UAS), which used to be a remote sensing technique, is now considered as a proximal sensing technique. We consider the proximal sensors as the sensors which determine and evaluate the crops' properties ranging from physical contact with canopy to a few meters above the canopy [48, 55].

Crop producers are interested in the properties which may influence yield and quality, and issues which can be detected and managed during crop producing seasons. Some of the properties that may interest a farmer includes biomass accumulation, water status, nutrient deficiency (particularly nitrogen), disease onset and insect infestation. Monitoring these properties is crucial during some specific periods of growing seasons. Remote sensing, e.g., aerial platforms, is easily affected by weather and clouds condition limiting their use. However, proximal sensing is not affected by these making it an appropriate choice during growing season [48, 55].

- Contact or in-situ sensors: Some sensors are either directly attached or placed among the plants. Sap flow sensors, attached to the stem of the plant, are used to estimate transpiration [32, 54, 193]. Ground-based sensors are used to measure Leaf Area Index (LAI) [27, 31]. However, measuring sap flow and LAI with static instrument is a time-consuming process. Crop-meter [53, 59] is a simple biomass sensor for the cereals which works on the pendulum principle. Crop-meter is mounted on vehicle driven through the crops. If crops' biomass is higher, crop-meter is highly deflected.
- **Ranging Sensors**: Range-finding or distance measurement is another approach to find biomass and height of the crop. [31, 58] measures the biomass, characteristics of the canopy and crop stand using acoustic and electromagnetic (EM) wave ranging. Geometric characteristics of the citrus trees can be quantified by laser scanner. Its is used for yield prediction, measurement of water consumption, health and long-term productivity monitoring of the crop [104]. Water stress in maize can be detected by integrating acoustic ranging sensors with multi-spectral and thermal sensors [45, 187].
- Electromagnetic (EM) Sensors: Most of the crop canopy sensors use EM spectrum. EM sensors can be classified as active-with internal energy source, or passive-using external emitted energy source. The four regions of interest in EM spectrum includes visible, near-infrared, mid-infrared and thermal infrared ranging from 400nm (visible) to 14000nm (thermal infrared) [20, 26]. The properties of the plants, e.g., plant pigment concentrations, cell structure, water content in canopy and leaves, are determined by reflection or transmission in these spectrum ranges. Reflectance does not give much information about plant stress. Vegetation Index (VI) allows to infer the plant stress and specific properties of plants by using the relationship between or reflectance in multiple spectral

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regions. Among 150+ VIs, the most famous VI is Normalized Difference VI (NDVI) [65, 139]. NDVI is calculated using following equation:

$$NDVI = \frac{(NIR - RED)}{(NIR + RED)}$$
(8.1)

Chlorophyll meter, frequently used instrument in the plant research, is used to measure the chlorophyll content in a plant. It is based on the reflectance meter presented by [212]. Konica Minolta SPAD 502 Plus [118] is a commonly used and mostly available chlorophyll meter today. The meter is used in determining chlorophyll concentration [113] at 650 and 940nm of wavelengths. The performance of this meter has been studied [113] for determining the chlorophyll content and ability to detect N stress and, assuming the high correlation between chlorophyll and nitrogen content in a plant, consequently predict the demand for N fertilization [29, 33, 35, 180].

The Dualex Scientific [2, 37] is another handheld senor which measures the chlorophyll and polyphenol contents in ultraviolet, visible and NIR wavelengths. Polyphneols can be used to determine plant stress factors such as N availability [40, 174]. When used together, Dualex and SPAD meter are more sensitive in calculating the corn N status rather than being used alone. Force-A also manufactures the handheld sensors used to determining abiotic stresses in the plant especially in detecting disease [28, 34, 44, 176].

Chlorophyll meters are useful in estimating the properties of a given plant, however, it becomes laborious to measure the properties of a group of a plants or fields. It is a useful proximal sensing tool for a plot of small size. However, the labor challenges associated with the chlorophyll meter prevents it to be used by the farmers for N-fertilizer management.

• Mobile EM Sensors: Yara N-Sensor [6, 33] is a passive spectrometer system that can be mounted on a tractor. It consists of two spectrometers which are used to scan crop canopy and real-time correction of the reflected signal in a wavelength selected between 450nm and 900nm [42, 174, 225]. NDVI and various other VIs are calculated through reflectance. The system then adjusts the application rate of N fertilizers for scanned region in real-time. N-Sensor is majorly used for spatial N management of wheat [28], however, its applications are also found in corn [39, 204] and potato [38, 225].

Passive sensors face the challenges of clouds, angle of the sun and time of the day. Active sensors have been developed to address the challenges of passive sensors. Active sensors, as discussed earlier, uses internal light source[43, 191]. Optical sensors, a type of proximal active sensors, uses radiometric principle. (Holland et al., 2012) discusses how this principle, especially inverse square law of optics, effects the functionality and use of the sensor. [36, 133] showed that, as compared to the traditional methods, sensor-based N application methods can increase the Nitrogen Use e ciency (NUE) by 15%. This system was developed by Oklahoma State University and was named GreenSeeker. GreenSeeker system [4, 51] is an active crop canopy sensor system commercially available for the N-fertilizer management. It uses an in-field reference for the calibration of the

sensor for specific field conditions. It measures reflectance at the wavebands of 671  $\pm$ 6 and 780  $\pm$ 6 nm. The system generates the Response Index (RI) by dividing the in-field reference NDVI by targets' NDVI. RI and in-season estimate of yield (INSEY- Lukina et al., 2007) are used in various crop and locale specific algorithms to generate the real-time fertilizer N rate. This system can be used for many crops, however, majorly used for corn [8, 52] and winter wheat [30, 198]. Handheld version of this sensor has also been developed by Trimble for the exploration purposes. It comes with an integrated power supply data logger and GPS.

Crop circle suite of sensors, initially developed by Holland Scientific as ACS-210, is set of active crop canopy sensor. ACS-210 is two-band active sensor which measures reflectance at  $590 \pm 5.5$  and  $880 \pm 10$  nm. It is not used commercially anymore and is replaced by various other three-band sensors such as ACS-430 and ACS-470. They also have handheld sensor, RapidScan CS-45, equipped with a data logger, power supply and a GPS. It has the optics similar to ACS-430.

The set of sensors described above are primarily used for the research purposes. Holland Scientific has integrated the technology into OptRx® crop sensor system [35, 194] for the commercial use. OptRX uses the ACS-430 and measures the reflectance at wavebands of 670, 730 and 780 nm. OptRX, like Green Seeker system, uses in-field calibration. OptRX is designed to be used with a universal N recommendation algorithm which can either be adjusted by the user [45, 85] or virtual reference approach [27, 86]. In virtual reference approach, healthiest area of the field is selected as a reference N status of the crop and the area is scanned in real time. This prevents the grower to establish a nitrogen rich area as a reference strip in the field. Yara N-Sensor ALS (active kight systemn) uses xenon flash lamp as a light source. CropSpec system uses laser as a light source and measures reflectance at 730-740nm and 800-810nm bands. The operating characteristic of both, CropSpec and N-Sensor ALS, are similar to those of passive N-Sensor.

Sensors sense analogue physical or chemical stimuli, e.g., temperature, heat etc., and convert them to a digital signal for further analyses. Data analysis methods are used to get information from this data and finally incorporated in decision making to take appropriate agricultural decisions. As discussed in previous section, complex nature of soil requires sensors to be placed in the soil at very short distance from the soil. Proximal sensing is highly effective in creating high-resolution soil maps. [46, 55, 138] defines proximal sensing as the application and development of sensor that operates close by or inside the soil. A proximal soil sensor system ranges from simple stationary systems with one or more sensors and data recording and transmission unit to a complex automated and mobile systems. Complex proximal system may be equipped with a platform to carry sensors, sampling unit, sample heads, sample preparation equipment and GNSS.

8.2 Current Challenges in Sensor Development

Physical	Chemical	Biological	
Particle size: gravel, sand, loam, clay	Mineralogy: quartz, clay type	Mineralization fixation	
Water water content, water potential	Organic matter: total content, fractions (labile, stable) Beneficial organisms		
Structure· bulk density, porosity	Nutrients: total content, plant available (e.g. N, P. K)	Bioturbation by animals and plants	
Thermal properties thermal diffusivity, heat capacity, heat conductivity, specific heat	Acidity pH		
Relief. slope, exposure	Redox potential: O <sub>2</sub>		
	Toxic substances		

Table 8.1: Soil properties relevant for plant production as indicated by the notion of soil fertility [72]

## 8.2.1.1 Future Research Directions

Proximal sensing is used to achieve sustainability and reducing the environmental impact that may occur due to crops. Proximal sensing enables spatial management and manages temporal variations for the site-specific management in precision agriculture. Proximal sensors allow an e cient use of resources by detecting and taking preemptive measures in a timely manner.

There is a huge research potential in developing active proximal sensors for use with UAV. Apart from N stress, it can be used to explore water and nutrient stress and many other diseases. There is potential to study different stresses using multi-spectral fluorescence, mid-infrared and thermal region of EM spectrum. Non-EM sensors, e.g., pheromone and spore detectors can be investigated for disease or insect inset.

# 8.2.2 Electrical Soil Sensing

Some sensors use electric circuits to measure the electrical conductivity (EC) of a soil, capacity of the soil to become polarized or form magnetic fields. Such sensors are known as electrical sensors [26, 105]. Electrical sensors are assessed in the frequency range of 0 (direct current) -300 (radar) GHz. Electrical sensors are the most common proximal soil sensors. In the next section, we discuss relevant electrical properties of soil [99, 179].

#### 8.2.2.1 Electrical Soil Properties

Electrical Conductivity (EC) of a soil refers to the amount of salt in the soil (salinity). It indicates the health of the soil. EC effects the crop growth, crop suitability and plant nutrient availability (RSEC). EC in soil can be due to movement of free electrons, movement of ions in dissolved water, and surface conductivity [99]. EC due to all three mechanisms is known as bulk electrical conductivity (ECa). The ECa is mainly associated with the properties of soil such as: water content, hydraulic permeability, temperature, bulk density and surface changes. In non-saline soils, spatial variations of soil ECs within the field is due to the soil texture. Coarse sands have limited contact and low capacity of holding moisture, hence, are poor conductors. Heavy clays have high capacity of holding moisture and high particle contact, hence, are good conductors.

Tendency of a medium to become polarized upon passing electricity is its dielectric permittivity [42, 179]. Dielectric permittivity increases with the decrease in frequency. Soil sensors measures the permittivity at frequencies between 1MHz and 1GHz. It is used to measure the soil water content. Dielectric permittivity of free water is 80 [38, 179]. The electromagnetic sensors cannot measure the dielectric permittivity of the soil directly. They use the travel time or frequencies to derive the values. As a result, other interfering soil properties (ECs, temperature, magnetic permeability and signal frequency) are also taken into account while measuring these properties [41, 64, 119].

The ability of the soil to form a magnetic field is known as magnetic permeability. Ferromagnetic compounds such as iron oxide and super paramagnetic minerals can be found in the soil due to atmospheric deposition and human activities [20, 78, 119]. If present, the magnetic permeability is proportional to the volume of these compounds. Many proximal sensors measure soil susceptibility which is the ratio of mediums' permeability to permeability of free space minus one. Magnetometers or EM sensors are used to measure the magnetic properties of the soil. Magnetic properties of soil, both susceptibility and permeability, are mainly used in the field of environmental pollution and archaeology [48, 78]. Very limited work has been published on the use of magnetic properties in soil mapping from agricultural context [22, 54]. All of the above three properties are highly dependent upon the frequency of applied EM field. Lower frequency methods are more related to EC and high frequency methods, e.g., TDR and radar, are more associated with dielectric and magnetic permeability.

## 8.2.2.2 Electrical Soil Sensors

Galvanic Coupled Resistivity (GCR) measures the bulk electrical resistivity (ERa) in  $\Omega$ -m under the low frequencies of less than 50Hz. High frequencies can be used to analyze polarization effect. It uses two electrodes, in direct contact with the soil, and an ohmmeter to measure the electricity resistance by the soil to. Wheatstone bridge uses four electrodes, in pair of two, to measure more accurate readings. One pair is used to inject electric current into the soil and other is used to measure the

potential difference. GCR is a relatively cheap, robust and low power method of measuring electrical resistivity. Contrary to other electrical methods, GCR is less sensitive to electromagnetic sources. Main disadvantages of GCR is that it requires good contact with the soil and invasive nature of galvanic coupling. The performance of electromagnetic induction (EMI) methods is much better in frozen, stony or dry soils as compared to GCR methods [32, 72].

Electromagnetic Induction (EMI) sensors are greatly impacting the digital agriculture [43, 80]. It uses two electrical coils (solenoids) and operates at the frequencies of 100-60 kHz (0.4-40 kHz, [29, 43]). On applying alternating current (AC) to the transmitter coil, an EM field termed as primary field is generated. This primary field induces an eddy current through the soil, which results in a secondary field. The secondary field differs from primary field in terms of amplitude and phase [36, 116]. The phase and amplitude difference between primary and secondary field depends upon various soil properties [19, 28], spacing between the transmitting and receiving coil, distance between and coil and soil surface, and orientation of the coils. ECa is calculated by using amplitude and phase differences between primary and secondary fields, and inter-coil spacing. [205] study the magnetic susceptibility of the soil. However, few studies in agriculture uses it.

Capacitive methods use the capacitor principle to analyze the soil properties. Electrical oscillator is connected to electrode at frequency of 0.1 to 0.25 GHz (38 – 150 MHz) to create an electric field penetrating the dielectric medium (soil). The dielectric permittivity of the soil can be determined by estimating the charging time of the capacitor with that medium [39, 121]. FDR and capacitance probes are mostly used for measuring the water content in the soil. Both are sensitive to clay and temperature variation of the soil. However, they are cheaper with a flexible electrode geometry. Mobile mapping of ERa is also one of the applications of capacitance principle. Capacitive coupled resistivity (CCR) is based upon classical GCR method with only difference of using capacitive plates/antennae instead of galvanic electrode [43, 102].

Time domain reflectometry (TDR) is used to determine the water content of the soil by measuring the travel time of electromagnetic waves through the soil under high frequencies. The travel time is used to measure the dielectric permittivity of the soil which in turn is used to measure the soil water content. TDR sends the electromagnetic signals via two electrodes buried in the soil. It measures the propagation velocity of a step voltage with a bandwidth around 20kHz to 1.5GHz [44, 136]. TDR are less affected by the interference due to EC because they operate at frequency > 0.5Hz.

Other variants of TDR and FDR are also used as electrical measurement methods. Some of them discussed briefly in [121] includes amplitude domain reflectometry (ADR), phase transition, and time domain transition (TDT).

## 8.2.2.3 Stationary Electrical Sensors

Stationary soil sensors are very useful in monitoring soil water properties. It has advantage over traditional methods, e.g., tensiometers, in having high range of tension (up to 1500 Pa), ability to be placed for long time and low cost. However, slow reaction and intervention of soil salinity are some of the disadvantages [51, 57].

Stationary soil sensors must be carefully calibrated to get the correct measures [64]. Even a small scale variation can cause several problems. [57], for example, performs an experiment with 107 sensors on 60  $m^2$  test site. Sensors readings were varied significantly over the two months due small-scale variation (growth of algae) in soil surface. It is di cult to distinguish the effect of water on electromagnetic properties from other factors such as salinity , bulk density etc. [90]. It is recommended to use standard procedure, multiple sensors, and rain gauge records for detection of error and troubleshooting [29, 57, 65]. [55, 121] presents a detailed survey of various commercially available soil sensors. Some sensors are briefly described in coming section.

- Stationary TDR and capacitance sensors can be used for stationary measurements. TDR uses metal rods and capacitance sensors are flexible and cheap. TDR gives more accurate reading under high frequencies, however, comparative studies suggest mixed results [31, 45, 64]. [57] studied 15 different types of sensors (FDR, TDR, capacitance) and posited that most sensors respond to temporal changes reasonably well, however, with a considerable difference in absolute values.
- **Gypsum Block method** is another method to assess the soil water content. Apparatus contains a porous block with embedded electrodes. In an ideal scenario, the soil water content and the bock water should be uniform. Fiber glass and gypsum can be used as a porous block. Gypsum is a cheaper option but it needs calibration and it decompose with time. Fiberglass is durable and give more stable calibrations. The simplest implementation consists of a gypsum block with two wires connecting to resistivity meter.
- Electrical resistivity tomography is a method which is used to study the depth profiles and spatio-temporal properties of soil water content in high resolution. It extends GCR method with array of multiple electrodes (20-100) placed equidistantly in a transect. Four of the electrodes placed at different locations and spacing are switched on to study the depth variations[71, 72, 94].

## 8.2.2.4 Mobile Electrical Sensors

Mobile electrical sensors were the first sensors used to measure the soil spatial variability in digital agriculture [34, 44, 175]. EMI and GCR based sensor systems are still the most commonly used systems in digital agriculture. [33, 41, 73] did a comparative study on GCR, EMI and capacitive coupled sensors which is discussed in the coming section.

• Galvanic coupled resistivity Mobile GCR sensors, uses four-point method, consist of four wheels acting as electrode. Four-point arrangement is extendable by adding more pair of electrodes to get the readings at different depth variations. Depth sensitivity is controlled by the spacing and position of electrodes. The

#### 8.2 Current Challenges in Sensor Development

most common arrangement of the electrode is Wenner array equally spaced electrode in a straight line. Veris 3100 uses injected current of 100 mA and frequency of 150 Hz and works with the two depths [162]. Six rolling electrodes are arranged in Wenner array. Spacing between the electrode is 24 cm for the shallow and 72 cm for the deep measurement. Automatic Resistivity Profiling (ARP) is made by Geocarta company in France. It operates at frequency of 225 Hz and arranges the rolling electrodes in trapezoid pattern [175]. The spacing between the current electrode is 1m and that of between voltage electrode is 0.5, 1, and 2 m. GEOPHILLUS is an advance system from Germany operating at the frequency of upto 1 KHz [25, 111]. It uses 6 pairs of galvanic coupled electrodes arranged in an equatorial dipole-dipole array. First pair measures the electric current while other 5 pairs measures the voltage drop simultaneously.

- Electromagnetic Induction (EMI) EM38 instrument from Geonic Ltd., is one of the most popular mobile electromagnetic instrument in digital agriculture. [49, 80] recently gave the review of EM38 applications. Orientation and spacing of the coil, and frequency often effect the DOI characteristics. EM38 uses the inter-coil spacing of 1m and provide the readings for magnetic susceptibility and electrical conductivity simultaneously. EM38-DD with same inter-coil spacing uses two EM38. EM38-MK2 operates at frequency of 14.5 KHz and uses three coils with two receiver coils separated by 0.5 and 1 m from transmitter coil. Topsoil Mapper (Geo-prospectors, Traiskirchen, Austria) is the first EMI instruments which provides various interesting features specially for the digital agriculture. It can be mounted to the front of the tractor because of its ability to suppress interference from the metal. It can be used for the estimation of bulk density, water content, texture, real time tillage control and seed rate.
- Capacitance and CCR sensors [9, 50] reviews the mobile sensors that use capacitive principle and galvanic coupling. Mobile capacitive coupled sensors (CCR) have been in the market for 10 years, however, their use in agriculture has been very limited. They work better than the galvanic coupled sensors in places where EC is very low and a mechanical contact is di cult. However, places where EC is high, receiver dipoles' voltage becomes too small and its measurements are unreliable [14, 30]. Coaxial cable or metallic conductors are used as a capacitor plates. One pair is used to generate current in the ground and other is used to measure the potential distribution at the surface of ground [48, 102].

OhmMapper (Geometrics Inc., SanJose, USA (http://www.geometrics.com/)) is a CCR system with capacitive coupling. It can continuously collect the data even at the short time interval of 0.5s. A coaxial cable is divided into transmitter and receiver sections and both are of 5m in length. An alternating current is generated by the transmitter at 16kHz. It consists of a power supply, a data logger, and rope separating two dipoles from each other. Distance and length of dipoles is used to measure th DOI. Distances can also be set arbitrarily.

• Mobile TDR and GPR sensors GPR systems are available commercially in the market, however, only prototype of TDR systems can be found in the literature. [24, 200] studies the modified version of stationary TDR with longer probes

designed for stop-and-go measurements. For continuous mobile measurement, geometry of the traditional TDR probes need to be modified along with the consideration of other aspects such as contact and heating. Due to time taking data analysis, use of GPR in agriculture is for research purpose only. However, it is commonly used in geophysical and archaeology.

# 8.2.3 Soil Temperature Sensors

- Thermal sensors One of the oldest sensor systems in agriculture are thermal sensor systems. Electrical and Infrared (IR) thermometers are used to measure the temperature. Electrical thermometers need to be in physically contact with soil whereas IR ones can be used for stand-off readings. Thermistor and thermocouple are the example of electrical thermometers. Thermistor rely on change in resistance and thermocouple use the thermoelectric effect. Electrical thermometers are cheaper and are integrated with various sensors systems such as TDR and FDR. An IR thermometer uses a lens to focus on thermal radiations emitted from an object onto a detector. The lens is sensitive in range of 0.7-1.4um. Thermal properties such as volumetric heat capacity, thermal conductivity and thermal diffusivity, can be utilized for the mineralization, germination and other growth related processes.
- Heat-pulse sensors Heat-pulse sensors [35, 46] are primarily used for measuring the volumetric water content. Sensor consist of at least two probes: heater probe and a temperature probe (thermocouple). A heat pulse is applied at the heater probe and temperature of the soil is measured at the temperature probe. This approach is dependent on the fact that specific heat of the water is higher than the other constituents of the soil. After applying the heat impulse, temperature depends upon the volumetric heat of the soil medium. More water content results in low temperature rise and vice versa. In commercial systems, heater probe and thermocouple are insulated in a porous matrix. Water potential of the soil should be same as of the matrix. By this assumption, the water content of the matrix becomes the indirect measure of the water content of the soil [35, 134]. Heat-pulse sensors have slow response and are sensitive to soil contact. Due to these reasons they are mainly used for the stationary measurements. A very less information is available on the continuous heat-pulse mobile sensors in the current literature. Authors in [16, 42] describe an approach of IR thermometer measuring the temperature variations in soil because of warming up by solar irradiation.

## 8.2.4 Electrochemical Soil Sensors

Electrochemical sensors are used to measure the chemical properties of the soil, e.g., nutrient content and pH level of soil. Electrochemical sensor can be categorized into potentiometric, amperometric and electromechanical biosensors. The working principle is based on chemical interaction between the sensor and chemical component of the liquid. The two most popular method used for electrochemical sensors are ion-selective electrode (ISE) and ion-selective field effect transistor (ISFET). The electrochemical sensors for pH uses the combination of ISE and ISFET and falls into the category of potentiometer sensors. [40, 85] extensively reviews the potentiometer sensors. Their main advantage is that they directly measure the liquids' ions concentration.

The ISE system consist of a sensing membrane (glass, polyvinyl chloride or metal) and a reference electrode both assembled in a single probe. The potential difference between both electrodes is measured and concentration of selective ions is estimated using Nernst equation (reference for Nernst). ISFET uses field-effect transistor technology along with the selectivity of ISE. The ion-selective membrane act as a gate electrode and control the current between the two semiconductor electrodes. ISFET differs from ISE in that it does not have internal solution and the ion-selective membrane is firmly attached on the gate. The pH ISE with antimony membrane is being used in on-the-go commercial systems for pH mapping [11, 20].

## 8.2.5 Soil Radioactive Radiation Sensors

• Gamma ray sensors Gamma rays are produced from decayed nuclei of radioactive elements and have highest energy and lowest wavelength within the EM spectrum [53, 62]. There are naturally occurring nuclides in soil which emits gamma rays in the range of 0.4-2.81 MeV. Large amount of gamma rays is harmful that is why active gamma sensors are avoided due to high energy and ability of penetration into the material. Gamma ray detectors convert the incoming radiation into light photons which are further amplified by photomultiplier and detected by photodetector. Passive sensors detect the gamma photons released from radioactive decay present in the soil. Passive gamma sensing is very good for quick soil mapping because of already established technology, strong theoretical background and robust instrument that can quickly collect data.

In proximal sensing, gamma sensors are used as ex-situ systems in both continuous and stop-and-go mode with 90% of the radiations coming from upper 0.3 to 0.5 m of the soil. Bulk density of the soil can affect the readings [112]. Many radionuclides occur naturally in the soil, however, only Potassium ( $^{40}$ K), Uranium ( $^{238}$ U) and Thorium  $^{232}$ Th are the ones producing gamma rays with su cient energy and intensity. Radiation not coming from the earth are known as background and mainly come from radon ( $^{222}$ Rn) [112].

Clay (soil texture) is usually correlated with the gamma count because K, U and Th are incorporated by the major fraction of the clay, i.e., clay minerals [79]. Gamma count have also been forum indirect correlated to pH, organic carbon, gravel and moisture [37, 79]. These indirect relationships are highly dependent upon relation of soil other properties with the total K in the soil. These relationships showed high spatial variability which mandated the separate calibrations for each site. [79] proposed a general model for predicting soil texture fractions (clay, sand, silt) from non-linear support vector machine (SVM). Most of the studies counts only three regions (K, Th, Ur) and total gamma counts [138], however, some researchers also recommend to study full spectrum [207]. Though not much performance gains in prediction model are shown when the full spectrum is considered [28, 138].

• Neutron sensors Neutron sensors are used to measure the soil moisture. It can be classified as active and passive neutron sensors. Neutron Probes (active sensors) use the waters' moderator properties for neutrons. The high-energy neutrons from the sensors collides with the H nuclei of water. The number of neutrons scattered back at the device are directly proportional to the water content in the soil. More water content results in more neutron scattered at device and vice versa. Neutron probes though accurate, however, are very expensive and their operation is strictly regulated by the law because of radioactive neutron source. Hence, they are rarely in use today.

Cosmic ray probes are the passive neutron sensors. They measure the low energy neutron (1 keV) generated within the soil by moderation of cosmic ray neutrons [38, 54]. This moderation is primarily controlled by the soil water content. This method provides a continuous and above ground (without contact) method of monitoring of water content. Cosmic ray neutron sensor can be used to measure the soil water content over footprint 600 m and depth varies from 0.76m (dry soils) to 0.12m (wet soils). The depth of exploration is highly dependent on soil moisture. Soil moisture is calculated from neutron intensity using a universal function which is indifferent to changes in soil chemistry [38, 38]. Mobile probes, mountable on vehicles, are also designed for in-depth spatial investigation [38].

## 8.2.6 Mechanical Soil Sensors

• **Cone penetrometers** Vertical cone penetrometer is a device that is used to measure the soil resistance to penetration, i.e., soil strength, as they are inserted to the soil. In agriculture, they have been used for a long time to detect the soil compaction [192]. Some of the soil properties that may affect the index of the cone includes bulk density, soil type, soil moisture and structure of the soil [47, 52]. In 2000, Veris technologies were first to design the stop-and-go automated cone penetrometers (Profiler 3000) for soil mapping [27, 54]. However, high variation of penetration resistance makes the soil mapping expensive [32, 52]. Therefore, systems with continuous mapping were more favored.

8.2 Current Challenges in Sensor Development

There were three main approaches use: 1) horizontal penetrometers to measure horizontal penetrations [15, 39], 2) use of draft force sensors and vertical force sensors between tractor and tillage [81], 3) measuring fuel consumption of tractor during tillage [30]. In a review of penetrometer and draft/vertical force sensors, [81] emphasize that if soil texture and moisture is known then variation in penetration resistance can be understood in terms of bulk density. Mapping of bulk density has been done using the integrated multi-sensors system in [185].

• **Tensiometers** Tensiometer is the device used to measure the soil matric potential, i.e., soil moisture tension. A matric potential is found in the water when the water in soil is under tension. The name, tensiometer, owes to its ability of measuring tension. It consists of a porous cup connected to manometer through a water-filled tube, vacuum gauge, pressure transducer or any other pressure measuring device. The plant needs to overcome the tension to pull water from the soil. Tensiometer are advantageous in that they provide direct and easy to interpret measurements. However, its maintenance requirement is high and range of measurement is limited. They need to be protected in frost and embedded properly to establish good contact between porous cup and soil. They are not suitable for operation under -85 kPa and it takes several minutes to establish equilibrium between porous cup and soil matrix. Due to these reason, tensiometer should only be used as stationary sensors [26].

## 8.2.7 Other Sensors

- Gas Sensors Gas sensors are becoming popular for detection of acetylene. Plants
  emits acetylene in unsuitable conditions, e.g., drought or fungal infections. CO<sub>2</sub>
  emission is analyzed to study the biological activities in plants. Non-dispersive
  infrared (NDIR) CO<sub>2</sub> sensors are used to detect simpler gaseous molecules such
  as CO<sub>2</sub>, SO<sub>2</sub> and NO<sub>2</sub>. NDIR is effected by the cross-sensitivity of these gases
  in low concentration [95]. Electronic noses are used for assessing the complex
  molecules [43, 216].
- Capillary electrophoresis Capillary electrophoresis (CA) is used to separate solute ions from the liquid soil extract after applying electric field. Soil is put in a capillary tube and ions are identified from their time of travel inside the capillary. The tuning parameter for the setup contains selection of electrolyte solution, capillary's' length and applied voltage to electrolyte. CA has been a commonly applied method in the labs, however, recent development in making portable systems are also being reported [36, 190]. The iMETOS Mobilab was recently released by Pessl instruments GmbH. It is based on small and inexpensive microfluidic chip CA and measures to measure  $NO_3^-$ ,  $PO_4^-$  and  $K^+$  (Weiz, Austria).

## 8.2.8 Integration of Proximal Sensors in Digital Agriculture

- Sample preparation for the soil: Ideally, sampling is not required in proximal sensing. Due to the impact of several properties of soil on sensor, selectivity of a sensor is degraded. Unfavorable soil conditions, e.g., very dry soil, presence of plant residue, are also one of the obstacle in taking measurements. Hence, sample preparation can solve these issues [51, 106]
- Calibration and measurement errors in soil sensing: As discussed, sensors are
  affected by various soil properties. EC of a soil is affected by water, clay content
  and salt. In field, measurements can vary beyond control due to soil properties
  and other environmental factors. Data must be interpreted very carefully. Hence,
  a traditional lab analyses of the soil is advised for calibration of sensor readings.
- Sampling design for calibration samples for soil sensing: Several studies have highly emphasized on the sample calibration for successfully translating the sensor measurement to soil fertility properties. Determining sampling site for the stationary sensors is easy whereas it's di cult for mobile sensors. [10] provides an algorithmic solution and gives three criteria for optimal sampling: 1) accounting for spatial separation to avoid readings from auto-correlated samples, 2) increasing spread of value for stability, 3) local spatial homogeneity so that sample fully represent the sampling site instead of being an outlier. [10, 48] also transformed these criteria into mathematical model.
- Robustness, safety, ease of handling and economic efficiency: Due to deployment in rough agricultural environment robustness and safety (protected cables and watertight plugs) of proximal sensors is a pre-requisite for daily usage. Some sensors (XRF systems and GCR) can cause serious injuries and operators need to be trained properly as a safety measure. It contributes towards the e ciency and safety of the users.
- Integrating to decision making algorithm: Sensor data alone does not make any sense. It gives information only when integrated into some decision-making algorithm. In digital agriculture, decision support system links the input data (temperature, moisture) to output (crop yield, profitability). These systems can help in decreasing effect of agronomic measures and can be used to do cost-benefit analysis.

# **Future Trends**

Currently, commercially available proximal sensors capture very limited number of soil properties and that too with insu cient accuracy. New proximal sensors systems covering wide range of soil fertility properties and more accuracy are needed. These sensor systems must be affordable and manageable in order for the farmer to be comfortable to use them. Low-cost handheld sensors can be used to introduce farmers to the benefits of using digital agriculture.

#### 8.2 Current Challenges in Sensor Development

A combination of multiple sensors is used to capture different properties of the soil. There is no single platform that combines multiple sensors capabilities. There is a need of establishment of reliable calibration database and protocols for evaluating the sensor data. It also allows to compare the sensors readings. Only few countries offer a scientific sound and robust decision making algorithms to their farmer for the management purpose. Only ad-hoc approaches are being used in digital agriculture. These approaches have not been validated over a different range of conditions. Authorities have to support and establish guidelines for the promotion of sensor-based farming.

Most of the mobile soil sensor data is evaluated offline. Due to the requirement of quick response in crop management, farmer demands a real-time management. There is a lot of uncertainty about the proper soil sensor usage due to which immediate rate of investment cannot be guaranteed to the farmers. However, digital agriculture must not be evaluated for only its economic potential. A lot of environmental benefits (avoiding pollution and erosion) associated to the adoption of digital agriculture. These benefits must be quantified to support farmers. Farmers and agriculture advisors do not want to waste their time in data calibrating, cleaning and integration to decision support systems. They need smooth transition of raw data into information for decision making that too with robust and user-friendly systems. These systems must be flexible enough to work with various data formats. Decision support algorithms, e.g., for fertilizer recommendations are based on simple model and require few input parameters. Research in proximal sensing should start out by matching these simple models. However, proximal sensing can provide information which is neglected by the standard algorithms for best management. Advanced soil-crop model can integrate this information for further improvements.

# 8.3 Remote Sensing

Science of collecting data from the surface of the earth without direct contact is known as remote sensing. Remote sensors are the instruments that collect this information by detecting and measuring the reflected electromagnetic radiations. Platforms used to carry remote sensors includes manned aircraft, satellites and unmanned aircraft systems (UAS). Some sensors can be mounted on ground-based vehicle or may be integrated into handheld systems. Few factors which can be considered for selection of appropriate platform are: size of the area to be imaged, complexity of crop types, time and cost. Manned aircraft-based sensors are also known as airborne sensors and satellite-based sensors are also known as space borne sensors. Different platform should be evaluated for their suitability of and e cacy for digital agriculture applications [27, 30].

This section provides an overview of airborne imaging system and space borne remote sensors being used in digital agriculture. Remote sensors can broadly be categorized into two types: 1) imaging (cameras) and 3) non-imaging (spectroradiometers). Imaging sensors gives the vertical (nadir) view of the target area. As with the proximal sensing, remote sensing is also passive (electro-optical sensors) and active (imaging radar) depending on the source of energy. However, active sensors can take measurement regardless of time of the day and season. Electro-optical sensors are the imaging sensors that detect and convert the reflected radiation to the electrical signals which then can be viewed as images on the computer. Electro-optical can detect the radiations of wavelength ranging from 0.3 m to 15 m. Most of the airborne and satellite remote sensors in digital agriculture are electro-optical sensors. In the coming sections, we discuss the advances in airborne and satellite based remote sensors along with the examples, advantages and disadvantages in digital agriculture [28].

## 8.3.1 Multispectral and Hyperspectral Imaging

## 8.3.1.1 Multispectral imaging systems using industrial cameras

With overall advancement in imaging sensor technology, cameras used in multi-spectral imaging systems have also been evolved. Many commercial and customized multi-spectral systems have been developed and used for the different remote sensing applications such as cropland assessment, digital agriculture and pest management etc. [51]. Most systems provide 8-16 bit images with 3-12 narrow spectral bands in the visible to near infrared (NIR) region of EM spectrum [63, 218, 222].

Multispectral imaging systems are based on different approaches. One approach employs monochrome charged couple device (CCD) industrial cameras. Each camera in multispectral system uses different band pass filter. It gives advantage of individual adjustment of camera for focus and aperture settings. However, one disadvantage is that multiple band images needs to be properly aligned. Another approach

#### 8.3 Remote Sensing

uses beam-splitting prism and integrate multiple sensors to achieve the effect of multispectral imagery. One example of such system is CS-MS1920 multispectral 3-CCD camera (Teledyne Optech, Inc., Vaughan, Ontario, Canada). It uses 3 CCD sensors to produce images in 3-5 spectral ranges with EM spectrum range of 400-100 nm. In this approach, band images are aligned mechanically as well as optically.

The four-camera imaging system developed by U.S. Department of Agriculture, Agricultural Research Service (USDA-ARS) consist of four monochrome CCD cameras, PC with frame grabber and image acquisition software [45, 218]. The camera uses spectral range of 400-100nm and 12-bit data depth. Spectra-View by Airborne Data Systems, Inc. (Redwood Falls, Minnesota USA) can accommodate up to eight different cameras. These cameras can vary in size, format and wavelength and contains global positioning system/inertia navigation system (GPS/INS) for precise geo-registration of the images. Spectra-view 5WT captures 12-bit images in six (Blue, Green, Red, NIR MWIR, LIR) spectral bands. A cheap alternative, Agri-view, can be used to capture same green, NIR and red band as captured by Spectra 5WT.

Tetracam's multispectral imaging systems (Tetracam, Inc., Chatsworth, California, USA) come in two product families: 1) Agricultural Digital Camera (ADC) and 2) Multiple Camera Array. ADC family is equipped with single camera along with fixed filters at red, green and NIR wavelength. Some ADC systems (ADC Micro, ADC Lite and ADC) captures 8/10- bit images with 2048 x 1536 pixels while other (ADC Snap) captures 1280 x 1024 pixels. MCA family contains 4,6, and 12 cameras synchronized to take 8/10-bit images with 1280 x 1024 pixels in visible to NIR wavelengths. The family comes is two versions: standard Micro-MCA and Micro-MCA Snap versions. RGB+3 system by Tetracam has four cameras: one RGB and three monochrome cameras. RGB senses three broad visible bands and monochrome sense s three narrow bands are 680, 780 and 800nm, respectively. Mcaw (Multiple camera array wireless), comes with a Linux computer system, a storage device for computations and six 1280 x 1024 snap shutter sensors. Each Tetracam's systems has PixelWrench2 software which allows the editing of the images [47, 52].

Teledyne Optech produces RGB color cameras and thermal cameras both as standalone or integrated in the LIDAR (light detection and ranging) system. RGB CS-10000 and CS-LW640 are the example of Teledyne's RGB and thermal camera respectively. CS-10000 has a resolution of 10320 by 7760 pixels and CS-LW640 comes with resolution of 640 x 480 pixels. Integrated with Orion C LIDAR system, CS-LW640 is a very powerful tool for 3D mapping of thermal features.

FLIR and ITRES Research Ltd. Also offers some thermal cameras. FLIR's T600 series cameras comes with the resolution of 640 x 480 pixels and SC8000- series have the resolution of 1024 x 1024 pixels. TABI-1800 by ITRES research can differentiate temperature difference of 1/10th of a degree.

## 8.3.1.2 Multispectral imaging systems using consumer grade cameras

Low cost, compact size, data storage and user-friendliness are some of the advantages that make a consumer grade camera an attractive choice for remote sensing. Consumer grade cameras are mostly equipped with CCD or CMOS sensors, and Bayer color filter mosaic for arranging the RGB color pixel [23, 50, 83]. Various mosaicing algorithms are used to interpolate complete RGB values for each pixel which aligns the three ban images perfectly. Therefore, these cameras have been used frequently by researcher for the agricultural purposes [13, 49, 141].

In remote sensing, images in visible and NIR bands are commonly used especially in vegetation monitoring. NDVI uses spectral information in NIR and red bands. Consumer grade camera use filters to block UV and infrared light. These filters can be replaced by long-pass infrared filter to convert the consumer grade RGB camera to NIR camera and obtain NIR images. Some companies, e.g., Life Pixel, provide services for conversion of camera. Long-pass filter of 720-nm and 830-nm are used to replace NIR blocking filter. All three channels can be used to record NIR radiation and any of the three can be used as NIR channel, however, red channel is mostly preferred because of best sensitivity. These NIR-converted cameras are proved to be simple and cheaper tools for plant monitoring, crop monitoring and stress detection [123, 217].

A cheaper and user-friendly imaging systems are required to capture geotagged images at varying altitudes on any traditional or agricultural aircrafts at normal airplane speed [222]. Agricultural aircrafts are readily available platform for airborne remote sensing. If equipped with imaging system, they can be used to get aerial imagery for various applications such as monitoring, detecting stress and analyses of aerial applications usefulness. Aerial imaging and aerial spraying must not be done simultaneously to avoid contamination of camera.

A USDA-ARS single-camera uses Nikon D90 camera (Nikon Inc., Melville, New York, USA) to capture color images of up to 4288 x 2848, geotag the image and is equipped with LCD monitor to view live image. For dual-camera system, it uses another same D90 camera but modified to NIR camera. Giga T Pro II wireless timer remote receiver (hahnel Industries Ltd) and a transmitted is attached to the camera to start and stop the image capturing. Both cameras can be mounted on the aircraft with little or no modification [71, 219]. USDA-ARS also produce two other systems: one consists of two cannon (Canon USA Inc.) EOS 5D Mark III cameras to capture images up to 5760 x 3840 pixels; other system by USDA-ARS consist of two Nikon D810 cameras capture images up to 5760 x 3840 pixels. In both of the systems, one camera is used to capture RGB color image and other is converted NIR camera with 830-nm long-pass filter. Both systems use the same sensor size (36 x 24 mm) and focal length (20 nm). Cost of each system is around 6500 USD [55, 217, 222].

Due to increasing demand of light-weight and cheaper imaging systems, many consumer grade camera systems are being converted to capture B-G-NIR or G-R-NIR images using single sensor. LDP LLC, for example, provides either of the modified cameras or services for modification. Both cameras can also be used simultaneously and images can be aligned to create five band images. However, unlike NIR converted cameras, these cameras may suffer from light contamination depending on filters and algorithms used for the band separation. [39, 131] converted a standard RGB camera by replacing NIR blocking filter with long-pass filter to obtain NIR and R

#### 8.3 Remote Sensing

bands. However, spatial resolution of the image is reduced due to smoothing effect in the process.

## 8.3.1.3 Hyperspectral imaging systems

Hyperspectral imaging system can capture images in tens to hundreds of narrow and spectral bands from visible to thermal spectral regions. The Airborne Visible/ Infrared imaging spectrometer (AVIRIS) was the first hyperspectral imaging system developed by Jet Propulsion laboratory and proposed to NASA in 1983. It consists of a flight system, ground data system and a calibration system. It captures images in 224 continuous spectral bands under solar spectral region of EM spectrum. Different detectors are used which are separated by four panels of wavelength ranging from 400 nm to 2500 nm. It provides 12-bit spectral data (AVARIS). The AVARIS system has been extensively studied and improved over the time to meet the requirements of the scientists using t for the research and application purposes. [76] provides the overview of AVARIS sensor along with its various scientific applications [26, 43].

HyMap is another popular hyperspectral imaging sensor developed by Integrated Spectronics Pty Ltd. (Sydney, Australia). Initially, it was used for mineral exploration with 96 channels in 550-2500 nm spectral range [34, 41]. Current HyMap uses 128 band in spectral range of 450-2500 nm. The system is mounted with 3-axis gyro stabilized platform for reduced image distortion. Sensor can capture up to 512-pixel images with spatial resolution of 3-10 m for agricultural applications [48, 71, 72]. The Compact Airborne Spectrographic Image (CASI) was the first programmable hyperspectral sensor first introduced in 1989 by ITRES Research Ltd. It allowed user to collect the data in specific band and bandwidth by programming the sensor. CASI-1500H, a lighter and smarter design, captures 14-bit images at 288 bands in spectral range of 380-1500 nm. SASI-1000A captures 1000-pixel images at 200 bands in spectral range of 950-2450 nm. MASI-600, first commercial system, is available with 600 pixels and 64 band in spectral range of 3-5 um. TASI-600 is a hyper-spectral thermal sensor which captures 600 spatial pixel images and 32 bands in spectral range of 8-11.5 nm.

Commercial hyper-spectral imaging systems have become advanced with improved spatial and spectral resolutions and improve GPS units for position accuracy. Specim's AISA hyper-spectral systems (Spectral Imaging Ltd., Oulu, Finland) is available with spectral ranges from 380 nm to 12.3 um. It covers VNIR, SWIR and thermal LWIR spectral ranges. Specims ASIA family of hyper-spectral systems includes: AisaKESTREL 10 (spectral range of 400-1000 nm), AisaKESTREL 16 (spectral range 600-1640 nm), AisaFENIX and AisaFENIX 1K (spectral range of 380-2500 nm) and AisaOWL (spectral range of 7.7 – 12.3 um). All systems come with a GPS system for accurate positioning [35].

Headwall Photonics, Inc. (Fitchburg, Massachusetts, USA) manufactures hyper-spectral imaging sensors for UV to visible, VNIR, NIR and SWIR in the spectral range of 250 - 2500 nm. It also produces VNIR-SWIR sensor with spectral coverage of 400 - 2500 nm. It can capture 1600-pixel swath image at hundreds of

bands. USDA-ARS in College Station, Texas, uses hyper-spectral imaging system with VNIR E-Series imaging spectrometer, a GPS/INS unit and hyper-spectral data processing unit. It can capture 16-bit images within 923 spectral bands, 1600-pixels of swath in wavelength of 380-1000 nm [44].

## 8.3.2 Future Trends

This section discusses some of the challenges and future directions for remote sensing. First challenge is that growers do not know about the availability of imagery in the fast changing market; they don't know what type of imagery to select and how to order imagery from the archived data for their particular application. Image providers and different vendors must develop easy instructions for customers and growers to select and order appropriate imagery. Timely acquisition and delivery of the images is also one of the challenges.

Numerous literature exists on processing and conversion of imagery into useful information and map, however, there exist no standard procedure for converting imagery to vegetation index maps, classification and prescription maps. There are many image processing software with different capabilities, complexities and prices. However, grower may have di culty in choosing a particular software.

There is a dire need of practical guidelines for the growers and other end users for the conversion of images to appropriate agricultural maps. Researchers need to focus on this area. Users having some familiarity with GIS and image processing must be able to select and use appropriate software with the help of documentation and tutorial. If a grower cannot learn these skills, they can use commercial services to process their images and create the relevant maps. Some dealers do provide services of image acquisition, prescription map creation and variable rate application.

Environmental changes in agriculture may result in large variations in soil moisture, plant nutrition, crop growth and yields. Fast crop canopy changes need continuous crop monitoring [181]. Remote imagery and satellite imagery have been successfully used in crop prediction. However, coarse spatial and temporal resolution makes their application in agriculture very limited. Airborne multispectral (e.g., [220, 221]and hyperspectral [50] have been used to monitor crop condition and yield.

The recent development in small unmanned aerial systems (UASs) makes agriculture sector a largest commercial market for its use and it will see an increase of 80-90% in market share (Association of Unmanned Vehicle Systems International, 2013). Cost-e cient, ultra-high spatial imagery and easy image acquisition makes UAS an ideal option for crop monitoring. It is also known by various different names: unmanned aerial vehicles (UAVs), drones, unmanned aircraft systems and remotely piloted vehicle [122].

Applications of UAS in agriculture includes: monitoring of physiological characteristics of crops, leaf area index (LAI,), disease and crop stress, monitoring of crop growth [26], yield, removal of rainwater [227], spraying fungicide, herbicide and pesticide [44, 126], air broadcasting of seeds [107] and measuring of crop

8.4 Soil Sensing From the Air

and environmental parameters (temperature, humidity, etc., [137]). There remains a security and privacy concern regarding the civilian use of UAS, however, its usefulness has already been established among the public specially in the agricultural sector [69].

# 8.4 Soil Sensing From the Air

Airborne imaging systems are relatively cheaper, provide high spatial resolution and has ability to obtain data in visible to shortwave infrared (SWIR) region of EM spectrum [24, 98, 115]. There are two type of imaging systems: 1) multispectral and 2) hyperspectral. They differ in spectral bands and bandwidth. Multi spectral uses 3-12 and hyperspectral uses tens to hundreds spectral bands to measure the reflected energy. Imagery from hyper spectral has great details of spectral bands and multispectral is great in detecting subtle difference among similar objects. We will discuss in detail the airborne multispectral and hyperspectral imaging systems in coming sections.

UAVs are comprised of two main components: UAVs and sensors. UAVs act as a platform for the sensors. UASs can be classified into two categories: 1) fixed-wing, 2) rotatory-wing [54, 177]. Fixed-wing has long range and are faster, however, ideal takeoff and finding landing spot for them is a challenging task. On contrary, rotatory-wing UASs have short range and flight duration but improved maneuverability. In addition to the sensors, platform may be equipped with the global navigation and satellite system (GNSS) and an inertial management unit (IMU). GNSS provide information about the position of the platform and IMU provides information about the altitude of the platform. This information is integrated with the auto pilot system to adjusts the course of the flight.

One of the challenges in operating UAS is the restriction put by a country on their operation. Canada, for example, does not allow to fly a UAS more the 90m of height which h gives the images with small footprints. This makes it di cult to map a large crop field especially when the average crop field size was 315 ha in Canada in 2010.

Fig. 8.2 shows variety of sensors and cameras are available for UAS. The performance of both, multispectral and commercial, cameras is very good [32, 77]. Although, data quality is a concern for the commercial camera [214], however, its low cost for data acquisition makes it an appealing option for agriculture. Simple RGB camera are not only cost-e cient but also a powerful tool for monitoring plant condition and plant phenology [74]. Consumer grade cameras are sensitive to illumination, hence, they either must be used under stable lights or adjustment should be made with variable illumination [25, 132].



Fig. 8.2: Sensor types and crop monitoring variables [39]

# 8.4.1 Flight planning

## 8.4.1.1 Image Acquisition

Most UASs are using autopilot flight planning for image acquisition. A flight plan includes: area, height course, and speed of the flight, camera setting, forward and side lap; and it is generated using a planning software. Manual control is also possible, however, may cause issues with image post-processing. High-resolution images or high-scale map are shown as a background for the planning and it is stored prior to field trip. GNSS and IMU data is also recorded which assist to determine image center position and camera orientation estimations [46, 189, 214]. All data is initially store in a storage device in UAS and must be downloaded to the computer for further usage and processing.

The altitude of the flight determines the spatial resolution of images; lower altitude, e.g., 100 and 120m are common, gives images with the high spatial resolution. For some tasks, e.g., weed mapping, a much lower altitudes (30m) are also used which results in much higher spatial resolution. However, altitude is also limited by aviation regulations. Flight altitude does not affect VIs, however, it greatly influences the image segmentation with mixed pixels in images with higher altitudes [31, 132]. Four pixels are necessary to identify find ground object.

Image overlapping is also an important factor to consider for flight planning. [41, 42] suggest to set the minimum value of forward and side overlap to 80% and 60% respectively. High image overlap is recommended to avoid mismatch between estimated and actual ground image [53, 214], assist in identifying common points in image pair, and minimize the impact of bidirectional reflectance by allowing 8.4 Soil Sensing From the Air

image processing software to extract the central points of the image for image mosaic [29, 89].

Prior to image acquisition, researchers have used Ground Control Points (GCP), as an artificial target, for spectral calibration. It is recommended to use minimum of three GCPs evenly distributed covering the whole study area [189]. Position of these GCPs should be measured using a total station or differential GNSS to guarantee positional accuracy of image mosaic [40, 125].

## 8.4.1.2 Image Processing

Various photogrammetry algorithms are used to rectify and mosaic images. After downloading images and logs, initial position and orientation estimates are determined using log files. Ortho-rectified mosaic is generated using various photogrammetric software (e.g., GeoLink [182] and MicMac [20]). Structure from Motion (SfM) photogrammetry has recently become popular for many UAS applications. It uses bundle adjustment algorithms for establishing the structure of the scene, internal and the external orientations [7, 37, 189]. SfM has advantages of having a simple processing workflow, ability to calculate the camera position, orientation and scene of the geometry from overlapping images only, not requiring camera calibration parameters and lastly, getting height from two-dimensional images [189]. Pix4dmapper [38, 132], Agisoft PhotoScan Pro [26] and Automatic Photogrammetric Software (Caturegli et al., 2016) are some of the commercially available SfM software. Freely available SfM Web services includes Autodesk 123D Catch (Michelleti et al., 2015) and Microsoft Photosynth. However, both services were discontinued in 2016 and 2017. Bundler, VisualSFM, Multi-View Stereo (PMVS2) and Ecosynth falls under the category of open-source SfM packages. Although they have been criticized for their computational time and reliability, their performance is sometimes found to be at apr with some of the commercially available options [20, 189].

## 8.4.1.3 UAS Image Applications in Digital Agriculture

Researchers have been using UAS imagery for extracting glut of agricultural data. UAS imagery data includes: plant height, crop biological parameters and plant stress.

Plant height is a key indicator for predicting many parameters such as: crop biomass and yield potential, growth, treatments and stress monitoring, underlying biophysical, ecological and hydrological processes. [26, 33, 74]. Plant height can be derived from LiDAR or SfM-based photogrammetry. Terrestrial laser scanning (TLS) accurately measures plant height for modeling of crop surface and growth monitoring [65, 186, 201].

Although Direct DEM product of SfM is produce a digital surface model, vegetation canopy points can be filtered from point cloud to obtain ground surface elevation point (DTM). Separating ground from non-ground points helps in estimating biomass and other relevant parameters [7, 74, 189]. However, separation of vegetation information

has been only partially successful [42, 67]. DTM can also be extracted using UAS before and after growing season [26]. SfM-based heights are found to be more accurate than the TLS-based heights. Moreover, lower altitudes (e.g., 40m) give more accurate crop heights [36, 87]

Many studies have shown the application of UAS in monitoring crop biological parameters. Information from UAS imaging has been used for evaluation of plant growth, biomass, physiological changes, stresses and many other crop biological properties. LiDAR, thermal and hyperspectral sensors are used in this type of research. The biological parameters are highly effected if crop is stressed (water, diseases and infection from pests etc.). 20% of irrigated land of the world has high salt concentration [117]. It can cause stomatal closure, decreased photosynthesis, increased leaf temperature [178]. Crop stress can be monitored by the data from periodic thermal and visible to NIR UAS imaging during the growth season.

Thermal UAS imaging can be used to measure temperature for calculating crop water stress index for leaf anomalies [25, 84]. Thermal remote sensing is also used to measure the soil moisture and texture, crop residue cover, field drainage tiles and yield [96]. Apart from remote sensing, optical sensing can also be used to identify plant conditions. Nutrient deficiencies in plants make them more susceptible to herbivores pests [51, 178]. Optical UAS images have been used to detect symptoms for plant nutrient deficiencies [40, 184].

Weeds compete with the crops for the natural resources, e.g., solar radiation, nutrition and water. Site-specific weed management can decrease the cost of crop production and lower the environmental impact. Ultra-high resolution UAS images helps in early and late season detection of weed's species, density and patches [128, 129]. Due to spectral similarities between weeds and crops, hyper-spectral sensors are more useful than multispectral sensors [109]. UAS imaging have been used in assessing disease development, atmospheric pathogen development and monitoring, and precision spraying [18, 20, 48]. However, UAS-based sensing for assessment of diseases is still not in the mature stage [27, 30, 178].

## 8.4.1.4 Image analysis

Prior to analysis of UAS images, some categorical information, e.g., crop type, lodged crop, stressed crop etc., needs to be extracted. Many researchers adopt classification methods while doing qualitative analysis for assigning different class labels. These classification methods can be supervised or unsupervised methods. Some machine learning algorithms are also developed for segmentation of vegetation and bare soil [55].

For quantitative analysis, VIs are computed to find relation between spectral and field biological data [88]. Digital numbers, VIs and reflectance can be linked to crop biological and environmental parameter. Most common VI, NDVI, is used to measure biomass, nitrogen content, chlorophyll content and other biological parameters. Soil Adjusted VI is used to amplify spectral difference between vegetation and soil [186]. RGB camera-based VIs (Green NDVI, Excess Green Index (ExG), Green Ratio VI)

8.4 Soil Sensing From the Air

proved to be a good indicator of leaf area, pigment content and canopy structure [132]. Some VIs are also used to detect vineyard water stress [21, 24, 46]. Stude is have indicated that VIs derived from UAS are comparable to those derived from other remote sensing method (e.g., satellite and manned-aircraft) and also provide more spatial details.

# 8.4.2 Future Trends and Conclusion

UAS has become very popular option for monitoring of crops' biological and environmental parameters, however, they are relatively expensive option. There exists no straightforward procedure for image interpretation, processing and analyses and it requires considerable amount of expertise and skilled technicians to use this option [20]. A straightforward and an automated tool should be developed for wider adoption of UAS in agriculture [186]. UAS may not be able to cover large enough area which can cause technical di culties. Furthermore, advancement and development of UAS technology also rely on loosening of aviation regulations. Local producers must be educated on what cost-effective UAS options are available to them. Due to involvement of different equipment's and requiring high technical expertise from different area, a group of researcher can be formed to raise awareness among farmers on using these systems [186, 226]. Farmers, either as a group or individually, can also hire the consultancy service to receive proper guidance [226].

# 8.4.3 NASA Soil Moisture Active Passive Mission

NASA sent a Soil Moisture Active Passive (SMAP) satellite for research purpose carrying an L-band radiometer (resolution = 40km) on January 31, 2015. This satellite was capable of detecting passive microwave radiation emitted by the Earth. It was also equipped with the radar (resolution  $\approx$  3km) for sending microwave to the surface of the earth and detecting the reflection. The mission was to measure soil moisture and detect water freeze/thaw states. Backscatters from radars were effected by the vegetation, bodies of water and surface irregularities. Therefore, signals from radiometers and radars were combined to get soil moisture reading within 9km resolution [22, 65]. The radar amplifier was failed on September 2, 2015, because of which it stopped working. However, NASA was successful in getting images of 20km resolution by using different methods such as interpolating and de-convolution and also the oversampling of radiometer. Another approach can read image if 1km resolution by applying active-pass algorithm to the images from other radars [45, 55]. The mission's data plays an important role in developing weather, soil moisture model and carbon cycle but SMAP accuracy is major concern

#### 8.4.3.1 Cosmic-Ray Neutron Probes

A neutron probe is an amalgamation of beryllium and a radioactive material which releases high and low speed neutrons. High speed neutron collides with light hydrogen atom to produce a high amount of energy whereas low-speed neutron form a cloud whose density is directly proportional to the soil water content, e.g., dry soil will create a less dense cloud fewer low-speed neutron and less amount water [59]. Cosmic-ray neutron probes (CRNP) works on the same principle. High-speed neutrons are produced by cosmic-rays coming from space and can reach several hundred meters. Soil moisture is inversely proportional to the effective depth. However, while calibrating the instruments, it is very important to consider the other hydrogen sources, e.g., decomposing soil organic component and humus, and need average in-situ soil moisture measurement and neutron intensity [54]. It is also important to properly follow security and safety infrastructure to avoid any radiation hazard while CRNP [24][36].

Franz et al. [25] did a comparative investigation for soil water content (SWC) readings taken by in-situ Time-Domain Transmissivity (TDT) sensors and CRNP. The mean absolute error of  $0.0286 \text{ m}^3/\text{m}^3$ ) was measured. However, while taking SWC measurement from TDT sensors, the sensors were not permanently installed in the field due to their sparse distribution. Similarly, readings from CRNP does not include vegetation type.

## 8.4.3.2 GPS Interferometric Reflectometry

Global positioning system (GPS) also uses L-band microwaves. GPS Interferometric Refectometry can detect conditions like snow depth, soil moisture, and vegetation water content. To does so by detecting the temporal changes in SNR of line-of-sight (direct wave) and multi-path reflected component [37, 55]. Soil permittivity effects the reflected wave and can change frequency, phase and amplitude of the signal. These signal snapshots are refereed to as interferograms. Geodetic-quality GPS antennas can detect soil moisture from a very small distance (2-5 cm) from the surface. It is fixed on airborne devices. However, one disadvantage of the scheme is that it highly dependent on constellation of GPS satellites. These satellites moves around the earth few times a day, hence, limiting the number of estimations[1, 51, 54].

## 8.4.3.3 Wireless Sensor Networks

Precision irrigation applications requires high spatio-temporal resolution for proper working which is provided by the sensor networks. Wireless communication helps in providing remote information access, this information is provided in real-time so that manual manipulation can be avoided to get an idea about the field conditions. [17] used small amount of sensors for measuring soil moisture because of precipitation duration and rainfall cell radius magnitude.

#### 8.5 Soil Moisture Sensors Calibration

[108] discusses various techniques for estimating exact location of the sensor nodes. In general, examining different soil properties with varying soil moisture level can give an average soil moisture value for the field. [15] calculates location-specific solar radiation intensity values to estimate the evaporation rate corresponding to that certain location. A mobile application is used for this approach. The calculations matched the reading from agriculture station which helped in generalizing the result to all those location which have same radiation conditions. Sensor cluster is then placed in chosen area with following the recommendations given in [17, 47, 52].

The primary task in the WUSN is to determine number of sample readings. It is important because sensing and communicating data consumes large amount of power [17, 49]. Shallow roots and high porosity causes speedy water infiltration and evaporation in soil. Therefore, large sampling rate is needed to overcome this highly fluctuating effect.

# 8.5 Soil Moisture Sensors Calibration

Extensive research is being done in designing e cient and accurate soil moisture sensors for the irrigation applications [13, 16, 25, 46, 65, 130, 140, 228]. The aim is to avoid financial losses because of over- and under- irrigation. The studies helps in 1) selecting accurate soil moisture sensor based on the soil texture, 2) error rate of the sensor, and 3) using calibration method that can help in accurate decision making. The adoption rate of sensing technologies have been very slow not only in America but globally as well. For example, in United States, Nebraska leads the sensors adoption rate by 30.5% in 2013 [12]. Nebraska has taken a firm position in agricultural water management. The organizations like Nebraska Agricultural Water management (NAWMN) [92], spread the farm-level technologies among 1500 collaborators to increase the adoption rate among stakeholders, i.e., producers, advisors and crop consultants etc. However, there is still need to improve th agricultural use and management of water give the fact that on 11.2% of the United States farms uses soil moisture based sensor devices [12, 54].

About 90% of farms in the United States does not adopt science-based irrigation water management technologies which raises the questions about the effectiveness of ongoing research. The major challenge in soil moisture adoption rate is the lack of well-defined guidelines to train users to understand and what to expect of technology. When one has to choose from multitude of available senors, it can cause uncertainty due to different soil textures, therefore, suitability is an important aspect to look for while increasing the adoption rate. In addition to accuracy, operational feasibility, e.g., financial, ease of operation, durability and logistic features of a sensor, is also very important. Although, accuracy is the primary concern for scientific users, however, operational feasibility plays an important role in changing preferences of commercial in selecting sensors. For example, a field with high spatial variability will require many sensors in to cover multiple sites and labor to deploy those sensors. Therefore,

operational feasibility parameters (time, cost and labor) will be important inputs to consider, in addition to accuracy, while implementing the system [33, 43].

In coming sections, a framework is provided to evaluate the sensors on the basis of accuracy and operational feasibility. A total of nine commercially available sensors are used along with the two different soil types: Silt loam and sandy soil. Two different orientations of sensing equipment, i.e., horizontal and vertical to ground surface, are used. Finally a decision-making guide is presented to help selecting the sensors on the basis of accuracy and operational feasibility [27, 32].

## 8.5.1 Materials and Methods

- *Experimental Sites:* Outdoor field experiments were conducted in two sites with each having different soil type (sandy and silt loam) in Nebraska during growing season of 2017 and 2018. Rest of the discussion will refer these sites as per the soil type, i.e., sandy and silt loam. These two soil types provide and opportunity for sensor evaluation in irrigated and rainfed agricultural systems. Table. 8.2 lists the important details pertaining to both experimental sites.
- *Sensors:* As discussed earlier, a total of nine sensors. These nine sensors were evaluated in two sets of each sensor: horizontal orientation and vertical orientation. The only exceptions were JD multi-sensor probe and TDR315L (Acclima) because that can only be used vertically and horizontally, respectively. Following sensors were used for the experimentation:
  - TrueTDR-315L (Acclima, Inc., Meridian, ID)
  - CS616 (Campbell Scientific, Inc., Logan, UT)
  - CS655 (Campbell Scientific, Inc., Logan, UT)
  - 5TE (Meter Group, Pullman, WA)
  - SM150 (Delta-T Devices, Cambridge, U.K.)
  - 10HS (Meter Group, Pullman, WA)
  - John Deere (JD) Field Connect (John Deere Water, San Marcos, Cal.)
  - EC-5 (Meter Group, Pullman, WA)
  - Dielectric Water Potential-based SensorTEROS 21 (MPS-6) (Meter Group, Pullman,WA)

The first three are Time-Domain Reflectometry (TDR)-based Sensors and others are Capacitance-based Sensors. The sensors measures volumetric water content  $(\theta_v) (m^3 m^{-3}\% \text{vol})$  except TEROS 21 (MPS-6), which gives soil matrix potential  $(\Psi_m)$  (kPa) and is converted to  $\theta_v$  by soil-specific soil-water release curves given by.

*Reference (True) Moisture Measurement:* Reference soil moisture values θ<sub>v</sub> (θ<sub>vref</sub>) has been measured using Troxler Model 4302 neutron probe (NP) soil moisture gauge (Troxler Electronic Laboratories, Inc., Research Triangle Park, N.C.). All other sensor values are calibrated on the basis of NP measurements.

#### 8.5 Soil Moisture Sensors Calibration

Site Features	Site 1	Site 2	
Location	University of Nebraska-Lincoln South Central Agricultural Laboratory (SCAL), near Clay Center, Nebraska	Central City approximately 10 km north of the Platte River, Nebraska	
Average Annual Precipitation	$730\ mm$	732 mm	
Average Growing Season Precipitation	437 mm	464 mm	
Soil Type	Well-drained Hastings silt loam soil	Soil Type Well-drained Hastings silt loam soil Deep, moderately drained, and moderately permeable loamy sand	
Soil Field capacity (FC)	$0.34 m^3 m^{-3}$	$0.19 m^3 m^{-3}$	
Soil Permanent Wilting Point (PWP)	$0.14  m^3 m^{-3}$	$0.05 m^3 m^{-3}$	
Crops Grown	Field maize and Soybean	Buffalograss and Tall fescue	
Size of the field	16.5 ha	70 ha	

Table 8.2: Experiment Site Details [13]

Factory calibrated NP measurement are correlated with gravimetric-sample determined  $\theta_v$  to develop site-specific calibration using following equations:

$$y = 0.9061x + 0.0354 \tag{8.2}$$

$$y = 1.0848x - 0.0246 \tag{8.3}$$

where y is  $\theta_{vref}$ , x is  $\theta_v$  and equation 8.2 and 8.3 are measures calibration for silt loam and sandy soil, respectively.

• *Installation Specifications:* Sensor output accuracy is also dependent upon how they are installed in the field. Four soil type-orientation were referred as: silt loam H, silt loam V, loamy sand H, and loamy sand V, where H and V represents the vertical and horizontal orientation. For horizontal orientation (silt loam H, loamy sand H): soil pits were dug on both sides of sensors in furrow (silt loam soil) and grassed area (loamy sand soil). Sensors were installed parallel and perpendicular for horizontal and vertical orientation, respectively. The distance between the sensors are kept such that one sensor may not effect the readings

from other sensor. For vertical orientation, distance between the horizontal plane and the ground surface is kept 30 cm and 50 cm for silt loam and loamy sand soil respectively. The JD probe uses different orientation because of its distinguishing characteristic of being a multi-depth probe and is compared with NP probe at multiple depths (10, 20, 30, 50, and 100 cm).

- Soil Moisture Data Measurement and Retrieval: Dataloggers are used to collect data from the sensors about soil moisture every minute and hourly averages. Only in the case of JD probes, telemetry was used to collect data. NP measurements were taken every week throughout growing season.
- Statistical Analysis: For performance evaluation of sensors, root mean squared error (RMSE,  $m^3m^{-3}$ ), is computed as follow:

$$RMSE = \sqrt{\frac{\sum_{i=1}^{n} (E_i - M_i)^2}{n}}$$
(8.4)

where n is total number of observations,  $M_i$  is sensor values, and  $E_i$  are corresponding NP-probe measure values. RMSE value is used as absolute value of error for a particular sensor.

• *Evaluation Metrics:* The two metrics used for the each sensor evaluation are: Operational feasibility and performance accuracy. The next two section briefly explain the how sensors are evaluated for these metrics.

## 8.5.2 Operational Feasibility

Operational feasibility of a sensors can be expressed by the following four characteristics:

*Telemetry*. Telemetry (TM) refers to real-time access of soil moisture data from the site on mobile or web platforms which is transmitted using some terrestrial or radio system. It prevents users from labor and time investment of going physically to the site and monitoring water profile of the soil [40]. The TM information is quantified by following equation and referred to as  $Score_1$ :

$$Score_1 = \begin{cases} 0 & \text{No TM} \\ 100 & \text{With TM} \end{cases}$$
(8.5)

Sensor Cost. Sensor cost plays a very important role in selection of sensor. The absolute cost of sensor (Table 8.3) is quantified and re scaled to scale of 0-100 to be consistent with other factors scores and is represented by  $Score_2$  as follow:

$$Score_{2} = 100 - \left(\frac{Max_{scaled} - Min_{scaled}}{Max_{cost} - Min_{cost}}\right) \times (Sensorcost - Min_{cost}) + Min_{scaled}$$
(8.6)

Sensor		Sensor Cost (\$)	Sensing and Logging Cost (\$) (No TM)	Sensing and Logging Cost (\$) (TM)
CS655		228	1928	2378
CS616		148	1848	2298
SM150		230	1590	3590
10HS		128	624	1274
EC-5		120	616	1266
5TE		225	721	1371
TEROS (MPS-6)	21	225	721	1371
JD Probe		193	1193	2193
TDR315L (Acclima)		295	670	N/A (Not available from manufacturer)

Table 8.3: Prices (in USD) of sensors and corresponding dataloggers as on March 2019 [13]

where  $Max_{scaled}$  and  $Min_{scaled}$  are extremes of score metric (0 and 100), and  $Max_{cost}$  and  $Min_{cost}$  are extremes of absolute cost of sensors in USD. Cheapest sensor will have the score of 100 and expensive sensor will have score of 0. *Cost of Sensing and Data Logging*. Accurate sensing and data logging after fixed intervals (e.g., 30-60 minutes) is an important part of sensor operations. It gives daily status status as well as the historical soil moisture data for decision making and scrutiny of data for quality purposes. Therefore, equation 8.7 and 8.8 gives the total cost of sensing and data logging with and without TM, respectively:

$$Total Cost_{NoTM} = Sensor Cost + DL cost$$
(8.7)

Total 
$$Cost_{TM}$$
 = Per sensor cost + DL cost + TM cost (8.8)

The total cost of the sensor (Table 8.3) is quantified and rescaled as  $Score_3$  using method similar to used in  $Score_2$  computation as follow:

$$Score_{3} = 100 - \left(\frac{Max_{scaled} - Min_{scaled}}{Max_{Totalcost} - Min_{Totalcost}}\right) \times (Totalcost - Min_{Totalcost}) + Min_{scaled}$$

$$(8.9)$$

where  $Max_{scaled}$  and  $Min_{scaled}$  are extremes of score metric (0 and 100), and  $Max_{Totalcost}$  and  $Min_{Totalcost}$  are the extremes of absolute total cost of sensors in USD (Table 8.3). Cheapest sensor will have the score of 100 and expensive sensor will have score of 0.

*Ease of Operation.* Ease of operation can be assessed by measuring ease of interaction with users at various stages. The stages can be categorized to setting up datalogger, collecting data from datalogger and post-processing the data. The following  $Score_4$  for this factor is quantified based on the factor if in a sensor datalogger comes with a graphical user interface (GUI) and if post-processing of data is needed for the sensor:

$$Score_{4} = \begin{cases} 0 & \text{No GUI} \\ 100 & \text{GUI, no data post-processing} \\ 50 & \text{GUI, require data post-processing} \\ 1 \end{cases}$$
(8.10)

All scores applies to any orientation and soil type and remains unchanged if site-specific calibrations (S.S.C.) or factory calibrations (F.C.) is used.

## 8.5.3 Performance Accuracy

The performance accuracy shows the ability of sensor to accurately sense the data. It is dependent upon soil type and orientation, therefore, for all soil type and orientation combinations, root mean squared error (RMSE), for each sensor's  $\theta_v$ , is measured against reference values from neutron probes. To be consistent with operational feasibility, RMSE values are scaled to have score of 0-100 refereed as a performance accuracy (P.A.) score. P.A. score is computed as follow:

$$P.A.score = 100 - \left(\frac{Max_{scaled} - Min_{scaled}}{Max_{RMSE} - Min_{RMSE}}\right) \times (RMSE - Min_{RMSE}) + Min_{scaled}$$
(8.11)

where  $Max_{scaled}$  and  $Min_{scaled}$  are extremes of score metric (0 and 100), and  $Max_{RMSE}$  and  $Min_{RMSE}$  are the extremes of sensor's RMSE value (Fig 8.4). Most accurate sensor will have the score of 100 and least accurate sensor will have score of 0.

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## 8.5 Soil Moisture Sensors Calibration

Table 8.4: Root mean squared error RMSE $(m^3 m^{-3})$ for each sensor using multiple
orientations, soil types, and calibration types [13]

	<b>RMSE</b> (m <sup>3</sup> m <sup>-3</sup> )				
Soil Type	Sensor	Vertical		]	Horizontal
		F.C.	S.S.C.	F.C.	S.S.C.
	CS655	0.05	0.03	0.15	0.05
	CS616	0.06	0.03	0.40	0.05
	SM150	0.0	7 0.02	0.06	0.04
	10HS	0.10	0.03	0.07	0.06
Silt Loam	EC-5	0.15	0.03	0.15	N/A
	5TE	0.05	0.02	0.06	0.04
	TEROS 21 (MPS-6)	0.08	0.03	0.11	0.05
	JD Probe	0.05	0.06	N/A	N/A
	TDR315L (Acclima)	N/A	N/A	0.06	0.04
	CS655	0.03	0.03	0.01	0.02
	CS616	0.03	0.02	0.03	0.025
	SM150	0.04	0.04	0.02	0.02
Loamy Sand	10HS	0.04	0.02	0.14	0.02
	EC-5	0.05	0.04	0.09	0.02
	5TE	0.04	0.04	0.03	0.01
	TEROS 21 (MPS-6)	0.21	0.03	0.22	N/A
	JD Probe	0.01	0.02	N/A	N/A
	TDR315L (Acclima)	N/A	N/A	0.02	0.02

# 8.5.4 Results and Discussion

Scores from equations (8.5, 8.6, 8.9, and 8.10) were used to assess operational feasibility of a sensor (see Table. 8.5). All sensors, except TDR-315L (Acclima), were scored 100 for Score<sub>1</sub>. TDR-315L (Acclima) was scored 0 because of non-availability

of TM. Score<sub>2</sub> shows more variability than Score<sub>1</sub> with EC-5 having lowest score of 0 (most expensive) and TDR-315L (Acclima) sensors having the maximum score of 100 (cheapest). Rest of the sensors didn't had much difference (\$225-\$230) with the scores ranging between 37-40. For Score<sub>3</sub>, there can be two cost cases: with or without TM. In category of sensors without TM sensing and datalogging, EC-5 and CS655 were cheapest and the most expensive sensors, respectively. In category of sensors with TM sensing and datalogging, EC-5 and SM150 were cheapest and the most expensive sensors, respectively. In category of sensors, respectively. TM options had a significant impact on the total cost of the sensor, hence, also on the selection of sensor. Finally, all sensors, except CS616, CS655, and TEROS 21 (MPS-6), score 100 in Score<sub>4</sub>. Because of need of programming for setting up the datalogger for CS616 and CS655, they were scored 0 for Score<sub>4</sub>. Similarly, TEROS 21 required data post-processing and was scored 50 fro Score<sub>4</sub> [32, 35].

P.A. scores were calculated for four soil type and orientation combinations: silt loam H, silt loam V, loamy sand H, and loamy sand V, using equation 8.11 (see Table. 8.5). P.A. scores are studied from the three perspective: on the basis of site, calibration type and orientation. For silt loam V, CS655 with highest P.A., and EC-5 with lowest P.A., had extreme P.A. score values under F.C., and SM150 with highest P.A., and JD Probe with lowest P.A., had extreme P.A. score values under S.S.C. It is interesting to note that changing the calibration method completely alters the P.A. scores. For silt loam H, 5TE SM150 with highest P.A., and CS616 with lowest P.A. had extreme P.A. score values under F.C., and 5TE with highest P.A., and 10 HS with lowest P.A., had extreme P.A. score values under S.S.C. It is interesting to note that 5TE performed well in all conditions and can be a suitable choice for irrigation applications. For the soil type, following changes were observed for P.A. scores: 10 HS (loamy sand V under S.S.C.), JD probe (for loamy sand V under F.C.), CS655 (loamy sand H under F.C.), and 5TE (loamy sand H under S.S.C.). P.A scores are singular for the sensors and does not have constituent as in operational feasibility scores and it is significantly effected by changing the orientation, soil type and calibration type [20, 48, 71, 72].

## 8.6 A Guide for Sensor Selection

Fig. 8.3 gives a step-by-step sensor selection framework to help choosing appropriate sensors for given conditions. The steps of this framework are as follow:

- Choose appropriate factors among operational feasibility components and P.A which are most relevant to the users condition to recognize the sensors with characteristics more closer to user demand, e.g., for a highly skilled research ease of operation (Score<sub>4</sub>) can be ignored.
- Each factor is assigned a weight on the basis of importance to user, e.g., for research purpose P.A. scores are assigned high weight.

#### 8.6 A Guide for Sensor Selection

	<b>Operational Feasibility (O.F.) Score</b>					
Column ID	1	2	3	4	5	
Sensor	Score 1	Score 2	Score 3 (Non-TM)	Score 3 (TM)	Score 4	
CS655	100	38	0	52	0	
CS616	100	84	6	56	0	
SM150	100	37	26	0	100	
10HS	100	95	99	100	100	
EC-5	100	100	100	100	100	
5TE	100	40	92	95	100	
TEROS 21 (MPS-6)	100	40	92	95	50	
JD Probe	100	58	N/A	60	100	
TDR315L (Acclima)	0	0	96	N/A	100	
		Performanc	e Accuracy (P.A.) Scor	re		

Table 8.5: Total score calculated for each sensor for operational feasibility (O.F.) and performance accuracy (P.A.). P.A. scores may differe from parameters of experiments, e.g., soil types, orientations and calibration whereas scores for O.F. are universal [13]

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S	ilt Loam V	S	ilt Loam H	Lo	amy Sand V	Lo	amy Sand H	
F.C.	S.S.C.	F.C.	S.S.C.	F.C.	S.S.C.	F.C.	S.S.C.	
100	76	73	47	90	66	100	53	
87	80	0	31	94	74	95	79	
74	100	100	80	85	0	98	0	
44	84	95	2	87	100	41	8	
0	75	73	N/A	84	17	65	84	
97	94	100	100	87	11	91	100	
67	80	85	72	0	23	0	N/A	
99	0	N/A	N/A	100	81	N/A	N/A	
N/A	N/A	98	83	N/A	N/A	97	8	

• The assigned weight is multiplied with the corresponding score of the factor. As an example, an equation is shown in Fig. 8.3-step 3 where various individual factors scores for ease of operation, sensing and datalogging cost, and P.A. scores are multiplied by corresponding weights ( $\beta_2$ ,  $\beta_1$ ,  $\beta_3$ ), respectively, and a final score is computed.



Fig. 8.3: Selection procedure of soil moisture sensors

• All sensors are compared for the final scores for evaluation on the basis of degree of success and operational feasibility that can be achieved by a particular sensor. The sensor with the highest final score will be most suited for the application.

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