1	Stimulus complexity and chunk tightness interact to impede
2	perceptual restructuring during problem solving
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13	Running Head: Neural underpinnings of perceptual restructuring
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23	Abstract: The mutual influence of stimulus complexity and chunk tightness on
24	perceptual restructuring was examined using a chunk decomposition task
25	(CDT). Participants attempted to remove components of Chinese characters in order
26	to produce new, valid characters. Participants had their electroencephalogram
27	recorded while completing a CDT in conditions of low or high stimulus complexity,
28	crossed with two levels of chunk tightness. Tight chunks overlapped spatially whereas
29	loose chunks did not. Both increasing chunk tightness and increasing stimulus
30	complexity impaired performance (lower accuracy, longer reaction times), and these
31	factors interacted such that highly complex, tight chunks produced the worst
32	performance. These factors also had interacting effects on the late positive complex
33	(LPC). The LPC amplitude was reduced by increasing chunk tightness, but this effect
34	was attenuated for highly complex stimuli. These results suggest that though chunk
35	tightness and stimulus complexity impair performance in the CDT, they have
36	dissociable neural underpinnings.
37	

38 Keywords: stimulus complexity; perceptual restructuring; chunk tightness; chunk39 decomposition; event-related potential

45 **1. Background** 

46 Mental restructuring is essential to insight problem solving, allowing people to 47 quickly adapt to new circumstances. An impasse describes the moment when individuals are unable to make progress with a problem, and are unaware of how to 48 49 proceed (Cranford & Moss, 2012; Knoblich, Ohlsson, Haider, & Rhenius, 1999). In 50 order to overcome the impasse, restructuring allows the problem solver to see the 51 problem in a novel way, facilitating new progress (Duncker, 1945; Kounios & 52 Beeman, 2014; Öllinger & Knoblich, 2009; Wagner et al., 2004; Wertheimer, 1959). 53 Restructuring can be realized through constraint relaxation (Huang et al., 2018; 54 Knoblich et al., 1999), when problem solving is impeded by experience-based factors 55 such as a mental set or functional fixedness (e.g., Duncker, 1945; Kershaw & Ohlsson, 2004; Knoblich et al., 1999, 2001; Luchins, 1942; Ohlsson, 1984; Smith, 1995; Storm 56 57 & Angello, 2010; Wu et al., 2013). Restructuring can also be realized through chunk 58 decomposition (Knoblich et al., 1999; Luo et al., 2006), especially when problem 59 solving is impeded by stimulus features such as when the features have a 60 tightly-organized spatial relationship (Huang, He, & Luo, 2017; Knoblich et al., 1999; 61 Tang et al., 2016; Zhang et al., 2015, 2019). The current study focuses on chunk decomposition. In contrast to "chunking", which refers to integrating pieces of 62 63 information into chunks to improve memory (Miller, 1956), chunk decomposition involves restructuring a stimulus by decomposing a "chunk" into smaller components 64 65 to form new combinations (Knoblich et al., 1999; Luo et al., 2006; Tang et al., 2016; 66 Zhang et al., 2015).

67	A basic question in the study of problem solving is what makes problems difficult
68	to solve. Overcoming an impasse in problem solving has been studied extensively in
69	the chunk decomposition context by Knoblich and colleagues (Knoblich et al., 1999,
70	2001). According to Knoblich et al. (1999), the difficulty of chunk decomposition is
71	largely determined by chunk tightness. They specified a conceptual definition of
72	chunk tightness whereby a chunk is tight when none of its components carry
73	individual meaning, and a chunk is loose when it can be decomposed into components
74	that have meaning on their own (Knoblich et al., 1999, 2001; Luo et al., 2006). For
75	example, the chunk "X" (meaning ten in Roman numerals) is tight because the
76	components "/" or "\" have no meaning in the Roman mathematical system. In
77	contrast, the chunk "VI" (meaning six) is loose because the component "V" (five) and
78	"I" (one) are meaningful chunks. A wealth of previous studies has demonstrated that
79	conceptually tight chunks are more difficult to decompose than conceptually loose
80	chunks (Knoblich et al., 1999, 2001; Luo et al., 2006; Wu, Knoblich, & Luo, 2013;
81	Wu, Knoblich, Wei, & Luo, 2009). Behaviorally, problem solvers spend more time
82	and solve fewer problems when problems involve tight chunks relative to loose
83	chunks during chunk decomposition of both Roman symbols (Knoblich et al., 1999)
84	and Chinese characters (Luo et al., 2006; Wu, Knoblich, Wei, & Luo, 2009). In
85	addition, eye-tracking data shows that solvers fixate longer on tight chunks than loose
86	chunks (Knoblich et al., 2001).

87 Neuroimaging studies have demonstrated that the decomposition of tight chunks88 (relative to loose chunks) recruits executive control networks including the right

lateral prefrontal cortex and the anterior cingulate cortex (Huang et al., 2015; Luo et
al., 2006; Tang et al., 2016; Wu, Knoblich, & Luo, 2013). In addition, decomposing
tight chunks elicits increased alpha oscillations in the EEG, as well as deactivation of
the primary visual cortex, both of which are associated with the suppression of visual
information (Luo et al., 2006; Tang et al., 2016; Wu, Knoblich, Wei, & Luo, 2009).

94 Examining chunk decomposition entirely in terms of conceptual chunk tightness 95 raises two critical issues. First, though previous studies have demonstrated that chunk 96 tightness has a fundamental influence on the difficulty of chunk decomposition 97 problem solving, they did not distinguish between perceptual characteristics and conceptual characteristics in defining chunk tightness. Zhang and colleagues (2015) 98 99 showed that perceptual chunk tightness can confound conceptual manipulations of 100 chunk tightness. A chunk is perceptually tight when its components intersect in space, 101 and loose when they do not. Several recent studies have now shown that perceptual 102 characteristics are more influential in determining the difficulty of chunk 103 decomposition problems than conceptual characteristics (Tang et al., 2016; Zhang et 104 al., 2015, 2019). Specifically, Zhang and colleagues (2015) demonstrated that 105 perceptually tight chunks were more difficult to decompose than conceptually tight 106 chunks, and further, that perceptual tightness had a more consistent effect on 107 performance in a chunk decomposition task (CDT) than conceptual tightness. 108 Similarly, Tang and colleagues (2016) showed that increasing perceptual tightness not 109 only increased difficulty, but also increased brain activity (as indexed by fMRI) in a 110 network of regions across the frontal, parietal, and dorsal occipital cortices.

111	Second, stimulus complexity has not been considered nor well controlled in
112	previous chunk decomposition studies (e.g., Knoblich et al., 1999, 2001; Luo et al.,
113	2006; Tang et al., 2016; Wu, Knoblich, & Luo, 2013; Wu, Knoblich, Wei, & Luo,
114	2009). This is problematic given that many studies have confirmed that stimulus
115	complexity, as described by the local details and/or intricacy of a visual pattern
116	(Snodgrass & Vanderwart, 1980), has a pervasively negative influence on cognitive
117	performance during a large range of tasks such as feature classification (Ullman,
118	Vidalnaquet, & Sali, 2002), object recognition (Ellis & Morrison, 1998; Gerlach &
119	Marques, 2014), perception (Bradley, Hamby, Löw, & Lang, 2007; Folta-Schoofs,
120	Wolf, Treue, & Schoofs, 2014), reading (Hsu, Lee, & Marantz, 2011; Li, Bicknell, Liu,
121	Wei, & Rayner, 2014; Liversedge et al., 2014; Ma & Li, 2015), and learning (Chang,
122	Plaut, & Perfetti, 2016). Given that chunk decomposition requires decomposing a
123	perceptual chunk into its local parts (Knoblich et al., 1999), and may require the
124	suppression of irrelevant visual information (Luo et al., 2006; Tang et al., 2016; Wu et
125	al., 2009), one may hypothesize that stimulus complexity should impede chunk
126	decomposition.

To this end, we investigated how chunk tightness and stimulus complexity impact perceptual restructuring using a Chinese character decomposition task adapted from previous studies (Wu et al., 2013; Tang et al., 2016). Participants were presented with a probe cueing the component that should be removed from a subsequently presented source character. The target was a valid character that would be produced when the probe was removed from the source. We manipulated chunk tightness in the source

133	character as the degree of spatial intersection between the probe and the other
134	elements in the source character (Tang et al., 2016; Zhang et al., 2015, 2019). Tight
135	chunks were formed when both the probe and the target were intersecting with each
136	other within the source character, hidden in a manner very similar to camouflage
137	(Ludmer, Dudai, & Rubin, 2011). By contrast, loose chunk decomposition is
138	relatively easy due to spatial separation between the probe and the target in the source
139	character (see examples in Figure 1). In addition, we manipulated stimulus
140	complexity following previous work, based on the number of strokes in the source
141	character (Coney, 1998; Ma, & Li, 2015; Li et al., 2014; Liversedge et al., 2014).
142	Finally, previous work has demonstrated that whether the to-be-removed component
143	is itself a meaningful chunk or a set of strokes has a limited influence on the difficulty
144	of chunk decomposition (Zhang et al., 2015). We therefore balanced this variable in
145	our design, but did not include probe type as a factor in our statistical analysis.
146	In this study, we examined the effect of stimulus complexity and chunk tightness on
147	chunk decomposition by focusing on behavioral indices of difficulty (accuracy and
148	response times) and on a neural marker previously shown to be sensitive to chunk
149	tightness: the late positive complex (LPC) component of the event-related potential

tightness: the late positive complex (LPC) component of the event-related potential (Wu et al., 2013; Zhang et al., 2019). Behaviorally, we hypothesized that both stimulus complexity and chunk tightness would impact task difficulty, with high complexity and tight chunks leading to lower accuracy and longer response times, relative to low complexity or loose chunks, respectively. The LPC is a positive deflection broadly distributed over the parietal cortex that is sensitive to the chunk

155	decomposition task (Wu et al., 2013; Zhang et al., 2019). Bilateral parietal areas are
156	sensitive to manipulations of visuospatial processing, such as during mental rotation
157	(Harris et al., 2000; Harris & Miniussi, 2003) and perceptual reversal of the Necker
158	cube (Pitts et al., 2009). In addition, fMRI studies have shown increased activation of
159	parietal areas during the chunk decomposition task (Huang et al., 2015; Luo et al.,
160	2006; Wu et al., 2013; Tang et al., 2016), and LPC amplitude is reduced when
161	participants decompose tight chunks relative to loose chunks (Zhang et al., 2019; but
162	see Wu et al., 2013). Thus, though parietal regions may be engaged by the
163	visuospatial transformation required during chunk decomposition, the difficulty of
164	chunk decomposition may be reflected by the amplitude of the LPC, whereby as
165	transformation gets more difficult, the LPC is reduced. Within this framework, the
166	current research has two goals. First, to determine if stimulus complexity affects the
167	difficulty of chunk decomposition, which if so, would indicate that it should be
168	controlled in future chunk decomposition studies. Second, to replicate and extend
169	previous findings associating the LPC with chunk decomposition. A key question is
170	whether chunk tightness and stimulus complexity affect the difficulty of chunk
171	decomposition through a common neural mechanism. That is, superficially, spatial
172	intersection and number of strokes could seem to be similar contributions to the
173	general visual "chaos" that makes a chunk decomposition problem difficult. An
174	interaction of chunk tightness and stimulus complexity on the amplitude of the LPC
175	would suggest that the neural generator(s) of the LPC react differently to these
176	sources of difficulty in chunk decomposition problems.

# 177 **2. Method**

#### 178 2.1 Participants

Twenty-six participants took part in this experiment (12 males, mean age = 20.26, SD = 1.74). All participants were right-handed and had normal or corrected-to-normal vision, with Chinese as their native language. They did not report any brain damage or psychiatric history. All participants gave informed consent and received monetary compensation for participating (¥ 50 yuan per person). This study was in accordance with the Declaration of Helsinki, and approved by Shenzhen university ethics committee.

186 **2.2 Stimuli** 

187 One hundred and sixty normal Chinese characters were collected as the source 188 characters. Chinese characters are perceptual chunks (Fu et al., 2002), and have been 189 used previously for chunk decomposition tasks (e.g. Luo et al., 2006). All the source 190 characters were comprised of subcomponents whereby a probe component (a 191 character or stroke) could be removed to create a valid character (see procedure and 192 task). Chunk tightness was defined by whether the probe/to-be-removed part was 193 spatially intersecting or non-intersecting with the remaining part in the source 194 characters (Zhang et al., 2015, 2019). Stimulus complexity was defined by the number 195 of strokes in the source characters (Li et al., 2014; Liversedge et al., 2014). The 160 196 characters were pooled into four tightness by complexity conditions (see descriptions 197 in Table 1 and examples in Figure 1). In Condition 1, the source characters were of 198 loose chunk and low complexity. For example, the stroke number of the source

199	character "亢" was relatively less and the probe and the remaining part "几" were in
200	spatially non-intersecting relationship with each other. In Condition 2, the source
201	characters were of loose chunk and high complexity. For example, the stroke number
202	of the source characters "昆" was relatively more and the probe and the remaining
203	part "比" were in non-intersecting relationship with each other. In condition 3, the
204	source characters were of tight chunk and low complexity. For example, the stroke
205	number of the source characters " $\lambda$ " was relatively less and the probe and the
206	remaining part " $\lambda$ " were in intersecting relationship with each other. In condition 4,
207	the source characters were of tight chunk and high complexity. For example, the
208	stroke number of the source characters "典" was relatively less and the probe and the
209	remaining part "共" were in intersecting relationship with each other.

210

Table 1. Stroke number and spatial relationships in the four stimulus complexity by
 chunk tightness conditions

Condition	Туре	Average stroke number	Spatial relationships between probe
		of the source character	and target in the source character
Condition 1	loose chunk and low	5.5	Non-intersecting
	complexity (LL)		
Condition 2	loose chunk and high	7.8	Non-intersecting
	complexity (LH)		
Condition 3	tight chunk and low	5.15	Intersecting
	complexity (TL)		
Condition 4	tight chunk and high	8.15	Intersecting
	complexity (TH)		

There were 40 source characters in each condition, with half of the probes characters and half strokes. To balance response tendency, there were another 160 source characters serving as foils, from which no valid character could be formed by removing the probe part. The foils were constructed to conform to the four experiment

conditions, matching the critical stimuli for tightness crossed with complexity. All the
stimuli were stored in .bmp file format and presented in their original size (166 \* 166
pixels), with visual angle subtending 3.3 \* 3.3°.

220 **2.3 Procedure and task** 

221 Participants completed the character decomposition task individually in a silent 222 room, sitting approximately 100 cm from the display monitor (Dell 22, refresh rate = 223 60 Hz, resolution = 1280 \* 1024). Trials began with a 1 s fixation, followed by the 224 presentation of the probe for 1 s. There was a randomized blank interval ranging from 225 0.8 s to 1.2 s, followed by the presentation of the source character for 3 s. Participants 226 were instructed to mentally remove the probe from the source character in order to get 227 a valid (target) character. Participants pressed either the 1 or 2 on the keyboard to 228 indicate if they had found the solution (the identity of the valid target character), or to 229 indicate they could not find a valid solution (the target was not a valid Chinese 230 character). Key mapping was counterbalanced across participants. A 0.8 s blank 231 interval followed the source presentation, and then the target character (valid or 232 invalid) was shown for 1 s. Trials ended with a blank inter-trial interval of 2.5 s. The 233 experiment was programmed in E-prime 2.0 (Psychology software tools). All of the 234 320 trials were presented in completely random order. Participants were given a 235 self-paced break every 64 trials. In addition, there were 32 practice trials (16 trials 236 involving valid characters and another 16 trials involving invalid characters) before 237 the formal experiment, that were exactly like the formal trials.



239 Figure 1. Examples of the character decomposition task and the sequence of one 240 exemplary trial. (A): Examples of character decomposition tasks in the four complexity by 241 tightness conditions. Individuals have to remove the previously-presented probe (a character 242 or strokes) from the source character in order to get a valid character (the target). Chunk 243 tightness is crossed with low or high stimulus complexity. Note that these source characters 244 all carry meaning to the Chinese participants. (B): The sequence of one exemplary trial. Trials 245 began with a 1 s fixation followed by presentation of the probe stimulus (the to-be-removed part) 246 for 1 s. The screen then went blank for a jittered interval between 0.8-1.2 s). Next the source 247 character from which the probe should be removed was shown for 3 s. During this time, 248 participants were required to indicate if removal of the probe from the source character would 249 result in a valid Chinese character. Trials concluded with a brief (.8 s) blank interval, presentation 250 of the correct resulting character (valid or invalid) for 1 s, and finally, a 2.5 s blank screen.

251 2.4 EEG recording and analysis

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252 EEG activity was recorded from 64 scalp sites using tin electrodes mounted in an 253 elastic cap (Brain Products). The electrodes were placed according to the international 254 10-20 system. The EEG was referenced to TP9 during recording. The ground 255 electrode was placed at AFz. The vertical electrooculograph (EOG) was recorded 256 from approximately 1 cm below the left eye, and the horizontal EOG was recorded 257 from approximately 1 cm to the right side of the right eye. Impedance was kept equal 258 to or below 5 k $\Omega$ . EEG and EOG signals were amplified, band-pass filtered at 259 0.01-100 Hz and sampled at 500 Hz per channel. The EEG was re-referenced offline

260 to the average of the left and right mastoids (TP9 and TP10). Artifacts caused by 261 blinks and eye movement were removed by the algorithm recommended by Gratton, 262 Coles, and Donchin (1983) using the horizontal and vertical EOG with the common 263 reference. EEG below 0.1Hz and higher than 30 Hz were filtered by using IIR Filters: 264 Zero Phase Shift Butterworth Filters (order was set at 4). EEG data was notch-filtered 265 at 50Hz. Trials contaminated by large artifacts (with amplitudes greater than  $+60\mu V$ 266 or less than -60  $\mu$ V) were automatically removed, resulting in 2.97 % data loss. The 267 event-related potential (ERP) was time-locked to the onset of the source character. 268 Correct trials were segmented into 1000 ms epochs including a 200 ms baseline. The 269 parietal late positive complex (LPC) was quantified as the average amplitude across 270 10 central and parietal electrode sites (CP1/2/3/4, CPz, P1/2/3/4, Pz) within the time 271 window of 500 ms to 700 ms after stimulus onset, in line with previous studies (Wu et 272 al., 2013; Zhang et al., 2019).

### 273 **2.5 Statistical analysis**

274 Behavioral data (accuracy and response times) and LPC amplitude (pooled across 275 10 electrodes) were all analyzed using two-way ANOVAs with stimulus complexity 276 (low vs. high) and chunk tightness (loose vs. tight) as repeated measures. Results with 277 p < .05 were reported as significant. Where appropriate, p values were corrected using 278 the Greenhouse-Geisser method. The Bonferroni method was used to control for 279 multiple comparisons, where appropriate. Only correct trials were included in the 280 analysis of reaction time and LPC amplitude, and no outlier trimming was performed. 281 Trials where the participant did not respond at all were counted as incorrect. Partial

eta squared  $(\eta_p^2)$  was given to estimate the effect size of the omnibus ANOVA results (Cohen, 1973; Pierce, Block, & Aguinis, 2004). According to Cohen (1988), effect sizes in the current study were interpreted as small when  $\eta_p^2$  was smaller or equal to .02; medium when  $\eta_p^2$  was between .02 and .26, large when  $\eta_p^2$  was larger or equal to .26. The above principles and criteria were applied to the results reported for both behavioral and EEG data.

288 **3. Results** 

### 289 **3.1 Behavioral results**

A 2 \* 2 repeated-measured ANOVA showed that there was a significant main effect 290 291 of both factors on accuracy (see Figure 2A): chunk tightness (F(1, 25) = 147.57, p<.001,  $\eta_p^2$  =.86), stimulus complexity (F (1, 25) = 14.79, p <.001,  $\eta_p^2$  =.37). The 292 293 interaction effect was also significant, F(1, 25) = 18.11, p < .001,  $\eta_p^2 = .42$ . Follow-up 294 analyses indicated that there was no significant difference in accuracy between high 295 and low stimulus complexity in the loose chunk condition, F(1, 25) = .05, p = .830,  $\eta_p^2 = 0.002$ . However, in the tight chunk condition, there was a lower solution rate 296 297 (accuracy) for the high complexity trials than for the low complexity trials, F(1, 25) =298 20.37, p < .001,  $\eta_p^2 = .45$ . Chunk tightness exhibited a significant effect on accuracy in 299 both the low stimulus complexity (F (1, 25) = 79.54, p < .001,  $\eta_p^2 = .76$ ) and high stimulus complexity (*F* (1, 25) = 131.73, p < .001,  $\eta_p^2 = .84$ ) conditions. 300 301 Only correct trials were included to calculate the response times. Response times

302 (see Figure 2B) were similarly affected by both chunk tightness (F(1, 25) = 434.26, p

303 <.001,  $\eta_p^2 = .95$ ) and stimulus complexity (F (1, 25) = 117.02, p <.001,  $\eta_p^2 = .82$ ).

304	There was also an interaction of stimulus complexity with chunk tightness ( $F(1, 25)$ )
305	= 132.91, $p < .001$ , $\eta_p^2$ =.84). Simple effects analysis indicated that there was no
306	significant difference between high and low complexity in the loose chunk condition,
307	$F(1, 25) = 0.45$ , $p = .507$ , $\eta_p^2 = .02$ , but, for the tight chunk condition response times
308	were longer in the high complexity than that in the low complexity condition, $F(1, 25)$
309	= 154.20, $p < .001$ , $\eta_p^2 = .86$ . Again, Chunk tightness exhibited a significant effect on
310	response times in both the low stimulus complexity, $F(1, 25) = 278.21, p < .001, \eta_{p}^{2}$
311	=.92) and high stimulus complexity conditions, $F(1, 25) = 383.56$ , $p < .001$ , $\eta_p^2 = .94$ .



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Figure 2. The effects of chunk tightness and stimulus complexity on mean solution rates (A),
mean response times (B) and mean amplitude of the late positive component (C). Error bar
denotes 95% confidence interval (CI).

316 3.2 ERP results

Tight chunks elicited a smaller LPC than loose chunks, F(1, 25) = 17.80, p < .001,  $\eta_p^2 = .42$ . There was no main effect of stimulus complexity on LPC amplitude. Critically, there was an interaction of chunk tightness and stimulus complexity on LPC amplitude (see Figure 2C, Figure 3 and 4), F(1, 25) = 6.36, p = .018,  $\eta_p^2 = .20$ , suggesting that though chunk tightness reduced LPC amplitude, this effect was attenuated in the high stimulus complexity condition. This was confirmed by 323 follow-up simple effects analysis. Follow-up simple effect analysis showed that, in the 324 loose chunk condition, there was no significant difference between high and low stimulus complexity, F(1, 25) = .14, p = .713,  $\eta_p^2 = .006$ , whereas in the tight chunk 325 326 condition, high stimulus complexity elicited a more positive LPC than low stimulus complexity, F (1, 25) = 5.19, p = .031,  $\eta_p^2 = .17$ . By comparison, tight chunk 327 328 decomposition induced smaller LPC amplitude than loose chunk decomposition in 329 both the low complexity, F (1, 25) = 28.15, p < .001,  $\eta_p^2 = .53$ , and high stimulus 330 complexity conditions, F(1, 25) = 4.68, p = .040,  $\eta_p^2 = .16$ .



331

Figure 3. Grand average of LPC (500-700ms) deflections in four conditions across all subjects.



334

Figure 4. **Topography for difference waves of LPC**. Topographies show the distribution of voltage differences between conditions across the scalp. Scalp topographies of the difference waves created by subtracting tight trials from loose trials in each complexity condition (left) and by subtracting low complexity trials from high complexity trials in each chunk tightness condition (right). **Topographies were created using interpolation by spherical splines with an** 

340	order of 4.
341	Note that VanRullen (2011) has argued that excluding incorrect trials from
342	EEG/ERP analyses can lead to artifactual differences between conditions due to
343	response bias. That is, VanRullen (2011) shows that if neural activity independent of
344	the task biased a participant to respond in one way or another on a particular set of
345	trials when the participant was undecided on the correct, task-based response, then
346	including only accurate trials would prevent that independent activity from being
347	averaged out of the task-related brain signal (see VanRullen 2011 for detailed
348	examples). To account for this concern, we re-analyzed our ERP data including
349	incorrect trials. This check did not meaningfully affect the pattern of our significant
350	findings.

### 351 4. Discussion

352 The current study examined two sources of difficulty in chunk decomposition 353 problems. Behaviorally, chunk tightness and stimulus complexity both influenced the 354 difficulty of chunk decomposition and interacted such that stimulus complexity 355 affected the behavioral measures of problem difficulty only in the tight chunk 356 condition. A similar interaction was shown at the electrophysiological level. Chunk 357 tightness and stimulus complexity interacted such that LPC amplitude was affected by 358 stimulus complexity only in the tight chunk condition. However, LPC amplitude was 359 smaller for tight relative to loose chunks, but greater for high relative to low visual 360 complexity. This pattern of results suggests that though chunk tightness and stimulus 361 complexity both contribute to the difficulty of chunk decomposition problems, these

362 factors are dealt with differently at the neural level.

### 363 4.1 Multiple interacting sources of difficulty in chunk decomposition

364 One challenge in the domain of problem solving is to understand why individuals 365 often get stuck on problems that require restructuring a representation (Knoblich et al., 366 1999, 2001). According to the view of multiple, interacting sources of difficulty 367 (Kershaw & Ohlsson, 2004; Wu et al., 2013), the cause of an impasse involves 368 multiple factors, such as perceptual, and conceptual bias (Kershaw & Ohlsson, 2004), 369 as well as basic sensory qualities of the stimulus. Moreover, these factors may interact, 370 thereby creating greater obstacles in problem solving (Wu et al., 2013). The 371 behavioral results in the current study support this view by revealing that a single 372 thinking step in problem solving can be simultaneously impeded by multiple and 373 interacting sources of difficulty. This point is particularly important when designing 374 and interpreting problem solving experiments. Though substantial research has shown 375 that chunk tightness significantly affects the difficulty of chunk decomposition 376 problems, most previous studies have ignored the influence of stimulus complexity 377 (e.g. Knoblich et al., 1999, 2001; Luo et al., 2006; Tang et al., 2016; Wu, Knoblich, & 378 Luo, 2013; Wu, Knoblich, Wei, & Luo, 2009; Zhang et al., 2015, 2019). The effect of 379 stimulus complexity on problem solving reported here suggests that controlling for 380 stimulus complexity in future work, and taking the larger view that multiple sources 381 of difficulty could be at play in these types of problems could give a clearer picture 382 into the cognitive and neural processes involved in problem solving.

## 383 4.2 Dissociable neural underpinnings engaged by chunk tightness and stimulus

384 complexity

385 The current study suggests that chunk tightness and stimulus complexity are two 386 distinct but interacting sources of difficulty in chunk decomposition problems. On the 387 one hand, though increasing chunk tightness and increasing stimulus complexity both 388 increased difficulty as measured by behavioral performance, these factors had 389 opposite effects on the LPC. This result dissociates these sources of difficulty in the 390 neural signal. Whereas the LPC has been interpreted as a manifestation of mentally 391 transforming the stimulus (Wu et al., 2013; Zhang et al., 2019), stimulus complexity 392 in this task may engage a different process directed at suppressing distracting 393 information. Indeed, demand on short-term memory resources, and the need to 394 suppress distracting information have been dissociated in the EEG signal in previous 395 work (Sauseng et al., 2009). Thus, we speculate that the LPC was pushed more 396 positive by complex stimuli due to overlapping neural activity related to managing the 397 complexity. Regardless, complexity and chunk tightness interact to impede problem solving during chunk decomposition. This finding is similar to those presented by Wu 398 399 and colleagues (2013), who demonstrated that chunk familiarity, which was defined 400 by whether the to-be-decomposed character is an existing Chinese character (the 401 familiar condition) or a pseudo character (the unfamiliar condition), and chunk 402 tightness were associated with distinct underlying neural mechanisms, yet interact to 403 amplify the difficulty of chunk decomposition.

# 404 **4.3** Neural underpinning of the LPC in chunk decomposition problems

## The electrophysiological results revealed that the decomposition of tight (vs. loose)

406	chunks attenuated the LPC, consistent with previous work (Zhang et al., 2019; but see
407	Wu et al., 2003 and discussion below). The LPC is likely generated at least in part in
408	bilateral parietal areas, that are also activated in chunk decomposition tasks (Huang et
409	al., 2015; Luo et al., 2006; Wu et al., 2013; Tang et al., 2016), and during mental
410	rotation (Harris et al., 2000; Harris & Miniussi, 2003). In addition, when visuospatial
411	transformation occurs during the perceptual reversal of a Necker cube, LPC amplitude
412	is increased (Pitt et al., 2009). Taken together, these findings suggest that the LPC
413	exhibited in chunk decomposition tasks reflects the visuospatial transformation from
414	the source character to the target character. From the perspective of the current
415	findings, the LPC may reflect activity associated with remapping neural patterns of
416	activity to the new percept, such that it is reduced in the tight chunk condition because
417	the process is less robust, or potentially more smeared out in time. This explanation is
418	in line with the finding that LPC amplitude is attenuated by increasing mental load
419	(Johnson, 1986; reviewed in Kok, 2001). However, Wu and Colleagues (2013) found
420	that LPC amplitude was increased in the tight chunk condition, not attenuated. They
421	speculated that the LPC reflected activity in the parietal cortex associated with
422	mentally manipulating the source character, and that greater exertion was required for
423	tight chunks. This explanation aligns with the interpretation presented here, except
424	that the LPC findings are opposite between studies. In this work, and in previous work
425	(Zhang et al. 2019), the LPC was attenuated in the tight chunk condition, which can
426	be interpreted as a more difficult, less robust transformation process. In contrast, Wu
427	and colleagues (2013) demonstrate an enhanced LPC in the tight chunk condition, and

428 interpret the effect as a more difficult, more effortful exertion. Though Wu and 429 colleagues also used a Chinese character chunk decomposition task, there are 430 important differences between experiment designs. Most notably, Wu and colleagues 431 presented the source character and probe character together on the screen at the same 432 time, whereas in this work the probe was presented in isolation, and the participants 433 were required to hold the source character in memory. Given that visuospatial 434 transformation necessarily engages working memory, this difference in memory 435 requirements between tasks could be the cause of difference in LPC findings. It is 436 possible that when the task requires less memory resources, increasing chunk 437 tightness increases exertion (and activation) but is still fluent and fast enough to 438 produce a robust LPC. However, as more memory resources are required to perform 439 the manipulation, the LPC becomes less prominent, following an inverted U-shape 440 pattern akin to the Yerkes-Dodson curve (Yerkes & Dodson, 1908). It is worth noting 441 that though we (and many others) hold that the LPC is a distinct component from the 442 P300, the two ERP components share many similarities, and the inverted U-shape 443 curve has been referenced in relation to the P300 as well (e.g. Murphy, Robertson, 444 Balsters, & O'Connell, 2011). Further research is needed to fully investigate this 445 explanation.

446 **4.4 Limitations** 

One limitation of these findings is that the effect of stimulus complexity could
be confounded by luminance differences between the high complexity and low
complexity stimuli. Specifically, because the source characters were white on black

450 background, high complexity stimuli were brighter than low complexity stimuli due to 451 differences in stroke number. The possibility that luminance differences are driving 452 the observed stimulus complexity effects cannot be ruled out, however, luminance 453 differences typically affect ERP components over occipital cortex within the first 200 454 ms, and no such effects were observed in this study. In addition, slightly brighter 455 luminance does not typically increase the difficulty of visual tasks, whereas stimulus 456 complexity usually does (Bradley, Hamby, Löw, & Lang, 2007; Ellis & Morrison, 457 1998; Folta-Schoofs, Wolf, Treue, & Schoofs, 2014; Gerlach & Margues, 2014).

458 A second issue to consider is the potential role of floor and ceiling effects in 459 driving the interaction between chunk tightness and stimulus complexity on problem 460 reaction time, and accuracy. In particular, accuracy in the loose chunk condition was 461 quite close to 100%. Thus, it may be that the interaction was caused by a restricted 462 range of accuracy scores in the loose chunk condition, constraining any ability to see 463 effect of stimulus complexity. This explanation cannot be ruled out, however, the 464 relatively high average reaction time (~1000 ms) in the loose chunk condition 465 suggests that no floor effects were at play with the reaction time data, which also 466 show the interaction of chunk tightness with stimulus complexity. Furthermore, the LPC data also exhibited this interaction, and there is no reason to worry about floor or 467 468 ceiling effects there. Even so, future research should attempt to make the loose chunk 469 decomposition problems more difficult, to bring accuracy down from ceiling and 470 potential reveal further effects to consider.

471 **4.5 Conclusion** 

472	Chunk decomposition problems were developed to study the mechanism of
473	restructuring during problem solving (Knoblich et al., 1999). The work reported here
474	shows that perceptual features of the stimuli in such tasks are important determinants
475	of problem difficulty. The moment of finding the solution is made more difficult to
476	achieve when stimulus complexity is increased, and when chunks must be extracted
477	from other spatially overlapping chunks. Both factors impede breaking the impasse,
478	but dealing with these two sources of difficulty appears to rely on different neural
479	mechanisms.

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