1	Subseasonal prediction for bloom dates of tart cherries in Utah and
2	Michigan, USA: Merging phenological models with CFSv2 forecast
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Abstract

15 Temperate fruit trees require chilling for rest completion, followed by sufficient heat 16 accumulation for onset of growth and bloom. The application of phenological models to 17 predict bloom dates has been widely used in orchard management. Examples of such 18 application include selecting adapted cultivars less prone to early bloom, predicting needs 19 for frost protection, and preventing damage from late spring freezes. This study merged the 20 Utah (chill) and ASYMCUR (forcing) phenological models by combining chill units and 21 heat units (measured in growing degree hours) to predict bloom dates of tart cherries (Prunus 22 cerasus L.) in Utah and Michigan, the top producing states of the USA. It was found that the 23 modified *Utah* model improves the estimation of chill units compared to the original one, 24 while the original *Utah* model may still be suitable for use in the colder winter of Michigan 25 (with its later bloom dates than Utah). The combined models were applied with the 26 temperature predicted by the Climate Forecast System v2 (CFSv2) model. The prediction 27 was applied twice a month, starting from 1 February to 1 May. The Utah-ASYMCUR model 28 using the forecasted temperature from CFSv2 exhibits subseasonal performance in 29 predicting the bloom dates for 6 weeks in advance. The prediction can offer growers a way 30 to mitigate extreme climate anomalies.

Keywords: chill models, heat models, bloom dates, tart cherries, CFSv2, subseasonal
 prediction

33 **1. Introduction**

34 Temperate fruit crops are most susceptible to cold temperature damage during the 35 period near full bloom. For tart cherry (Prunus cerasus L.) near bloom, the critical 36 temperatures at which 10% and 90% of fruiting buds are killed are -2.2°C and -4.4°C 37 respectively (Longstroth 2007). In 2012, the Michigan tart cherry industry, typically the 38 largest in the United States, experienced catastrophic crop losses (USDA 2017; Fig. 1a) due 39 to a combination of an anomalously warm spring that brought on early bloom followed by 40 consecutive days of freezing temperatures during bloom (Ault et al. 2013; Rill 2016). In 41 Utah, the second largest tart cherry producing state, damage from freeze events near bloom 42 is also common, as was the case in May 2002 (Fig. 1b; NASS 2002). Much of the commercial 43 fruit production in Utah is situated in high elevation mountain valleys (1,400 to 1,525 m 44 elevation) with a semi-arid climate, with wide temperature fluctuations in late winter and 45 early spring. These temperature fluctuations can result in crop failure when they occur during 46 bloom.

47 Understanding the frequency of and predicting crop losses due to spring frost is an 48 important step in sustaining the fruit industry under the warming climate. Observational 49 analysis shows that the onset of spring across western North America has advanced at a rate 50 ranging from 1.5 to 3 days per decade (Schwartz et al. 2006, 2013), accompanied by a large 51 yearly fluctuation up to 30 days (Ault et al. 2013). Springtime warming is accompanied by 52 a pronounced declining trend in the snowpack and earlier snowmelt in the western US 53 (Cayan et al. 2001; Dettinger et al. 2004; Hamlet et al. 2005; McCabe and Wolock 2007) 54 including Utah (Gillies et al. 2012). These phenomena have accelerated bloom of many tree species (i.e., Ault et al. 2013; Ellwood et al. 2013) while increasing the risk of spring freeze damage (Augspurger 2013). Subsequently, developing an extended forecast for spring climate and full bloom date of crops is a necessary next step to help mitigate risk of damage in the fruit industry. To the authors' knowledge, there is no subseasonal prediction (2 weeks to 2 months lead time) of bloom dates for tart cherries in Utah and Michigan.

60 The objective of this study is to test the feasibility of subseasonal prediction by 61 combining common phenological models for tart cherries with climate model forecasts. We 62 combined two different models, i.e. the Utah-chill model and the ASYMCUR-forcing 63 model, which were developed based on peach and cherry trees in Utah and Michigan 64 (Richardson et al. 1974; Anderson et al. 1986). These models have been widely tested for a 65 variety of fruit and forest trees (Cesaraccio et al. 2004; Melo-Abreu et al. 2004; Pérez et al. 2008; Luedeling and Brown 2011; Miranda et al. 2013; Maulión et al. 2014). In our analysis, 66 67 we evaluate the prediction of bloom dates using a subseasonal climate prediction model. 68 Phenological and meteorological datasets were obtained from sites in Michigan and Utah, representing each state's most important tart cherry growing region. The details of these 69 70 models and the data used are introduced in section 2. Section 3 provides the results and 71 discussion in the two parts: section 3.1 presents the modeling and validation analysis results, 72 and section 3.2 presents the subseasonal forecast results. Discussion and conclusion are 73 given in section 4 and 5, respectively.

74 **2. Materials and methods**

75 *2.1 Bloom date and meteorological data*

76	Dates of full bloom (BBCH65) of 'Montmorency' tart cherries were collected from
77	a commercial orchard near West Payson, Utah, and from Michigan State University's
78	Northwest MI Horticultural Research Center, Traverse City, Michigan (location in Fig. 1c).
79	The datasets cover the periods of 1983-2014 for Traverse City, Michigan (TC-MI) and 1986-
80	2016 for West Payson, Utah (WP-UT). Temperature data were obtained from automated
81	weather stations located within the orchards (WP-UT, 40.135°N, -111.820°W, 1404.5 m
82	a.s.l.; and TC-MI 44.8831°N, -85.6777°W, 247 m a.s.l.) and data stored and managed by the
83	Utah Climate Center (https://climate.usu.edu) for Utah and by the Enviro-weather
84	Automated Weather Station Network (https://mawn.geo.msu.edu) for Michigan,
85	respectively. The Utah data set contains hourly records for 2010-2017, with 7.1% of missing
86	values, and daily data for 2004-2017, with 0.25% (0.29%) of missing Tmax (Tmin). The
87	Michigan data set includes both hourly and daily data for 2000-2017, with missing values
88	for hourly, daily-Tmax and daily-Tmin data at rates of 0.12%, 0.19% and 0.66%,
89	respectively. Missing values of temperature were estimated by using linear interpolation.
90	Since phenological models (chill and heat models) require hourly data as inputs,
91	daily minimum temperature (Tmin) and maximum temperature (Tmax) during 2004-2017

for WP-UT and 2000-2017 for TC-MC were converted to hourly temperature by using two
methods, the triangular approximation (Cortázar-Atauri et al. 2009) and Linvill's method
(Linvill 1990), as follows:

95

96

• *Triangular approximation*: The method consists of linear interpolation between Tmax/Tmin of day n [Tmax(n) / Tmin(n)] and Tmin of day n+1 [Tmin(n+1)]. It

99 if
$$h \le 12$$
 then $T(h,n) = Tmin(n) + h [(Tmax(n) - Tmin(n))/12]$ (1)

100 if
$$h > 12$$
 then $T(h,n) = Tmax(n) - (h-12) [(Tmax(n) - Tmin(n+1))/12]$ (2)

101 Linvill's method: The model development is based on daytime solar cycle and 102 nighttime cooling curve. Hourly temperature from sunrise to sunset was estimated 103 using Eq.3; where T(t) is temperature at time t after sunrise and DL is daylength (in 104 hours). The nighttime hourly temperature was estimated by using Eq.4; where T(t) 105 is temperature at time t > 1 hour after sunset and Ts is the sunset temperature obtained 106 from Eq.3. The first method requires only temperature data while the second method 107 requires datasets of temperature, sunrise-sunset times and day lengths for the 108 calculation. Sunrise and sunset times are obtained from US Navy website 109 (htpps://aa.usno.navy.mil/ data/docs/RS OneDay.php). Daylength is the difference 110 between sunrise and sunset times.

111
$$T(t) = (Tmax - Tmin) x \sin[(\pi x t)/(DL + 4)] + Tmin$$
 (3)

112
$$T(t) = Ts - [(Ts - Tmin)/\ln(24 - DL)] x \ln(t)$$
(4)

We compared these two methods and found Linville's method to perform more realistically, concerning daylength variation in each season and location than the hourly data. Nonetheless, the triangular approximation is a simpler method and was also used.

116 *2.2 Phenological models*

117 Phenological models predict bud development through two phenological phases: 118 endodormancy and ecodormancy. The process of endodormancy release (rest completion) 119 requires chilling temperature for a sufficient period of time and can be predicted by several 120 chill models (i.e. Bennett 1949; Weinberger 1950; Richardson et al. 1974; Fishman et al. 121 1987a, b; Erez et al. 1990). After rest completion, warm spring temperatures (heat 122 accumulation) release ecodormancy and buds resume growth. The heat accumulation can be 123 estimated by forcing or heat models (i.e. Richardson et al. 1982; Anderson et al. 1986; 124 Bonhomme 2000). Spring heat accumulation alone has been used to predict bloom dates of 125 woody trees (Hänninen 1995; Fu et al. 2012) but the prediction is more precise when both 126 chilling and heat accumulation are included (i.e., Melo-Abreu et al. 2004; Miranda et al. 127 2013; Maulión et al. 2014; Chuine et al. 2016). Hereafter, combined chill and heat models 128 were used to predict bloom dates.

129 The methodological steps for estimating and predicting bloom dates in WP-UT and 130 TC-MI are described in Figs. 2a and 2b respectively. We used the Utah model to estimate 131 end date of endodormancy based on chill units (CH; Fig. 3; Richardson et al. 1974) and 132 sequentially used the asymmetric curvilinear model (ASYMCUR model) to estimate 133 budburst (Fig. 2 Steps A and B) based on heat units in growing degree hours (GDH) 134 (Richardson et al. 1982; Anderson et al. 1986). ASYMCUR requires hourly temperature 135 (TH), with 4 °C as base temperature (TB), 25 °C as optimum temperature (TO) and 36 °C as 136 the maximum critical temperature (TM), TO-TB represents the amplitude of the growth 137 curve (A), and 1.0 as a stress factor of the fruit tree (F) for the computation. See the following 138 two equations (Fig. 2 steps B and C; see Table 1 for abbreviations):

139 if TH
$$\leq$$
 TO then GDH = F · A/2 [1 + cosine ($\pi + \pi$ (TH-TB)/(TO-TB))] (5)

140 if TH > TO then GDH = F • A [1 + cosine
$$(\pi/2 + \pi/2 \text{ (TH-TO)/(TM-TO))}]$$
 (6)

141 The combined Utah-ASYMCUR models (hereafter "Utah-ASYMCUR") were 142 validated using the phenoclimatography values distributed by Anderson et al. (1986) and 143 updated here with the new datasets of weather and bloom dates for the Montmorency tart 144 cherry. By using the Utah-ASYMCUR combined model, date of chilling inception of each 145 year was specified by the maximum negative number of chill unit accumulation. Rest 146 completion date was the date when chill accumulation reached 954 units (Anderson et al. 147 1986). Thereafter GDH was calculated. Full bloom date was indicated when 6130 GDH 148 (Anderson et al. 1986) were accumulated.

149 The two models were subsequently modified before being used to predict bloom 150 dates (Fig. 2 Step C) because the original combined model produced a late estimation of 151 bloom dates. This assumes the models under-estimate either chill or heat unit accumulation. 152 For the Utah_(modified) model (Fig. 3), temperature scales for chill unit contribution were 153 adjusted based on the temperature curves reported by Anderson et al. (1986), while 154 temperatures below 0 °C would not accumulate chill units. Likewise, temperatures 14 °C and 155 above would reduce chill units. This is similar to modifications previously reported for 156 improved estimates for blueberries and blackberries (Warmund and Krumme 2005; 157 Warmund 2015). Here we tested incremental changes in the TB used in the ASYMCUR 158 model (Eq.3) at 0.5 °C increments from 0 °C to 4 °C. We found that 2 °C TB better predicted 159 heat accumulation during the early phase in the ASYMCUR curve (compared to 4 °C TB).

This finding is similar to the correction for heat unit accumulation during the lag phase in a curvilinear model reported by Black et al. (2008).

Accordingly, we selected the modified combined models (*Utah*(modified)-ASYMCUR) to predict bloom dates for WP-UT, whereas the combined original-*Utah* and modified-

164 ASYMCUR models (*Utah*-ASYMCUR_(Tbase-2)) was used for TC-MI (Fig. 2 Step C).

165 *2.4 Model validation*

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161

166 The performance of phenological models to estimate bloom dates was evaluated by 167 comparing the observation values (O) with the modeled estimation (P). The indices are 168 correlation coefficients (r or CORR), root mean square error (RMSE), and model efficiency 169 (EF; Eq. 7) (Nash and Sutcliffe, 1970). Here, r reflects whether the observations and 170 predicted values are trending in the same direction while RMSE and EF quantify the bias. 171 The EF can be from $-\infty$ to +1 with +1 indicating a perfect fit, 0 indicating the predictions are 172 as accurate as the observed mean (so-called the climatology), while a negative number 173 indicates that the model prediction is lower than using the observed mean as a predictor.

174
$$EF = 1 - \frac{\sum_{i=1}^{n} (O_i - P_i)^2}{\sum_{i=1}^{n} (O_i - \overline{O_i})^2}$$
(7)

175 *2.3 Climate prediction: CFSv2 Model*

176 Climate hindcast data are outputs from the NCEP Climate Forecast System version 177 2 (CFSv2) (Saha et al. 2014) referring to "past prediction" made with the past observations 178 in order to compare the model forecast with the actual events. These past predictions were 179 generated for different lead times before actual bloom dates, which were then compared with 180 the observed bloom dates; these are outlined in Fig. 2 Steps D and E. Two CFSv2 datasets with a near 1° long. x lat. resolution were used, the CFS Reforecast "High-Priority" subset
(CFS-R; 2000-2011) and the CFSv2 Operational Forecasts (CFS-OF; 2011-2017). Data of
maximum and minimum surface air temperature (Tmax and Tmin) at 2-meter above ground
over the period 2000-2017 were used. We obtained the time series of Tmax and Tmin from
the nearest model grid points of the WP-UT weather station (40.157°N, -111.562°W) and
the TC-MI weather station (44.882°N, -85.312°W).

187 The bloom date prediction was applied twice each month with forecasts initiated on 1st and 15th of each month. For CFS-R that was available every 5 days, the initialization date 188 nearest to the start of the month and the nearest date to 15th were used. The CFSv2 model 189 190 was run in 6-hour intervals (00, 06, 12, 18Z) per day so each daily CFSv2 ensemble 191 contained four members. CFSv2-air temperature has a cold bias from the observed dataset 192 and this cold bias is greater in Tmax than in Tmin (example shown in Fig. S1). Thus, using 193 this dataset, the highest value of Tmax from all ensemble members was selected to represent 194 the daily Tmax. To obtain daily Tmin, we averaged all Tmin values from every ensemble 195 and members. These methods provided low RMSE and mean difference between the CFSv2 196 and the observed daily Tmax/Tmin.

We evaluated the prediction starting approximately 3 months before the bloom dates (Fig. 2 Step E): 1 February (CFS0201), 15 February (CFS0215), 1 March (CFS0301), 15 March (CFS0315), 1 April (CFS0401), 15 April (CFS0415), and 1 May (CFS0501). The average bloom dates (± standard deviation) were designated as 11 May for TC-MI (± 9 days; standard deviation; 2001-2014) for TC-MI and 28 April for WP-UT (± 9 days; 2005-2016) for WP-UT. The actual bloom dates were designated as day-0 (no lead time). Thus, we forecasted on seven lead times (day-10, day-26, day-40, day-57, day-71, day-85, and day-99) for TC-MI and six lead times (day-13, 27-day, day-44, day-58, day-72, and day-86) for WP-UT. The CFSv2 forecast skills (alternatively "model performance" or "predictability") were validated by comparing to the day-0 prediction (Fig. 2 Step D).

207 Daily Tmax and Tmin from CFSv2 forecasts were statistically downscaled through 208 common bias correction approaches (Hawkins et al. 2013; Navarro-Racines and Tarapues-209 Montenero 2015), by adjusting the means and variability in each month to be nearest to the 210 observation. The calculation is described in Eq.8, where T_{CFSadi} is adjusted-CFSv2 temperature, $\overline{T_{ob}}$ is the mean of observed temperature (T_{ob}), $\overline{T_{CFS}}$ is mean of original-CFSv2 211 212 temperature (T_{CFS}), RMSE_{ob} and RMSE_{CFS} are root mean square errors of T_{ob} and T_{CFS}. The 213 period to calculate mean and RMSE are 2004-2017 and 2001-2017 for WP and TC, 214 respectively. The RMSE is calculated by using Eq.9; where O_i are observed values and P_i 215 are predicted values derived based on linear regression model.

216
$$T_{CFSadj} = \overline{T_{ob}} + \frac{RMSE_{ob}}{RMSE_{CFS}} \times (T_{CFS} - \overline{T_{CFS}})$$
(8)

217
$$RMSE = \sqrt{\frac{\sum_{i=1}^{n} (O_i - P_i)^2}{n}}$$
(9)

3. Results

- 219 3.1 Modeled bloom dates
- 220 3.1.1 Original models

221 Performance of the *Utah*-ASYMCUR model in estimating bloom dates of tart 222 cherries is displayed in Fig. 4a for TC-MI and Fig. 5a for WP-UT. Panels al show the 223 comparison among observed dates and estimated dates from observed-hourly temperature 224 (T), estimated-hourly T from daily T by using Linvill's method (daily-Linvill) and 225 Triangular approximation (daily-Triangular). Bias of the estimation from hourly, daily-226 Linvill and daily-Triangular data is given in panels a2, a3 and a4, respectively. Bars depict 227 the difference in days between the estimated and observed dates, where positive bars indicate 228 a late bias and negative bars indicate an early bias. Model biases are also expressed by 229 correlation coefficients (r), efficiency (EF), and root mean square error (RMSE) that are 230 given in brackets at the top of each panel. Figs. 4a1 and 5a1 illustrate interannual variability 231 of the observed bloom dates and show no clear trends during the study periods for either 232 location.

233 As shown in Figs. 4a2–a4, the estimated bloom dates for TC-MI agree across the 234 three datasets and are highly correlated with the observations (r = 0.95). Nonetheless, the 235 models estimated bloom dates are 8.79, 6.17 and 7.46 days behind with the use of hourly, 236 daily-Linvill and daily-Triangular datasets, respectively, accompanying large biases with 237 low EF scores of 0.05, 0.53, and 0.31. Both models estimated the bloom dates well for WP-238 UT based on their high correlations with the observations (r = 0.93 to 0.94) (Figs. 5a2-a4). 239 The biases of using hourly, daily-Linvill and daily-Triangular datasets are 8.28, 4.74, and 240 5.97 days, respectively, with the EF scores of 0.09, 0.70, and 0.52. The lower EF and higher 241 RMSE (i.e. larger bias) when using hourly temperature compared to the daily model reflect 242 the effect of missing hourly observations, particularly in 2011 (7.1%). The significant 243 correlation coefficients suggest that Utah-ASYMCUR can predict the interannual variation 244 of bloom dates, albeit with a relatively high bias.

245 3.1.2 Modified models

Figure 4b displays the performance of *Utah*-ASYMCUR_(Tbase-2), which modified ASYMCUR using a base temperature of 2°C, to estimate bloom dates of tart cherries for TC-MI. The time series of estimated dates from all three datasets fit well with the observed dates (Fig. 4b1). It is noteworthy that the *Utah*-ASYMCUR_(Tbase-2) significantly improved the model efficiency (0.89 to 0.93) and correlation coefficients (0.98 to 0.99) (Figs. 4b2-b4). The estimation is early, yet the bias from using hourly, daily-Linvill and daily-Triangular data are reduced to 2.31, 2.95, and 2.78 days, respectively.

253 The estimated bloom dates for WP-UT are noticeably improved in the Utah(modified)-254 ASYMCUR model (Fig. 5b). The time series of observed and estimated dates from the 255 hourly, daily-Linvill and daily-Triangular consistently trend together (Fig. 5b1). The EF 256 scores (0.63, 0.57) and RMSE (5.24, 5.71) are similarly improved for the hourly and daily-257 Triangular data, compared to those from the original model (Figs. 5b2, 5b4). Note that the 258 difference between estimated and observed dates remain high in some years (i.e., 2005, 259 2010, 2011, 2012) according to their high RMSE (4.82-5.71 days) and low EF scores (0.57-260 0.69) (Figs. 5b2-b4).

Comparison of the modified models (Figs. 4b, 5b) suggests that the daily-Linvill outperforms the daily-Triangular. Additionally, either the daily-Linvill or daily-Triangular datasets can substitute for the hourly record, leading support to the widely used daily temperature substitution in fruit-tree phenological models.

265 3.2 Climate prediction of bloom dates

266 3.2.1 Predictability of unadjusted-CFSv2 data

267 To predict bloom dates, we ran the $Utah_{(modified)}$ -ASYMCUR model for WP-UT and 268 Utah-ASYMCUR_(Thase-2) for TC-MI with the unadjusted CFSv2 hindcast temperature (both 269 daily-Linvill and daily-Triangular datasets). We determined the CFSv2 hindcast skills at 270 different lead times by using three indicators: correlation coefficient (r), model efficiency 271 score (EF), and RMSE. These indicator scores were computed between the predicted bloom 272 dates and the observation. The CFSv2 exhibits a subseasonal hindcast in bloom dates up to 273 6 weeks for both locations as described by r, EF, and RMSE in Table 2 and Fig. 6. However, 274 the CFSv2 correlations are persistently high (above 0.75) and significant, exceeding the 99% 275 confidence level up to the 44-day (40-day) lead time at WP-UT (TC-MI). Overall, these 276 performance metrics suggest a poor prediction skill beyond 6 weeks.

The CFSv2 forecast skills were validated with the hindcast at 0-day lead time (which only used the observed temperature) (Fig. 2 Steps D and E). The CFSv2 shows a good performance in predicting bloom dates up to 13-days in advance at WP-UT and 10-days in advance at TC-MI (Figs. 6a, 6b), with an error of 5 days for WP-UT and 4 days for TC-MI. Beyond the 6-week lead time, the CFSv2 forecast skills in terms of r, EF, and RMSE are consistent with the ability for the CFSv2 to predict the winter air temperature as shown in Saha et al. (2014).

We present the time series of observed and predicted bloom dates to validate the CFSv2 predictability using daily-Linvill and daily-Triangular datasets (Fig. 7). Figures 7a1 and 7b1 confirm the high forecast skill of CFSv2 for up to a 2-week lead time for predicted bloom dates which are comparable to the observed bloom dates and predicted bloom dates by using observed temperature data. The moderate predictability is presented by Figs. 7a2a3 and 7b2-b3 and shows large errors in some years with $EF \ge 0.5$. Large deviations between the predicted bloom dates and observations are frequently observed when lead times are beyond 6 weeks (Figs. 7a4, 7b4), suggesting poor predictability (EF < 0.5). The prediction using daily-Linvill shows slightly higher performance than those of daily-Triangular for WP-UT and vice versa for the TC-MI (Table 2). Nonetheless, the performance of using both datasets is consistent across lead times (Figs. 7a1-a4, 7b1-b4).

3.2.2 Predictability of adjusted-CFSv2 data

296 The CFSv2 model provides low resolution (> 50 km). Thus, we needed to adjust 297 means and variation to be close to the observed data before incorporating into the 298 phenological models. We tested the prediction by using only the daily-Linvill dataset and 299 present the results in Table 2 and Fig. 6. The prediction was successfully improved by using 300 adjusted-CFSv2 data (CFS_{adj}) up to a 40-day lead time for TC-MI as indicated by reduced 301 RMSE and increases in EF (dotted lines, Fig. 6b). The error decreased approximately by 1 302 day and the EF increased to 0.90 (0.53) for the 10-day (40-day) lead time. This provides a 303 higher confidence to predicting bloom dates compared to the unadjusted CFSv2 forecast 304 skills. In contrast, the CFS_{adj} forecast skills for WP-UT are lower than those of the unadjusted 305 CFSv2 throughout the 44-day lead times (dotted lines, Fig. 6a).

The results suggest that CFS_{adj} can be used for predicting bloom dates in TC-MI while the unadjusted CFSv2 is appropriate for use in WP-UT. It might be inappropriate to downscale the CFSv2 output using the aforementioned bias correction approaches for Utah because the observed and CFSv2 data did not correlate well for the entire time series. The intervening contradiction of the two datasets likely enlarges the differences in mean and variation (black-dash boxes, Fig. S2). The deviation of CFSv2 data from the observation
may be caused by its low forecast skill for mountainous terrain like Utah with respect to the
higher skill in a Midwest region like Michigan (e.g. Tian et al., 2017). Thus, most CFSv2
data likely show better correlations in Michigan than those Utah (Fig. S3). At each study
location, deviation of the two datasets are similar for the other lead times and years (Figs.
S4–S17).

317 **4. Discussion**

318 Even though the Utah model is widely used and was validated in both Utah and 319 Michigan, we found that the prediction of bloom dates at West Payson, Utah was improved 320 using modified Utah and original ASYMCUR models whereas adjusted T_{base} in ASYMCUR 321 model is better suited for Traverse City, Michigan. The modification of the *Utah* model may 322 be necessary because of the marked winter and spring warming trends in the current decade 323 (Cayan et al. 2001; Dettinger et al. 2004; Hamlet et al. 2005; McCabe and Wolock 2007; 324 Gillies et al. 2012). There are several studies of inaccurate use of the Utah model in warm 325 climate (Pérez et al., 2008; Luedeling et al., 2011; Zhang et al., 2011) and these all showed 326 that the model has to be modified (e.g. Positive Utah Model, Linsley-Noakes et al., 1995; 327 North Carolina Model, Shaltout and Unrath, 1983). It appears that the extended temperature 328 scales for chill unit contribution of 1.0 in *Utah*(modified) model (Fig. 3) improves the estimation 329 of chill units compared to the original Utah model. On the other hand, the original Utah 330 model may still be suitable for use in the colder winter temperature in Michigan (with its 331 later bloom dates than Utah).

332 Because of the small temperature ranges for the study sites, we analyzed temperature 333 trends during 1980–2016 using daily temperature data from nearby stations (Provo BYU, 334 West Payson, Utah, 40.245°N, -111.651°W, 1392.9 m a.s.l.; Traverse City Cherry CPTL AP, 335 Traverse City, Michigan, 40.740°N, -85.582°W, 188.4 m a.s.l). The frequency of daily 336 minimum temperature within the 1.5–12.4 °C range (temperature scale for positive chill unit, 337 see Fig. 3) and 2.9–9.1 °C range (temperature scale for maximum positive chill unit—1.0, 338 see Fig.3) decreased in September for Utah while slightly changed in Michigan (Fig. S18). 339 Likewise, the frequency of daily maximum temperatures in those ranges decreased in 340 December for Utah and increased in Michigan (Fig. S18). These results lend support to the 341 use of *Utah*(modified) model in Utah by expanding the temperature range for 1.0 chill unit (see 342 Fig. 3), otherwise the model prediction in some years could not obtain the 954 chill 343 accumulation that is required for the rest completion of tart cherry. While both study areas 344 undergo a warming trend in winter (Figs. S19, S22), their temperature distributions are 345 different (Figs. S18-S24).

346 While the adjusted ASYMCUR model also predicts Michigan's bloom dates well, it 347 is not clear if a 2 °C base temperature is physiologically realistic. The phenological models 348 are based on air temperature; nonetheless, temperature-related humidity might be the main 349 trigger of the spring budburst of trees (Laube et al., 2014). The primary meteorological 350 difference between study sites during the period when heat accumulation starts (February-351 March) were air temperature and humidity. Michigan is colder and more humid than Utah 352 (Figs. S25–S27). Laube et al. (2014) conducted controlled-environment studies and 353 observed that higher humidity during forcing advanced bud break in a number of deciduous tree species. From this, they hypothesized that bud rehydration is important in the forcing phase and absolute air humidity lead to phenological responses. The role of rehydration in the heat requirements is unclear. Additional field data are required for validation.

357 **5.** Conclusion

358 The prevailing trends of early onset and anomalously warm springs can lead to early 359 blossoming of tart cherry and increasing risk to production from late spring freezes. In the 360 goal of reducing risk, our study presents the first subseasonal prediction of bloom dates for 361 Utah and Michigan. We applied a hybrid forecast approach which combines chill-forcing 362 models with CFSv2 temperature forecasts. The Utah and ASYMCUR models, originally 363 developed for fruit trees in Utah and Michigan, were modified to improve the bloom date 364 depiction. Depicting bloom dates in Michigan were most successful with the original Utah 365 and ASYMCUR_(Tbase-2) models, whereas the *Utah*(modified)-ASYMCUR model outperforms 366 the original models in Utah. This difference may reflect the different winter climate in the 367 two places.

The CFSv2 can predict bloom dates of tart cherries for 6 weeks in advance, exhibiting a reliable predictability for up to 2 weeks prior to the bloom dates with an error range within 4 days. This result suggests a potentially useful prediction to be implemented starting on 15 March for Utah and 1 April for Michigan, allowing extra time for growers to prepare and manage their orchards for possible freezes in order to reduce the risk of damage. Given the mountainous terrain in northern Utah, application of dynamical downscaling methods (e.g., application of regional climate model) may improve the predictive capabilities of CFSv2. 375 Acknowledgements

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Abbreviation	Description					
a. Parameters						
А	Amplitude of the growth curve (TO-TB)					
СН	Chill units					
DL	Daylength (in hour)					
F	Stress factor of fruit tree (1.0)					
GDH	Growing degree hours					
Т	Temperature (°C)					
TB	Base temperature (original TB is 4 °C; adjusted TB is 2 °C)					
TH	Hourly temperature (°C)					
TM	Maximum critical temperature (36 °C)					
Tmax	Daily maximum temperature (°C)					
Tmin	Daily minimum temperature (°C)					
ТО	Optimum temperature (25 °C)					
Ts	Sunset temperature (°C)					
b. Models						
ASYMCUR	Original Asymmetric curvilinear model (heat or forcing model) with using 4 °C as TB					
ASYMCUR _(Tbase-2)	Modified ASYMCUR model with using 2 °C as TB					
Utah	Original Utah model (Chill model)					
$Utah_{(modified)}$	Modified Utah model					

 Table 1 List of abbreviations used for phenological models.

Table 2 Performance of the *Utah*(modified)-ASYMCUR and *Utah*-ASYMCUR_(Tbase-2) to predict bloom dates for West Payson and Traverse City by using observed and unadjusted-CFS (CFS)/adjusted-CFS (CFS_{adj}) temperature (daily-Linvill and daily-Triangular datasets); CORR is correlation coefficient, EF is model efficiency; RMSE is root mean square error.

Location	Dataset	CFS (Triangular)			CFS (Linvill)			CFS _{adj} (Linvill)		
Location	[Lead time]*	CORR	EF	RMSE	CORR	EF	RMSE	CORR	EF	RMSE
West	Observation (OB) [0d]	0.93	0.57	5.71	0.94	0.69	4.82	0.94	0.69	4.82
Payson,	OB+CFS0415[13d]	0.90	0.64	5.22	0.89	0.70	4.79	0.91	0.66	5.03
UT	OB+CFS0401[28d]	0.69	0.25	7.51	0.73	0.46	6.40	0.67	0.29	7.30
	OB+CFS0315[44d]	0.81	0.44	6.49	0.78	0.56	5.73	0.70	0.32	7.16
	OB+CFS0301[59d]	0.51	-1.42	13.5	0.49	-0.84	11.8	0.41	-0.38	10.2
	OB+CFS0215[72d]	0.40	-1.55	13.9	0.38	-0.89	11.9	0.57	-0.43	10.4
	OB+CFS0201[87d]	0.67	-0.16	9.36	0.62	0.09	8.28	0.68	-0.03	8.82
Traverse	Observation (OB) [0d]	0.99	0.91	2.78	0.99	0.89	2.95	0.99	0.89	2.95
City,	OB+CFS0501[11d]	0.96	0.80	4.01	0.96	0.78	4.25	0.98	0.90	2.87
MI	OB+CFS0415[26d]	0.85	0.41	6.94	0.87	0.38	7.11	0.85	0.55	6.07
	OB+CFS0401[41d]	0.91	0.54	6.11	0.92	0.46	6.60	0.89	0.53	6.20
	OB+CFS0315[57d]	0.75	0.09	8.61	0.74	0.00	9.01	0.73	-0.22	9.95
	OB+CFS0301[72d]	0.57	0.26	7.78	0.59	0.31	7.50	0.56	0.02	8.91
	OB+CFS0215[85d]	0.48	0.06	8.75	0.48	0.09	8.61	0.51	-0.47	10.9
	OB+CFS0201[100d]	0.54	-0.02	9.11	0.61	0.25	7.79	0.62	-0.01	9.09

* Lead time (days) before bloom dates [Lincoln Point: 28 April (averaged 2005-2016), Traverse City: 11 May (averaged 2001-2014)]



Fig. 1 Observed bloom dates, last freeze dates and state's cherry production (2001-2017) for (a) Traverse City, Michigan and (b) West Payson, Utah. (c) Study locations indicated by red circles (UT: Utah and MI: Michigan). [Cherry production data are from USDA, 2017].

(a) Merging phenological models with CFSv2 forecast for West Payson, Utah



(b) Merging phenological models with CFSv2 forecast for Traverse City, Michigan



Fig. 2 Diagrams of methodological approaches to predict bloom dates by merging phenological models with CFSv2 forecast constructed for (a) West Payson, Utah and (b) Traverse City, Michigan; step (A) presents phenological phases, step (B) presents original chill-heat models, step (C) presents modified chill-heat models, step (D) presents hindcast validation using observed temperature as input, and step (E) presents bloom date prediction using observed temperature and CFSv2-forecast temperature.



Fig. 3 Chill unit contribution (-1.0 to 1.0) from different temperature scales of *Utah* Model and Modified *Utah* Model.



Fig. 4 (a1) Observed (OBS) and estimated (EST) bloom dates for Michigan computed by using *Utah*-ASYMCUR and three hourly-temperature datasets: observation (EST:HR) and estimation by using Linvill's method [EST:DY(L)] and Triangular method [EST:DY(T)]; (a2)-(a4) the estimation bias (EST-OBS) of EST:HR, EST:DY(L), and EST:DY(T). (b1)-(b4) are the same as (a1)-(a4) but the EST computed by using *Utah*-ASYMCUR_(Tbase-2). Numbers in parentheses indicate correlation coefficients (CORR), model efficiency (EF), and root mean square error (RMSE), respectively.



Figure 5 (a1) Observed (OBS) and estimated (EST) bloom dates for Utah computed by using *Utah*-ASYMCUR and three hourly-temperature datasets: observation (EST:HR) and estimation by using Linvill's method [EST:DY(L)] and Triangular method [EST:DY(T)]; (a2)-(a4) the estimation bias (EST-OBS) of EST:HR, EST:DY(L), and EST:DY(T). (b1)-(b4) are the same as (a1)-(a4) but the EST computed by using combined *Utah*(modified)-ASYMCUR. Numbers in parentheses indicate correlation coefficients (CORR), model efficiency (EF), and root mean square error (RMSE), respectively.



Fig. 6 Prediction performance for bloom dates indicated by correlation coefficient (CORR), model efficiency (EF), and root mean square error (RMSE) as a function of forecast time for the unadjusted-CFS (CFS; solid lines) and adjusted-CFS (CFS_{adj}; dashed lines) constructed for (a) West Payson, UT and (b) Traverse City, MI. [The hourly temperature was estimated by using Linvill's method].



Fig. 7 Observed (OBS) and predicted (EST) bloom dates for (a) Utah and (b) Michigan computed by using estimated-hourly temperature (L: Linvill's method, T: Triangular method) from weather station and unadjusted-CFS datasets for different lead times (day); EST:ST represents 0-day lead time, EST:CFS represents lead times from 13-day (a1) or 11-day (b1) to 87-day (a4) or 100-day (b4). [Average of observed bloom date for UT is 28 April and MI is 11 May].